



1 **Ordovician to Silurian graptolite specimen images for global**
2 **correlation and shale gas exploration**

3
4 Hong-He Xu ^{1*}, Zhi-Bin Niu ^{1,2*}, Yan-Sen Chen ¹, Xuan Ma ¹, Xiao-Jing
5 Tong ¹, Yi-Tong Sun ¹, Xiao-Yan Dong ¹, Dan-Ni Fan ¹, Shuang-Shuang Song
6 ¹, Yan-Yan Zhu ¹, Ning Yang ¹, Qing Xia ¹

7 ¹ State Key Laboratory of Palaeobiology and Stratigraphy, Nanjing Institute of
8 Geology and Palaeontology and Center for Excellence in Life and
9 Paleoenvironment, Chinese
10 Academy of Sciences, 210008 Nanjing, China

11 ² College of Intelligence and Computing, Tianjin University, 300354 Tianjin,
12 China

13 *The authors contributed equally to this work.

14 **Correspondence:** Hong-He Xu (hwxu@nigpas.ac.cn), or Zhi-Bin Niu
15 (zniu@tju.edu.cn)

16
17 **Abstract**

18 Multi- elemental and -dimensional data are more and more important
19 during the development of data-driven research, as is the case in modern
20 palaeontology, in which visual examination, by experts or someday the
21 artificial intelligence, to every fossil specimen acts a crucial and fundamental
22 role. We here release an integrated image dataset of 113 Ordovician to
23 Silurian graptolite species or subspecies that are significant in global
24 stratigraphy and shale gas exploration. The dataset contains 1550 high-
25 resolution graptolite specimen images and scientific information related to the
26 specimen, e.g., every specimen's taxonomic, geologic, geographic, and
27 related references. We develop a tool, FSIDvis (Fossil Specimen Image
28 Dataset Visualiser), to facilitate the human-interactive exploration of the rich-
29 attribution image dataset. A nonlinear dimension reduction technique, t-SNE
30 (t-Distributed Stochastic Neighbor Embedding), is employed to project the
31 images into the two-dimensional space to visualise and explore the
32 similarities. Our dataset potentially contributes to the analysis of the global
33 biostratigraphic correlations and improves the shale gas exploration efficiency
34 by developing an image-based automated classification model. All images are
35 available from <https://doi.org/10.5281/zenodo.5205216> (Xu, 2021).

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38 **1. Background**

39 Graptolite is marine colonial organic-walled hemichordate and has over
40 210 genera/3,000 species worldwide fossil records, extending from the
41 Cambrian to the Carboniferous (c. 510~320 Ma) shale sediments (Maletz,
42 2017). Graptolite extensively diversified in the Ordovician and witnessed the
43 second-largest mass extinction in geological life history, i.e., the end-
44 Ordovician mass extinction (Goldman et al., 2020). Graptolite evolved quickly
45 and spread globally in the Paleozoic (Fig. 1); therefore, its species are widely
46 used as significant index fossils for determining rock ages and regional bio-
47 stratigraphical correlations. Graptolite bio-zones divided the Ordovician and
48 Silurian sediments are generally less than one million years in duration; such
49 a short geological moment makes it possible for a better and accurate
50 understanding of the stratigraphy and ancient life macro-evolution (Chen et
51 al., 2012; 2018). Up to 102 Ordovician and Silurian graptolite species were
52 selected as global bio-zones for dating rocks, biostratigraphy, regional
53 correlation, and understanding the evolutionary patterns of ancient life; and 13
54 global stratotype section and point (GSSP) have been defined by the first
55 appearance datum (FAD) of graptolite species in the Lower Paleozoic, i.e.,
56 Cambrian, Ordovician, and Silurian (Goldman et al., 2020). Two of these
57 GSSPs are situated in southern China (i.e., the bases of the Darriwilian in the
58 Middle Ordovician and Hirnantian in the Late Ordovician) (Goldman et al.,
59 2020; Zhang et al., 2020) (Fig. 2).

