# The RapeseedMap10 database: annual maps of rapeseed at a spatial resolution of 10 m based on multi-source data

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**Abstract.** As a major oilseed crop, large-scale and high-resolution maps of rapeseed (Brassica napus L.) are critical for predicting annual production and ensuring global energy security. However, such free maps are still unavailable in large areas. We designed a new pixel- and phenology-based algorithm and produced a new data product for rapeseed planting area (2017-

- 10 2019) over 33 countries at 10m spatial resolution based on multiple data. The product showed good consistency with the official statistics (Food and Agricultural Organization of the United Nations, FAO) at the national level. Rapeseed maps achieved at least 0.81 F1 scores of spatial consistency when comparing with the Cropland Data Layer (CDL) in America, Annual Crop Inventory (ACI) in Canada, Crop Map of England (CROME), and Land Cover Map of France (LCMF). Moreover, their F1 scores ranged from 0.84 to 0.92 based on the independent validation samples, implying a good consistency with
- 15 ground truth. The rapeseed crop rotation is at least 2 years in almost all countries in this study. Our derived maps with reasonable accuracy suggest the robustness of pixel- and phenology-based algorithm in identifying rapeseed over large regions with various climate and landscapes. The derived rapeseed planting areas freely downloaded can be applied to predict rapeseed production and optimize planting structure. The product is available publicly at <u>http://dx.doi.org/10.17632/ydf3m7pd4j.3</u> (Han et al., 2021).

# 20 1 Introduction

Currently, fossil fuels are the main source of energy (Fang et al., 2016; Shafiee and Topal, 2009). However, overexploiting fossil fuels will increase risks for human survival such as greenhouse gas emission, and environmental pollution (Fang et al., 2016; Höök and Tang, 2013). Biofuel energy seems to be a promising alternative energy source and has become a key concern (Hassan and Kalam, 2013). Rapeseed is an important source of biofuels, edible oil, animal feed, and protein powder plants

25 (Firrisa et al., 2014; Malça and Freire, 2009; Sulik and Long, 2016). As a widely grown winter or spring crop, global rapeseed production has been increasing rapidly in the past few decades. Data products about the planting densities, growth conditions, and productivity of rapeseed are dependent on precise and accurate planting area maps (Zhang et al., 2019). However, such maps are yet unavailable.

Global agricultural statistics on rapeseed in many regions come from field surveys, field sampling, and producer reports (Arata

- 30 et al., 2020; Fuglie, 2010). Ground-based methods are time-consuming and labor-intensive and fail in describing the detailed spatial information of rapeseed fields (Wang et al., 2020a). Remote sensing technology plays an important role in agricultural monitoring, providing accurate and objective spatial-temporal crop information (Dong et al., 2016; Salmon et al., 2015). In previous literature, MODIS and Landsat were used to identify different crop types over large scales (Dong et al., 2016; Gong et al., 2013; Salmon et al., 2015; Xiao et al., 2006; Zhang et al., 2020). With a higher spatial resolution than MODIS and
- 35 Landsat data, Sentinel-1/2 (S1/2) show a greater power for high-resolution crop mapping (Malenovský et al., 2012; Singha et al., 2019).

At present, many land cover products from remote sensing have publicly provided a cropland layer, e.g. the Fine Resolution Observation and Monitoring of Global Land Cover (FROM-GLC) project (Gong et al., 2013), the GLOBCOVER land-cover maps (Arino et al., 2008), the Global Land Cover 2000 (GLC2000) map (Bartholomé and Belward, 2005), ChinaCropPhen1km

- 40 (Luo et al., 2020), and Global Food Security-support data at 30 m (GFSAD30) (Phalke et al., 2020; Xiong et al., 2017). However, cropland identified by these products either failed in distinguishing different crop types or had a coarse spatiotemporal resolution (Teluguntla et al., 2018) or excluded rapeseed information. Till nowadays, there are few rapeseed maps on a large scale, especially at 10m-resolution. The 30m-resolution Cropland Data Layer (Boryan et al., 2011) for the America and Annual Crop Inventory in Canada (Fisette et al., 2013) did classify various crops using the decision tree
- 45 classification method based on a large number of training samples. However, the method is hard to apply to other developing regions due to a lack of ground training samples (Xiong et al., 2017). A new method is highly required to map large-scale annual maps with high spatial resolution, which will be widely applicable for the regions with scare ground training samples. Five remote sensing-based methods for rapeseed mapping have been developed in recent decades: a) machine learning: supervised classifiers such as Random Forest (RF) (Griffiths et al., 2019; Preidl et al., 2020), and unsupervised classifiers such
- 50 as Iterative Self-Organizing Data Analysis Technique (She et al., 2015; Tao et al., 2020); b) a classifier based on time series data: e.g. an automatic rapeseed classification method using sentinel 2 images (Ashourloo et al., 2019); c) a threshold segmentation based on phenology (Tian et al., 2019); d) Multi-Range Spectral Feature Fitting (MRSFF) (Pan et al., 2013); and e) HSV transformation and Spectral Features (Wang et al., 2018). However, most methods only produce rapeseed maps for a small area based on very limited imageries taken on the rapeseed peaking flowering dates (Ashourloo et al., 2019; She et al., 2019); and et al., 2019; She et al., 2018).
- 55 2015). The peak flowering dates vary by area and cultivar because of differences in natural conditions and cultivation habits, especially over a large region (d'Andrimont et al., 2020; Ashourloo et al., 2019; McNairn et al., 2018). Thus, it is still a big challenge to automatically map rapeseed areas with a finer resolution over a large region by applying the above methods. Cloud computing technology is developing rapidly as its powerful computing capability (Gorelick et al., 2017) and has made

high-resolution rapeseed maps possible over large areas. Google Earth Engine (GEE) can provide unprecedented opportunities

60 to process large amounts of remote sensing data with the most advanced cloud computing and storage capabilities (Gorelick et al., 2017).

Considering the unique phenological characteristics of crops, many studies have indicated the potential ways based on phenology for crop identifying in large areas (Ashourloo et al., 2019; Dong et al., 2016; Liu et al., 2018b, 2020a; Zhang et al., 2020). The algorithm based on phenology develops classification rules through analyzing the unique characteristics of the crop,

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which have been successfully applied to mapping rice (Dong et al., 2016), soybean (Zhong et al., 2014), corn (Zhong et al., 2016), and sugarcane (Wang et al., 2020a), but rarely applied to rapeseed. Rapeseed has unique reflectance and scattering characteristics (Ashourloo et al., 2019; McNairn et al., 2018; Sulik and Long, 2015, 2016), and undergoes three canopy morphologies (Ashourloo et al., 2019; Rondanini et al., 2014), including leaves, vellow petals, and pods/branches. Each canopy shape strongly influences how solar radiation intercepts (Sulik and Long, 2016). Compared with other crops, rapeseed is more

