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3	Estimating CO_2 Emissions for 108,000 European Cities
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19	Abstract
20 21 22	City-level CO_2 emissions inventories are foundational for supporting the EU's decarbonization goals. Inventories are essential for priority setting and for estimating impacts from the decarbonization transition. Here we present a new CO_2 emissions inventory for <u>all</u> _116,572 municipal and local

23 government units in Europe, containing 108,000 cities at the smallest scale used. The inventory 24 spatially disaggregates the national reported emissions, using 9 spatialization methods to distribute 25 the 167 line items detailed in the UN'sNational Inventory Reports (NIRs) using the UNFCCC Common 26 Reporting Framework- (CRF). The novel contribution of this model is that results are provided per 27 administrative jurisdiction at multiple administrative levels, following the region boundaries defined 28 OpenStreetMap, using a new spatialization approach. All data from this study is available along withat 29 Zenodo https://doi.org/10.5281/zenodo.5482480 and via an interactive map of results at 30 https://openghgmap.net.

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1. Background

33 While climate goals are set at the national and international level it is often local governments and 34 citizens who are most intimately involved in the accomplishment of these goals, and who must adapt 35 to the implied changes. The European Commission has been clear that cities will play a central role in 36 reaching European climate goals. As with nation-states, a greenhouse gas (GHG) inventory is the first 37 step to preparing a local climate action plan (CAP). Cities often use one of the various protocols 38 available or develop their own methodology to create an emissions inventory. And for good reason -39 an inventory informs all levels of municipal decision making, from long-term planning strategies to 40 infrastructure investments and day-to-day management of building permits. Nevertheless, many local 41 governments in Europe still do not have a good estimate of their own GHG emissions. Establishing an 42 emissions inventory is laborious and can be costly for jurisdictions that do not have in-house expertise. 43 Hence, as the spotlight turns to cities to effect and manage a successful transition to carbon neutrality, 44 many see the preparation and maintenance of a local emissions inventory as a considerable challenge.

Cities can develop their own inventories using a protocol such Global Protocol for Community-Scale
 Greenhouse Gas Emissions Inventories (Fong et al., 2016) (Fong et al., 2016) a joint initiative of WRI,

47 the C40, Global Covenant of Mayors, and ICLEI (Kona et al., 2021). An inventory informs all levels of

48 municipal decision making, from long-term planning strategies to infrastructure investments and day 49 to-day management of building permits.

50 A number of GHG monitoring, reporting, and verification (MRV) solutions have been put forward. 51 These include sensor networks (both ground and space-based), and a range of accounting and model-52 based approaches. No one of these approaches is ideal: they differ in terms of accuracy, precision, 53 cost, and scalability. In response it has therefore been suggested that MRV efforts should aim at 54 triangulating true CO₂ emissions using a mix of empirical, modeling, and statistical methods (Lauvaux 55 et al., 2020; Mallia et al., 2020). The model presented here should be seen as one estimate, to be 56 combined with other estimation approaches and local knowledge, to triangulate towards an 57 actionable emissions inventory.

58 One approach for cities to monitor emissions is by using atmospheric measurement of GHG 59 concentrations and "inverting" that for an emission quantity. These efforts require atmospheric 60 transport models to translate the atmospheric mixing ratios into surface fluxes of GHGs (Davis et al., 61 2017; Ghosh et al., 2021). Concentration measurements can include dense, low-cost sensors (Kim et 62 al., 2018), high-precision tower-mounted instruments (Turnbull et al., 2019; Whetstone, 2018), 63 aircraft and satellite-based measurements (Nasa, 2021; Jaxa, 2021; Wu et al., 2020), the EU's CoCO2 64 and ICOS Cities projects, NASA's OSSE project (Ott et al., 2017) and/or combinations of all of the 65 above. By combining these approaches with high-resolution emission data products built using 66 bottom-up approaches, attribution to emitting source by sector or fuel is possible and has shown good 67 convergence (Basu et al., 2020; Lauvaux et al., 2020; Mueller et al., 2021).

68 Many estimates of emissions using techniques independent of atmospheric monitoring have also been 69 accomplished. These inventory approaches are often described as being either "top-down" or 70 "bottom-up" (though in fact models may use a combination of these approaches). Top-down models 71 begin from national statistics, such as national energy use or fuel import statistics, while bottom-up 72 approaches estimate emissions at the point of combustion or emission release based on deterministic 73 information (e.g. fuel combustion characteristics, leak rates) and then aggregate these to an implied 74 national total. The top-down approach uses spatial proxies such as gridded population, nighttime 75 lights, GDP estimates, and other available spatial proxy variables to allocate national total emissions 76 across grid cells in each country. Bottom-up techniques often use a mixture of data such as direct flux 77 monitoring (e.g. powerplant stack monitors), local fuel or utility data, and traffic monitoring.

78 Several global and country-scale spatially explicit GHG inventories have been developed based on 79 either bottom-up or top-down approaches. The JRC EDGAR<u>v6.0</u> (Crippa et al., 2020), ODIAC (Oda and 80 Maksyutov, 2011; Oda et al., 2018) are well-established examples of global top-down emission data 81 products but others have been developed (Andres et al., 1996; Andres et al., 2016; Asefi-Najafabady 82 et al., 2014; Nassar et al., 2013; Rayner et al., 2010; Wang et al., 2013), including some at the 83 national/regional scale (Bun et al., 2019; Zheng et al., 2021; Jones et al., 2020; Kurokawa et al., 2013; 84 Meng et al., 2014). A number of these models use nighttime lights data as one input signal (or gridded 85 population datasets which in turn may be based on nighttime lights), though at least one study has 86 found this is only moderately predictive (Gaughan et al., 2019)(Gaughan et al., 2019).

Spatially-_explicit bottom-_up GHG inventories have been accomplished at the regional, national and
urban scale. For example, the US 1 km2/hourly VulcanVULCAN CO₂ emissions data product (Gurney et
al., 2020a; Gurney et al., 2009; Gurney et al., 2020b) and the Northeast US 1km2 ACES (Gately and
Hutyra, 2018) data product. Similarly, work in Poland has achieved similar success (Bun et al., 2010;
Bun et al., 2019). Building/street scale bottom-up efforts have also been accomplished with the
HestiaHESTIA Project which has estimated hourly urban CO₂ data products in the four US cities
(Gurney et al., 2019; Gurney et al., 2012; Patarasuk et al., 2016; Roest et al., 2020).

Finally, urban emissions have been estimated at the whole-city scale using both top-down and bottom-up techniques as individual city studies or as collections of urban areas (Ramaswami and 96 Chavez, 2013; Chen et al., 2019; Harris et al., 2020; Jones et al., 2020; Meng et al., 2014; Shan et al.,
97 2018; Shan et al., 2017; Zheng et al., 2021; Long et al., 2021)

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_as well as results focused on city results in England (Baiocchi et al., 2015), China (Liu et al., 2020; Wang
 et al., 2017), and Europe (Baur et al., 2015). Many of these studies extend analysis to include Scope 3
 or consumption emissions.

103Here we provide a new pan-European model estimating emissions-inventory at the municipality level104(Moran, 2021)(Moran, 2021). This is intended to be useful for cities which have not conducted their105own inventory. The inventory disaggregates the totals from the official national CO2 inventory,106summarizing the 167 line items of the IPCCC's 2006UNFCCC's Common Reporting Framework107(hereafter, CRF) (Ipcc, 2006) into 9nine emissions categories. The model identifies up to 5five levels of108administrative hierarchy (totaling 116,210 administrations) across 34 European nations including the109UK.

This paper proceeds by first situating this contribution with respect to similar work. We then present the methodology and results, including a pixel and city-level comparison with <u>existing modelsEDGAR</u> and <u>ODAIC</u> and a first validation against 43 existing urban emissions inventories assembled by

individual cities. We conclude with a discussion in which we reflect on use cases and next steps.

