



# A Hydrologic Monitoring Dataset for Food and Water Security Applications in Central Asia

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#### 22 Abstract

23

24 From the Hindu Kush Mountains to the Registan desert, Afghanistan is a diverse landscape where droughts, floods, conflict, and economic market accessibility pose challenges for agricultural 25 26 livelihoods and food security. The ability to remotely monitor environmental conditions is critical to 27 support decision making for humanitarian assistance. The FEWS NET Land Data Assimilation System (FLDAS) global and Central Asia data streams described here combine meteorological 28 29 reanalysis datasets and land surface models to generate routine estimates of snow-covered fraction, 30 snow water equivalent, soil moisture, runoff and other variables representing the water and energy balance. This approach allows us to fill the gap created by the lack of in situ hydrologic data in the 31 region. First, we describe the configuration of the FLDAS and the two resultant data streams: one, 32 33 global, at ~1 month latency, provides monthly average outputs on a 10 km<sup>2</sup> grid from 1982-present. The second data stream, Central Asia, at ~1 day latency, provides daily average outputs on a 1 km<sup>2</sup> 34 35 grid from 2001-present. We describe our verification of these data that are compared to other 36 remotely sensed estimates as well as qualitative field reports. These data and value-added products 37 (e.g., anomalies and interactive time series) are hosted by NASA and USGS data portals for public 38 use. The global data stream with a longer record, is useful for exploring interannual variability, 39 relationships with atmospheric-oceanic teleconnections (e.g., ENSO), trends over time, and monitoring drought. Meanwhile, the higher spatial resolution Central Asia data stream, with lower 40 41 latency, is useful for simulating snow-hydrologic dynamics in complex topography for monitoring 42 snowpack and flood risk.





#### 43 1 Introduction

#### 44 **1.1 Central Asia Weather and Climate**



#### Afghanistan Historical Average Precipitation

45

Figure 1a. Mean annual precipitation in Afghanistan from 1991-2020, overlayed on province

47 boundaries. Map (USGS Knowelge Base, 2021) with data from Funk et al. (2015).









#### 48

- boundaries. Map (USGS Knowelge Base, 2021) with data from Verdin et al. (2020). 50
- 51

52 Central Asia, a region that includes Afghanistan, is water-scarce receiving roughly 75% of its

- 53 annual precipitation during November-April (Oki and Kanae, S., 2006). In Afghanistan, rainfall is
- 54 highest in the northeast Hindu Kush Mountains and decreases toward the arid southwest Registan
- 55 Desert (Fig. 1a). Temperature follows a similar pattern with cooler temperatures in the high
- 56 elevation and wetter northeast and warmer temperature in the south, and southwest (Fig. 1b).
- Regional precipitation is strongly influenced by the El Niño-Southern Oscillation (ENSO). La Niña 57 58 condition are associated with below average precipitation (FEWS NET, 2020b) and El Niño
- 59 conditions associated with above average precipitation (Barlow et al., 2016; Hoell et al., 2017; Rana et al., 2018; Hoell et al., 2018, 2020; FEWS NET, 2020a). Other dynamical factors with an 60
- important influence on precipitation include orography, storm tracks, and the Madden-Julian
- 61 62
- oscillation (MJO) (Barlow et al., 2005; Nazemosadat and Ghaedamini, 2010; Hoell et al., 2018).
- The last several years have experienced a number of ENSO events, with recent La Niña events in 63

Figure 1b. Average maximum monthly temperature from (1986-2015), overlayed on province 49





64 2016-17, 2017-18, and 2020-2021 (NOAA CPC ENSO Cold & Warm Episodes by Season, 2021)
65 that corresponded to droughts (FEWS NET, 2017b, 2018c, 2021).

66

67 Despite Afghanistan's semi-arid climate, agriculture is an important sector, contributing 23% of the

68 gross domestic product and employing 44% of the national labor force (CIA World Factbook). High

69 mountain snowpack and snowmelt runoff are important for agricultural water supply, and according

to the Famine Early Warning Systems Network (FEWS NET, 2018b) is responsible for "providing

over 80% of irrigation water used. The timing and duration of the snowmelt is a key factor in determining the volume of irrigation water and the length of time that it is available, as well as its

determining the volume of irrigation water and the length of time that it is available, as well as its availability for use in marginal areas that experience [variable] rainfall." Therefore, routine

74 hydrologic monitoring, with a particular emphasis on snow, is critical for tracking agricultural

74 inversion of the monitoring, with a particular emphasis on show, is crucal for tracking agri 75 conditions and provides early warning for food insecurity.

## 76 **1.2 Precipitation Data Availability in Afghanistan**

77 Sparse in-situ precipitation observations lead to uncertainty in gridded and satellite-based

78 precipitation estimates which are important for environmental monitoring and driving hydrologic

79 models. Precipitation station observations are used for (a) bias correction of satellite estimates and

80 (b) validation of gridded products. In terms of gridded dataset development, Hoell et al. (2015)

81 describe lack of station observations in Afghanistan, Iraq and Pakistan and how complex

82 topography in these locations makes this issue particularly problematic. Barlow et al. (2016) also

83 highlight the station availability across the region and how that influences uncertainties in the

84 Global Precipitation Climatology Center (GPCC) version 6 dataset over Central Asia (Fig. 2a) and

85 specifically Afghanistan over time (Fig. 2b). Related to validation, Yoon et al. (2019) highlight that

the representativeness of the sparse in-situ data is a serious limitation in their evaluation of

87 precipitation over High Mountain Asia.







