

1                   **Development of East Asia Regional**  
2                   **Reanalysis based on advanced hybrid gain**  
3                   **data assimilation method and evaluation**  
4                   **with E3DVAR, ERA-5, and ERA-Interim**  
5                   **reanalysis**

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8                   Eun-Gyeong Yang, Hyun Mee Kim<sup>\*</sup>, and Dae-Hui Kim

9                   *Atmospheric Predictability and Data Assimilation Laboratory*

10                  *Department of Atmospheric Science, Yonsei University, Seoul, Republic of Korea*

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<sup>\*</sup> *Corresponding author address:* Hyun Mee Kim, Department of Atmospheric Sciences, Yonsei University, 50 Yonsei-ro, Seodaemun-gu, Seoul, 03722, Republic of Korea.

E-mail: khm@yonsei.ac.kr

18 **ABSTRACT**

19 The East Asia Regional Reanalysis (EARR) system is developed based on the advanced  
20 hybrid gain data assimilation method (AdvHG) using Weather Research and Forecasting (WRF)  
21 model and conventional observations. Based on EARR, the high-resolution regional reanalysis  
22 and reforecast fields are produced with 12 km horizontal resolution over East Asia for 2010–  
23 2019. The newly proposed AdvHG is based on the hybrid gain approach, weighting two  
24 different analysis for an optimal analysis. The AdvHG is different from the hybrid gain in that  
25 1) E3DVAR is used instead of EnKF, 2) 6 h forecast of ERA5 is used to be more consistent  
26 with WRF, and 3) the pre-existing, state-of-the-art reanalysis is used. Thus, the AdvHG can be  
27 regarded as an efficient approach to generate regional reanalysis dataset due to cost savings as  
28 well as the use of the state-of-the-art reanalysis. The upper air variables of EARR are verified  
29 with those of ERA5 for January and July 2017 and the ten-year period of 2010-2019. For upper  
30 air variables, ERA5 outperforms EARR over two years, whereas EARR outperforms (shows  
31 comparable performance to) ERA-I and E3DVAR for January in 2017 (July in 2017). EARR  
32 better represents precipitation than ERA5 for January and July in 2017. Therefore, though the  
33 uncertainties of upper air variables of EARR need to be considered when analyzing them, the  
34 precipitation of EARR is more accurate than that of ERA5 for both two seasons. The EARR  
35 data presented here can be downloaded from <https://doi.org/10.7910/DVN/7P8MZT> for data  
36 on pressure levels and <https://doi.org/10.7910/DVN/Q07VRC> for precipitation.

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## 38 **1. Introduction**

39 Reanalysis datasets have been widely used in the socio-economical field as well as  
40 meteorological and climate research areas all over the world. Most of reanalysis datasets  
41 consist of global reanalysis whose spatial and temporal resolutions are relatively coarse (e.g.,  
42 Schubert et al. 1993; Kalnay et al. 1996; Gibson et al. 1997; Kistler et al. 2001; Kanamitsu et  
43 al. 2002; Uppala et al. 2005; Onogi et al. 2007; Bosilovich 2008; Saha et al. 2010; Dee et al.  
44 2011; Rienecker et al. 2011; Bosilovich 2015; Kobayashi et al. 2015; Hersbach et al. 2020). As  
45 the importance of regional reanalysis dataset emerged, many operational centers and research  
46 institutes around the world have been producing the dataset in their own areas (Mesinger et al.  
47 2006; Renshaw et al. 2013; Borsche et al. 2015; Bromwich et al. 2016; Jerney and Renshaw  
48 2016; Zhang et al. 2017; Bromwich et al. 2018; Fukui et al. 2018; He et al. 2019; Ashrit et al.  
49 2020).

50 The long-term high-resolution datasets are essential to investigate the past extreme  
51 weather events which might be associated with mesoscale features such as heavy rainfall events  
52 with high spatial and temporal variability which coarser-resolution model cannot represent.  
53 The dynamical downscaling approaches can be a solution for generating high-resolution dataset,  
54 but they have some issues with insufficient spin-up (Kayaba et al. 2016). Moreover, Fukui et  
55 al. (2018) demonstrated that regional reanalysis over Japan assimilating only the conventional  
56 observations had the potential to reproduce precipitation fields better than the dynamical  
57 downscaling approaches. Ashrit et al. (2020) also found that the high-resolution regional  
58 reanalysis over India showed substantial improvements of regional hydroclimatic features  
59 during summer monsoon for the period of 1979-1993 compared to the global reanalysis ERA-  
60 Interim (ERA-I, Dee et al. 2011) from ECMWF. Furthermore, He et al. (2019) revealed that  
61 the pilot regional reanalysis over the Tibetan Plateau was able to represent more accurate

62 precipitation features as well as atmospheric humidity than the global reanalyses of ECMWF  
63 (i.e., ECMWF's fifth-generation reanalysis (ERA5, Hersbach et al. 2020) and ERA-I).

64 As part of this effort, regional reanalysis over East Asia were produced based on the  
65 Unified Model for the two-year period of 2013-2014 and it was confirmed that regional  
66 reanalysis over East Asia is beneficial (Yang and Kim 2017; Yang and Kim 2019). However,  
67 because UM was no longer available for generating regional reanalysis over East Asia, another  
68 numerical weather prediction (NWP) model and its data assimilation (DA) method are required.

69 To find the most appropriate and cost-efficient DA method for a regional reanalysis over  
70 East Asia, several DA methods were compared. Yang and Kim (2021) demonstrated that the  
71 hybrid ensemble-variational data assimilation method (E3DVAR) shows the better  
72 performance compared to three-dimensional variational data assimilation (3DVAR) and  
73 ensemble Kalman filter (EnKF) over East Asia for January and July in 2016. However, it is  
74 essential to confirm if this hybrid method is accurate enough to be used for a regional reanalysis  
75 over East Asia. Thus, E3DVAR was compared with the latest and the previous reanalysis data  
76 from ECMWF (ERA5 and ERA-I) for (re)analysis and (re)forecast variables and it was found  
77 that a performance for a regional reanalysis needs to be further improved.

78 For this reason, a new advanced hybrid gain (AdvHG) data assimilation method, which  
79 combines E3DVAR and ERA5 based on WRF model, is newly proposed and investigated in  
80 this study. A hybrid gain data assimilation method has been developed as a new kind of hybrid  
81 methods (Penny 2014). Based on this method, an advanced data assimilation method is newly  
82 developed in this study. Finally, using this newly proposed DA method (AdvHG), East Asia  
83 regional reanalysis (EARR) system is developed based on WRF model. EARR datasets have  
84 been produced for ten-year period of 2010-2019 and are publicly available  
85 (<https://dataverse.harvard.edu/dataverse/EARR>).

86 To investigate the accuracy and uncertainty of the state-of-the-art AdvHG DA algorithm

87 developed in this study, analysis and forecast atmospheric variables of E3DVAR, AdvHG,  
88 WRF-based ERA-I, and WRF-based ERA5 are evaluated for January and July in 2017,  
89 respectively. In addition, reforecast precipitation fields of ERA-I and ERA5 from ECMWF are  
90 also verified and compared. In this study, the datasets are evaluated for two-month period  
91 (January and July in 2017) or ten-year period (2010-2019) depending on the availability of  
92 datasets. The reanalysis and (re)forecast fields of the EARR based on AdvHG and ERA5 are  
93 verified for ten-year period (2010-2019). In section 2, the EARR system including model, data  
94 assimilation method, and observations are explained. In section 3, the evaluation methods are  
95 presented. The verification results of (re)analysis and (re)forecast variables are presented in  
96 section 4. Section 4.1 presents evaluation results for wind, temperature, and humidity variables,  
97 and section 4.2 presents those for precipitation (re)forecast. Section 5 presents data availability.  
98 Lastly, summary and conclusions are presented in section 6.

## 99 **2. Reanalysis system**

### 100 *2.1. Model*

101 In this study, the Advanced Research Weather Research and Forecasting (WRF, v3.7.1)  
102 model is used with 12-km horizontal resolution (540 x 432 grid points) and 50 vertical levels  
103 (up to 5 hPa) for East Asia domain shown in Fig. 1. The model settings and physics scheme are  
104 summarized in Table 1. Analysis fields are obtained every 6 h (00, 06, 12, and 18 UTC) via  
105 assimilation of conventional observations with a 6 h assimilation window, and forecast fields  
106 are integrated up to 36 h. The ERA5 reanalysis (Hersbach et al. 2020) is used as the first initial  
107 condition before the cycling, and as boundary conditions every 6 h.

### 108 *2.2. Data assimilation methods*

#### 109 *2.2.1. E3DVAR*

110 The E3DVAR method is one of hybrid data assimilation methods, which use a static

111 climatological background error covariance (BEC) and ensemble-based flow-dependent BEC,  
 112 and couples the EnKF and 3DVAR (Zhang et al. 2013). E3DVAR is based on a cost function  
 113 of 3DVAR. In E3DVAR, EnKF provides flow-dependent BEC as well as updates perturbations  
 114 for ensemble members. Following Zhang et al. (2013),

$$J^b = J_s^b + J_e^b = \frac{1}{2} \delta \mathbf{x}^T \left[ (1 - \beta) \mathbf{B} + \beta \mathbf{P}^f \circ \mathbf{C} \right]^{-1} \delta \mathbf{x} , \quad (1)$$

115 where  $J_s^b$  is a traditional cost function based on a static climatological BEC  $\mathbf{B}$  and  $J_e^b$  is an  
 116 additional cost function based on ensemble-based BEC  $\mathbf{P}^f$ .  $\mathbf{C}$  is a correlation matrix for  
 117 localization of the ensemble covariance  $\mathbf{P}^f$ . The weighting coefficient  $\beta$  between static and  
 118 ensemble-based BEC is set to 0.8 in this study. To account for model error for E3DVAR, multi-  
 119 physics scheme is applied to 40-member ensembles. Yang and Kim (2021) found that E3DVAR  
 120 is the most appropriate DA method among 3DVAR, EnKF, and E3DVAR methods over East  
 121 Asia. More detailed information on E3DVAR implemented in this study can be found in Yang  
 122 and Kim (2021).

### 123 2.2.2. Hybrid gain data assimilation method

124 In the last decade, the traditional hybrid methods have been widely used for many  
 125 operational centers and research institutes. Recently, Penny (2014) has proposed a new class  
 126 of hybrid gain methods combining desirable aspects of both variational and EnKF families of  
 127 algorithms by weighting analyses from 3DVAR and LETKF for an optimal analysis in the  
 128 Lorenz 40-component model. Since then, this algorithm has been implemented at ECMWF  
 129 (Bonavita et al. 2015) and at a hybrid global ocean DA system in National Centers for  
 130 Environmental Prediction (NCEP) (Penny et al. 2015).

131 The hybrid gain algorithm can be described with the following equations:

$$\mathbf{x}_{Hyb}^a = \alpha \mathbf{x}_{det}^a + (1 - \alpha) \overline{\mathbf{x}^a} , \quad (2)$$

132 where  $\mathbf{x}_{Hyb}^a$ ,  $\mathbf{x}_{det}^a$ , and  $\overline{\mathbf{x}^a}$  denote the hybrid analysis, deterministic analysis, and the ensemble

133 mean analysis from the ensemble-based assimilation method, and  $\alpha$  is a tunable parameter  
 134 (Penny 2014, Houtekamer and Zhang 2016).

135 The hybrid gain method is different from traditional hybrid methods, in that a hybrid gain  
 136 approach linearly combines analysis fields from EnKF and variational DA method to produce  
 137 a hybrid gain analysis rather than linearly combining respective BECs (Penny 2014). Basically,  
 138 the hybrid gain method is to hybridize two different Kalman gain matrices of ensemble-based  
 139 [Eq. (4)] and variational data assimilation system [Eq. (5)] as in Eq. (3).

$$\hat{\mathbf{K}} = \beta_1 \mathbf{K}^f + \beta_2 \mathbf{K}^B + \beta_3 \mathbf{K}^B \mathbf{H} \mathbf{K}^f, \quad (3)$$

140 where

$$\mathbf{K}^f = \mathbf{P}^f \mathbf{H}^T (\mathbf{H} \mathbf{P}^f \mathbf{H}^T + \mathbf{R})^{-1}, \quad (4)$$

$$\mathbf{K}^B = \mathbf{B} \mathbf{H}^T (\mathbf{H} \mathbf{B} \mathbf{H}^T + \mathbf{R})^{-1}. \quad (5)$$

141  $\mathbf{H}$  is an observation operator mapping the model state vector to observation space and  $\mathbf{R}$  is the  
 142 observation error covariance matrix. The matrices  $\mathbf{P}^f$  and  $\mathbf{B}$  indicate the ensemble-based and  
 143 the static climatological BEC, respectively. By choosing the specific coefficients ( $\beta_1=1$ ,  $\beta_2 =$   
 144  $\alpha$ ,  $\beta_3 = -\alpha$ ), it can be written as in Eq. (6) and it can give an algebraically equivalent result  
 145 with Eq. (2) (Penny 2014).

$$\hat{\mathbf{K}} = \mathbf{K}^f + \alpha \mathbf{K}^B (\mathbf{I} - \mathbf{H} \mathbf{K}^f). \quad (6)$$

146 One of advantages of the hybrid gain algorithm with respect to its development is that pre-  
 147 existing operational systems can be used without significant modification for a hybrid analysis  
 148 (Penny 2014) and independent parallel development of respective methods is allowed  
 149 (Houtekamer and Zhang 2016). Furthermore, the hybrid gain approach can be considered as a  
 150 practical and straightforward method in the foreseeable future to combine advantageous  
 151 features of both ensemble- and variational-based DA algorithms (Houtekamer and Zhang 2016).

