

1 Global GOSAT, OCO-2 and OCO-3 Solar Induced Chlorophyll 2 Fluorescence Datasets

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12 **Abstract.** The retrieval of solar induced chlorophyll fluorescence (SIF) from space is a relatively new
13 advance in Earth observation science, having only become feasible within the last decade. Interest in SIF
14 data has grown exponentially, and the retrieval of SIF and the provision of SIF data products has become
15 an important and formal component of spaceborne Earth observation missions. Here, we describe the global
16 Level 2 SIF Lite data products for the Greenhouse Gases Observing Satellite (GOSAT), the Orbiting
17 Carbon Observatory-2 (OCO-2), and OCO-3 platforms, which are provided for each platform in daily
18 netCDF files. We also outline the methods used to retrieve SIF and estimate uncertainty, describe all the
19 data fields, and provide users the background information necessary for the proper use and interpretation
20 of the data, such as considerations of retrieval noise, sun-sensor geometry, the indirect relationship between
21 SIF and photosynthesis, and differences among the three platforms and their respective data products. OCO-
22 2 and OCO-3 have the highest spatial resolution spaceborne SIF retrievals to date, and the target and
23 snapshot area mode observation modes of OCO-2 and OCO-3 are unique. These modes provide hundreds
24 to thousands of SIF retrievals at biologically diverse global target sites during a single overpass, and provide
25 an opportunity to better inform our understanding of canopy-scale vegetation SIF emission across biomes.

26 1 Introduction

27 Chlorophyll fluorescence is light that is emitted from chlorophyll after the absorption of photosynthetically
28 active radiation (PAR), which covers the spectral range of roughly 400 to 700 nm and corresponds to the
29 range of light visible to the human eye (Müller, 1874). The fluorescence emission occurs in the range of
30 ~650 to 800 nm during the light reaction of photosynthesis, where energy absorbed by leaf pigments is

31 converted into the chemical energy that is needed by the dark reactions for fixing atmospheric carbon
32 dioxide into sugars. The absorption of a photon by chlorophyll excites an electron, and the excitation energy
33 has three main pathways: photochemistry, non-photochemical quenching or heat, and chlorophyll
34 fluorescence. Most of the excitation energy is used for photochemistry when vegetation is not stressed ~~and~~
35 ~~light conditions are not extreme~~, but at all times only a small fraction (~0.5-2%) is emitted as chlorophyll
36 fluorescence (Porcar-Castell et al., 2014; Maxwell and Johnson, 2000).

37
38 Chlorophyll fluorescence has been a research tool for studying photosynthesis for nearly 150 years (Müller,
39 1874), but only recently have spaceborne retrievals of solar induced chlorophyll fluorescence (SIF) been
40 realized (Guanter et al., 2007; Joiner et al., 2011; Frankenberg et al., 2011b). The number of spaceborne
41 platforms from which SIF can be retrieved continues to grow, and the SIF temporal record continues to
42 lengthen. Spaceborne SIF data has generated much excitement in a plethora of fields within the biological,
43 biogeochemical cycle, climate, and Earth system science communities. Chlorophyll fluorescence has long
44 been a key component of the plant physiological and ecophysiological research communities (Maxwell and
45 Johnson, 2000) and has traditionally been studied *in vivo* at the subcellular ~~and leaf-level~~; and *in situ* using
46 pulse amplitude-modulated (PAM) fluorometry (Schreiber et al., 1986).

47
48 Most recently, remote sensing techniques have enabled the canopy and ecosystem-level retrieval of SIF
49 from towers, aircraft, and satellites. The evolution in our ability to retrieve SIF infrequently at the leaf-level
50 to frequent ~~canopy-level~~ retrievals across regional to global scales continues to greatly advance our
51 understanding of plant and ecosystem function and carbon cycling. ~~However, there are fundamental~~
52 ~~differences between in-situ PAM fluorometry and SIF. The former measures steady-state and light-~~
53 ~~saturated fluorescence yields, which allow the derivation of photosynthetic yields (Genty et al., 1989) while~~
54 ~~the latter only measures absolute SIF, following absorption of solar light by chlorophyll. The relationship~~
55 ~~of SIF with photosynthetic yields is thus more complex (Porcar-Castell et al., 2014; Frankenberg et al.,~~
56 ~~2014; Gu et al., 2019).~~

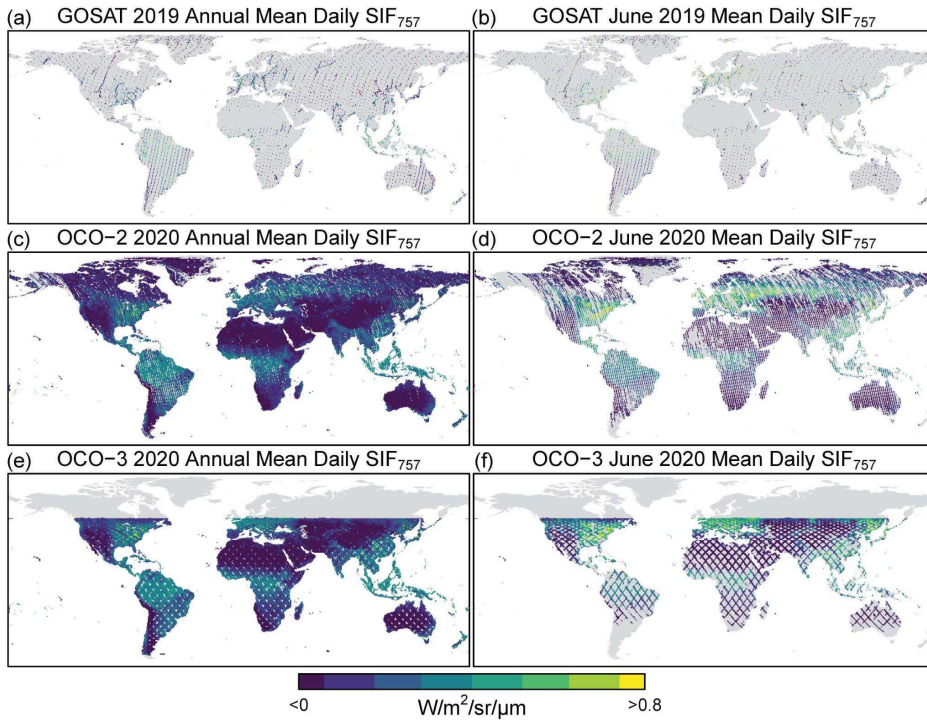
57
58 Here, we describe, compare, and discuss the Level 2 SIF Lite version ~~910~~ (v910) data produced from ~~three~~
59 ~~spaceborne platforms:~~ the Greenhouse Gases Observing Satellite (GOSAT;
60 <http://dx.doi.org/10.22002/D1.8771>), and Level 2 SIF Lite version 10 (v10) data from the Orbiting Carbon
61 Observatory-2 (OCO-2); and OCO-3 (OCO-2 Science Team et al., 2020; OCO-3 Science Team et al.,
62 2020). Our data description goes beyond previous documentation and publications via our description of
63 the SIF Lite files and our presentation and comparison of the SIF data from the three platforms. Also, our
64 discussions on SIF are intended to help the data user community to access and apply the data for scientific

65 ~~research and prevent misinterpretation. Our data description is an update and synthesis of information that~~
66 ~~has been dispersed among several user guides, publications, and supplementary materials related to these~~
67 ~~three platforms. Our presentation and comparison of the SIF data from the three platforms and our~~
68 ~~discussions on SIF are intended to help the user community find creative ways to apply the data and prevent~~
69 ~~misinterpretation.~~

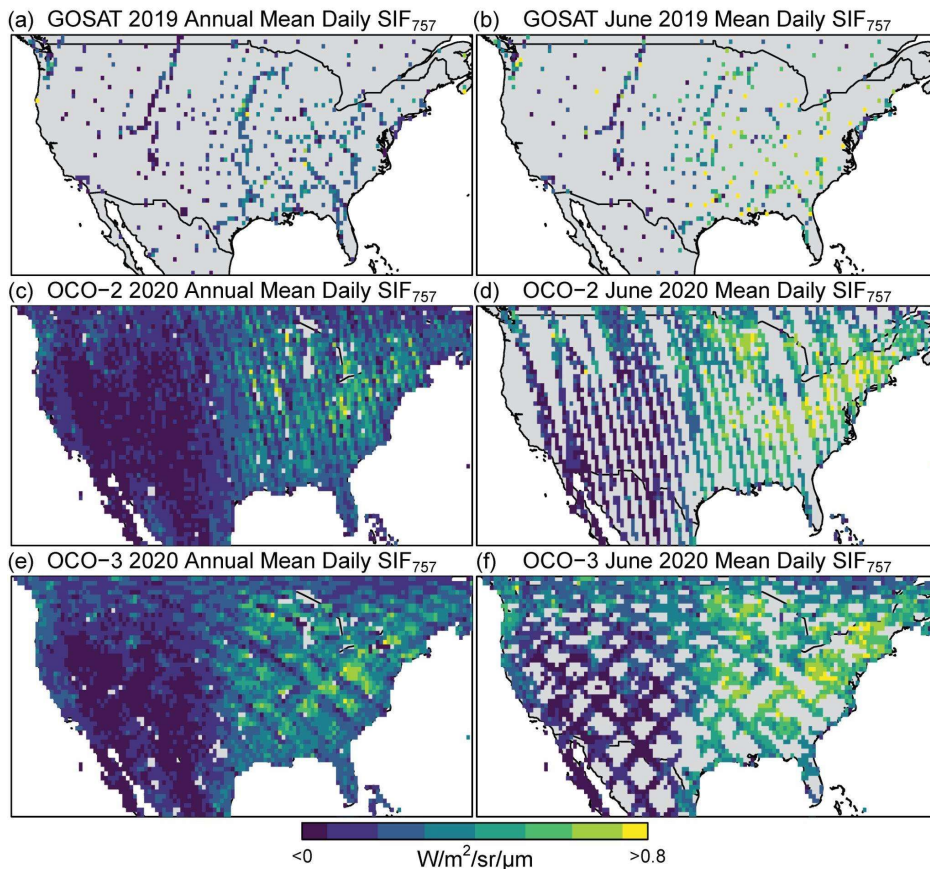
70
71 ~~Level 2 data is ungridded (vector) data that contains geophysical variables that are of interest and use to the~~
72 ~~broader scientific community and is at same spatial and temporal resolution of the Level 0 and Level 1~~
73 ~~data. Level 0 data which are data obtained as is from the sensor (Level 0) to which ancillary~~
74 ~~information, such as radiometric and geometric calibration coefficients and georeferencing parameters, is~~
75 ~~appended to Level 0 data to form (Level 1 data.), such as radiometric and geometric calibration coefficients~~
76 ~~and georeferencing parameters. Level 3 products refer to gridded (raster) data, which can be found at~~
77 ~~<https://climatesciences.jpl.nasa.gov/sif/download-data/level-3/>.~~

