

1 **Improved maps of surface water bodies, large dams, reservoirs, and** 2 **lakes in China**

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18 **Abstract**

19 Data and knowledge of surface water bodies (SWB), including large lakes and reservoirs
20 (surface water areas > 1 km²) are critical for the management and sustainability of water resources.
21 However, the existing global or national dam datasets have large georeferenced coordinate offsets
22 for many reservoirs, and some datasets have not reported reservoirs and lakes separately. In this
23 study, we generated China's surface water bodies, Large Dams, Reservoirs, and Lakes (China-
24 LDRL) dataset by analyzing all available Landsat imagery in 2019 (19,338 images) in Google
25 Earth Engine and very-high spatial resolution imagery in Google Earth Pro. There were $\sim 3.52 \times$
26 10^6 yearlong SWB polygons in China for 2019, only 0.01×10^6 of them (0.43%) were of large size

27 (> 1 km²). The areas of these large SWB polygons accounted for 83.54% of the total 214.92×10^3
28 km² yearlong surface water area (SWA) in China. We identified 2,418 large dams, including 624
29 off-stream dams and 1,794 on-stream dams, 2,194 large reservoirs (16.35×10^3 km²), and 3,051
30 large lakes (73.38×10^3 km²). In general, most of the dams and reservoirs in China were distributed
31 in South China, East China, and Northeast China, whereas most of lakes were located in West
32 China, the Lower Yangtze River Basin, and Northeast China. The provision of the reliable, accurate
33 China-LDRL dataset on large reservoirs/dams and lakes will enhance our understanding of water
34 resources management and water security in China. The China-LDRL dataset is publicly available
35 at <https://doi.org/10.6084/m9.figshare.16964656.v3> (Wang et al., 2022).

36 **1. Introduction**

37 Surface water bodies (SWB), including large lakes and reservoirs (surface water areas > 1
38 km²), play an important role in the control and management of water resources (Yang and Lu, 2014,
39 2013; Feng et al., 2013, 2019). A reservoir is usually defined as artificial lake formed by
40 constructing dams across rivers (on-stream reservoir) (Thornton et al., 1996; Hayes et al., 2017)
41 or partially or completely formed by enclosed waterproof banks with concrete or clay (off-stream
42 reservoir) (Xiang et al., 2019; Thornton et al., 1996) (**Fig. S1**). Nearly 50% of the global large
43 dams were built primarily for agricultural irrigation through storing, regulating, and diverting
44 water (Mulligan et al., 2020). Additionally, they are also used for hydropower generation, human
45 and industrial uses, and flood peak attenuation (Lehner et al., 2011; Lehner and Döll, 2004; Wang
46 et al., 2021a). Large lakes have been the subject of great interest not only because of their water

47 resources but also as indicators of local climate change and anthropogenic activities (Zhang et al.,
48 2019; Ma et al., 2011; Birkett and Mason, 1995), and they could provide vital ecosystem services
49 for human being, such as alteration of river flow, supplies of irrigation water, fisheries, and
50 abundant valuable mineral deposits, and have disproportionate effects on the global carbon cycle
51 (Ran et al., 2021; Armstrong, 2010; Ma et al., 2011). Improved datasets of the numbers, sizes, and
52 spatial distributions of SWB, large dams, reservoirs, and lakes could substantially provide crucial
53 inputs for the studies of water resources, environmental health, aquatic ecosystems, and
54 agricultural sustainability (Lehner and Döll, 2004; Zhu et al., 2020).

55 **Insert Fig. 1 here**

56 China has the largest population, fastest-growing economy, increased expansion of irrigation,
57 limited water resource, dated water infrastructure, and inadequate water governance (Liu and Yang,
58 2012; Wang et al., 2020a; Tao et al., 2020). China encompasses almost 20% of the world's
59 population but contains only 7% of the world's fresh water, and as the result, it has much smaller
60 fresh water resource per capital than do most other countries (Feng et al., 2019; Dalin et al., 2014).
61 Since 1980s, China has taken diverse measures to ensure the long-term water security (Zhou et al.,
62 2020). For example, China has a remarkable increase of reservoir construction across the country
63 (Wang et al., 2021a; Zhu et al., 2020), and the total number of dams increased to ~89,700 by 2008
64 in China (Yang and Lu, 2014). The Three Gorges Reservoir, which is the world's largest
65 hydroelectric dam (Three Gorges Dam), is fully operational for flood control, power generation,
66 navigation, and water use (Wu et al., 2004; Zhang et al., 2012; Wang et al., 2013, 2020a). China

67 also has a large number of lakes with tremendously cultural and economic importance (Ma et al.,
68 2011; Zhang et al., 2019). A previous study reported that there were 2,693 large lakes (area > 1
69 km²) in China during 2005-2006, covering 0.9% of China's land area (Ma et al., 2011). However,
70 due to intensive human activities and climate change over the last three decades, several natural
71 lakes have converted into reservoirs, dramatically accelerating shrinkage of lake areas (Yang and
72 Lu, 2014; Ma et al., 2011). Therefore, the improved datasets on the number, size, and spatial
73 distribution of large reservoirs and lakes in China is needed for assessing the impact of human
74 activities and climate change on SWB, water management, and water security in China (Zhu et al.,
75 2020; Yang and Lu, 2014).

76 Several published global dam and reservoir datasets include information from China (**Table**
77 **1**). The World Register of Dams (WRD), which was organized and released by the International
78 Commission on Large Dams (ICOLD, 2011), is the largest and widely-used dataset (Mulligan et
79 al., 2020; Paredes-Beltran et al., 2021; Wang et al., 2021a). It reports 23,841 dam entries for China,
80 however, a large proportion of those entries are not georeferenced with latitude and longitude
81 information, which limits its wide applications (Wang et al., 2021a). The Global GeOreferenced
82 Database of Dams (GOODD) V1 dataset reported 9,234 georeferenced dams in China (Mulligan
83 et al., 2020), however, the information (e.g. area, volume capacity) of the corresponding reservoirs
84 was not reported. The FAO's (Food and Agriculture Organization of the United Nations) global
85 information system on water resources and agricultural water management (AQUASTAT) lists
86 14,000 dams in the world, in which only part of 722 dams in China were georeferenced, and has

87 not been updated since 2015. The Global Reservoir and Dam database (GRanD), developed by the
 88 Global Water System Project (GWSP), compiled the available reservoir and dam information
 89 globally (Lehner et al., 2011) and has been updated for the year 2019. However, it only lists 922
 90 geolocated dam entries for China. Recently, Wang et al. (2021a) released a global Georeferenced
 91 global dam and reservoir (GeoDAR) dataset with 5,283 georeferenced dams in China, and the
 92 reservoirs had more than 40 attributes acquired from the WRD dataset. In April 2022, the newest
 93 and fully peer-reviewed version of GeoDAR is available, and this newest version had high
 94 accuracy of dams in China (**Fig. S2**). There were also several published dam and reservoir maps
 95 at the national scale (**Table. 1**), but these maps neither included georeferenced dams nor reported
 96 reservoir attributes (e.g. reservoir area).

97 **Table 1. Information on published dam and reservoir datasets for the globe and China.**

Name	Spatial domain	Number of dams in the globe	Number of dams in China	Georeferenced dam?	Reservoir information (area ...)?
WRD	Global	~ 60000	23,841	Either not georeferenced or inaccessible.	Yes, > 40 attributes
GOODD V1	Global	38667	9,231	Yes	No
FAO AQUASTAT	Global	14000	722	Partly georeferenced	Yes, reservoir capacity and area
GRanD	Global	7320	922	Yes	Yes, ~ 50 attributes
GeoDAR	Global	23680	5,283	Yes	Yes, attributes from WRD dataset
CLRM	China	/	89,700	No	Yes, reservoir capacity and area
BFNCW	China	/	98,002	No	No

98 WRD: the World Register of Dams (<https://www.icold-cigb.org>); GOODD: GLObal geOreferenced Database of

99 Dams (Mulligan et al., 2020); FAO AQUASTAT: The Food and Agriculture Organization of the United Nations
100 (FAO) global information system on water resources and agricultural water management
101 (<http://www.fao.org/aquastat/en/databases/dams/>); GRanD: the Global Reservoir and Dam database (Lehner et
102 al., 2011); GeoDAR: Georeferenced global dam and reservoir dataset (Wang et al., 2021a); CLRM: China's
103 Lakes and Reservoirs Map (Yang and Lu, 2014); BFNCW: Bulletin of First National Census for Water from
104 Ministry of Water Resources the People's Republic of China (<http://www.mwr.gov.cn/2013pcgb/index.html>). “/”
105 means these data were published in China, but global dam information is unavailable.

106

107 In addition to the dam and reservoir datasets, several studies have reported the spatial
108 distribution and multi-year dynamics of inland SWB (Tao et al., 2020; Ma et al., 2011; Wang et
109 al., 2020a; Feng et al., 2019) and lakes (Gao, 2015; Gao et al., 2012; Ma et al., 2011; Zhang et al.,
110 2019) in China, however, they did not explicitly explore the spatial distribution of large reservoirs
111 and lakes in China, making it impossible to assess the impact of human activities on these two
112 types of water resources. Thus, to date, the spatial distributions of SWB, large dams, reservoirs,
113 and lakes in China have not been fully investigated and documented, yet.

114 The objective of this study was to produce detailed and accurate maps of open SWB, large
115 dams, reservoirs, and lakes (surface water area $> 1 \text{ km}^2$) in China in 2019, the latest year when this
116 study started in late 2020, and those SWB with area $\leq 1 \text{ km}^2$ were excluded. First, this study used
117 time-series Landsat imagery in 2019 and Google Earth Engine (GEE) cloud computing platform
118 as well as the simple and robust surface water mapping algorithm (Zou et al., 2018, 2017; Zhou et

119 al., 2019b; Wang et al., 2020a) to generate raster maps of SWB in China at 30-m spatial resolution.
120 Second, we converted the raster map of SWB to a vector map of SWB and identified those large
121 SWB with area $> 1 \text{ km}^2$. Third, we combined the vector maps of SWB with the historical satellite
122 images in 2019 within China in Google Earth Pro to identify dams and released China's surface
123 water bodies, large dams, reservoirs, and lakes dataset, namely, China-LDRL. Forth, we analyzed
124 the spatial distribution of SWB, large dams, reservoirs, and lakes in China. Finally, we discussed
125 the reliabilities, uncertainties, limitations, outlooks, and implications of the China-LDRL dataset
126 for the study of water security.