152 More detailed information on this algorithm can be found in Penny (2014).

### 153 2.2.3. *Advanced hybrid gain data assimilation method*

154 In this study, based on the hybrid gain approach, an advanced hybrid gain data assimilation  
155 method (AdvHG) is newly proposed as follows:

$$X_{\text{AdvHG}}^a = \alpha X_{\text{ERA5}}^{f(6h)} + (1 - \alpha) \bar{X}_{\text{E3DVAR}}^a, \quad (7)$$

156 where  $X_{\text{ERA5}}^{f(6h)}$  denotes the 6 h forecast of ERA5 reanalysis based on WRF model and  $\bar{X}_{\text{E3DVAR}}^a$   
157 denotes the analysis of E3DVAR (Fig. 2). In Eq. (7),  $\alpha$  is a tunable parameter and is assigned  
158 to be 0.5 in this study. This advanced hybrid gain approach is different from the hybrid gain  
159 approach in that 1) E3DVAR analysis is used instead of EnKF, 2) 6 h forecast of ERA5 is used  
160 instead of deterministic analysis from variational DA method, and 3) the pre-existing and state-  
161 of-the-art reanalysis data (i.e., ERA5) is simply used instead of producing deterministic  
162 analysis by assimilation. The reasons for these different approaches proposed in this study are  
163 as follows:

164 1) E3DVAR is used instead of EnKF because Yang and Kim (2021) confirmed that  
165 E3DVAR outperforms EnKF for winter and summer seasons over East Asia.

166 2) Instead of deterministic analysis, the 6 h forecast of ERA5 based on WRF model is  
167 used to make the hybrid analysis more balanced and consistent with WRF model, because  
168 ERA5 reanalysis fields are based on its own modeling system with coarser resolution, which  
169 is different from that of this study.

170 3) European Centre for Medium-Range Weather Forecasts (ECMWF) reanalysis (ERA5)  
171 is used instead of producing our own analysis fields from a variational DA method. This is a  
172 very efficient approach because of the cost savings as well as the use of the high-quality latest  
173 reanalysis from ECMWF assimilating all currently available observations with the state-of-the-  
174 art and advanced technology.



175 Therefore, the approach proposed in this study is called as “advanced hybrid gain method”  
176 (denoted as “AdvHG”).

### 177 2.3. Observations

178 The NCEP PrepBUFR [Prepared or QC’d data in BUFR (Binary Universal Form for the  
179 Representation of meteorological data) format] conventional observations (global upper air and  
180 surface weather observations, NCEP/NWS/NOAA/U.S.DOC 2008) are used every 6 h (00, 06,  
181 12, and 18 UTC) for an assimilation by E3DVAR and AdvHG methods (Fig. 1). The PrepBUFR  
182 is the output of the final process for preparing the observations to be assimilated in the different  
183 NCEP analyses. For observations, rudimentary multi-platform quality control (QC) and more  
184 complex platform-specific QC were conducted (e.g., surface pressure, rawinsonde heights and  
185 temperature, wind profiler, aircraft wind and temperature) in NCEP (Keyser 2013).  
186 Furthermore, if the innovations (i.e., observation minus background) of some observations are  
187 greater than 5 times the observational error, then that observation is rejected during assimilation  
188 procedure in this study.

189 The assimilated observations are as follows: the surface observations (SYNOP, METAR,  
190 Ship, and Buoy), radiosonde observation (SOUND), upper-wind report (PILOT), wind profiler,  
191 aircraft, atmospheric motion vector (AMV) wind from a geostationary satellite (GEOAMV),  
192 and Scatterometer oceanic surface winds (Scatwind), and precipitable water vapor from global  
193 positioning system (GPSPW). The observation errors depending on each observation platform,  
194 variable, and vertical levels are assigned based on the default observation error statistics  
195 provided in WRFDA system (Table 2). All observations are spatially thinned by 20 km except  
196 for AMV thinned by 200 km as done by Warrick (2015), Cotton et al. (2016), and Shin et al.  
197 (2016).

198 To evaluate 6 h accumulated precipitation simulated by E3DVAR, AdvHG, ERA-I, and  
199 ERA5 over East Asia, global surface weather observations (NCEP PrepBUFR,

200 NCEP/NWS/NOAA/U.S.DOC 2008) are used every 6 h (00, 06, 12, and 18 UTC). For an  
201 evaluation of the monthly precipitation fields, the world monthly surface station climatology  
202 (NCDC/NESDIS/NOAA/U.S.DOC et al. 1981) over 4700 different stations (2600 in more  
203 recent years) is used.

#### 204 *2.4. Global reanalysis datasets*

205 To compare EARR generated with other reanalysis datasets, ERA5 (Hersbach et al. 2020)  
206 and ERA-I (Dee et al. 2011) reanalysis are chosen. The horizontal resolutions of ERA-I and  
207 ERA5 are approximately 79 km (TL255) and 31 km (TL639), respectively. Because ERA5 is  
208 based on the operational system in 2016, improvements in model physics, numerics, data  
209 assimilation, and additional observations over the last decade are the advantages of ERA5  
210 (Hersbach et al. 2018).

211 In this study, (re)forecast as well as reanalysis fields need to be verified. Regarding  
212 reanalysis and (re)forecast fields of ECMWF, reanalysis fields (i.e., ERA5 and ERA-I)  
213 downloaded from ECMWF are evaluated (Figs. 3 and 6). There are two different (re)forecast  
214 fields (e.g., ERA5\_fromECMWF, WRF-based ERA5) used in this study. WRF-based ERA5  
215 and ERA-I are forecast fields based on WRF model with 12 km horizontal resolution where  
216 ERA5 and ERA-I are used as initial conditions, respectively. In contrast, ERA5\_fromECMWF  
217 and ERA-I\_fromECMWF are reforecast fields based on ECMWF model not WRF model, so  
218 the reforecast fields of ERA5 and ERA-I are provided and downloaded from ECMWF. These  
219 reforecast fields are only used for evaluation of precipitation (Figs. 8 and 9). The (re)analysis  
220 and (re)forecast fields and corresponding experiments are explained in Table 3.

### 221 **3. Evaluation method**

#### 222 *3.1. Equitable threat score and Frequency bias index*

223 Based on the contingency table (Table 4), ETS is defined as

$$\text{ETS} = \frac{A - A_r}{A + B + C - A_r}, \text{ where } A_r = \frac{(A + B)(A + C)}{A + B + C + D}. \quad (8)$$

224 The ETS range is from -1/3 to 1 and the value 1 for ETS is a perfect score. ETS is a more  
 225 balanced score than Probability of Detection (POD) and False Alarm Ratio (FAR), because it  
 226 is sensitive to both false alarms and misses (Wilson 2010).

227 FBI is defined as

$$\text{FBI} = \text{Bias} = \frac{A + B}{A + C}. \quad (9)$$

228 The FBI indicates whether the model tends to over-forecast (too frequently,  $\text{FBI} > 1$ ) or under-  
 229 forecast (not frequent enough,  $\text{FBI} < 1$ ) events with respect to frequency of occurrence.

### 230 *3.2 Probability of detection and False alarm ratio*

231 Based on the contingency table (Table 4), POD is defined as

$$\text{POD} = \frac{A}{A + C} = \frac{\text{Hits}}{\text{Hits} + \text{Misses}}. \quad (10)$$

232 The POD range is from 0 to 1. POD is required to be used with FAR, because POD can be  
 233 artificially improved by systematically over-forecasting the events (Wilson 2010).

234 FAR is defined as

$$\text{FAR} = \frac{B}{A + B} = \frac{\text{False alarms}}{\text{Hits} + \text{False alarms}}. \quad (11)$$

235 The range of FAR is from 0 to 1 and its lower score implies a higher accuracy.

### 236 *3.3 Brier skill score*

237 Verification of the performance of high-resolution forecast with the traditional verification  
 238 metrics (e.g., ETS, FBI) can be misleading due to double penalty, particularly for highly  
 239 variable fields (e.g., precipitation). Therefore, as one of spatial verification approaches that do  
 240 not require forecast to match point observation spatially, neighborhood (fuzzy) verification  
 241 method, which assumes that slightly displaced forecast can be acceptable and a local

242 neighborhood can define the degree of allowable displacement (Ebert 2008; Kim et al. 2015;  
 243 On et al. 2018), is used in this section. According to Ebert (2008), depending on the matching  
 244 strategy, neighborhood verifications can be categorized into two frameworks: ‘single  
 245 observation-neighborhood forecast (SO-NF)’ where neighborhood forecasts surrounding  
 246 observations are considered, and ‘neighborhood observation-neighborhood forecast (NO-NF)’  
 247 strategies where not only neighborhood forecasts but also neighborhood observations  
 248 surrounding observations are considered. Due to the absence of high-resolution gridded  
 249 precipitation observation data in East Asia, various verification scores widely used as  
 250 ‘neighborhood observation-neighborhood forecast (NO-NF)’ strategy are not available in this  
 251 study. Thus, in this section, Brier skill score as one of ‘single observation-neighborhood  
 252 forecast (SO-NF)’ strategy is introduced.

253 The Brier score (BS) is similar to the mean-squared error (MSE) and is defined as (Wilks  
 254 2006):

$$BS = \frac{1}{N} \sum_{i=1}^N (p_i - o_i)^2. \quad (12)$$

255 where  $p_i$  denotes the probability forecast, and  $o_i$  denotes the binary observation which is either  
 256 0 or 1, and  $N$  is the total number of observations during the given period. Generally, Brier skill  
 257 score (or Brier score) is used to verify ensemble forecasts which are able to calculate  
 258 probabilistic forecasts (Kay et al. 2013; Kim and Kim 2017). However, Brier skill score can  
 259 also be used for deterministic forecasts using a pragmatic post-processing procedure (Theis et  
 260 al., 2005; Mittermaier 2014), which derives probabilistic forecasts from deterministic forecasts  
 261 at every model grid point by considering neighborhood forecast as *pseudo ensemble*.

$$BSS = 1 - \frac{BS}{BS_{ref}}, \quad (13)$$

262 where  $BS_{ref}$  is Brier score of reference. Brier skill score is skill score with respect to Brier score

263 as in Eq. (13). For reference, a climatology or other forecast can be used either. In this study,  
 264 the WRF-based ERA-I is considered as a reference.

### 265 3.4 Pattern correlation coefficient

266 The pattern correlation coefficient (PCC) is defined as Eq. (14) (Shiferaw et al. 2018; Yoo  
 267 and Cho 2018; Park and Kim 2020).

$$\text{PCC} = \frac{\sum_{i=1}^N (x_i - \bar{x})(o_i - \bar{o})}{\left[ \sum_{i=1}^N (x_i - \bar{x})^2 \sum_{i=1}^N (o_i - \bar{o})^2 \right]^{1/2}}, \quad (14)$$

268 where  $x_i$  and  $o_i$  are (re)forecast and observed precipitation at  $i$ th observation location and the  
 269 over-bar indicates the averaged variables over  $N$  observed stations in the verification area.

## 270 4. Results

### 271 4.1 Evaluation of wind, temperature, and humidity variables

#### 272 4.1.1 RMSE for January and July 2017

273 The analysis and forecast RMSEs of E3DVAR, AdvHG, the WRF-based ERA-I, and  
 274 WRF-based ERA5 are calculated for zonal wind, meridional wind, temperature, and Qvapor  
 275 (water vapor mixing ratio in WRF) variables against sonde observations at 00 and 12 UTC in  
 276 verification domain (dashed box in Fig. 1) for January and July in 2017 and averaged over each  
 277 month (Figs. 3, 4, and 5).

278 For analysis RMSE (Fig. 3), E3DVAR is smaller than AdvHG for all pressure levels and  
 279 variables, except for temperature in July at 1000 hPa and Qvapor in January and July at 1000  
 280 hPa. In general, the analysis RMSE of AdvHG for all variables is comparable to or greater than  
 281 that of ERA5. The analysis RMSE of ERA5 is smaller than that of ERA-I for all levels and  
 282 variables; in particular, the analysis RMSE difference between ERA5 and ERA-I is distinctive  
 283 for wind.

284           Regarding wind variables of analysis (Figs. 3a, b, c, and d), E3DVAR is the most closely  
285 fitted to observations except for the wind in upper troposphere in January, followed by ERA5,  
286 AdvHG, and ERA-I. For temperature RMSE (Figs. 3e and f), E3DVAR is smaller than AdvHG.  
287 For Qvapor, RMSE in July is much larger than that in January due to a monsoonal flow carrying  
288 moist air to East Asia. In general, Qvapor RMSE of E3DVAR is the smallest, followed by  
289 ERA5, AdvHG, and ERA-I. Therefore, for all variables, generally E3DVAR analysis fields are  
290 the most closely fitted to observations. Since the analysis RMSE implies how much analysis  
291 fields are fitted to observations rather than the accuracy of analysis itself, not only analysis  
292 RMSE but also forecast RMSE should be considered.

293           For 24 h forecast fields in January (Figs. 4a, c, e, and g), overall, RMSEs of AdvHG and  
294 E3DVAR are greater than those of ERA5 and smaller than those of ERA-I, and AdvHG RMSE  
295 is smaller than E3DVAR RMSE for all levels and variables. Meanwhile, for July (Figs. 4b, d,  
296 f, and h), AdvHG and E3DVAR show comparable RMSE to ERA-I.

297           Furthermore, general features of 36 h forecast RMSE (Fig. 5) are similar to the 24 h  
298 forecast RMSE (Fig. 4). However, particularly in January, the 36 h forecast RMSE differences  
299 between ERA5 and ERA-I are more distinctive compared to those of 24 h forecast. In January,  
300 the vertically averaged 36 h forecast RMSE differences of ERA5 and ERA-I are  $0.52 \text{ m s}^{-1}$  for  
301 wind,  $0.16 \text{ K}$  for temperature, and  $0.08 \text{ g kg}^{-1}$  for Qvapor, whereas those of 24 h forecast are  
302  $0.4 \text{ m s}^{-1}$  for wind,  $0.11 \text{ K}$  for temperature, and  $0.06 \text{ g kg}^{-1}$  for Qvapor. In addition, the 36 h  
303 forecast RMSE differences between ERA5 and AdvHG for January are on average  $0.1 \text{ m s}^{-1}$   
304 for wind,  $0.05 \text{ K}$  for temperature, and  $0.02 \text{ g kg}^{-1}$  for Qvapor, which are even smaller compared  
305 to those of 24 h forecast, implying that AdvHG is a lot more accurate than ERA-I for January  
306 in 2017. For July, 36 h forecast RMSE of ERA5 is the smallest and RMSEs of AdvHG and  
307 E3DVAR are similar to those of ERA-I.

308 *4.1.2 RMSE and spread for the period of 2010-2019*

309 In this section, EARR produced in this study is verified for a longer period with WRF-  
310 based ERA5. RMSE and spread of reanalyses and reforecasts based on AdvHG method are  
311 calculated and averaged over the period of 2010-2019. The reanalyses and (re)forecast fields  
312 are evaluated by calculating RMSE valid at 00 and 12 UTC and spread at 00, 06, 12, and 18  
313 UTC.