78
79 ~~The annual and monthly spatial distribution of the GOSAT and OCO Level 2 data for the globe and the~~
80 ~~continental United States are presented in Figures 1 and 2 for visualization. These data are produced by the~~
81 ~~OCO-2 and OCO-3 projects at the Jet Propulsion Laboratory (Frankenberg et al., 2014), quality controlled~~
82 ~~by NASA's Making Earth System dData rRecords for Use in Research Environments (MEaSURES) SIF~~
83 ~~team, and are publicly available on the NASA Goddard Earth Sciences Data and Information Services~~
84 ~~Center (GES DISC) website (<https://disc.gsfc.nasa.gov/>). Recent efforts by the OCO and MEaSURES team~~
85 ~~have focused on harmonizing the processing pipeline, attributes, and file structures of the GOSAT and OCO~~
86 ~~SIF products (Parazoo et al., 2019). Here, we present a first analysis of these harmonized products and~~
87 ~~demonstrate for the user community their key commonalities and differences.~~

88



89
 90 **Figure 1. Annual 2020 and June 2020 Mean Daily SIF₇₅₇ for GOSAT, OCO-2, and OCO-3.** The annual
 91 and monthly nadir-mode coverage of GOSAT, OCO-2, and OCO-3 is presented here as mean daily SIF at
 92 757 nm (SIF₇₅₇) at a gridded resolution of 0.5° for visualization. Included are soundings from all
 93 measurement modes flagged as *best* and *good* quality and *clear* of clouds. At nadir, the diameter of the
 94 GOSAT soundings is ~10 km, and the widths of the OCO-2 and OCO-3 swaths are about 10 km and 13
 95 km, respectively. Thus, the data gaps shown here are larger than depicted and are not to scale.
 96



97 **Figure 2. Annual 2020 and June 2020 Mean Daily SIF₇₅₇ for GOSAT, OCO-2, and OCO-3 for**
 98 **CONUS. These panels are zoom-ins of the contiguous United States from Figure 1.**
 99

100 **2 Satellite platforms**

101 The retrieval of SIF from space requires high spectral resolution and a high signal-to-noise ratio (SNR) as
 102 solar Fraunhofer lines are very narrow and because SIF is a relatively weak signal (Frankenberg et al.,
 103 2011b). Coincidentally, the spaceborne spectrometers that have been used for retrieving Earth's
 104 atmospheric carbon dioxide and methane concentrations include spectral channels covering Fraunhofer
 105 lines in the vicinity of the oxygen A-band where atmospheric mass is retrieved with high spectral resolution
 106 (< 0.2 nm), enabling SIF retrievals with a mean single measurement precision around ~ 0.5 W/m²/sr/μm.

107 Thus, the retrieval of SIF from space has been pioneered by the atmospheric science community (Guanter
108 et al., 2007; Joiner et al., 2011; Frankenberg et al., 2011b), and spaceborne SIF retrievals and data products
109 have historically been a by-product of missions that have aimed to monitor Earth's atmospheric trace gases.

110 2.1 GOSAT

111 GOSAT (aka Ibuki) was developed by the Japan Aerospace Exploration Agency (JAXA) and launched in
112 January 2009. In fact, the first global satellite SIF observations came from GOSAT (Joiner et al., 2011;
113 Frankenberg et al., 2011b) (~~Joiner et al., 2011; Frankenberg et al., 2011b~~). Onboard the satellite is the
114 greenhouse gas observation sensor (TANSO-FTS), which has a spectral resolution of 0.012 nm (0.2 cm^{-1}
115 ~~) and an oxygen-A band SNR > 300. The sensor has four bands: 0.758-0.775 μm , 1.56-1.72 μm , 1.92-2.08~~
116 μm , and 5.56-14.3 μm . It has a sun synchronous, descending orbit with an overpass time of $13:00 \pm 15$
117 minutes at the equator, a ~~3~~three-day repeat cycle, and a circular footprint of $\sim 82 \text{ km}^2$ per sounding ($\sim 10 \text{ km}$
118 diameter) (Kuze et al., 2009).

119 2.2 OCO-2 and OCO-3

120 OCO-2 is a NASA satellite that was launched in July 2014, and OCO-3 is a duplicate of the OCO-2 grating
121 spectrometer attached to the Japanese Experimental Module Exposed Facility (JEM-EF) on the
122 International Space Station (ISS) in May 2019 (Eldering et al., 2019). Each platform houses a ~~three~~3-
123 channel grating spectrometer with a spectral resolving power of $\lambda/\Delta\lambda > 17,000$ ~~and a SNR signal-to-noise~~
124 ~~ratio of > 400~~ (Crisp et al., 2017; Eldering et al., 2019) ~~centered around the following wavelengths~~ They
125 ~~have three bands:~~ an oxygen-A band at $0.765 \mu\text{m}$ and carbon dioxide bands at $1.61 \mu\text{m}$ and $2.06 \mu\text{m}$. The
126 swath widths are $\sim 10 \text{ km}$ with eight measurements across-track. The spatial resolution at nadir is slightly
127 different for OCO-2 and OCO-3, about 1.3 km ~~by~~ 2.25 km and 1.6 km ~~by~~ 2.2 km (across \times along track),
128 respectively.

129
130 OCO-2 has a 98.8 minute orbit with a 1:36 PM nodal crossing time and a 16-day ground-track repeat cycle
131 (Crisp et al., 2017). The ISS has a precessing low-inclination orbit that allows OCO-3 to view Earth at
132 absolute latitudes less than $\sim 52^\circ$. The ISS orbits the Earth ~ 15.5 times a day and data acquisition is
133 ~~sometimes~~ halted during ISS maintenance and docking, thus overpass times, revisit periods, and data
134 availability are relatively irregular. Validation of the OCO-2 SIF retrievals was conducted by Sun et al.
135 (2017) by comparing OCO-2 SIF to coordinated airborne measurements using the Chlorophyll
136 Fluorescence Imaging Spectrometer (Frankenberg et al., 2018).

137 **2.3 Observation Modes**

138 GOSAT observation modes are described as Observation Mode 1 Sunshine (OB1D), Observation Mode 2
139 Sunshine (OB2D), and Specific Observation Mode Sunshine (SPOD). OB1D is the routine observation
140 mode, whereas OB2D is a non-routine mode in which the thermal-infrared observation and pointing
141 mechanism is stopped during low power supply. Over land, SPOD is a target observation mode designed
142 to observe specific sites. The TANSO-FTS sensor has a setting for low, medium, and high gain. The
143 medium gain data is recommended for scenes that are bright, such as deserts. Since the data used for SIF
144 retrievals are filtered to exclude bright scenes due to deserts, ice, snow, and cloud cover, the high gain data
145 is used for SIF retrievals.

146
147 Nadir, glint, target, and transition observation modes are common to each OCO platform. The OCO-2 target
148 mode provides repeated spatial sampling of a given target, such as an emission source or tower site. Target
149 mode data for OCO-2 is absent from the v10 SIF Lite files, but will be included in the v11 update.

150
151 -The OCO-3 target mode is a sequence of adjacent and partially overlapping segmentsswaths that allow for
152 increased spatial sampling. The target modes for both platforms provide over 10^3 soundings. OCO-3 has an
153 additional observation mode using its pointing mirror assembly (PMA), which allows for snapshot area
154 mapping (SAM) of targets of interest. SAMs are a series of scans of a target that are nearly adjacent and
155 can cover an area of ~80 km by 80 km in about 2 minutes. The SAMs and their target locations, which
156 include volcanoes, various vegetation land cover types, and point sources of fossil fuel emissions, can be
157 viewed at <https://ocov3.jpl.nasa.gov/sams/index.php>. Target and SAM mode scans are prioritized and
158 scheduled several days in advance of an overpass of the ISS over the target (Taylor et al., 2020).

159
160 The target and SAM observation modes offer unique, spatially resolved acquisition of a target during a
161 single overpass at different sun-sensor geometries as solar illumination is relatively fixed during overpasses
162 and soundings are acquired over a range of viewing angles as the sensors pass over their targets. For SIF
163 applications, these measurements can be averaged to obtain SIF estimates with a reduced standard error or
164 binned by sun-sensor geometries to investigate the effect of observation geometry on the retrieved SIF
165 values, as we demonstrate below.

166 **3 Data description**

167 **3.1 SIF Lite file structure and content**

168 Level 2 data is ungridded (vector) data that contains geophysical variables that are of interest and use to the
169 broader scientific community and is at same spatial and temporal resolution of the Level 0 and Level 1
170 data., Level 0 data which are data obtained as-is from the sensor (Level 0) to which and ancillary
171 information, such as radiometric and geometric calibration coefficients and georeferencing parameters, is
172 appended to Level 0 data to form (Level 1 data.), such as radiometric and geometric calibration coefficients
173 and georeferencing parameters. Level 3 products refer to gridded (raster) data, which can be found at
174 <https://climatesciences.jpl.nasa.gov/sif/download-data/level-3/>.

175
176 The annual and monthly spatial distribution of the GOSAT and OCO Level 2 data for the globe and the
177 continental United States are presented in Figures 1 and 2 for visualization. These data are produced by the
178 OCO-2 and OCO-3 projects at the Jet Propulsion Laboratory (Frankenberg et al., 2014), quality controlled
179 by NASA’s Making Earth System dData rRecords for Use in Research Environments (MEaSURES) SIF
180 team, and are publicly available on the NASA Goddard Earth Sciences Data and Information Services
181 Center (GES-DISC) website (<https://disc.gsfc.nasa.gov/>). Recent efforts by the OCO and MEaSURES team
182 have focused on harmonizing the processing pipeline, attributes, and file structures of the GOSAT and OCO
183 SIF products (Parazoo et al., 2019). Here, we present a first analysis of these harmonized products and
184 demonstrate for the user community their key commonalities and differences.

185
186 The ungridded Level 2 SIF Lite data are provided in netCDF-4 format and contain information for each
187 sounding from which a SIF retrieval was made. For each of the three satellite platforms, there is one file
188 for each day in which there is at least one sounding and each file contains information for all soundings
189 acquired on that day, including all measurement modes (glint, nadir, target). The SIF Lite files can be read
190 by, but are not limited to, MATLAB, Python, R, and Julia using their respective netCDF4 or HDF5 libraries.
191 The filename convention is, using the filename “oco2_LtSIF_200201_20210129t071949z.nc4” as an
192 example, platform (oco2), data product (LtSIF), date (YYMMDD), and file creation date (YYYYMMDD)
193 and time (tHHMMSS). The SIF Lite netCDF global attributes, dimensions, variables, and variable groups
194 are described below and listed in Table1.

195 **3.1.1 Global attributes and dimensions**

196 The global attributes provide file-level metadata information, the most important of which for data users
197 are the citation, contact information, and the time range of the data in the file. The times listed in the global

198 attributes can be used in instances where the file names may have been changed. A netCDF dimension is
199 an integer that specifies the shape of the multi-dimensional variables, and these are also described in Table
200 1. For the OCO-2 and OCO-3 data, there are dimensions for the footprint vertices (*vertex_dim*) and across-
201 track footprint (*footprint_dim*), which are not applicable for GOSAT. The polarization dimension
202 (*polarization_dim*) is used for GOSAT's P and S polarizations. The only variable dimension is the
203 *sounding_dim*, which is the number of soundings in the file.