127

128 **2. Materials and Methods**

129 **2.1 Study area**

130 The study area covered all the provincial-level administrative divisions in China (**Fig. 1a**),
131 including 23 provinces, 2 special administrative regions (Hong Kong and Macao), 4 municipalities
132 (Beijing, Tianjin, Shanghai, and Chongqing), and 5 Autonomous Regions (Inner Mongolia,
133 Guangxi, Tibet, Ningxia, and Xinjiang). Since Macao and Hong Kong have relatively small areas
134 and are very close to Guangdong Province, we combined them as one region (Guangdong) when
135 we performed the statistical analysis in this study.

136 China has great altitude diversity as the eastern plains and southern coasts consist of lowlands
137 and foothills, the southern areas of China consist of hilly and mountainous terrains, the west and

138 north of the country are dominated by basins, plateaus, and massifs, and the southwestern China
139 contains part of the highest tablelands on earth, the Tibetan Plateau (**Fig. 1a**). Due to substantial
140 differences in latitude, longitude, and altitude, the climate of China is extremely diverse, ranging
141 from tropical in the far south to subarctic in the far north and alpine in the higher elevations of the
142 Tibetan Plateau, contributing to the much more surface water areas in Southwest and Southeast of
143 China than other regions, especially North China (Wang et al., 2020a).

144 **Insert Fig. 1 here**

145

146 **2.2 Data**

147 **2.2.1 Landsat data**

148 In this study, we used the available Landsat surface reflectance (SR) images in the GEE
149 platform, and there was a total of 19,338 images in 2019 for China, including 9,028 Landsat-7
150 ETM+ images and 10,310 Landsat-8 OLI images (~21.73 TB). The detailed information of
151 Landsat SR products is available on the GEE platform ([https://developers.google.com/earth-
152 engine/datasets/catalog/landsat](https://developers.google.com/earth-engine/datasets/catalog/landsat), last access: 18 February 2022). All these images had undergone
153 necessary pre-processing in GEE, including radiometric calibration, atmospheric correction, and
154 the removal of stripes in Landsat-7 imagery. We used the quality assurance (QA) band that was
155 generated by the CFMASK algorithm (Zhu et al., 2015) to identify bad-quality observations,
156 including clouds and cloud shadows (Murray et al., 2019; Pekel et al., 2016). We also used the

157 Shuttle Radar Topography Mission (SRTM) digital elevation model (DEM) data, the solar azimuth
 158 and zenith angle data of each image, and ee.Terrain.hillShadow algorithm in GEE to identify those
 159 pixels with terrain shadows (Zou et al., 2018; Wang et al., 2020a) (**Fig. 1b**), which were excluded
 160 from the data analysis. Out of ~132.43 million pixels in China, approximately 98.36% had more
 161 than 5 good-quality observations and 91.24% had more than 10 good-quality observations in 2019.
 162 About 93.14% of the 78.9 million pixels in North China had more than 20 good-quality
 163 observations due to the overlapping of Landsat images at the high latitudes and less cloud cover
 164 (Zhou et al., 2019a; Wang et al., 2020b). Note that the number of Landsat-7 ETM+ images in GEE
 165 may change in the future, as USGS continues to work with the International Ground stations (IGS)
 166 in the world to assemble and rescue some images from individual stations. For Landsat-8 OLI
 167 images, USGS does not rely on IGS for image downlink, as its data record is able to store all the
 168 images and then downlink them to the Landsat archive (Wulder et al., 2016).

169 We used three spectral indices (NDVI, EVI, mNDWI) to identify SWB in this study. These
 170 indices are defined as:

$$171 \quad NDVI = \frac{\rho_{nir} - \rho_{red}}{\rho_{nir} + \rho_{red}} \quad (1)$$

$$172 \quad EVI = 2.5 \times \frac{\rho_{nir} - \rho_{red}}{\rho_{nir} + 6 \times \rho_{red} - 7.5 \times \rho_{blue} + 1} \quad (2)$$

$$173 \quad mNDWI = \frac{\rho_{green} - \rho_{swir}}{\rho_{green} + \rho_{swir}} \quad (3)$$

174 where ρ_{blue} , ρ_{green} , ρ_{red} , ρ_{nir} , and ρ_{swir} are blue, green, red, near-infrared, and shortwave
 175 infrared bands of Landsat images, respectively.

176 2.2.2 Dam and reservoir datasets

177 The GLObal GeOreferenced Database of Dams (GOODD) dataset was released in 2020 and it
178 lists ~38,000 georeferenced dams as well as derived data on their associated catchments through
179 one by one degree tiles on the Google Earth geobrowser during 2007-2011 and the Shuttle Radar
180 Topography Mission (SRTM) Water Body Dataset (SWBD) (Mulligan et al., 2020). It provides the
181 raw digitized coordinates for the locations of dam walls, but it does not provide the detailed
182 attribute data on the characteristics of each dam and reservoir (**Fig. 2a, d**). Both the large dams
183 and medium sized dams were captured in this dataset.

184 The Global Reservoir and Dam (GRanD) Database v1.3 was recently updated in February
185 2019 by Lehner et al. (2011) (**Fig. 2b, e**). The spatial information of these dams was contributed
186 by eleven participating institutions. Each dam was assigned to a polygon that depicted the reservoir
187 surface, which was provided by SWBD (v1.1) and the surface water maps were produced by the
188 Joint Research Center (JRC) of the European Commission from Landsat imagery at 30-m spatial
189 resolution for the period 1984-2015 (Pekel et al., 2016) (v1.3). All reservoirs with a storage
190 capacity of more than 0.1 km³ were included in this dataset, and some smaller reservoirs were also
191 added when their data were available.

192 The Georeferenced global Dam And Reservoir dataset (GeoDAR) was produced by utilizing
193 multi-source dam and reservoir inventories (ICOLD WRD and GRanD v1.3 datasets) and the
194 Google Maps geocoding API (Wang et al., 2021a) (**Fig. 2d, e**). The GeoDAR product includes two
195 successive versions. GeoDAR v1.0 is essentially a georeferenced subset of ICOLD WRD, and

196 contains more than 20,000 dam entries, and each of which is indexed by an encrypted identifier
197 (ID) that is associated with a WRD record, allowing for the potential retrieval of all its 40+
198 proprietary attributes from ICOLD. GeoDAR v1.1 consists of (1) dam entries as in v1.0 except
199 those that further harmonized with GRanD for an improved inclusion of the largest dams, and (2)
200 reservoir boundaries for most of the dam entries. The GeoDAR was just updated in April 2022 and
201 is available at <https://doi.org/10.5281/zenodo.6163413>.

202 **Insert Fig. 2 here**

203 **2.3 Methods**

204 The workflow for producing the China-LDRL dataset included two major sections: 1)
205 generation of yearlong SWB maps in China by analyzing time-series Landsat imagery in 2019
206 with GEE platform, and 2) identification of dams and classification of yearlong SWB into lakes,
207 reservoirs, and rivers by analyzing the historical satellite images in 2019 within China in Google
208 Earth Pro. A flowchart showing the methodology of this study is illustrated in **Fig. 3**.

209 **Insert Fig. 3 here**

210 **2.3.1 Algorithm to generate annual maps of yearlong surface water bodies**

211 In this study, we combined a surface water index (mNDWI) and two greenness-based
212 vegetation indices (EVI and NDVI) to identify SWB through the algorithm of $((mNDWI > EVI \text{ or}$
213 $mNDWI > NDVI) \text{ and } EVI < 0.1)$ (Eq. (4)). This mNDWI/VIs algorithm can reduce the effects of
214 vegetation on identification of SWB, and has already been used to identify and map SWB at the

215 regional and national scales with high accuracy (Zou et al., 2018, 2017; Zhou et al., 2019b; Wang
216 et al., 2020a). Furthermore, this mNDWI/VIs algorithm had been compared with other surface
217 water body mapping algorithms (e.g. NDWI, mNDWI, TCW, and AWEI), and the results showed
218 that the integration of mNDWI/VIs algorithm and Landsat images could identify SWB with high
219 producer's accuracy (98.1%) and user's accuracy (91.0%) (Zhou et al., 2017).

220 Surface water body frequency (F_{SWB}) of a pixel in a year was calculated as the ratio of the
221 number of observations identified as surface water body to the number of good-quality
222 observations in a year and ranged from 0 to 1.0 (or 100%) (Zou et al., 2017), see Eq. (5). We
223 generated the F_{SWB} map of all the pixels in China for 2019 in the GEE platform (**Fig. 5a**).

$$224 \quad SWB = \begin{cases} 1, & (\text{mNDWI} > \text{EVI} \text{ or } \text{mNDWI} > \text{NDVI}) \text{ and } \text{EVI} < 0.1 \\ 0, & \text{Other values} \end{cases} \quad (4)$$

$$225 \quad F_{SWB} = \frac{N_{SWB}}{N_{good}} \quad (5)$$

226 where SWB is surface water body, F_{SWB} is surface water body frequency, N_{SWB} is the number
227 of observations identified as SWB (see Eq. (4)) in 2019, N_{good} is the number of good-quality
228 observations in 2019.

229 Consistent with our previous studies (Zou et al., 2018; Wang et al., 2020a), a water pixel was
230 defined as yearlong surface water body ($F_{SWB} \geq 0.75$), seasonal surface water body ($0.05 \leq F_{SWB}$
231 < 0.75), or ephemeral surface water body ($F_{SWB} < 0.05$). We generated the seasonal and yearlong
232 SWB maps in China for 2019, respectively (**Fig. 4b, c**).

