1	Development of East Asia Regional
2	Reanalysis based on advanced hybrid gain
3	data assimilation method and evaluation
4	with E3DVAR, ERA-5, and ERA-Interim
5	reanalysis
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8	Eun-Gyeong Yang, Hyun Mee Kim [*] , and Dae-Hui Kim
9	Atmospheric Predictability and Data Assimilation Laboratory
10	Department of Atmospheric Science, Yonsei University, Seoul, Republic of Korea
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^{*} *Corresponding author address*: Hyun Mee Kim, Department of Atmospheric Sciences, Yonsei University, 50 Yonsei-ro, Seodaemun-gu, Seoul, 03722, Republic of Korea.

E-mail: khm@yonsei.ac.kr

ABSTRACT

The East Asia Regional Reanalysis (EARR) system is developed based on the advanced 20 hybrid gain data assimilation method (AdvHG) using Weather Research and Forecasting (WRF) 21 model and conventional observations. Based on EARR, the high-resolution regional reanalysis 22 23 and reforecast fields are produced with 12 km horizontal resolution over East Asia for 2010-2019. The newly proposed AdvHG is based on the hybrid gain approach, weighting two 24 different analysis for an optimal analysis. The AdvHG is different from the hybrid gain in that 25 1) E3DVAR is used instead of EnKF, 2) 6 h forecast of ERA5 is used to be more consistent 26 27 with WRF, and 3) the pre-existing, state-of-the-art reanalysis is used. Thus, the AdvHG can be regarded as an efficient approach to generate regional reanalysis dataset due to cost savings as 28 29 well as the use of the state-of-the-art reanalysis. The upper air variables of EARR are verified with those of ERA5 for January and July 2017 and the two-year period of 2017-2018 the ten-30 year period of 2010-2019. For upper air variables, ERA5 outperforms EARR over two years, 31 whereas EARR outperforms (shows comparable performance to) ERA-I and E3DVAR for 32 January in 2017 (July in 2017). EARR better represents precipitation than ERA5 for January 33 34 and July in 2017. Therefore, though the uncertainties of upper air variables of EARR need to be considered when analyzing them, the precipitation of EARR is more accurate than that of 35 ERA5 for both two seasons. The EARR data presented here can be downloaded from 36 37 https://doi.org/10.7910/DVN/7P8MZT for data pressure levels on and 38 https://doi.org/10.7910/DVN/Q07VRC for precipitation.

40 1. Introduction

Reanalysis datasets have been widely used in the socio-economical field as well as 41 meteorological and climate research areas all over the world. Most of reanalysis datasets 42 consist of global reanalysis whose spatial and temporal resolutions are relatively coarse (e.g., 43 44 Schubert et al. 1993; Kalnay et al. 1996; Gibson et al. 1997; Kistler et al. 2001; Kanamitsu et 45 al. 2002; Uppala et al. 2005; Onogi et al. 2007; Bosilovich 2008; Saha et al. 2010; Dee et al. 2011; Rienecker et al. 2011; Bosilovich 2015; Kobayashi et al. 2015; Hersbach et al. 2020). As 46 the importance of regional reanalysis dataset emerged, many operational centers and research 47 institutes around the world have been producing the dataset in their own areas (Mesinger et al. 48 49 2006; Renshaw et al. 2013; Borsche et al. 2015; Bromwich et al. 2016; Jermey and Renshaw 2016; Zhang et al. 2017; Bromwich et al. 2018; Fukui et al. 2018; He et al. 2019; Ashrit et al. 50 51 2020).

52 The long-term high-resolution datasets are essential to investigate the past extreme weather events which might be associated with mesoscale features such as heavy rainfall events 53 with high spatial and temporal variability which coarser-resolution model cannot represent. 54 The dynamical downscaling approaches can be a solution for generating high-resolution dataset, 55 but they have some issues with insufficient spin-up (Kayaba et al. 2016). Moreover, Fukui et 56 al. (2018) demonstrated that regional reanalysis over Japan assimilating only the conventional 57 observations had the potential to reproduce precipitation fields better than the dynamical 58 downscaling approaches. Ashrit et al. (2020) also found that the high-resolution regional 59 reanalysis over India showed substantial improvements of regional hydroclimatic features 60 during summer monsoon for the period of 1979-1993 compared to the global reanalysis ERA-61 Interim (ERA-I, Dee et al. 2011) from ECMWF. Furthermore, He et al. (2019) revealed that 62 63 the pilot regional reanalysis over the Tibetan Plateau was able to represent more accurate

64 precipitation features as well as atmospheric humidity than the global reanalyses of ECMWF

65 (i.e., ECMWF's fifth-generation reanalysis (ERA5, Hersbach et al. 2020) and ERA-I).

As part of this effort, regional reanalysis over East Asia were produced based on the 66 Unified Model for the two-year period of 2013-2014 and it was confirmed that regional 67 68 reanalysis over East Asia is beneficial (Yang and Kim 2017; Yang and Kim 2019). However, because UM was no longer available for generating regional reanalysis over East Asia, another 69 70 numerical weather prediction (NWP) model and its data assimilation (DA) method are required. 71 To find the most appropriate and cost-efficient DA method for a regional reanalysis over East Asia, several DA methods were compared. Yang and Kim (2021) demonstrated that the 72 hybrid ensemble-variational data assimilation method (E3DVAR) shows the better 73 performance compared to three-dimensional variational data assimilation (3DVAR) and 74 ensemble Kalman filter (EnKF) over East Asia for January and July in 2016. However, it is 75 essential to confirm if this hybrid method is accurate enough to be used for a regional reanalysis 76 over East Asia. Thus, E3DVAR was compared with the latest and the previous reanalysis data 77 from ECMWF (i.e., ECMWF's fifth-generation reanalysis (ERA5, Hersbach et al. 2020) and 78 79 ERA-Interim (ERA-I, Dee et al. 2011)) from ECMWF (ERA5 and ERA-I) for (re)analysis and (re)forecast variables and it was found that a performance for a regional reanalysis needs 80 to be further improved. 81

For this reason, a new advanced hybrid gain (AdvHG) data assimilation method, which combines E3DVAR and ERA5 based on WRF model, is newly proposed and investigated in this study. A hybrid gain data assimilation method has been developed as a new kind of hybrid methods (Penny 2014). Based on this method, an advanced data assimilation method is newly developed in this study. Finally, using this newly proposed DA method (AdvHG), East Asia regional reanalysis (EARR) system is developed based on WRF model. EARR datasets have been produced for ten-year period of 2010-2019 and are verified for two-year period of 201789 2018. EARR datasets have been produced for ten-year period of 2010-2019 and are publicly
90 available (https://dataverse.harvard.edu/dataverse/EARR).

91 To investigate the accuracy and uncertainty of the state-of-the-art AdvHG DA algorithm developed in this study, analysis and forecast atmospheric variables of E3DVAR, AdvHG, 92 93 WRF-based ERA-I, and WRF-based ERA5 are evaluated for January and July in 2017, respectively. In addition, reforecast precipitation fields of ERA-I and ERA5 from ECMWF are 94 95 also verified and compared. In this study, the datasets are evaluated for two-month period 96 (January and July in 2017) or ten-year period (2010-2019) depending on the availability of 97 datasets. The reanalysis and (re)forecast fields of the EARR based on AdvHG and ERA5 are verified for ten-year period (2010-2019). In section 2, the EARR system including model, data 98 99 assimilation method, and observations are explained. In section 3, the evaluation methods are presented. The verification results of (re)analysis and (re)forecast variables are presented in 100 101 section 4. Section 4.1 presents evaluation results for wind, temperature, and humidity variables, and section 4.2 presents those for precipitation (re)forecast. Section 5 presents data availability. 102 Lastly, summary and conclusions are presented in section 6. 103

104 2. Reanalysis system

105 *2.1. Model*

In this study, the Advanced Research Weather Research and Forecasting (WRF, v3.7.1) model is used with 12-km horizontal resolution (540 x 432 grid points) and 50 vertical levels (up to 5 hPa) as shown in Fig. 1. for East Asia domain shown in Fig. 1. The model settings and physics scheme are summarized in Table 1. Analysis fields are obtained every 6 h (00, 06, 12, and 18 UTC) via assimilation of conventional observations with a 6 h assimilation window, and forecast fields are integrated up to 36 h. The ERA5 reanalysis (Hersbach et al. 2020) is used as the first initial condition before the cycling, and as boundary conditions every 6 h.