60 Additionally, bio-zones or indication zones based on graptolite species
61 assist with identifying mining beds for shale gas exploration (Fig. 1). Graptolite
62 shale comprises more than 9% of hydrocarbons rocks and yields the most
63 significant volume of shale gas globally (Klemme and Ulmishek, 1991;
64 Podhalańska, 2013). In China, over 61.4% of the natural gas is yielded from
65 the Ordovician and Silurian graptolite shale of southern China (Zou et al.,
66 2019). Identification of graptolite species helps to locate shale gas mining
67 beds; especially, 16 graptolite species were chosen as “gold caliper” to locate
68 favourable exploration beds (FEB) of shale gas in China (Zou et al., 2015)
69 (Fig. 2).

70 In this paper, we release a unique graptolite image dataset, which
71 consists of 113 key graptolite species used for dating rocks, global correlation,



72 and “gold caliper” for locating shale gas FEBs in China. All images were taken
73 from 1,550 carefully curated graptolite specimens collected from the
74 Ordovician to Silurian sediments of China. We incorporated revision
75 suggestions from distinguished palaeontologists to generate the ground-truth
76 labels, providing a taxonomical authority of the dataset. The dataset
77 potentially contributes to a range of scientific activities and provides 1) an
78 easy access to high-resolution images of 1,550 specimens of 113 graptolite
79 species for teaching and training in palaeontology and geologic survey; 2)
80 global bio-stratigraphical correlation using graptolites, especially with those
81 bio-zone species; 3) a standard fossil specimen image dataset used in shale
82 gas industry to improve exploration efficiency, and 4) the potential aid of
83 developing image-based automated classification model.

84

85 **2. Materials and methods**

86 Images of our dataset were taken from 1,550 graptolite specimens, which
87 taxonomically belong to 113 graptolite species or subspecies. These
88 specimens are preserved as shale and were collected from 154
89 representative geological sections of China. All specimens are housed at the
90 Nanjing Institute of Geology and Palaeontology (NIGP), Chinese Academy of
91 Sciences (CAS), the world's largest palaeontological research centre, and one
92 of the top three specimen collection centres. The NIGP-CAS hosts over 180
93 palaeontological researchers and laboratory technicians and collecting over
94 800,000 pieces of fossil specimens from all around the world since 1928
95 (NIGP, 2011).

96 Every piece of the specimen is tagged with information, including scientific
97 names (genus and species names), nominator, nomination year, specimens'
98 serial number, collection-number, locality (province, city, county), geological
99 horizon and section, collector name, collecting time, identifier, identifying time,
100 related references, published illustrations. Specimens can be indexed and
101 located in their detailed housing drawers and cabinets using any of the above
102 information. Their detailed research-related information can also be obtained
103 from the geological section-based database, the Geobiodiversity Database (Xu
104 et al., 2020). All this related information is collected and recorded in a
105 separate spreadsheet file released with our image dataset.

106 We spent over two years to complete photographing every specimen



107 using a single-lens reflex camera Nikon D800E with Nikkor 60 mm macro-lens
108 and Leica M125 and M205C microscopes equipped with Leica cameras (Fig.
109 3). Every image is well focused and better shows the morphology of graptolite
110 bodies. In total, we took 40,597 images, including 20,644 camera photos
111 (each with a resolution of 4,912 × 7,360) and 19,953 microscope photos (each
112 with a resolution of 2,720 × 2,048). Photos of low contrast or bad focus were
113 removed from the whole collection. We only kept and selected the photos that
114 show the visual morphology of every specimen and the diagnostic character
115 of each graptolite species that the specimens represent (Fig. 4). We selected
116 one image for each specimen as the present final dataset, uploaded to, and
117 stored in our cloud server (Fig. 3).

118 Considering some of the specimens of our collection have a long research
119 history since 1958, and their taxonomical status might change in the new light
120 of graptolite systematic study (Maletz, 2017; Zhang et al., 2020), we invited
121 graptolite palaeontologists to curate every specimen to make sure that its
122 scientific information is updated and widely accepted.