89

90



period, on the 0.5°-resolution grid over Central Asia. b) Number of Stations used as input to GPCC
 rainfall dataset in Afghanistan.



- 96 qualitatively identify large scale spatial distribution of precipitation, seasonal cycle and interannual
- 97 variability (i.e., wet and dry years) across Central Asia. Long term estimates of rainfall from satellite
- 98 derived products, as well as derived historic time series from hydrologic modelling, can be used as a
- 99 baseline of "observations," from which we can have a sense of relative conditions, i.e., anomalies





100 and variability. When this historical record is harmonized with a routine monitoring system, current 101 conditions can be placed in historical context. Anomaly-based representation of hydrologic

- 102 extremes can provide confidence in modeled estimates that have the potential to influence
- 103 agricultural, water resources and food security outcomes.
- 104

105 In addition to reliance on the representation of relatively wet and dry conditions, a "convergence of

106 evidence" approach that draws on (quasi-)independent sources of information is useful to

understand actual conditions. For convergence of Earth observations, hydrologic models can
 generate ensembles of historic, current or future estimates of snow, streamflow, soil moisture, and

- evapotranspiration which can then be compared to satellite derived estimates of surface water (e.g.
- 110 McNally et al., 2019), soil moisture (e.g. McNally et al., 2016), vegetation conditions and
- evapotranspiration (e.g. Pervez et al., 2021; Jung et al., 2019), snow cover (e.g. Arsenault et al.,
- 2014), in situ stream flow (e.g. Jung et al., 2017) and others. Hydrologic estimates can also be
- 112 compared to outcomes in crop production e.g. (McNally et al., 2015; Davenport et al., 2019; Shukla
- et al., 2020), and nutrition, health, and food security (e.g. Grace and Davenport, 2021) to provide a

qualitative understanding of both hydrologic model performance and conditions on the ground. In

- this paper we provide an example of 2018 where drought conditions were associated with crisis
- 117 levels of acute food insecurity over most of Afghanistan (FEWS NET, 2018c).
- 118

119 This paper describes the FLDAS hydrologic modeling system's global and Central Asia data 120 streams, which are designed for food and water security applications. These data streams provide a 121 long historic record for contextualizing estimates, as well as low latency data for timely decision 122 support. These data streams can also support research and monitoring by the broader food and water security community. The purpose of this data descriptor is four-fold: (1) describe the development 123 of the moderate resolution, low latency FLDAS system to inform hydrologic monitoring for Central 124 125 Asia, specifically Afghanistan, (2) increase awareness of these data resources which are intended to 126 be a public good, (3) demonstrate how our methods inform critical investigations that ultimately 127 improve general understanding of water resources in this important region of the world, and (4) 128 advocate for a convergence of evidence approach to hydrologic monitoring in locations where all 129 sources of information contain some level of uncertainty. An outline of this data descriptor is as 130 follows. First, in the Methods (section 2) we describe the hydrologic modeling system, parameters 131 and meteorological inputs and model outputs. In the Results (section 3) we report comparisons to other precipitation estimates, as well as comparisons of modeled snow estimates to remotely sensed 132 133 snow observations and find generally good agreement. Finally, we describe an application (section

134 4) of these data to the Afghanistan drought of 2018.





#### 135 2 Methods



136

Figure 3. The FEWS NET Land Data Assimilation System (FLDAS) domains for (A) the global data stream at 10 km<sup>2</sup> spatial resolution, and ~1 month latency for monthly averaged hydrologic

139 estimates and (B) the Central Asia data stream at 1 km<sup>2</sup> spatial resolution and ~1 day latency for

140 daily averaged hydrologic estimates. Imagery 2021 TerraMetrics, Map data © Google.

#### 141 **2.1 Land Surface Modeling System & Parameters**

142 Land surface models (LSMs) can provide spatially and temporally continuous information about the

143 water and energy budgets of the land surface. This information is useful for food and water security

- applications in places where in situ measurements of rainfall, soil moisture, snow and runoff are
- sparse. This is particularly relevant in mountainous places like Afghanistan where heterogeneous
- 146 geography limits the representativeness of sparse in situ measurements. We use NASA's Land
- 147 Information System Framework (LISF), which is comprised of a pre-processor, the Land Data
- 148 Toolkit (Arsenault et al., 2018), the Land Information System (Kumar et al., 2006; Peters-Lidard et
- al., 2007), and the Land Verification Toolkit (Kumar et al., 2012). To support the needs of FEWS
- 150 NET we have developed a custom instance of the NASA LISF the FEWS NET Land Data
- Assimilation System (FLDAS) (McNally et al., 2017). In this data descriptor we describe the two configurations of the FLDAS data streams used for Central Asia food and water security
- applications. It uses the Noah 3.6 Land Surface Model (Chen et al., 1996; Ek et al., 2003) and has
- two data streams. One, global, at  $\sim 1$  month latency, provides monthly average outputs on a 10 km<sup>2</sup>
- 155 grid from 1982-present. The second data stream, Central Asia, ~1 day latency, provides daily





- 156 average outputs at 1 km<sup>2</sup> from 2001-present. While the two data stream specifications are largely the
- 157 same, there are some differences related to the input parameters and specifications (Table 1) and 158 model spin-up procedure.
- 159
- 160 Table 1. FEWS NET Land Data Assimilation System (FLDAS) specifications for (A) global data
- 161 stream, 10 km<sup>2</sup>, monthly with CHIRPS+MERRA-2. (B) Central Asia data stream 1 km<sup>2</sup> daily with 162 GDAS.