204 3.1.2 Variables

205 The primary variables of interest in the SIF Lite files are the *SIF*, *Daily_SIF*, and *SIF_Uncertainty* variables,
206 which are available for SIF retrievals at 757 nm and 771 nm and estimated SIF at 740 nm. The variables
207 for GOSAT differ from those of OCO-2 and OCO-3 in that GOSAT has two polarizations, P and S, and
208 thus retrieval-related variables are provided as a ~~two-~~2-dimensional (2D) array. ~~It is important to note that~~
209 ~~although the SIF values have traditionally been loosely labelled as being retrieved at 757 nm and 771 nm,~~
210 ~~the retrieval fit windows used to produce the SIF Lite data is centered at 758.7 and 770.1 for OCO 2 and~~
211 ~~OCO 3, and at 758 and 771 for GOSAT. However, we retain the 757 and 771 nomenclature to remain~~
212 ~~consistent with previous publications and to avoid confusion.~~

213 3.1.3 Variable groups

214 Most of the variables have been grouped, as listed in Table 1. The ungrouped, root-level variables are those
215 that are most used and some of these variables are duplicated in the *Geolocation* and *Science* groups. The
216 *Cloud* group contains cloud and surface albedo variables from the L2ABP product, which are used in the
217 assignment of the quality flag. The *Geolocation* group contains variables related to the geolocation of the
218 sounding footprint, sun-sensor geometry, altitude, and acquisition time. GOSAT sounding footprints are
219 circular and have a radius of 5 km, in contrast to the OCO-2 and OCO-3 soundings, which are rhomboidal
220 and are described with coordinates for each of their four vertices. Thus, the GOSAT SIF Lite files do not
221 contain the footprint latitude and longitude vertices, whereas the OCO-2/3 SIF Lite files do.

222
223 The *Metadata* group houses variables with sounding-level metadata information, including build version
224 of the data, unique orbit and sounding identifiers, and measurement mode.

225
226 The *Meteo* group contains meteorological forecast variables, which were obtained from the GEOS-5 FP-IT
227 3h forecast (Lucchesi, 2015) and are provided as-is without validation. The *Offset* group is a collection of
228 variables of the bias/offset adjustments and statistics. These include mean, median, and standard deviations
229 of the adjusted and unadjusted SIF values separated by cross-track footprint. These data are reported on a

230 grid of signal level bins with a range of 3.0-229.0 W/m²/sr/μm and follows the SIF bias correction scheme
231 outlined by Frankenberg et al. (2011b).

232 **3.2 Quality flag criteria and rationale**

233 The Quality_Flag variable indicates the quality of the data for each sounding as being *best* (0), *good* (1), or
234 *failed* (2). We recommend using a combination of *best* and *good* for scientific analysis. The criteria for
235 the *best* and *good* quality flags are listed in Table 2, and soundings that do not meet either set of criteria are
236 flagged as *failed*. The rationale for the criterion is as follows: reduced chi-square (χ^2) thresholds exclude
237 fits that do not well represent the spectrum; continuum level radiance excludes scenes with brightness that
238 is too high or low; solar zenith angle (θ) excludes retrievals with extreme solar zenith angles, which are
239 more likely affected by rotational Raman scattering; and the O₂ and CO₂ thresholds exclude most cloudy
240 scenes.

241 **4 Methods**

242 **4.1 SIF retrieval**

243 The SIF values provided in the SIF Lite files are based on spectral fits covering Fraunhofer lines, as SIF
244 reduces the fractional depth of the Fraunhofer lines (Plascyk, 1975). The SIF retrieval methodologies are
245 fully explained by Frankenberg et al. (2011b, a) and SIF is retrieved using the identical method for GOSAT
246 and the OCO platforms at 757 nm and 771 nm. ~~We estimated SIF at 740 nm for each sounding using both~~
247 ~~retrieval windows as described in more detail below. In brief, the~~ main retrieval quantity in the retrieval
248 state vector is the fractional contribution of SIF to the continuum level radiance, or relative fluorescence
249 (SIF_Relative_757nm and SIF_Relative_771nm). The absolute SIF values (SIF_757nm and SIF_771nm)
250 are generated during post-processing in W/m²/sr/μm.

251
252 It is important to note that although the SIF values have traditionally been loosely labeled as being retrieved
253 at 757 nm and 771 nm, the retrieval fit windows used to produce the SIF Lite data is centered at 758.7 and
254 770.1 for OCO-2 and OCO-3, and at 758 and 771 for GOSAT. However, we retain the 757 and 771
255 nomenclature to remain consistent with previous publications and to avoid confusion. We estimated SIF at
256 740 nm for each sounding using both retrieval windows as described in more detail below.

257 **4.2 SIF retrieval uncertainty**

258 The determination of single sounding retrieval uncertainty is covered in great detail by Sun et al. (2018)
259 and Frankenberg et al. (2014), and is provided in the SIF Lite files as SIF_Uncertainty_740nm.

260 SIF_Uncertainty_757nm, and SIF_Uncertainty_771nm. Briefly, these values are the 1-sigma (σ) estimated
261 single sounding measurement precision and represent the random component of the retrieval errors. It is
262 derived through standard least-square fitting by evaluating the error covariance matrix:

$$264 S_e = (K^T S_0 K)^{-1} \quad (1)$$

265 where K is the Jacobian matrix of the least-squares fit, and S_0 is the measurement error covariance matrix
266 and characterizes the instrument noise per detector pixel.

268 For the OCO-2/3 data, the uncertainty for SIF757 usually ranges between 0.3 and 0.5 W/m²/sr/μm, or ~15-
269 50% of the absolute SIF value. Uncertainties for SIF771 are slightly higher due to less fluorescence and a
270 relatively less reduction in the fractional depth of the radiance at 771 nm. Uncertainty for SIF740 is
271 calculated from using the general formula for error propagation and the partial derivatives for the
272 uncertainties for SIF757 and SIF771:

$$274 SIF_{Uncertainty_{740}} = 0.5 \cdot \sqrt{((1.5 \cdot SIF_{Uncertainty_{757}})^2 + (2.25 \cdot SIF_{Uncertainty_{771}})^2)} \quad (2)$$

277 4.3.2 SIF 740 nm and intersensor comparisons

278 The spectral window in which SIF retrievals are made depends on the wavelength bands of the platform.
279 Assuming the spectral shape of SIF is known and invariant, one can convert SIF to a standard reference
280 wavelength. Here, we use 740 nm as a reference as it corresponds to the 2nd SIF peak and is not as strongly
281 affected by chlorophyll re-absorption as red SIF, thus showing a relatively stable shape at wavelengths
282 above 740 nm (Magney et al., 2019; Parazoo et al., 2019). The differences in the retrieval windows
283 complicate the comparison of SIF retrievals from different sensors, thus it is useful to provide SIF at a well-
284 defined reference wavelength.

285 Although the range of the wavelengths used to retrieve SIF from the various sensors is small (740-771 nm),
286 absolute fluorescence can vary greatly depending on the spectral window used to retrieve SIF (Joiner et al.,
287 2013; Köhler et al., 2018; Sun et al., 2018). However, reference far-red SIF emission spectra at the leaf
288 level indicates that far-red fluorescence spectral shapes are consistent across species (Magney et al., 2019).
289 Thus, we provide an estimate of absolute SIF₇₄₀ (SIF_740nm) in the GOSAT and OCO-2/3 SIF Lite files
290 derived from the empirical relationship between SIF at 740 nm and SIF at 758.7 nm and 770.1 nm (denoted

292 as 757 nm and 771 nm; Eq. 1). The rationale for including SIF₇₄₀ in the SIF Lite files is to allow for more
293 consistent and robust comparisons of SIF and SIF-based analyses across sensors (Parazoo et al., 2019), and
294 to reduce the retrieval error by a factor of $\sqrt{2}$ (Sun et al., 2018). We stress that the reported SIF₇₄₀ values
295 are not retrieved, but are estimated under the assumption that the spectral shape of SIF is invariant.

$$297 \quad SIF_{740} = 0.5 \cdot (1.5 \cdot SIF_{757} + 2.25 \cdot SIF_{771}) \quad (3)$$

299 ~~We noted that although the ratios used in Eq. 1 empirical ratio of SIF₇₅₇ and SIF₇₇₁ is 1.80 were based on~~
300 ~~leaf level measurements conducted by Magney et al. (2019), however we observed a median ratio of 1.45~~
301 ~~from OCO-2 over vegetated areas for 2015-2019 (Figure S1). The reason for this difference has not yet~~
302 ~~been discerned and requires further analysis, but the small potential bias introduced by the use of the~~
303 ~~empirical ratio does not infringe on the utility of the SIF₇₄₀ data.~~
304 ~~The determination of single sounding retrieval uncertainty is covered in great detail by Sun et al. (2018)~~
305 ~~and Frankenberg et al. (2014), and is provided in the SIF Lite files as SIF_Uncertainty_740nm,~~
306 ~~SIF_Uncertainty_757nm, and SIF_Uncertainty_771nm. Briefly, these values are the 1-sigma (σ) estimated~~
307 ~~single sounding measurement precision and represent the random component of the retrieval errors. It is~~
308 ~~derived through standard least square fitting by evaluating the error covariance matrix:~~

310 4.4 Bias/offset correction

311 Biases in retrieved SIF can occur due to uncertainties in the exact instrument line-shape per footprint or
312 slight uncertainties in detector linearity. To correct for biases, we use reference targets that are non-
313 fluorescent surfaces barren of vegetation, similar to the method described by Frankenberg (2011b). In short,
314 the background signal over reference targets is subtracted from all relative SIF values. We calculate the
315 background signal for each day as mean SIF over all barren surfaces within a 31-day window centered on
316 the current day for GOSAT and a three-day window for OCO-2/3. These windows were chosen to obtain a
317 robust background signal given their respective spatial-temporal resolution. Here, we identify barren
318 surfaces using a combination of the MODIS MCD12Q1 land cover data product (Friedl and Sulla-Menashe,
319 2019) and the Vegetation Photosynthesis Model (VPM) (Xiao et al., 2004; Zhang et al., 2017) from the
320 year 2018. The native spatial resolution of these data sets is 500 m, but we aggregated the data to a global
321 0.20-degree grid so that the barren surface reference targets had a coarser resolution than the soundings.
322 We classified barren surfaces as those grid cells which were 100% barren and/or snow and ice by
323 MCD12Q1 and had zero (0) annual gross primary production as estimated by VPM. We also excluded
324 coastal grid cells that overlapped with water using a global coastline shapefile and a buffer.

325 4.5 Daily average SIF and the daily correction factor

326 We provide an estimate of daily average SIF (Daily_SIF), which is instantaneous SIF scaled entirely upon
327 the geometry of incoming solar radiation over a day. Instantaneous SIF is the absolute value of SIF for any
328 given sounding and is a strong function of the illumination of the canopy at that instant in time. The
329 differences in the illumination geometry of soundings at different overpass times and latitudes complicate
330 direct comparisons of SIF at different points of Earth's surface and comparisons of SIF to other data that
331 are more temporally coarse, such as daily estimates of GPP.