- 70 easily to be identified, of which the yellow flowers significantly increase the reflectance of red and green bands (Pan et al., 2013; Sulik and Long, 2015). Additionally, when rapeseed grows, the backscatter signal increases because of the rough canopy structure formed by the intertwined pods (McNairn et al., 2018; Mercier et al., 2020; Tian et al., 2019; Veloso et al., 2017). Thus we are sure the specific features of reflectance values and scattering coefficients of rapeseed from  $S^{-1/2}$  data will provide information for automatic mapping of rapeseed over larger areas and with a finer resolution.
- 75 Also, crop rotation is beneficial to the management of pests and diseases in crop production (Harker et al., 2015; Liu et al., 2018a). Previous studies have shown that crop rotation is one of the main causes of yield change in rapeseed production (Harker et al., 2015; Ren et al., 2015). The physical and chemical properties of the soil will change during crop rotation, and these changes will affect rapeseed growth (Ren et al., 2015). Most of the current studies are limited to field observations (Peng et al., 2015). The spatial distribution information of rapeseed rotation in different regions is still not clear due to the lack of
- 80 high-resolution rapeseed maps. It is necessary to explore the rapeseed rotation for cultivation and management. Thus, we integrated multi-source data to 1) develop a new method for identifying rapeseed; 2) apply the new method to generate rapeseed maps with a spatial resolution of 10 m from 2017 to 2019 across the main planting areas of 33 countries; 3) analyze the geographic characteristics of rapeseed planting and crop rotation. The proposed algorithm and its derived products may benefit scientists, decision-makers, and local farmers to ensure food and energy security.

#### **2** Materials 85

#### 2.1 Study area

We identified rapeseed planting areas for 33 countries in three continents (North America, South America, and Europe) as they are the main rapeseed producers in the world (Fig. 1). The planting areas and production of rapeseed are large in Canada and the European Union (EU) (Carré and Pouzet, 2014; van Duren et al., 2015; Rondanini et al., 2012). According to the report

90 by Statistics Canada, from 2000 to 2019, the sown area of rapeseed in Canada increased by 1.7 times, and the production increased by 2.7 times (https://www.canolacouncil.org/). Rapeseed is grown in most European countries. The EU's rapeseed production of 2017 was approximately 1.92 times that in 2000 (d'Andrimont et al., 2020). Europe produces a large amount of biodiesel for the world every year. In 2008, 79% of the biodiesel feedstock crops in Europe were rapeseed (van Duren et al., 2015). Also, Chile is the main rapeseed producer in South America and the country with a high yield of rapeseed in 2018 (38877 kg/ha). Rapeseed agriculture in these countries is important in food and energy security (Carré and Pouzet, 2014). The climates in these three continents are different because of factors such as latitude and topography. Europe includes three climatic types: subtropics, boreal, and temperate (Fig. S1b) (Peel et al., 2007). The climate in the rapeseed growing areas in Canada and northern America is temperate and boreal (Fig. S1a). Chile has the main subtropics climate (Fig. S1c). Rapeseed planting seasons are distinctive because of differences in natural conditions (such as climate) in different countries (Singha et al., 2019; Wang et al., 2018), which brings great challenges to mapping rapeseed.

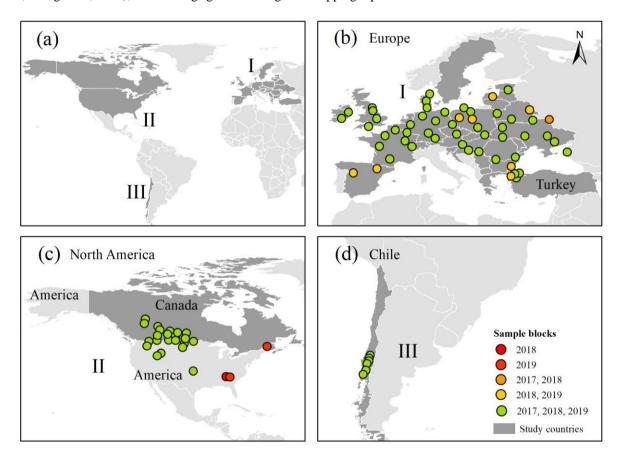


Figure 1. The locations of 33 countries and the sample blocks for phenological monitoring with a radius of 10 km (a-d). The 33 countries include Canada, America, Chile, Ireland, England, France, Spain, Netherlands, Belgium, Luxembourg, Germany, Switzerland, Denmark, Sweden, Poland, Czech Republic, Austria, Slovenia, Croatia, Slovakia, Hungary, Estonia, Latvia,

105 Lithuania, Belarus, Ukraine, Moldova, Romania, Bulgaria, Serbia, The Former Yugoslav Republic of Macedonia, Greece, Turkey.

## 2.2 Data

# 2.2.1 Remote sensing data

We collected the Sentinel-2 (S2) and Sentinel-1 (S1) imagery (Table 1). The S1/2 satellites are launched by the European

- 110 Space Agency (ESA) (Drusch et al., 2012; Torres et al., 2012). The highest spatial resolution of S2 images is 10 m. We used red (b4), green (b3), and blue (b2) spectral bands with 10<sup>-m</sup> spatial resolution Top-Of-Atmosphere (TOA) reflectance observations. The S2 TOA product includes the Quality Assessment (QA) band, which was used to remove most of the badquality images (e.g. clouds information) in this study. However, it is difficult to remove all clouds due to the quality of the QA band (Wang et al., 2020a; Zhu et al., 2015). The S1 includes four modes: Stripmap (SM), Interferometric Wide Swath (IW),
- 115 Extra Wide Swath (EW), and Wave (WV) (Torres et al., 2012). We used the IW mode, which provides dual-band crosspolarization (VV) and vertical transmit/horizontal receive (VH) with a 12 day or 6-day repeat cycle and 10m space resolution (Torres et al., 2012). The S-1/2 images were obtained on GEE. Also, we used OA bands to remove most of the bad-quality images on GEE (Sample code can be found at https://code.earthengine.google.com/?scriptPath=Examples%3ADatasets%2FCOPERNICUS\_S2). See Table 1 for more

120 details.

#### 2.2.2 Digital elevation model

We used a spatial resolution of one arc-second (approximately 30 m) elevation data from the Space Shuttle Radar Terrain Mission (Table 1) (Farr et al., 2007). Then we calculated the spatial distribution of slop on GEE (Sample code can be found at https://code.earthengine.google.com/?scriptPath=Examples%3ADatasets%2FUSGS\_SRTMGL1\_003) (Fig. S1d-f). Later, we extracted areas with a slope less than  $10^{\circ}$  to mask hilly terrain where rapeseed is unlikely to be planted (Jarasiunas, 2016).

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#### 2.2.3 Cropland and agricultural statistics data

In this study, cropland data from the GFSAD30 were used to identify major farming areas in different countries (Phalke et al., 2020; Xiong et al., 2017). The existing crop data products containing rapeseed information include 4 datasets: 1) the 30-m Annual Crop Inventory (ACI) in Canada (Fisette et al., 2013), 2) the 30-m Cropland Data Layer (CDL) in America (Boryan 130 et al., 2011) (CDL and ACI layers are downloaded from GEE). 3) the Crop Map of England (CROME) was generated in England. 4) the 10-m Land Cover Map of France (LCMF) in France (Inglada et al., 2017). These four crop layer products are generated based on satellite images and a large number of training sample collections. In this study, rapeseed maps in ACI, CDL, CROME, and LCMF were used for accuracy verification at the pixel level. The FAO releases annual statistics on the area for major crops in different countries or regions every year. We selected the statistics from FAO for accuracy verification.

