A new digital elevation model (DEM) dataset of the entire Antarctica continent derived from ICESat-2

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Abstract. Antarctic digital elevation models (DEMs) are essential for fieldwork, ice motion tracking and the numerical modelling of ice sheet. In the past thirty years, several Antarctic DEMs derived from satellite data have been published. However, these DEMs either have coarse spatial resolutions or aggregate observation spanning over several years, which limit their further scientific applications. In this study, the new-generation satellite laser altimeter Ice, Cloud, And Land Elevation Satellite-2 (ICESat-2) is used to generate a new Antarctic DEM for both the ice sheet and ice shelves. Approximately 4.69×10^9 ICESat-2 measurement points from November 2018 to November 2019 are used to estimate

- 15 surface elevations at resolutions of 500 m and 1 km based on a spatiotemporal fitting method. Approximately 74% of Antarctica is observed, and the remaining observation gaps are interpolated using the ordinary kriging method. The DEM is formed from the estimated elevations in 500 m and 1 km grid cells, and is finally posted at the resolution of 500 m. National Aeronautics and Space Administration Operation IceBridge (OIB) airborne data are used to evaluate the generated Antarctic DEM (hereafter called the ICESat-2 DEM) in individual Antarctic regions and surface types. Overall, a median bias of -0.19
- 20 m and a root-mean-square deviation of 10.83 m result from approximately 5.2×10^6 OIB measurement points. The accuracy and uncertainty of the ICESat-2 DEM vary in relation to the surface slope and roughness, and more reliable estimates are found in the flat ice sheet interior. The ICESat-2 DEM is comparable to other DEMs derived from altimetry, stereophotogrammetry and interferometry. Similar results are found when comparing to elevation measurements from kinematic GNSS (GPS and the Russian GLONASS) transects. The elevations of high accuracy and ability of annual update make the
- 25 ICESat-2 DEM an addition to the existing Antarctic DEM groups, and it can be further used for other scientific applications. The generated ICESat-2 DEM (including the map of uncertainty) can be downloaded from National Tibetan Plateau Data Center, Institute of Tibetan Plateau Research, Chinese Academy of Sciences at https://data.tpdc.ac.cn/en/disallow/9427069c-117e-4ff8-96e0-4b18eb7782cb/ (Shen et al., 2021, DOI: 10.11888/Geogra.tpdc.271448).

1 Introduction

- 30 Knowledge of the detailed surface topography in Antarctica is essential for fieldwork, ice motion tracking (Bamber et al., 2000) and the numerical modelling of ice sheet (Cornford et al., 2015). Digital elevation models (DEMs) of Antarctica, for example, can be used for presenting the topography of ice sheets and ice shelves and thus provide a crucial reference for ice dynamics and glacier velocities (Wesche et al., 2007; Slater et al., 2018), which is necessary for Antarctic mass balance monitoring and potential sea level rise contribution estimation (Ritz et al., 2015; Mengel et al., 2018).
- 35 Due to the remoteness of Antarctica, most of the previously published Antarctic DEMs were derived from satellite or airborne data, e.g., elevation measurements from radar altimeters (Fricker et al., 2000; Helm et al., 2014; Slater et al., 2018), laser altimeters (DiMarzio et al., 2007), a combination of radar and laser altimeters (Bamber et al., 2009), stereophotogrammetry (Korona et al., 2009; Cook et al., 2012; Howat et al., 2019) and interferometry (Wessel et al., 2021). The currently available continent-scale Antarctic DEMs include one DEM derived from ICESat (hereafter called the ICESat
- 40 DEM, DiMarzio et al., 2007), one based on the combination of ICESat and ERS-1 elevation measurements (hereafter called the ICESat/ERS-1 DEM, Bamber et al., 2009), two DEMs derived from CryoSat-2 (hereafter called the Helm CryoSat-2 DEM (Helm et al., 2014) and Slater CryoSat-2 DEM (Slater et al., 2018)), one DEM derived from stereo-photogrammetry using GeoEye-1 and WorldView-1/2/3 imageries (hereafter called the Reference Elevation Model of Antarctica (REMA) DEM, Howat et al., 2019), and one DEM derived from Interferometric Synthetic Aperture Radar (InSAR) using TerraSAR-

45 X and TanDEM-X data (hereafter called the TanDEM-X PolarDEM, Wessel et al., 2021).

All these DEMs provide reasonable elevation estimates for Antarctica; however, some flaws still cannot be totally avoided. The coverage of ICESat is limited in ice sheet margins due to its coarse across-track resolution, hence for ICESat DEM most of the elevations in ice sheet margins were interpolated based on the neighbour data. Although the ICESat/ERS-1 DEM improves the data coverage by combining the measurements from ICESat and ERS-1 elevations, this DEM aggregates

- 50 observation spanning over several years due to the different timespans (1994-1995 for ERS-1 and 2003-2008 for ICESat) of these two satellite altimeter datasets. This issue also exists with the REMA DEM and TanDEM-X PolarDEM, where multiyear satellite imageries were used. Different from the abovementioned DEMs, the Slater CryoSat-2 DEM was derived based on a model fitting method by using seven-year CryoSat-2 data (from July 2010 to July 2016). This method can quantify the measured elevation fluctuations due to interannual variations, and can provide a DEM for each month during the
- 55 timespan of applied data. However, although the radar penetration depth of the CryoSat-2 Ku-band into snowpack can be corrected for either empirically or theoretically using a waveform fitting approach (Davis, 1996; Davis, 1997), the spatial and temporal variations of radar penetration depth are still difficult to account. As multi-temporal and large-scale satellite radar altimeter data are usually used, the accuracy of estimated elevations is reduced. A similar problem also exists with the Helm CryoSat-2 DEM and TanDEM-X PolarDEM (the penetration depth of the X-band into snow may be several meters,
- 60 Fischer et al., 2020; Dehecq et al., 2016).

The new-generation satellite laser altimeter Ice, Cloud, And Land Elevation Satellite-2 (ICESat-2) of the National Aeronautics and Space Administration (NASA), which was launched on 15 September 2018, provides near-global (up to 88°S) and dense land ice elevation measurements in an accurate repeated cycle of 91 days by using a multibeam (six beams in three pairs that work at 532 nm) laser altimeter (i.e., Advanced Topographic Laser Altimeter System, ATLAS, Neumann

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et al., 2019). The narrow footprint (approximately 17 m with a spatial interval of 0.7 m) and three pairs of beams (two beams in one pair can determine the local slope) enable a fine-scale measurement of Antarctic surface heights even in steep regions. Hence, ICESat-2 can be expected to provide a new Antarctic DEM on a fine scale.