123

124 **3. Data description**

125 Our dataset consists of 1,550 high-resolution images and a related
126 spreadsheet file. Every image is a high-resolution photo taken from the
127 collection of 1550 graptolite specimens. These specimens were formally
128 published in 1958-2020, and taxonomically belonging to 113 graptolite
129 species or subspecies, of 41 genera and 16 families of the Order
130 Graptoloidea (see the uploaded spreadsheet file, Fig 5). The geological age of
131 these graptolite species ranges from the Middle Ordovician to (467.3 Ma) to
132 the Telychian (433.4 Ma) of the Silurian period (Fig. 5).

133 These graptolite species have relatively abundant fossil records and are
134 significant in regional and global bio-stratigraphical correlations and locating
135 favourable exploration bed (FEB) of shale gas in China. They are commonly
136 used in geological age determination and shale gas FEB indication, including
137 32 graptolite biozones from the Darriwilian stage of the Ordovician (467.3 Ma)
138 to the Telychian stage of the Silurian (433.4 Ma) and 16 “gold callipers” of
139 shale gas favourable exploration beds (FEBs) for cases of 20 to 80 m thick
140 graptolite shale in China (Fig. 6). These species also include two “golden
141 spike” graptolite species for the two GSSPs in southern China (i.e., bases of



142 the Darriwilian in the Middle Ordovician and Hirnantian in the Late
143 Ordovician).

144 The name of the individual image file is initialised by the specimens' unique
145 number and then its taxonomical species name. The image file is in JPG
146 format, and the single JPG file size ranges from 840 KB to 10.59 MB. The
147 whole volume of the dataset is 6.41 GB.

148 In the spreadsheet file, we incorporated revision suggestions of several
149 distinguished palaeontologists for the authority of the graptolite taxonomy. The
150 spreadsheet file shows the detailed scientific information of every graptolite
151 specimen. The spreadsheet file includes following fields: species ID, Phylum,
152 Class, Order, Suborder, Infraorder, Family, Subfamily, Genus, Revised
153 species name, tagged species name, total number of specimens, specimens
154 serial number, image file name, microscope photo numbers, SLR photo
155 number, Stage, Age from, Age to, mean age value, Locality, Longitude,
156 Latitude, Horizon, and specimens firstly published reference.

157

158 **4. Data visualization**

159 We have developed an interactive web exploration tool, FSIDvis (Fossil
160 Specimen Image Dataset Visualiser), to assist users to examine better the
161 scientific contents of our data (Fig. 7).

162 We further explore the distribution of these graptolite images and
163 visualize the t-SNE feature embedding of our graptolite dataset (Fig. 8) using
164 different colors to denote different families. In detail, for each annotated
165 image, we first resized it into 448×448 pixels and fed it into the trained CNN
166 model. The output 1×1×2048 feature map from the last average pooling layer
167 is flattened and projected to a 113 (number of species) dimensional fully
168 connected layer to represent an image embedding. After that, we use t-SNE
169 (t-Distributed Stochastic Neighbor Embedding), a nonlinear dimension
170 reduction technique for high-dimensional data, to project the image
171 embeddings into the two-dimensional space for visualization. Finally, we
172 indicate the image data distribution by a scatter plot, we use 15 colors to
173 represent 15 families of the order Graptoloidea, covering 42 genera and 113
174 species, so the distribution of the images in this figure is based on species,
175 which shows a "big mixed, small settlements" posture.

176



177 **5. Conclusions**

178 A graptolite specimen image dataset containing 1550 high-resolution
179 images is released. The formation of our dataset includes two steps. 1) 113
180 Ordovician to Silurian graptolite species or subspecies are selected for their
181 significances in global stratigraphy and shale gas exploration; 2) 1550 pieces
182 of fossil specimens that typically represent these 113 species are carefully
183 curated and photographed.

184 Scientific information related to these graptolite specimens is also
185 included and recorded for further study. The structured records include
186 taxonomical, geologic, geographic, and related references of every specimen.