332
333 Downwelling solar radiation scales linearly with $\cos(\theta)$ under clear sky conditions when ignoring Rayleigh
334 scattering and gas absorption. As described by Frankenberg et al. (2011b) and Köhler et al. (2018), a first
335 order approximation of daily average SIF (SIF_{Daily}) can be written as:

$$336 \quad SIF_{Daily} = SIF_{t_0} \cdot \frac{1}{\cos(\theta(t_0))} \cdot \int_{t=t_0-12h}^{t=t_0+12h} \cos(\theta(t)) \cdot H(\cos(\theta(t))) dt \quad (43)$$

337 where SIF_{t_0} is absolute instantaneous SIF, $\theta(t_0)$ is the solar zenith angle θ at the time of measurement t_0
338 with a heaviside function H to zero out negative values of $\cos(\theta)$, and the integral is computed
339 numerically in 10-min time steps (dt). In terms of the SIF Lite file variable names, this equation can be
340 written for SIF at any wavelength as $Daily_SIF = SIF \cdot daily_correction_factor$.

341 5 Discussion

342 5.1 Scaling of SIF to GPP

343 We should note that SIF is, to first order, only a proxy for the electron transfer rate in the light reaction of
344 photosystem II. However, SIF is oblivious to the light-independent reactions that fix CO₂. Nevertheless,
345 many studies have reported on the linearity of SIF and GPP at bi-weekly or monthly timescales and at
346 coarse spatial resolutions (Verma et al., 2017; Doughty et al., 2019; Yang et al., 2015). The seasonality of
347 SIF and GPP tend to match well at such coarse temporal resolutions because both SIF and GPP are driven
348 by changes in canopy structure, the amount chlorophyll in the canopy, and the amount of sunlight
349 (photosynthetically active radiation; PAR) being absorbed by canopy chlorophyll (APAR_{chl}) (Magney et
350 al., 2020; Doughty et al., 2021; Dechant et al., 2019). The SIF-GPP relationship can also become more
351 linear at the canopy scale due to the contribution of total canopy SIF by sunlit, shaded, stressed, and non-
352 stressed leaves (Magney et al., 2019). SIF and GPP have an indirect relationship through non-
353 photochemical quenching and the electron transport rate (Porcar-Castell et al., 2014; Gu et al., 2019), which
354 can sometimes simultaneously downregulate photosynthesis and SIF, as has been seen in evergreen
355 needleleaf ecosystems, but not always (Magney et al., 2019).

356
357 At the leaf level, GPP saturates before SIF in response to APAR, such that we could see increased SIF
358 without any response in GPP at high levels of APAR (Gu et al., 2019). Conversely, vegetation stress can
359 cause a near or total cessation of GPP via stomatal closure with little or no change in SIF. This decoupling
360 has been seen at the leaf scale during forced stomatal closure of deciduous tree species (Marrs et al., 2020)
361 and a one-month drought experiment with Eastern cottonwood (*Populus deltoides*) (Helm et al., 2020).
362 However, these studies and others of deciduous vegetation and croplands have repeatedly found a better
363 correlation between SIF and APAR than SIF and GPP (Yang et al., 2018; Miao et al., 2018). For SIF to be
364 a reliable proxy of APAR, SIF_{yield} (ratio of SIF to APAR) would need to remain constant. For a detailed
365 inquiry into SIF and photosynthesis, see Porcar-Castell et al. (2014), and a review of SIF remote sensing
366 applications and challenges from the leaf, tower, and satellite scale by Magney et al. (2020) and Mohammed
367 et al. (2019).

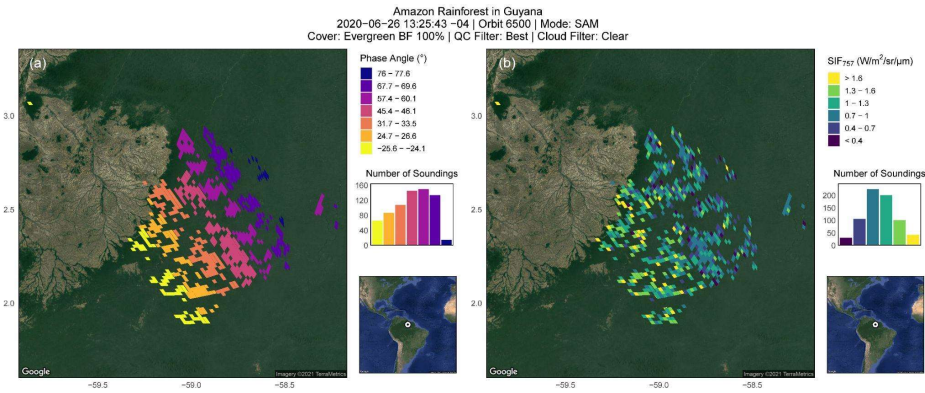
368 5.2 Negative SIF values

369 Data users are likely to find negative SIF values, which are due to retrieval noise, but these values should
370 generally not be discarded. The one-sigma uncertainty in retrieved SIF values (SIF_Uncertainty) can be
371 substantial, but negative values are plausible in a retrieval sense although not in physical terms (actual SIF
372 emission cannot be negative). Discarding negative values will introduce a high bias when averaging.
373 Nevertheless, extremely negative values may indicate a problem with the retrieval. We recommend the
374 following guidelines for filtering negative SIF values: accept if $SIF + 2\sigma$ uncertainty ≥ 0 ; questionable if
375 $SIF + 2\sigma$ uncertainty < 0 and $SIF + 3\sigma$ uncertainty ≥ 0 ; and reject if $SIF + 3\sigma$ uncertainty < 0 . These
376 thresholds have not been incorporated into the Quality_Flag variable of the SIF Lite data.

377 5.3 Sun-sensor geometry

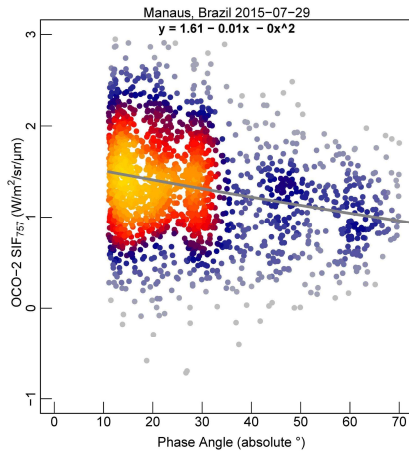
378 Users of SIF data from any source should be aware that sun-sensor geometry plays a role in the absolute
379 values of SIF, in addition to vegetation canopy characteristics (Joiner et al., 2020; Köhler et al., 2018).
380 Absolute SIF values increase rapidly when the phase angle approaches 0° (when the sun and sensor are
381 aligned), but the effect of sun-sensor geometry has been shown to be small when the phase angle is greater
382 than 20° (Köhler et al., 2018; Doughty et al., 2019). Thus, retrieved SIF values from target or SAM mode
383 scans during a single overpass can vary greatly despite homogeneous vegetation cover due to changing sun-
384 sensor geometries during data acquisition. Figure 3 illustrates the phase angle and SIF_{757} for a SAM
385 acquired over the Amazon rainforest, where the vegetation canopy is very homogenous. The figure also
386 illustrates how the phase angle changes during an OCO-3 SAM scan and that the sun-sensor geometries for
387 each individual swath are rather distinct from each other (Figure 3a). Mean SIF for each swath is also

388 distinctively different (Figure 3b), despite that the canopy was experiencing the same illumination geometry
 389 and environmental conditions during the two minutes in which this SAM was acquired. The effect of sun-
 390 sensor geometry is also illustrated in Figure 4, which shows the relationship between SIF for individual
 391 OCO-2 soundings and phase angle for two target scans in the Amazon. A distinctive change in the absolute
 392 values of retrieved SIF were observed due to sun-sensor geometry.



393 **Figure 3. Phase angle and SIF₇₅₇ for an OCO-3 SAM mode scan over the Amazon Rainforest in**
 394 **Guyana.** OCO-3 SAMs are composed of several scans of a target whereby the eight sounding wide
 395 segment wath is offset adjacent to the previous scan. Each segment wath has a distinctive, small range of
 396 phase angles as seen in (a). SIF has higher values at lower phase angles, which is apparent in (b) where
 397 the higher SIF values occur for the soundings in the southwestern portion of the SAM where phase angles
 398 are lowest.

400
 401 _____



402
 403 **Figure 4. Absolute phase angle and SIF₇₅₇ for an OCO-2 target mode scan over evergreen broadleaf**
 404 **forest in Manaus, Brazil. As this figure demonstrates, retrieved SIF values increase as the phase angle**
 405 **approaches 0 degrees.**

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406 **5.4 Averaging over space and time to reduce retrieval uncertainty**

407 There are two main challenges to working with all spaceborne SIF data: 1) the inherently large uncertainties
 408 for individual soundings due to retrieval noise, and 2) the effect of differences in sun-sensor geometry on
 409 retrieved SIF values. Thus, we advise against using single soundings for analysis. However, averaging
 410 soundings across space and time can reduce the retrieval noise by a factor of $1/\sqrt{n}$, with n being the number
 411 of soundings comprising the average (Frankenberg et al., 2014). For platforms with a wide swath, like the
 412 TROPOspheric Monitoring Instrument (TROPOMI), the effect of sun-sensor geometry can be accounted
 413 for by averaging soundings for a point of interest over the entire repeat cycle (16-days for TROPOMI) as
 414 demonstrated by Doughty et al. (2019, 2021). In the case of OCO-2/3, as we demonstrate in Figure 3 and
 415 in Braghieri et al. (2021), soundings can be grouped by phase angle and then averaged to reduce retrieval
 416 uncertainty. Thus, retrieval uncertainty and sun-sensor geometry effects can be substantially minimized.
 417 For GOSAT, we recommend averaging SIF retrieved from both the P and S polarizations, as demonstrated
 418 in Figure 5.

419
 420 Users should also keep in mind that when conducting analyses at large spatial scales, gridding the data prior
 421 to analysis is largely unnecessary as the ungridded Level 2 data can be used directly (Doughty et al., 2019).
 422 Doing so will allow the users to retain sounding-level information that may aid in the interpretation of the
 423 results, which would otherwise be lost when merely gridding the SIF values. For instance, as demonstrated

424 [by Doughty et al. \(2019\)](#), ungridded Level 2 SIF data was used to calculate mean SIF for the entire Amazon
425 Basin at different phase angles to show that the seasonality of SIF in the Amazon Basin was consistent
426 across sun-sensor geometries. Such an analysis would not have been possible with gridded data because
427 after gridding it is impossible to group the data by sounding-level attributes, such as phase angle or cloud
428 fraction.