233 **Insert Fig. 4 here**

234 2.3.2 The procedure to identify dams, reservoirs, and lakes in Google Earth Pro

235 We first generated the yearlong SWB vector map in China for 2019 based on the yearlong
236 SWB raster map, then reprojected it to the Krasovsky_1940_Albers equal-area conic projection
237 and calculated the area (km²) of each yearlong SWB polygon as its attribute (Python code is
238 available in: [https://drive.google.com/drive/folders/1B19VKbCIoDPmu-](https://drive.google.com/drive/folders/1B19VKbCIoDPmu-IcmiZcOIUF8wi1YnE?usp=sharing)
239 [IcmiZcOIUF8wi1YnE?usp=sharing](https://drive.google.com/drive/folders/1B19VKbCIoDPmu-IcmiZcOIUF8wi1YnE?usp=sharing)). When we reported large reservoirs and lakes, only those
240 polygons with area > 1 km² were kept in this study (**Fig. S3**). In an effort to distinguish riverine or
241 off-stream reservoirs from lakes, we uploaded the large SWB vector layers into Google Earth Pro,
242 and checked whether a dam existed around each polygon through the historical satellite images in
243 2019 within China by visual image interpretation approach. If a dam did not exist, we classified
244 the polygon as river or lake; if a dam does exist, we classified the polygon as on-stream reservoir
245 (constructed on a river/stream regardless of impoundment) or off-stream reservoir (formed by
246 partial or complete embankment around an off-stream lake) (**Fig. S1**). Simultaneously, the
247 corresponding dam would be classified as on-stream dam or off-stream dam. Finally, the SWB
248 polygons were classified into lakes, reservoirs, and rivers, and the dams/reservoirs were classified
249 into on-stream and off-stream dams/reservoirs (**Fig. 3**). This work was carried out and completed
250 by the lead author (Dr. Wang) over two months, and users could reproduce the dam dataset by
251 uploading the SWB polygons in the historical satellite images in 2019 in Google Earth Pro and
252 following the procedure described here. Note that satellite images in the Google Earth Pro may
253 change over time, but such change may have very limited impact on identification of dams as dams

254 have often stayed for many years after their construction.

255 **2.4 Cross-comparison with other lake and reservoir datasets**

256 To better understand the improvements and potential applications of our China-LDRL dataset,
257 we compared it with other three available dam and reservoir datasets: the GOODD, GRanD V1.3,
258 and GeoDAR datasets (**Fig. 2**). We first compared the dam quantity and areas of large reservoirs
259 at the provincial and national scales. Then, we checked the spatial distribution of each dam from
260 these datasets within Google Earth imagery as all these datasets provide detailed georeferenced
261 coordinates for some of dams, and the georeferenced information could be directly acquirable from
262 the spatial longitude and latitude. Here we did not compare the reservoir area with the GOODD
263 dataset as it does not provide such attribute except for catchment area (**Fig. 2d**).

264 **3. Results**

265 **3.1 Annual map of surface water bodies in China for 2019**

266 Surface water body frequency (F_{SWB}) of individual pixels for 2019 varied substantially across
267 China (**Fig. 4**). There were ~ 3.38 million seasonal surface water pixels (30-m spatial resolution)
268 in China, amounting to $\sim 3,375.88 \times 10^3 \text{ km}^2$ seasonal surface water area (SWA) in 2019. Xinjiang
269 Province had the largest seasonal SWA ($751.14 \times 10^3 \text{ km}^2$), followed by Tibet ($600.70 \times 10^3 \text{ km}^2$),
270 Qinghai ($564.57 \times 10^3 \text{ km}^2$), Inner Mongolia ($511.42 \times 10^3 \text{ km}^2$), and Heilongjiang Province
271 ($343.33 \times 10^3 \text{ km}^2$) (**Fig. 5a**). There were ~ 0.21 million yearlong surface water pixels in China for
272 2019, amounting to $\sim 214.92 \times 10^3 \text{ km}^2$ yearlong SWA, which were mainly located in Tibet (62.65

273 $\times 10^3 \text{ km}^2$), Qinghai ($41.08 \times 10^3 \text{ km}^2$), and Xinjiang ($24.60 \times 10^3 \text{ km}^2$) Provinces (**Fig. 5b**).

274 Additionally, Heilongjiang, Jiangsu, Inner Mongolia, Hubei, and Anhui Provinces also had

275 relatively larger yearlong SWA ($> 5 \times 10^3 \text{ km}^2$) than other provinces in China.

276 **Insert Fig. 5 here**

277 **3.2 Numbers and areas of yearlong surface water bodies with different sizes in China**

278 The numbers and areas of yearlong SWB polygons of different sizes in China differed

279 considerably for 2019 (**Fig. 6**). In terms of yearlong SWB numbers, out of a total of 3.52×10^6

280 yearlong SWB polygons in China in 2019, approximate 3.51×10^6 polygons (99.57%) had an area

281 of $\leq 1 \text{ km}^2$, and $\sim 2.16 \times 10^6$ polygons (61.19%) had an area of $\leq 0.0036 \text{ km}^2$ (covering only 2×2

282 Landsat grid cells). Only 15×10^3 (0.43%) yearlong SWB polygons had an area of $> 1 \text{ km}^2$, and

283 359 polygons had an area of $> 100 \text{ km}^2$. In terms of yearlong SWB areas, out of a total of 214.92

284 $\times 10^3 \text{ km}^2$ yearlong SWA in China in 2019, large SWB polygons (size $> 1 \text{ km}^2$) accounted for

285 83.54%, and very large SWB polygons (size $> 100 \text{ km}^2$) accounted for 52.48%.

286 The numbers and areas of yearlong SWB polygons of different sizes at the provincial scale

287 had similar distribution patterns with those at the national scale (**Fig. S4, S5**). Almost all the

288 yearlong SWB polygons in individual provinces had an area of $\leq 1 \text{ km}^2$ (**Fig. S4**), however, those

289 SWB polygons with an area of $> 1 \text{ km}^2$ accounted for a large proportion of SWA in most provinces

290 (**Fig. S5**). Those yearlong SWB polygons with an area of $> 100 \text{ km}^2$ were mostly very large lakes

291 and rivers, which were mainly located in Tibet, Xinjiang, Qinghai, Jiangxi, and Heilongjiang

292 Provinces (**Fig. S5**) (Feng et al., 2019). Some provinces also had very large-size reservoirs, such
293 as Miyun Reservoir in Beijing, whose polygon size was greater than 100 km².

294 **Insert Fig. 6 here**

295 **3.3 Numbers, areas, and distribution of large dams, reservoirs, and lakes in China**

296 We identified 2,418 large dams in China, including 624 off-stream dams and 1,794 on-stream
297 dams, most of which were located in South, East, and Northeast China, as well as Xinjiang of
298 Northwest China (**Fig. 7a**). At the provincial scale, Xinjiang had the largest number of off-stream
299 dams (67), followed by Shandong (62), Heilongjiang (46), and Anhui (45) Provinces. Three
300 provinces (Hubei, Yunnan, and Guangdong) also had relatively larger off-stream dam numbers (\geq
301 40) than other provinces. Chongqing, Qinghai, and Tibet had no off-stream dams (**Fig. 7b**). Most
302 of on-stream dams in China were distributed in those provinces with large rivers. Guangdong had
303 the largest number of on-stream dams (172) in China, followed by Hubei (146), Heilongjiang (132),
304 Shandong (112), Jilin (103), and Sichuan (103) Provinces (**Fig. 7c**). However, there were no on-
305 stream dams in Shanghai. In terms of the functions of two kinds of dams and the spatial patterns
306 of climate (e.g. precipitation, temperature) and social-economic factors (e.g. population, GDP,
307 irrigation area) in South and North China, the provinces in Northeast and East China had larger
308 percentage of off-stream dams, whereas the provinces in Northeast and South China had larger
309 percentage of on-stream dams (**Fig. 7d**).

310 **Insert Fig. 7 here**

311 China had 3,051 large lakes with an area of $> 1 \text{ km}^2$ in 2019, most of which were distributed
312 in West China, the Lower Yangtze River Basin, and Northeast China (**Fig. 8a, S6**), and they
313 together amounted to $\sim 73.38 \times 10^3 \text{ km}^2$. Tibet in West China had the largest number of lakes (966),
314 followed by Qinghai (479), Xinjiang (350), Inner Mongolia (234), and Heilongjiang (174)
315 Provinces (**Fig. 8b**). The lake areas in China had similar spatial patterns with the lake numbers
316 (**Fig. 8c**), and the western provinces in China had much larger lake areas than other provinces,
317 especially Tibet and Qinghai Provinces with $31.73 \times 10^3 \text{ km}^2$ and $15.78 \times 10^3 \text{ km}^2$, respectively.
318 As reservoirs and dams usually exist simultaneously, the spatial patterns of reservoir numbers and
319 areas matched well with those of dam numbers (**Figs. 7b, 8e-f**). In total, China had 2,194 large
320 reservoirs in 2019, they together amounted to an area of $\sim 16.35 \times 10^3 \text{ km}^2$. Xinjiang in Northwest
321 China had the largest reservoir area ($1,923.11 \text{ km}^2$), followed by Heilongjiang ($1,468.48 \text{ km}^2$),
322 Jiangsu ($1,309.95 \text{ km}^2$), and Hubei ($1,190.75 \text{ km}^2$) Provinces. In contrast, Tibet (18.34 km^2),
323 Shanghai (36.61 km^2), and Ningxia (45.40 km^2) had much smaller reservoir areas than other
324 provinces in China. In general, most of the dams and reservoirs in China were distributed in South
325 China, East China, and Northeast China, whereas most of lakes were located in West China, the
326 Lower Yangtze River Basin, and Northeast China.