114 The JRC EDGAR database, ODIAC, and GCP-GridFED databases are obvious points of comparison to the 115 model we present in this study. Section 3 presents a conceptual and numerical comparison of these 116 modelsdatasets. The main innovations presented by this model over EDGAR and ODIAC are (a) results 117 are provided for administrative jurisdictions rather than on a raster grid and (b) the use of 118 OpenStreetMap is novel. Additionally, our model is targeted to be useful to citizens and policymakers 119 in city and-local governments, at city level, by illuminating where identifying the sources of their city's 120 CO₂ emissions-in their city arise from. This influences some of our modeling approaches, such as 121 attributing emissions attribution from ships and planes to ports and airports rather than along their 122 physical voyage tracks. But it is the provision of ready-to-use results at the city, county, and state level 123 across Europe which we believe is the core contribution of this database.

124 The method described here is intended for creating an inventory of direct emissions. It is worthwhile 125 to recall the distinction between scope 1, 2, and scope 3 emissions inventories as defined in the WRI's 126 Greenhouse Gas Protocol nomenclature (WRI et al., 2014). An inventory of direct emissions is called a 127 scope 1 inventory, a territorial emissions account, or a production-based emissions account (PBA). A 128 scope 2 inventory will be largely identical to a scope 1 inventory but reallocate the emissions from 129 electricity production to the location where that electricity is directly used. A scope 3 inventory, also 130 called a footprint or a consumption-based account (CBA), will further expand the scope and attribute 131 to consumers all emissions associated with imported goods and services produced domestically or 132 abroad, and emissions associated with waste exported outside the jurisdictional bounds. For urban 133 areas with little production and much consumption, scope 3 emissions can be substantial: studies 134 estimate that for many urban cores their scope 1 emissions are 30-50% of their total scope 3 footprint. 135 Scope 3 inventories are estimated using trade and supply chain databases and rely on robust (i.e. well-136 modeled or empirically validated) scope 1 inventories as a starting point. There is an active community 137 working to prepare Scope 3 assessments at the city level (Chen et al., 2019b, a; Guan et al., 2020; 138 Heinonen et al., 2020; Minx et al., 2013; Moran et al., 2018; Pichler et al., 2017; Ramaswami et al., 139 2021; Wiedmann et al., 2021; Zheng et al., 2021b).

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141 **2. Methods**

The approach presented here spatializes the national emissions inventory using activity data from Open Street Map (OSM), the EU's Emissions Trading System registry of point source emitters, and traffic data for airports. This method sums to a national total equal to the national inventory, generates results as both a gridded dataset and per administrative unit and preserves detail on the sources of emissions. The intention is to best locate emissions to where they physically or legally occur.

147 As the spatial resolution of the inventory increases an interesting consideration emerges, namely that 148 there is some discretion in where emissions should be spatially located. The emissions for a passenger 149 ferry for example could be spatially located over water where they physically occur, at the office of 150 the ferry company which is legally responsible, at an industrial harbor where the boat takes on fuel, 151 or at the passenger terminals where it traffics. At larger grid cell sizes these four locations are more 152 likely to share the same grid cell, but with highly resolved models this becomes a modeling choice. 153 Our choices on such decisions are documented in the relevant section of methods which describes 154 each emissions category, but as a general principle we opt to locate emissions where it makes most sense for communication and outreach by those using the results, where policy tools are easiest to 155 156 apply, or where they physically occur, in that order.

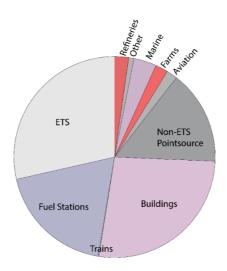
157 Scope of coverage: The model is currently built for the year 2018. This is the most recent year for 158 which official national inventories were available from EUROSTAT when the model was assembled. 159 The list of countries covered is provided in the Results section of this paper. The UK is included in the 160 model. Regarding the impact of the UK's exit from the EU, we anticipate this will not substantially 161 reduce the ability to use this model for the UK, since the UK has established its own UK ETS and, we 162 presume, will continue to publish an emissions inventory in CRF (the IPCC's Common Reporting 163 Format) format. This study focuses only on CO₂ emissions; other greenhouse gasses are not included. 164 In each relevant section of the Methods a discussion is included about how the model could be 165 extended to handle other GHGs. One rationale for this choice is that the second largest GHG, CH₄ is 166 heavily driven by agricultural activities and rogue emissions and these are some of the hardest to 167 accurately spatialize. Furthermore, the intention in this study is to focus on fossil fuel use and not 168 short-cycle carbon such as emissions related to land use and agriculture. Therefore, the model does 169 not include emissions from land use, land-use change, and forestry (LULUCF). The choice to exclude 170 these from the model was based on considerations including (a) estimates of total LULUCF emissions 171 are often poorly constrained, (b) they are difficult to spatialize accurately, (c) local government policy 172 have fewer immediate policy options for managing these emissions, (d) national climate targets often 173 exclude LULUCF emissions, (e) there are diverse approaches to accounting for LULUCF and carbon 174 sinks, leading to significant variability-(Grassi et al., 2018; Petrescu et al., 2020).

175 The model assembly procedure can be summarized as follows. Further detail and discussion on each 176 aspect is provided in the following subsections. First, emissions which can be attributed to point 177 source facilities reporting under the ETS are separated from the national inventory. ETS-registered 178 emissions are geolocated at the street address registered for that permitholder. In the cases where 179 the location of emissions differs from the registered address (e.g. offshore oil activities, or some 180 company activities) this approach can still be rationalized since (a) physically locating all facilities which 181 are not at their mailing address will be difficult, and (b) legally, the control of the emissions is likely at 182 the registered address, so there is sense in calling attention to emissions which are controlled from 183 there. Emissions from vehicles are apportioned equally to fuel stations as located in OSM. The model 184 amortizes total national vehicle fuel use evenly across all fuel stations, though this will not correctly 185 capture subtleties such as fleet and trucking-only fuel depots, nor differentiate between small (1-2 186 pump) stations and large filling stations with multiple pumps. Emissions which are associated with 187 buildings (heating and cooling, construction, and light commercial activity), plus the residual industrial emissions which cannot be attributed to ETS sources, are apportioned equally onto all buildings 188 189 registered in OSM. (OSM does allow buildings to be tagged with extended attributes such as floor size, 190 stories, and use, but in our investigations <1% of buildings use these attributes so for now we have 191 not attempted to utilize those fields.) Emissions from marine bunker fuels are apportioned equally to

harbors as located in OSM (note that diesel fuel for small vessels will be treated as vehicle fuel). Emissions from aviation bunker fuel are spatialized onto airports proportional to the volume of passenger traffic handled at each airport, as reported by Eurostat. Fugitive emissions and emissions from petroleum byproducts are spatialized equally across national refineries and associated oil storage facilities. CO₂ emissions from farming and forestry are apportioned to farmed areas as located in OSM (these are based on the EU CORINE land use map). Emissions from trains are mapped to passenger train stations.

Figure 1 displays the total emissions covered in the model, excluding of LULUCF and carbon sinks, grouped according to the methods used to spatialize those emissions, and color coded according to the approximate level of difficulty, or degree of uncertainty, of that spatialization, with greyer colors representing more easily spatialized emissions and brighter colors indicating emissions categories which, in the authors' experience, are more difficult to confidently spatialize.

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Figure 1: Composition of emissions across the 34 European countries covered. ETS shows the volume of emissions associated with ETS-registered point source emitters; fuel stations show emissions from vehicles; the 'buildings' category comprises emissions from building heating, cooling, construction, and light commercial activity. Non-ETS point source emissions is a residual category representing the difference between industrial emissions as reported in the national inventory and the sum of emissions reported by facilities participating in the ETS. Nearly half (42%) of these occurs in Turkey, which as of publication does not participate in the ETS, but this discrepancy is also observed in large emitters like Germany, France, the UK, and Poland. These residual emissions are spatialized using OSM records instead of ETS addresses.

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a. Mapping point source emissions regulated by the EU Emissions Trading System

215 The EU's Emission Trading System (ETS) requires large point-source emitters to report emissions and 216 report an address for every permitholder. A geolocation API was used to translate these addresses 217 into latitude-longitude coordinates. While for many facilities the address where the emissions are 218 legally controlled is the same as the facility's physical address, or in a nearby town, in some cases the 219 two locations can differ more substantially (emissions from Norwegian offshore activities are largely 220 legally controlled in the city of Stavanger, for example). The emissions associated with ETS permitted 221 facilities are then subtracted from the CRF inventory thus leaving fewer total emissions remaining to 222 be spatialized. The allocation of CRF emissions to ETS facilities is done as follows. For a number of CRF 223 sectors (for example, "Fuel combustion in manufacture of iron and steel" (1.A.2.A)), some or all of the 224 sector's emissions are attributable to ETS facilities. We constructed a priority-ranked concordance 225 table to determine which CRF emissions are already covered by ETS-registered permits. Normally the 226 ETS-reported emissions for a given activity are less than or equal to the CRF-reported emissions for

that category and there is only a small residual between the CRF-reported value and sum acrosspertinent ETS permits, however in some cases this residual is substantial.