113 *2.2. Data assimilation methods*

114 *2.2.1. E3DVAR*

The E3DVAR method is one of hybrid data assimilation methods, which use a static climatological background error covariance (BEC) and ensemble-based flow-dependent BEC, and couples the EnKF and 3DVAR (Zhang et al. 2013). E3DVAR is based on a cost function of 3DVAR. In E3DVAR, EnKF provides flow-dependent BEC as well as updates perturbations for ensemble members. Following Zhang et al. (2013),

$$J^{b} = J^{b}_{s} + J^{b}_{e} = \frac{1}{2} \delta \mathbf{x}^{\mathrm{T}} \left[(1 - \beta) \mathbf{B} + \beta \mathbf{P}^{f} \circ \mathbf{C} \right]^{-1} \delta \mathbf{x} , \qquad (1)$$

where J_s^b is a traditional cost function based on a static climatological BEC **B** and J_e^b is an 120 additional cost function based on ensemble-based BEC Pf. C is a correlation matrix for 121 localization of the ensemble covariance \mathbf{P}^{f} . The weighting coefficient β between static and 122 ensemble-based BEC is set to 0.8 in this study. To account for model error for E3DVAR, multi-123 physics scheme is applied to 40-member ensembles. Yang and Kim (2021) found that E3DVAR 124 is the most appropriate DA method among 3DVAR, EnKF, and E3DVAR methods over East 125 126 Asia. More detailed information on E3DVAR implemented in this study can be found in Yang and Kim (2021). 127

2.2.2. Advanced hybrid gain data assimilation method Hybrid gain data assimilation method 128 129 In the last decade, the traditional hybrid methods have been widely used for many operational centers and research institutes. Recently, Penny (2014) has proposed a new class 130 of hybrid gain methods combining desirable aspects of both variational and EnKF families of 131 algorithms by weighting analyses from 3DVAR and LETKF for an optimal analysis in the 132 Lorenz 40-component model. Since then, this algorithm has been implemented at ECMWF 133 (Bonavita et al. 2015) and at a hybrid global ocean DA system in National Centers for 134 Environmental Prediction (NCEP) (Penny et al. 2015). 135

136 The hybrid gain algorithm can be described with the following equations:

$$\mathbf{x}_{Hyb}^{a} = \alpha \, \mathbf{x}_{det}^{a} + (1 - \alpha) \, \overline{\mathbf{x}^{a}} \,, \qquad (2)$$

137 where x_{Hyb}^a , x_{det}^a , and $\overline{x^a}$ denote the hybrid analysis, deterministic analysis, and the ensemble 138 mean analysis from the ensemble-based assimilation method, and α is a tunable parameter 139 (Penny 2014, Houtekamer and Zhang 2016).

The hybrid gain method is different from traditional hybrid methods, in that a hybrid gain approach linearly combines analysis fields from EnKF and variational DA method to produce a hybrid gain analysis rather than linearly combining respective BECs (Penny 2014). Basically, the hybrid gain method is to hybridize two different Kalman gain matrices of ensemble-based [Eq. (4)] and variational data assimilation system [Eq. (5)] as in Eq. (3).

$$\hat{\mathbf{K}} = \beta_1 \mathbf{K}^f + \beta_2 \mathbf{K}^B + \beta_3 \mathbf{K}^B \mathbf{H} \mathbf{K}^f, \qquad (3)$$

145 where

$$\mathbf{K}^{f} = \mathbf{P}^{f} \mathbf{H}^{\mathrm{T}} (\mathbf{H} \mathbf{P}^{f} \mathbf{H}^{\mathrm{T}} + \mathbf{R})^{-1}, \qquad (4)$$

$$\mathbf{K}^{B} = \mathbf{B} \mathbf{H}^{\mathrm{T}} (\mathbf{H} \mathbf{B} \mathbf{H}^{\mathrm{T}} + \mathbf{R})^{-1}.$$
 (5)

146 **H** is an observation operator mapping the model state vector to observation space and **R** is the 147 observation error covariance matrix. The matrices \mathbf{P}^{f} and **B** indicate the ensemble-based and 148 the static climatological BEC, respectively. By choosing the specific coefficients ($\beta_1=1$, $\beta_2 =$ 149 α , $\beta_3 = -\alpha$), it can be written as in Eq. (6) and it can give an algebraically equivalent result 150 with Eq. (2) (Penny 2014).

$$\hat{\mathbf{K}} = \mathbf{K}^f + \alpha \mathbf{K}^B (\mathbf{I} - \mathbf{H}\mathbf{K}^f).$$
(6)

151 One of advantages of the hybrid gain algorithm with respect to its development is that pre-152 existing operational systems can be used without significant modification for a hybrid analysis (Penny 2014) and independent parallel development of respective methods is allowed (Houtekamer and Zhang 2016). Furthermore, the hybrid gain approach can be considered as a practical and straightforward method in the foreseeable future to combine advantageous features of both ensemble- and variational-based DA algorithms (Houtekamer and Zhang 2016). More detailed information on this algorithm can be found in Penny (2014).

158 <u>2.2.3. Advanced hybrid gain data assimilation method</u>

In this study, based on the hybrid gain approach, an advanced hybrid gain data assimilation
method (AdvHG) is newly proposed as follows:

$$\mathbf{X}_{\mathrm{AdvHG}}^{a} = \alpha \mathbf{X}_{\mathrm{ERA5}}^{f(6h)} + (1 - \alpha) \overline{\mathbf{X}}_{\mathrm{E3DVAR}}^{a}, \tag{7}$$

where $X_{\text{FRA5}}^{f(6h)}$ denotes the 6 h forecast of ERA5 reanalysis based on WRF model and $\overline{X}_{\text{E3DVAR}}^{a}$ 161 denotes the analysis of E3DVAR (Fig. 2). In Eq. (7), α is a tunable parameter and is assigned 162 to be 0.5 in this study. This advanced hybrid gain approach is different from the hybrid gain 163 164 approach in that 1) E3DVAR analysis is used instead of EnKF, 2) 6 h forecast of ERA5 is used instead of deterministic analysis from variational DA method, and 3) the pre-existing and state-165 of-the-art reanalysis data (i.e., ERA5) is simply used instead of producing deterministic 166 analysis by assimilation. The reasons for these different approaches proposed in this study are 167 as follows: 168

1) E3DVAR is used instead of EnKF because Yang and Kim (2021) confirmed that
 E3DVAR outperforms EnKF for winter and summer seasons over East Asia.

2) Instead of deterministic analysis, the 6 h forecast of ERA5 based on WRF model is used to make the hybrid analysis more balanced and consistent with WRF model, because ERA5 reanalysis fields are based on its own modeling system with coarser resolution, which is different from that of this study.

175 3) European Centre for Medium-Range Weather Forecasts (ECMWF) reanalysis (ERA5)

176	is used instead of producing our own analysis fields from a variational DA method. This is a
177	very efficient approach because of the cost savings as well as the use of the high-quality latest
178	reanalysis from ECMWF assimilating all currently available observations with the state-of-the-
179	art and advanced technology.
180	Therefore, the approach proposed in this study is called as "advanced hybrid gain method"
181	(denoted as "AdvHG").
182	2.3. Observations
183	The NCEP PrepBUFR [Prepared or QC'd data in BUFR (Binary Universal Form for the
184	Representation of meteorological data) format] conventional observations (global upper air and
185	surface weather observations, NCEP/NWS/NOAA/U.S.DOC 2008) are used every 6 h (00, 06,
186	12, and 18 UTC) for an assimilation by E3DVAR and AdvHG methods (Fig. 1). The PrepBUFR
187	is the output of the final process for preparing the observations to be assimilated in the different
188	NCEP analyses. For observations, rudimentary multi-platform quality control (QC) and more
189	complex platform-specific QC were conducted (e.g., surface pressure, rawinsonde heights and
190	temperature, wind profiler, aircraft wind and temperature) in NCEP (Keyser 2013).
191	Furthermore, if the innovations (i.e., observation minus background) of some observations are
192	greater than 5 times the observational error, then that observation is rejected during assimilation
193	procedure in this study.
194	The assimilated observations are as follows: the surface observations (SYNOP, METAR,
195	Ship, and Buoy), radiosonde observation (SOUND), upper-wind report (PILOT), wind profiler,
196	aircraft, atmospheric motion vector (AMV) wind from a geostationary satellite (GEOAMV),
197	and Scatterometer oceanic surface winds (Scatwind), and precipitable water vapor from global
198	positioning system (GPSPW). The observation errors depending on each observation platform,

199 variable, and vertical levels are assigned based on the default observation error statistics

200 provided in WRFDA system (Table 2). All observations are spatially thinned by 20 km except

for AMV thinned by 200 km as done by Warrick (2015), Cotton et al. (2016), and Shin <u>et al.</u>
(2016).