	Global	Central Asia
Spatial Extent	179.95°W- 179.95°E, 59.95°S- 89.95°N	30-100°E, 21-56°N
Landmask	Land Data Toolkit (LDT) generated from MODIS (Arsenault et al. 2018)	MOD44w (Carroll et al., 2017)
Landcover	IGBP landcover	IGBP landcover
Parameters	FAO Soils Reynolds et al (2000)	FAO Soils
Elevation	SRTM (NASA JPL, 2013)	SRTM
Albedo	NCEP albedo (Csiszar, I., and Gutman 1999)	NCEP albedo
Albedo	Native Max Snow Albedo; Barlage (2005)	Native Max Snow Albedo
Vegetation Parameters	NCEP greenness fraction (Gutman and Ignatov 1997)	NCEP greenness fraction
Non-Precipitation Meteorological Inputs	MERRA-2 meteorological variables	GDAS meteorological variables
Soil Texture	FAO STATSGO soil texture	FAO STATSGO soil texture
Precipitation Inputs	CHIRPS daily precipitation, downscaled to 3-hourly with LDT	GDAS 3-hourly precipitation
Specifications	Noah 3.6.1	Noah 3.6.1
Map Projection	Geographic Latitude-Longitude	Geographic Latitude- Longitude
Software Version	7.2	7.3
Spatial Resolution	0.1 degree	0.01 degree
Temporal Coverage	1982-01-01 to present	2001-10-01 to present
Model Timestep	30-min timestep	15-min timestep





Met. Forcing Heights	2m Air Temperature (Tair), 10m Wind	2m Tair, 10m Wind
Soil layers (meters)	0-0.1; 0.1-0.4; 0.4-1.0; 1-2	0-0.1; 0.1-0.4; 0.4-1.0; 1-2
Features	radiation correction	radiation correction

163

164 The parameters and specifications listed in Table 1 are largely default settings defined by the Noah

165 LSM community (NCAR Research Applications Library, 2021). One important feature, added by the NASA LISF software development team, is the radiation correction described in Kumar et al. 166

(2013), which improves the representation of snow dynamics with respect to slope and aspect

167

corrections on the downward solar radiation field. The precipitation and other meteorological 168

169 forcing variables, the period of record, and the spatial resolution were all determined to best meet

170 the target end-users' needs (i.e. FEWS NET) for routine agricultural and hydrologic monitoring.

171

172 Another noteworthy feature is the method of the Central Asia data stream restart (i.e., annual

- 173 initialization based on climatology), which was developed to address an issue of excessive inter-
- 174 annual snow accumulation found in the Noah LSM. First, a nine-year spin-up of the system was

175 performed to produce stable snow and soil moisture conditions. Next, the resulting model states 176 were compared with the Moderate Resolution Imaging Spectroradiometer (MODIS) Maximum

177 Snow Extent data originally computed by NOAA National Operational Hydrologic Remote Sensing

Center (Personal Communication Greg Fall, 2014). Then, the model estimated conditions were 178

179 adjusted to produce a climatological model state for 1 October that is used to initialize each year.

180 This approach ensures that the 'water year', beginning 1 October, is initialized with a reasonable

181 amount of snowpack. While this method does effectively manage excessive inter-annual snow

182 accumulation, the user should be aware that using the climatological model state will persist for ~1-

183 2 months in the water and energy balance of the LSM until they are superseded by "observed" 184 meteorological inputs for the current water year. Preliminary work indicates that this issue will be

185 resolved in future updates. In contrast, the global data stream does not employ this 1 October

186 initialization procedure.

#### 187 **2.2 Meteorological Forcing Inputs**

Precipitation is the most important input to the FLDAS products. The lower-latency Central Asia 188

189 data stream is a daily product, forced with NOAA's Global Data Assimilation System (GDAS)

190 (Derber et al., 1991) 3-hourly precipitation, which is available from 2001-present at <1-day latency.

Meanwhile, the global data stream is driven by the daily CHIRPS precipitation product, which is 191

available from 1981 present at ~ 5-day latency for CHIRPS Preliminary and ~1.5-month latency for 192

193 CHIRPS Final. As mentioned earlier, lack of rainfall stations for bias correction of satellite-derived

194 estimates and evaluation poses a major challenge. However, we find that the GDAS rainfall product

195 and the CHIRPS rainfall product are adequate for routine monitoring and, along with additional

196 sources of remote sensed information, important for convergence of evidence when making a best

197 guess at land surface states and fluxes.





198

- 199 Before the daily CHIRPS rainfall can be used as input to the FLDAS models, the daily precipitation
- 200 must be pre-processed to a sub-daily timestep, using the LDT component of the LIS software. LDT
- temporally disaggregates the daily CHIRPS rainfall, using an approach similar to the North
   American LDAS precipitation downscaling method (Cosgrove et al., 2003). For this approach, we
- 203 use a finer timescale MERRA-2 precipitation as a reference dataset to represents an accurate diurnal
- 204 cycle. Coarser scale meteorological forcings are spatially disaggregated to the output resolution
- 205 (0.01, and 0.1 degree for Central Asia and global, respectively) in the LIS using bilinear
- 206 interpolation.
- 207
- 208 The FLDAS models require additional meteorological inputs, including air temperature, humidity,
- 209 radiation, and wind. The lower-latency Central Asia data stream uses GDAS 3-hourly
- 210 meteorological inputs available from 2001-present at <1-day latency. For a longer historical record,
- 211 the global data stream of FLDAS uses NASA's Modern Era Reanalysis for Research and
- 212 Applications version 2 (MERRA-2) (Gelaro et al., 2017) (1979-present) 1-hourly products with a
- two-week latency.