314 The averaged RMSEs of reanalysis for ERA5 and EARR (denoted as AdvHG in Fig. 6)  
315 and spread of analysis and 6 h forecast fields of EARR (AdvHG) are shown in Fig. 6. With  
316 respect to spread, the ensemble spreads of analysis fields are smaller than those of 6 h forecast  
317 fields, on average, by  $0.15 \text{ m s}^{-1}$  for wind,  $0.04 \text{ K}$  for temperature, and  $0.02 \text{ g kg}^{-1}$  for Qvapor,  
318 which is the well-known characteristics of ensemble-based data assimilation methods. To be  
319 specific, the wind spread (Figs. 6a and b) is similar to or greater than the wind RMSE except  
320 for the upper troposphere above 200 hPa, implying ensemble spread for wind is well  
321 represented below 200 hPa. On the contrary, the ensembles for temperature and Qvapor (Figs.  
322 6c and d) are underdispersive compared to their RMSEs.

323 Regarding reanalysis RMSE, overall AdvHG RMSE is greater than ERA5 RMSE for all  
324 variables (Fig. 6). The vertically averaged RMSEs of AdvHG are greater by  $0.16 \text{ m s}^{-1}$  for wind,  
325  $0.09 \text{ K}$  for temperature, and  $0.01 \text{ g kg}^{-1}$  for Qvapor than those of ERA5. Nonetheless, the wind  
326 RMSEs of AdvHG are similar to those of ERA5 for the middle of troposphere (400–850 hPa),  
327 and the Qvapor RMSEs of AdvHG are similar to those of ERA5 except for 1000 hPa.

328 In addition, regarding 24 h forecast RMSE, AdvHG shows larger RMSE than ERA5 for  
329 all variables (Fig. 7). The vertically-averaged RMSE differences of wind, temperature, and  
330 Qvapor variables between AdvHG and ERA5 are approximately  $0.2 \text{ m s}^{-1}$ ,  $0.07 \text{ K}$ , and  $0.03 \text{ g}$   
331  $\text{kg}^{-1}$ , respectively. These differences are smaller, compared to the 24 h forecast RMSE  
332 difference between ERA-I and ERA5 shown in Fig. 4 (i.e., wind, temperature, and Qvapor  
333 RMSE difference:  $0.4 \text{ m s}^{-1}$ ,  $0.11 \text{ K}$ , and  $0.06 \text{ g kg}^{-1}$  for January 2017,  $0.25 \text{ m s}^{-1}$ ,  $0.05 \text{ K}$ , and

334 0.04 g kg<sup>-1</sup> for July 2017).

## 335 4.2 Evaluation of precipitation for January and July in 2017.

### 336 4.2.1 Evaluation metrics

#### 337 4.2.1.1 Equitable threat score and Frequency bias index

338 In this section, for the point-based Equitable threat score (ETS) and Frequency bias index  
339 (FBI) based on Table 4, the 6 h accumulated precipitation fields based on the 6 h forecast of  
340 E3DVAR, AdvHG, WRF-based ERA-I, WRF-based ERA5, ERA-I\_fromECMWF, and  
341 ERA5\_fromECMWF are evaluated every 6 h (00, 06, 12, and 18 UTC) for January and July in  
342 2017 (Fig. 8). Here, all the WRF-based precipitation fields are based on 12-km horizontal  
343 resolution, and ERA-I\_fromECMWF and ERA5\_fromECMWF have 79- and 31-km horizontal  
344 resolutions, respectively. Generally, ETS decreases as a threshold increases for both two  
345 months (Figs. 8a and c). For January in 2017 (Fig. 8a), AdvHG ETS is the greatest among  
346 others. Compared to precipitation reforecasts from ECMWF (i.e., ERA-I\_fromECMWF,  
347 ERA5\_fromECMWF), AdvHG shows the higher ETS, indicating that AdvHG is able to  
348 simulate more accurate precipitation fields than ERA-I and ERA5 from ECMWF in January  
349 2017. Surprisingly, ETS of ERA5\_fromECMWF for January in 2017 is the lowest among all  
350 the results compared and is even lower than that of ERA-I\_fromECMWF.

351 Since the precipitation reforecasts from ECMWF have not only coarser resolutions but  
352 also different forecast model (i.e., the forecasting system of ECMWF), the precipitation  
353 forecasts of ERA5 and ERA-I are additionally produced by using the same forecast model with  
354 the same resolution as AdvHG and E3DVAR in this study, as explained in section 2.4. For  
355 January 2017 (Fig. 8a), ETS of ERA5 (i.e., WRF-based ERA5) is higher than that of  
356 ERA5\_fromECMWF for all thresholds, whereas ETS of ERA-I (i.e., WRF-based ERA-I) is  
357 lower than that of ERA-I\_fromECMWF except for high thresholds (8 and 16 mm (6 h)<sup>-1</sup>). The  
358 ERA5 ETS is greater than the ERA-I ETS, but is smaller than the AdvHG ETS. The AdvHG



359 shows the greatest ETS among others with the same resolution and forecast model, and  
360 E3DVAR, ERA5, and ERA-I follow.

361       Regarding FBI in winter (Fig. 8b), for 4, 8, and 16 mm (6 h)<sup>-1</sup> thresholds, all the results  
362 show the FBI smaller than 1, implying the underestimation of frequency of precipitation for  
363 high-threshold events. In general, AdvHG shows the FBI closest to 1 among all the results,  
364 which is consistent with the greatest ETS of AdvHG. The E3DVAR FBI is similar to the  
365 AdvHG FBI, and ERA5 and ERA-I FBIs are similar to each other.

366       Meanwhile, overall, the ETS values for January whose maximum is around 0.4 (Fig. 8a)  
367 are much greater than those for July in 2017 whose maximum is around 0.2 (Fig. 8c), implying  
368 that the precipitation forecast in summer is more difficult than that in winter. The ETS  
369 difference between the results in July is smaller than those in January. Particularly, for the  
370 thresholds 4 and 8 mm (6 h)<sup>-1</sup>, ETSs in July are similar to each other (Fig. 8c). Except for those  
371 two thresholds, the ETS of ERA-I\_fromECMWF is the smallest. At the threshold 16 mm (6 h)  
372 <sup>-1</sup>, ERA5 ETS is the highest, followed by AdvHG, E3DVAR, ERA-I, ERA5\_fromECMWF, and  
373 ERA-I\_fromECMWF. At the threshold 0.5 and 1 mm (6 h)<sup>-1</sup>, the E3DVAR ETS is the greatest,  
374 followed by ERA5, AdvHG, ERA5\_fromECMWF, ERA-I, and ERA-I\_fromECMWF.

375       With respect to FBI in July 2017, the WRF-based results show the FBIs greater than 1,  
376 whereas reforecast from ECMWF show the FBIs greater than 1 for 0.5, 1, and 4 mm (6 h)<sup>-1</sup>  
377 thresholds and smaller than 1 for higher thresholds (8 and 16 mm (6 h)<sup>-1</sup>) (Fig. 8d). For July in  
378 2017, in general, ERA5\_fromECMWF FBI is the closest to 1, followed by E3DVAR, AdvHG,  
379 ERA5, ERA-I, and ERA-I\_fromECMWF FBI.

#### 380 *4.2.1.2 Probability of detection and False alarm ratio*

381       The Probability of Detection (POD or Hit Rate) and False Alarm Ratio (FAR) are  
382 calculated for precipitation simulated from E3DVAR, AdvHG, WRF-based ERA-I, WRF-  
383 based ERA5, ERA-I\_fromECMWF, and ERA5\_fromECMWF for January and July in 2017

384 (Fig. 9). For January in 2017, AdvHG POD is the greatest among the WRF-based results,  
385 followed by E3DVAR, ERA5, and ERA-I (Fig. 9a). In addition to the lowest ETS of  
386 ERA5\_fromECMWF for January in 2017 as discussed in the section 4.2.1.1, FAR of  
387 ERA5\_fromECMWF is extremely high with low POD in winter. Therefore, especially for  
388 January in 2017, the precipitation fields simulated from EARR (AdvHG) over East Asia are a  
389 lot more accurate than those from ERA5\_fromECMWF.

390 For July in 2017, generally, AdvHG shows the largest POD, except for ERA5 (Fig. 9c).  
391 With respect to FAR, FAR values in July are much greater than those in January, which is  
392 consistent with the ETS difference between these two seasons.

#### 393 *4.2.1.3 Brier skill score*

394 The neighborhood sizes are chosen to be  $3\Delta x$ ,  $5\Delta x$ ,  $9\Delta x$ , and  $11\Delta x$ , which are 36, 60,  
395 108, and 132 km, respectively, and the thresholds 0.5, 1, 4, 8, and 16 mm (6 h)<sup>-1</sup> are considered.  
396 The probabilistic precipitation forecasts are calculated at every model grid point depending on  
397 neighborhood sizes and thresholds. Regarding each observation, the nearest model grid point  
398 to observations is considered as the center of neighborhood. For verification, 6 h accumulated  
399 precipitation fields are extracted from the first 0–6 h forecast fields of WRF-based ERA-I,  
400 WRF-based ERA5, E3DVAR, and AdvHG every 6 h (00, 06, 12, and 18 UTC). BSSs of  
401 ERA5\_fromECMWF and ERA-I\_fromECMWF are not calculated, because they have different  
402 resolution from WRF-based results.

403 Based on the neighborhood approach, Brier skill score (BSS) is calculated depending on  
404 different neighborhood sizes for January and July in 2017, respectively (Fig. 10). Because the  
405 reference of Brier score is chosen as the ERA-I, the positive BSS implies better accuracy than  
406 ERA-I. In general, for both two months, AdvHG BSS is greater than ERA5 BSS. Although the  
407 E3DVAR BSS is the greatest in July 2017, the AdvHG BSS is the greatest in January 2017.

408 For January in 2017, as a neighborhood size increases, AdvHG and E3DVAR BSSs tend

409 to increase except for ERA5. Overall, AdvHG BSS is the greatest among other BSSs for all  
410 thresholds for all neighborhood sizes. The ERA5 BSS is greater than E3DVAR BSS except for  
411  $16 \text{ mm (6 h)}^{-1}$ . The highest BSS of AdvHG and the lowest BSS of ERA-I are consistent with  
412 ETS result. Unlike greater E3DVAR ETS than ERA5 ETS, ERA5 BSS is greater than E3DVAR  
413 BSS in January 2017.

414 For July 2017, while the ETS difference between the WRF-based results is not distinct  
415 (Fig. 8c), the BSS difference is rather noticeable. Generally, E3DVAR BSS is the greatest  
416 among other BSSs for all thresholds except for  $16 \text{ mm (6 h)}^{-1}$  for neighborhood sizes 9 and 11.  
417 Although E3DVAR BSS is the largest, AdvHG outperforms ERA5 and ERA-I. The worst  
418 performance of ERA-I precipitation is consistent with ETS result. At 0.5, 1, and  $4 \text{ mm (6 h)}^{-1}$   
419 thresholds, E3DVAR BSS is the greatest, which is similar to ETS. At 8 and  $16 \text{ mm (6 h)}^{-1}$   
420 thresholds, ERA5 ETS is the highest, followed by AdvHG and E3DVAR, whereas overall  
421 E3DVAR BSS is the highest, followed by AdvHG and ERA5.

#### 422 4.2.2 *Spatial distribution*

##### 423 4.2.2.1 *6 h accumulated precipitation with the pattern correlation coefficient*

424 In this section, the spatial distributions of 6 h accumulated precipitation from the WRF-  
425 based forecast and reforecast from ECMWF are compared. In addition, pattern correlation  
426 coefficients (PCC) are calculated and shown at the bottom right of Figs. 11 and 12.

427 The PCC is computed according to the usual Pearson correlation operating on the N  
428 observed point pairs of 6 h accumulated precipitation fields simulated from (re)forecast and  
429 observations at the specific time. For the calculation of PCC, 6 h accumulated precipitation  
430 fields from (re)forecast fields are interpolated bilinearly to the N observed points.

431 Firstly, on 29<sup>th</sup> and 30<sup>th</sup> of January in 2017 (Fig. 11), it is noticeable that the precipitation  
432 fields of AdvHG match observations well over East Asia, whereas, in particular, those of  
433 ERA5\_fromECMWF do not. For example, ERA5\_fromECMWF overestimates precipitation

434 over inland area of China (Fig. 11zz), while AdvHG simulates precipitation similar to  
435 observations regarding its position and intensity (Fig. 11x). ERA5\_fromECMWF also shows  
436 noticeably smaller PCC (Figs. 11g, n, and zz). Although PCC does not represent the exact  
437 accuracy or predictability of precipitation, the overall feature of PCC is consistent with the  
438 results found so far. For January in 2017, the averaged PCC of AdvHG is the greatest (i.e., 0.61)  
439 and that of ERA5\_fromECMWF is the smallest (i.e., 0.46) (not shown).

440 For 1<sup>st</sup> and 2<sup>nd</sup> of July in 2017 (Fig. 12), in general, AdvHG, E3DVAR, and ERA5 well  
441 simulate not only overall features of precipitation fields but also their intensity. During July in  
442 2017, ERA5 and ERA-I simulate heavier precipitation than AdvHG (not shown), which is  
443 consistent with larger FBI of ERA5 and ERA-I at higher thresholds. For one-month period of  
444 July in 2017, the averaged PCC of ERA5 is the greatest (i.e., 0.37) and that of AdvHG is 0.34,  
445 but the PCC difference between ERA5 and AdvHG is not distinctive. Moreover, the overall  
446 range of averaged PCC of different datasets in summer (i.e., 0.29-0.35) is smaller than that in  
447 winter (i.e., 0.46-0.61), which is consistent with the seasonal difference of ETS in this study.

#### 448 4.2.2.2 *Monthly accumulated precipitation*

449 In this section, the monthly accumulated precipitation fields of rain gauge based  
450 observations, E3DVAR, AdvHG, ERA-I, ERA5, ERA-I\_fromECMWF, and  
451 ERA5\_fromECMWF are compared to each other for two one-month periods in January and  
452 July in 2017, respectively.