Here, we use a one-year time series (from November 2018 to November 2019) of ICESat-2 elevation measurements to generate a new Antarctic DEM that covers both the ice sheet and ice shelves (hereafter called the ICESat-2 DEM). The 70 applied data, DEM generation method and quality control criteria are presented in Section 2. Furthermore, we present the map of the ICESat-2 DEM and construct an accuracy evaluation by comparing it to the elevation measurements from the NASA Operation IceBridge (OIB) airborne mission and kinematic GPS and the Russian GLONASS (GNSS) transects in Section 3. The performances of the ICESat-2 DEM and six currently available Antarctic DEMs are compared in Section 4, Section 5 provides the data availability and Section 6 concludes this study.

2 Data and Methods 75

2.1 ICESat-2 data

The ICESat-2 ATL06 land ice elevation product (Version 3, Smith et al., 2019) from November 2018 to November 2019 is used. This product provides land ice elevation measurements at a spatial resolution of 20 m after correcting instrumentspecific biases (i.e., corrections for transmit-pulse shape and first-photon bias, Neumann et al. 2019); here, only ATL06 data with good quality (according to the surface signal confidence metric from ATL06 data, i.e., those for which 80 atl06 quality summary equals zero) are used to generate the DEM. For the data collected over Antarctic ice shelves, corrections for ocean tide and inverse barometer effects are also applied (Egbert et al., 1994; Egbert and Erofeeva, 2002; Padman et al., 2002). Elevation measurements from all six beams are used to produce the densest surface height coverage. Although the signal energies of strong and weak beams are different, all six beams provide centimeter-scale elevation measurements, and the biases of two beams in one pair are less than 2 cm for flat regions (Brunt et al., 2019) and 5 cm for 85 steep surfaces (Shen et al., 2021). Thus, the effect of elevations estimated from weak beams is negligible.

2.2 NASA OIB airborne data and kinematic GNSS data

Elevation measurements from the OIB airborne mission in Antarctica are used here to evaluate the accuracy of the ICESat-2 DEM on a continental scale, including in the stable ice sheet interior and active marginal ice shelves. Surface heights from 90 OIB airborne missions are measured by the Airborne Topographic Mapper (ATM), a conically scanning laser altimeter (at 532 nm) with a swath width of 140 m and footprint size of 1 to 3 m. The elevation measurement accuracy of ATM is

approximately 10 cm or better (Kurtz et al. 2013). Here, the IceBridge ATM L2 Icessn elevation, slope and roughness (V002) product (Studinger et al., 2014) is used, and a data filter (Young et al., 2008; Kwok et al., 2012; Studinger et al., 2014) is applied to remove abnormal values due to geolocation errors or cloud cover. To reduce the effect of interannual changes of

- 95 surface elevations on DEM evaluation, the time difference between applied OIB airborne data and ICESat-2 DEM should be less than one year. Thus, OIB airborne data in October and November 2018 and October and November 2019 in Antarctica (Fig. 1) are chosen to evaluate the accuracy of the ICESat-2 DEM. In order to provide a comprehensive and more robust evaluation of the ICESat-2 DEM, OIB data in areas of low elevation change (i.e., ice sheet interior) from 2009 to 2017 are also used additionally (Fig. 1). The CryoSay-2 Low Rate Mode (LRM) mask in Antarctica (which was designed for flat ice
- 100 sheet interior measurements) is used to extract the regions of low elevation change. CryoSat Geographical Mode Mask (V4.0, updated in 19 August 26 August 2019) at https://earth.esa.int/eogateway/news/cryosat-geographical-mode-mask-4-0-released is used. The averaged elevation change rate in the used OIB data locations is about -0.0074 ± 0.0821 m/yr from 2003 to 2019, according to elevation change rate estimates from Smith et al. (2020). Hence, we assume that in these areas the effect of the elevation change on the DEM evaluation can be ignored. Besides, a common OIB data in these areas from
- 105 2009 to 2019 (Fig. 1) are used to provide a robust and reasonable comparison between ICESat-2 DEM and previously published DEMs (see Section 2.3).

In addition, elevation records from kinematic GNSS observations (Schröder et al., 2017) in areas of low elevation change are also used for an additional DEM elevation comparison (Fig. 1). These GNSS profiles were measured in the region from Vostok Station (106.8°E, 78.5°S) to the East Antarctic coast from 2001 to 2015, an averaged offset of 4.9 cm was found

110 comparing to OIB airborne data in November 2013. The detailed introduction to the data collection, data processing method and accuracy evaluation can be referred to Schröder et al. (2017).

Although OIB and GNSS data in the low elevation-change areas and OIB data with small time difference (< one year) comparing to ICESat-2 DEM are used for DEM evaluation, the effect of time difference between the DEM and evaluation data still needs to be considered. Here, we adjust the changes of ICESat-2 DEM elevation values which occur during the

115 time difference between these two data, the trend values are derived from Smith et al. (2020) and we assume the constant elevation change rates, the corresponding adjustments are calculated and applied for the DEM values in the locations of OIB/GNSS measurements before comparisons.

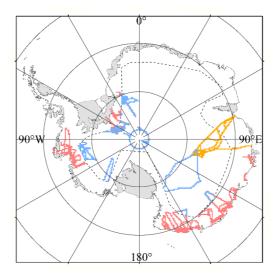


Figure 1. Maps of the OIB airborne data in October and November 2018 and October and November 2019 (red), from 2009
to 2019 in ice sheet interior (blue). Map of the GNSS transects from 2001 to 2015 in Antarctica (yellow). The dashed lines show the boundary of region where we assume to have low elevation change, it is the mode mask boundary of CryoSat-2 LRM data in Antarctica.

2.3 Previously published Antarctic DEMs

125 Six previously published Antarctic DEM products are compared to the ICESat-2 DEM, i.e., ICESat DEM (DiMarzio et al., 2007), ICESat/ERS-1 DEM (Bamber et al., 2009), Helm CryoSat-2 DEM (Helm et al., 2014), Slater CryoSat-2 DEM (Slater et al., 2018), REMA DEM (Howat et al., 2019) and TanDEM-X PolarDEM (Wessel et al., 2021), as shown in Section 4. Detailed information concerning these DEMs is provided in Table 1, and all DEMs have been referenced to the WGS84 ellipsoid.