327

Insert Fig. 8 here

328 4. Discussion

329 4.1 Improvements of the dataset of large dams, reservoirs, and lakes in China

330 In order to validate the reliability of our China-LDRL dataset, we first compared the numbers
331 of large dams and areas of large reservoirs between our dataset and published datasets (GOODD,
332 GRanD, and GeoDAR), then we checked the geographical coordinates of dams within the
333 historical satellite images in 2019 in Google Earth Pro.

334 The GOODD dataset has the largest number of dams (9,234) in China among these published
335 global datasets (**Fig. 2a**). However, it includes both large, moderate, and small dams, and does not
336 report the corresponding reservoir attributes (e.g. reservoir area), which limits its applications to
337 water-related research (Paredes-Beltran et al., 2021). The GRanD dataset has the smallest number
338 (814) of large dams with reservoir area $> 1 \text{ km}^2$ in China (**Fig. 9b, e**) as the dam information was
339 provided by multiple institutions from the world (Lehner et al., 2011), which clearly
340 underestimates the number of dams. The GeoDAR dataset has a larger number of large dams
341 (1,162) than the GRanD dataset, because it was generated by combining the GRanD and ICOLD
342 WRD datasets (Wang et al., 2021a). However, our China-LDRL dataset identified 2,418 large dams
343 and 2,194 large reservoirs (**Fig. 9d, e**), making substantial improvement of large dam and reservoir
344 dataset in China.

345 The number differences of large dams between our China-LDRL and the GRanD and
346 GeoDAR datasets could be explained by several factors. First, our study used all the available

347 Landsat images in 2019 and a more accurate SWB mapping algorithm to generate SWB maps in
348 China, however, the GRanD and GeoDAR datasets used the SWBD map (produced in 2000)
349 (Slater et al., 2006) and the surface water maps during 1984-2015 produced by the JRC (Pekel et
350 al., 2016). We were able, therefore, to integrate more Landsat images and get more SWB polygons,
351 as well as larger numbers of large dams and reservoirs than other datasets. In addition, the different
352 strategies for identifying dams also caused the differences of dam numbers. The dam information
353 from the GRanD dataset was contributed by eleven participating institutions, and the GeoDAR
354 dataset combined two published dam datasets (WRD and GRanD), rechecked detailed dam
355 information using the Google Maps geocoding API, and then reported the georeferenced
356 information of dams. Unlike the GRanD and GeoDAR datasets, our study first generated SWB
357 raster and vector maps using the mNDWI/VIs SWB mapping algorithm, and then selected the large
358 yearlong SWB polygons with area $> 1 \text{ km}^2$. After that, we visually checked the large SWB
359 polygons one by one and identified each dam with accurate geographical coordinates (**Fig. S3**). In
360 addition to the dam numbers, we also compared the reservoir areas between different datasets (**Fig.**
361 **S7**). Our China-LDRL dataset reported $\sim 16.35 \times 10^3 \text{ km}^2$ large reservoir area, which was smaller
362 than those of the GRanD ($20.98 \times 10^3 \text{ km}^2$) and GeoDAR ($21.84 \times 10^3 \text{ km}^2$) datasets. The GRanD
363 v1.3 dataset linked the “maximum surface water extent” from the JRC dataset to the corresponding
364 dams as the reservoir regions, however, we used the “yearlong surface water body” to depict the
365 reservoirs in the China-LDRL dataset, which might have made our reservoir areas smaller (**Fig.**
366 **S8**).

367 **Insert Fig. 9 here**

368 In this study, we also checked the accuracy of geographical coordinates of dams from these
369 dam datasets. Here we first uploaded above-mentioned three dam datasets and our China-LDRL
370 dataset in the Google Earth Pro and visually checked the spatial distribution of each dam within
371 the historical satellite images in 2019 (**Fig. 10**). We found that the dam locations of the GOODD
372 dataset had substantial geographic offsets, some of which are larger than 500 m (**Fig. S9**). We
373 further overlapped the GOODD dam layer with our yearlong SWB map (Section 2.3.1), and the
374 results showed that only $12.52 \pm 3.87\%$ of the GOODD dams were intersected with the SWB layer
375 at the national scale (**Fig. S10a**). In the case that we applied a 100-m and 500-m tolerance when
376 intersecting the GOODD dams with our yearlong SWB map for 2019, the intersection rate
377 increased to only $47.58 \pm 9.70\%$ and $76.46 \pm 7.11\%$, respectively (**Figs. S10b, S11**). In addition,
378 we applied different tolerances when the GRanD and GeoDAR datasets intersected with our
379 yearlong SWB layer. About $65.57 \pm 6.79\%$ of the dams in the GRanD dataset intersected with our
380 yearlong SWB map, which increased to $87.52 \pm 6.45\%$ and $95.94 \pm 4.49\%$ when using a 100-m
381 and 500-m tolerance. Although the GeoDAR dataset is just updated and the newest version had
382 much higher accuracy than the previous version, its geographical coordinates also had some offsets
383 (**Fig. 10f, g**), and $58.49 \pm 6.07\%$ of its dams intersected with the yearlong SWB layer, and 82.33
384 $\pm 3.98\%$ and $90.22 \pm 3.18\%$ intersected when the tolerance was 100-m and 500-m. Different
385 methods and purposes caused the georeferenced offsets of these datasets. For example, the original
386 digitized dam points in GOODD V1.0 were purposefully snapped to the 30-arc-second

387 HydroSHEDS river networks, leading to the offset from the actual dam locations. On the other
388 hand, GOODD v1.0 is directly compatible with HydroSHEDS and is therefore more convenient
389 for modeling purposes. In GeoDAR v1.1, dam points in China were georeferenced using the
390 Google Maps geocoding API, and many dam labels fell on the reservoir surface instead of the
391 dams. Additionally, Google Maps in China have substantial misalignment (500 m to 1 km or so)
392 between the satellite images and the map labels due to China's GPS shift problem, resulting in the
393 geographic offsets even though the geocoding procedure is correct. In total, these comparisons
394 suggested the improved accuracy of our China-LDRL dataset, which could provide important and
395 reliable information for water resource management and water security in China.

396 **Insert Fig. 10 here**

397 **4.2 Uncertainties, limitations, outlooks, and implications**

398 In this study, we produced detailed and more accurate China's open surface water bodies, large
399 dams, reservoirs, and lakes (China-LDRL) dataset for 2019, and analyzed their spatial distribution
400 patterns. This study benefited from the usage of time-series Landsat imagery and GEE cloud
401 computing platform, as well as simple and robust SWB mapping algorithms. First, time series
402 Landsat images at high spatial resolution (30-m) provide larger numbers of good-quality
403 observations for identifying SWB. Second, GEE cloud computing platform enables us to acquire
404 and analyze tens of thousands of Landsat images in hours. Third, the mNDWI/VIs algorithm used
405 in this study reduced the uncertainties induced by the bad-quality observations and provide
406 accurate SWB maps. Finally, we visually checked the large SWB polygons (area > 1 km²) one by

407 one by using the historical satellite images in 2019 within China in Google Earth Pro, and we
408 recorded the georeferenced coordinates of individual dams in China for 2019.

409 We would also acknowledge that the data quality of input satellite images remains to be a
410 concern for the identification of dams, reservoirs, and lakes. The spatial distribution of good-
411 quality observations of Landsat data shows that more than 98.36% of the total 30-m pixels in China
412 had more than 5 good-quality observations and more than 91.24% of the total pixels had more than
413 10 good-quality observations for 2019 (**Fig. 1b**), but the regions with complex topography and
414 mountains, such as South and Southwest China, had much fewer good-quality observations than
415 other regions, which might underestimate surface water areas, as well as dam and reservoir
416 numbers and areas. In addition, it is impossible to remove all the bad-quality observations (e.g.
417 clouds, terrain shadows) because of the limited quality of the QA band and digital elevation model
418 data in GEE. Therefore, the remaining bad-quality observations could result in some inevitable
419 uncertainties in the resultant maps. In the future, as more images from Landsat dataset and other
420 high spatial resolution sensors (e.g., Sentinel-1, Sentinel-2) are added into GEE platform (Wulder
421 et al., 2016), SWB mapping accuracy could be further improved, providing more detailed
422 geospatial data of dams, reservoirs, and lakes in China. In addition, visual interpretation method
423 for identifying dams and reservoirs in this study could also bring about some uncertainties to the
424 classification of dams/reservoirs due to the limitations of knowledge and experience of interpreters,
425 such as the misclassification of some reservoirs regulated by dams/gates as lakes (e.g. Hongze
426 Lake in Jiangsu Province) and the misclassification between on-stream and off-stream

427 dams/reservoirs.

428 In our China-LDRL dataset, we identified and reported those large SWB, however, the
429 importance of monitoring small water bodies (area $\leq 1 \text{ km}^2$) and dams is gradually recognized as
430 they play critical roles in accurate assessments of their agricultural potential or their cumulative
431 influence on watershed hydrology (Ogilvie et al., 2018). In the future, we can include these small
432 SWB polygons into our dataset to enhance the spatial details and distributions of dams, reservoirs,
433 and lakes in China.

434 The conversions between rivers, lakes, and reservoirs have critical effects on the ecosystem
435 services. For example, the construction of the Three Gorges Dam contributed to the decrease of
436 surface water area and biodiversity in its downstream areas (Fang et al., 2006; Feng et al., 2013;
437 Wang et al., 2020a), and reduced the sediment loads in the Yangtze River, causing the decreased
438 deposition rates of coastal wetlands in the Yangtze Delta (Feng et al., 2016; Wang et al., 2021b).
439 Furthermore, the conversion from natural lakes and rivers to man-made reservoirs has
440 disproportionate effects on the local, regional, and global carbon cycle (Howard Coker et al., 2009).
441 For example, dam construction has reduced the areal extent of CO_2 gas exchange in natural rivers
442 (Ran et al., 2021). In the future, more detailed information (e.g. construction year of dam) needs
443 to be included in our China-LDRL dataset, making it possible to analyze the effects of conversions
444 from natural lakes and rivers to reservoirs on the biodiversity and carbon cycle.