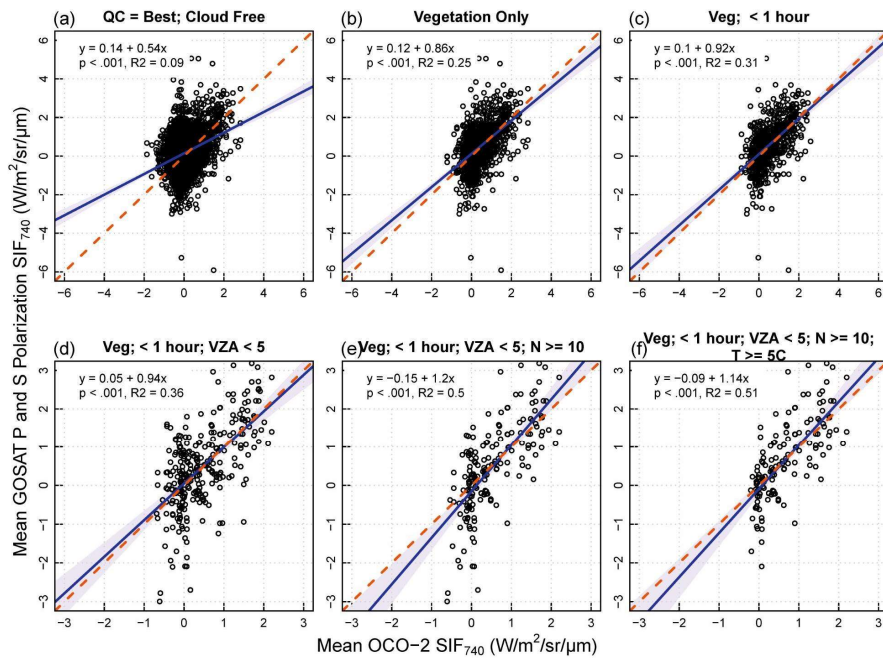
429 5.5 The use of SIF at 740, 757, and 771 nm

430 It is important to note that in areas where the SIF signal is [near zero](#) weak, the use of SIF [at 757 nm₇₅₇](#) at
431 would be more appropriate as the SIF signal is stronger at this wavelength. In areas where vegetation is
432 sparse or SIF_{yield} is low due to vegetation responses to environmental conditions or canopy leaf physiology,
433 SIF [at 771 nm₇₇₁](#) could be within the noise range due to its relatively far distance from the far-red peak at
434 740 nm. In these cases, we advise the use of SIF [at 757 nm₇₅₇](#). Since SIF [at 771 nm₇₇₁](#) is used to compute
435 SIF [at 740 nm₇₄₀](#) in the SIF Lite files, diligence should likewise be used when using SIF [at 740 nm₇₄₀](#) in
436 analyses.

437 5.6 Comparison of GOSAT, OCO-2, and OCO-3

438 OCO-3 SIF has been shown to have a very high correlation ($r > 0.9$) with OCO-2 (Taylor et al., 2020).
439 Here, we present the first comparisons between GOSAT and OCO-2 Level 2 data. Currently, there are not
440 enough coincident soundings for GOSAT and OCO-3 to provide a robust analysis but given that OCO-2
441 and OCO-3 compare very well, we ~~would~~ expect a comparison between GOSAT and OCO-3 to mimic the
442 findings from our GOSAT and OCO-2 comparison.

443
444 Although the data records for GOSAT and OCO-2 overlap six years, only a small percentage of soundings
445 flagged as best quality and cloud free from GOSAT and OCO-2 overlap on the same day (Figure 5a).
446 Despite this filter, the mean SIF values may differ widely on the same day due to differences in overpass
447 time (and thus solar illumination angle and environmental conditions), viewing geometry, and the number
448 of OCO-2 soundings comprising the mean. We progressively filtered the data as illustrated in Figure 5 to
449 ensure the soundings were of a vegetated land surface, had similar sun-sensor geometries, environmental,
450 and atmospheric conditions, and that the temperature was high enough for photosynthesis to occur [as](#)
451 [indicated by the temperature_skin variable in the SIF Lite data](#).



452 **Figure 5. Relationships of SIF₇₄₀ from OCO-2 and GOSAT using progressively conservative data**
 453 **filters and Deming regression.** X-axis values are the mean of all OCO-2 soundings (~1.3 km x by 2.25
 454 km) that fall within the corresponding GOSAT sounding footprint (~10 km in diameter). Y axis values
 455 represent the mean of SIF retrieved from P and S polarizations for a single GOSAT sounding. Six years of
 456 data (2015-2020) were used to identify soundings that overlapped on the same day. (a) Soundings flagged
 457 as best quality and cloud free. (b) Same as (a) but filtered as being over vegetation using the IGBP flag in
 458 the OCO-2 SIF Lite file. (c) Same as (b) but filtered for data that was acquired from GOSAT and OCO-2
 459 within one hour of each other. (d) Same as (c) but with viewing zenith angles (VZA) < 5° for both platforms.
 460 (e) Same as (d) but with number (N) of OCO-2 soundings within a GOSAT sounding being ≥ 10. (f) Same
 461 as (e) but with skin temperature ≥ 5 °C.
 462

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463
 464 We found that the correlation and slope improved with more conservative filtering of the data, and that the
 465 comparison between GOSAT SIF and OCO-2 SIF were reasonable. However, it is important to note that
 466 any comparison between GOSAT and OCO data will inevitably be affected by spatial sampling bias, as the
 467 swath width for both OCO platforms is smaller than the diameter of the GOSAT sounding footprints (Figure
 468 6; left footprints). Also, it could be the case that only a small portion of the GOSAT footprint is sampled

469 by OCO (Figure 6; right footprints). Our filter of ≥ 10 OCO-2 soundings within a GOSAT footprint aimed
470 to reduce this potential sampling bias in addition to reducing the uncertainty of the OCO-2 SIF retrievals.
471 It must also be remembered that in this comparison, we do not have the luxury to average several GOSAT
472 soundings to reduce the uncertainty as we did with OCO-2, so the uncertainties of the GOSAT SIF is much
473 higher than that for OCO-2.

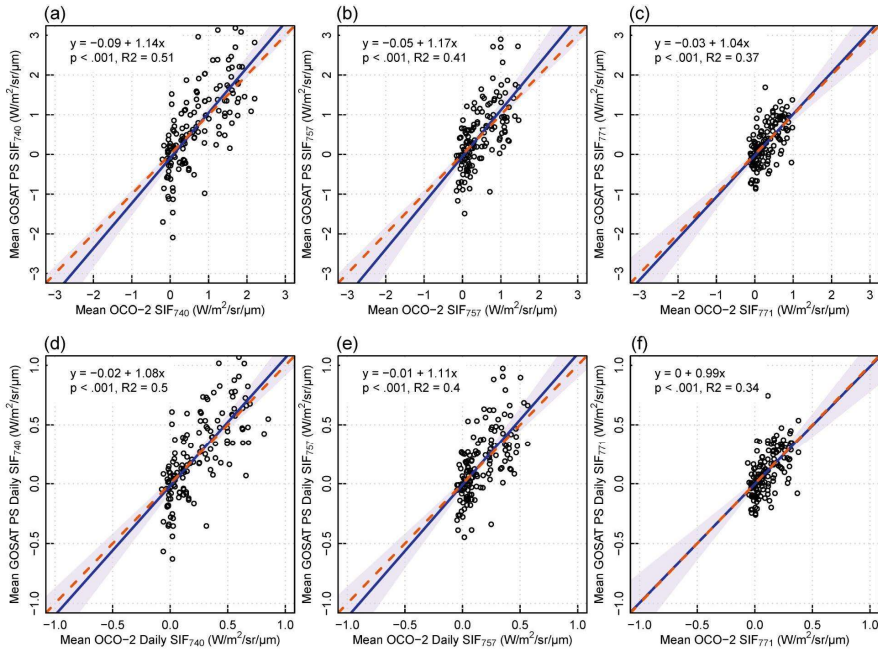
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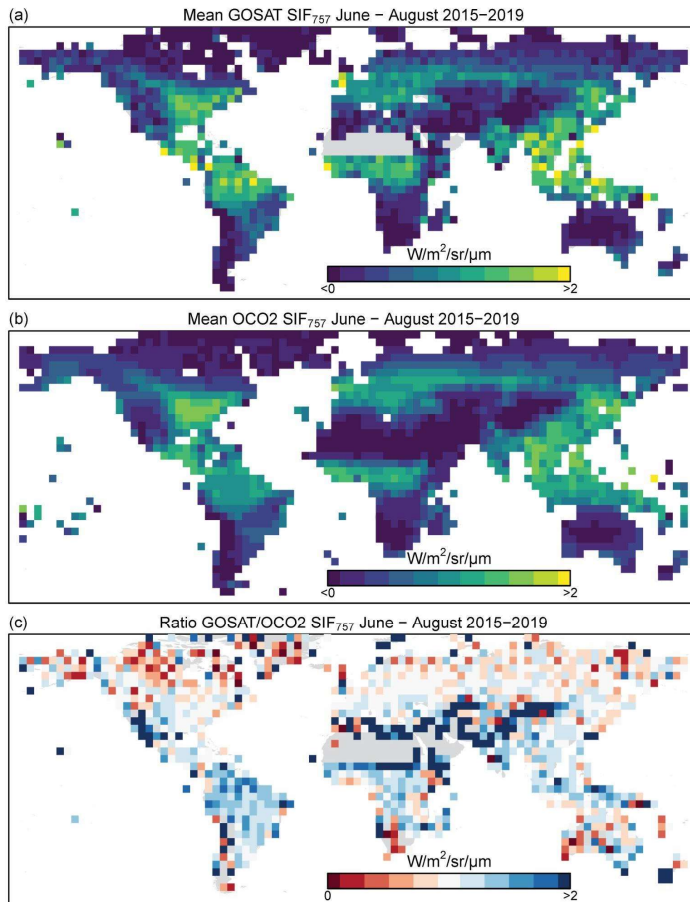
475

476 **Figure 6. Overlapping GOSAT and OCO-2 soundings near Quill Lakes, Saskatchewan, Canada.**
477 Orange circles are GOSAT sounding footprints (~10 km) and the white rhomboids are OCO-2 sounding
478 footprints (~1.3 km \times by 2.25 km) acquired on the same day as the GOSAT soundings in which they fall.
479 The GOSAT and OCO-2 soundings on the left were acquired in February 2019, and the soundings on the
480 right were acquired in July 2017. The base map is a Google Satellite image.

481 Upon a more detailed comparison of GOSAT and OCO-2 SIF at 740 nm, 757 nm, and 771 nm using the
482 strictest filter we applied in Figure 5f, we found SIF₇₄₀ from the two platforms to have higher correlations
483 than for SIF₇₅₇ and SIF₇₇₁ alone (Figure 7). We also noticed that GOSAT and OCO-2 soundings most
484 frequently overlap in the boreal winter, which corresponds to a period of little or no photosynthesis at mid
485 and high latitudes (Figures S2 and S3). Thus, the direct comparison of GOSAT and OCO-2 SIF is severely
486 restricted by the relatively infrequent overlap of the two platforms during the growing season.



487
 488
 489 — In addition to the sounding level comparisons, we found mean annual SIF₇₅₇ for GOSAT and
 490 OCO-2 to compare reasonably well at the global scale during the boreal summer (Figure 8). The
 491 relatively large differences in SIF illustrated at the gridcell level in Figure 8c are due to differences in the
 492 spatial and temporal sampling of the two platforms. [We presented the comparison here at 4.0-degree](#)
 493 [spatial resolution to improve the sampling by GOSAT \(Fig. 1a\).](#)



494
495 **Figure 8. Mean GOSAT to OCO-2 SIF₇₄₀ and their ratio at 4.0 degrees for June-August 2015-2019.**

496 **5.7 Collocating Soundings with their Targets**

497 Currently, the target and SAM soundings are not collated to the target to which they correspond, but
 498 variables will be added to upcoming future versions (e.g., v11) of the SIF Lite files that will allow for the
 499 collocate the location of target and SAM soundings with their intended target site. For OCO-3, some of
 500 the target sites are in close proximity to each other and thus a target site may fall within the scan of another
 501 target. For these sites, users may also want to check scans that were intended for target sites adjacent to
 502 their target of interest. The OCO-3 targets, the dates of their scans, and scan maps are available at

503 <https://ocov3.jpl.nasa.gov/sams/index.php>. A list of target locations for OCO-2 and OCO-3 are available in
504 Table S1 and Table S2, respectively.