### 214 2.3 Model Evaluation Statistics and Comparison Data

215 To assess the quality of our modeling outputs, we conduct comparisons between (1) FLDAS

satellite rainfall inputs and other satellite precipitation estimates, and (2) model estimated snow cover fraction and satellite derived snow cover fraction estimates.

218

219 For the precipitation analysis, we compare CHIRPS and GDAS inputs to the Integrated Multi-

- satellite Retrievals for the Global Precipitation Mission (IMERG), a NASA precipitation product
- that integrates passive microwave and infrared satellite data with surface station observations
- 222 (Huffman et al., 2020). The IMERG Final Run precipitation product, available at ~ 2-month latency
- (thus not suitable for our monitoring applications) has been used in numerous verification studies,
- including studies over Africa (Dezfuli et al., 2017), South America (Gadelha et al., 2019; Manz et al., 2017) and the unit of Atlantic maximum of the Unit of States (Target et al., 2010). These stability
- al., 2017) and the mid-Atlantic region of the United States (Tan et al., 2016). These studies
   demonstrated that IMERG Final was able to capture large spatial patterns and seasonal and
- interannual patterns of rainfall. However, fewer studies have explored the performance of the lower
- latency IMERG Late Runs (DOI: 10.5067/GPM/IMERGDL/DAY/06) product that we use here.
- Kirshbaum et al. (2016) include a qualitative comparison for CHIRPS Final and IMERG Late for
- the Southern Africa start-of-season 2015. IMERG Late appears to perform similarly to the 1.5-
- month latency CHIRPS Final and outperform the 1-day latency NOAA Rainfall Estimate version 2
- 232 (RFE2) product (Xie and Arkin, 1996). Differences in the daily rainfall distribution patterns
- between IMERG Final and CHIRPS Final have also been shown to impact the resulting
- hydrological modeled output in simulations done using the NASA LIS framework (Sarmiento et al.,
- 235 2021).
- 236





237 For the Snow Cover Fraction (SCF) analysis, we compare the global and Central Asia data streams 238 with the MODIS daily SCF product, MOD10A1 Collection 6 (Hall and Riggs, 2016). MOD10A1 239 data is available at 500 m spatial resolution from February 2000 to the present. SCF is generated using the Normalized Difference Snow Index (NDSI) and additional filters to reduce error and flag 240 241 uncertainty. Routine qualitative comparisons, which can be viewed on the NASA LIS FEWS NET 242 project website generally show agreement between the model and MODIS SCF, as well as 243 occurrence of cloud cover (https://ldas.gsfc.nasa.gov/fldas/models/central-asia). Following 244 Arsenault et al. (2014) we aggregated pixels to 0.01 degree to reduce error related to sensor viewing angles and gridding artifacts. For this analysis, using MODIS SCF as "truth", we determined True 245 Positives (TP), True Negatives (TN), False Negatives (FN) and False Positives (FP). We then 246 247 computed probability of detection (POD) where POD = (TP/(TP + FN)) and False Alarm Rate 248 (FAR) where FAR = (FP/(FP + TN)). We computed these for the total area of Afghanistan, as well 249 as by basin (Fig. 3 a & b). This paper does not compare modeled snow water equivalent (SWE) to 250 independent snow observations because, as noted by Yoon et al. (2019), direct evaluation of snow 251 mass and snow water equivalent (SWE) is difficult over Central Asia due to poor coverage of 252 accurate snow observations. We follow the Yoon et al. (2019) recommendation to conduct 253 quantitative SCF comparisons and provide qualitative SWE analysis in Applications, Section 4.

#### 254 3 Results

#### 255 **3.1 Gridded Rainfall Comparison**

For Central Asia applications we have two data streams with different precipitation inputs: the 256 257 global data stream with CHIRPS precipitation at 10 km<sup>2</sup> spatial resolution provides a long consistent 258 data record, and the Central Asia data stream with GDAS precipitation at 1 km<sup>2</sup> provides near real 259 time, finer spatial resolution updates. These two data streams have their respective errors and allow 260 data users to apply a convergence of evidence approach for food and water security applications. In 261 this section we present a comparison of these precipitation inputs. We also include IMERG Late for 262 comparison as a high quality, low latency product. Future work will incorporate the IMERG precipitation inputs into FLDAS simulations. We also include MERRA-2 precipitation for 263 264 comparison. Pair-wise correlation are shown in Table 2. CHIRPS Final, IMERG Late and GDAS (R 265  $\geq$  0.90) are well correlated in terms of average daily precipitation (mm/day) at the monthly and 266 annual (i.e., water year) timestep. MERRA-2 correlations with these datasets are lower at the 267 monthly timestep ( $0.75 \le R \le 0.81$ ) and annual timestep ( $0.64 \le R \le 0.69$ ). Fig. 4 shows the time series of the precipitation products for their overlapping period of record (2001-2020), which 268 269 illustrates how they co-vary and some general patterns in terms of relative mm/day: GDAS (red) and 270 IMERG Late (dashed-black) tend to have the highest average precipitation per day, CHIRPS (blue) 271 has lower mm/day, but higher than MERRA-2 (dashed green) which tends to have the lowest 272 average precipitation per day, until 2019 when it is notably higher than the other products.