229 The mapping between ETS categories and CRF categories is not always one-to-one. For example, the 230 ETS uses the code "24: Production of pig iron or steel". These facilities may correspond to the CRF 231 activities, Fuel combustion in manufacture of iron and steel (1.A.2.A), Iron and steel production (2.C.1), 232 or Ferroalloys construction (2.C.2). In our ranked concordance matrix approach, a rank of 1 is given to 233 the first CRF activity, a rank of 2 is given to the second CRF activity, and a rank of 3 is given to the third 234 CRF activity. The emissions from those ETS facilities from code 24 are first attributed to the rank 1 CRF 235 activity until it is sated, then excess ETS emissions are assumed to come from the rank 2 activity until 236 that volume is sated, the same for rank 3, and so on. Using the above example that could mean that 237 all emissions under the first two CRF categories would be fully attributed to ETS iron and steel facilities, 238 and a portion of the emissions under rank 3, Ferroalloys construction (2.C.2), which cannot be 239 attributed to ETS facilities, would remain to be spatialized.

240 In some cases it is unclear what the ranking of CRF activities should be. For example after allocating 241 ETS emissions from "production of lime, or calcination of dolomite/magnesite" (ETS category 30) first 242 to lime production (2.A.2) and secondarily to glass production (2.A.3), should excess ETS facility 243 emissions from code 30 best be attributed to Cement production (2.A.1), Fuel combustion in 244 manufacture of non-metallic mineral products (1.A.2.F), or Fuel combustion in other manufacturing 245 industries and construction (1.A.2.G)? In this case the last three sectors are sated in smallest-to-largest 246 order until no ETS emissions remain to be allocated. The rationale for the ascending sort order is that 247 larger CRF categories will be easier to spatialize using other methods. In the earlier example of 248 aluminum production, any surplus reported in ETS which exceeds the CRF reported aluminum 249 production emissions is then assigned to the rank 2 CRF category of "Fuel combustion in other 250 manufacturing industries and construction", decreasing the amount of emissions in that CRF category 251 which remain to be spatialized. We also note that not all facilities use the expected ETS activity code. 252 For example we have observed some fertilizer plants reporting emissions under ETS activity code 42 253 "Other Bulk Chemicals" instead of activity 41, "Ammonia production". Such misattributions can 254 introduce distortions in the model results. To characterize the impact of these distortions the 255 allocation of ETS emissions through the ranked priority allocation system into CRF would need to be 256 followed manually in detail.

After linking ETS-reported emissions to the national inventory, the remaining CRF-reported emissions
 are spatialized using the methods described as follows.

259

260 b. Vehicles

261 These are emissions from the following five CRF categories

- 262 1.A.3.B.i Fuel combustion in cars
- 263 1.A.3.B.ii Fuel combustion in light duty trucks
- 264 1.A.3.B.iii Fuel combustion in heavy duty trucks and buses
- 265 1.A.3.B.iv Fuel combustion in motorcycles
- 266 1.A.5.B Mobile fuel combustion sectors n.e.c.

267 These emissions are specialized according to the location of vehicle fueling stations as documented in 268 OpenStreetMap. We make the assumption that the number of vehicle fuel stations in an area is 269 proportional to the volume of traffic served. This is a simplifying assumption and it is clearly 270 communicated in the model presentation. In future development of the model, localizing vehicle 271 emissions will be a top priority- (for comparison, we note the Carbon Monitor project's use of TomTom 272 live vehicle location data to spatialize traffic.(Liu et al., 2020)). This approach assumes that every fuel 273 station supplies a similar level of vehicle traffic. It could be the case that some stations are small single 274 pump gas stations while others are large facilities, for example such as located along a major highway

275 rest stop. To address this one future solution could be introduce better road traffic estimates. While 276 traffic load estimates are available for some roads, these estimates tend to be for only a few dozen 277 specific highways. Fu and colleagues (Fu et al., 2017) proposed a method using neural networks to 278 estimate vehicle flow on every road using OSM data and gridded population models. (Osses et al., 279 2021) recently prepared a high-resolution map of emissions from vehicles in Chile. Better modeling 280 vehicle traffic, not only fuel station availability, would make the model more accurate in spatially 281 estimating vehicle fuel emissions. Another potential solution would be to identify data on fuel station 282 volume, e.g. sales estimates or number of pumps installed, but this may be challenging in practice. A 283 second assumption is that every station serves a homogeneous mix of vehicles. It may be the case that 284 some stations serve a specific fleet, for example a city bus fleet, and better identifying the mix of 285 vehicles served by each fuel station would allow the above five emissions categories to be more 286 precisely spatialized. Insofar as electric car adoption drives some fuel stations to close the model will 287 reflect lower vehicular emissions in areas with more electric vehicles. An interesting note is that in 288 some urban centers light truck traffic is suspected to be a larger emission source than passenger 289 vehicles. Better distinguishing types of traffic and vehicles would be useful for helping guide 290 decarbonization plans that are most appropriate for various areas.

291

292 c. Trains

293 Trains are a relatively minor source. Emissions for Fuel combustion in railways (1.A.3.C) were 294 spatialized using passenger train stations as reported in OSM. Every train station was allocated an 295 equal share of the total emissions. A limitation of this approach is that it may be that not all train 296 traffic is equally fuel-intensive: some individual trains or sections of the rail network could be fully 297 electrified and other areas not. Another limitation is that the method allocates total train emissions 298 (both passenger and cargo) equally across passenger stations, yet passenger stations are not all 299 equally used, and cargo train activity would be more appropriately localized at freight yards. Reporting 300 train emissions at passenger terminals does service a communicative value as it reminds viewers that 301 train traffic is not entirely emissions-free.

302

303 d. Buildings

In the following categories, only a portion of the emissions can be spatialized to ETS locations, butthere remain emissions which must be spatialized onto buildings:

- 306 1.A.2.G Fuel combustion in other manufacturing industries and construction
- 307 1.A.4.A Fuel combustion in commercial and institutional sector
- 308 1.A.4.B Fuel combustion by households
- 309 1.D.3 Biomass CO2 emissions (memo item)
- 310 2.D.3 Other non-energy product use

The largest shares of these remaining emissions are driven by building heating and cooling and fuel combustion by light industry and construction.

313 Correctly spatializing these emissions associated with buildings is a substantial challenge. OSM is 314 sometimes known as Open Buildings Map since the database actually contains more buildings than 315 streets. The OSM dataset reports an extensive number of buildings, but little data is available to 316 characterize each building. OSM does not record all buildings. In many areas, including small towns, 317 only a street address is marked but there is no point or polygon data indicating what is built at that address. While it might be possible to obtain maps of all buildings from national cadaster agencies, 318 319 part of our intention in the model is to develop methods which are replicable across other countries 320 and not rely on single-country datasets. Of the buildings recorded in OSM, only a small percentage (1-321 5%, depending on country) contain any information characterizing the building such as number of floors, main usage activity, building material type, or building age. Some recent offerings which provide building footprints (e.g. products from Maxar or Predicio Building Footprint Data, free offerings from Bing / Microsoft, and academic initiatives such as coordinated through spacenet.ai) could be used to identify at least building footprint size, and potentially height or construction material.