445

446 **5. Data availability**

447 The China-LDRL dataset is publicly available at
448 <https://doi.org/10.6084/m9.figshare.16964656.v3> (Wang et al., 2022), and it includes three
449 shapefiles. The “China_large_dams.shp” is the large dams in China with five attributes, including
450 ID (dam_id), dam class (dam_class, “1” means on-stream dam and “-1” means off-stream dam),
451 longitude, latitude, and corresponding reservoir ID (reser_id). The “China_large_lakes.shp” is the
452 large lakes map in China with three attributes: ID, lake area (poly_area, km²), and lake perimeter
453 (poly_len, km). The “China_large_reservoirs.shp” is the large reservoirs map in China with five
454 attributes: ID, reservoir area (poly_area, km²), reservoir perimeter (poly_len, km), corresponding
455 dam ID (dam_ID), and dam classes (dam_class).

456 **6. Code availability**

457 Code used in calculations of surface water bodies is available upon request.

458

459 **7. Conclusion**

460 Several studies have published global or national dam, reservoir, and lake datasets based on
461 satellite images (**Table 1**). However, these datasets usually have large georeferenced coordinate
462 offsets, which poses some limitations to those studies that aim to address major issues in hydrology,
463 ecology, and water resource management in China. In this study, we have generated the dataset of
464 China’s open surface water bodies, large dams, reservoirs, and lakes (China-LDRL) for 2019, and

465 then analyzed their spatial distributions at the provincial and national scales. Satellite image data
466 quality is still a major source of uncertainty that affects the accuracy of the surface water body
467 maps. As more images from Landsat datasets and other high spatial resolution sensors (e.g.,
468 Sentinel-1, Sentinel-2) are added to GEE platform, the accuracy of SWB maps can be further
469 improved, providing more detailed geospatial data of dams, reservoir, and lakes in China. The
470 provision of the reliable, accurate China-LDRL dataset on dams, reservoirs, and lakes will
471 contribute to the understanding of water resources management and water security in China.

472

473 **Author contributions**

474 X.X., X.W., and B.L. designed the study. X.W. carried out image data processing and led
475 interpretation of the results and writing of the manuscript. Y.Q., and J.D. contributed to image data
476 processing, X.X., B.L., Y.Q., J.D., and J. W. contributed to the interpretation and discussion of the
477 results.

478 **Declaration of Competing Interest**

479 The authors declare that they have no known competing financial interests or personal
480 relationships that could have appeared to influence the work reported in this paper.

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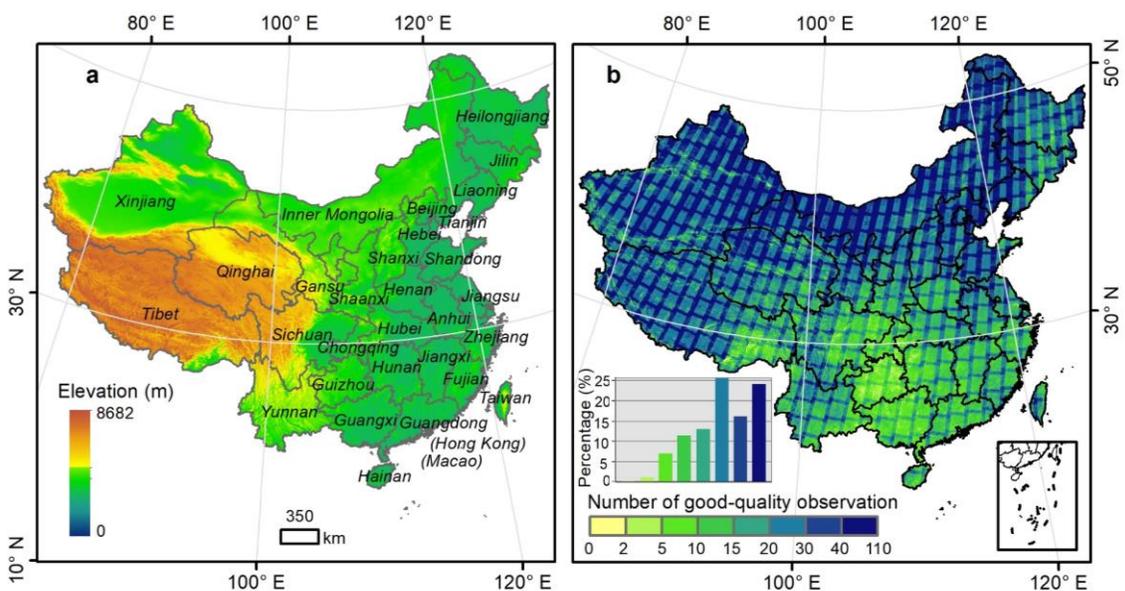
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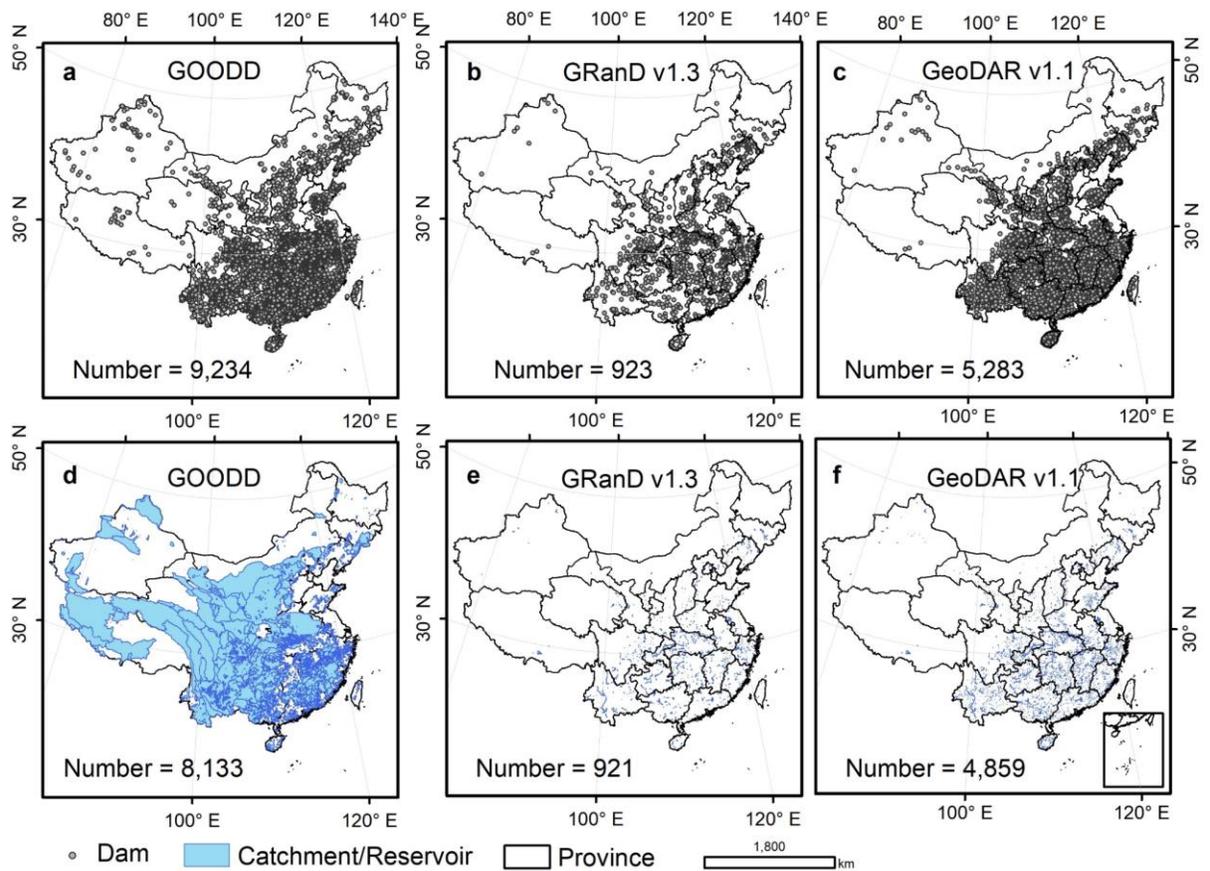
624 **Figures and Legends**



625

626 **Fig. 1.** Spatial distribution of provinces and elevation (a) and numbers of Landsat good-quality

627 observations (b) in China for 2019.