505 **6 Conclusions**

506 ~~Here, we have presented and described the Level 2 SIF Lite files for GOSAT, OCO-2, and OCO-3, which~~
507 ~~have been standardized in the same netCDF format to maximize their interoperability and accessibility by~~
508 ~~the data user community and allow for intersensor comparisons.~~ Users of remote sensing data are more
509 accustomed to using Level 3 gridded data for analyses, but we incentivize data users to also exploit the
510 Level 2 data we have presented in the SIF Lite files. The OCO-2 and OCO-3 platforms provide the highest
511 spatial resolution spaceborne SIF data, and the target and SAM observation modes are unique to these
512 platforms. The observation scheme for the OCO platforms allow for time series to be constructed for the
513 target locations, and the repeated target and SAM scans allow for the investigation of the directionality and
514 escape of SIF at varying sun-sensor geometries across many biomes in different seasons.

515
516 We have demonstrated how users can break target and SAM observations into phase angles for analysis
517 and have described how the effect of sun-sensor geometry and retrieval noise can be mitigated through the
518 averaging of the data. The OCO platforms also provide a rich resource for the validation of radiative transfer
519 models, which is currently underutilized. Upcoming spaceborne platforms with frequent revisits and/or
520 high spatial resolution, such as the ~~European Space Agency's~~ FLuorescence EXplorer (FLEX) ~~by the~~
521 ~~European Space Agency~~ and NASA's Geostationary Carbon Cycle Observatory (GeoCarb), are expected
522 to further our understanding of changes in vegetation structure and function (Drusch et al., 2016; Polonsky
523 et al., 2014; Moore et al., 2018).

524 **7 Data availability**

525 ~~OCO~~ SIF Lite files ~~presented here~~ can be found at NASA Goddard Earth Sciences (GES) Data and
526 Information Services Center (DISC) at <https://disc.gsfc.nasa.gov/datasets/>. OCO-2 ~~SIF Lite files~~ can be
527 ~~accessed~~ ~~accessed~~ at <https://doi.org/10.5067/XO2LBBNPO010>, and OCO-3 data can be accessed at
528 <https://doi.org/10.5067/NOD1DPPBCXSO>~~https://disc.gsfc.nasa.gov/datacollection/OCO3-L2-Lite-SIF-~~
529 ~~EarlyR.html~~. GOSAT SIF Lite files can be accessed at <http://dx.doi.org/10.22002/D1.8771>. ~~Links to other~~
530 ~~SIF data products are listed at NASA Jet Propulsion Lab (JPL) website for SIF at~~
531 ~~https://climatesciences.jpl.nasa.gov/sif/~~.

532 **8 Author contributions**

533 RD and CF conceived this manuscript. TK prepared and provided the data and RD performed the
534 analysis. RD prepared the manuscript with contributions from all co-authors.

535 **9 Competing interests**

536 The authors declare that they have no conflict of interest.

537 **10 Acknowledgements**

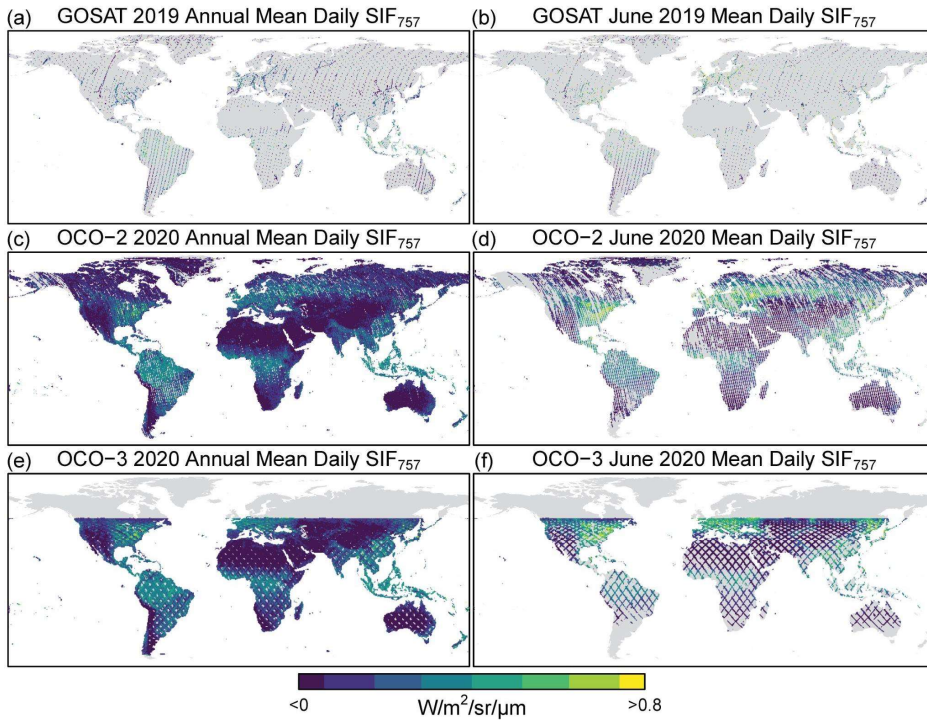
538 We thank Lan Dang for helping to process the GOSAT data, ~~and~~ Anmarie Eldering for helping coordinate
539 the publication of the SIF Lite files at the GES-DISC, and Yi Yin for publishing the GOSAT data on the
540 Caltech data repository. Part of this research was carried out at the Jet Propulsion Laboratory, California
541 Institute of Technology, under a contract with the National Aeronautics and Space Administration.
542 Reference herein to any specific commercial product, process or service by trade name, trademark,
543 manufacturer or otherwise does not constitute or imply its endorsement by the United States Government
544 or the Jet Propulsion Laboratory, California Institute of Technology.-

545 **11 Financial support**

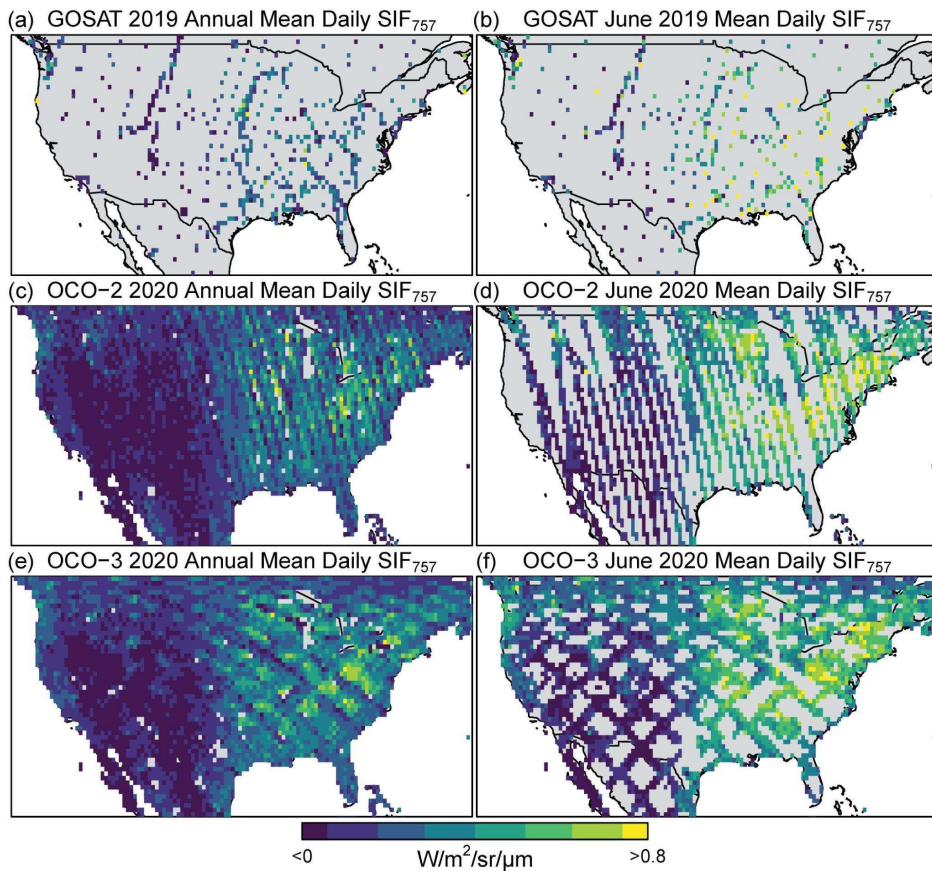
546 This research was supported by NASA Making Earth System ~~d~~Data Records for Use in Research
547 Environments (MEaSURES) Program (NNN12AA01C) and the NASA OCO Science Team
548 (80NSSC18K0895).

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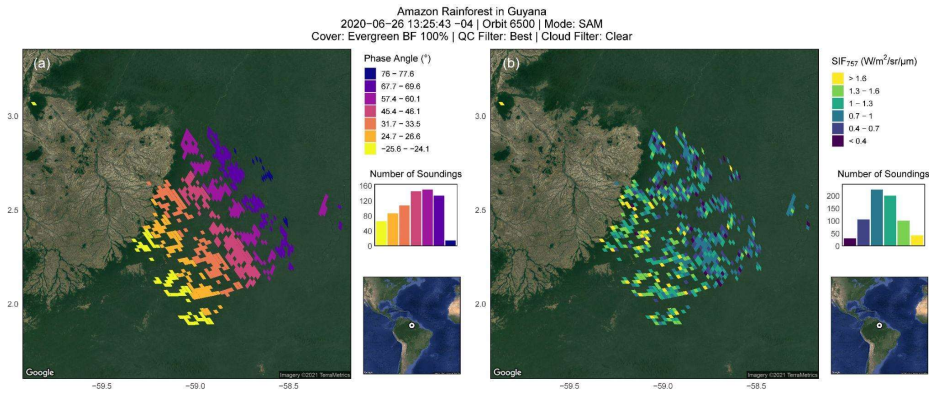
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551
 552 Figure 1. Annual 2020 and June 2020 Mean Daily SIF₇₅₇ for GOSAT, OCO-2, and OCO-3. The annual
 553 and monthly nadir-mode coverage of GOSAT, OCO-2, and OCO-3 is presented here as mean daily SIF at
 554 757 nm (SIF₇₅₇) at a gridded resolution of 0.5° for visualization. Included are soundings from all
 555 measurement modes flagged as best and good quality and clear of clouds. At nadir, the diameter of the
 556 GOSAT soundings is ~10 km, and the widths of the OCO-2 and OCO-3 swaths are about 10 km and 13
 557 km, respectively. Thus, the data gaps shown here are larger than depicted and are not to scale.
 558