To evaluate 6 h accumulated precipitation simulated by E3DVAR, AdvHG, ERA-I, and ERA5 over East Asia, global surface weather observations (NCEP PrepBUFR, NCEP/NWS/NOAA/U.S.DOC 2008) are used every 6 h (00, 06, 12, and 18 UTC). For an evaluation of the monthly precipitation fields, the world monthly surface station climatology (NCDC/NESDIS/NOAA/U.S.DOC et al. 1981) over 4700 different stations (2600 in more recent years) is used.

209 2.4. Global reanalysis datasets

To compare EARR generated with other reanalysis datasets, ERA5 (Hersbach et al. 2020) and ERA-I (Dee et al. 2011) reanalysis are chosen. The horizontal resolutions of ERA-I and ERA5 are approximately 79 km (TL255) and 31 km (TL639), respectively. Because ERA5 is based on the operational system in 2016, improvements in model physics, numerics, data assimilation, and additional observations over the last decade are the advantages of ERA5 (Hersbach et al. 2018).

216 Because reforecast as well as reanalysis fields are verified in this study, for forecast fields, two different forecast fields from ECMWF (i.e., forecast based on WRF model and reforecast 217 based on ECMWF model) are used. The WRF forecast fields (i.e., WRF-based ERA5, WRF-218 219 based ERA-I) using ERA5 and ERA-I as initial conditions are integrated with 12 km resolution. 220 Secondly, reforecast fields based on ECMWF model (i.e., ERA5 fromECMWF, ERA-221 I fromECMWF), provided and downloaded from ECMWF, are used. In this study, (re)forecast 222 as well as reanalysis fields need to be verified. Regarding reanalysis and (re)forecast fields of 223 ECMWF, reanalysis fields (i.e., ERA5 and ERA-I) downloaded from ECMWF are evaluated (Figs. 3 and 6). There are two different (re)forecast fields (e.g., ERA5 fromECMWF, WRF-224 225 based ERA5) used in this study. WRF-based ERA5 and ERA-I are forecast fields based on

226 WRF model with 12 km horizontal resolution where ERA5 and ERA-I are used as initial

- 227 conditions, respectively. In contrast, ERA5 fromECMWF and ERA-I fromECMWF are
- reforecast fields based on ECMWF model not WRF model, so the reforecast fields of ERA5
- 229 and ERA-I are provided and downloaded from ECMWF. These reforecast fields are only used
- for evaluation of precipitation (Figs. 8 and 9). The (re)analysis and (re)forecast fields and

231 <u>corresponding experiments are explained in Table 3.</u>

232 **3. Evaluation method**

233 *3.1. Equitable threat score and Frequency bias index*

Based on the contingency table (Table <u>24</u>), ETS is defined as

$$ETS = \frac{A - A_r}{A + B + C - A_r}, \text{ where } A_r = \frac{(A + B)(A + C)}{A + B + C + D}.$$
(8)

The ETS range is from -1/3 to 1 and the value 1 for ETS is a perfect score. ETS is a more balanced score than Probability of Detection (POD) and False Alarm Ratio (FAR), because it is sensitive to both false alarms and misses (Wilson 2010).

FBI is defined as

$$FBI=Bias=\frac{A+B}{A+C}.$$
 (9)

239 The FBI indicates whether the model tends to over-forecast (too frequently, FBI>1) or under-

240 forecast (not frequent enough, FBI<1) events with respect to frequency of occurrence.

- 241 *3.2 Probability of detection and False alarm ratio*
- Based on the contingency table (Table $\frac{24}{24}$), POD is defined as

$$POD = \frac{A}{A+C} = \frac{Hits}{Hits + Misses}.$$
 (10)

The POD range is from 0 to 1. POD is required to be used with FAR, because POD can be artificially improved by systematically over-forecasting the events (Wilson 2010).

FAR is defined as

$$FAR = \frac{B}{A+B} = \frac{False alarms}{Hits + False alarms}.$$
 (11)

246 The range of FAR is from 0 to 1 and its lower score implies a higher accuracy.

247 *3.3 Brier skill score*

Verification of the performance of high-resolution forecast with the traditional verification 248 249 metrics (e.g., ETS, FBI) can be misleading due to double penalty, particularly for highly variable fields (e.g., precipitation). Therefore, as one of spatial verification approaches that do 250 not require forecast to match point observation spatially, neighborhood (fuzzy) verification 251 252 method, which assumes that slightly displaced forecast can be acceptable and a local 253 neighborhood can define the degree of allowable displacement (Ebert 2008; Kim et al. 2015; On et al. 2018), is used in this section. According to Ebert (2008), depending on the matching 254 strategy, neighborhood verifications can be categorized into two frameworks: 'single 255 observation-neighborhood forecast (SO-NF)' where neighborhood forecasts surrounding 256 257 observations are considered, and 'neighborhood observation-neighborhood forecast (NO-NF)' strategies where not only neighborhood forecasts but also neighborhood observations 258 259 surrounding observations are considered. Due to the absence of high-resolution gridded 260 precipitation observation data in East Asia, various verification scores widely used as 'neighborhood observation-neighborhood forecast (NO-NF)' strategy are not available in this 261 study. Thus, in this section, Brier skill score as one of 'single observation-neighborhood 262 forecast (SO-NF)' strategy is introduced. 263

The Brier score (BS) is similar to the mean-squared error (MSE) and is defined as (Wilks 265 2006):

BS=
$$\frac{1}{N} \sum_{i=1}^{N} (p_i - o_i)^2$$
. (12)

where p_i denotes the probability forecast, and o_i denotes the binary observation which is either 0 or 1, and *N* is the total number of observations during the given period. Generally, Brier skill score (or Brier score) is used to verify ensemble forecasts which are able to calculate probabilistic forecasts (Kay et al. 2013; Kim and Kim 2017). However, Brier skill score can also be used for deterministic forecasts using a pragmatic post-processing procedure (Theis et al., 2005; Mittermaier-et-al. 2014), which derives probabilistic forecasts from deterministic forecasts at every model grid point by considering neighborhood forecast as *pseudo ensemble*.

$$BSS = 1 - \frac{BS}{BS_{ref}},$$
 (13)

where BS_{ref} is Brier score of reference. Brier skill score is skill score with respect to Brier score
as in Eq. (13). For reference, a climatology or other forecast can be used either. In this study,
the WRF-based ERA-I is considered as a reference.

276 *3.4 Pattern correlation coefficient*

The pattern correlation coefficient (PCC) is defined as Eq. (14) (Shiferaw et al. 2018; Yoo
and Cho 2018; Park and Kim 2020).

PCC =
$$\frac{\sum_{i=1}^{N} (x_i - \bar{x})(o_i - \bar{o})}{\left[\sum_{i=1}^{N} (x_i - \bar{x})^2 \sum_{i=1}^{N} (o_i - \bar{o})^2\right]^{1/2}},$$
(14)

where x_i and o_i are (re)forecast and observed precipitation at *i*th observation location and the over-bar indicates the averaged variables over N observed stations in the verification area.

281 **4. Results**

- 282 *4.1 Evaluation of wind, temperature, and humidity variables*
- 283 4.1.1 RMSE for January and July 2017
- 284 The analysis and forecast RMSEs of E3DVAR, AdvHG, the WRF-based ERA-I, and

WRF-based ERA5 are calculated for zonal wind, meridional wind, temperature, and Qvapor
(water vapor mixing ratio in WRF) variables against sonde observations at 00 and 12 UTC in
<u>verification domain (dashed box in Fig. 1)</u> for January and July in 2017 and averaged over each
month (Figs. 2, 3, and 4 3, 4, and 5).