The approach used in the model is to apportion all of the emissions associated with buildings equally among all buildings and registered street addresses in each country. It is important to recall that for buildings heated by electricity. CO emissions associated with electricity production will be lected at

- buildings heated by electricity, CO₂ emissions associated with electricity production will be located at ETS-registered power plants. As noted above, there is a paucity of information available by which we
- 331 could further characterize building size or use.
- 332

333 e. Aviation

Total emissions associated with kerosene used for aviation fuel (the sum of <u>the</u> CRF <u>emissions</u> categories "Fuel combustion in domestic aviation (1.A.3.A)" and "International aviation (1.D.1.A)") <u>reported by EU member states and calculated compliant with IPCC 2006 guidelines (Maurice et al.,</u> <u>2006). These emissions</u> are attributed to airports proportionally to total passenger kilometers (pkm). <u>Fuel use from military aviation is excluded.</u>

Total pkm are derived from the combination of EUROSTAT statistics of route traffic and passenger traffic per airport. This procedure is preferred over an attribution based solely on total passenger or flight numbers, since we here implicitly incorporate information on both the flight length and aircraft size. These parameters are two major drivers for fuel consumption and emissions (Yanto and Liem, 2018).

344

345 **f.** Farming Activity

- 346 The CRF uses the following three categories for farming-associated activities:
- 347 1.A.4.C Fuel combustion in agriculture, forestry and fishing
- 348 3.G Liming
- 349 3.H Urea application

The largest of these, category 1.A.4.C, is challenging to spatialize for two reasons: First, the inclusion of fishing activity means emissions in this category overlap with emissions in marine traffic. To handle this, emissions from fishing would have to be estimated, removed from this amount, and spatialized separately. Even then, the remaining emissions from fuel combustion in agriculture and forestry would still be difficult to spatialize. Second, we have not been able to identify a suitable dataset to use to divide and appropriately spatialize forestry as distinct from farming.

356 Our approach is to map these collected emissions onto locations of farmland as identified by the EU's 357 CORINE land-use dataset, which is already incorporated into OSM. The above emissions were evenly 358 allocated to the centroid points of all polygons tagged as farmland from CORINE. This approach will 359 not correctly spatialize emissions associated with forestry. Also, this approach allocates the emissions 360 evenly across every polygon tagged as farmland, regardless of the size of each patch. A future 361 improvement could be to weight this allocation by patch size and thus assume every hectare of 362 farmland is equally emissions-intensive to manage, or to introduce activity-level data for agriculture, 363 such as integrating maps of dairy cattle operations (Neumann et al., 2009) or similar.

As discussed in the introduction, and in section 10 below on short-cycle carbon, currently the model

intentionally excludes emissions from land use, land use change, and biotic processes such as cattle

digestion and manure handling.

- 367 The following categories in the CRF report also relate to farming:
- 368 3 Agriculture
- 369 3.1 Livestock
- 370 3.A Enteric fermentation
- 371 3.B Manure management
- 3723.C Rice cultivation
- 373 3.D Managed agricultural soils
- 374 3.E Prescribed burning of savannas
- 375 3.F Field burning of agricultural residues
- 376

377 g. Marine

378 Emissions from the maritime sector are part of international bunker fuel emissions together with
 379 international aviation. In both cases, emissions are calculated as part of the national GHG inventories

380 <u>but not included in national totals.</u>

- 381 Emissions in this sector are comprised of the following CRF emissions categories:
- 382 1.A.3.D 4 Fuel combustion in domestic navigation
- 383 1.D.1.B 4 International navigation

This covers tank-to-wake emissions that stem from fuel combustion. Total fuel consumption is calculated by a top-down assessment based on annual sales of bunker fuel in each country, comprising

marine gas oil (MGO) and heavy fuel oils and distillates (HFO), and geospatially distributed across the
 888 ports.

388 Port-allocation of bunkered fuels is based on the total transport work for berth-to-berth ship voyages, 389 as obtained from IHS Markit, totaling 773 000 port calls. Ship voyages are combined with their ship's 390 respective average fuel consumption as reported by shipowners to the European Union's emissions 391 monitoring scheme (the EU MRV, Monitoring, Reporting and Verification), given as kilograms of fuel 392 per nautical mile. This covers all vessels operating in EU ports above 5000 GT, totalling approximately 393 11 000 vessels. The distance covered with each voyage is calculated by applying the Dijkstra's 394 algorithm (Dijkstra, 1959) to find the shortest path between two ports, followed by a curve smoothing 395 process by the Ramer–Douglas–Peucker algorithm (Douglas and Peucker, 1973; Ramer, 1972). The 396 average fuel consumption and distance sailed is used to estimate total bunker demand at the port 397 level, by weighing the national reported bunker sales. This approach is expected to be gradually 398 replaced by the bottom-up emission inventory provided by the MariTEAM model (Kramel et al., 2021).

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- 400 This assessment does not include leisure crafts, considered negligible in comparison to cargo vessels, 401 neither does include warships, naval auxiliaries, fish-catching or fish-processing ships that are exempt
- 402 of reporting their activity to MRV.
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- 404
- 405 **h. Other**
- 406 There are some emissions which are difficult to spatialize. These are:
- 407 1.C Transport and storage of CO2 (memo item)
- 408 2.A.4 Other process uses of carbonates
- 409 2.D.1 Lubricant use
- 410 2.D.2 Paraffin wax use
- 411 In the model these emissions are included in and spatialized using the same strategy as emission from
- 412 buildings as described above.
- 413

414 i. Refineries

- 415 The following CRF emissions categories are associated with oil refineries and fossil fuel infrastructure:
- 416 1.B 2 Fuels fugitive emissions
- 417 1.B.1 Solid fuels fugitive emissions
- 418 1.B.2 Oil, natural gas and other energy production fugitive emissions
- 419 2.B.8 Petrochemical and carbon black production

420 Carbon black, item 2.B.8, used to produce black ink, is a byproduct from fracking at refineries. Fugitive 421 emissions (1.B.2) are by their nature difficult to spatialize (Plant et al., 2019). A number of studies in 422 California have tried to characterize fugitive emissions from the ageing oil wells and modern fracking 423 equipment in the region (Hsu et al., 2010; Rafiq et al., 2020; Townsend-Small et al., 2012; Wennberg 424 et al., 2012). In our model all fugitive emissions are attributed evenly across refineries and associated 425 storage tanks as located in OSM. The fugitive emissions are apportioned equally among the buildings 426 tagged [industrial=refinery] or [industrial=oil] in OSM. This approach has the disadvantage of not 427 correctly spatializing fugitive emissions at the various wellheads, pumping and storage locations 428 where such emissions physically occur, but has the advantage of attributing fugitive emissions to 429 refineries so that policy planning can recognize that fossil fuel creates emissions both when it is 430 combusted but also during its production. This approach follows the guiding philosophy of locating 431 emissions where they best connect to the relevant policy discussion.

432

433 j. Short cycle carbon (Land Use, Forestry, and Stock Change, and Waste (Short-cycle 434 carbon)

- Our model is focused on reporting CO₂ emissions from fossil fuel combustion and industrial processes.
 We explicitly set aside so-called "short cycle carbon", that is, carbon which is already in the biosphere
 stock. We limit the model to focus on emissions of carbon taken from the fossil stock.
- 438 Carbon put into sinks (under CRF section<u>table</u> 5 Waste), either natural (terrestrial, aquatic, or marine)
- 439 or manmade (e.g. timber construction or paper or biomass put into landfill) sinks is not spatialized or
- included in the results. Negative emissions from carbon capture and storage facilities are presently
- 441 excluded from the model.

- 442 CO₂ emissions from CRF category 4, encompassing land use, land use change, and forestry, are also 443 not included. Our intention is to spatialize fossil fuel combustion associated with agriculture and 444 forestry but not emissions associate with landscape-scale soil and biotic processes. We reason that 445 such landscape-scale emissions are both large, and very challenging to address using locally available 446 policy tools. Including them in a city-oriented plan, particularly in rural municipalities, could lead to a
- situation where the results are heavily dominated by an emissions category with few viable solutions.

In future iterations of the model it may be preferable to allow users to easily include or exclude the
 emissions in the model results. Currently our model does not include direct CH₄ emissions from cattle
 digestion and manure fermentation. This is a substantial emissions category with some remediation
 options so it may be useful to include this in a future iteration of the model.

452 Another detail in this category is sewage treatment and landfills. These act as both sources and sinks 453 of carbon. It is unclear whether net emissions from sewage plants and landfills are included inside the 454 CRF category "Long-term storage of carbon in waste disposal sites" (5.F.1) or included in another 455 category. As category 5.F.1 is not included in the model, if net emissions from sewage are included in 456 this category those emissions will not be included in the model. Quantifying emissions associated with 457 sewage treatment and local landfills would be an improvement to the model.