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Table 1. Detailed introductions to six previously published Antarctic DEMs, including the source data, time span of the source data, spatial posting/resolution.

DEM	Source data	Time span of applied source	Spatial	
DEM	Source data	data	posting/resolution	
ICESat DEM	ICESat	February 2003 to June 2005	500 m	
ICESat/ERS-1	ICES t EDS 1	1004 1005 2002 2008	1 km	
DEM	ICESat, ERS-1	1994-1995, 2003-2008		
Slater CryoSat-2	Conversion 2	L.L. 2010 4- L.L. 2016	1 1	
DEM	CryoSat-2	July 2010 to July 2016	1 km	

Helm CryoSat-2	CryoSat-2	A full 369-day-long cycle	1 km
DEM	CryoSat-2	starting January 2012	I KIII
REMA DEM	GeoEye-1,	2009-2017, with most collected	Variable resolutions,
	WorldView-1/2/3	in 2015 and 2016	2 and 8 m
TanDEM-X	TerraSAR-X,	April to November 2013, April	
PolarDEM	TanDEM-X	to October 2014, mid-2014, July	90 m
FOIAIDENI	TanDEM-A	2016 to September 2017	

2.4 ICESat-2 DEM generation method

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135 2.4.1 Surface elevation and uncertainty estimation

To separate the various contributions (i.e., local surface terrain and elevation change), following Slater et al. (2018), a model fitting method is applied here. The elevation is estimated using a quadratic function based on the local surface terrain and a time term (Eq. 1). This function is fitted in each grid (at the resolutions of 500 m and 1 km, see following subsection) by using an iterative least-squares fit to all the included elevation measurements. By considering the surface elevation fluctuations and sub-annual changes, this method tends to obtain more accurate elevation estimates (Flament and Remy, 2012; McMillan et al., 2014).

$$E(x, y, t) = \overline{E} + a_0 x + a_1 y + a_2 x^2 + a_3 y^2 + a_4 x y + a_5 (t - t_{May2019})$$
(1)

Where *E* is the surface elevations derived from ICESat-2 measurement points, *x* and *y* are the local surface terrain respectively, *t* is the time term, and \overline{E} is the DEM value in May 2019.

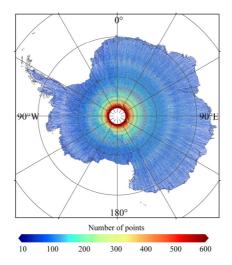
- This method suits ICESat-2 orbit cycle, which samples dense ground tracks comparing to previous satellite altimeters, more measurement points are included in the grid cell and the estimated elevations are more robust. It is possible for a quadratic form to model the topography at the resolutions of 500 m and 1 km and smaller elevation residuals can be found than using a simple linear fit (Flament and Remy, 2012). In addition, model fitting method can provide the estimation of elevation change rate (a_3), and the estimate agrees well with accurate elevation change estimations from crossover-point method (Moholdt et al., 2010), which provides an addition reference for the research of ice dynamics and mass balance.
 - To reduce the effect of any poor fit, a quality control criterion listed in Table 2 is performed, which includes the number of ICESat-2 measurement points used, the time span of the data used, the root-mean-square deviation (RMSD) of the residuals of fitted elevations, the elevation rate of change and its uncertainty. These criteria are constructed for all grid cells, and thus, there are some elevation gaps in the initial DEM. The remaining gaps are filled by using ordinary kriging interpolation
- 155 (semi-variogram model: spherical, nugget: 0, sill: 1652285.953, radius: 10 km), which is widely used for generating previous DEMs (Helm et al., 2014; Slater et al., 2018). During the interpolation process, a search radius of 10 km is applied to obtain

neighbouring measurement points. Similar estimation models have also been used in previous studies (Moholdt et al., 2010; Flament and Remy, 2012; McMillan et al, 2014; Konrad et al., 2017; Slater et al., 2018), and the evaluation in Section 3.2 also demonstrates its validity.

Table 2. Quality control criteria applied to remove the unrealistic elevations due to the poor fitting performances in each grid cell.

Parameters	Rules
The number of ICESat-2 measurement points	≤ 10
The time span	\leq 2 months
RMSD of the residuals of fitted elevations	$\geq 10 \text{ m}$
Elevation change rate	$\geq 10 \text{ m/yr}$
The uncertainty of elevation change rate	$\geq 10 \text{ m/yr}$

- The performance of this surface fit method is also affected by the spatial distribution and number of ICESat-2 measurement points. After quality control, 4.69×10^9 ICESat-2 measurement points from November 2018 to November 2019 that cover all of Antarctica are used. An adequate number of ICESat-2 measurement points in one grid cell is required to generate valid elevation estimates. Fig. 2 shows the distribution of the numbers of ICESat-2 measurement points used in individual grid cells (at the resolution of 500 m), which indicates a latitude-dependent pattern. Each grid cell contains approximately 118 ICESat-2 measurement points on average. In the ice sheet interior, the large coverage of ICESat-2 measurement points are relatively small, the proportion of observed grid cells is reduced, and the representativeness is also reduced. Additionally, the performance of the surface fit method also depends on the timespan of the input data, that is to say, it should be noted that whether one-year of ICESat-2 data can be used to obtain a satisfied fitting performance. Here we find that the elevation-change rate map based on one-year ICESat-2 data (i.e., a_5 in Eq.1) has a similar pattern with that from
- 175 Smith et al. (2020), which estimated the elevation-change rate from 2003 to 2019 based on ICESat and ICESat-2 data, indicating that one-year of data can also provide the reasonable elevation change rates and thus the surface fit method used here is reliable.



180 **Figure 2.** Map of the numbers of valid ICESat-2 measurement points in each 500 m grid cell. The numbers of ICESat-2 measurement points in 1 km grid cells are resampled to the resolution of 500 m.