273 274

Figure 4. Afghanistan country-wide average, annual average mm/day time series for CHIRPS,

GDAS, IMERG Late, and MERRA-2. At the annual time step, Spearman rank correlations range from 0.64 (GDAS vs. MERRA-2) to 0.92 (GDAS vs. CHIRPS).

278

Table 2. Afghanistan spatial average Spearman Rank Correlation of monthly (annual) precipitation
 2001-2020

	GDAS	CHIRPS Final	IMERG Late
GDAS	Х	-	-
CHIRPS Final	0.91 (0.92)	Х	-
IMERG Late	0.91 (0.89)	0.92 (0.90)	Х
MERRA-2	0.75 (0.64)	0.78 (0.68)	0.81(0.69)

281

#### 282 **3.2 Remotely Sensed and Modeled Snow comparisons**

283 The estimation of snow is important for Afghanistan and Central Asia because it is an important

284 contributor to water resources and irrigated agriculture. Here, we compare mean SCF (Fig. 5a),

285 POD, and FAR statistics (Fig. 5b) relative to MODIS SCF over eight hydrologic basins in

286 Afghanistan.







288 289

Figure 5a. Mean snow cover fraction for the entire area and by basin for MODIS Snow Cover

290 Fraction (SCF), Central Asia and global data streams.







291

294

Figure 5b. Probability of Detection (POD) of snow presence, and False Alarm Rate (FAR) for the Central Asia (CA) and global data streams relative to the MODIS SCF.

295 Overall, both model runs estimate greater mean SCF than the MODIS SCF product. The Central 296 Asia (CA) data stream has consistently higher mean snow cover for all basins compared to MODIS 297 SCF estimates and the global data stream. Perhaps not surprisingly then it performs consistently 298 better in POD (by basin =  $\sim 80\%$ ) except for the Western [Helmand] Basin. Similarly, the FAR of 299 the CA is higher where POD is higher except for the Northern Basin. The difference in statistics 300 may be related to the different inputs forcing or the higher spatial resolution of the Central Asia data 301 stream. Kumar et al. (2013) note that higher spatial resolution was important for snow dominated 302 basins. We also note the likely importance of the MERRA-2 and GDAS temperature forcing 303 between the global data stream and the Central Asia data stream, respectively. The panels in Fig. 6 304 provide additional insight into the differences between MODIS SCF and the two FLDAS runs for 305 water year 2020. The green line (Central Asia) is consistently higher than the red, MODIS SCF 306 estimates, and the blue, global data stream estimates. Both the models estimate higher SCF during

307 peak coverage in the Upper Kabul and Kunar basins. The time series plots also illustrate





308 discontinuities in the MODIS SCF time series, likely related to cloud cover, which reduced the 309 sample size for the remotely sensed vs model comparisons.



310

Figure 6. Basin-averaged SCF for Water Year 2020 as estimated by global and Central Asia (CA) data streams, and MODIS SCF. Time series show generally a similar pattern with the CA typically having higher SCF values. These plots also demonstrate discontinuities in the MODIS SCF data that reduce the quality of quantitative comparisons but provide qualitative confirmation of adequate

315 model performance.

#### 316 **3.3 Discussion of results compared to previous studies**

317 Despite the lack of ground-based observations, our analysis shows that the remotely sensed

- 318 estimates and the models have good correspondence with other sources of evidence in terms of
- 319 seasonal timing and performance. This provides analysts with confidence when using the FLDAS
- 320 snow estimates, in tandem with other sources, as an input to food security analysis. Our approach is
- 321 supported by other studies that have explored the challenges of evaluating hydrologic estimates over
- the region (Yoon et al., 2019; Ghatak et al., 2018; Immerzeel et al., 2015; Qamer et al., 2019). With
- a study domain shifted to the east, Yoon et al. (2019) evaluate rainfall and near surface temperature
- 324 estimates over the High Mountain Asia domain, including most of Afghanistan. They review how





- these results compare to other studies (e.g. precipitation trends (Nguyen et al., 2018; Rodell et al.,
- 2018)), and their results suggest that the uncertainty in the meteorologic forcing is the dominant
- factor in the terrestrial water budget estimates. This is consistent with our results showing
   differences between the GDAS and CHIRPS+MERRA-2 driven outputs. Also consistent with our
- results, Yoon et al. (2019) show that their LSM ensembles of SCF have an average POD of 72% and
- FAR of 36%, which is within the range of our POD and FAR statistics (60-80% POD; 20-40%
- FAR) compared to MODIS SCF. Without a clear "winner" in their multi-model and multi-forcing
- experiments, Yoon et al. conclude that improvements in the meteorological boundary conditions
- 333 would be needed to reduce the uncertainty in the terrestrial budget estimates. These sentiments are
- echoed in Qamer et al. (2019).
- 335
- 336 One recent attempt to improve meteorological inputs in the region is from Ma et al. (2020) with the
- 337 development of the AIMERG dataset that combines IMERG Final with APHRODITE (Asian
- 338 Precipitation Highly-Resolved Observational Data Integration Toward Evaluation) rain-gauge

derived product (Yatagai et al., 2012). Ultimately, it would be beneficial to have a global modeling

340 system that used the best available inputs from each region. In the meantime, multi-forcing and

- 341 multi-model ensembles, and convergence of evidence with other remotely sensed data and field
- 342 reports, are a viable approach for providing hydrologic estimates for various applications.