458

459 **3. Benchmarking**

We do not intend here to provide an exhaustive survey of available spatial emissions models. Here we only compare the ESCIOpenGHGMap model with some widely used global-level models. A full comparison of spatial emissions models, including several strong single-country models, would be a valuable contribution to the field, but is not within the scope of the present paper. For one such comparison we refer to (Hutchins et al., 2017).

465 Table 1 provides an overview and comparison of ESCIOpenGHGMap with ODIAC (Oda and Maksyutov,

- 2011), JRC's EDGAR (Crippa et al., 2019), (Crippa et al., 2020; Crippa et al., 2019), and the Global
 Carbon Project's GCP-GridFED (Jones et al., 2020) spatial emissions models.
- 468

	Resolution	Itemization	Temporal	Results by jurisdiction	Scope	Method synopsis
ODIAC	1km	Total emissions	Monthly	Country	Global	Spatialize national emissions using nighttime lights and power plant locations
EDGAR v6.0	0.1° (11km at the equator)	31 IPCC CRF categories	Up to hourly	Country	Global	Collected activity- level data sources (e.g steel industry, FAO for farming activity, ship and flight tracks)
GCP-GridFED	0.1° (11km at the equator)	Total emissions, per 5 fossil fuels	Monthly	Country	Global	National totals from GCP, spatialized using EDGAR
ESCIOpenGHGMap (our model)	Point-source, 1km grid, or per municipality	9 categories	Annual	Country, State, County, Municipality, facility	Europe	Spatialize national emissions using activity data from OpenStreetMap

⁴⁶⁹ Table 1: Comparative overview of several spatial emissions datasets.

470 Comparison to EDGAR, and GCP-GridFED which uses EDGAR's spatialization layer: At the time of 471 writing, the report with the methodology used for the EDGARv6EDGAR v6.0 has not been published. 472 Based on the data sources mentioned at the EDGAR website it appears that activity-level data has 473 been obtained for various industrial activities (e.g. farming, fertilizer production, steel refineries, 474 electricity generation), and plane and ship emissions are mapped to voyage tracks, but it is not 475 published how emissions from buildings, light commercial activity, and vehicles are spatialized, except 476 the GHS-POP gridded population dataset is mentioned. Since ESCIOpenGHGMap uses ETS facility-level 477 data to map industrial emissions (an advantage afforded by its Europe-only focus) it may be that the 478 two models will come to similar results for mapping industrial emissions since presumably the activity-479 level datasets for industry used by EDGAR will be largely identical to the facility-level data from ETS. If 480 EDGAR uses population density as a proxy to map vehicle and building emissions, this is a slightly 481 different approach than ESCI'sOpenGHGMap's use of fuel stations and building locations from OSM.

Compared to ODIAC: The original ODIAC was a ground-breaking project and introduced the approach
 of using power plant locations and nighttime lights as a proxy for emission activities. Since that project,
 more recent projects have introduced more proxy variables and activity inventories. In our results
 comparison (below) the ODIAC results still agree, but ODIAC does not present results with
 sector/activity detail which is important for further insight and to guide action.

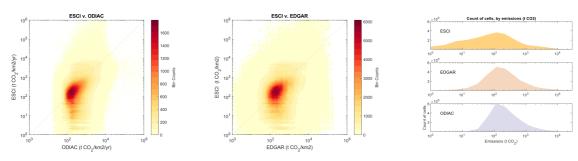
487 In addition to this conceptual comparison of methods we also compare the numerical results. To 488 compare the results of the ESCIOpenGHGMap model to ODIAC and EDGAR v6.0the ESCIO to the 489 OpenGHGMap model was rasterized to a 30" (arcsecond) raster (approximately 650 m² cells at 45° 490 latitude) to permit a direct cell-level comparison across emissions models and the GHS-POP gridded 491 population model. The EDGAR dataset version is v6.0, data year 2018, with a native resolution of 0.1° 492 (360") before re-gridding. For ODIAC the model version is 2020, with data for 2018, with a native 493 resolution of 1km² cells. The three models modeled inventories report slightly different totals for total 494 European emissions. This is due (a) to differences in emissions categories covered, (b) for ODIAC, the 495 monthly allocation, and (c), for EDGAR, the fact that in EDGAR aviation and marine emissions are 496 spatialized over ship and flight traffic routes rather than allocated to grid cells in the country. For this 497 initial cross-model comparison, the three datasets were normalized to include only grid cells covered 498 by all three models and then by normalizing the total emissions across the three models so that we 499 compare solely the spatial allocation. This is a simplified method for cross-model comparison and 500 leaves considerable scope for future work on cross-model comparison. Our main aim here is to 501 document this new model and conduct a preliminary validation, not conduct a robust cross-model 502 comparison.

503 The cross-model cell-level comparison (Figure 2) shows the degree of convergence between the 504 ESCIOpenGHGMap and the EDGAR model. The ESCIOpenGHGMap reports more cells with low (<100 505 t CO2/yr) and very high (>1000t CO2/yr) emissions. The ESCIOpenGHGMap model also reports higher 506 cell-level variability than does ODIAC: the ODIAC model reports most cells have emissions in the range 507 of 10^2-10^4 , whereas the ESCIOpenGHGMap model reports cells with a range of 10^1-10^5 t CO₂/yr. This 508 could potentially be an artefact due to aggregation of ODIAC. The ODIAC model is natively provided 509 at 1km² resolution, corresponding to a cell size of 0.07-0.04" depending on latitude, and it could be 510 that the aggregation to 30" cells for the purpose of comparison has masked higher variability within 511 the 30" grid. Another hypothesis is that this homogeneity is due to ODIAC's use of nighttime lights 512 data, and that while illumination is relatively homogenous across urban and peri-urban areas, the 513 emissions within similarly lit areas can be starkly different. Another noteworthy feature is that 514 ESCIOpenGHGMap reports many more areas with low (<100t) emissions compared to both EDGAR 515 and ODIAC. One hypothesis is that this is related to the method of spatializing emissions from vehicle 516 fuels to fuel stations. Since fuel stations often are spaced >650m apart, especially in rural areas, this 517 could result in many pixels in rural areas being assigned zero fuel emissions. As discussed elsewhere, 518 the decision to localize vehicle emissions at fuel stations was a deliberate design choice in this model.

519 Other models may choose to localize these emissions on roads, or pro-rate them across a gridded

520 population map on a per-capita basis.

521

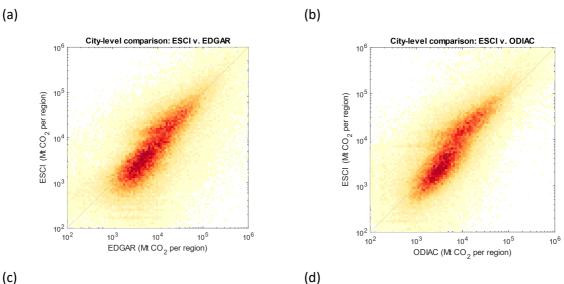


522 Figure 2: Emissions per standardized grid cell, cross-model comparisons, and frequency analysis. Compared to the ODIAC 523 model<u>dataset</u> (panel a, c), <u>ESCIOpenGHGMap</u> reports higher cell-level variability, with ranging from 10¹ to 10⁵ t CO₂/yr, while 524 ODIAC reportingreports most cells to have emissions in the range of 10²-10⁴ t CO2/yr and ESCI reporting cells ranging from 525 $\frac{10^{1} \text{ to } 10^{5}}{10^{2} \text{ to } 10^{5}}$, t CO₂/yr. Compared to the JRC EDGAR v6.0 model<u>dataset</u> (panel b, c), the ESCI model<u>OpenGHGMap dataset</u> reports 526 more cells with small ($<10^2$ t CO₂) emissions and fewer cells with high ($>10^4$ t CO₂) emissions. The ESCI modelOpenGHGMap 527 dataset reveals a higher variability in emissions per cell than do other models.

528

529 Next, we converted the administrative region definitions from ESCIOpenGHGMap to a raster map 530 compatible with the EDGAR v6.0 and ODIAC gridded datasets. Then and we compared the results 531 aggregated by administrative level (i.e. by city) across the models, at the city level (i.e. by city) across the 532 models. We compared results both at the city level, i.e. at the highest level of regional detail per 533 country, and at the county level, i.e. the administrative level one step above that. These results are 534 presented in Figure 3.