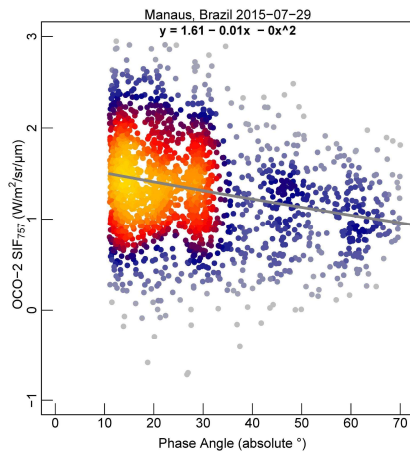


559 Figure 2. Annual 2020 and June 2020 Mean Daily SIF₇₅₇ for GOSAT, OCO-2, and OCO-3 for CONUS.
 560 These panels are zoom-ins of the contiguous United States from Figure 1.
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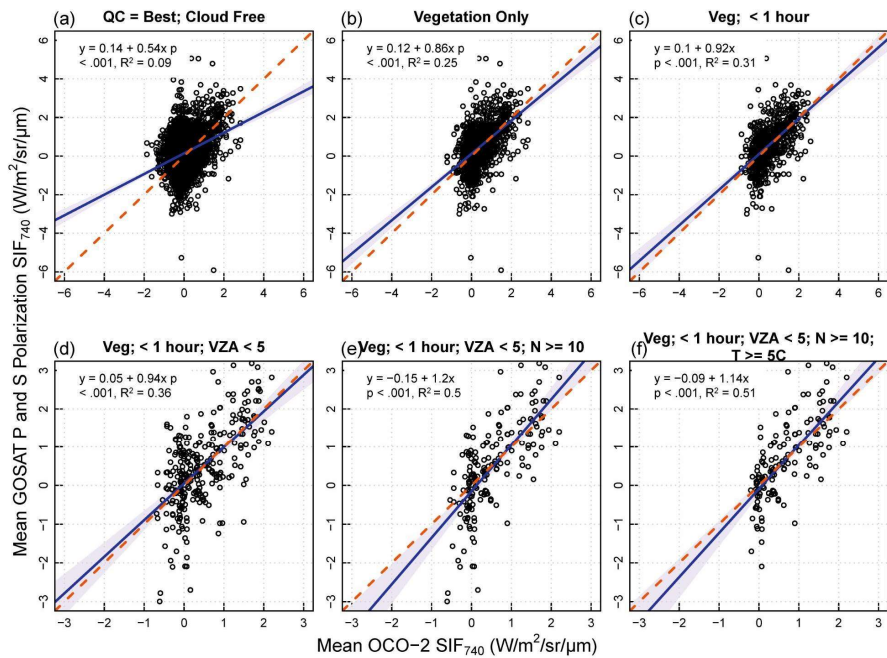


564
565 Figure 3. Phase angle and SIF757 for an OCO-3 SAM mode scan over the Amazon Rainforest in Guyana.
566 OCO-3 SAMs are composed of several scans of a target whereby the eight-sounding wide segment is
567 offset adjacent to the previous scan. Each segment has a distinctive, small range of phase angles as seen in
568 (a). SIF has higher values at lower phase angles, which is apparent in (b) where the higher SIF values
569 occur for the soundings in the southwestern portion of the SAM where phase angles are lowest.

570
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572
573 Figure 4. Absolute phase angle and SIF757 for an OCO-2 target mode scan over evergreen broadleaf
574 forest in Manaus, Brazil. As this figure demonstrates, retrieved SIF values increase as the phase angle
575 approaches 0 degrees.



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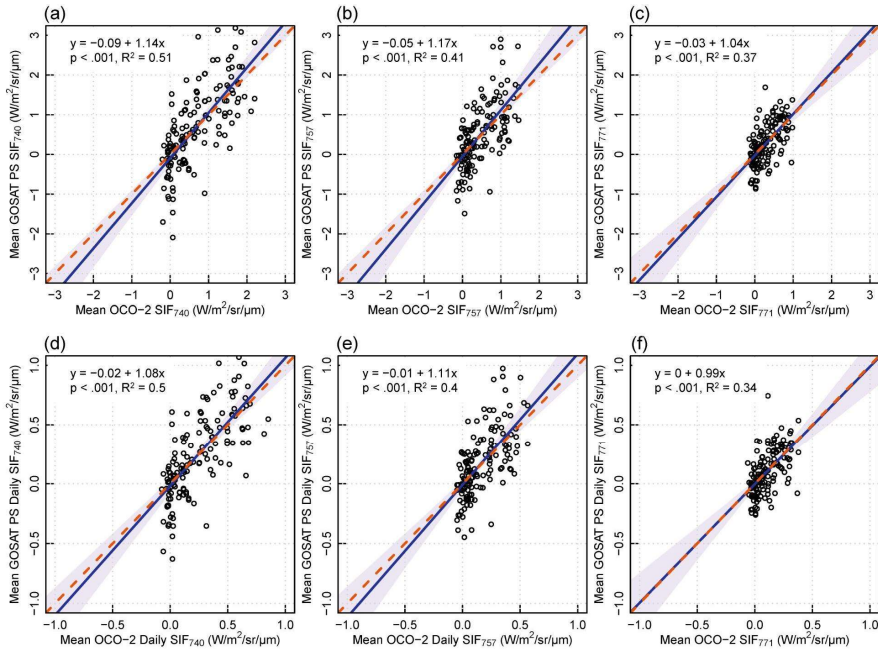
578 Figure 5. Relationships of SIF740 from OCO-2 and GOSAT using progressively conservative data filters
 579 and Deming regression. X-axis values are the mean of all OCO-2 soundings (~1.3 km × by 2.25 km) that
 580 fall within the corresponding GOSAT sounding footprint (~10 km in diameter). Y-axis values represent
 581 the mean of SIF retrieved from P and S polarizations for a single GOSAT sounding. Six years of data
 582 (2015-2020) were used to identify soundings that overlapped on the same day. (a) Soundings flagged as
 583 best quality and cloud free. (b) Same as (a) but filtered as being over vegetation using the IGBP flag in
 584 the OCO-2 SIF Lite file. (c) Same as (b) but filtered for data that was acquired from GOSAT and OCO-2
 585 within one hour of each other. (d) Same as (c) but with viewing zenith angles (VZA) < 5° for both
 586 platforms. (e) Same as (d) but with number (N) of OCO-2 soundings within a GOSAT sounding being ≥
 587 10. (f) Same as (e) but with skin temperature ≥ 5 °C.

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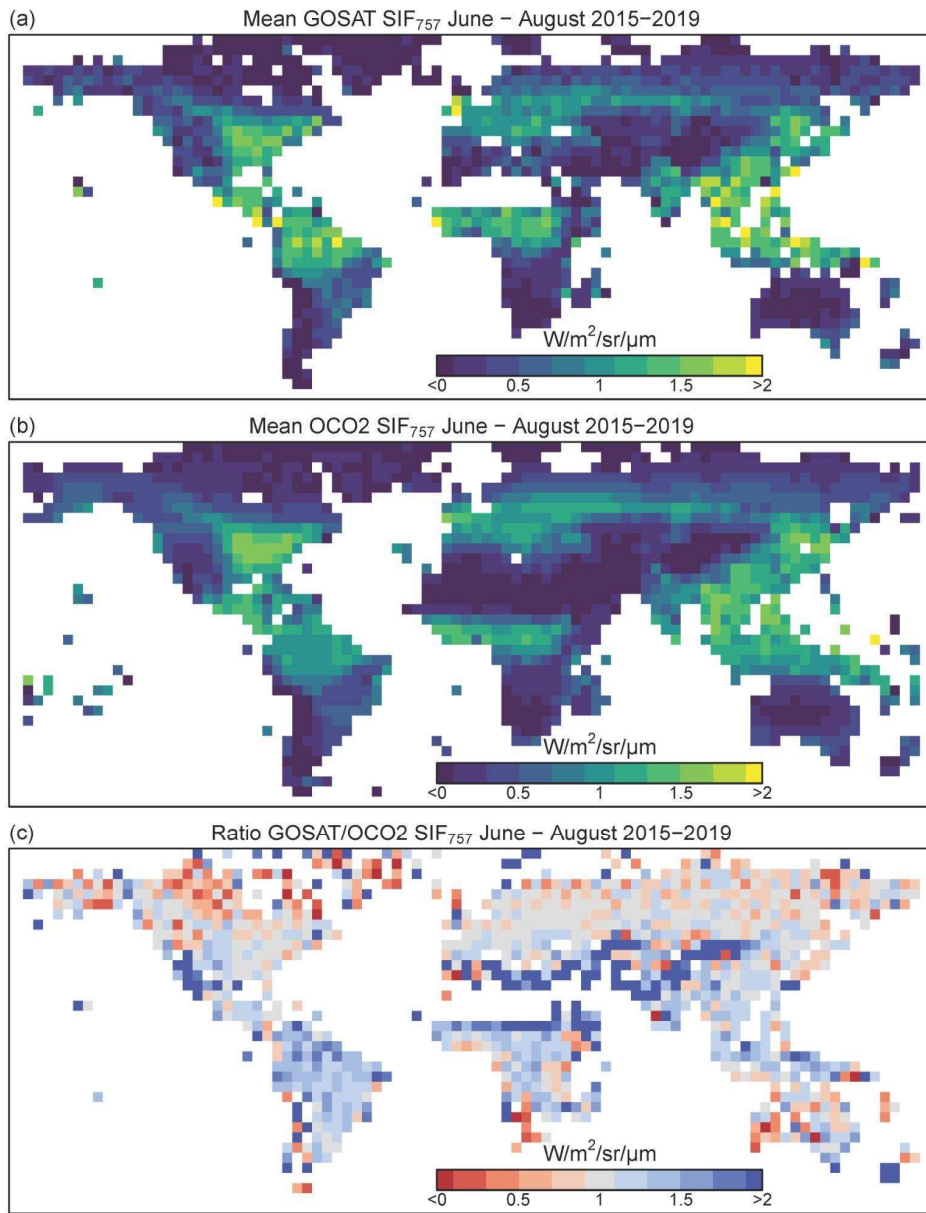
589



590
591 Figure 6. Overlapping GOSAT and OCO-2 soundings near Quill Lakes, Saskatchewan, Canada. Orange
592 circles are GOSAT sounding footprints (~10 km) and the white rhomboids are OCO-2 sounding
593 footprints (~1.3 km ×by 2.25 km) acquired on the same day as the GOSAT soundings in which they fall.
594 The GOSAT and OCO-2 soundings on the left were acquired in February 2019, and the soundings on the
595 right were acquired in July 2017. The base map is a Google Satellite image.
596



597
 598 Figure 7. Relationships between instantaneous (top) and daily (bottom) SIF740, SIF757, and SIF771 from
 599 GOSAT and OCO-2 using Deming regression. The soundings presented here were those presented in
 600 main text Figure 5f, which were data that had the most conservative filter: best quality and cloud free,
 601 vegetation, co-occurring within 1 hour, viewing zenith angle < 5°, number of OCO-2 soundings within a
 602 GOSAT footprint > 10, and skin temperature > 5 °C.
 603



604

605

Figure 8. Mean GOSAT to OCO-2 SIF740 and their ratio at 4.0 degrees for June-August 2015-2019.