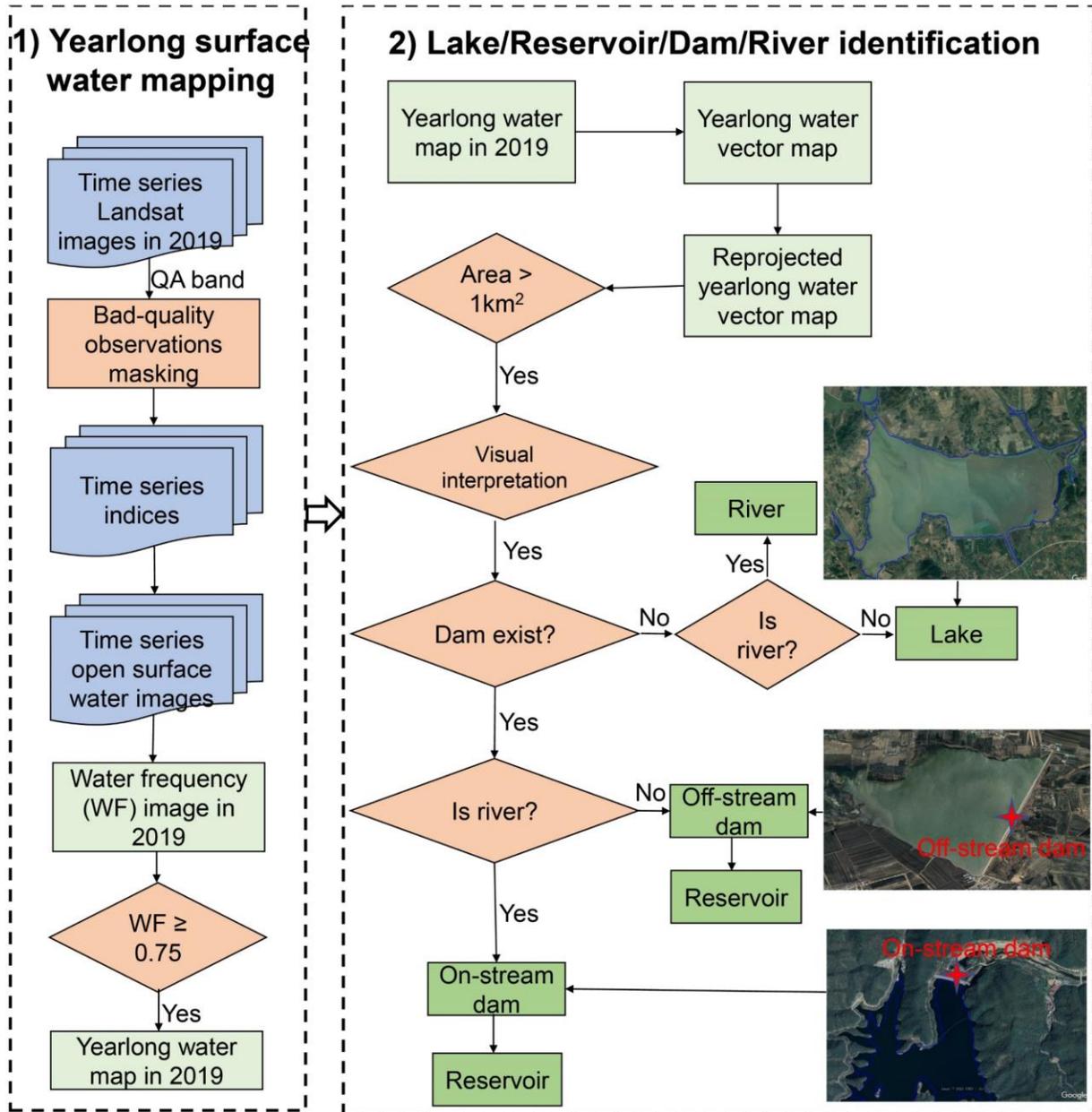
628

629 **Fig. 2.** Spatial distribution of dams from the GLObal GeOreferenced Database of Dams (GOODD)

630 (a), the Global Reservoir and Dam (GRanD) v1.3 (b), and the Georeferenced global Dam And

631 Reservoir (GeoDAR) v1.1 (c) datasets. The GOODD dataset reported the catchment of each dam

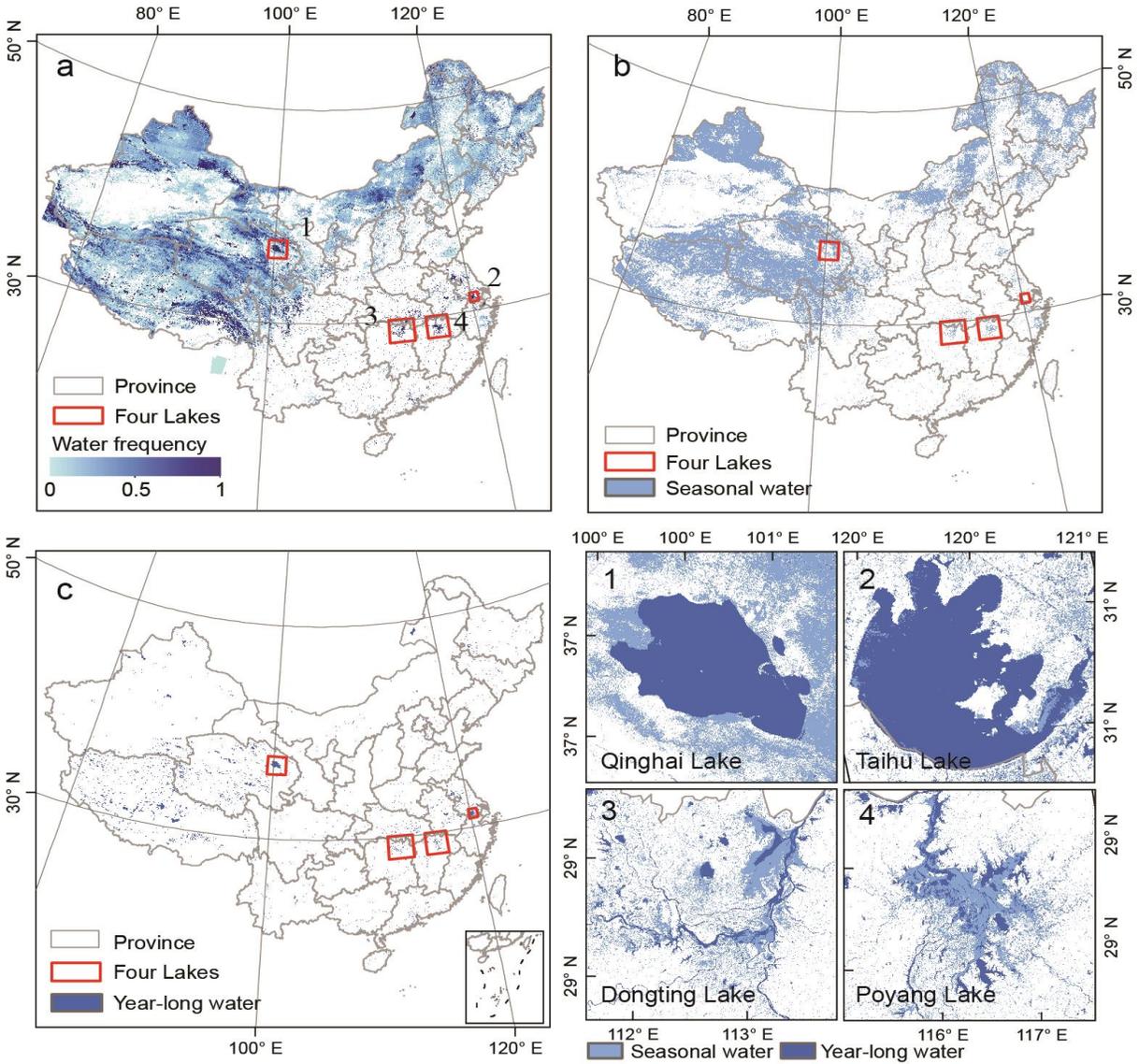
632 (d) while the GRanD and GeoDAR datasets reported the reservoir information of each dam (e, f).



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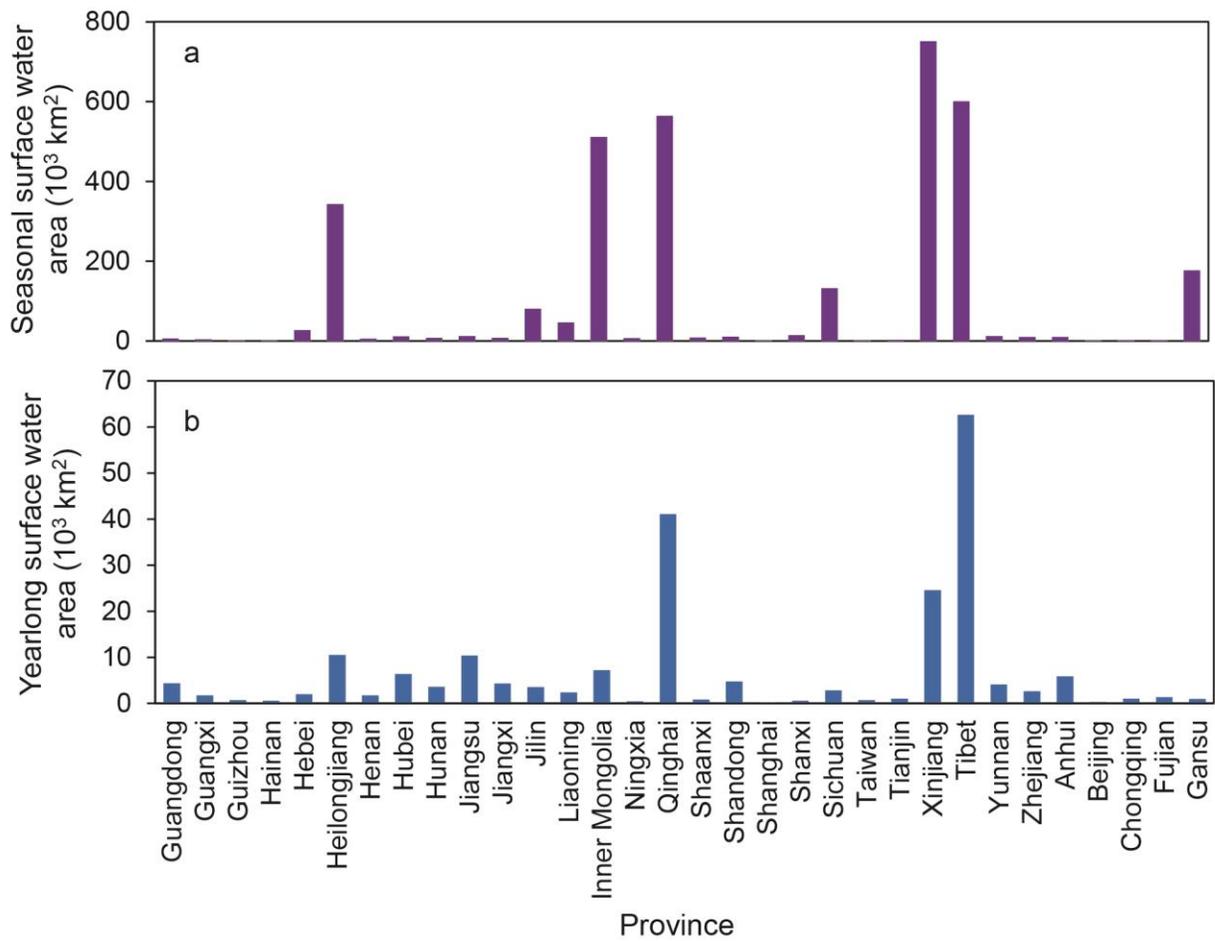
634 **Fig. 3.** Schematic flowchart of lakes, reservoirs, dams, and rivers identification in this study. The

635 images were acquired from Google Earth Pro (© Google Earth Pro 2019).