453 The monthly accumulated precipitation fields simulated by E3DVAR and AdvHG (Figs.  
454 13b and c) are similar to each other, and E3DVAR and AdvHG produce the best fit to observed  
455 fields. Especially, for the north-western part of Japan (e.g., Chugoku and Kinki), E3DVAR and  
456 AdvHG are able to represent precipitation correctly, whereas ERA-I\_fromECMWF and  
457 ERA5\_fromECMWF fail to do so (Fig. 13). Moreover, although all the results similarly  
458 represent overall features of precipitation in January (Fig. 13), ERA5\_fromECMWF (Fig. 13g)

459 simulates the overestimated precipitation over South China, which is consistent with the results  
460 in the previous section as well as its larger FBI at lower thresholds ( $0.5$  and  $1 \text{ mm (6 h)}^{-1}$ ) shown  
461 in Fig. 8b. It is noticeable that all results fail to represent the observed precipitation area over  
462 Tibetan Plateau ( $25^{\circ}$ – $40^{\circ}$ N,  $95^{\circ}$ – $105^{\circ}$ E).

463 For the monthly accumulated precipitation in July 2017, overall, the ERA5\_fromECMWF  
464 (Fig. 14g) and the WRF-based results (Figs. 14b, c, and e) except for ERA-I (Fig. 14d) well  
465 simulate precipitation similar to observations. The WRF-based results including AdvHG  
466 overestimate precipitation over western and southern part of Japan, while ERA-  
467 I\_fromECMWF and ERA5\_fromECMWF simulate similar precipitation fields to observed  
468 fields. The WRF-based results tend to overestimate precipitation in South China, Korea, and  
469 Japan, compared to ERA-I\_fromECMWF and ERA5\_fromECMWF. This is consistent with  
470 the result in Fig. 8d, in which FBIs from WRF-based results are generally greater than for  
471 higher thresholds ( $8$  and  $16 \text{ mm (6 h)}^{-1}$ ), whereas those from ECMWF are smaller than 1.

472 Even though detailed precipitation features of WRF-based results are different, overall  
473 features of precipitation from WRF-based results are similar to each other, which implies that  
474 predictability of precipitation strongly depends on the physics schemes as well as NWP model,  
475 especially for summer season. According to Que et al. (2016), depending on the combinations  
476 of physics options in WRF model, the spatial distribution of precipitation can be significantly  
477 different over Asian summer monsoon area and YSU PBL scheme which is used in this study  
478 tends to overestimate precipitation over the same area. Thus, different physics options could  
479 simulate the different spatial distribution of precipitation.

480 In addition, compared to ERA5 based on WRF model (Fig. 14e), ECMWF model for  
481 ERA5\_fromECMWF (Fig. 14g) seems to suppress precipitation. Thus, WRF model with the  
482 physics schemes used in this study might simulate more precipitation than ECMWF model,  
483 although the initial condition is the same. Therefore, it is important to consider the consistency

484 of the systems for data assimilation and forecast model for a good performance of forecast  
485 weather variables like precipitation.

## 486 **5. Data Availability**

487 The EARR data presented in this study are available every 6 h (i.e., 00, 06, 12, and 18  
488 UTC) for the period of 2010-2019 from Harvard Dataverse Repository  
489 (<https://dataverse.harvard.edu/dataverse/EARR>). The EARR 6 hourly data on pressure levels  
490 (<https://doi.org/10.7910/DVN/7P8MZT>, Yang and Kim 2021b) and 6 hourly precipitation data  
491 (<https://doi.org/10.7910/DVN/Q07VRC>, Yang and Kim 2021c) are provided in NetCDF file  
492 format.

493 The EARR 6 hourly data on pressure levels (Yang and Kim 2021b) include u-component  
494 of wind, v-component of wind, temperature, geopotential height, and specific humidity  
495 variables of reanalysis on pressure levels (i.e., 925, 850, 700, 500, 300, 200, 100, and 50 hPa).  
496 The EARR 6 hourly precipitation data (Yang and Kim 2021c) contain 6 h accumulated total  
497 precipitation variable of 6 h reforecast on single level. The 6 h accumulated total precipitation  
498 is obtained from 6 h reforecast field which is integrated for 6 h from reanalysis field every 6 h  
499 (i.e., 00, 06, 12 and 18 UTC).

## 500 **6. Summary and conclusions**

501 In this study, to develop the regional reanalysis system over East Asia, the advanced  
502 hybrid gain algorithm (AdvHG) is newly proposed and evaluated with traditional hybrid DA  
503 method (E3DVAR) as well as existing reanalyses from ECMWF (ERA5 and ERA-I) for  
504 January and July in 2017. The East Asia Regional Reanalysis (EARR) system is developed  
505 based on the AdvHG as the data assimilation method using WRF model and conventional  
506 observations. The high-resolution regional reanalysis and reforecast fields over East Asia with  
507 12 km horizontal resolution are produced and evaluated against observations with ERA5 for

508 the ten-year period of 2010–2019.

509 The AdvHG newly proposed in this study is based on the hybrid gain approach, weighting  
510 analyses from variational-based and ensemble-based DA algorithms to generate optimal hybrid  
511 analysis, which can play an important role as a simple and practical method in the foreseeable  
512 future to take advantage of each strength of two different DA methods. The advanced hybrid  
513 gain method is different from the hybrid gain approach in that 1) E3DVAR is used instead of  
514 EnKF, 2) 6 h forecast of ERA5 is used instead of deterministic analysis for a more balanced  
515 and consistent analysis with WRF model, and 3) the pre-existing and state-of-the-art reanalysis  
516 data (i.e. ERA5) is simply used instead of producing our own analysis fields from a variational  
517 DA method. Thus, it can be regarded as an efficient approach to generate regional reanalysis  
518 dataset because of cost savings as well as the use of the state-of-the-art reanalysis from  
519 ECMWF that assimilates all available observations.

520 For a verification, the latest ECMWF reanalysis and reforecast datasets (i.e., ERA5 and  
521 ERA-I) are used. With respect to forecast variables, two different forecast fields of ECWTF  
522 are used: 1) reforecast fields from ECMWF (i.e., ERA5\_fromECMWF and ERA-  
523 I\_fromECMWF) and 2) forecast fields (i.e., WRF-based ERA5 and WRF-based ERA-I)  
524 integrated in WRF model with 12 km resolution using ERA5 and ERA-I as initial conditions.

525 Analysis and forecast wind, temperature, and humidity variables of AdvHG are evaluated  
526 with ERA5 for the ten-year period and evaluated with five different experiments (i.e., E3DVAR,  
527 ERA5, ERA-I, ERA5\_fromECMWF, ERA-I\_fromECMWF) for January and July in 2017.  
528 Overall, the analysis RMSE of E3DVAR is the smallest among others but comparable to that  
529 of ERA5, especially for January in 2017. Regarding forecast variables, AdvHG outperforms  
530 E3DVAR for January and July in 2017. Although ERA5 outperforms AdvHG for upper air  
531 variables for two seasons in 2017, AdvHG outperforms ERA-I in January and shows  
532 comparable performance to ERA-I in July. Additionally the verification results of AdvHG and

533 ERA5 for the period of 2010-2019 are consistent with those for two one-month period in 2017.

534 The precipitation forecast variables are also verified regarding a neighborhood-based  
535 verification score (i.e., Brier skill score) as well as the point-based verification scores (i.e., ETS,  
536 FBI, POD, and FAR). According to the point-based verification scores, the precipitation  
537 forecast of AdvHG in January is the most accurate, followed by E3DVAR, ERA5, ERA-I. For  
538 July, overall ETS values of all results are relatively lower compared to those in January,  
539 implying the lower predictability in summer season. In addition, the ETS differences between  
540 the results are not distinctive in July. For higher thresholds (8 and 16 mm (6 h)<sup>-1</sup>) in July,  
541 AdvHG ETS is greater than E3DVAR ETS and smaller than ERA5 ETS, whereas E3DVAR  
542 ETS is the greatest followed by ERA5 and AdvHG for lower thresholds (0.5 and 1 mm (6 h)<sup>-1</sup>).  
543 <sup>1</sup>).

544 To prevent from double penalty when verifying a highly variable data with high resolution  
545 (e.g., precipitation), Brier skill score (BSS) based on neighborhood approach is calculated for  
546 6 h accumulated precipitation forecasts depending on different neighborhood sizes for January  
547 and July in 2017. In general, BSS of AdvHG is greater than that of ERA5 and ERA-I for both  
548 two months. Although the E3DVAR BSS is the greatest in July 2017, the AdvHG BSS is the  
549 greatest in January 2017.

550 Lastly, the spatial distributions of 6 h and monthly accumulated precipitation forecast for  
551 AdvHG, E3DVAR, ERA-I, ERA5, ERA-I\_fromECMWF, and ERA5\_fromECMWF are  
552 compared with rain-gauge based observations. For January 2017, it is noticeable that AdvHG  
553 precipitation is the closest to observations with highest PCC (i.e., 0.61) and  
554 ERA5\_fromECMWF overestimates precipitation over South China with the lowest PCC (i.e.,  
555 0.46). For July in 2017, the WRF-based results tend to overestimate precipitation compared to  
556 ERA-I\_fromECMWF and ERA5\_fromECMWF. In addition, even though the averaged PCC  
557 of ERA5 (i.e., 0.37) is slightly greater than that of AdvHG (i.e., 0.34), the PCC difference



558 between ERA5 and AdvHG is not distinctive and overall range of averaged PCC of all datasets  
559 in summer (i.e., 0.29-0.37) is smaller than that in winter (i.e., 0.46-0.6).

560 In conclusion, for upper air variables, overall, ERA5 outperforms EARR based on AdvHG,  
561 but the RMSE difference between ERA5 and EARR (AdvHG) is smaller than that between  
562 ERA5 and ERA-I. In addition, EARR outperforms ERA-I for January 2017 and shows  
563 comparable performance to ERA-I for July 2017. On the contrary, according to the evaluation  
564 results of precipitation, in general, EARR better represents precipitation than ERA5 as well as  
565 ERA5\_fromECMWF for January and July in 2017. Even if E3DVAR precipitation is better  
566 represented than EARR precipitation for July, the difference is not considerable for July and  
567 EARR better simulates precipitation for January than E3DVAR. Therefore, although the  
568 uncertainties of upper air variables of EARR should be considered when analyzing them, the  
569 precipitation reforecast of EARR is more accurate than that of ERA5 for both two seasons.

570

### 571 **Author contribution**

572 Hyun Mee Kim proposed the main scientific ideas and Eun-Gyeong Yang contributed the  
573 supplementary ideas during the process. Eun-Gyeong Yang developed the reanalysis system  
574 and produced the 10-year regional reanalysis data. Eun-Gyeong Yang and Hyun Mee Kim  
575 analyzed the simulation results and completed the manuscript. Dae-Hui Kim contributed to  
576 analyzing the reanalysis data and to the preparation of software and computing resources for  
577 the reanalysis system.

578

### 579 **Competing interests**

580 The authors declare that they have no competing interests.

581

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798

799 **Table caption**

800 Table 1. Model configuration.

801 Table 2. Summary of observations used in this study. The default observation error statistics  
802 provided in WRFDA system are used for assimilation in this study. The variables  $u$ ,  $v$ ,  $T$ ,  $RH$ ,  
803  $P_s$ , and  $TPW$  denote zonal wind, meridional wind, temperature, relative humidity, surface  
804 pressure, and total precipitable water, respectively.

805 Table 3. (Re)analyses and (re)forecasts and corresponding experiments used in this study.

806 Table 4. The  $2 \times 2$  contingency table for dichotomous (yes-no) events.

807

808 **Figure caption**

809 Figure 1. The East Asia Regional Reanalysis domain with different types of NCEP PrepBUFR  
810 observations available for assimilation at 00 UTC on 1<sup>st</sup> of January in 2017. The black dashed  
811 box denotes a verification area.

812 Figure 2. The schematic diagram of the advanced hybrid gain data assimilation method in the  
813 East Asia regional reanalysis system.

814 Figure 3. RMSEs of analysis of (a,b) zonal wind, (c,d) meridional wind, (e,f) temperature, and  
815 (g,h) Qvapor (water vapor mixing ratio) from ERA-I (black dashed), ERA5 (black solid),  
816 E3DVAR (blue dashed), AdvHG (blue solid) depending on pressure levels for (left) January  
817 and (right) July in 2017.

818 Figure 4. Same as Fig. 3 except for 24 h forecast.

819 Figure 5. Same as Fig. 3 except for 36 h forecast.

820 Figure 6. RMSEs of analysis of (a) zonal wind, (b) meridional wind, (c) temperature, and (d)  
821 Qvapor (water vapor mixing ratio) from ERA5 (black solid) and AdvHG (blue solid) and  
822 spreads of analysis (black dashed) and 6 h forecast (gray dashed) of AdvHG depending on  
823 pressure levels averaged over the ten-year period of 2010-2019.

824 Figure 7. Same as Fig. 6 except for RMSE of 24 h forecast.

825 Figure 8. (a,c) ETS and (b,d) FBI for (a,b) January and (c,d) July in 2017 depending on  
826 thresholds 0.5, 1, 4, 8, and 16 mm (6 h)<sup>-1</sup>.

827 Figure 9. (a,c) POD and (b,d) FAR for (a,b) January and (c,d) July in 2017 depending on  
828 thresholds 0.5, 1, 4, 8, and 16 mm (6 h)<sup>-1</sup>.

829 Figure 10. Brier skill score of the probabilistic postprocessed forecast with reference to the

830 WRF-based ERA-I for (a-d) January and (e-h) July in 2017 (Blue solid: AdvHG, blue dashed:  
831 E3DVAR, red solid: WRF-based ERA5).

832 Figure 11. The spatial distribution of 6 h accumulated precipitation of (1<sup>st</sup> column) observation,  
833 (2<sup>nd</sup> column) E3DVAR, (3<sup>rd</sup> column) AdvHG, (4<sup>th</sup> column) ERA-I, (5<sup>th</sup> column) ERA5, (6<sup>th</sup>  
834 column) ERA-I\_fromECMWF, and (7<sup>th</sup> column) ERA5\_fromECMWF and the pattern  
835 correlation coefficient (PCC) shown at the bottom right of each figure at valid time (1<sup>st</sup> low, 3<sup>rd</sup>  
836 low) 06 UTC and (2<sup>nd</sup> low, 4<sup>th</sup> low) 18 UTC on 29<sup>th</sup> and 30<sup>th</sup> of January in 2017.