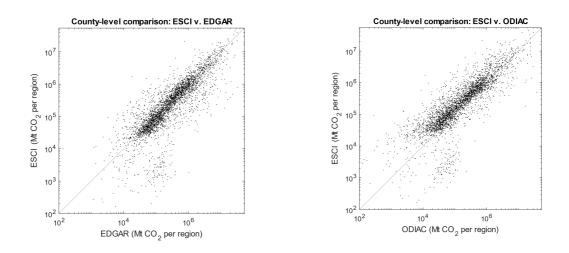


Figure 3: Cross-model comparison of CO₂ emissions per city (using the finest level of regional detail)
and per county (using the next-finest level of regional detail per country).

539

540 Currently no methodology has been developed to quantify uncertainty in the model. In addition to 541 being technically challenging, it is difficult to quantify uncertainty in any single portion of the model, 542 much less the whole. Even if the national inventory or ETS inventory are taken to be 100% reliable, 543 errors and biases introduced during the various steps of spatializing these emissions are difficult to quantify. Developing a strategy for parameterizing reliability of model results would be a valuable next 544 545 step in the research. Previous studies which have investigated techniques for parameterizing 546 uncertainty in gridded spatial proxy models could be useful (Andres et al., 2016; Bun et al., 2010; 547 Hogue et al., 2016; Hutchins et al., 2017; Woodard et al., 2014).

548

549 Validation against city inventories

550 The main objective of the ESCIOpenGHGMap database is to provide easily accessible estimates for 551 GHG emission inventories at the municipal level to assist local governments in developing more 552 detailed inventories or in developing their own climate action plans (CAP). We compare our 553 ESCIOpenGHGMap estimates for external validation with existing municipal GHG inventories compiled 554 from a variety of sources in the 343 Cities dataset (Nangini et al., 2019). These emissions inventories 555 are largely self-reported, of varying quality, and follow different protocols, but still provide the most 556 concrete point of comparison for our Scope 1 emissions estimates at the municipal level. In total, 557 Scope 1 emission values for 44 European cities can be found in the database, which are compared to 558 the ESCIOpenGHGMap estimates in Figure 4.

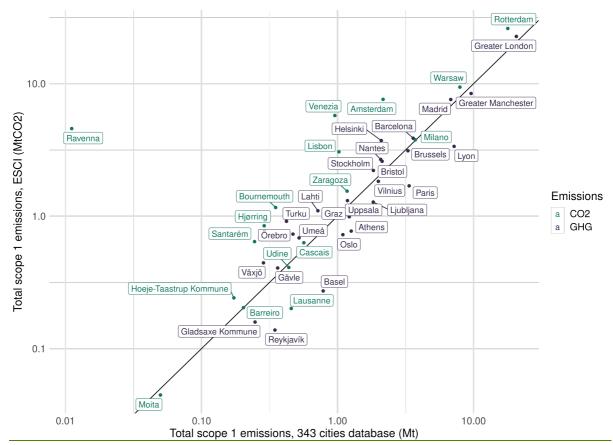


Figure 4: Comparison between ESCI results and the community level emissions inventories of 44 European cities. The color coding indicates whether cities report CO2 values, or include other greenhouse gases in their inventories.

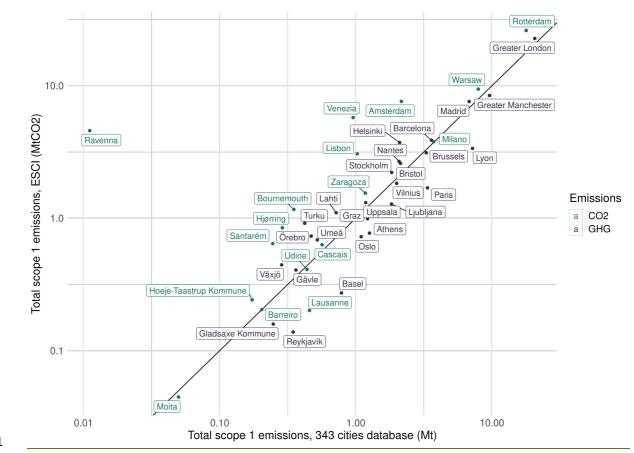


Figure 4: Comparison between OpenGHGMap results and the community level emissions inventories of 44 European cities.
 Color coding is used to indicate whether the city self-reports CO2 or GHG (CO2eq) emissions. Since OpenGHGMap reports
 only CO2 emissions this is limited to an indicative comparison, not a precise comparison.

The figure shows very high agreement (Pearson correlation coefficient 0.937), despite the different methods and timing of the city inventories (emission years between 1994 and 2016 with a median of 2013). Only Ravenna, Italy, differs by several orders of magnitude, but the value in the 343-city database is not realistic (11ktCO2 for a population of 150000). is unrealistically low).

569

570 4. Main Findings

571

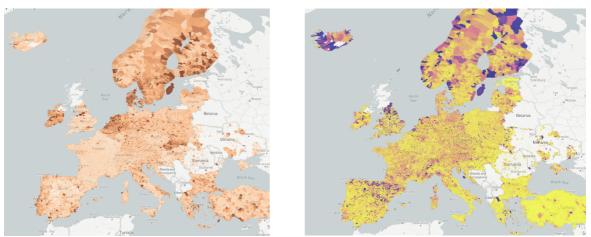
572 a. Results overview

573 An overview of the results for Europe is shown in Figure 5. The results are presented both in absolute 574 and per capita terms. Some noteworthy features are the high emissions in coastal Netherlands, 575 associated with marine activity, and the high emissions from Gotland island in the Baltic sea, driven 576 by one large cement facility there. Emissions in France are remarkably concentrated into a few, 577 primarily coastal, cities.

578 One limitation which must be kept in mind when looking at the results at the municipal level is that 579 municipalities vary in size between countries. In continental Europe municipalities are quite small 580 while in the Scandinavian countries the most local administrative units are relatively large and thus 581 aggregate more emissions and are more visually prominent. For some analyses, gridded maps, where 582 the spatial unit of analysis is consistent, are preferable to political maps.

Population per administrative area was estimated by overlaying the administrative boundary on the
 GHS-POP gridded population map. Gray areas indicate areas where no model results are available. In

some cases (as seen for example in Ukraine and Romania) the administrative regions at that level arenot exhaustive.



587

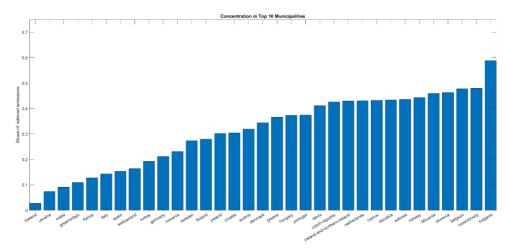
Figure 5: EmissionsOpenGHGMap.net website screenshots. CO2 emissions per municipality in absolute terms
 (left panel) and per capita terms (right panel). Darker colors (browns, purples) indicate higher emissions
 (absolute values can be found at the website http://openghgmap.net).

591

592 In many countries, emissions are remarkably concentrated in a few regions. As seen in Figure 6, in 21

of the 34 countries assessed, >30% of national emissions arise from ten municipalities. This implies

594 that focused changes in a few political regions could contribute substantially to achieving national 595 reduction targets.



596

597 Figure 6: share of national emissions arising from the top 10 emitting municipalities (or smallest finest 598 administrative distinct) in each country. (Liechtenstein is not shown because the country only has 11 599 municipalities.)

600

The important role of high-emitting municipalities is seen at the European level as well. Figure 7 presents a Lorenz curve showing the contribution of municipality to the total European emissions. A striking degree of concentration is visible, with 10 municipal regions across Europe driving 7.5% of emissions, 100 driving 20%, and the top 10 cities in each country collectively driving 33.4% of total European emissions. These highest-emitting regions are not necessarily the most populous, since in many cases outlying industrial facilities are major drivers of emissions.