For analysis RMSE (Fig. 2), ERA5 is smaller than ERA-I for all levels and variables. In 289 particular, the analysis RMSE difference between ERA5 and ERA-I is distinctive for wind. The 290 291 vertically averaged wind RMSE of ERA5 for January (2.22 m s-1) and July (1.98 m s-1) in 292 2017 is smaller by approximately 0.23 and 0.3 m s-1 than that of ERA-I for January (2.45 m s-1) and July (2.28 m s-1) in 2017. The analysis RMSE of E3DVAR is smaller than that of 293 AdvHG for all pressure levels and variables, except for temperature in July at 1000 hPa and 294 295 Qvapor in January and July at 1000 hPa. In general, the analysis RMSE of AdvHG for all variables is comparable to or greater than that of ERA5. For analysis RMSE (Fig. 23), E3DVAR 296 is smaller than AdvHG for all pressure levels and variables, except for temperature in July at 297 1000 hPa and Qvapor in January and July at 1000 hPa. In general, the analysis RMSE of 298 AdvHG for all variables is comparable to or greater than that of ERA5. The analysis RMSE of 299 300 ERA5 is smaller than that of ERA-I for all levels and variables; in particular, the analysis 301 RMSE difference between ERA5 and ERA-I is distinctive for wind.

302 Regarding wind variables of analysis (Figs. 2a, b, c, and d), E3DVAR is the 303 most closely fitted to observations except for the wind in upper troposphere in January, 304 followed by ERA5, AdvHG, and ERA-I. For temperature RMSE (Figs. 2e and f-3e and f), E3DVAR is smaller than AdvHG and ERA5 is smaller than ERA-I. However, in January (Fig. 305 306 2e), ERA5 RMSE is the smallest for upper troposphere and RMSEs of ERA5 and E3DVAR are similar to each other for lower troposphere. In July (Fig. 2f), overall E3DVAR RMSE is the 307 smallest except for 1000 hPa. E3DVAR is smaller than AdvHG. For Qvapor, RMSE in July is 308 much larger than that in January due to a monsoonal flow carrying moist air to East Asia. In 309

310 general, Qvapor RMSE of E3DVAR is the smallest, followed by ERA5, AdvHG, and ERA-I.
311 Therefore, for all variables, generally E3DVAR analysis fields are the most closely fitted to
312 observations. Since the analysis RMSE implies how much analysis fields are fitted to
313 observations rather than the accuracy of analysis itself, not only analysis RMSE but also
314 forecast RMSE should be considered

For 24 h forecast RMSEs (Fig. 3), ERA5 RMSE is the smallest for all levels and variables 315 for January and July in 2017. In January (Figs. 3a, c, e, and g), overall, the 24 h forecast RMSE 316 317 of ERA5 is the smallest and that of ERA-I is the largest for all variables, and RMSEs of AdvHG and E3DVAR are greater than those of ERA5 and smaller than those of ERA-I. Regarding 318 AdvHG and E3DVAR, in general, AdvHG is smaller than E3DVAR for all levels and variables. 319 Thus, in January, ERA5 is the most accurate, followed by AdvHG, E3DVAR, and ERA-I. 320 Meanwhile, for July (Figs. 3b, d, f, and h), ERA5 shows the smallest RMSE, and AdvHG and 321 E3DVAR show comparable RMSE to ERA-I. For 24 h forecast fields in January (Figs. 4a, c, 322 e, and g), overall, RMSEs of AdvHG and E3DVAR are greater than those of ERA5 and smaller 323 than those of ERA-I, and AdvHG RMSE is smaller than E3DVAR RMSE for all levels and 324 325 variables. Meanwhile, for July (Figs. 4b, d, f, and h), AdvHG and E3DVAR show comparable RMSE to ERA-I. 326

Furthermore, general features of 36 h forecast RMSE (Fig. 45) are similar to the 24 h 327 328 forecast RMSE (Fig. 34). However, particularly in January, the 36 h forecast RMSE differences between ERA5 and ERA-I are more distinctive compared to those of 24 h forecast. In January, 329 the vertically averaged 36 h forecast RMSE differences of ERA5 and ERA-I are 0.52 m s⁻¹ for 330 wind, 0.16 K for temperature, and 0.08 g kg⁻¹ for Qvapor, whereas those of 24 h forecast are 331 0.4 m s⁻¹ for wind, 0.11 K for temperature, and 0.06 g kg⁻¹ for Qvapor. In addition, the 36 h 332 forecast RMSE differences between ERA5 and AdvHG for January are on average 0.1 m s⁻¹ 333 for wind, 0.05 K for temperature, and 0.02 g kg⁻¹ for Qvapor, which are even smaller compared 334

to those of 24 h forecast, implying that AdvHG is a lot more accurate than ERA-I for January
in 2017. For July, 36 h forecast RMSE of ERA5 is the smallest and RMSEs of AdvHG and
E3DVAR are similar to those of ERA-I.

338 *4.1.2 RMSE and spread for the period of* 2017-18 <u>2010-2019</u>

In this section, EARR produced in this study is verified for a longer period with WRFbased ERA5. RMSE and spread of reanalyses and reforecasts based on AdvHG method are calculated and averaged over the period of <u>2017 2018 2010 2019</u>. The reanalyses and (re)forecast fields are evaluated by calculating RMSE valid at 00 and 12 UTC and spread at 00, 06, 12, and 18 UTC.

The averaged RMSEs of reanalysis for ERA5 and EARR (denoted as AdvHG in Fig. 56) 344 and spread of analysis and 6 h forecast fields of EARR (AdvHG) are shown in Fig. 56. With 345 respect to spread, the ensemble spreads of analysis fields are smaller than those of 6 h forecast 346 fields, on average, by 0.160.15 m s⁻¹ for wind, 0.04 K for temperature, and 0.02 g kg⁻¹ for 347 Qvapor, which is the well-known characteristics of ensemble-based data assimilation methods. 348 349 To be specific, the wind spread (Figs. 56a and b) is similar to or greater than the wind RMSE except for the upper troposphere above 200 hPa, implying ensemble spread for wind is well 350 351 represented below 200 hPa. Even if the ensembles for temperature (Fig. 5c) are underdispersive compared to RMSE of temperature, overall Qvapor spread (Fig. 5d) is well represented except 352 353 for 1000 hPa and above 200 hPa. On the contrary, the ensembles for temperature and Qvapor 354 (Figs. 6c and d) are underdispersive compared to their RMSEs.

Regarding reanalysis RMSE, overall ERA5 RMSE is smaller than AdvHG RMSE for all variables (Fig. 5). The vertically averaged RMSEs of ERA5 are smaller by 0.15 m s-1 for wind, 0.08 K for temperature, and 0.01 g kg-1 for Qvapor than those of AdvHG. Regarding reanalysis RMSE, overall AdvHG RMSE is greater than ERA5 RMSE for all variables (Fig. 6). The vertically averaged RMSEs of AdvHG are greater by 0.16 m s⁻¹ for wind, 0.09 K for temperature, and 0.01 g kg⁻¹ for Qvapor than those of ERA5. Nonetheless, the wind RMSEs of
 AdvHG are similar to those of ERA5 for the middle of troposphere (400–850 hPa), and the
 Qvapor RMSEs of AdvHG are similar to those of ERA5 except for 1000 hPa.

In addition, regarding 24 h forecast RMSE, ERA5 shows smaller RMSE than AdvHG for 363 all variables (Fig. 6). AdvHG shows larger RMSE than ERA5 for all variables (Fig. 7). 364 The vertically-averaged RMSE differences of wind, temperature, and Qvapor variables 365 between AdvHG and ERA5 are approximately 0.2 m s⁻¹, 0.07 K, and 0.03 g kg⁻¹, respectively. 366 These differences are smaller, compared to the 24 h forecast RMSE difference between ERA-367 I and ERA5 shown in Fig. 34 (i.e., wind, temperature Temp, and Qvapor RMSE difference: 0.4 368 m s⁻¹, 0.11 K, and 0.06 g kg⁻¹ for January 2017, 0.25 m s⁻¹, 0.05 K, and 0.04 g kg⁻¹ for July 369 2017). 370

371 *4.2 Evaluation of precipitation for January and July in 2017.*

372 4.2.1 Evaluation metrics

4.2.1.1 Equitable threat score and Frequency bias index

In this section, for the point-based Equitable threat score (ETS) and Frequency bias index 374 375 (FBI) based on Table 24, the 6 h accumulated precipitation fields based on the 6 h forecast of E3DVAR, AdvHG, WRF-based ERA-I, WRF-based ERA5, ERA-I fromECMWF, and 376 ERA5 fromECMWF are evaluated every 6 h (00, 06, 12, and 18 UTC) for January and July in 377 378 2017 (Fig. 78). Here, all the WRF-based precipitation fields are based on 12-km horizontal 379 resolution, and ERA-I fromECMWF and ERA5 fromECMWF have 79- and 31-km horizontal resolutions, respectively. Generally, ETS decreases as a threshold increases for both two 380 381 months (Figs. 78 a and c). For January in 2017 (Fig. 78 a), AdvHG ETS is the greatest among others. Compared to precipitation reforecasts from ECMWF (i.e., ERA-I fromECMWF, 382 ERA5 fromECMWF), AdvHG shows the higher ETS, indicating that AdvHG is able to 383 simulate more accurate precipitation fields than ERA-I and ERA5 from ECMWF in January 384

2017. Surprisingly, ETS of ERA5_fromECMWF for January in 2017 is the lowest among all
the results compared and is even lower than that of ERA-I_fromECMWF.