DEM uncertainties are calculated based on the approach in Helm et al. (2014). The OIB elevation data are used as the reference and the elevation differences due to the time difference between OIB data and DEM are corrected based on the 185 elevation-change rates from Smith et al. (2020). The DEM uncertainty is then calculated from surface slope, roughness, number of the used data points (N) and its elevation standard deviation (SD). Due to the method difference we calculate the DEM uncertainty for observed and interpolated grid cells respectively. The surface slope and roughness are directly derived from the ICESat-2 DEM, the slope in one grid cell is derived as the maximum rate of change in elevation from that cell to its eight neighbors, the roughness is derived from the elevation difference between DEM and the smoothed DEM (by applying a 190 3 by 3 median filter). For observed grid cells, N is the number of the data points in each grid cell used for elevation estimation; for interpolated grid cells, N is derived by counting all data points within the search radius of 10 km, which is the radius used for elevation interpolation. SD is the standard deviation of elevations of these data points. The differences between DEM and OIB elevations are calculated and firstly binned w.r.t surface slope. The slope is divided into 200 bins with an interval of 0.01° (from 0 to 2°), the median and standard deviation are calculated for each bin. This processing 195 method is also applied for other three parameters, an interval of 0.05 m for surface roughness, 250/500 (observed/interpolated grid cells) for N and 0.25 m for SD. For each distribution a 2-order polynomial is fitted by using the different standard deviations of the elevation differences for each bin. The corresponding coefficients are listed in Table 3. This kind of polynomial order ensure a good and robust fitting performance, including for the small elevation differences in flat regions. Finally, the DEM uncertainty is calculated as follows:

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$$u = \sum_{i=1}^{4} w_i u_i$$
 (2)

$$w_i = \frac{1}{s_i \sum_{j=1}^{4} \frac{1}{j}}$$
 (3)

$$s_i = \frac{\sigma_i}{\sum_{i=1}^4 \sigma_i} \tag{4}$$

$$u_i = b_{i1}x^2 + b_{i2}x + b_{i3} \tag{5}$$

Where u is the DEM uncertainty, w_i is the weighting factor and u_i is the uncertainty for each uncertainty source. s_i is the 205 scaling factor and σ is standard deviation of the difference between data and the polynomial fit. $b_{i,0-3}$ are the coefficients for each polynomial fit (as listed in Table 3). When deriving the ICESat-2 DEM uncertainty estimation, the uncertainty from ICESat-2 measurements is not considered because the effect of ICESat-2 measurement bias is limited (< 5 cm, Brunt et al., 2019; < 14 cm, Shen et al., 2021).

 $\sum_{i=1}^{n} S_i$

210 Table 3. The fitting coefficients and weights used for the DEM uncertainty estimation

	Coefficient	Slope	Roughness	N	SD
Observed	b_l	0.13	-0.02	-1.53×10-9	-0.01
	b_2	6.20	0.90	-5.02×10 ⁻⁵	0.42
	<i>b</i> ₃	3.37	4.37	12.13	4.85
	Weights	0.45	0.41	0.05	0.09
Interpolated	b_l	0.38	-0.02	2.96×10-9	-4.98×10 ⁻³
	b_2	5.04	0.76	-3.60×10 ⁻⁴	0.30
	b_3	5.13	6.56	17.50	7.55
	Weights	0.49	0.37	0.06	0.08

2.4.2 Choice of DEM resolution

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The selection criterion of DEM resolution is to present the detailed pattern of elevations and ensure enough spatial coverage of observed elevations (a smaller resolution tends to cause more observed elevation gaps). Although a much finer scale (e.g., 250 m) can reveal a more detailed elevation pattern, this contributes to more gaps among observed elevations. The overall spatial coverages of observed elevations when applying 250 m, 500 m and 1 km resolutions (which are usually applied in the Antarctic DEM) are 26%, 46% and 72%, respectively. High-latitude areas always have higher observed elevation coverages; in lower latitudes there are still some 250 m grid cells with estimated elevations (Fig. 3), however, 250 m DEM only has 26%

- 220 coverage. The detailed variations in the spatial coverages of observed grid cells at different latitudes at variable spatial resolutions (250 m, 500 m and 1 km, which are usually applied in the Antarctic DEM) are shown in Fig. 4a. 500 m is a reliable grid size which makes denser spatial coverage of the observed elevations, but a single resolution cannot obtain ideal spatial coverage, especially in low-latitude areas. To increase the coverages of observed elevations as much as possible, referring to Slater et al. (2018), two spatial resolutions are used to estimate the surface elevations from ICESat-2. That is,
- 225 elevations are estimated at resolutions of 500 m and 1 km. The observation gaps in the 500 m DEM are filled by the resampled 1 km DEMs (resampled to the 500 m DEM). The addition of DEMs at 1 km greatly increases the observation coverage, the overall spatial coverage is approximately 74%, and the remaining gaps are filled using ordinary kriging interpolation. Although two resolutions are applied, 1 km and interpolated elevations are both resampled to the posting of 500 m to provide a consistent DEM dataset; hence, the final ICESat-2 DEM is posted at a resolution of 500 m.

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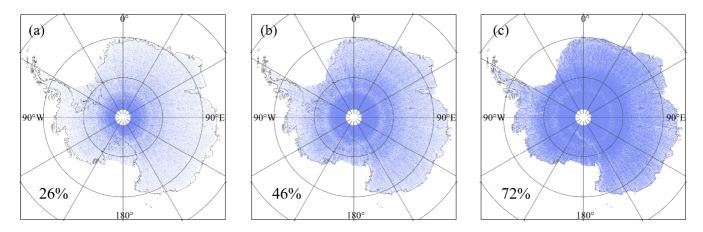


Figure 3. Map of the observed grid cells of DEMs at the spatial resolution of 250 m (a), 500 m (b) and 1 km (c). The observed grid cells are coloured in blue, the overall coverage of each DEM in Antarctica is also presented beside.

The application of two resolutions may include additional effects, i.e., different grid cell resolutions tend to present different elevation estimates. Here, we compare the elevation difference at the overlapped areas in Antarctica at different spatial resolutions (Fig. 4b). The elevation values become lower when a larger spatial resolution is applied, which acts as a 'running mean'. Although applying different spatial resolutions affects the elevation values, an averaged elevation difference of 0.04 ± 2.93 m can be found (Fig. 4c), which is quite small comparing to the estimated elevations. In addition, this method can increase the coverage of observed elevations, and observed elevations tend to be more reliable than interpolated

elevations (as shown in Section 3.2). Finally, in order to remove additional elevation outliers in the generated DEM, a 3-standard-deviation filter (3 by 3) is

firstly applied. Visual inspection indicates that only a small number of anomalous elevations remain and these are further

removed by using a 3 by 3 median filter. These quality assurance filters ensure the elevation pattern of the final DEM is 245 smoothed and reasonable.