135 Please see Table 1 for more details.

# 2.2.4 Crop calendars

We used two crop phenological data sets to assist in extracting rapeseed phenological parameters, crop calendars in different countries (<u>https://ipad.fas.usda.gov/ogamaps/cropcalendar.aspx</u>) and field records of the crop phenology in Germany. The crop calendars come from the United States Department of Agriculture (USDA) which only records the planting and harvest time

- 140 of rapeseed in some countries (Table S1). The field records of the crop phenology in Germany are In-situ observations from crop phenological records shared by the Deutsche Wetterdienst (DWD) in Germany (Kaspar et al., 2015). The DWD provides field observations of crop phenological periods following the Biologische Bundesanstalt, Bundessortenamt, and Chemical (BBCH) scale throughout Germany (Table 1). DWD records the start date and the end date of rapeseed flowering (d'Andrimont et al., 2020; Kaspar et al., 2015). Note that both crop calendars and DWD do not contain information on the peak flowering
- 145 dates of rapeseed. We used all stations that fully recorded the start and end of the flowering periods from 2017 to 2019 for extracting rapeseed phenological parameters. Finally, 281, 269, and 253 stations are available in 2017, 2018, and 2019, respectively. (the spatial distribution of the DWD rapeseed stations can be found in Fig. S2.

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Table 1 Detailed information about the data collected in this work.

Data	Time	Resolut ion	Institution	Version	Data access	Date of recent access	Descriptions
Sentinel-1 SAR GRD	2017-2019	10m	European Space Agency (ESA)	ı	https://developers.google.com/earth- engine/datasets/catalog/COPERNICUS_S1 	2020/11/15	Extracting the backscatter coefficient characteristics of rapeseed
Sentinel-2 MSI	2017-2019	10m	ESA	Level-1C	https://developers.google.com/earth- engine/datasets/catalog/COPERNICUS_S2	2020/11/15	Calculating the spectral indices after removing the cloud
Global Food Security- Support Analysis Data at 30 m (GFSAD30)	2015	30m	United States Geological Survey (USGS), NASA., et al.	V001	https://search.earthdata.nasa.gov/search?q= GFSAD30	2020/11/5	Identifying crop growing areas
The Shuttle Radar Topography Mission (SRTM)		30m	NASA Jet Propulsion Laboratory (JPL)	V3	https://developers.google.com/earth- engine/datasets/catalog/USGS_SRTMGLJ _003	2020/10/1	Calculating slope map
Cropland Data Layer (CDL)	2017, 2019	30m	United States Department of Agriculture (USDA)	ı	https://developers.google.com/carth- engine/datasets/catalog/USDA_NASS_CD_2020/12/1 L	2020/12/1	Accuracy verification of rapeseed map at pixel level
Annual Crop Inventory (ACI)	2017, 2018	30m	Agriculture and Agri- Food Canada (AAFC)	ı	https://developers.google.com/earth- engine/datasets/catalog/AAFC_ACI	2020/12/1	Accuracy verification of rapeseed map at pixel level
Crop Map of England (CROME)	2018	hexago n cells	Rural Payments Agency (RPA)	V.09	https://data.gov.uk/dataset/fb19d34f-59e6- 48e7-820a-fe5fida3019e5/crop-map-of- england-crome-2018	2021/1/15	Accuracy verification of rapeseed map at pixel level
Land Cover Map of France (LCMF)	2018	10m	CNES/DNO/OT/PE	V1-0	https://www.theia-land.ft/en/2018-land- cover-product/?cover-product%2F	2021/3/22	Accuracy verification of rapeseed map at pixel level
Phenological database of Germany	2017-2019	ī	Deutsche Wetterdienst (DWD)		https://www.dwd.de/DE/leistungen/cdc/cli mate-data- center.html?nn=575620&lsbld=646252	2020/10/1	Identifying the phenological characteristics of rapeseed
Agricultural statistics data	2017-2019	·	Food and Agriculture Organization (FAO)		http://www.fao.org/faostat/en/#data/QC	2020/12/1	Verifying the accuracy of rapeseed map at national scale
Crop Calendars	ı	ı	United States Department of Agriculture (USDA)		https://ipad.fas.usda.gov/ogamaps/cropcale ndar.aspx	2020/10/1	Auxiliary reference data for identifying the flowering period of rapeseed

### 2.3 Methods

# 2.3.1 Optical and SAR characteristics during the growing period of rapeseed

We selected available rapeseed parcels and in-situ observations of DWD from different climate regions and different years to 170 analyze the optical (reflectance and vegetation index) and SAR (VV, VH) characteristics of rapeseed along time. For example,

- Fig. 2 shows the time series of one rapeseed parcel around the DWD station (station id: 13126) in 2018. The rapeseed parcel shows unique visual characteristics during the flowering period (Fig. S3). The flower becomes yellow when rapeseed is approaching peak flowering (d'Andrimont et al., 2020; Pan et al., 2013; Tao et al., 2020; Wang et al., 2018). Rapeseed is yellow-green on the true color images of S2 and Google Earth during the flowering period (Fig. S4). The reflectance of the
- 175 green band and red band separately increased from 0.09 and 0.06 (2018/4/17, before flowering) to 0.16 and 0.14 (2018/5/7, peak flowering), and decreased after flowering (Fig. 2a). The reflectance of the blue band is lower than red and green bands during flowering. The reflectance of the red band increased again and higher than the green band during the rapeseed harvest period. This is similar to previous research results (Ashourloo et al., 2019; Sulik and Long, 2015). The Normalized Difference Yellow Index (NDYI, Eq.1) can capture the increasing vellowness in the time series (d'Andrimont et al., 2020; Sulik and Long,
- 180 2016). Also, the NDYI increased from -0.03 on April 17 to 0.21 on May 7 (Fig. 2b). NDYI reaches a peak during the flowering time of rapeseed. This unique spectral feature of rapeseed in the flowering period is caused by the yellow petals. NDVI - green-blue

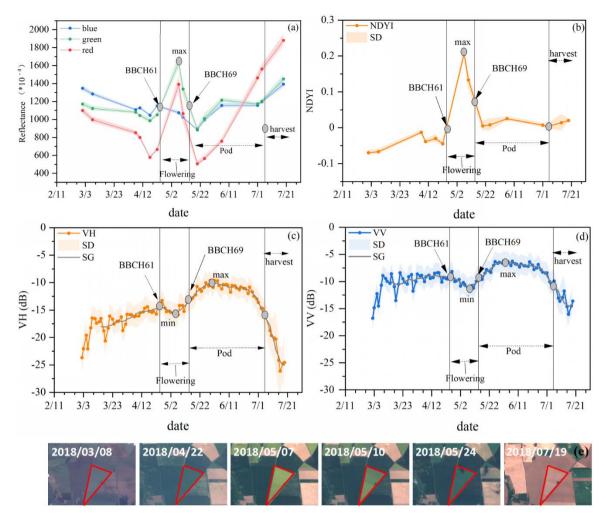
$$NDYI = \frac{}{green+blue}$$

(1)

where green is the TOA reflectance of the green band (b3) of the S2 imagery, *blue* is the blue band (b2) reflectance.