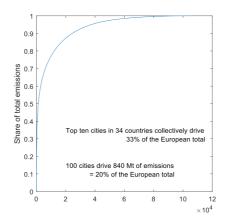


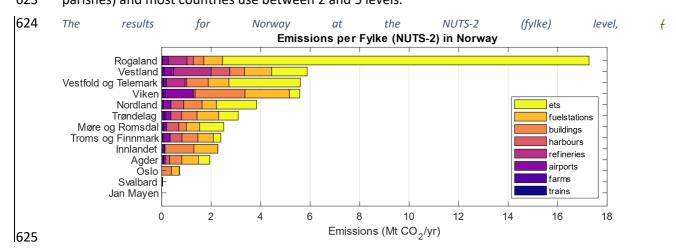
Figure 7: Lorenz curve showing cumulative contribution to total emissions from each municipality.

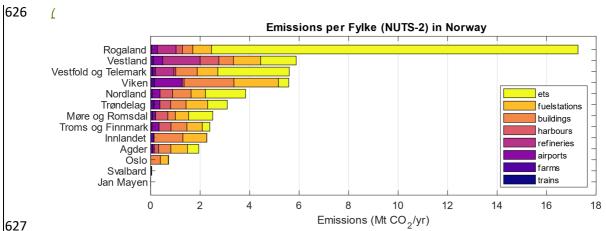
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611

612 **b. Case study of Norway**

613 To demonstrate the results provided by the model we investigate Norway as a case study. In Norway 614 there are just two levels of administrative hierarchy: counties (fylke) and municipalities (kommune), corresponding to the NUTS-2 and NUTS-3 levels respectively. This is a relatively simple configuration; 615 616 for many European countries the System of administrative hierarchy is complex and deeply historical. 617 For example in Germany some cities are peers with states and the administrative configuration is 618 slightly different between states (in some states there is a level 7 administrative subdividision while in other states there is not); In Switzerland not all cantons use subdivisions; and in some places statistic 619 620 agglomerations of areas, such as capital cities with their suburbs, maybe more relevant than the 621 judicial regions. Our model provides results at all administrative levels in a country as defined in OSM. 622 There are up to 10 levels available (we do not include level 11, which is for neighborhoods and 623 parishes) and most countries use between 2 and 5 levels.





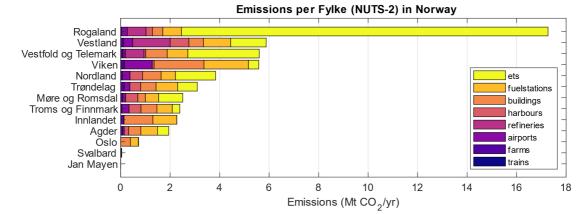
628 Figure 8) level show concentration and highlight the importance of industrial sources in Norway.

629 Rogaland fylke is the highest emitting. This is because in Stavanger, a city in Rogaland known as 'the

630 oil capital of Norway', in addition to reported emissions from petroleum facilities physically around

631 the city, many of the ETS-registered point source emissions from offshore facilities are legally

632 registered to company offices in Stavanger.



633

634 Figure 8: Emissions<u>CO2 emissions</u> per NUTS-2 region (fylke) in Norway. The very high emissions in Stavanger (Rogaland) are 635 driven largely by ETS-registered point sources. Stavanger is known as the oil capital of Norway. Note that Oslo fylke itself is 636 small (ranked 11th), coextensive with only the heart of the city, and that Viken (ranked 4th) is the region which encompasses 637 the greater Oslo region.

638 Viken, the region of greater Oslo, has 5.8Mt of CO₂ emissions. The model results show that 32% of 639 these emissions come from vehicles and 36% from buildings. Fossil fuel heating has been phased out 640 of most buildings in Norway so these emissions are from light commercial activity, such as small 641 burners, boilers, and generators not reporting to the ETS. A full 20% of emissions in Viken (1.1Mt) are 642 associated with Norway's largest airport, the Oslo airport at Gardermoen. As described in the 643 Methods, total emissions from aviation bunker fuel use in the country are allocated across airports in 644 the country pro-rated by 2018 passenger volume. This approach could be biased and emissions from 645 cargo flights, long-haul flights, and military aviation, should be located at airports different from those handling the most passenger traffic. This is a limitation of the current model. 646

647 Table 2 presents results at the municipality (kommune, or LAU-1) level for the top 20 municipalities. The relatively low emissions from the cities of Oslo (ranked 11th), Bergen (ranked 10th) and 648 649 Trondheim (ranked 19th) is surprising given these are the three largest cities in Norway. Industrial 650 emissions from ETS sources are the primary emissions drivers for the top four cities. The city-level results do also reveal some challenges with the model. The "refineries" category is defined as the 651 652 residual between the national total emissions associated with industrial facilities and the total

- 653 reported by the ETS facilities, and this residual is allocated evenly across facilities tagged as "refineries" 654 in OSM. Overall this residual is small, but since there are few refineries, for individual cities it is 655 substantial. Also noteworthy are the major emissions from harbors in the residential island 656 archipelago of Øygarden. Currently emissions from marine bunker fuel are allocated evenly across all 657 facilities tagged as "harbor" in OSM. In Øygarden there are many small-boat facilities, often not even 658 selling fuel, yet at the same time the island region outside of Bergen is also heavily trafficked by large offshore work ships and cargo ships. Improving the methods use for spatializing emissions from 659 marine bunker fuel use would help Improve the model for Norway and other countries with extensive 660
- 661 marine traffic.

Municipality (kommune) 💌	Total 💌	Airports 🔹	Buildings 💌	ETS 💌	Farms 🔹	Vehicles 💌	Harbours 💌	Refineries 🔻	Trains 💌	TiOx 🔽
Stavanger	12,109,439	-	149,270	11,779,396	4,935	146,650	28,932	-	256	-
Porsgrunn	2,079,447	-	17,446	1,989,186	441	67,040	4,822	-	512	-
Sola	1,395,161	208,654	23,320	1,100,663	448	37,710	24,110	-	256	-
Tønsberg	1,262,066	-	81,972	347,759	3,731	67,040	4,822	756,230	512	-
Ullensaker	1,223,520	1,128,279	29,898	-	1,981	62,850	-	-	512	-
Haugesund	1,202,557	-	17,292	1,133,338	1,015	46,090	4,822	-	-	-
Øygarden	1,088,329	-	37,224	67,910	2,695	79,610	144,660	756,230	-	-
Sandnes	905,490	-	56,100	-	980	92,180	-	756,230	-	-
Alver	864,906	-	31,174	-	9,198	58,660	9,644	756,230	-	
Bergen	729,745	331,913	157,344	30,033	3,353	205,310	-	-	1,792	-
Oslo	724,800	-	386,628	10,468	2,002	322,630	-	-	3,072	-
Sunndal	694,376	-	8,008	670,648	3,150	12,570	-	-	-	-
Karmøy	616,538	27,177	20,218	442,562	413	58,660	67,508	-	-	-
Bamble	596,183	-	3,388	541,806	77	46,090	4,822	-	-	-
Rana	584,501	20,400	7,920	503,573	6,006	46,090	-	-	512	-
Vefsn	530,372	14,620	34,936	446,234	294	33,520	-	-	768	-
Fredrikstad	518,362	-	186,010	71,105	8,722	117,320	9,644	-	256	125,305
Årdal	467,475	-	2,288	456,373	434	8,380	-	-	-	-
Trondheim	458,851	-	233,640	45,422	2,289	167,600	9,644	-	256	-
Senja	451,891	-	27,962	304,611	266	41,900	77,152	-	-	-

663 Table 2: Estimated CO2 emissions for 2018 for the top 20 emitting municipalities in Norway, as generated by 664 ESCIOpenGHGMap.

665

666 The model can be explored as tabular data, as a gridded raster model, or visualized on a map. Figure

667 9 provides an overview of the distribution of emissions across Norway, aggregated at the county and

668 municipality levels. A concentration of emissions in Stavanger (in the southwest corner) and Porsgrunn

669 (an industrial area in the south) is clearly visible.