## 343 **3.4 Summary of differences between the model runs**

- 344 Given the need for multiple data streams for convergence of evidence, we have summarized the pros 345 and cons of the Central Asia and global data streams in Table 3.
- 346
- 347 Table 3. Pros and cons of the two data streams

	Central Asia: Noah 3.6 with GDAS (2000-present)	Global: Noah 3.6 with CHIRPS+MERRA-2 (1982-present)
PROS	1 km <sup>2</sup>	less computationally intensive
	1 day latency, daily timestep	longer time record
	Snow estimates available in USGS Early Warning eXplorer	CHIRPS & MERRA-2 forcing spatial resolution does not change over time (stable climatology)
		Water and Energy balance available in NASA GIOVANNI, Google Earth Engine, Climate Engine
CONS	more computationally intensive	lower resolution (10 km <sup>2</sup> )





shorter time record	~30-day latency
GDAS forcing resolution changes over time (unstable climatology) NOAA NCEP https://www.emc.ncep.noaa.gov/g mb/STATS/html/model_changes.h tml)	not publicly available at daily timestep
large data volume, difficult to move	

#### 348 4 Applications

- 349 These data from global and Central Asia data streams are routinely used in several FEWS NET
- 350 information products listed in Table 4. There is a weekly briefing from NOAA's Climate Prediction
- 351 Center (CPC) International Desks on the past week's weather conditions and 1–2-week forecasts
- 352 for FEW NET regions of interest, including Central Asia. There is also a monthly FEWS NET
- 353 Seasonal Monitor and a monthly Seasonal Forecast Review for which these data provide
- information on the current state of the snowpack, soil moisture and runoff. These "observed
- 355 conditions" can then be qualitatively combined with forecasts ranging from 1 week to 3 months to
- assess potential hydro-meteorological hazards. To demonstrate the role of these data in the early
- warning process, at different points in the season, we provide an example of the 2017-2018 wet
   season in Afghanistan during a La Niña event.
- 359

#### 360 Table 4. Routine Applications of FLDAS Central Asia's Afghanistan hydrologic data.

Routine application of these data	Weblink to updates	Notes
FEWS NET Global Weather Hazards Summary produced by NOAA CPC	https://fews.net/global/global-weather-hazards/ https://www.cpc.ncep.noaa.gov/products/internatio nal/index.shtml	shapefiles https://ftp.cpc.ncep.noaa.gov/ fews/weather_hazards/
USGS Seasonal Monitor	https://earlywarning.usgs.gov/fews/search/Asia/Ce ntral%20Asia/Afghanistan Archives: https://fews.net/sectors- topics/sectors/agroclimatology	Updated monthly from October - May, during the precipitation season.





FEWS NET Food Security Outlook Brief	https://fews.net/central-asia/afghanistan	Information on snow or other hydrology included if applicable
Crop Monitor for Early Warning	https://cropmonitor.org/index.php/cmreports/early warning-report/	Information on early warning and crop conditions

361

#### 362 4.1 Snow monitoring & Seasonal Outlooks

- 363 As previously mentioned, and as shown in Fig. 7, Afghanistan and the broader region is strongly
- influenced by La Niña, which tends to increase the likelihood of dry events (Barlow et al., 2016;
- 365 FEWS NET, 2020b). Depending on other factors, this may also increase the probability of negative
- 366 snowpack anomalies, reduce springtime streamflow, and flood risk, and reduce summer irrigation
- 367 availability and potentially crop yields.



- Figure 7. Timing of wet and dry conditions related to La Nina. Increased likelihood of dry conditions from Nov-May for Afghanistan during La Niña events.
- 371
- A La Niña Watch was issued by NOAA in September 2017 (NOAA, 2017). The FEWS NET
- 373 October 2017 Food Security Outlook (FEWS NET, 2017a) stated that La Niña conditions were
- 374 expected throughout the northern hemisphere fall and winter and that below-average precipitation
- 375 was likely over much of Central Asia, including Afghanistan, during the 2017-2018 wet season.
- 376 With the expectation of below average rainfall coupled with above average temperature forecasts,
- 377 FEWS NET anticipated that snowpack would most likely be below average. In the context of food





security outcomes, it was assumed that areas planted with winter wheat were likely to be lower than 378 379 usual, reducing land preparation activities and associated demand for labor. Two provinces of 380 particular concern were Daykundi and Wardak (Fig. 8a brown borders), both located in the Helmand River Basin (Fig. 8a; grey shading). Precipitation deficits in these provinces would lead to 381 382 poor rangeland resources and pasture availability and would likely result in decreased livestock 383 productivity and milk production through May. However, given that October was the very start of the wet season, there remained a large spread of possible outcomes: spatial and temporal rainfall 384 385 distributions, and snowpack totals necessitating routine updates to assumptions.

386 387 Monitoring continued onward in the season from October, tracking observations from remote sensing, models, and field reports as well as weather, sub-seasonal and seasonal forecasts. This 388 389 information was used to regularly update expectations of end of season outcomes. Using the FLDAS Central Asia data stream, a December 21, 2017, NOAA CPC Weather Hazards Brief reported that 390 391 parts of northern and central Afghanistan remained atypically snow free, and north-eastern high 392 elevation areas exhibited snow water equivalent (SWE) deficits. SWE is a commonly used 393 measurement of the amount of liquid water contained within the snowpack, and an indicator of the 394 amount of water that will be released from the snowpack when it melts. By January 17, 2018, an 395 abnormal dryness polygon was placed over northeast Afghanistan, the central highlands of 396 Afghanistan based on below average snow water equivalent values from the FLDAS Central Asia 397 estimates. Abnormal dryness is defined for an area that has registered cumulative 4-week 398 precipitation and soil moisture ranking less than the 30th percentile, with a Standardized 399 Precipitation Index (SPI) of 0.4 standard deviation below the mean. In addition, it is required that 400 forecasts indicate below-average precipitation (less than 80% of normal) for that area during the 1-401 week outlook period. By late February 2018, precipitation deficits and related SWE (Fig. 9) increased and met the criteria for "drought" (Fig. 8b). Drought is defined as an area that has 402 403 previously been defined as "Abnormal Dryness" and has continued to register seasonal precipitation 404 and soil moisture deficits since the beginning of the rainfall season. Specifically, an eight-week 405 cumulative precipitation, soil moisture, and runoff below the 20th percentile rank, and an SPI of 0.8 406 standard deviation below the mean are classification guidelines.