S1 backscattering changes with the growth of rapeseed. We used the VV and VH time series smoothed by the Savitzky–Golay

- 185 (SG) filter (window size 3) (Chen et al., 2004) as input to identify the phenological parameters of rapeseed parcels. We ran the SG filter algorithm on MATLAB 2020b. The results show that there are local minimums in both the VV (-11.20, May 8) and VH (-15.60, May 5) time series during rapeseed flowering (Fig. 2c-d). Furthermore, VH reaches the maximum (-9.64, June 1) during the pod period (Fig. 2d). Unlike other crops, rapeseed has two distinct green-up phases: the flowering period and the pod period (Ashourloo et al., 2019; Bargiel, 2017; Mercier et al., 2020; Veloso et al., 2017). The petals of rapeseed decrease
- 190 the scattering of VV and VH, while the pods increase the scattering intensity of VH (d'Andrimont et al., 2020; Bargiel, 2017; McNairn et al., 2009; Mercier et al., 2020). The NDYI and backscattering (VV, VH) time series of rapeseed in different climate regions (Fig. S4) also show the same characteristics. Hence, we used the features in both Optical and SAR to identify the rapeseed flowering and pod period in this study. Due to the difference in the revisit periods of S1/2 satellites, rapeseed peak flowering dates are defined as the median dates extracted by optical and SAR indicators.



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Figure 2. The time-series profiles of four features of the rapeseed pixels around one DWD station (id = 13126, Longitude: 11.333268424°, Latitude: 52.200000463°) in Germany in 2018. (a), the mean reflectance values (red, green, and blue); (b), mean NDYI; (c) mean VH; and (d) mean VV; the filled color areas for standard deviation; BBCH for the in-situ observations of rapeseed phenology, with BBCH61 and BBCH69 for the start of flowering and the end of flowering, respectively. (e), The rapeseed parcel around the DWD station is shown by red boundaries (image source: Copernicus Sentinel-2 data 2018).

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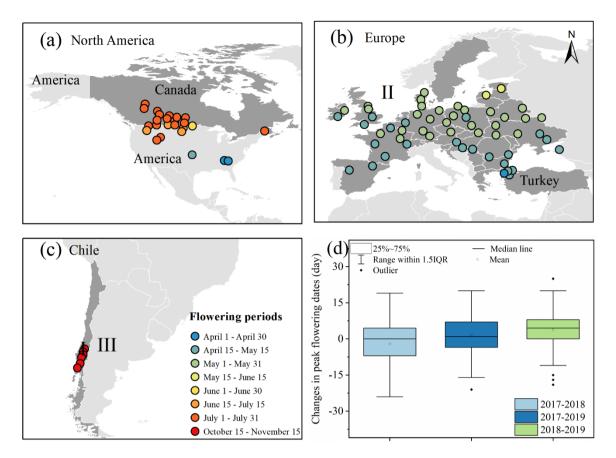
# 2.3.2 Sample blocks collected for phenological monitoring

As a prerequisite to mapping rapeseed at a large scale, the phenology of rapeseed in different countries needs to be identified and delineated (Dong et al., 2016; Zhang et al., 2020). However, not enough observation records of rapeseed phenology are available on a large scale. Referring to the DWD method of observing phenology (Kaspar et al., 2015), we created sample blocks with a radius of 10 km over rapeseed producing areas of different countries and randomly sampled 10 rapeseed parcels for each block (Fig. S5). The rapeseed plots were identified by phenological characteristics obtained from the visual interpretation and reference data including high-resolution images available in S2 and Google Earth as well as Spectral reflectance (red band and green band) and spectral index (NDYI) and scattering coefficient profiles (VV and VH) from the S1/2 time series. It should be noted that the Google Earth images during rapeseed flowering were used to assist with the visual

210 interpretation of rapeseed parcels. The rapeseed parcels without high-quality time-series imagery available were omitted. Finally, 75 sample blocks in 2017, 84 sample blocks in 2018, and 84 sample blocks in 2019 were uniformly and randomly collected. The sample blocks are shown in Fig. 1. We extracted the growth phenology information of rapeseed by calculating the average of the pixels of all rapeseed parcels in each block for different regions.

# 2.3.3 Flowering and pod phase detection in different countries

- 215 The phenology of rapeseed is different among regions. To find out the flowering dates of rapeseed in different countries, we evaluated each phenological sample block from 2017 to 2019. First, we calculated the average values of all pixels in the 10 rapeseed parcels we selected before during the rapeseed growth period for each block in conjunction with the crop calendar. VV and VH time series for each sample rapeseed parcels were smoothed using the SG filter. Second, these S1/2 peak flowering dates and pod dates were derived for all sample blocks based on the method in Section 2.3.1. We found the peak flowering
- 220 dates of rapeseed have an obvious latitude gradient, especially in Europe (Fig. S6). Also, we found the signal with the maximum of VH is within 45 days after the peak date of flowering (Fig. S7). Then we calculated the difference in the peak flowering date of each sample block in different years. The results showed that the flowering peak dates of most sample parcels were advanced or delayed by 10 days (Fig. 3d). Therefore, it is reasonable to use the same period for rapeseed identification in different years in the same area in this study. Previous studies and field observation records show that the flowering period
- of rapeseed is about 30 days (d'Andrimont et al., 2020; Chen et al., 2019; Kaspar et al., 2015; She et al., 2015). Therefore, we divided each month into two time periods (the 15th is the dividing line). Two consecutive half-months are defined as suitable periods for classifying flowering dates. Finally, we designated the flowering period for each sample block based on the peak flowering dates (Fig. 3a-c).



230 Figure 3. Flowering phenology of rapeseed. (a-c) The spatial distribution of rapeseed flowering periods for sample blocks. (d) The boxplot showing the changes in peak flowering dates of each sample block in three years.

#### 2.3.4 Developing phenology- and pixel-based algorithm for mapping rapeseed

The analysis of temporal profile at rapeseed parcels in this study together with many previous studies indicated that the spectrum at flowering stage and the scattering signal at pod stage are the key features to identify rapeseed (Ashourloo et al., 2019; Bargiel, 2017; Han et al., 2020; Mercier et al., 2020; Sulik and Long, 2015; Veloso et al., 2017). Previous studies have found the high reflectance values of the green band and red band at the flowering stage for rapeseed are the main spectral factors to distinguish from other crops (Ashourloo et al., 2019). We developed one phenology- and pixel-based rapeseed mapping algorithm using four features, spectral bands (red and green), spectral indices (NDYI), polarization bands (VH), and terrain (slope). Four primary steps were conducted for mapping annual planting areas (Fig. 5).