187 Our dataset potentially contributes to global bio-stratigraphical correlation,
188 especially with those graptolite bio-zone species, in the shale gas industry to
189 improve exploration efficiency and develop an image-based automated
190 classification model.

191 The whole dataset has visualised the tool FSIDvis (Fossil Specimen
192 Image Data Visualizer). A nonlinear dimension reduction technique, t-SNE (t-
193 Distributed Stochastic Neighbor Embedding), is used to our data and project
194 the image embeddings into the two-dimensional space for visualisation.

195

196 **Data availability.** The dataset is archived and publicly available from
197 <https://doi.org/10.5281/zenodo.5205216>. Visualized version is available at
198 <https://fossil-ontology.com/FSIDvis/graptolite/>.

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200 **Author contributions.** H.-H.X. and Z.-B.N. equally designed the project,
201 developed the model, and performed the simulations. H.-H.X. prepared the
202 manuscript with contributions from Z.-B.N. Y.-S.C. gave technician supports.
203 X.M. revised and examined fossil specimens. Others contributed in specimen
204 photography.

205

206 **Competing interests.** The authors declare that they have no conflict of
207 interest.

208

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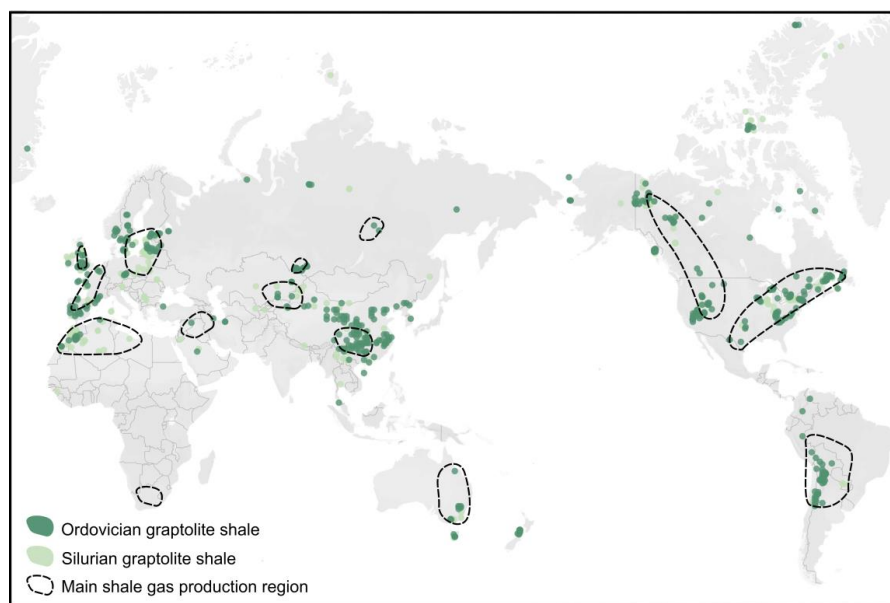
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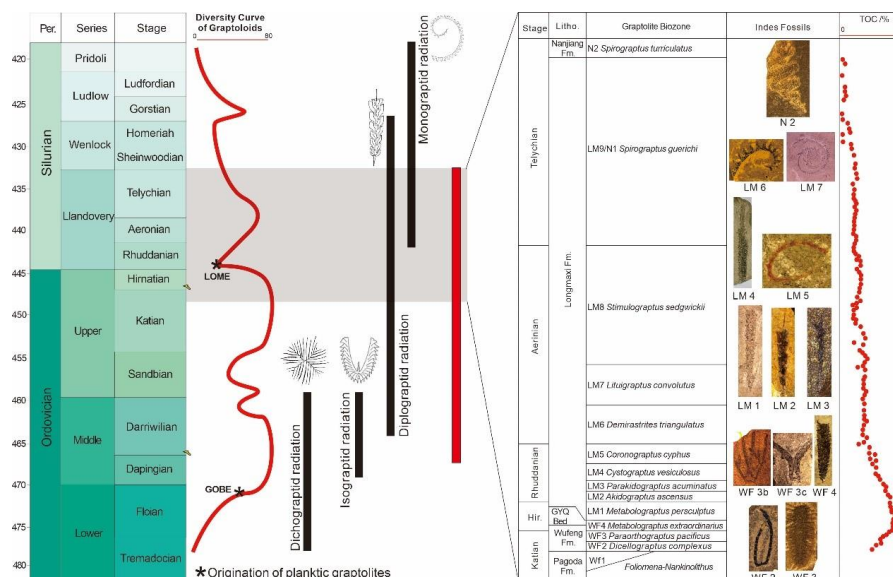