408

- 409 Figure 8. (a) Map showing hydrological basins, with Helmand Basin in darker grey and location of
- 410 Daykundi and Wardak provinces where food security conditions were of particular concern (b)
- 411 NOAA CPC Afghanistan Hazard Report February 22-28, 2018 (CPC NOAA, 2018), map showing
- 412 widespread abnormal dryness and drought, defined by 90-day precipitation deficits and extremely
- 413 low snow water equivalent.



414

Figure 9. FLDAS Central Asia snow water equivalent (SWE) estimates for February 22, 2018.
SWE deficits of >300 mm were widespread at this time.

417

418 The February 2018 Food Security Outlook (FEWS NET, 2018b) provided the following updates, 419 based on the CPC Hazards Reports and Seasonal Monitors: "Snow accumulation and cumulative 420 precipitation were well below average for the season through February 2018, with some basins at or near record low snowpack, with data since 2002....These factors will likely have an adverse impact 421 422 on staple production in marginal irrigated areas and in many rainfed areas. [Moreover, with] 423 forecasts for above-average temperatures during the spring and summer, rangeland conditions are expected to be poor during the period of analysis through September 2018. This could have an 424 425 adverse impact on pastoralists and agro-pastoralists, particularly in areas where livestock

426 movements are limited by conflict." The Crop Monitor for Early Warning February and March 2018





reports (GEOGLAM, 2018a, b) also cited reduced snowpack in Afghanistan and the negative
impacts on winter wheat crops as well as irrigation water availability in the Spring. The story was
also highlighted in NASA Earth Observatory March 2018 "Record Low Snowpack in Afghanistan"

- 430 (Record Low Snowpack in Afghanistan, 2018).
- 431

The USGS's Early Warning eXplorer (Shukla et al., 2021) allows analysts to look at maps and time

series for a variety of variables and specific provinces and river basins. Plots from EWX in Fig. 10
show below average precipitation in provinces in the Helmand Basin for January and February.

435 CHIRPS cumulative rainfall for 2017-18 vs the 18-year average for Day Kundi (a.k.a. Daykundi)

- 436 Province showed near average conditions until December. From January cumulative rainfall
- 437 remained below the 2000-2018 average throughout the rest of the season ending in May; the same
- 437 remained below the 2000-2016 average inroughout the rest of the season ending in May; the same
   438 pattern occurred in nearby Uruzgan Province. In neighboring Maydan Wardak (a.k.a Wardak)
- 439 Province below average conditions were experienced in January and February but recovered in
- 440 March to remain slightly above average. Day Kundi (Fig. 10a) and Wardak (Fig. 10b) are provinces
- 441 located in the upper reaches of the Helmand Basin. Fig. 10d shows SWE averaged across the entire
- 442 Helmand basin. The grey shading indicates the range of the minimum and maximum values, and the
- 443 dashed blue line is the average. Initial snow conditions start above average until December when
- 444 SWE deficits are near record low values through the beginning of February, and then persist at
- 445 below-average levels.446



Figure 10. CHIRPS cumulative rainfall for 2017-18 vs average conditions for (a) Daykundi

449 Province (b) Maydan Wardak Province. (c) Map showing location of Daykundi and Wardak
 450 provinces, and the Helmand Basin where food security conditions were of particular concern. (d)



- Helmand Basin snow water equivalent (SWE) from the Central Asia data stream. The grey shading
  indicates the range of the minimum and maximum values, dashed blue line is the average, and black
  line is 2017-18.
- 454
- 455 By the end of season in April 2018, FEWS NET (2018c) concluded that "below-average
- 456 precipitation throughout most of the country during the October 2017 May 2018 wet season has
- 457 led to very low snowpack ...Low irrigation water availability is likely to have an adverse impact on
- 458 yields for winter wheat and other ...barley, maize, and others.. particularly in downstream areas in 459 regions with limited rainfall. ...The poor performance of the wet season and above average
- 460 temperatures... exacerbated dry rangeland conditions in many areas, particularly in ...Sari Pul, [and
- 461 surrounding] ...provinces. Pastoralists and agropastoralists in these areas will likely attempt to
- 462 migrate to areas with better pasture and water availability or sell livestock at below-average prices."
- 463 At the same time UNICEF reported in April 2018 (500,000 children affected by drought in
- 464 Afghanistan UNICEF, 2018) that among "the [drought] affected provinces, Baghis, Bamyan,
- 465 Daykundi, Ghor, Helmand, ... and Uruzgan are of critical priority for nutrition and water, sanitation
- 466 and hygiene assistance".
- 467
- 468 Several months after a season and harvest has ended more statistics become available for further
- 469 verification of the drought outcomes. The FEWS NET October 2018 Food Security Outlook (2018a)
- 470 reported that the 2017/18 drought had significant negative impacts on rainfed wheat production and
- 471 livestock pasture and body conditions across the country. Reporting statistics from the Afghanistan
- 472 Ministry of Agriculture, Irrigation, and Livestock, the total wheat production for the 2017/18
- agriculture season was about 20% below average, where irrigated agriculture performed about
- 474 average. However, rainfed agriculture production was only about 50% of average, most severely
- impacting households in in Badakhshan, Badhis, and Daykundi provinces where dry conditions,
- 476 insecurity, poor incomes, and depleted assets were expected to continue to face emergency food
- 477 insecurity though May 2019 characterized by large food consumption gaps reflected in acute
- 478 malnutrition or are employing emergency coping strategies.