837 Figure 12. As in Fig. 11, but for 1<sup>st</sup> and 2<sup>nd</sup> of July in 2017.

838 Figure 13. The spatial distribution of the monthly accumulated precipitation of (a) observations,  
839 (b) E3DVAR, (c) AdvHG, (d) ERA-I, (e) ERA5, (f) ERA-I from ECMWF, and (g) ERA5 from  
840 ECMWF for January 2017.

841 Figure 14. As in Fig. 13, but for July 2017.

842

843 Table 1. Model configuration

|                           | <b>Description</b>  |
|---------------------------|---|
| <b>Hori. Resol.</b>       | 12 km (540×432 grid points)   |
| <b>Vert. Lev.</b>         | 50 vertical levels (up to 5 hPa)                                      |
| <b>Model</b>              | WRF Model (v3.7.1, Skamarock et al. 2008)                             |
| <b>LBC</b>                | ERA5 (Hersbach et al. 2020)   |
| <b>Data assimilation</b>  | E3DVAR (Zhang et al. 2013),<br>Adanced hybrid gain method             |
| <b>Microphysics</b>       | Thompson scheme (Thompson et al. 2008)                                |
| <b>Cumulus convection</b> | Grell–Freitas ensemble scheme (Grell and Freitas 2014)                |
| <b>PBL</b>                | Yonsei University scheme (Hong et al. 2006)                           |
| <b>Radiation</b>          | Rapid Radiative Transfer Model (RRTMG) scheme<br>(Iacono et al. 2008) |
| <b>Surface layer</b>      | Revised MM5 Monin-Obukhov scheme (Jiménez et al.<br>2012)             |
| <b>Surface model</b>      | Unified Noah Land Surface Model (Tewari et al. 2004)                  |

844

845

846 Table 2. Summary of observations used in this study. The default observation error statistics  
 847 provided in WRFDA system are used for assimilation in this study. The variables u, v, T, RH,  
 848 Ps, and TPW denote zonal wind, meridional wind, temperature, relative humidity, surface  
 849 pressure, and total precipitable water, respectively.

| Observations | Descriptions   | Variables | Observation errors<br>(depending on vertical levels) |
|--------------|--|-----------|--|
| SOUND        | Upper-air observation from radiosonde                                    | u, v      | 1.1-3.3 m/s  |
|              |  | T         | 1 K  |
|              |  | RH        | 10-15%   |
| PROFILER     | Upper-air wind profile from wind profiler                                | u, v      | 2.2-3.2 m/s  |
| PILOT        | Upper-air wind profile from pilot balloon or radiosonde                  | u, v      | 2.2-3.2 m/s  |
| AIREP        | Upper-air wind and temperature from aircraft                             | u, v      | 3.6 m/s  |
|              |  | T         | 1 K  |
| Scatwind     | Scatterometer oceanic surface winds                                      | u, v      | 2.5-3.8 m/s  |
| SHIPS        | Surface synoptic observation from ship                                   | u, v      | 1.1 m/s  |
|              |  | T         | 2 K  |
|              |  | Ps        | 1.6 hPa  |
|              |  | RH        | 10%  |
| SYNOP        | Surface synoptic observation from land station                           | u, v      | 1.1 m/s  |
|              |  | T         | 2 K  |
|              |  | Ps        | 1 hPa  |
|              |  | RH        | 10%  |
| BUOY         | Surface synoptic observation from buoy                                   | u, v      | 1.4-1.6 m/s  |
|              |  | T         | 2 K  |
|              |  | Ps        | 0.9-1 hPa  |
|              |  | RH        | 10%  |
| GPSPW        | Precipitable water vapor from global positioning system (GPS)            | TPW       | 0.2 mm   |
| METAR        | Aviation routine weather report from automatic weather station (AWS)     | u, v      | 1.1 m/s  |
|              |  | T         | 2 K  |
|              |  | Ps        | 1 hPa  |
|              |  | RH        | 10%  |
| AMV          | Conventional atmospheric motion vector data from geostationary satellite | u, v      | 2.5-4.5 m/s  |

850

851

852

853 Table 3. (Re)analyses and (re)forecasts and corresponding experiments used in this study.

| Experiment      | (Re)analysis          | (Re)forecast          |
|-----------------|-----------------------|-----------------------|
| AdvHG (EARR)    | Reanalysis from AdvHG | Generated using WRF   |
| E3DVAR          | Analysis from E3DVAR  | Generated using WRF   |
| WRF-based ERA5  | Reanalysis from ERA5  | Generated using WRF   |
| WRF-based ERA-I | Reanalysis from ERA-I | Generated using WRF   |
| ERA5_fromECMWF  | Reanalysis from ERA5  | Downloaded from ECMWF |
| ERA-I_fromECMWF | Reanalysis from ERA-I | Downloaded from ECMWF |

854

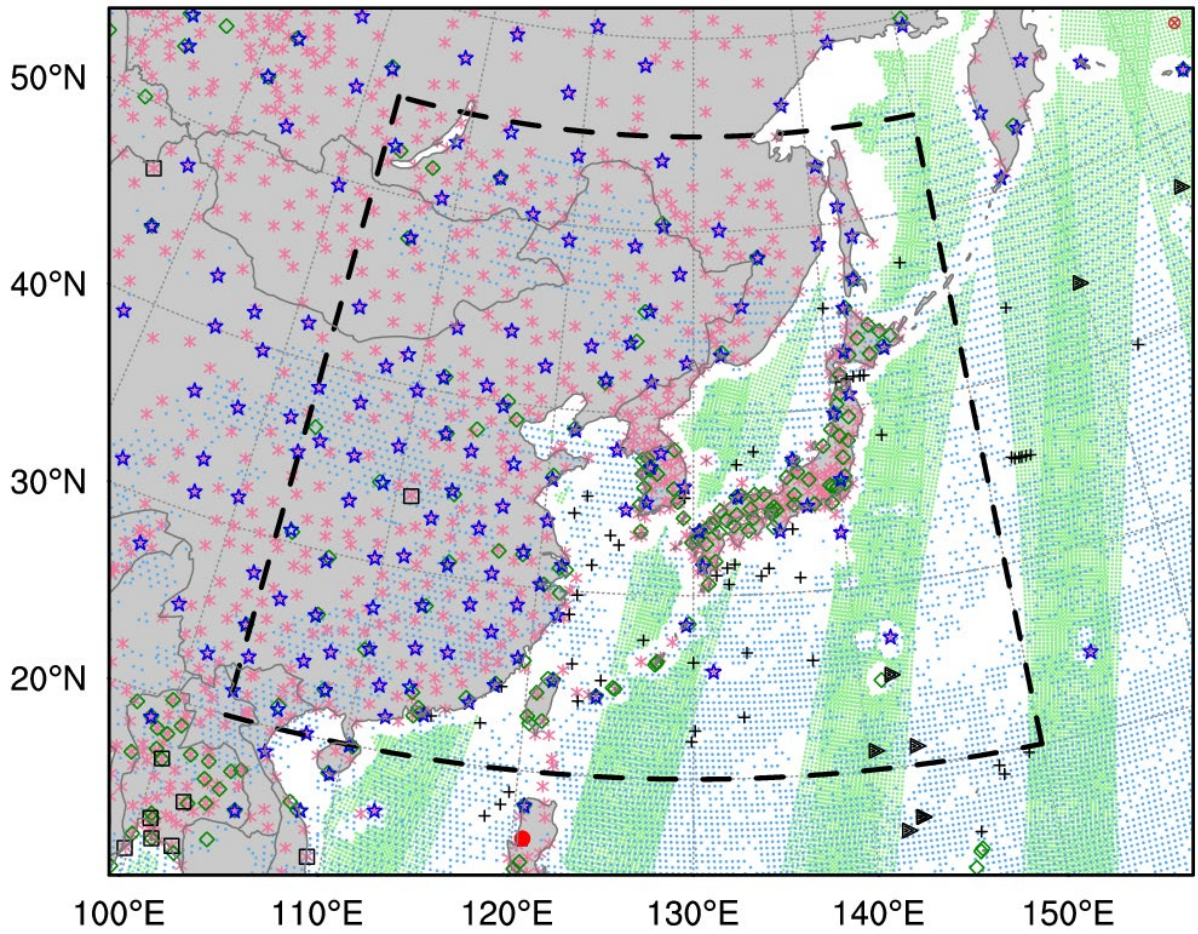


855 Table 4. The  $2 \times 2$  contingency table for dichotomous (yes-no) events.

| Forecast | Observed   |                        |                       |
|----------|------------|------------------------|-----------------------|
|          | Yes        | No                     |                       |
| Yes      | Hits (A)   | False alarms (B)       | A + B                 |
| No       | Misses (C) | Correct rejections (D) | C + D                 |
|          | A + C      | B + D                  | Total = A + B + C + D |

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2017010100

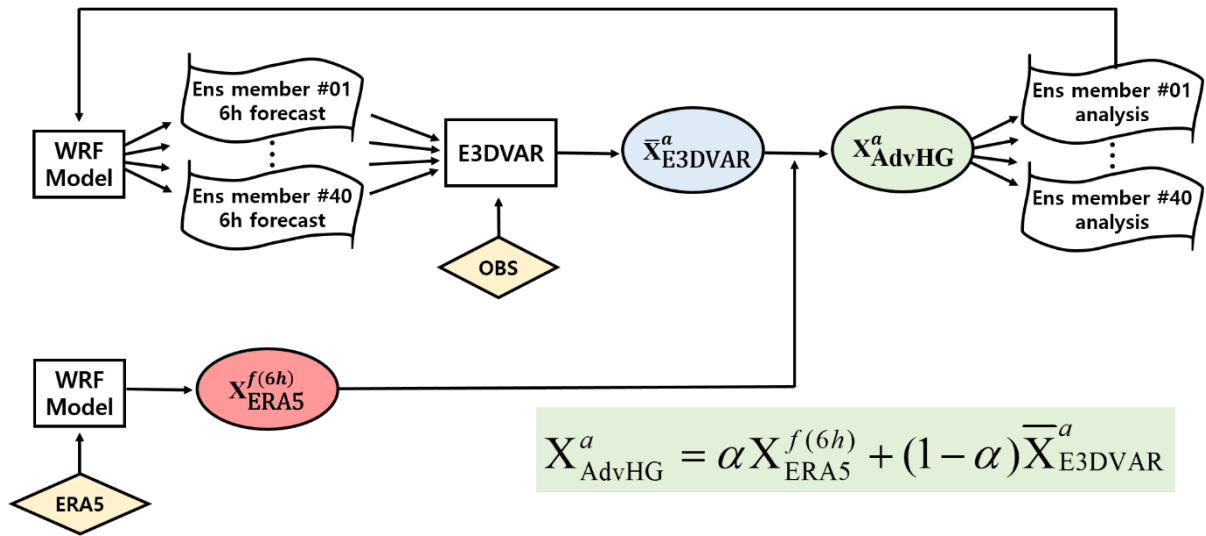


- Scatwind \* SYNOP + SHIP ▴ AIREP ⊗ BUOY
- AMV ◊ METAR ☆ SOUND □ PILOT ● PROFL

857

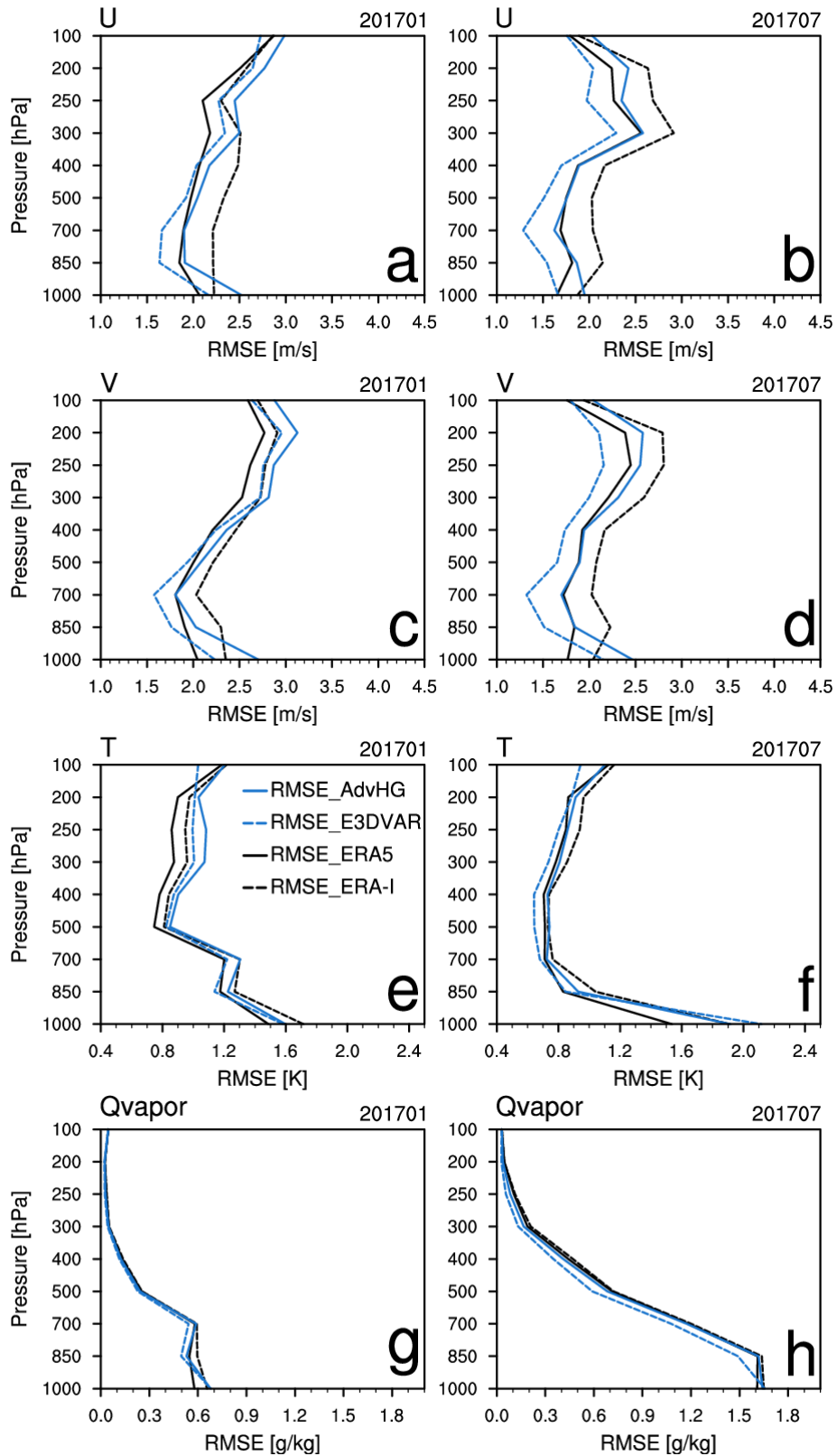
858 Figure 1. The East Asia Regional Reanalysis domain with different types of NCEP PrepBUFR  
859 observations available for assimilation at 00 UTC on 1<sup>st</sup> of January in 2017. The black dashed  
860 box denotes a verification area.