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Figure 1. Global distribution of graptolite shales and shale gas production region. Most graptolites were yielded from the shale and their distribution is based on graptolite fossil occurrence records in global Ordovician and Silurian sediments. All data are from Peters and McClennen (2016) and Xu et al. (2020). Graptolite shale comprises over 9% of hydrocarbons rocks in the world and yields the largest volume of shale gas in the world. In China, over 61.4% natural gas was yielded from the Ordovician and Silurian graptolite shales of southern China. The map is from © OpenStreetMap contributors 2021. Distributed under the Open Data Commons Open Database License (ODbL) v1.0.



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275 **Figure 2.** Geological significance and application of graptolites. Our dataset of
 276 graptolites is significant to biostratigraphy and the dating of the Ordovician
 277 and Silurian sediments. They are widely distributed around the world and
 278 useful for regional correlation. These graptolites have also witnessed several
 279 macro-evolutional events, including the great Ordovician biodiversity event,
 280 Late Ordovician mass extinction, radiation in several graptolite groups, and
 281 global stratotype section and point (GSSP), based on graptolite species. To
 282 date, 13 GSSPs have been defined by the FAD of graptolites in the early
 283 Paleozoic. Two are in South China (i.e., the bases of the Darrivilian in the
 284 Middle Ordovician and Hirnantian in the Late Ordovician) (the spike marks in
 285 the figure) (data from Goldman et al., 2020). Bio- or indication zones based on
 286 graptolite species assist with identifying mining beds for shale gas exploration
 287 in southern China. 16 graptolite indicator-zones are used in the shale gas
 288 exploration in China (Zou et al., 2015).

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291 **Figure 3.** The process of creating the graptolite specimen image dataset.
292 The graptolite specimens were carefully curated and revised to select the
293 species with biostratigraphy and application significance. Every image was
294 obtained from specimens that were macro-photographed using a single-lens
295 reflex camera and microscope. After professional revision and cleaning, the
296 whole dataset was uploaded to and stored in our cloud server.

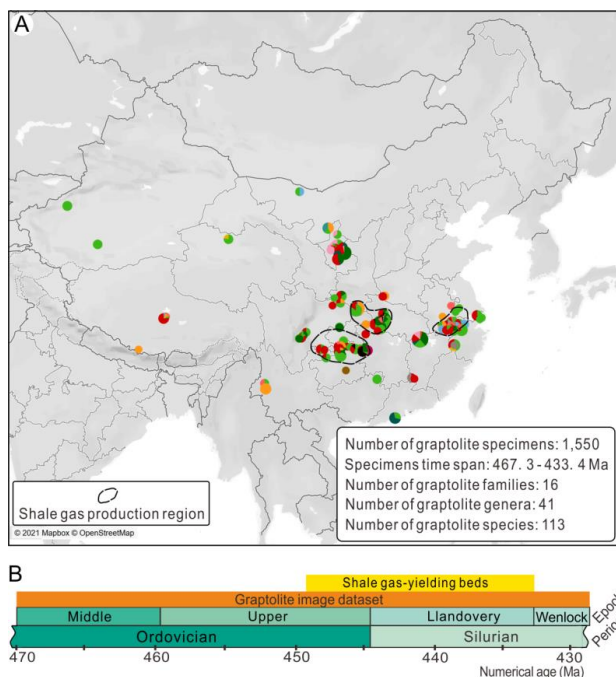
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299 **Figure 4.** Typical images of our dataset. Every image was taken from a
300 unique graptolite specimen. Photos of low contrast or bad focus were
301 removed. Our dataset only selected the photos that well show visual
302 morphology of every specimen and diagnostic character of each graptolite
303 species that the specimens represent. The scientific species name of every
304 specimen is given on each image.
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Figure 5. Geographic distribution (A) and geologic range (B) of graptolite species of our dataset. Each graptolite specimen locality is represented by a pie chart where each colour is encoded as one graptolite family of the order Graptoloidea. The sector size is proportional to the specimen number for every family. The radius of the pie chart is proportional to the total number of specimens from the same locality. The dashed-lines circle the main areas of shale gas production. The map is from © OpenStreetMap contributors 2021. Distributed under the Open Data Commons Open Database License (ODbL) v1.0.