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606
607 **Table 1. Level 2 GOSAT, OCO-2, and OCO-3 SIF Lite netCDF File Global Attributes, Dimensions,**
608 **and Variables.** Units for SIF and continuum level radiance variables are W/m²/sr/μm, geolocation variables
609 are in decimal degrees, angles are in degrees, and the units for the meteorological variables are in the table
610 below. For GOSAT, data is provided for both the P and S polarizations as a two-dimensional array. *
611 denotes the variable or dimension is only applicable to OCO-2 and OCO-3, and ** denotes that the
612 dimension is only applicable to GOSAT. Note that there are different MeasurementMode and OrbitID
613 descriptions for GOSAT, and that some root-level variables are duplicated in the Geolocation and Science
614 group.

Global Attributes	
date_time_coverage	UTC time string of the first and last observation
day_of_year_coverage	Same as date_time_coverage, but with day-of-year
InputCollectionLabel	Collection label of the L2 data products used to create the file
InputBuildID	Build ID of the L2 data products used to create the file
InputPointers	String with names of all input products and auxiliary data used to create the file
Dimensions (length of dimension)	
sounding_dim (variable)	Number of soundings in the file
footprint_dim (8) *	Number of OCO-2/3 across-track footprints
vertex_dim (4) *	Number of footprint corner coordinates
signalbin_dim (227)	Number of entries in the signal histogram arrays in the Offset group
statistics_dim (2)	Array dimension in the Mean and Median SIF values of the Offset group; adjusted and unadjusted values
polarization_dim (2) **	Array dimension of the polarization for GOSAT; P and S polarization
Root Level Variables	
Daily_SIF_740nm	Daily Corrected Solar induced chlorophyll fluorescence at 740 nm: Daily_SIF_740nm = SIF_740 * /Science/daily_correction_factor
Daily_SIF_757nm	Daily Corrected Solar induced chlorophyll fluorescence at 757 nm: Daily_SIF_757nm = /Science/sif_757nm * /Science/daily_correction_factor
Daily_SIF_771nm	Daily Corrected Solar induced chlorophyll fluorescence at 771 nm: Daily_SIF_771nm = /Science/sif_771nm * /Science/daily_correction_factor
Delta_Time	Timestamp (seconds since 1 January 1990)
Latitude	Center latitude of the measurement
Latitude_Corners *	Corner latitude of the measurement
Longitude	Center longitude of the measurement
Longitude_Corners *	Corner longitude of the measurement
Quality_Flag	0 = best (passes quality control + cloud fraction = 0.0); 1 = good (passes quality control); 2 = bad (failed quality control); -1 = not investigated

SAz	Azimuth angle between the solar direction as defined by the sounding location, and the sounding local north
SIF_740nm	Solar induced chlorophyll fluorescence at retrieved wavelength: $SIF_{740nm} = 0.75 * (/Science/sif_{757nm} + 1.5*/Science/sif_{771nm})$
SIF_Uncertainty_740nm	Uncertainty computed from continuum level radiance at 740 nm: $SIF_{Uncertainty_{740}} = 0.75 * ((/Science/sif_{757nm})^2 + (1.5*/Science/sif_{771nm})^2)^{(1/2)}$
SZA	Solar zenith angle is the angle between the line of sight to the sun and the local vertical
VAz	Azimuth angle between line of sight and local north
VZA	Sensor zenith angle is the angle between the line of sight to the sensor and the local vertical
<u>Variable/Group Name</u>	<u>Description</u>
Cloud Group Variables	
cloud_flag_abp	Indicator of whether the sounding contained clouds: 0 - Classified clear, 1 - Classified cloudy, 2 - Not classified, all other values undefined; not used in SIF Lite processing
co2_ratio	Ratio of CO2 retrieved in weak and strong CO2 band (value near 1 indicate scattering free scene)
delta_pressure_abp	Retrieved-predicted surface pressure from ABO2, usable as cloud screener; not used in SIF Lite processing
o2_ratio	Ratio of retrieved and predicted O2 column
surface_albedo_abp	Surface albedo (Lambertian equivalent) as retrieved in the ABO2 preprocessor at 760nm; not used in SIF processing
Geolocation Group Variables	
altitude	Surface altitude of observed footprint
footprint_latitude_vertices *	Latitude corner coordinates of the sounding location
footprint_longitude_vertices *	Longitude corner coordinates of the sounding location
latitude	Center latitude of the measurement
longitude	Center longitude of the measurement
sensor_azimuth_angle	Azimuth angle between line of sight and local north
sensor_zenith_angle	Sensor zenith angle is the angle between the line of sight to the sensor and the local vertical
solar_azimuth_angle	Azimuth angle between the solar direction as defined by the sounding location, and the sounding local north
solar_zenith_angle	Solar zenith angle is the angle between the line of sight to the sun and the local vertical
time_tai93	Timestamp (seconds since 1 January 1993)
Metadata Group Variables	
BuildID	The ID of the Build, including the software version that created this product
CollectionLabel	The Collection Label of the Build, including the software version that created this product

FootprintID *	OCO-2 footprint identifier (1-8), identifying the 8 independent OCO-2 spatial samples per frame
MeasurementMode	OCO-2/3: Instrument Measurement Mode, 0=Nadir, 1=Glint, 2=Target, 3=AreaMap, 4=Transition; users might consider separating these for analysis GOSAT: Instrument Measurement Mode, 0=OB1D (FTS obs. mode I, sunlit), 1=OB2D (FTS obs mode II, sunlit), 2=SPOD (FTS specific obs. mode, sunlit); users might consider separating these for analysis
OrbitID	Orbit Identifier: Start Orbit Number (OCO-2) or Start Solar Day (OCO-3) of observation GOSAT: Orbit Identification String ("NominalDay OrbitOfDay StartPathNumber-StopPathNumber")
SoundingID	Unique Identifier for each sounding
Meteo (Meteorological) Group Variables	
specific_humidity	Specific humidity at surface layer at the sounding location, interpolated from GEOS-5 FP-IT inst3_3d_asm_Nv field QV (specific_humidity); kg/kg
surface_pressure	Surface pressure at the sounding location; interpolated from GEOS-5 FP-IT inst3_3d_asm_Nv field PS (surface_pressure); Pa
temperature_skin	Skin temperature at the sounding location; interpolated from GEOS-5 FP-IT inst3_2d_asm_Nx field TS (surface_skin_temperature); K
temperature_two_meter	Two-meter temperature at the sounding location; interpolated from GEOS-5 FP-IT inst3_2d_asm_Nx field T2M (2-meter_air_temperature); K
vapor_pressure_deficit	Vapor pressure deficit at the sounding location (2m) (ECMWF forecast); Pa
wind_speed	Surface wind speed at sounding location; interpolated from GEOS-5 FP-IT inst3_2d_asm_Nx field U10M and inst3_2d_asm_Nx field V10M (10-meter_eastward_wind, 10-meter_northward_wind); m/s
Offset Group Variables	
SIF_Mean_757nm	Mean Solar Induced Fluorescence at 757nm (by footprint, for adjusted and unadjusted values)
SIF_Mean_771nm	Mean Solar Induced Fluorescence at 771nm (by footprint, for adjusted and unadjusted values)
SIF_Median_757nm	Median Solar Induced Fluorescence at 757nm (by footprint, for adjusted and unadjusted values)
SIF_Median_771nm	Median Solar Induced Fluorescence at 771nm (by footprint, for adjusted and unadjusted values)
SIF_Relative_Mean_757nm	Mean relative Solar Induced Fluorescence at 757nm (by footprint, for adjusted and unadjusted values)
SIF_Relative_Mean_771nm	Mean relative Solar Induced Fluorescence at 771nm (by footprint, for adjusted and unadjusted values)
SIF_Relative_Median_757nm	Median relative Solar Induced Fluorescence at 757nm (by footprint, for adjusted and unadjusted values)
SIF_Relative_Median_771nm	Median relative Solar Induced Fluorescence at 771nm (by footprint, for adjusted and unadjusted values)

SIF_Relative_SDev_757nm	Standard deviation of relative Solar Induced Fluorescence at 757nm (by footprint, for adjusted and unadjusted values)
SIF_Relative_SDev_771nm	Standard deviation of relative Solar Induced Fluorescence at 771nm (by footprint, for adjusted and unadjusted values)
signal_histogram_757nm	Signal level histogram for 757 nm radiances
signal_histogram_771nm	Signal level histogram for 771 nm radiances
signal_histogram_bins	Radiance level offset histogram bins
Science Group Variables	
continuum_radiance_757nm	Continuum Level Radiance at 757 nm
continuum_radiance_771nm	Continuum Level Radiance at 771 nm
daily_correction_factor	Correction factor to estimate daily average SIF from instantaneous SIF (using pure geometric incoming light scaling)
IGBP_index *	IGBP Index
SIF_757nm	Offset-Adjusted Solar Induced Chlorophyll Fluorescence at 757nm
SIF_771nm	Offset-Adjusted Solar Induced Chlorophyll Fluorescence at 771nm
SIF_Relative_757nm	Relative Solar Induced Fluorescence at 757 nm
SIF_Relative_771nm	Relative Solar Induced Fluorescence at 771 nm
SIF_Unadjusted_757nm	Solar Induced Chlorophyll Fluorescence at 757nm, no offset adjustment
SIF_Unadjusted_771nm	Solar Induced Chlorophyll Fluorescence at 771nm, no offset adjustment
SIF_Unadjusted_Relative_757nm	Solar Induced Chlorophyll Fluorescence at 757nm in fractions of continuum level, no offset adjustment
SIF_Unadjusted_Relative_771nm	Solar Induced Chlorophyll Fluorescence at 771nm in fractions of continuum level, no offset adjustment
SIF_Uncertainty_757nm	One-Sigma Statistical Uncertainty in Solar Induced Chlorophyll Fluorescence at 757nm
SIF_Uncertainty_771nm	One-Sigma Statistical Uncertainty in Solar Induced Chlorophyll Fluorescence at 771nm
sounding_land_fraction	Percentage of land surface type within the sounding
sounding_qual_flag	Sounding Quality Flag: 0 = good, 1 = bad

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616 **Table 2. Criterion of quality flags *best* and *good* for the Level 2 GOSAT, OCO-2, and OCO-3 data.**

617 Soundings that do not meet either set of criteria are flagged as *failed* (2).

Quality_Flag = 0 (<i>best</i>)	Quality_Flag = 1 (<i>good</i>)
$28 \leq \text{continuum radiance @757nm} \leq 195$ [W/m ² /sr/μm]	$28 \leq \text{continuum radiance @757nm} \leq 195$ [W/m ² /sr/μm]
$\chi^2 @ 757\text{nm} \leq 2.0$	$\chi^2 @ 757\text{nm} \leq 3.0$
$\chi^2 @ 771\text{nm} \leq 2.0$	$\chi^2 @ 771\text{nm} \leq 3.0$

$0.85 \leq O_2 \text{ ratio} \leq 1.5$	$0.85 \leq O_2 \text{ ratio} \leq 1.5$
$0.5 \leq CO_2 \text{ ratio} \leq 4.0$	$0.5 \leq CO_2 \text{ ratio} \leq 4.0$
$\theta_{\text{sun}} \leq 80^\circ$ for GOSAT: $\theta_{\text{sun}} \leq 70^\circ$ for OCO-2/3	$\theta_{\text{sun}} \leq 80^\circ$ for GOSAT: $\theta_{\text{sun}} \leq 70^\circ$ for OCO-2/3
Land Fraction = 100%	Land Fraction $\geq 80\%$

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