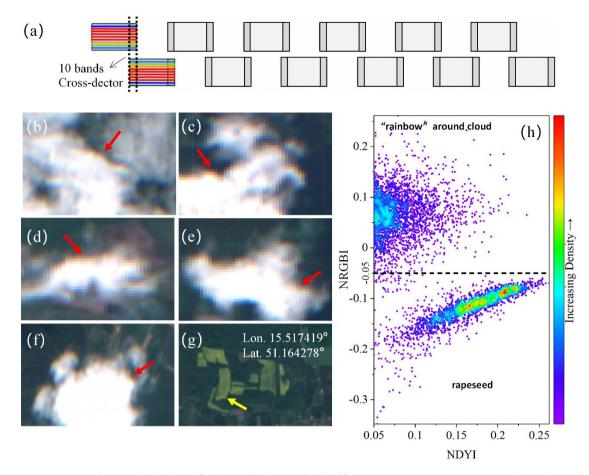
240 Step 1: determining the threshold of the feature indicators. Thresholds of indicators are the key parameters to determine the area accuracy. We analyzed the histograms of the random samples selected from different countries as the previous studies (Zou et al., 2018) suggested. We found the similarities of green band, blue band, and NDYI (Fig. S9) for all samples during

the flowering stage from different regions. Most (98%) of the rapeseed pixels showed red > 0.07, green > 0.11, and NDYI > 0.05.

- 245 However, we found some pixels with a relatively high NDYI, which would be misclassified into rapeseed because they are polluted by the cloud with a "rainbow" appearance. Such misclassifications caused by some bad-quality observations from the S2 image can't be removed due to the limited quality of the QA band and simple cloud score algorithm (Wang et al., 2020c; Zhu et al., 2015). The "rainbow" of the cloud comes from the push-broom design of S2 (Fig. 4a) and spectral misregistration (For more details, please refer to ESA, 2015a, and ESA, 2015b). Based on the principle of the relative displacement of each
- 250 spectral channel sensor in the S2 push-broom design (Frantz et al., 2018; Liu et al., 2020b; Zhao et al., 2018), we developed a new spectral index (NRGBI) to reduce the influence of "rainbow" (Eq.2). The scatter plot of NDYI and NRGBI of rapeseed parcel samples and "rainbow" samples around clouds (visual interpretation) showed that the NRGBI (threshold is -0.05) can effectively distinguish rapeseed from the "rainbow" (Fig. 4h).

$$NRGBI = \frac{red-blue}{red+blue} - \frac{green-blue}{green+blue}$$
(2)

255 where red, green, and blue are the TOA reflectance values of the red band (b4), green band (b3), and blue band (b2) of the S2 imagery, respectively. The GEE code for NRGBI index calculation can be found at https://code.earthengine.google.com/a39fc699a276d018778d59c5b085d960. Also, NRGBI can be calculated based on Eq.2 in other GIS software (e.g. QGIS and ArcGIS) on the local computer.



- Figure 4. Causes, examples, and solutions for the "rainbow" cloud effect. (a) staggered detector configuration of S2 (ESA, 2015a); (b-f) examples of spectral misregistration effects and performance of cloud masking methods (each image was masked by quality assurance band (QA60)) for Sentinel-2 TOA image, with the red arrows indicating the cloud "rainbow" appearance at high altitude in the S2 image (Image source: Copernicus Sentinel-2 data); (g) Sentinel-2 TOA image of rapeseed at the flowering stage, with the yellow arrow for the rapeseed fields; (h) scatter plots of NDYI and NRGBI of rapeseed field samples
- and "rainbow" around clouds samples from the S2 images, with the color density for the number of pixels. Step 2: identifying all rapeseed pixels from different images during the flowering period and aggregating them into annual rapeseed planting areas (Fig. 5). Because the peak flowering dates and the number of available images of rapeseed fields in a region are different (Fig. S10), rapeseed classifications based on a single image could fail in capturing rapeseed flowering dynamics (Ashourloo et al., 2019). To avoid the misclassification from bad-quality observations during the rapeseed flowering
- 270 stage, we aggregated all the classified results from available S2 images during the flowering period. Hence, a larger number of images will result in better performance (Fig. S10).

Step 3: combining optical with SAR images to ensure the accuracy of the rapeseed maps. The high VH values during the pod stage are another distinct feature that can distinguish rapeseed from other crops (Mercier et al., 2020; Tian et al., 2019; Van

Tricht et al., 2018; Veloso et al., 2017). Considering the variability of flowering in different fields and the duration of the pod

- stage (Section 2.3.2), we calculated the maximum VH between the second half of the flowering stage and the next 30 days after the flowering stage (~ 45 days) (See the grey part in Fig. 5). Within 45 days, at least three S1 satellite images are available in the study areas. Also, the areas with a slope >  $10^{\circ}$  (where rapeseed is unlikely to be planted) were removed (Jarasiunas, 2016). All pixels that meet the requirements are defined as rapeseed.
- Step 4: removing the "salt and pepper" noise according to the connected components (objects) threshold (the size of the neighborhood in pixels) and filling the gaps inside the parcels (Hirayama et al., 2019). In this study, we used 8-connected rules, which means that the edges or corners of the pixels are connected. If two adjacent pixels are connected, they are part of the same object (https://www.mathworks.com/help/images/ref/bwareaopen.html). The bwareaopen function in MATLAB 2020b software was used to remove the objects which are less than the threshold. The thresholds of different indicators in different regions can be found in Table S3.

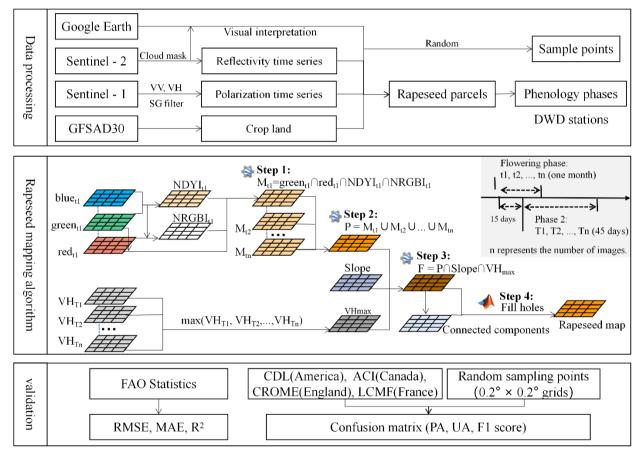


Figure 5. The workflow for mapping rapeseed areas using the proposed phenology- and pixel-based algorithm. Global Food Security-Support Analysis Data at 30m (GFSAD30); normalized difference yellowness index (NDYI); The new spectral index (NRGBI), Deutsche Wetterdienst (DWD), Food and Agriculture Organization of the United Nations (FAO), Root Mean Square

Error (RMSE), Mean Absolute Error (MAE), R-squared (R<sup>2</sup>), Cropland Data Layer (CDL), Annual Crop Inventory (ACI),

290 Crop Map of England (CROME), Land Cover Map of France (LCMF), user's accuracy (UA), producer's accuracy (PA), and F1 score (F1).