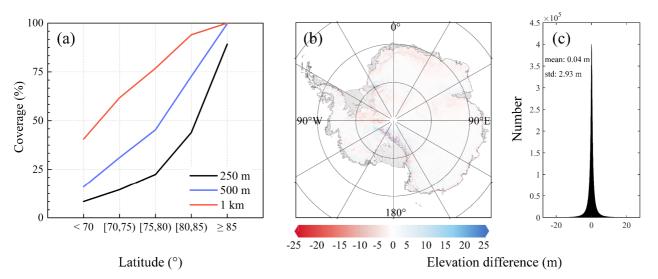
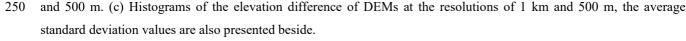


Figure 4. (a) Spatial coverages of observed grid cells in the five latitude ranges when three spatial resolutions, i.e., 250 m (yellow), 500 m (blue) and 1 km (red), are applied. (b) Map of the elevation difference of DEMs at the resolutions of 1 km and 500 m. (c) Histograms of the elevation difference of DEMs at the resolutions of 1 km and 500 m, the average and



2.4.3 DEM evaluation method

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ICESat-2 DEM and previously published DEMs are resampled to the OIB/GNSS data locations and calculate the difference 255 for evaluation, to reduce the effect of resolution differences between various DEMs. Four indexes are used to evaluate the DEM performance, including median deviation (MeD), median absolute deviation (MeAD), standard deviation (SD) and RMSD. The corresponding calculation equations are listed as follows:

$$MeD = median(\delta_{i=1,2...,n})$$
(6)

$$M eAD = median(|\delta_{i=1,2...,n}|)$$
(7)

$$SD = \sqrt{\frac{\sum_{i=1}^{n} (\delta_i - MD)^2}{n-1}}$$
(8)

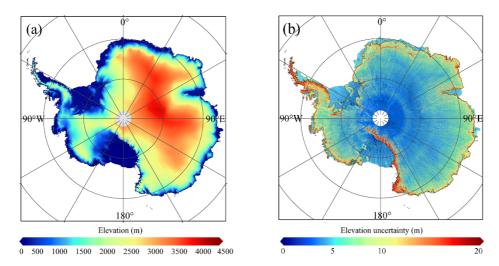
$$\text{RMSD} = \sqrt{\frac{\sum_{i=1}^{n} \delta_i^2}{n-1}}$$
(9)

Where δ_i is the bias of ICESat-2 DEM and OIB/GNSS elevation, MD is the mean deviation and *n* is the number of the matched grid cells.

3 Results

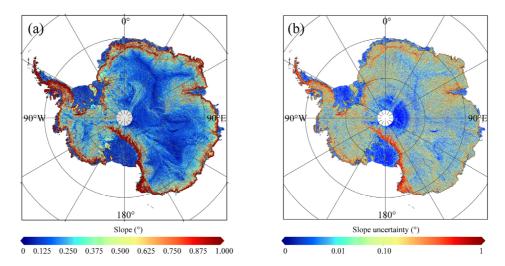
265 3.1 General attributes of ICESat-2 DEM

The effective time stamp of the ICESat-2 DEM is May 2019, which is halfway between November 2018 and November 2019. The ICESat-2 DEM provides a complete surface elevation reference for Antarctica, which illustrates higher elevations in the ice sheet interior and lower values in marginal ice shelves (Fig. 5). Negative elevations can be found in the ice shelves, especially in the Ross Ice Shelf. The local slope shows a pattern similar to the DEM, and undulated slopes are found in areas with rugged terrain, such as the Antarctic Peninsula and Transantarctic Mountains (Fig. 6). Both elevation and slope uncertainties show topography-dependent patterns, and larger values tend to be found at rugged areas, which may be related to the local surface conditions (i.e., slope and roughness). Larger elevation uncertainties can be found for interpolated grid cells than observed ones, this is due to the method difference when deriving the surface elevations.



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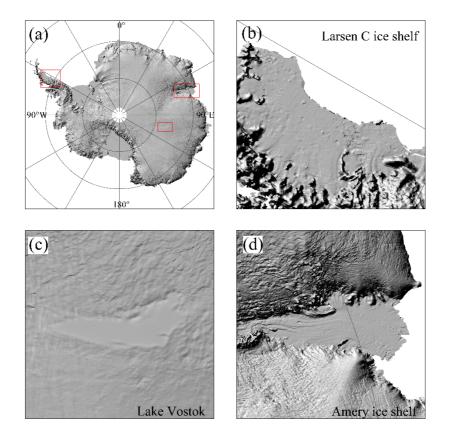
Figure 5. (a) A new DEM of Antarctica at a posting of 500 m derived from ICESat-2, which covers both the ice sheet and ice shelves with the southern limit of 88°S. (b) Map of the ICESat-2 DEM elevation uncertainty.



280 **Figure 6.** (a) Map of the surface slope of Antarctica derived from the ICESat-2 DEM. (b) Map of the ICESat-2 DEM surface slope uncertainty. The uncertainty is estimated based on the propagation of elevation uncertainty.

According to the shaded relief map of Antarctica derived from the ICESat-2 DEM (Fig. 7), obvious topographical patterns and flat terrain can be found in the mountain environments and ice sheet interior, respectively. On the Antarctic Peninsula, the ice shelf limit is visually identified from the shaded relief map (Fig. 7b). Other large-scale terrain features, e.g.,

subglacial lakes and floating ice shelves, can also be visually detected (Figs. 7c and 7d).



290 Figure 7. (a) Shaded relief map of Antarctica derived from the ICESat-2 DEM. The detailed maps of the Larsen C ice shelf, Lake Vostok and Amery ice shelf are shown in (b), (c) and (d), respectively, and their locations are also shown in (a) by red rectangular boxes.

Two spatial resolutions are used in the ICESat-2 DEM, and the distributions of three kinds of grid cells (observed at individual resolutions and interpolated) show obvious latitude-dependent patterns. Regardless of whether at the basin scale or regional scale, more elevations at higher resolutions tend to be located in high-altitude areas, while elevations at lower or interpolated resolutions are mostly located in low-altitude regions (Fig. 8).