Since the precipitation reforecasts from ECMWF have not only coarser resolutions but 387 also different forecast model (i.e., the forecasting system of ECMWF), the precipitation 388 389 forecasts of ERA5 and ERA-I are additionally produced by using the same forecast model with the same resolution as AdvHG and E3DVAR in this study, as explained in section 2.4. For 390 391 January 2017 (Fig. 78a), ETS of ERA5 (i.e., WRF-based ERA5) is higher than that of 392 ERA5 fromECMWF for all thresholds, whereas ETS of ERA-I (i.e., WRF-based ERA-I) is lower than that of ERA-I fromECMWF except for strong-high thresholds (8 and 16 mm (6 h)⁻ 393 1). The ERA5 ETS is greater than the ERA-I ETS, but is smaller than the AdvHG ETS. The 394 AdvHG shows the greatest ETS among others with the same resolution and forecast model, 395 396 and E3DVAR, ERA5, and ERA-I follow.

Regarding FBI in winter (Fig. 78b), for strong thresholds for 4, 8, and 16 mm (6 h)⁻¹ 397 thresholds, all the results show the FBI smaller than 1, implying the underestimation of 398 399 frequency of precipitation for strong thresholds for high-threshold events. While FBIs of 400 ERA5 fromECMWF and ERA-I fromECMWF are greater than 1 for weak thresholds, those 401 WRF-based results are similar to 1 or smaller than 1. In general, AdvHG shows the FBI closest to 1 among all the results, which is consistent with the greatest ETS of AdvHG. The E3DVAR 402 403 FBI is similar to the AdvHG FBI, and ERA5 and ERA-I FBIs are similar to each other. FBIs 404 of ERA5 and ERA-I are smaller than those of AdvHG and E3DVAR.

Meanwhile, overall, the ETS values for January whose maximum is around 0.4 (Fig. 7<u>8</u>a) are much greater than those for July in 2017 whose maximum is around 0.2 (Fig. 7<u>8</u>c), implying that the precipitation forecast in summer is more difficult than that in winter. The ETS difference between the results in July is smaller than those in January. Particularly, for the thresholds 4 and 8 mm (6 h)⁻¹, ETSs in July are similar to each other (Fig. 7<u>8</u>c). Except for

those two thresholds, the ETS of ERA-I fromECMWF is the smallest. At the threshold 16 mm 410 (6 h)⁻¹, ERA5 ETS is the highest, followed by AdvHG, E3DVAR, ERA-I, ERA5 fromECMWF, 411 and ERA-I fromECMWF. At the threshold 0.5 and 1 mm (6 h)⁻¹, the E3DVAR ETS is the 412 greatest, followed by ERA5, AdvHG, ERA5 fromECMWF, ERA-I, and ERA-I fromECMWF. 413 414 With respect to FBI in July 2017, the WRF-based results show the FBIs greater than 1, whereas reforecast from ECMWF show the FBIs greater than 1 for weak-0.5, 1, and 4 mm (6 415 h)⁻¹ thresholds and smaller than 1 for higher thresholds (8 and 16 mm (6 h)⁻¹) strong thresholds 416 417 (Fig. 78d). For July in 2017, in general, ERA5 fromECMWF FBI is the closest to 1, followed by E3DVAR, AdvHG, ERA5, ERA-I, and ERA-I fromECMWF FBI. 418

419 *4.2.1.2 Probability of detection and False alarm ratio*

The Probability of Detection (POD or Hit Rate) and False Alarm Ratio (FAR) are 420 calculated for precipitation simulated from E3DVAR, AdvHG, WRF-based ERA-I, WRF-421 based ERA5, ERA-I fromECMWF, and ERA5 fromECMWF for January and July in 2017 422 (Fig. <u>89</u>). For January in 2017, AdvHG POD is the greatest among the WRF-based results, 423 424 followed by E3DVAR, ERA5, and ERA-I (Figs. 89a). Overall, the results of reforecast from 425 ECMWF (i.e., ERA-I fromECMWF and ERA5 fromECMWF) have greater POD than the WRF-based POD for weak thresholds, whereas those have smaller POD than the WRF-based 426 POD for strong thresholds. Regarding FAR, notably, ERA5_fromECMWF shows extremely 427 428 great FAR and ERA5 shows the smallest FAR among all the results, which is a consistent result with the smallest ETS of ERA5 fromECMWF. In addition to the lowest ETS of 429 ERA5 fromECMWF for January in 2017 as discussed in the section 4.2.1.1, FAR of 430 431 ERA5 fromECMWF is extremely high with low POD in winter. Therefore, especially for 432 January in 2017, the precipitation fields simulated from ERA5 fromECMWF over East Asia are much less accurate than any other results from this study. Therefore, especially for January 433 434 in 2017, the precipitation fields simulated from EARR (AdvHG) over East Asia are a lot more

435 accurate than those from ERA5 fromECMWF.

For July in 2017, generally, ERA5 shows the largest POD, followed by AdvHG, ERA-I, 436 E3DVAR, ERA5 fromECMWF (Figs. 8c and d). For July in 2017, generally, AdvHG shows 437 the largest POD, except for ERA5 (Fig. 9c). The ERA-I POD shows the largest POD for weak 438 439 thresholds and the smallest POD for strong thresholds, compared to other results. With respect to FAR, FAR values in July is are much greater than those in January, which is consistent with 440 441 the ETS difference between these two seasons. Overall, for strong thresholds, ERA-I shows 442 the highest FAR and ERA-I fromECMWF shows the smallest FAR. For weak thresholds, the ERA-I fromECMWF shows the highest FAR and E3DVAR shows the smallest FAR among 443 all the results. 444

445

446 *4.2.1.3 Brier skill score*

The neighborhood sizes are chosen to be $3\Delta x$, $5\Delta x$, $9\Delta x$, and $11\Delta x$, which are 36, 60, 447 108, and 132 km, respectively, and the thresholds 0.5, 1, 4, 8, and 16 mm (6 h)⁻¹ are considered. 448 The probabilistic precipitation forecasts are calculated at every model grid point depending on 449 neighborhood sizes and thresholds. Regarding each observation, the nearest model grid point 450 to observations is considered as the center of neighborhood. For verification, 6 h accumulated 451 452 precipitation fields are extracted from the first 0-6 h forecast fields of WRF-based ERA-I, WRF-based ERA5, E3DVAR, and AdvHG every 6 h (00, 06, 12, and 18 UTC). BSSs of 453 ERA5 fromECMWF and ERA-I fromECMWF are not calculated, because they have different 454 455 resolution from WRF-based results.

Based on the neighborhood approach, Brier skill score (BSS) is calculated depending on different neighborhood sizes for January and July in 2017, respectively (Fig. <u>910</u>). Because the reference of Brier score is chosen as the ERA-I, the positive BSS implies better accuracy than ERA-I. In general, for both two months, AdvHG BSS is greater than ERA5 BSS. Although the 460 E3DVAR BSS is the greatest in July 2017, the AdvHG BSS is the greatest in January 2017.

For January in 2017, as a neighborhood size increases, AdvHG and E3DVAR BSSs tend
to increase except for ERA5. Overall, AdvHG BSS is the greatest among other BSSs for all
thresholds for all neighborhood sizes. The ERA5 BSS is greater than E3DVAR BSS except for
16 mm (6 h)⁻¹. The highest BSS of AdvHG and the lowest BSS of ERA-I are consistent with
ETS result. Unlike greater E3DVAR ETS than ERA5 ETS, ERA5 BSS is greater than E3DVAR
BSS in January 2017.