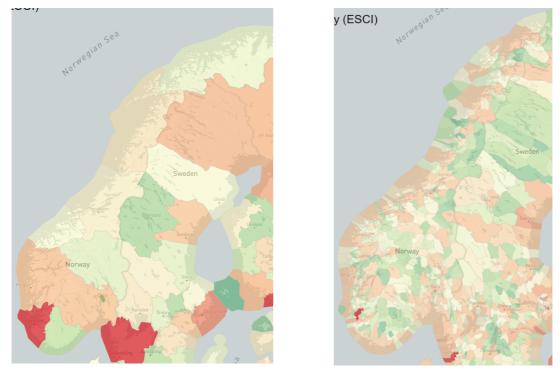


Figure 9: HeatmapScreenshot of the website heatmap visualization of ESCIOpenGHGMap-estimated CO2 emissions at the
 NUTS-2 county level (left) and municipality level (right) in Norway. Regions are color coded from green (lowest) to red
 (highest) emitting region in the country.

674 Internally, the model attributes all national emissions to points across the country. It is possible to 675 zoom in and view these emission point sources. Figure 10 provides a screenshot from the model visualization for the city of Trondheim, a city of 200,000 located in mid-Norway. The dots over each 676 677 building, farm, fuel station, and ETS facility are scaled according to the estimated amount emissions 678 coming from that point. Orange dots show ETS-registered facilities. Purple dots in the figure show fuel 679 stations. The fine grey dots in the figure show all buildings registered in OSM. As detailed in the 680 Methods, emissions from several categories are allocated to buildings. The use of fossil fuel for building heating is extremely rare in Norway. The emissions in the "building" category in Norway are 681 682 mostly from light commercial activity: boilers, generators, ovens, and the similar emissions from light 683 commercial activity which are below the ETS reporting threshold. As discussed above, it is difficult to 684 characterize buildings (e.g. buildings as different as a hospital, mall, auto body shop, and small cottage 685 are not distinguishable, nor can mansions be differentiated from cottages) (Milojevic-Dupont et al., 686 2020), but this is clearly a frontier where further work is merited.



Figure 10: Example visualization of spatialized <u>CO2</u> emissions inventory for Trondheim, a city of 200,000 in mid-Norway, and
 the surrounding region. Small grey dots represent individual buildings; purple dots are emissions from fuel stations, and the
 large orange dots are ETS-registered point source facilities (a waste incineration plant and a factory making mineral wool).
 This detailed view, while only an estimate, can provide residents and government agencies a thought-provoking view of what
 decarbonization will look like for their town.

694

695

5. Code Availability

The source code not available at the time of writing. The authors plan to clean up the code and prepare
a publicly usable version in the future. This will be linked at the Zenodo data repository and project
home page.

700

701 6. Data Availability

702 Datasets are available via Zenodo at https://doi.org/10.5281/zenodo.5482480 (Moran, 2021)(Moran, 2021)
 703 2021)

704 The Zenodo DOI is: 10.5281/zenodo.5482480

The model homepage, with an interactive map, is: <u>https://openghgmap.net</u>

706

707

7. Limitations, Uncertainties, and Future Work

One limitation of the approach presented in this paper, and a potential source of difficult-to-detect bias, could be inconsistent coverage in OpenStreetMap. As OSM is a crowd-sourced dataset there is no assurance of homogeneous coverage. Some areas of the country may be well-covered in OSM and others only sparsely (Hecht et al., 2013). This could introduce biases such as underreporting the number of fueling stations and thus underestimating vehicle traffic. The authors are not aware of any effort to characterize the consistency of OSM coverage; this would be a valuable next step both for the work presented here as well as for the OSM project and work derived therefrom. For countries which do not participate in the ETS and do not have a similar domestic MRV system for large point source carbon emitters, spatializing emissions from point source polluters will be a challenge. Resources such as OSM and the Power Plant Database, which have considerable information at the facility level (e.g. output in megawatts and fuel source for power plants), could be of use.

720 The spatialization of emissions from vehicles and buildings - the two largest emissions categories - is 721 challenging. The assumption in ESCIOpenGHGMap that every fuel station serves an equal volume and 722 mix of vehicles is simplistic. The lack of even basic data characterizing buildings by height, area, age, 723 or material, makes it impossible to differentiate buildings as varied as a terrace house block, separated 724 house, mall, or hospital. Some novel approaches for characterizing building stocks have recently been 725 proposed (Haberl et al., 2021; Milojevic-Dupont et al., 2020; Peled and Fishman, 2021) which could be 726 used. Developing more accurate town-level models of building emissions may require different 727 modelling approaches, such as utilizing data from national building cadaster registries or from 728 advanced remote sensing datasets such as from synthetic aperture radar satellite constellations, 729 airborne LIDAR sensors, and machine learning used with mobile airborne or ground cameras.

730 OpenGHGmap treats the CRF National Inventory Reports (NIRs) as authoritative. However, these 731 inventories contain uncertainties. The NIR reports provide annexes which discuss uncertainties at the 732 sector, sub-sector, and activity levels. The current version of the OpenGHGMap model does not exploit 733 this uncertainty information, but future versions may. At the present time the OpenGHGMap focuses 734 on spatially distributing the reported national emissions totals, and limits uncertainties to that spatialization exercise rather than including also the uncertainties within the NIR itself. Related to this 735 736 it is noteworthy to mentioned related work on intercomparison of national emissions totals (Elguindi 737 et al., 2020) and an assessment of uncertainty in the bottom-up EDGAR v6.0 model (Solazzo et al., 738 2021). Since OpenGHGMap treats national inventories as a fixed constraint with no uncertainty, the 739 sources of uncertainty in the model are purely related to the spatialization of emissions. These 740 uncertainties, and modeling choices, are discussed in the relevant section of Methods above.

741 Our emissions inventory can support local authorities in their journeys towards climate neutrality in 742 multiple manners. The inventory can help make local and regional sources of emissions more tangible 743 for diverse politicians, city administrations and local communities and provides a good starting point, 744 especially for communities that lack a detailed GHG emissions inventory. Making an abstract concept 745 such as greenhouse gas emissions more visible will enable discussions regarding localization and 746 upgrading of facilities and infrastructures and will provide a basis for emblematic changes with high 747 impact potential for the region. Connecting the inventory to digital urban twins with detailed 748 information regarding built environment characteristics, may help overcome the current limitations 749 of lack of building data.

In order to further develop the model, we will actively discuss and test it with local authorities to fine tune it to their needs in order to make informed decisions. Furthermore, we will explore how we can
 further refine data collection, analysis and spatialization through the use of GIS combined with
 crowdsourcing and citizen science.

754 We foresee a number of use cases for the results presented here. For one, many local governments in 755 Europe do not have an emissions inventory. The estimated inventory presented here presents a 756 baseline initial estimate. This can be used to reveal which are the priority areas for reduction in each 757 locale. For example, while vehicle electrification is highly promoted, it could be the case that for some 758 regions emissions from residential or commercial buildings, or industrial sources are multiple times higher than from private cars and thus represent more important reduction opportunities. The results 759 760 presented here are not a full replacement for an inventory prepared using a tool like the GHG Protocol 761 for Cities. A bespoke inventory will be more detailed but the approach presented here can act as a 762 starting point, help with classifying emissions and provide a benchmark against which estimates can 763 be compared or even calibrated. The process of preparing the inventory itself usually triggers

764 discussions about solutions. As the body of solutions grows it is possible to imagine cities soon able to 765 construct a Climate Action Plan based on a menu of options. An estimated inventory like the one 766 presented here could be used to prioritize or filter a longer list of solutions into the shorter set most 767 suitable for each city. Finally, the results presented here have some communication value. There is 768 much discussion about decarbonization at the national and EU level, but many are curious about what 769 this should look like at their town, building, or business level. The results presented here can help 770 people translate macro-level concerns into a more tangible vision of what should change in their home 771 town, and how they can participate in that transition.

To conclude, we present a new European emissions inventory which disaggregates national CO₂ inventories to city and county level administrative jurisdictions. The model is broadly consistent with the ODIAC and EDGAR results but shows higher cell-level variability and provides results perjurisdiction rather than in a gridded form. The estimated inventories provided by this model can help local governments begin establishing an emissions inventory.

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778 8. Author Contributions

DM constructed the core model and led the manuscript writing. PP, HZ, HW, and JT contributed to the
results analysis. KRG contributed to the introduction literature review, and conceptual framework.
TW, AW contributed to the manuscript. HM, JK, DK, and AS contributed the aviation and marine
emissions modules of the model.

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789 **10. References**

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