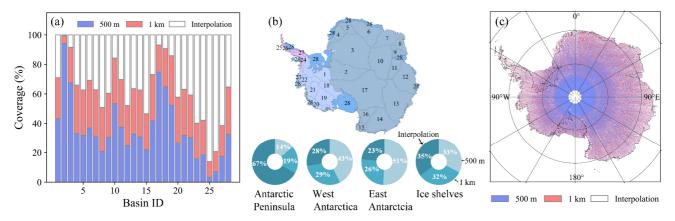


Figure 8. (a) Coverages of observed grid cells at 500 m and 1 km and interpolated grid cells in 27 drainage basins of ice
sheets (Zwally et al., 2012) and ice shelves. The boundaries and basin index (ID) of 27 ice sheet drainage basins (Numbers 1 to 27) and ice shelves (Number 28) are shown in (b). The coverages of observed (at two spatial resolutions) and interpolated grid cells in the Antarctic Peninsula, West Antarctica, East Antarctica and ice shelves are also shown in (b). (c) Map of the selected grid cell resolution for deriving the ICESat-2 DEM in all grid cells at a spatial resolution of 500 m. Elevation values derived from 1 km and interpolation (i.e., 1 km) are resampled to a resolution of 500 m.

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3.2 Evaluation of ICESat-2 DEM by comparing to OIB airborne data

In total, approximately 5.2×10^6 OIB measurement points that cover both the steep and flat regions (Fig. 1) are chosen to evaluate the ICESat-2 DEM. Generally, a MeAD of 1.54 m and an RMSD of 10.83 m are found for ICESat-2 DEM comparing to OIB surface heights (Table 4). Ice sheet elevations are more accurate than those estimated for ice shelves, which may due to a higher percentage of high-slope areas in ice shelves observed by OIB data than in ice sheet.

Table 4. Comparisons between the ICESat-2 DEM and OIB airborne elevation measurements (including data in areas of low elevation change from 2009 to 2017 and data in the Antarctica from 2018 to 2019) in observed and interpolated areas for individual regions (i.e., the ice sheet and ice shelves). MeD: median deviation, MeAD: median absolute deviation, SD: standard deviation, RMSD: root-mean-square deviation.

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	Region	MeD (m)	MeAD (m)	SD (m)	RMSD (m)	Number of used OIB measurement points
Observed	Ice sheet	-0.17	1.21	9.25	9.26	3589087
	Ice shelves	0.59	2.53	14.07	14.09	191754
	Total	-0.15	1.26	9.56	9.57	3780841
Interpolated	Ice sheet	-0.52	2.63	13.30	13.36	1237416
	Ice shelves	0.44	3.00	15.16	15.21	185613
	Total	-0.41	2.67	13.58	13.62	1423029

Overall	Ice sheet	-0.22	1.47	10.44	10.47	4826503	
	Ice shelves	0.53	2.75	14.62	14.65	377367	
	Total	-0.19	1.54	10.81	10.83	5203870	

We also evaluate the elevation performance for observed and interpolated grid cells (Table 4). Generally, the bias of observed elevations is smaller than that of interpolated elevations in both ice sheets and ice shelves, which indicates that the observed elevations tend to be more accurate than those estimated from interpolation. Larger biases will be included in the

320 ICESat-2 DEM if the coverage of interpolated elevations is high, hence the elevation gaps in the 500 m DEM are firstly filled by the resampled 1 km DEM to reduce the coverage of interpolated elevations. The accuracy of the ICESat-2 DEM has an obvious relationship with local terrain conditions, and the bias rises when the slope or roughness becomes larger, which is visible for three surface types (Table 5) and different surface slope conditions (Fig. 9). The bias in rocks is obviously larger than those for snow/firn and blue ice areas (BIAs), which is mainly due to the local terrain condition, as they are mostly located in the Transantarctic Mountains and the Antarctic Peninsula, while snow/firn and BIAs tend to have flat surface terrain; hence, they have smaller biases. While in the low-slope regions, the ICESat-2 DEM shows good agreement with

Table 5. Comparison between the ICESat-2 DEM and OIB airborne elevation measurements (including data in areas of low330elevation change from 2009 to 2017 and data in the Antarctica from 2018 to 2019) with respect to three surface types, i.e.,
snow/firn, blue ice areas (BIAs) and rocks. The surface type data are obtained from Hui et al. (2017).

both the OIB and GNSS data; in the large-slope areas, larger biases occur (Fig. 9).

	MeD (m)	MeAD (m)	SD (m)	RMSD (m)	Number of used OIB
101	MeD (III)	MEAD (III)	3D (III)		measurement points
Snow/firn	-0.18	1.47	9.60	9.62	5046581
BIA	-1.71	8.82	24.55	24.65	151111
Rock	-0.85	24.03	75.11	78.89	6178
Total	-0.19	1.54	10.81	10.83	5203870

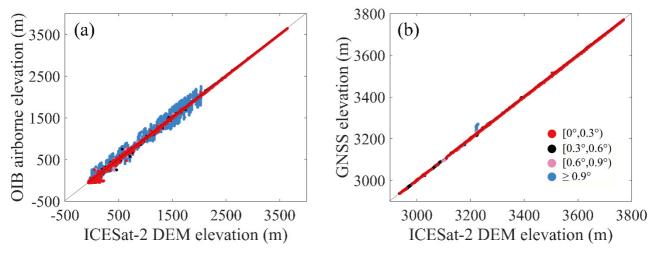
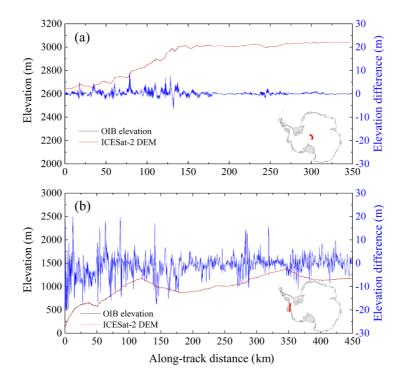


Figure 9. Scatter plots of ICESat-2 DEM elevation and OIB airborne elevation (a) and GNSS elevation (b), respectively. The surface slopes are distinguished in different colours, as shown in the figure legend.