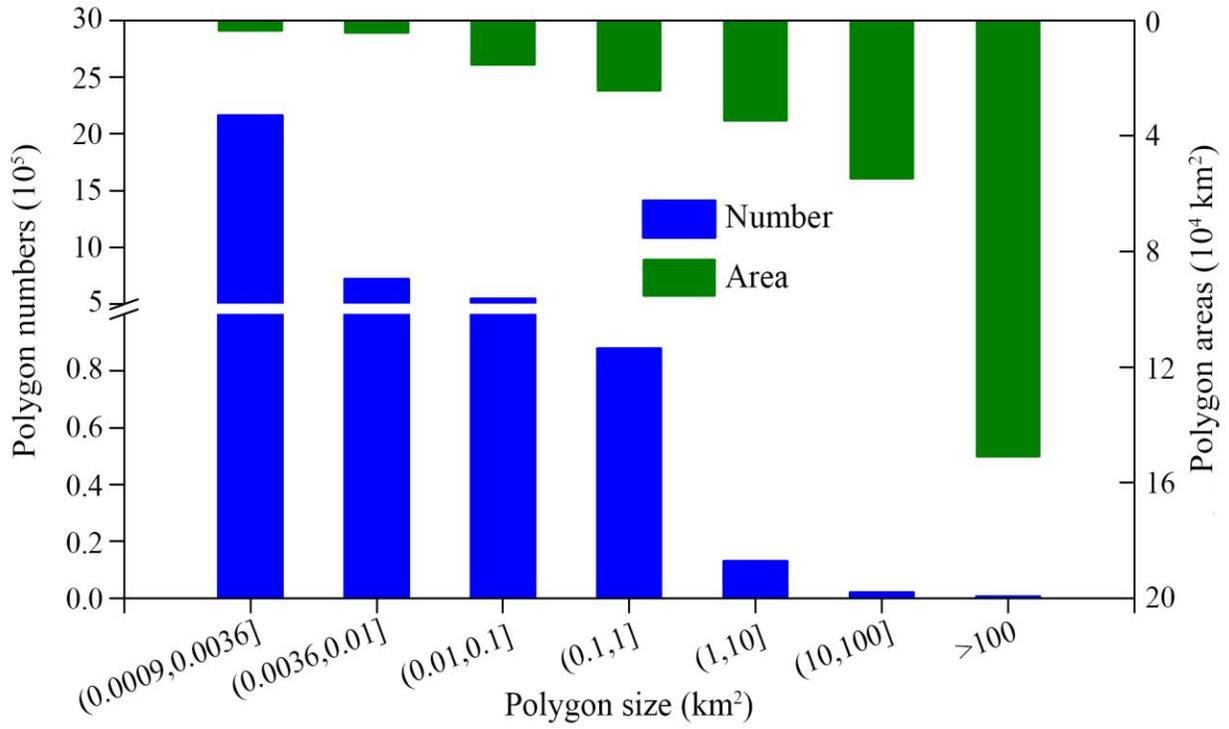
636

637 **Fig. 4.** Spatial distribution of surface water body (SWB) in China for 2019. (a), Water frequency,
 638 (b), Seasonal SWB, (c), Yearlong SWB. Subfigures (1-4) are three zoom-in views of seasonal and
 639 year-long SWB in Qinghai Lake, Taihu Lake, Dongting Lake, and Poyang Lake in China.



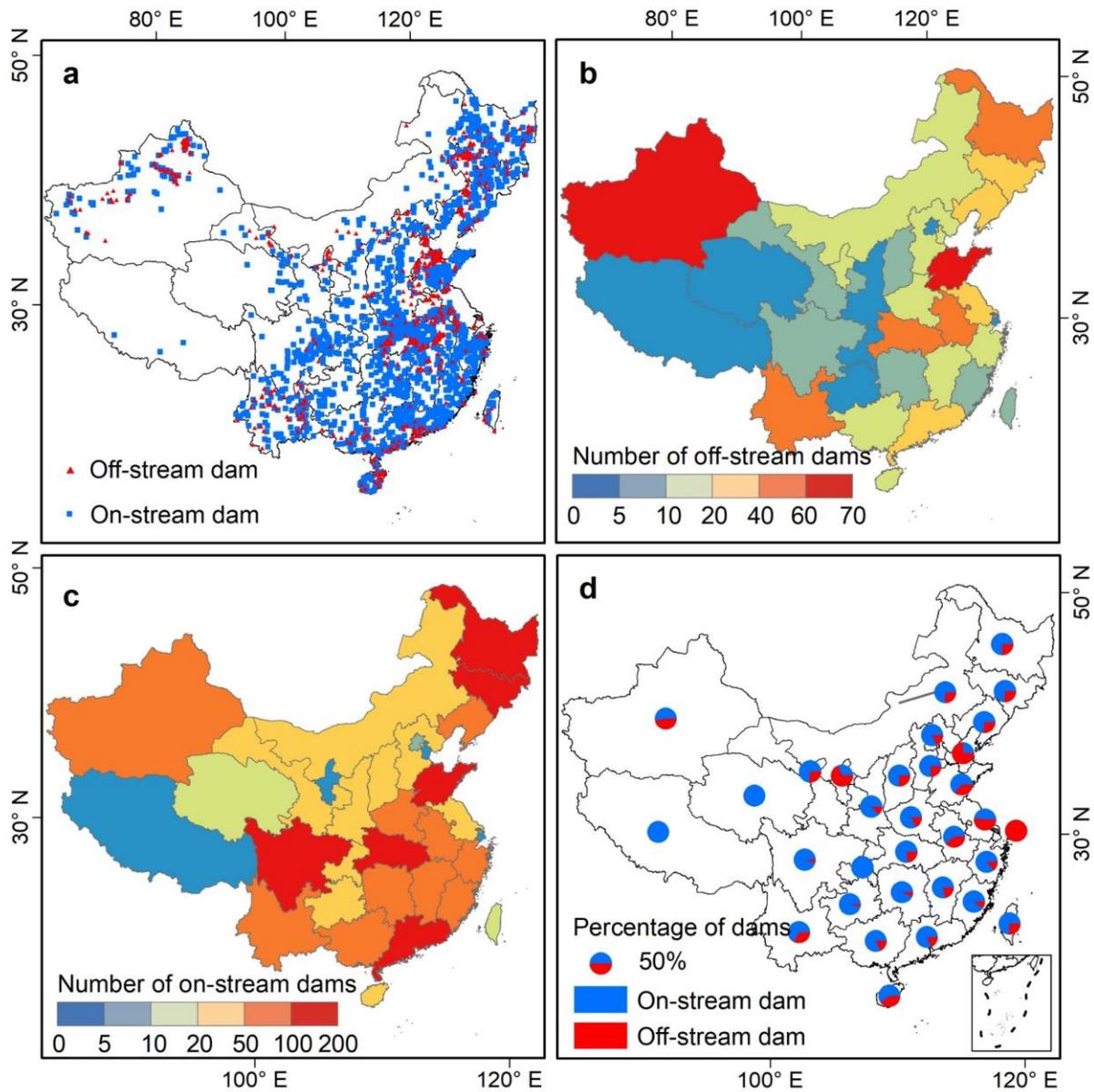
640

641 **Fig. 5.** Areas of seasonal (a) and yearlong (b) surface water bodies by province in China for 2019.