861

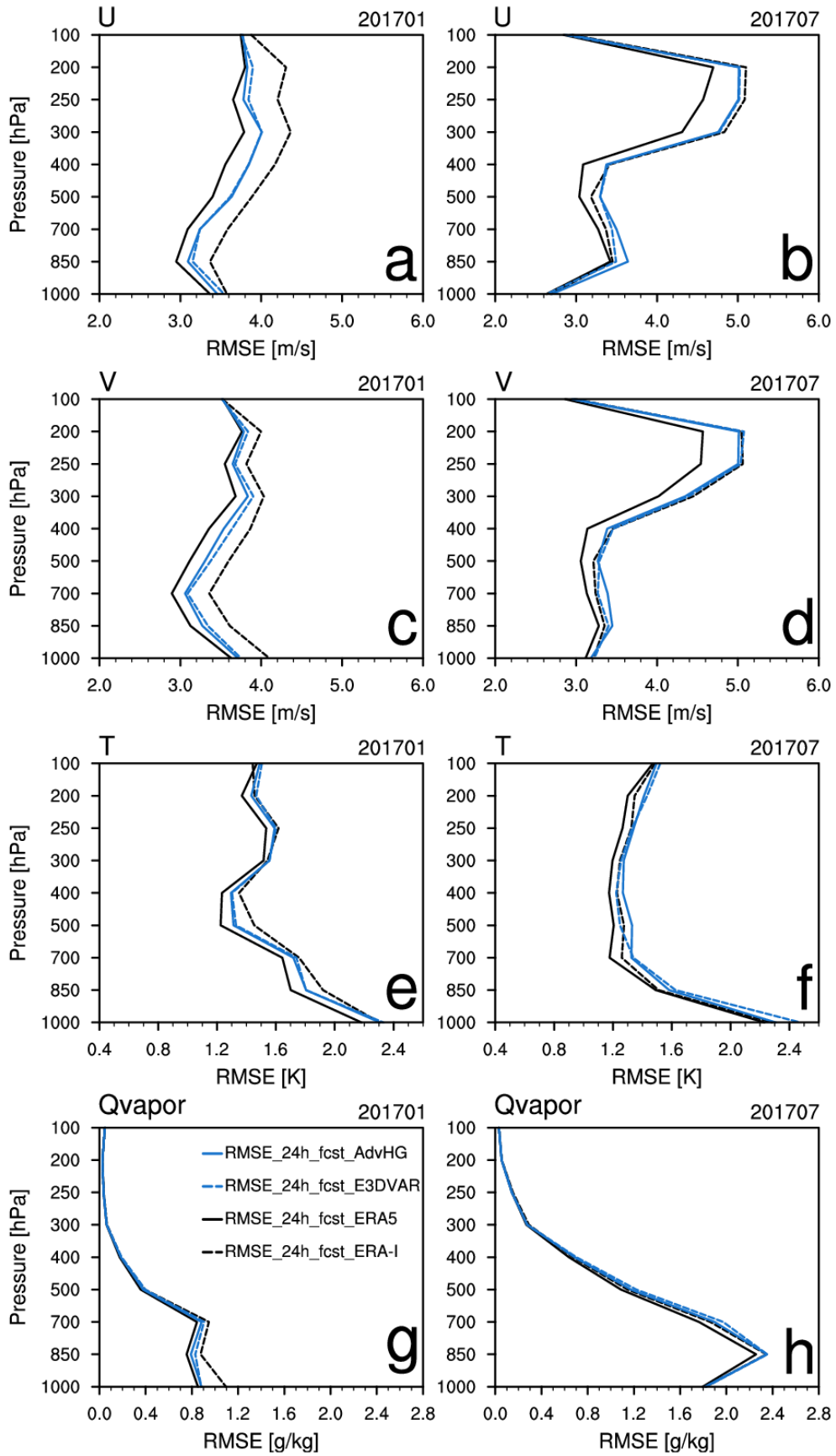


863 Figure 2. The schematic diagram of the advanced hybrid gain data assimilation method in the  
 864 East Asia regional reanalysis system.

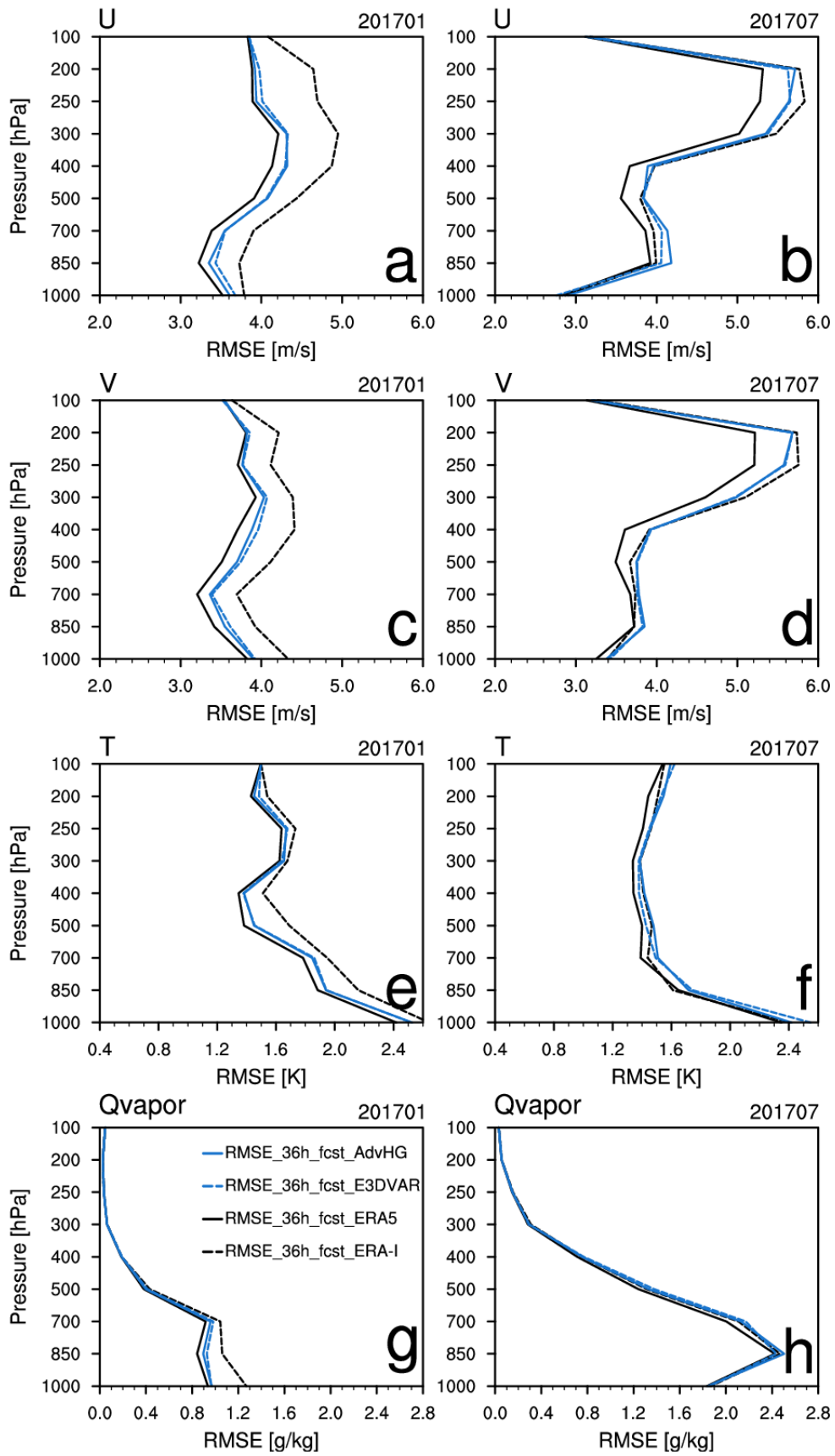
865



867 Figure 3. RMSEs of analysis of (a,b) zonal wind, (c,d) meridional wind, (e,f) temperature, and  
 868 (g,h) Qvapor (water vapor mixing ratio) from ERA-I (black dashed), ERA5 (black solid),  
 869 E3DVAR (blue dashed), AdvHG (blue solid) depending on pressure levels for (left) January  
 870 and (right) July in 2017.