# 2.4 Accuracy assessment

295

First, we compared the rapeseed areas retrieved by the new method with FAO statistics. Our rapeseed data is a binary (0 or 1) map with a spatial resolution of 10 m. We then can calculate the total area of rapeseed maps in each country and compare them with the national rapeseed statistics. The RMSE (Eq.3), and the MAE (Eq.4), and the coefficient of determination ( $R^2$ , Eq.5) are used to verify the accuracy of rapeseed mapping.

$$RMSE = \sqrt{\sum_{i=1}^{n} \frac{(y_i - f_i)^2}{n}}$$
(3)

$$MAE = \frac{1}{n} \sum_{i=1}^{n} |y_i - f_i|$$
(4)

$$R^{2} = \frac{(\sum_{i=1}^{n} (y_{i} - \overline{y_{i}})(f_{i} - \overline{f_{i}}))^{2}}{\sum_{i=1}^{n} (y_{i} - \overline{y_{i}})^{2} \sum_{i=1}^{n} (f_{i} - \overline{f_{i}})^{2}}$$
(5)

300 where n is the total number of countries.  $y_i$  is the mapped rapeseed planting areas,  $\overline{y_i}$  is the corresponding mean value,  $f_i$  is the records rapeseed planting areas from FAO,  $\overline{f_i}$  is the corresponding mean value.

Also, we compared the rapeseed maps with four open-access datasets that include rapeseed layers (ACI, CDL, CROME, and LCMF) in Canada, America, England, and France at the pixel level. We used them as the reference data for 2018, 2019 (Boryan et al., 2011; Fisette et al., 2013). To unify the spatial resolution of the rapeseed maps, CDL, ACI, and CROME were resampled to 10m merclation for comparison. UA (Eq. 7), and E1 (Eq. 8) merclated based on confusion metricon (Table

to 10m resolution for comparison. UA (Eq.6), PA (Eq.7), and F1 (Eq.8) were calculated based on confusion matrices (Table S2) to measure the classification accuracy.

Thirdly, we also randomly selected verification samples based on the previous studies (Pekel et al., 2016; Wang et al., 2020b) to validate the rapeseed maps. A grid (0.2 latitudes by 0.2 longitudes) was generated within the rapeseed map in 2018 acquired by our method. Two points (rapeseed and non-rapeseed) were generated randomly in each grid by visually interpreting images

310 available from S2 and Google Earth, together with spectral reflectance (red and green bands), spectral index (NDYI), and scattering coefficient (VV and VH) profiles from the S-1/2 time series. The confusion matrices were similarly used to assess the accuracy according to Eqs 6~8.

$$UA = \frac{x_{ij}}{x_j} \tag{6}$$

$$PA = \frac{x_{ij}}{x_i} \tag{7}$$

$$315 \quad F1 = 2 \times \frac{UA \times PA}{UA + PA} \tag{8}$$

Where  $x_{ij}$  is the value of the *i*-th row and *j*-th column;  $x_i$  is the sum of the *i*-th row;  $x_j$  is the sum of the *j*-th column. Although the statistical data and existing products are not completely the same as the real areas and locations of rapeseed planted on the ground, these datasets do benefit to validating the accuracy of rapeseed maps at different scales (national and pixels).

# **3 Results**

# 320 3.1 Accuracy assessment

We compared the derived rapeseed areas with those from the FAO statistics. The total planting areas of rapeseed are well consistent with the agricultural statistics at the national level, with RMSE of 1459.64 km<sup>2</sup>, MAE of 785.25 km<sup>2</sup>, and R<sup>2</sup> of 0.88 (Fig. 6). We found the derived areas in 2017 and 2019 are larger than those in 2018, especially for the countries with the relatively small rapeseed areas (e.g. many European countries indicated by the subgraph located at the bottom right of Fig. 6.

325 The more availability of S2 images together with better quality of data in 2018 could contribute to the larger rapeseed areas derived by the new method (Liu et al., 2020a).

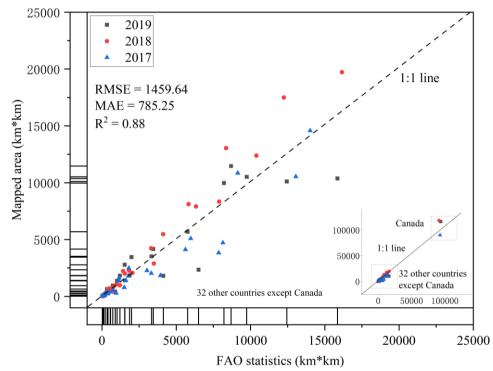


Figure 6. Comparison of rapeseed areas with the FAO statistics at the national level. The names of all countries can be found in Section 2.1.

The comparison of our rapeseed maps with those of America CDL in 2018, 2019, Canada ACI, England CROME, France LCMF in 2018 was consistent at pixel level indicated by a higher accuracy according to the confusion matrix values (Table S4). Fig. 7a shows that the rapeseed areas calculated from our maps are consistently more comparable to FAO statistics than those from existing products. The UA, PA, and F1 varied by country, with PA of 0.70–0.80, UA of 0.93–0.97, and F1 of 0.81–0.86 (Fig. 7b). The rapeseed areas obtained by us accounted for around 71% of 2018 CDL, 71% of 2018 ACI, and 80% of 2018 CROME, and 70% of 2018 LCMF, and 79% of 2019 CDL.

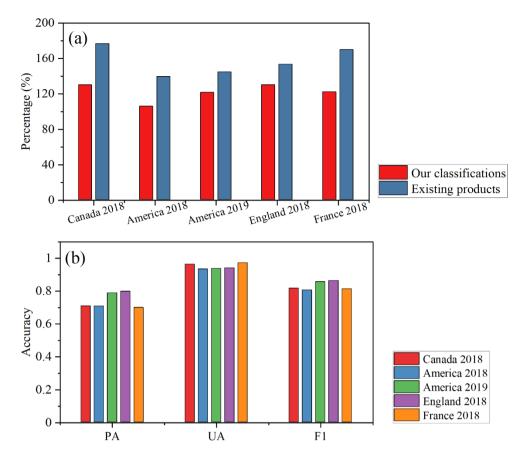


Figure 7. Validation results of the classifications. (a) The percentage of the rapeseed area of the existing products and classification results in the FAO statistics. (b) The user's accuracy (UA), producer's accuracy (PA), and F1 score (F1) of classifications in four countries (Canada, America, England, and France). The existing products were used as reference data.

The confusion matrix values (Table S5) based on random sampling points show that the accuracy of the rapeseed maps varies in different regions. We found Europe shows the highest accuracy (F1, 0.91), followed by Chile (F1, 0.9), and North America (F1, 0.84). Such disparity in accuracy might be ascribed to the different availability of high-quality images in the studied areas. The results showed that the rapeseed maps derived by our method had a satisfying accuracy.

#### 345 3.2 More details of rapeseed maps derived by the new method

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To show more details of rapeseed maps derived from our method, we selected some images in some areas of each country. The rapeseed maps show good spatial consistency with the actual rapeseed planted on the ground (Fig. 8). From the area densely planted by rapeseed in Canada (Fig. 8-a) to relatively sparse planting ones such as in Chile (Fig. 8-b) and European countries (e.g. Fig. 8-c,d) (Lowder et al., 2016), from regular rectangles (e.g. Fig. 8-a, h) to irregular parcels (Fig. 8-c, d), from

350 temperate oceanic climate (Fig. 8- c-e) to temperate sub-continental (Fig. 8-a, f), or even subtropic climate (Fig. 8-b), all field

details were indicated clearly in our maps. Fragmentation of land in some European countries, especially in Eastern and Central Europe after land reform in 1989 (Hartvigsen, 2013, 2014), such as Estonia (Fig.8f) (Jürgenson and Rasva, 2020; Looga et al., 2018). Although under different climates, terrain, landscapes, and over a very larger region, the algorithm proposed in our study showed a satisfying classification accuracy across 33 countries. Thus, the rapeseed maps based on S-1/2 data can

355 effectively identify the fields in detail with high spatial resolution and clear field boundaries. More rapeseed classification details can be found in Fig. S12 and Fig. S19.