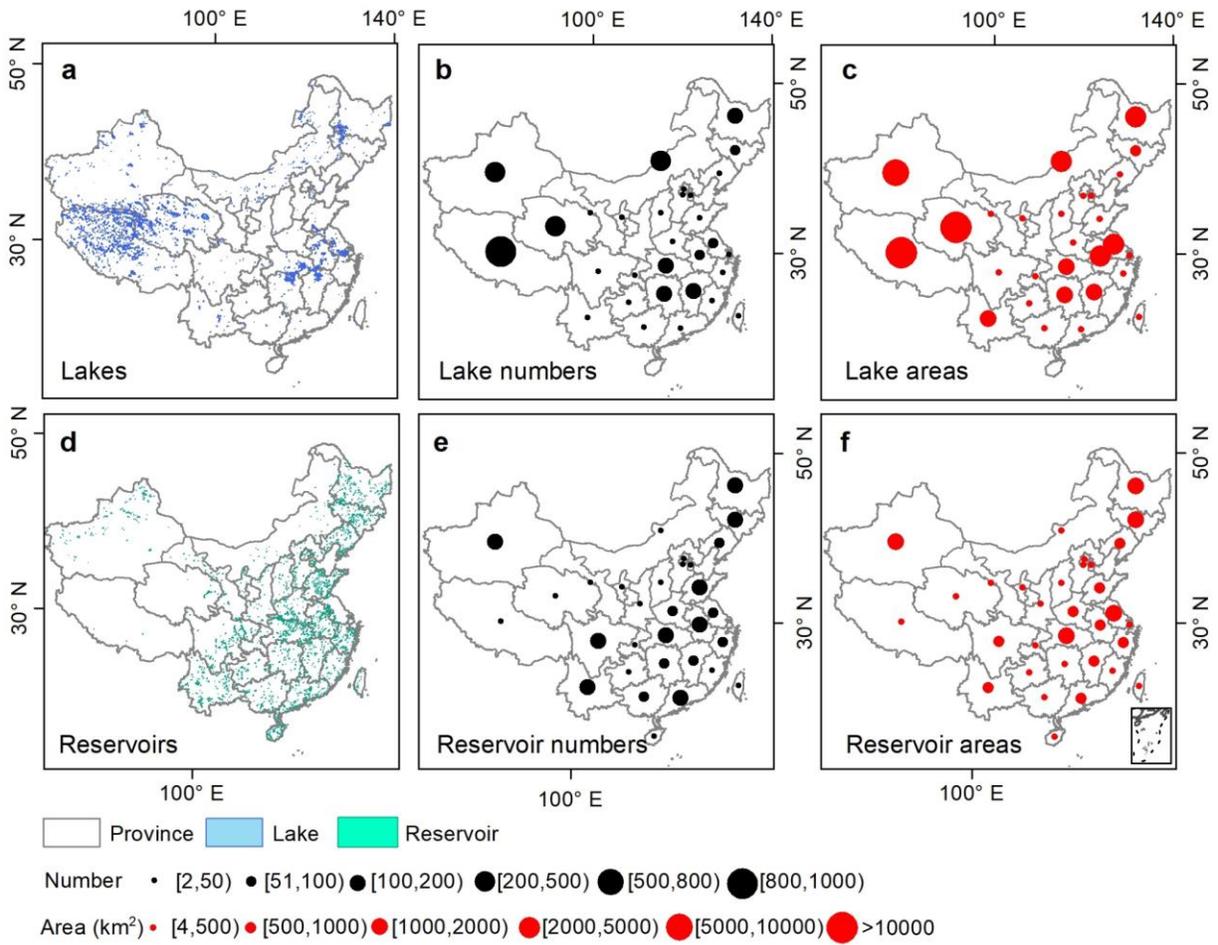
642

643 **Fig. 6.** Numbers and areas of yearlong surface water body polygons with different sizes.



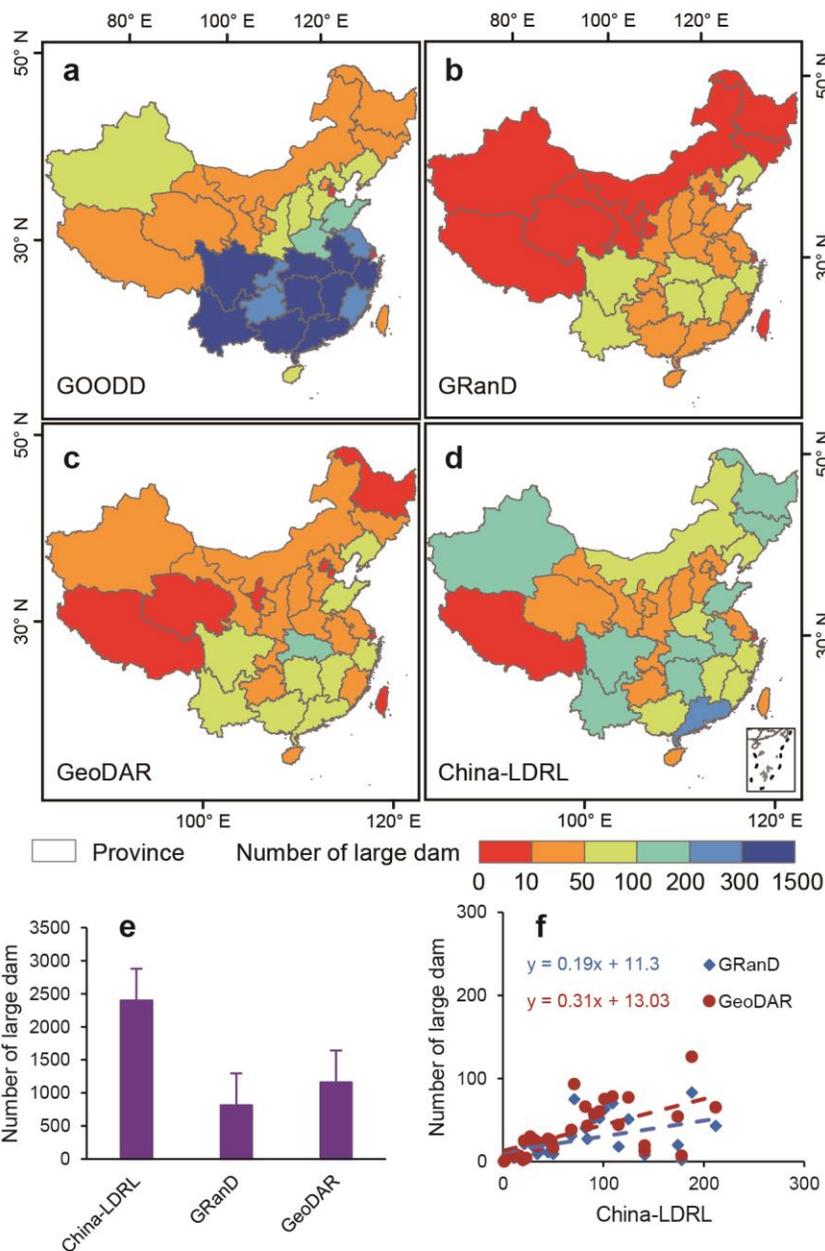
644

645 **Fig. 7.** Distribution of off-stream and on-stream dams in China for 2019. a, Spatial distribution of
 646 dams; b, Number of off-stream dams by province; c, Number of on-stream dams by province; d,
 647 Percentage of off-stream and on-stream dams by province.



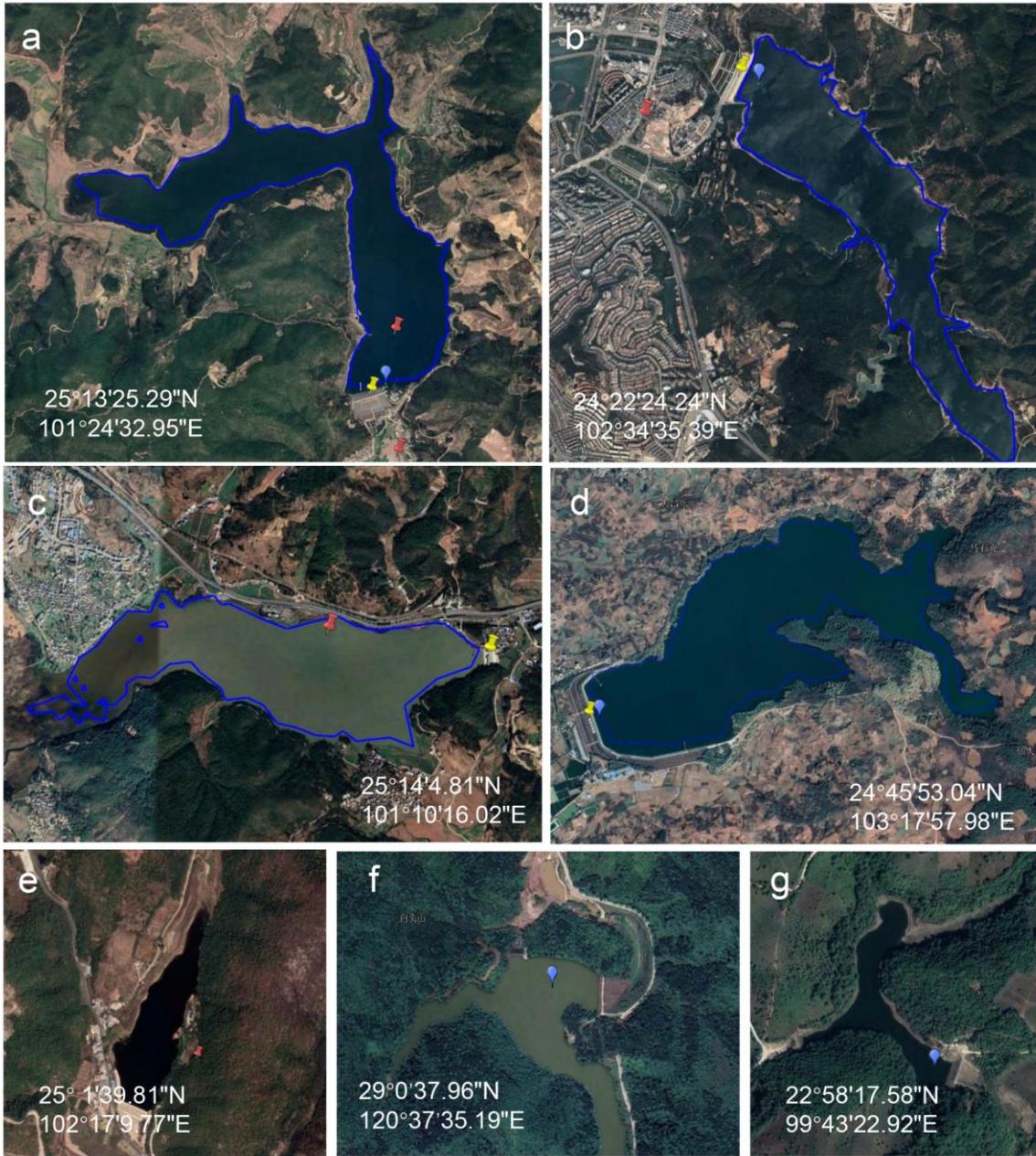
648

649 **Fig. 8.** Numbers and areas of lakes and reservoirs in China for 2019. a, Spatial distribution of lakes;
 650 b, Lake numbers by province; c, Lake areas by province; d, Spatial distribution of reservoirs; e,
 651 Reservoir numbers by province; f, Reservoir areas by province.



652

653 **Fig. 9.** Numbers of large dams (with reservoir area > 1 km²) of different datasets. (a) Large dam
 654 number in the GOODD dataset; (b) Large dam number in the GRanD dataset; (c) Large dam
 655 number in the GeoDAR dataset; (d) Large dam number in the China-LDRL dataset; (e) Total
 656 numbers of large dam from different datasets in China; (f) The relationships of large dam numbers
 657 between China-LDRL, GRand and GeoDAR datasets.



658

659 **Fig. 10.** Dams from the GOODD, GeoDAR, and China-LDRL datasets within Google Earth Pro

660 (© Google Earth Pro 2019).