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System	Series	Stage	Graptolite biozone (22)	Stage	Graptolite indicator zone for shale gas FEB (16)	
Silurian	Wenlock	Homerian	<i>Colonograptus deubeli</i>	Telychian	<i>Spirograptus turriculatus</i> (N2)	
			<i>Colonograptus praedeubeli</i>		<i>Spirograptus guerichi</i> (N1)	
	Llandovery	Telychian		<i>Spirograptus turriculatus</i>	Aeronian	<i>Stimulograptus sedgwickii</i> (LM8)
		Aeronian		<i>Lituiograptus convolutus</i>		<i>Lituiograptus convolutus</i> (LM7)
				<i>Demirastrites triangulatus</i>	<i>Demirastrites triangulatus</i> (LM6)	
				<i>Coronograptus cyphus</i>	<i>Coronograptus cyphus</i> (LM5)	
				<i>Cystograptus vesiculosus</i>	<i>Cystograptus vesiculosus</i> (LM4)	
				<i>Parakidograptus acuminatus</i>	<i>Parakidograptus acuminatus</i> (LM3)	
				<i>Akidograptus ascensus</i>	<i>Akidograptus ascensus</i> (LM2)	
				<i>Metabolograptus persculptus</i>	<i>Metabolograptus persculptus</i> (LM1)	
Ordovician	Upper	Hirnantian	<i>Metabolograptus persculptus</i>	Hirnantian	<i>Metabolograptus extraordinarius</i> (WF4)	
					<i>Metabolograptus extraordinarius</i>	<i>Dicellograptus mirus</i> (WF3c)
		Katian		<i>Paraorthograptus pacificus</i>	Katian	<i>Tangyagraptus typicus</i> (WF3b)
				<i>Dicellograptus complexus</i>		<i>Paraorthograptus pacificus</i> (WF3a)
				<i>Dicellograptus ornatus</i>		<i>Dicellograptus complexus</i> (WF2)
			<i>Dicellograptus complanatus</i>	<i>Dicellograptus complanatus</i> (WF1)		
	Middle	Darrivilian		<i>Orthograptus calcaratus</i>		
				<i>Hustedograptus teretiussculus</i>		
				<i>Archiclimacograptus riddellensis</i>		
				<i>Pterograptus elegans</i>		
			<i>Nicholsonograptus fasciculatus</i>			
Dapingian		<i>Levisograptus dentatus</i>				
		<i>Levisograptus austrodentatus</i>				