Furthermore, our results showed consistent distributions between our rapeseed maps and the existing products at the pixel level (Fig. S13-S14). The yellow grids (70%~80%) mean they are identified as rapeseed areas both by our method and ACI/CDL/CROME/LCMF datasets, while red grids indicate disagreement. The difference in accuracy might be caused by the number of high-quality images available in different regions (Dong et al., 2016). Despite the various ground conditions,

360 number of high-quality images available in different regions (Dong et al., 2016). Despite the various ground conditions, methods, images, and spatial resolutions among the products, the comparison results further verify the accuracy of our rapeseed map (Gong et al., 2020; Singha et al., 2019).

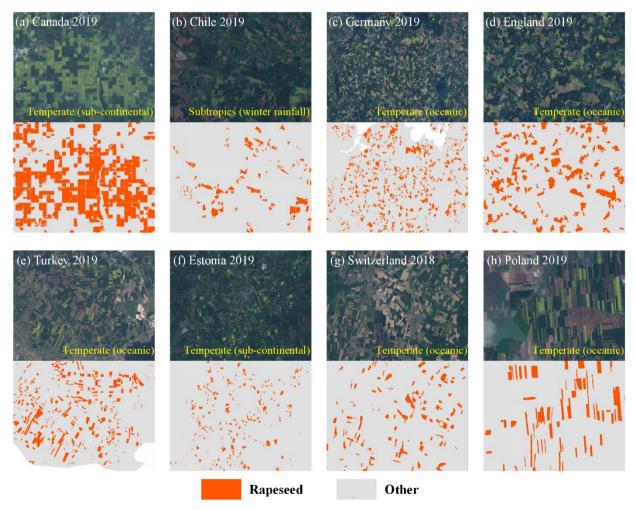


Figure 8. Spatially explicit details of rapeseed maps in eight countries with diverse crop structures in different years (the yellow

365 words show the climatic zones). RGB composite images use the red (b4), green(b3), and blue (b2) bands from Sentinel-2 with good-quality observations during the flowering period of rapeseed (image source: Copernicus Sentinel-2 data). The climate zone data is from the Food Insecurity, Poverty and Environment Global GIS Database (FGGD).

#### 3.3 Spatial patterns of rapeseed planting areas

- Canada shows the largest rapeseed planting area (Fig. 9, Fig. S15), with a total area of 118,489.73 km<sup>2</sup> in 2018, higher than
  those in Europe (106,814.67 km<sup>2</sup>). France and Germany are two leading rapeseed growing countries in Europe, accounting for around 66.3% of European rapeseed areas together with the other three countries (England, Poland, and Ukraine). The country-wide rapeseed areas in all 33 countries were further normalized to show clearly the spatial patterns (Fig. S15). The spatial patterns of three years (2017~2019) are consistent at the national level. Moreover, we also plotted the geographic characteristics of rapeseed areas along latitude and longitude for three regions (Fig. 9). Rapeseed in Europe is widely planted
  in the countries with latitudes of 45~56°N and longitudes of -2°~4°, 9°~19°, and 22°~27°, with exception of the steep mountainous areas and the cold northern areas (Fig. 9a) (van Duren et al., 2015). In North America, the areas with the latitudes
  - of 44~44.5°N, 51~55°N, 56~57°N and longitudes of  $-118^{\circ}$ ~ $-117^{\circ}$ ,  $-114^{\circ}$ ~ $-98^{\circ}$  are densely distributed by rapeseed (Fig. 9b).

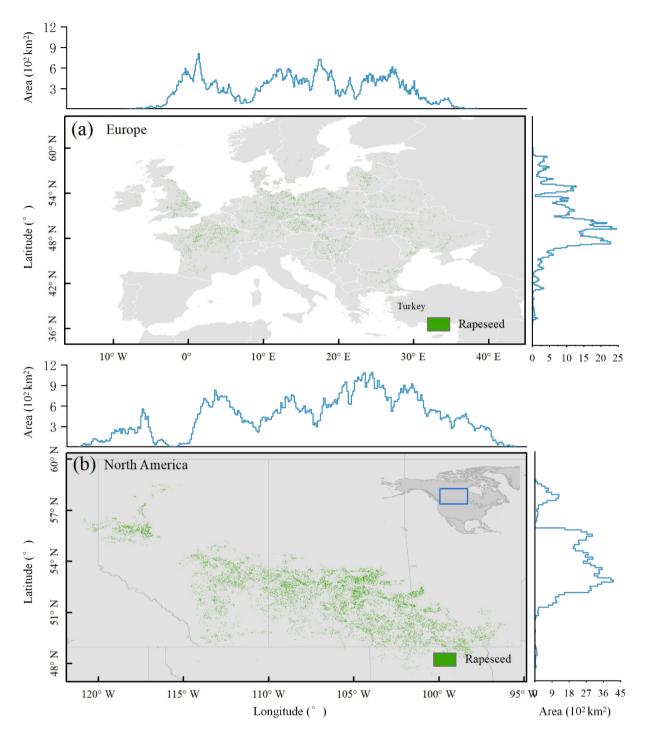


Figure 9. Spatial distribution of rapeseed areas at 10m resolution along latitude and longitude gradients in 2018. (a) Europe and Turkey. (b) Canada and America.

# **4** Discussion

## 4.1 Investigating the rapeseed rotation systems

We obtained three-year rapeseed maps at a 10<sup>-m</sup> spatial resolution, and with a higher accuracy which was validated by annual national statistic books, open accessed public products, and random sampling points at  $0.2^{\circ} \times 0.2^{\circ}$  grids. These rapeseed maps,

- 385 with good quality for three consecutive years, provide a new opportunity to investigate rapeseed rotation systems (Liu et al., 2018a). Crop rotation information is considered an important factor for crop yield (Harker et al., 2015; Liu et al., 2018a; Ren et al., 2015; Rudiyanto et al., 2019; Zhou et al., 2015). Thus, we selected 25 representative areas (Fig. S16) to analyze the rapeseed rotation patterns according to the three criteria. Firstly, the quality of images is high. Secondly, the classification accuracy of rapeseed is high. Thirdly, the area of rapeseed is large. The rapeseed rotation was calculated by the frequency in area provide and pixel (Fig. S17, 18).
- 390 each rapeseed pixel (Fig. S17-18).
  - Please note that the longest rapeseed rotation break that can be observed is 2 years because there are only three years (2017-2019) of rapeseed maps available. Thus, to more accurately express the pattern of rapeseed rotation break, we classified the rapeseed rotation break into three types:  $\geq 2$  years, 1 year, 0 years in this study (Fig. 10 and Fig. S17-18). We found most countries show a rotation break greater than or equal to 2 years (the highest ratios of green parts) (Fig. 10), especially for
- 395 European countries (Fig. 10-b). The rotation break ≥ 2 years in Canada accounts for 70%, followed by 1-year break (30%) (Fig. 10-a). The histogram confirmed that rotation breaks of 20 locations have been identified ≥ 2 years. The percentage of planting areas with rotation break ≥ 2 years is higher than 90% (Fig. 10-d). Many previous studies have found that a two- or three-year rotation break will significantly reduce the number of spores, especially rhizomes and blacklegs, suggesting rotation system is an important step in controlling diseases (Gill, 2018; Harker et al., 2015; Ren et al., 2015; Zhou et al., 2015).
- 400 Moreover, rapeseed rotation will also benefit yield, insects, moisture, fertility, and reducing weeds (Bernard et al., 2012; Harker et al., 2015; Pardo et al., 2015; Peng et al., 2015; Ren et al., 2015). Thus, more efforts should be input to produce longer timeseries rapeseed maps and obtain detailed rotation information in the future.