For July 2017, while the ETS difference between the WRF-based results is not distinct 467 (Fig. 78c), the BSS difference is rather noticeable. Generally, E3DVAR BSS is the greatest 468 among other BSSs for all thresholds except for $16 \text{ mm} (6 \text{ h})^{-1}$ for neighborhood sizes 9 and 11. 469 Although E3DVAR BSS is the largest, AdvHG outperforms ERA5 and ERA-I. The worst 470 performance of ERA-I precipitation is consistent with ETS result. At weak-0.5, 1, and 4 mm (6 471 h)⁻¹ thresholds, E3DVAR BSS is the greatest, which is similar to ETS. For strong At 8 and 16 472 473 <u>mm (6 h)⁻¹</u>thresholds, ERA5 ETS is the highest, followed by AdvHG and E3DVAR, whereas 474 overall E3DVAR BSS is the highest, followed by AdvHG and ERA5.

475 4.2.2 Spatial distribution

476 *4.2.2.1* 6 h accumulated precipitation with the pattern correlation coefficient

In this section, the spatial distributions of 6 h accumulated precipitation from the WRFbased forecast and reforecast from ECMWF are compared. In addition, pattern correlation
coefficients (PCC) are calculated and shown at the bottom right of Figs. 10 and 11 and 12.

The PCC is computed according to the usual Pearson correlation operating on the N observed point pairs of 6 h accumulated precipitation fields simulated from (re)forecast and observations at the specific time. For the calculation of PCC, 6 h accumulated precipitation fields from (re)forecast fields are interpolated bilinearly to the N observed points.

484

Firstly, on 29th and 30th of January in 2017 (Fig. 10), it is noticeable that the precipitation

485	of ERA5_fromECMWF does not match observations well over East Asia compared to other
486	simulated precipitation fields. As shown in Fig. 10g, ERA5_fromECMWF incorrectly
487	simulates precipitation over South East China, whereas other results do not forecast
488	precipitation over this area. In addition, ERA5_fromECMWF overestimates precipitation over
489	inland area of China (Fig. 10zz), whereas other results simulate precipitation similar to
490	observations regarding its position and intensity. ERA5_fromECMWF also shows noticeably
491	smaller PCC (Figs. 10g, n, and zz). Although PCC does not represent the exact accuracy or
492	predictability of precipitation, the overall feature of PCC is consistent with the results found so
493	far. In particular, PCCs of ERA5_fromECMWF are much smaller than those of other
494	precipitation fields. For January in 2017, the averaged PCC of AdvHG is the greatest (i.e., 0.61)
495	and that of ERA5_fromECMWF is the smallest (i.e., 0.46) (not shown). Firstly, on 29th and
496	30th of January in 2017 (Fig. 11), it is noticeable that the precipitation fields of AdvHG match
497	observations well over East Asia, whereas, in particular, those of ERA5_fromECMWF do not.
498	For example, ERA5_fromECMWF overestimates precipitation over inland area of China (Fig.
499	11zz), while AdvHG simulates precipitation similar to observations regarding its position and
500	intensity (Fig. 11x). ERA5_fromECMWF also shows noticeably smaller PCC (Figs. 11g, n,
501	and zz). Although PCC does not represent the exact accuracy or predictability of precipitation,
502	the overall feature of PCC is consistent with the results found so far. For January in 2017, the
503	averaged PCC of AdvHG is the greatest (i.e., 0.61) and that of ERA5_fromECMWF is the
504	smallest (i.e., 0.46) (not shown).
505	Secondly, for 1 st and 2 nd of July in 2017 (Fig. 11), overall, the precipitation simulated from
506	ERA5_fromECMWF is well represented, compared to January in 2017 shown in Fig. 10. The
507	ERA-I_fromECMWF fails to simulate heavy rain for summer season due to its coarse

- 508 resolution. Furthermore, during July in 2017, ERA5 and ERA-I simulate heavier precipitation
- 509 than AdvHG (not shown), which is consistent with larger FBI of ERA5 and ERA-I at strong

thresholds. For 1st and 2nd of July in 2017 (Fig. 12), in general, AdvHG, E3DVAR, and ERA5 510 511 well simulate not only overall features of precipitation fields but also their intensity. During 512 July in 2017, ERA5 and ERA-I simulate heavier precipitation than AdvHG (not shown), which 513 is consistent with larger FBI of ERA5 and ERA-I at higher thresholds. For one-month period of July in 2017, the averaged PCC of ERA5 is the greatest (i.e., 0.37) and that of AdvHG is 514 0.34, but the PCC difference between ERA5 and AdvHG is not distinctive. Moreover, the 515 overall range of averaged PCC of different datasets in summer (i.e., 0.29-0.35) is smaller than 516 that in winter (i.e., 0.46-0.61), which is consistent with the seasonal difference of ETS in this 517 518 study.

519 *4.2.2.2 Monthly accumulated precipitation*

In this section, the monthly accumulated precipitation fields of rain gauge based observations, E3DVAR, AdvHG, ERA-I, ERA5, ERA-I_fromECMWF, and ERA5_fromECMWF are compared to each other for two one-month periods in January and July in 2017, respectively.

524 Although all the results similarly represent overall features of precipitation in January (Fig. 525 12), ERA5 fromECMWF (Fig. 12g) simulates the overestimated precipitation over South China, compared to other results and observations, which is consistent with the results in the 526 previous section as well as its larger FBI at weak thresholds shown in Fig. 7b. It is noticeable 527 528 that all results fail to represent the observed precipitation area over Tibetan Plateau (25° 40°N, 529 95-105°E). The monthly accumulated precipitation fields simulated by E3DVAR and AdvHG (Figs. 12b and c) are similar to each other, and E3DVAR and AdvHG produce the best fit to 530 531 observed fields. Especially, for the north-western part of Japan (e.g., Chugoku and Kinki), E3DVAR and AdvHG are able to represent precipitation correctly, whereas ERA-532 I fromECMWF and ERA5 fromECMWF fail to do so (Fig. 12). The monthly accumulated 533 precipitation fields simulated by E3DVAR and AdvHG (Figs. 13b and c) are similar to each 534

535	other, and E3DVAR and AdvHG produce the best fit to observed fields. Especially, for the
536	north-western part of Japan (e.g., Chugoku and Kinki), E3DVAR and AdvHG are able to
537	represent precipitation correctly, whereas ERA-I_fromECMWF and ERA5_fromECMWF fail
538	to do so (Fig. 13). Moreover, although all the results similarly represent overall features of
539	precipitation in January (Fig. 13), ERA5_fromECMWF (Fig. 13g) simulates the overestimated
540	precipitation over South China, which is consistent with the results in the previous section as
541	well as its larger FBI at lower thresholds (0.5 and 1 mm (6 h) ⁻¹) shown in Fig. 8b. It is noticeable
542	that all results fail to represent the observed precipitation area over Tibetan Plateau (25°-40°N,
543	<u>95°–105°E).</u>
544	For the monthly accumulated precipitation in July 2017, overall, the ERA5_fromECMWF
545	(Fig. 143g) and the WRF-based results (Fig. 143b, c, and e) except for ERA-I (Fig. 134d) well
546	simulate precipitation similar to observations. ERA-I_fromECMWF is not able to simulate
547	heavy precipitation over Korea. For western and southern part of Japan, while ERA-
548	I_fromECMWF and ERA5_fromECMWF simulate similar precipitation fields to observed
549	fields, WRF-based results overestimate precipitation over these regions. Compared to ERA-
550	I_fromECMWF and ERA5_fromECMWF, the WRF-based results tend to overestimate
551	precipitation in South China, Korea, and Japan. This is consistent with the result in Fig. 7d, in
552	which FBIs from WRF-based results are generally greater than 1 for strong thresholds, whereas
553	those from ECMWF are smaller than 1. The WRF-based results including AdvHG overestimate
554	precipitation over western and southern part of Japan, while ERA-I_fromECMWF and
555	ERA5_fromECMWF simulate similar precipitation fields to observed fields. The WRF-based
556	results tend to overestimate precipitation in South China, Korea, and Japan, compared to ERA-
557	I_fromECMWF and ERA5_fromECMWF. This is consistent with the result in Fig. 8d, in which
558	FBIs from WRF-based results are generally greater than for higher thresholds (8 and 16 mm (6
559	<u>h)⁻¹), whereas those from ECMWF are smaller than 1.</u>

560 Even though detailed precipitation features of WRF-based results are different, overall features of precipitation from WRF-based results are similar to each other, which implies that 561 562 predictability of precipitation strongly depends on the physics schemes as well as NWP model, especially for summer season. According to Que et al. (2016), depending on the combinations 563 564 of physics options in WRF model, the spatial distribution of precipitation can be significantly different over Asian summer monsoon area and YSU PBL scheme which is used in this study 565 tends to overestimate precipitation over the same area. Thus, different physics options could 566 simulate the different spatial distribution of precipitation. 567

In addition, compared to ERA5 based on WRF model (Fig. 1<u>34</u>e), ECMWF model for ERA5_fromECMWF (Fig. 1<u>34</u>g) seems to suppress precipitation. Thus, WRF model with the physics schemes used in this study might simulate more precipitation than ECMWF model, although the initial condition is the same. Therefore, it is important to consider the consistency of the systems for data assimilation and forecast model for a good performance of precipitation. forecast weather variables like precipitation.