Although OIB airborne data provide an independent evaluation of the generated DEM, they still cannot present a comprehensive comparison. Most of the OIB airborne data were obtained in ice sheet margins or mountain environments,
with high slopes and low elevations. Approximately 78% of used OIB elevations are less than 1500 m, and 76% of the observed surface slopes from the OIB mission are less than 1°, while the corresponding percentages from the ICESat-2 DEM are 36% and 91%, respectively. The applied OIB airborne data cannot completely represent the slope/elevation distributions of the Antarctic DEM; hence, the real accuracy of the ICESat-2 DEM is biased and may be higher.

- In order to evaluate the DEM performance in more detail, the elevations along two OIB tracks in flat ice sheet interior and 345 rough ice sheet margins are shown in Fig. 10. In the ice sheet interior where surface slopes are small (Fig. 10a), elevation differences of approximately 5 m can be found (the median elevation difference for ICESat-2 DEM is -0.13 ± 0.19 m). The elevation differences are further reduced when surface slope become smaller. While at the Pine Island Glacier where surface slopes are large (Fig. 10b), elevation differences of approximately 20 m can be found in the undulated terrains (the median elevation difference is -0.01 ± 4.58 m). Overall, ICESat-2 DEM has better performances in the flat regions than steep areas.
- 350 Regions of low surface slope represent the majority of Antarctic ice sheet, hence most elevations from ICESat-2 DEM have smaller elevation biases.



355 Figure 10. Differences between the ICESat-2 DEM and OIB elevations along two OIB flight paths in (a) ice sheet interior and (b) Pine Island Glacier. ICESat-2 DEM elevations are in red, OIB elevations are in black, and the elevation differences between ICESat-2 DEM and OIB elevations are in blue. Locations of the two OIB flight paths are shown in red in the inserted figures of Antarctica.

Additionally, by comparing to the OIB or GNSS elevation data (see Section 4), we can estimate the actual ICESat-2 DEM uncertainty as the SD of the differences to OIB or GNSS elevation data. In the estimated uncertainty map (Fig. 5b), a median value of 5.84 ± 5.29 m can be found. The SD of differences to OIB data which obtained in the large scale shows a value of 10.44 m (Table 4, including plenty of measurements in ice sheet margin), while in the ice sheet interior a value of 3.26 m is found (Table 6). Considering the data coverage and surface-slope difference, the estimated uncertainty values can represent the SDs from what is given as OIB, which means that the provided uncertainty estimates are reliable. Small SD value of 1.59 m can be found when comparing to the GNSS data (Table 7) which were obtained in the regions of low slope, this may due to the resolution and measurement accuracy differences between airborne and GNSS data, hence the ICESat-2 DEM uncertainty map may be slightly overestimated and can be assumed as the upper limit.

4 Comparisons with previous published Antarctic DEMs

370 When compared to the altimeter-derived DEMs, the elevation difference rises when the surface slope becomes larger, especially in mountainous environments (e.g., Transantarctic Mountains and Antarctic Peninsula, Fig. 11). This may be due to their differences in spatial resolution and measurement accuracy; this effect is considerably reduced when the local terrain

is flatter (e.g., ice sheet interior). Compared to the REMA DEM and TanDEM PolarDEM, smaller elevation differences can be found in both the flat ice sheet interior and steep mountains/marginal ice sheets. REMA DEM and TanDEM PolarDEM

- 375 have much smaller spatial resolutions and better performances, similar elevations indicate the reliability of ICESat-2 DEM in mountain environments. In particular, the ICESat-2 DEM shows a generally higher surface height than the TanDEM PolarDEM, which is assumed to be caused by the penetration depth of the X-band (TerraSAR-X and TanDEM-X) into snowpack (Dehecq et al., 2016; Fischer et al., 2020). Here it should be noted that the time differences between these DEMs can still cause the uncertainties in the DEM comparison.
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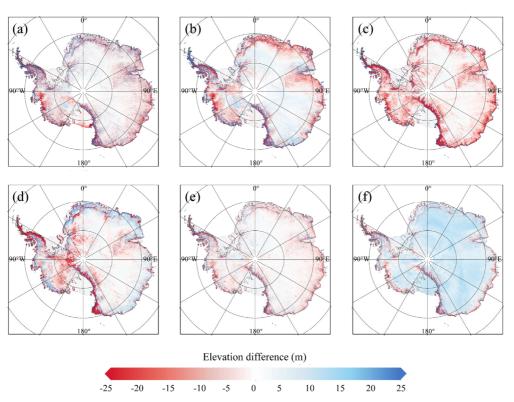


Figure 11. Elevation differences between the ICESat-2 DEM and six previously published DEMs, i.e., ICESat DEM (a), ICESat/ERS-1 DEM (b), Helm CryoSat-2 DEM (c), Slater CryoSat-2 DEM (d), REMA DEM (e) and TanDEM PolarDEM (f).

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To indicate a general comparison between the ICESat-2 DEM and other DEMs, OIB airborne data in areas of low elevation change from 2009 to 2019 are used to evaluate individual DEMs, the same evaluation method applied for the ICESat-2 DEM is used (as described in Section 2.2). The evaluation result shows that the ICESat-2 DEM has a reliable performance and is comparable to other DEMs derived from altimetry, stereo-photogrammetry and interferometry (Table 6).

	MeD (m)	MeAD (m)	SD (m)	RMSD (m)	Number of used OIB measurement points
ICESat-2 DEM	-0.14	0.97	3.26	3.28	
ICESat DEM	-2.46	6.02	11.85	12.41	
ICESat/ERS-1 DEM	-0.15	1.73	6.02	6.08	
Helm CryoSat-2 DEM	0.65	2.48	8.78	9.12	1965309
Slater CryoSat-2 DEM	1.17	2.62	9.46	10.04	
REMA DEM	-0.16	0.51	1.31	1.33	
TanDEM PolarDEM	-2.94	2.99	2.63	3.91	

Table 6. Comparisons between the ICESat-2 DEM, ICESat DEM, ICESat/ERS-1 DEM, Helm CryoSat-2 DEM, Slater CryoSat-2 DEM, REMA DEM, TanDEM PolarDEM and OIB airborne elevation measurements in areas of low elevation change from 2009 to 2019.

395 The median differences in surface slope and roughness for these seven DEMs illustrate that all their elevation biases become more uncertain with increasing slope and roughness (Fig. 12). The REMA DEM always has more stable performances than the ICESat-2 DEM, as stereo-photogrammetry can generate more consistent elevation estimations at the regional scale than altimetry. A similar situation occurs for the TanDEM PolarDEM when slopes >1.5°. Nevertheless, the ICESat-2 DEM is comparable to both the REMA DEM and TanDEM PolarDEM when slopes are less than 1°, which occupies 91% of Antarctica north of 88°S.