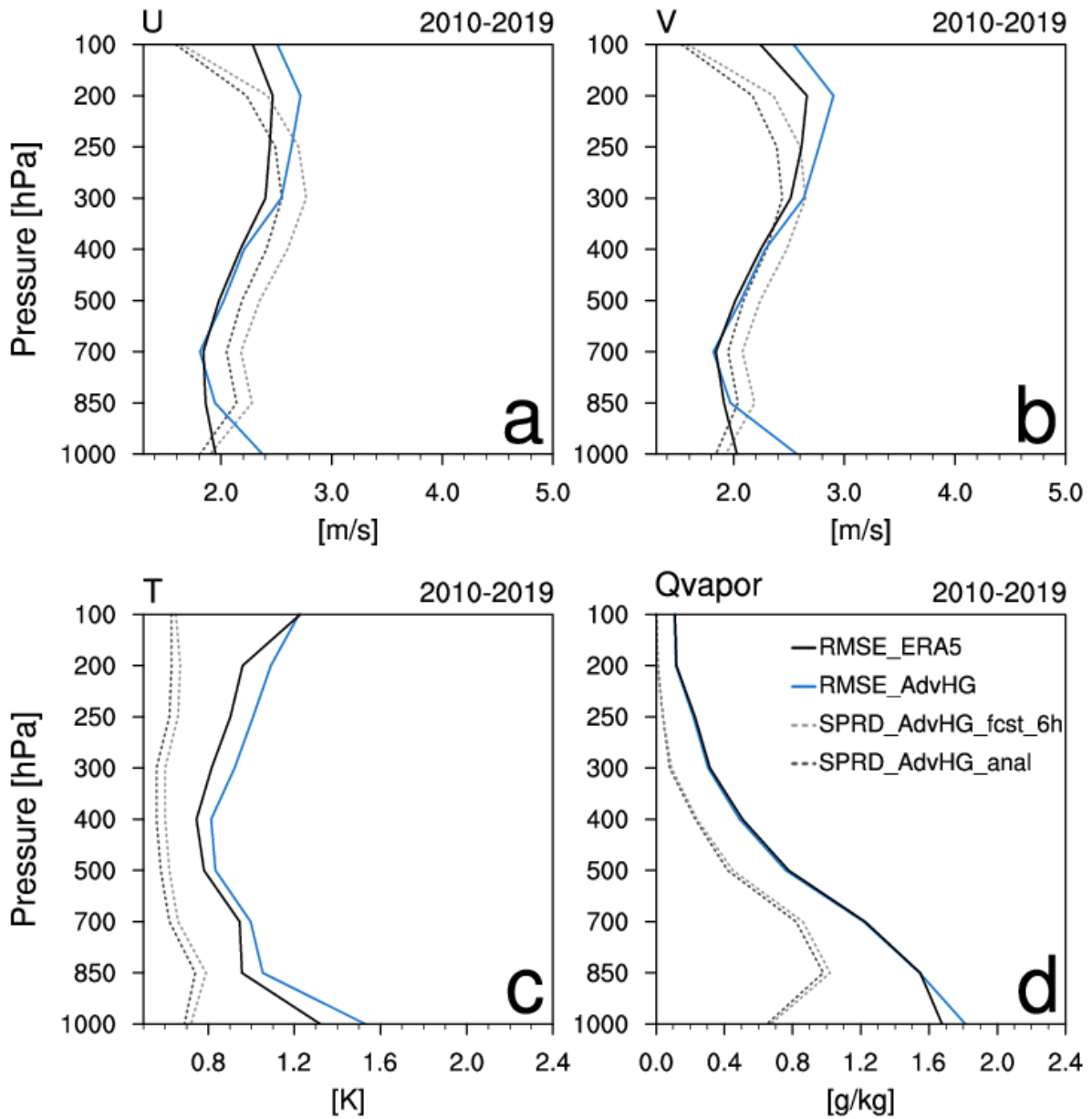


872 Figure 4. Same as Fig. 3 except for 24 h forecast.



874 Figure 5. Same as Fig. 3 except for 36 h forecast.

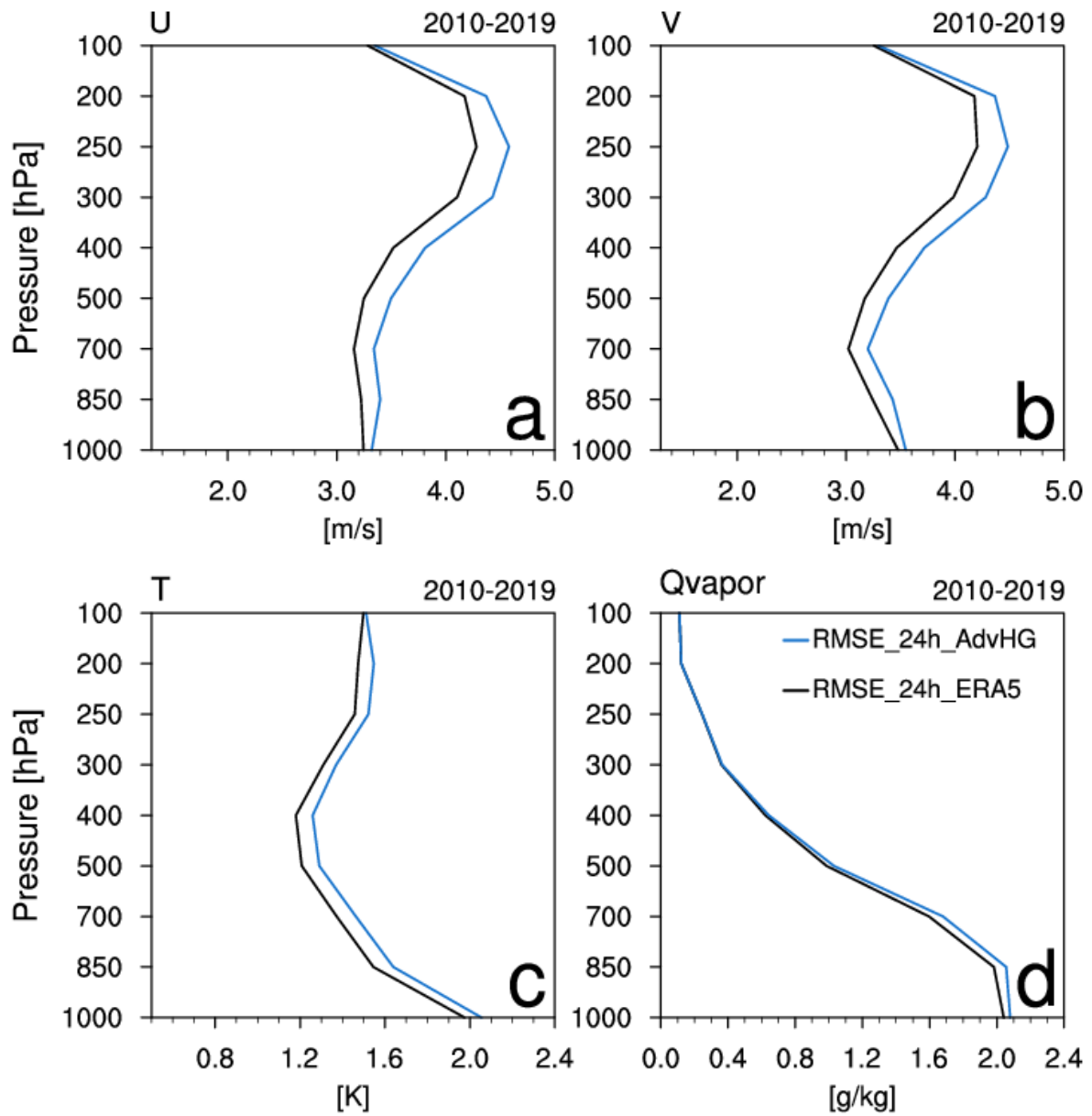
875



876

877 Figure 6. RMSEs of analysis of (a) zonal wind, (b) meridional wind, (c) temperature, and (d)  
 878 Qvapor (water vapor mixing ratio) from ERA5 (black solid) and AdvHG (blue solid) and  
 879 spreads of analysis (black dashed) and 6 h forecast (gray dashed) of AdvHG depending on  
 880 pressure levels averaged over the ten-year period of 2010–2019.

881

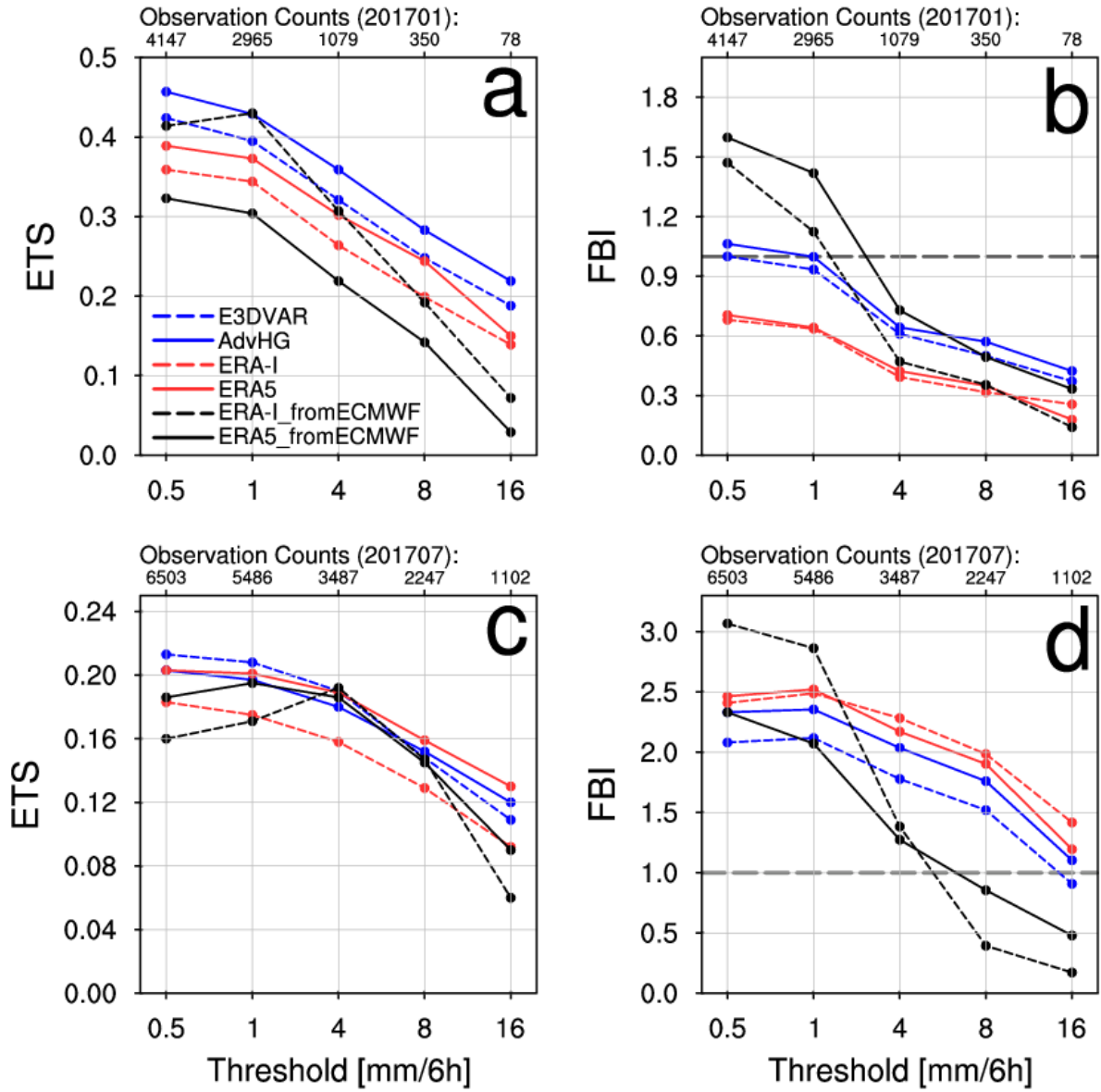


883 Figure 7. Same as Fig. 6 except for RMSE of 24 h forecast.

884

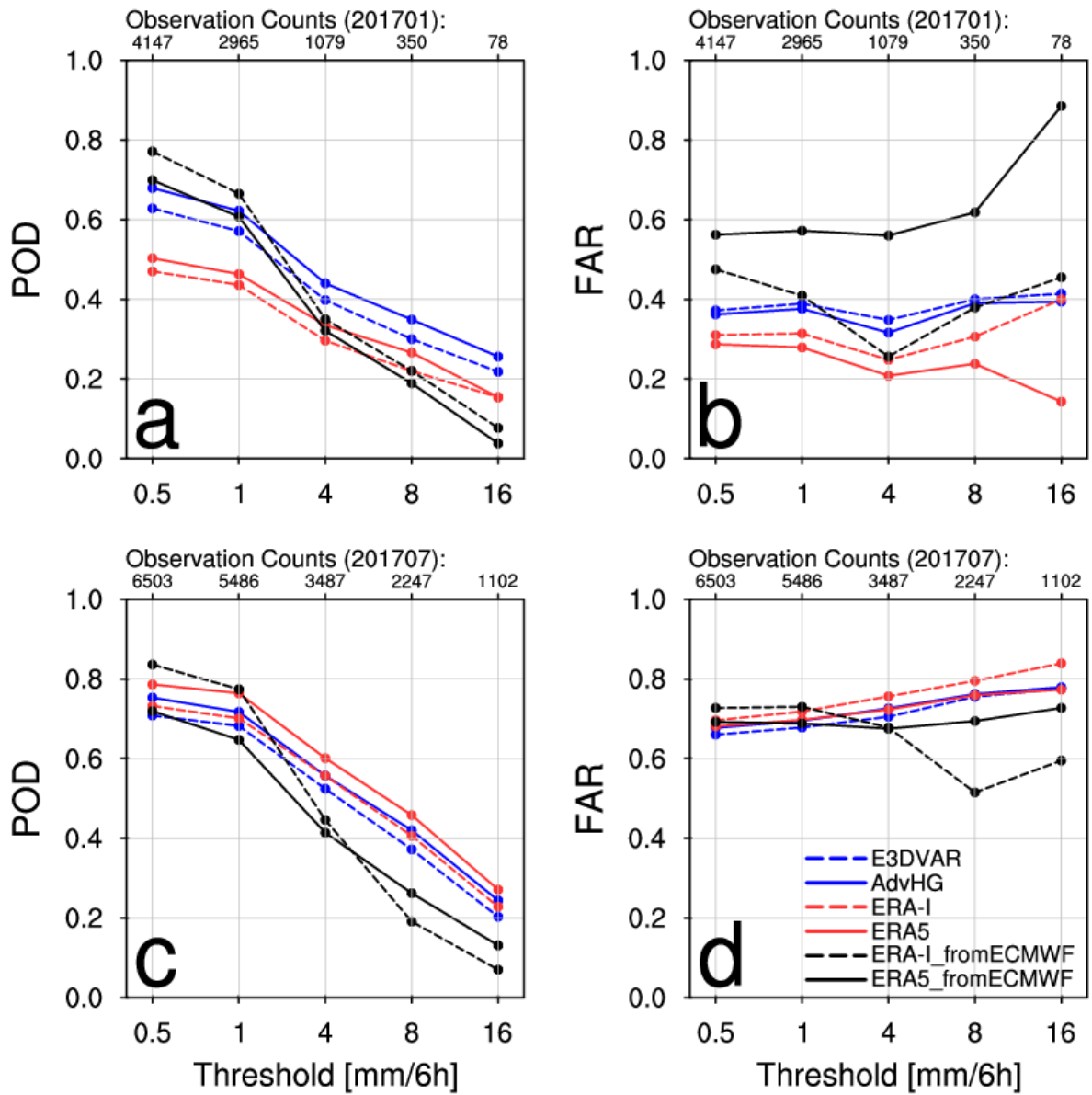
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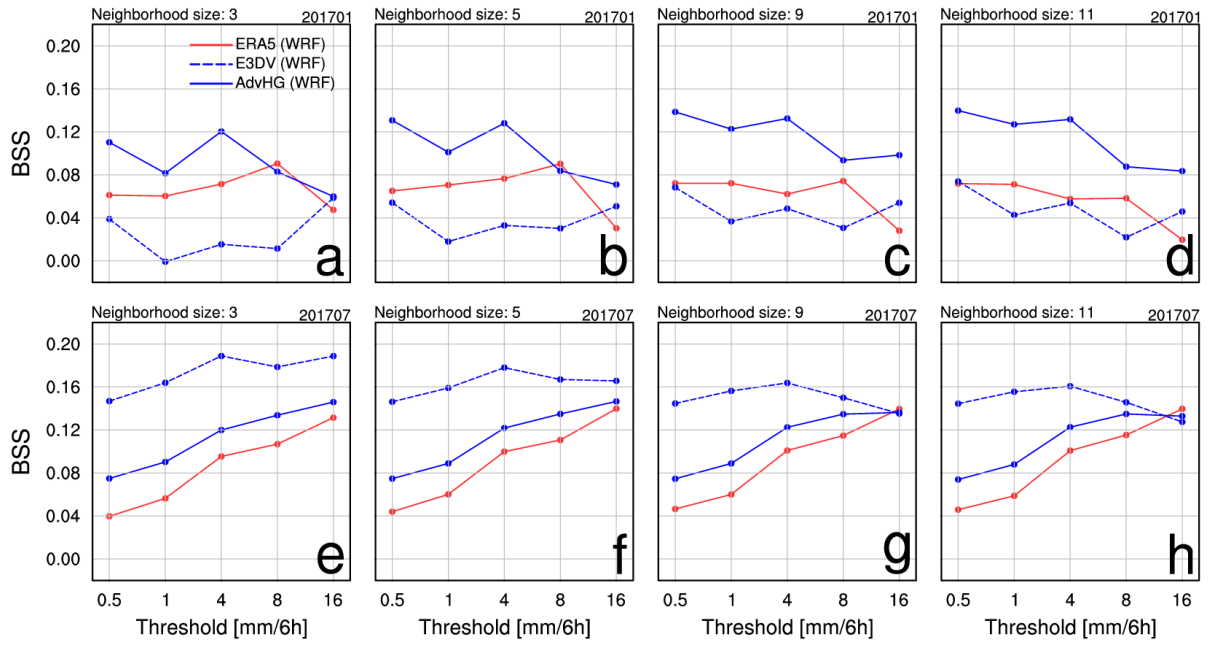
887 Figure 8. (a,c) ETS and (b,d) FBI for (a,b) January and (c,d) July in 2017 depending on  
 888 thresholds 0.5, 1, 4, 8, and 16 mm (6 h)<sup>-1</sup>.