574 **5. Data Availability**

The EARR data presented in this study are available every 6 h (i.e., 00, 06, 12, and 18 575 UTC) for the period of 2010-2019 from Harvard Dataverse Repository 576 (https://dataverse.harvard.edu/dataverse/EARR). The EARR 6 hourly data on pressure levels 577 (https://doi.org/10.7910/DVN/7P8MZT, Yang and Kim 2021b) and 6 hourly precipitation data 578 (https://doi.org/10.7910/DVN/Q07VRC, Yang and Kim 2021c) are provided in NetCDF file 579 format. 580

The EARR 6 hourly data on pressure levels (Yang and Kim 2021b) include u-component of wind, v-component of wind, temperature, geopotential height, and specific humidity variables of reanalysis on pressure levels (i.e., 925, 850, 700, 500, 300, 200, 100, and 50 hPa).

The EARR 6 hourly precipitation data (Yang and Kim 2021c) contain 6 h accumulated total precipitation variable of 6 h reforecast on single level. The 6 h accumulated total precipitation is obtained from 6 h reforecast field which is integrated for 6 h from reanalysis field every 6 h (i.e., 00, 06, 12 and 18 UTC).

588 6. Summary and conclusions

589 In this study, to develop the regional reanalysis system over East Asia, the advanced hybrid gain algorithm (AdvHG) is newly proposed and evaluated with traditional hybrid DA 590 method (E3DVAR) as well as existing reanalyses from ECMWF (ERA5 and ERA-I) for 591 January and July in 2017. The East Asia Regional Reanalysis (EARR) system is developed 592 593 based on the AdvHG as the data assimilation method using WRF model and conventional observations, and the high-resolution regional reanalysis and reforecast fields with 12 km 594 horizontal resolution are produced over East Asia for the ten-year period of 2010 2019. The 595 596 East Asia Regional Reanalysis (EARR) system is developed based on the AdvHG as the data 597 assimilation method using WRF model and conventional observations. The high-resolution regional reanalysis and reforecast fields over East Asia with 12 km horizontal resolution are 598 599 produced and evaluated against observations with ERA5 for the ten-year period of 2010–2019. 600 The AdvHG newly proposed in this study is based on the hybrid gain approach, weighting analysies from variational-based and ensemble-based DA algorithms to generate optimal 601 602 hybrid analysis, which can play an important role as a simple and practical method in the 603 foreseeable future to take advantage of each strength of two different DA methods. The advanced hybrid gain method is different from the hybrid gain approach in that 1) E3DVAR is 604 605 used instead of EnKF, 2) 6 h forecast of ERA5 is used instead of deterministic analysis for a more balanced and consistent analysis with WRF model, and 3) the pre-existing and state-of-606 607 the-art reanalysis data (i.e. ERA5) is simply used instead of producing our own analysis fields

from a variational DA method. Thus, it can be regarded as an efficient approach to generate regional reanalysis dataset because of cost savings as well as the use of the state-of-the-art reanalysis from ECMWF that assimilates all available observations.

For a verification, the latest ECMWF reanalysis and reforecast datasets (i.e., ERA5 and ERA-I) are used. With respect to forecast variables, two different forecast fields of ECWMF are used: 1) reforecast fields from ECMWF (i.e., ERA5_fromECMWF and ERA-I_fromECMWF) and 2) forecast fields (i.e., WRF-based ERA5 and WRF-based ERA-I) integrated in WRF model with 12 km resolution using ERA5 and ERA-I as initial conditions.

To evaluate this newly proposed algorithm, analysis and forecast wind, temperature, and 616 humidity variables are evaluated with respect to RMSE and spread for January and July in 2017. 617 618 Analysis and forecast wind, temperature, and humidity variables of AdvHG are evaluated with 619 ERA5 for the ten-year period and evaluated with five different experiments (i.e., E3DVAR, ERA5, ERA-I, ERA5 fromECMWF, ERA-I fromECMWF) for January and July in 2017. 620 Overall, the analysis RMSE of E3DVAR is the smallest among others but comparable to that 621 622 of ERA5, especially for January in 2017. Regarding forecast variables, AdvHG outperforms 623 E3DVAR and ERA5 outperforms ERA-I for January and July in 2017. Although ERA5 624 outperforms AdvHG for upper air variables for two seasons in 2017, AdvHG outperforms ERA-I in January and shows comparable performance to ERA-I in July. Additionally the 625 626 verification results of AdvHG and ERA5 for the period of 2010-20197-18 are consistent with 627 those for two one-month period in 2017.

The precipitation forecast variables are also verified regarding a neighborhood-based verification score (i.e., Brier skill score) as well as the point-based verification scores (i.e., ETS, FBI, POD, and FAR). According to the point-based verification scores, the precipitation forecast of AdvHG in January is the most accurate, followed by E3DVAR, ERA5, ERA-I. The precipitation reforecast of ERA5 fromECMWF shows the worst performance with the lowest 633 ETS and the highest FAR among other results in January. For July, overall ETS values of all 634 results are relatively lower compared to those in January, implying the lower predictability in summer season. For July, ERA5 shows the greatest ETS for strong thresholds followed by 635 AdvHG and E3DVAR, and E3DVAR ETS is the greatest followed by ERA5 and AdvHG for 636 637 weak thresholds. However, the ETS differences between the results are not distinctive. In addition, the ETS differences between the results are not distinctive in July. For higher 638 thresholds (8 and 16 mm $(6 h)^{-1}$) in July, AdvHG ETS is greater than E3DVAR ETS and smaller 639 640 than ERA5 ETS, whereas E3DVAR ETS is the greatest followed by ERA5 and AdvHG for lower thresholds (0.5 and 1 mm (6 h)⁻¹). 641

To prevent from double penalty when verifying a highly variable data with high resolution (e.g., precipitation), Brier skill score (BSS) based on neighborhood approach is calculated for 644 6 h accumulated precipitation forecasts depending on different neighborhood sizes for January 645 and July in 2017. In general, BSS of AdvHG is greater than that of ERA5 and ERA-I for both 646 two months. Although the E3DVAR BSS is the greatest in July 2017, the AdvHG BSS is the 647 greatest in January 2017.

Lastly, the spatial distributions of 6 h and monthly accumulated precipitation forecast for 648 AdvHG, E3DVAR, ERA-I, ERA5, ERA-I fromECMWF, and ERA5 fromECMWF are 649 compared with rain-gauge based observations. For January 2017, it is noticeable that AdvHG 650 651 precipitation is the closest to observations with highest PCC (i.e., 0.61) and 652 ERA5 fromECMWF overestimates precipitation over South China with the lowest PCC (i.e., 0.46). For July in 2017, due to a coarse resolution of ERA-I fromECMWF, it fails to represent 653 heavy rain over East Asia. Meanwhile, the WRF-based results tend to overestimate 654 precipitation compared to ERA-I fromECMWF and ERA5 fromECMWF. For July in 2017, 655 the WRF-based results tend to overestimate precipitation compared to ERA-I fromECMWF 656 657 and ERA5 from ECMWF. In addition, even though the averaged PCC of ERA5 (i.e., 0.37) is

slightly greater than that of AdvHG (i.e., 0.34), the PCC difference between ERA5 and AdvHG
is not distinctive and overall range of averaged PCC of all datasets in summer (i.e., 0.29-0.357)
is smaller than that in winter (i.e., 0.46-0.6).