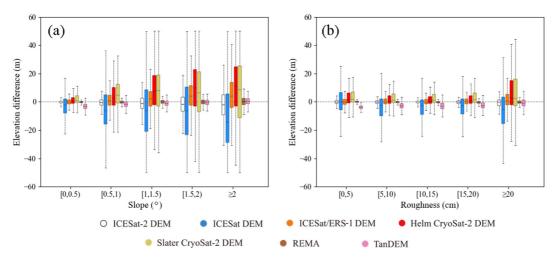


Figure 12. Median differences between seven DEMs and OIB airborne elevation measurements in areas of low elevation change from 2009 to 2019 with respect to surface slope and roughness. The upper and lower lines in each box indicate the 25th and 75th percentiles, the whiskers indicate the 5th and 95th percentiles, and the central horizontal line indicates the median difference.

Here, kinematic GNSS data from 2001 to 2015 in ice sheet interior are used to construct an additional DEM comparison. The comparison method and adjustment for time difference are the same as for OIB data. As surfaces in the interior of East

- 410 Antarctica are flat, better performances for all DEM except TanDEM PolarDEM are found than these based on OIB airborne data. Similarly, ICESat-2 DEM has a reliable performance and is comparable to other DEMs (Table 7). Additionally, the accuracy of the ICESat-2 DEM may be related to the surface slope (Fig. 9b). However, as the terrain conditions of GNSS measurement points are relatively flat, this relationship is not obvious. It should be noted that, the spatio-temporal coverages of used OIB and GNSS data are limited here, and they cannot provide an unbiased evaluation for ICESat-2 DEM and other
- 415 DEMs. Hence the comparisons above only give a general reference for their performances and cannot be used as the quantitative accuracy evaluation.

Table 7. Comparisons between the ICESat-2 DEM, ICESat DEM, ICESat/ERS-1 DEM, Helm CryoSat-2 DEM, Slater CryoSat-2 DEM, REMA DEM, TanDEM PolarDEM and GNSS elevation data in areas of low elevation change from 2001 to 2015.

	MeD (m)	MeAD (m)	SD (m)	RMSD (m)	Number of used GNSS measurement points
ICESat-2 DEM	0.02	0.50	1.59	1.60	
ICESat DEM	-3.79	4.30	10.99	13.10	
ICESat/ERS-1 DEM	-0.75	1.02	2.22	2.32	
Helm CryoSat-2 DEM	0.16	0.89	2.84	2.92	488963
Slater CryoSat-2 DEM	-0.12	0.61	2.41	2.43	
REMA DEM	0.06	0.30	0.78	0.78	
TanDEM PolarDEM	-4.03	4.03	1.52	4.34	

Comparing to other DEMs, elevation change rate can be obtained when deriving the ICESat-2 DEM, which provides an additional reference for ice topography and mass balance estimation. Additionally, in previous studies several years of altimeter data are needed to derive the DEM in Antarctica. Due to the high-density measurements of ICESat-2, 13 months of ICESat-2 data can be used to generate a DEM for Antarctica and the performance is comparable to other DEMs, indicating that the ICESat-2 DEM can be updated annually. This study demonstrates the feasibility and reliability of using one-year ICESat-2 data to derive the Antarctic DEM, provides a reference for the processing scheme of DEM (e.g., in higher resolution, regularly updated) based on ICESat-2 in future.

5 Data availability

430 The generated ICESat-2 DEM (including the map of uncertainty) can be downloaded from National Tibetan Plateau Data Center, Institute of Tibetan Plateau Research, Chinese Academy of Sciences at https://data.tpdc.ac.cn/en/disallow/9427069c-117e-4ff8-96e0-4b18eb7782cb/ (Shen et al., 2021, DOI: 10.11888/Geogra.tpdc.271448).

6 Conclusions

A new DEM for Antarctica with a posting of 500 m is presented based on the surface height measurements from ICESat-2 435 by using a model fitting method. This DEM has an elevation measurement that accounts for 74% of Antarctica, and the remaining 26% is estimated based on the ordinary kriging method. The accuracy of the ICESat-2 DEM is evaluated by comparing it to the independent airborne data from the OIB mission. Overall, the ICESat-2 DEM shows a median bias of -0.19 m and an RMSD of 10.83 m, and these accuracies are compromises for DEM values from surface fits and interpolation. A median bias of -0.15 m and an RMSD of 9.57 m are found for areas where elevations are derived from ICESat-2

440 measurements, and they increase to -0.41 m and 13.62 m for interpolated elevations. The accuracy decreases when the surface slope or roughness increases; thus, larger biases occur for steep rocks, and flat snow/firn and blue ice areas have smaller elevation differences.

Compared to DEMs derived from satellite altimeters (i.e., the ICESat DEM, ICESat/ERS-1 DEM, Helm CryoSat-2 DEM, and Slater CryoSat-2 DEM), larger differences are found in regions with high slopes, which is due to their resolution

445 difference, while smaller elevation differences compared to the REMA DEM and TanDEM PolarDEM support the reliability of the ICESat-2 DEM. Based on the OIB airborne data and kinematic GNSS transects, the ICESat-2 DEM shows reliable performance and is comparable to other DEMs, which further demonstrates the reliability of the ICESat-2 DEM.

Here thirteen-months of ICESat-2 data are used to generate the Antarctic DEM and the evaluation result shows that the corresponding DEM is reasonable and valid. This means that the ICESat-2 DEM can be provided in a sustainable way, i.e.,

450 this DEM can be updated annually and thus accumulated on an annual base. Additionally, reasonable elevation-change rates can also be obtained when deriving the DEM. The combination of the derived DEMs and elevation-change rates can be further used for the references of fieldwork planning, ice motion tracking, numerical modelling of ice sheet and the mass balance estimation. More importantly, this data can be provided on an annual based, which has large application potential for Antarctic research especially under the warm climate.

455 Author contribution

Xiaoyi Shen and Yubin Fan developed the related algorithm, generated and evaluated the ICESat-2 DEM; Lhakpa Drolma constructed the comparison to previously published DEM products; Chang-Qing Ke supervised this work.

Competing interests

The authors declare that they have no conflict of interest

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