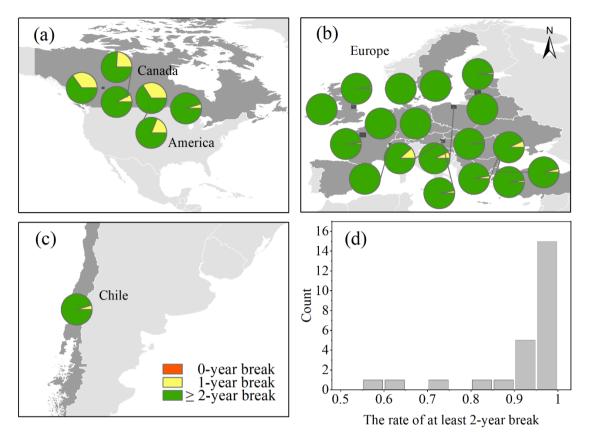


Figure 10. Crop rotation. (a-c) The proportions of the total area planted by rapeseed for three rapeseed rotation breaks. The sample blocks selected for each area are red in Fig. S16. (d) The numbers of areas with  $\geq$  2-year break in Fig. 10 a-c.

#### 4.2 Uncertainty analysis

Generating annual high-resolution maps of a specific crop over a larger region is a big challenge (Gong et al., 2020; Liu et al., 2018b, 2020a). Pixel-and phenological-based algorithms, multisource remote sensing data, and the GEE are useful to map rapeseed at high resolution and over larger areas. Besides, the proposed algorithm does not need a large number of training

- 410 sample data and reduces disturbance from agronomy differences by combining images of multiple dates. However, the uncertainty is from the following aspects. 1) Cropland layer. We used the GFSAD30 datasets to identify croplands. However, GFSAD30 has its limitations such as classification error (Phalke et al., 2020). 2) The number of satellite images available. Although our annual rapeseed maps are consistent with FAO statistics and show higher accuracy comparing with existing products, the maps are limited by the good-quality observations during the critical growth stages. For example, Fig. 11a shows
- 415 that there is an error in the area of France in 2017, which could be attributed to the lack of clear S2 images during the rapeseed flowering period (Fig. 11b). The rapeseed flowering period is generally characterized by high NDYI, red band, and green band reflectance, thus rapeseed pixels are likely to be misclassified if the images during the flowering stage were missing (Fig. 11c).

*3) Thresholds for different indicators.* The threshold is the key for mapping crops (Ashourloo et al., 2019; Dong et al., 2016; Liu et al., 2020a; Wang et al., 2020a; Zhang et al., 2015). Although the reference thresholds for three regions are given in this study, it should be cautious when applying them to other regions. 4) *The complexity of the ground environment.* For example, landscape types might impact the accuracy of rapeseed maps (Wang et al., 2020a).



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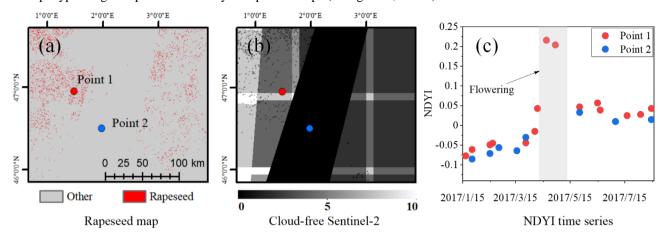


Figure 11. Descriptions for the classification limitation. (a) Rapeseed map with an error in France in 2017 (Lon. 2.059824°, Lat. 46.734987°). (b) Availability of time series Sentinel-2 images during rapeseed flowering phases. (c) Comparison of the time series of different sites indicating how the peak NDYI is missed.

#### 4.3 Implications and improvements

Despite the above limitations, the new phenology-based method proposed by us has the potential to extend to other regions by modifying the phenology metrics. Recently, the Harmonized Landsat and Sentinel-2 database has improved spatial resolution and shortened the revisit cycle of images (Claverie et al., 2018; Shang and Zhu, 2019). Similar or even higher rapeseed classification accuracy can be expected. Furthermore, remote sensing data fusion algorithms have been continuously developed (e.g., STARFM and ESTARFM) (Zhu et al., 2010). Finally, various deep learning models have been explored for classifying crops and lowering errors (Hu et al., 2019; Zhong et al., 2019). Integrating phenological metrics and deep learning models might further improve rapeseed mapping accuracy. Thus, such rapeseed products will objectively track the dynamics of rapeseed planting areas as well as agricultural management in the future.

#### 435 **5 Data availability**

The rapeseed map produced is accessible at Mendeley Data (<u>http://dx.doi.org/10.17632/ydf3m7pd4j.3</u>) (Han et al., 2021). The rapeseed maps with 10 m resolution are provided in this study. The dataset includes a set of GeoTIFF images in the ESPG: 4326 spatial reference system. The values 1 and 0 represent rapeseed and non-rapeseed, respectively. We encourage users to independently verify the rapeseed map. Also, Sentinel 1/2 images, CDL, ACI, and SRTM are available on GEE

440 (<u>https://developers.google.com/earth-engine/datasets/</u>). For more detailed information about the data collected in this work, please see Table 1.

# **6** Conclusions

Large-scale and high-resolution rapeseed maps are the basis for crop growth monitoring and production prediction. We designed a new method for mapping rapeseed based on the spectral and polarization features and multi-source data. The new

- 445 algorithm has produced three annual rapeseed maps (2017~2019) at 10m spatial resolution in 33 countries. Three different verification methods indicated that our rapeseed maps have reasonable accuracy. Compared with existing products at the pixel level in Canada, America, England, and France, PA, UA, and F1 are 0.70–0.80, 0.93–0.97, and 0.81–0.86, respectively. Also, the F1 ranged from 0.84 to 0.92 based on the independent validation samples. Our approach reduces disturbances from different planting times and bad-quality observations to some degree. The 10m rapeseed maps do provide more spatial details of
- 450 rapeseed. Finally, we found that rapeseed crop rotation is 2 years or longer in almost all countries in this study. The rapeseed mapping method proposed in this work could be applied to other regions. The derived rapeseed data product is useful for many purposes including crop growth monitoring and production, rotation system planning.

#### **Author contributions**

455 ZZ and JH designed the research. JH and LY collected datasets. JH implemented the research and wrote the paper. ZZ, JC, LZ, JZ, and ZL revised the paper.

#### **Declaration of competing interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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