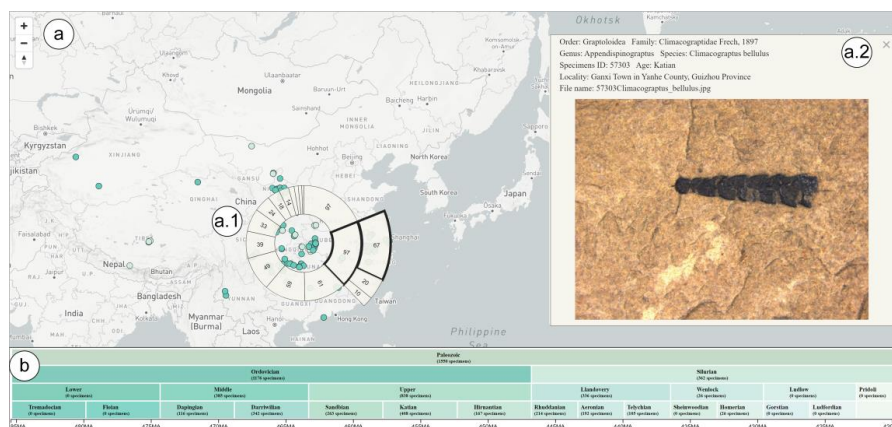
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320 **Figure 6.** Graptolite species selected as global biozone (left) and indicator
 321 zone (right) for shale gas favourable exploration beds of our dataset. Among
 322 our dataset of 113 graptolite species, there are 22 graptolite index species
 323 from global correlation from the Middle Ordovician to (470.0 Ma) to the
 324 Wenlock of Silurian period (427.4 Ma), and 16 graptolite species as 'gold
 325 calliper' to locate favourable exploration beds (FEB) of shale gas in China.
 326 Note that some graptolite species are duplicate in the two lists.

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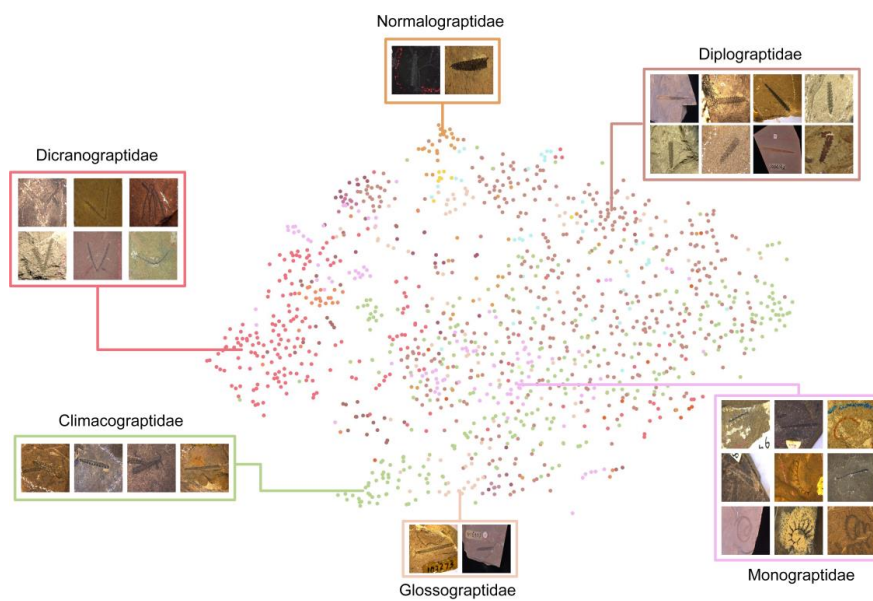
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330 **Figure 7.** FSIDvis (Fossil Specimen Image Dataset Visualiser) system
331 interface. a) Fossil map view. a.1, is a tailor-designed specimens' picker that
332 facilitates users to collect interest fossils of a region where the inner ring and
333 outer ring represent the family and genus. When the user chooses a genus,
334 the corresponding detailed species with images will be listed in the a.2 view.
335 b) Time view, providing the time selection ability; the top one is the
336 chronostratigraphic time scale, and the bottom one is a time slider that
337 facilitates the users to choose a specific time slot interactively. The web
338 exploration tool of graptolite is provided at [https://fossil-](https://fossil-ontology.com/FSIDvis/graptolite/)
339 [ontology.com/FSIDvis/graptolite/](https://fossil-ontology.com/FSIDvis/graptolite/). The map is from © OpenStreetMap
340 contributors 2021. Distributed under the Open Data Commons Open
341 Database License (ODbL) v1.0.

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344 **Figure 8. t-SNE embedding visualization of our image dataset.**