## 479 **5. Data Availability**

- 480 The Central Asia data described in this manuscript can be accessed at the NASA GES DISC
- 481 repository under data doi 10.5067/VQ4CD3Y9YC0R. The data citation is the following:
- 482
- 483 Jacob, Jossy and Slinski, Kimberly (NASA/GSFC/HSL) (2021), FLDAS Noah Land Surface Model
- 484 L4 Central Asia Daily 0.01 x 0.01 degree, Greenbelt, MD, USA, Goddard Earth Sciences Data and
- 485 Information Services Center (GES DISC), Accessed: [Data Access Date],
- 486 10.5067/VQ4CD3Y9YC0R
- 487
- The Global data described in this manuscript can be accessed at the NASA GES DISC repository
   under data doi 10.5067/5NHC22T9375G. The data citation is the following:





#### 490

- 491 McNally, Amy. NASA/GSFC/HSL (2018), FLDAS Noah Land Surface Model L4 Global Monthly
- 492 0.1 x 0.1 degree (MERRA-2 and CHIRPS), Greenbelt, MD, USA, Goddard Earth Sciences Data and
- 493 Information Services Center (GES DISC), Accessed: [Data Access Date], 10.5067/5NHC22T9375G
- 494
- 495 Currently the USGS EROS Center provides images from these data:
- 496 <u>https://earlywarning.usgs.gov/fews/search/Asia/Central%20Asia</u>, as well as an interactive data
- 497 viewer, the USGS Early Warning eXplorer (EWX) <u>https://earlywarning.usgs.gov/fews/software-</u>
- 498 <u>tools/1</u> (Shukla et al. 2021).

#### 499 **6. Code availability**

500 The NASA Land Information System Framework (LISF) is publicly available and an open-source

501 software. The software and technical support are available at https://github.com/NASA-LIS/LISF.

#### 502 7. Conclusion

503 This paper describes a comprehensive hydrologic analysis system for food security monitoring in

504 Central Asia, with analysis focusing on Afghanistan. Our intent is to provide the reader with

substantial information regarding the configuration and specification of both the current global and

506 Central Asia data streams. These data are publicly available and available at near-real time for food

security decision support. An important note is that, as an on-going initiative, FLDAS model version

and parameters are routinely updated, and the user should consult the version updates provided by

- 509 the NASA GES DISC data provider and documentation on USGS Early Warning website. For 510 example, efforts are currently underway to upgrade to the Noah with multi parameterizations (Noah-
- 510 Example, enorts are currently underway to upgrade to the Noah with multi parameterizations (Noah 511 MP) (Niu et al., 2011) land surface model, which requires some changes in parameters for snow,
- 512 glaciers and groundwater. This, and future changes will be informed by the strengths and
- 512 graciers and groundwater. This, and future changes will be informed by the strengt 513 weaknesses of the data stream configurations that we have discussed in this paper.
- 514

515 This paper also provides model-model and model-remote sensing comparisons, as well as a review 516 of other research that highlights the challenges of quantitative evaluation of models and remote 517 sensing in this region. A key challenge to hydrologic modeling is the considerable uncertainty in the 518 meteorological forcing, particularly precipitation, available for this region. Advancements in remote 519 sensing and modeling should help reduce these uncertainties. In addition, the current land surface 520 modeling and river routing frameworks reflect natural conditions, i.e., they do not include 521 representation of anthropogenic impacts such as human water abstractions (e.g., dams for flood 522 control or irrigation, water diversions, groundwater pumping, etc.) or land application of abstracted 523 water (i.e., irrigation). These factors impact streamflow (through abstraction and irrigation flows) as 524 well as estimates of soil moisture, evapotranspiration, and sensible heat flux (land surface

- 525 temperatures) in irrigated areas. Therefore, it is important to be aware of the limitations and
- 526 combine with other products (e.g., Normalized Difference Vegetation Index (NDVI) or Actual





527 Evapotranspiration (ETa) in irrigated areas) when exploring water and energy balance. Even with

528 improvements to meteorological forcing and modeling parameterizations, errors will remain.

529 Therefore, the 'convergence of evidence' approach that we advocate for here will continue to be

530 important when assessing hydro-meteorological hazards and associated risks to food and water 531 security. We hope that by making the data publicly available the broader food security and water

resources communities will be able to provide insights that will lead to improvements in our

532 understanding of the water and energy balance that will ultimately lead to improvements to food and

- 534 water security decision support systems.
- 535

### 536 **8. Author contribution**

- 537 JJ runs the code, updates websites and archives routinely. DS maintains LIS code used in paper, JJ,
- 538 KA, DS, SP conducted model evaluation AM, KS, CPL, SK contributed to design of evaluation. JR,
- 539 MB, SP manage the data for USGS distribution. AH, JV provides feedback on data quality and
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