889



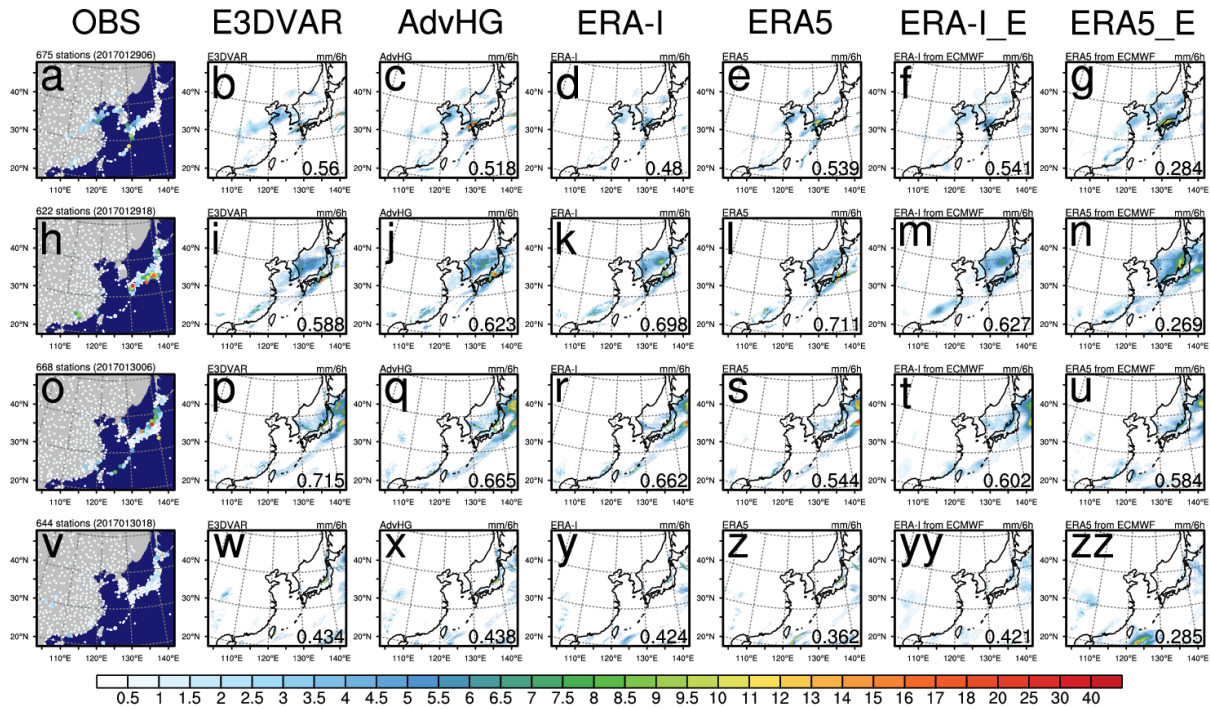
891 Figure 9. (a,c) POD and (b,d) FAR for (a,b) January and (c,d) July in 2017 depending on  
 892 thresholds 0.5, 1, 4, 8, and 16 mm (6 h)<sup>-1</sup>.

893



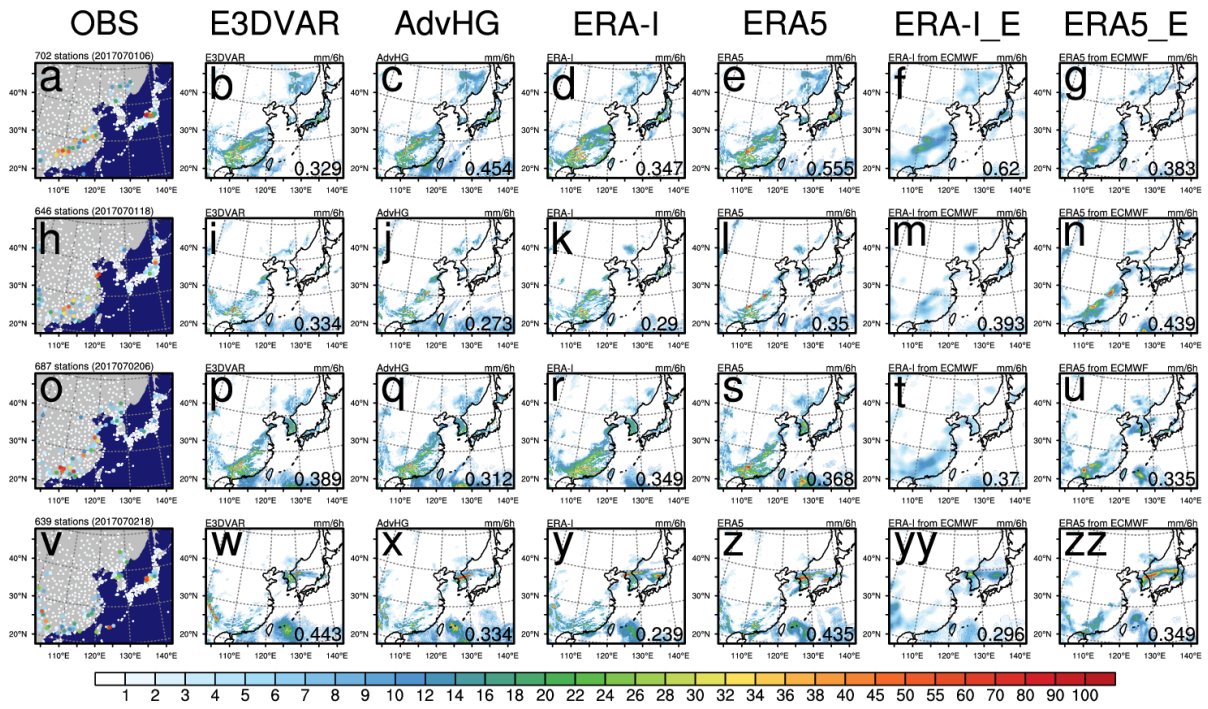
895 Figure 10. Brier skill score of the probabilistic postprocessed forecast with reference to the  
 896 WRF-based ERA-I for (a-d) January and (e-h) July in 2017 (Blue solid: AdvHG, blue dashed:  
 897 E3DVAR, red solid: WRF-based ERA5).

898

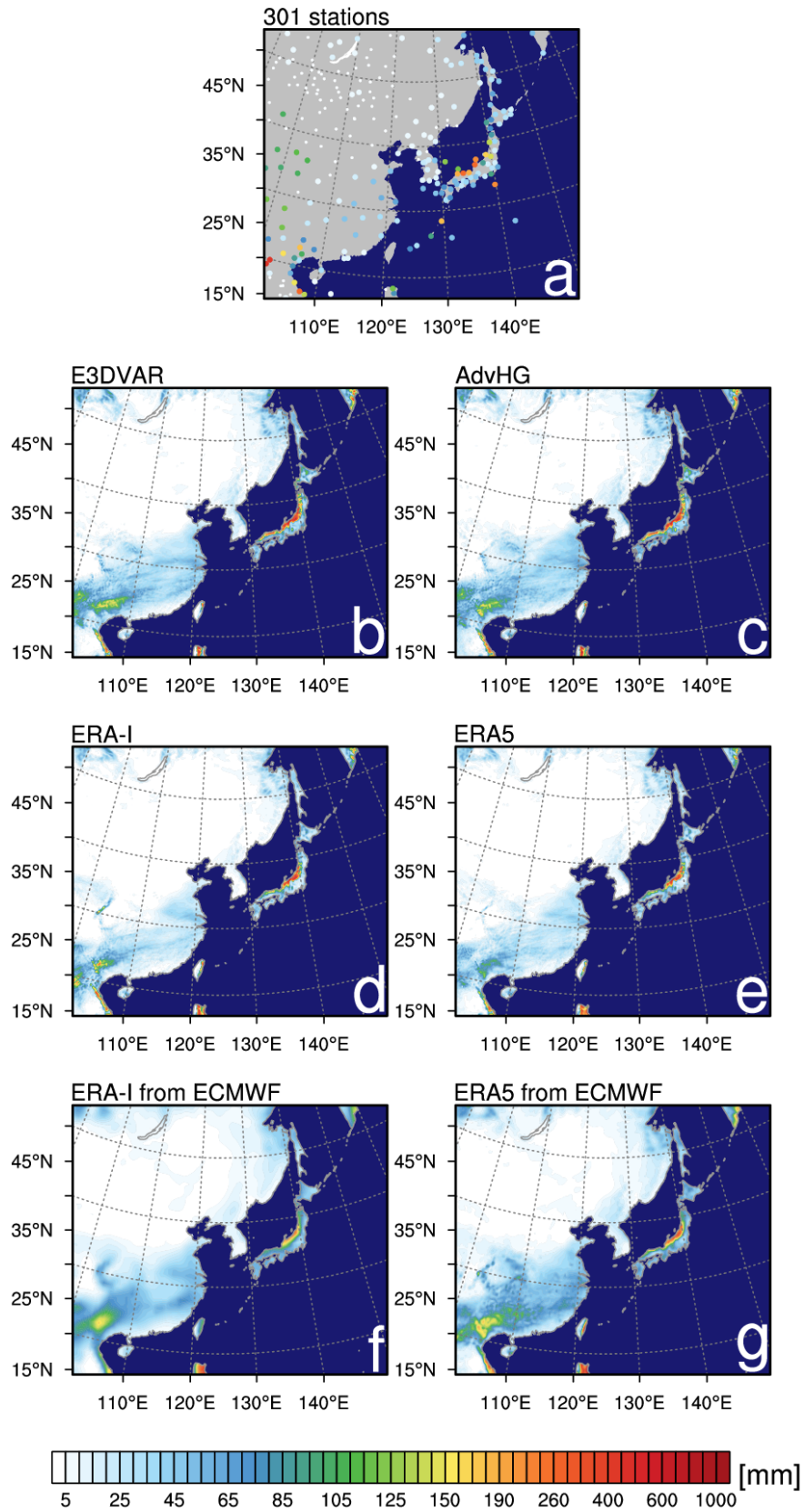


900 Figure 11. The spatial distribution of 6 h accumulated precipitation of (1<sup>st</sup> column) observation,  
 901 (2<sup>nd</sup> column) E3DVAR, (3<sup>rd</sup> column) AdvHG, (4<sup>th</sup> column) ERA-I, (5<sup>th</sup> column) ERA5, (6<sup>th</sup>  
 902 column) ERA-I\_fromECMWF, and (7<sup>th</sup> column) ERA5\_fromECMWF and the pattern  
 903 correlation coefficient (PCC) shown at the bottom right of each figure at valid time (1<sup>st</sup> low, 3<sup>rd</sup>  
 904 low) 06 UTC and (2<sup>nd</sup> low, 4<sup>th</sup> low) 18 UTC on 29<sup>th</sup> and 30<sup>th</sup> of January in 2017.

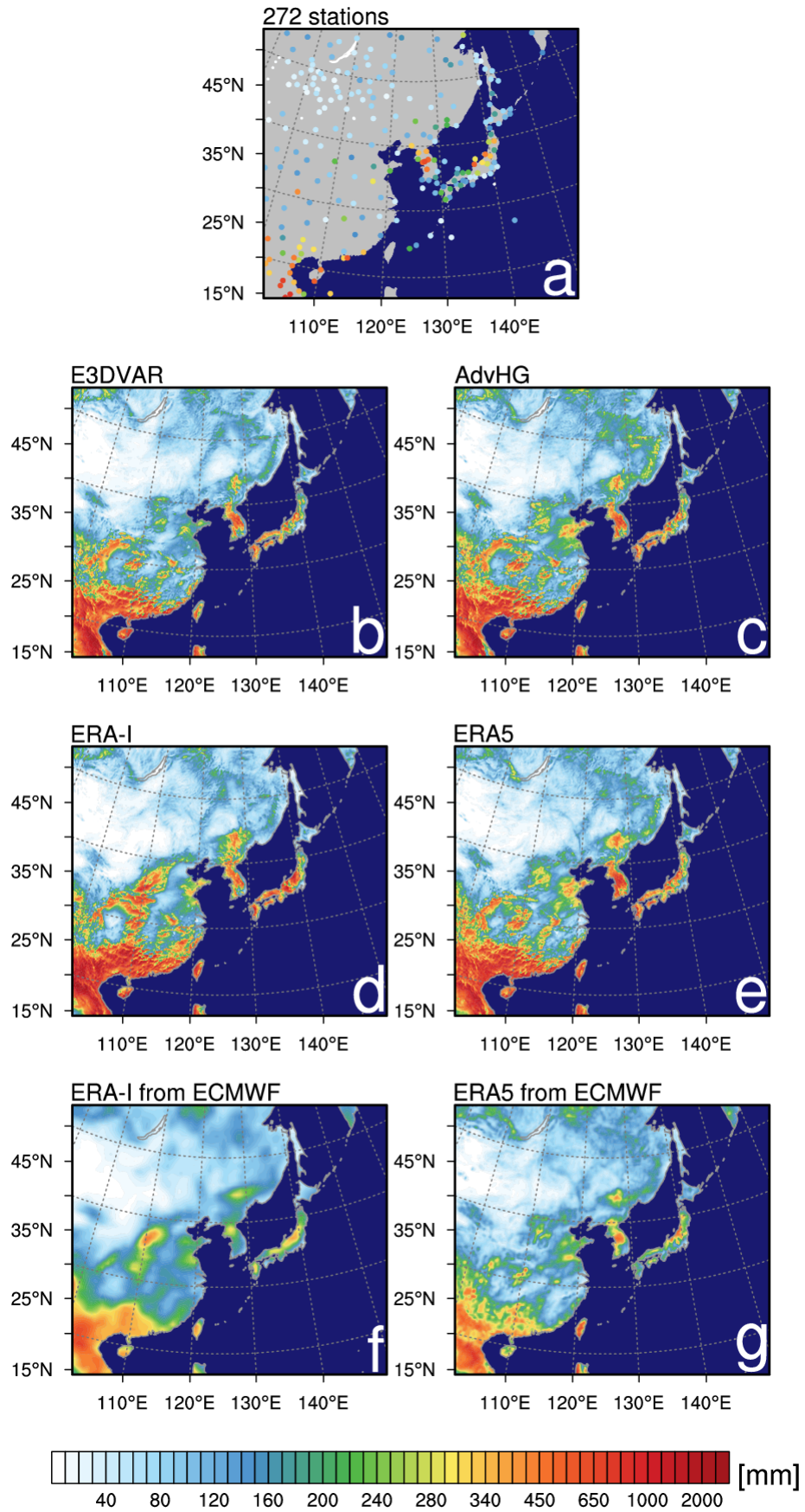
905



907 Figure 12. As in Fig. 11, but for 1<sup>st</sup> and 2<sup>nd</sup> of July in 2017.



909 Figure 13. The spatial distribution of the monthly accumulated precipitation of (a) observations,  
 910 (b) E3DVAR, (c) AdvHG, (d) ERA-I, (e) ERA5, (f) ERA-I from ECMWF, and (g) ERA5 from  
 911 ECMWF for January 2017.



913 Figure 14. As in Fig. 13, but for July 2017.