In conclusion, for upper air variables, overall, ERA5 outperforms EARR based on AdvHG, 661 662 but the RMSE difference between ERA5 and EARR (AdvHG) is smaller than that between ERA5 and ERA-I. In addition, EARR outperforms ERA-I for January 2017 and shows 663 comparable performance to ERA-I for July 2017. On the contrary, according to the evaluation 664 results of precipitation, in general, EARR better represents precipitation than ERA5 as well as 665 ERA5 fromECMWF for January and July in 2017. Even if E3DVAR precipitation is better 666 represented than EARR precipitation for July, the difference is not considerable for July and 667 EARR better simulates precipitation for January than E3DVAR. Therefore, although the 668 uncertainties of upper air variables of EARR should be considered when analyzing them, the 669 precipitation reforecast of EARR is more accurate than that of ERA5 for both two seasons. 670

671

672 Author contribution

Hyun Mee Kim proposed the main scientific ideas and Eun-Gyeong Yang contributed the supplementary ideas during the process. Eun-Gyeong Yang developed the reanalysis system and produced the 10-year regional reanalysis data. Eun-Gyeong Yang and Hyun Mee Kim analyzed the simulation results and completed the manuscript. Dae-Hui Kim contributed to analyzing the reanalysis data and to the preparation of software and computing resources for the reanalysis system.

679

680 **Competing interests**

681 The authors declare that they have no competing interests.

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900 **Table caption**

901 Table 1. Model configuration.

- 902 <u>Table 2. Summary of observations used in this study. The default observation error statistics</u>
- 903 provided in WRFDA system are used for assimilation in this study. The variables u, v, T, RH,
- 904 Ps, and TPW denote zonal wind, meridional wind, temperature, relative humidity, surface
- 905 pressure, and total precipitable water, respectively.
- 906 <u>Table 3. (Re)analyses and (re)forecasts and corresponding experiments used in this study.</u>
- 907 Table $\frac{24}{2}$. The 2 × 2 contingency table for dichotomous (yes-no) events.

909	Figure	contion
909	riguie	caption

- 910 Figure 1. The model domain over East Asia with verification area (black dashed box).
- 911 Figure 1. The East Asia Regional Reanalysis domain with different types of NCEP PrepBUFR
- 912 <u>observations available for assimilation at 00 UTC on 1st of January in 2017. The black dashed</u>
- 913 <u>box denotes a verification area.</u>
- 914 Figure 2. The schematic diagram of the advanced hybrid gain data assimilation method in the
 915 East Asia regional reanalysis system.
- 916 Figure <u>23</u>. RMSEs of analysis of (a,b) zonal wind, (c,d) meridional wind, (e,f) temperature,
- 917 and (g,h) Qvapor (water vapor mixing ratio) from ERA-I (black dashed), ERA5 (black solid),
- E3DVAR (blue dashed), AdvHG (blue solid) depending on pressure levels for (left) January
 and (right) July in 2017.
- 920 Figure 34. Same as Fig. 23 except for 24 h forecast.
- 921 Figure 4<u>5</u>. Same as Fig. 23 except for 36 h forecast.
- Figure <u>56</u>. RMSEs of analysis of (a) zonal wind, (b) meridional wind, (c) temperature, and (d) Qvapor (water vapor mixing ratio) from ERA5 (black solid) and AdvHG (blue solid) and spreads of analysis (black dashed) and 6 h forecast (gray dashed) of AdvHG depending on pressure levels averaged over the two-year period of 2017–2018.
- 926 Figure <u>67</u>. Same as Fig. <u>56</u> except for RMSE of 24 h forecast.
- Figure 78. (a,c) ETS and (b,d) FBI for (a,b) January and (c,d) July in 2017 depending on thresholds 0.5, 1, 4, 8, and 16 mm (6 h)⁻¹.
- 929 Figure 89. (a,c) POD and (b,d) FAR for (a,b) January and (c,d) July in 2017 depending on
- 930 thresholds 0.5, 1, 4, 8, and 16 mm $(6 h)^{-1}$.

Figure <u>910</u>. Brier skill score of the probabilistic postprocessed forecast with reference to the
WRF-based ERA-I for (a-d) January and (e-h) July in 2017 (Blue solid: AdvHG, blue dashed:
E3DVAR, red solid: WRF-based ERA5).

Figure 1011. The spatial distribution of 6 h accumulated precipitation of (1^{st} column)

observation, (2nd column) E3DVAR, (3rd column) AdvHG, (4th column) ERA-I, (5th column)

936 ERA5, (6th column) ERA-I_fromECMWF, and (7th column) ERA5_fromECMWF and the

pattern correlation coefficient (PCC) shown at the bottom right of each figure at valid time (1^{st})

low, 3rd low) 06 UTC and (2nd low, 4th low) 18 UTC on 29th and 30th of January in 2017.

939 Figure 112. As in Fig. 1011, but for 1st and 2nd of July in 2017.

Figure <u>1213</u>. The spatial distribution of the monthly accumulated precipitation of (a)
observations, (b) E3DVAR, (c) AdvHG, (d) ERA-I, (e) ERA5, (f) ERA-I from ECMWF, and
(g) ERA5 from ECMWF for January 2017.

943 Figure <u>1314</u>. As in Fig. <u>1213</u>, but for July 2017.

945 Table 1. Model configuration

	Description		
Hori. Resol.	12 km (540×432 grid points)		
Vert. Lev.	50 vertical levels (up to 5 hPa)		
Model	WRF Model (v3.7.1, Skamarock et al. 2008)		
LBC	ERA5 (Hersbach et al. 2020)		
Data assimilation	E3DVAR (Zhang et al. 2013), Adanced hybrid gain method		
Microphysics	Thompson scheme (Thompson et al. 2008)		
Cumulus convection Grell–Freitas ensemble scheme (Grell and Freit			
PBL	Yonsei University scheme (Hong et al. 2006)		
Radiation	Rapid Radiative Transfer Model (RRTMG) scheme (Iacono et al. 2008)		
Surface layer	Revised MM5 Monin-Obukhov scheme (Jiménez et al. 2012)		
Surface model Unified Noah Land Surface Model (Tewari et al. 200			

Table 2. Summary of observations used in this study. The default observation error statistics
provided in WRFDA system are used for assimilation in this study. The variables u, v, T, RH,
Ps, and TPW denote zonal wind, meridional wind, temperature, relative humidity, surface
pressure, and total precipitable water, respectively.

Observations	Descriptions	<u>Variables</u>	Observation errors (depending on vertical levels)
		<u>u, v</u>	<u>1.1-3.3 m/s</u>
<u>SOUND</u>	Upper-air observation from radiosonde	<u>T</u>	<u>1 K</u>
		<u>RH</u>	<u>10-15%</u>
PROFILER	Upper-air wind profile from wind profiler	<u>u, v</u>	<u>2.2-3.2 m/s</u>
<u>PILOT</u>	Upper-air wind profile from pilot balloon or <u>radiosonde</u>	<u>u, v</u>	<u>2.2-3.2 m/s</u>
AIRFP	Upper-air wind and temperature from aircraft	<u>u, v</u>	<u>3.6 m/s</u>
AIRLI	opper-air wind and temperature nom anerant	<u>T</u>	<u>1 K</u>
<u>Scatwind</u>	Scatterometer oceanic surface winds	<u>u, v</u>	<u>2.5-3.8 m/s</u>
		<u>u, v</u>	<u>1.1 m/s</u>
SHIPS	Surface synoptic observation from ship	<u><u> </u></u>	<u>2 K</u>
<u></u>	Surface synoptic observation from snip	<u>Ps</u>	<u>1.6 hPa</u>
		<u>RH</u>	<u>10%</u>
		<u>u, v</u>	<u>1.1 m/s</u>
SYNOP	Surface synoptic observation from land station	<u>T</u>	<u>2 K</u>
		<u>Ps</u>	<u>I hPa</u>
		<u>RH</u>	<u>10%</u>
		<u>u, v</u>	<u>1.4-1.6 m/s</u>
BUOY	Surface synoptic observation from buoy	<u>1</u>	<u>2 K</u>
		Ps DU	<u>0.9-1 hPa</u>
		<u>KH</u>	10%
<u>GPSPW</u>	<u>System (GPS)</u>	<u>TPW</u>	<u>0.2 mm</u>
		<u>u, v</u>	<u>1.1 m/s</u>
METAR	Aviation routine weather report from automatic	<u>T</u>	<u>2 K</u>
	weather station (AWS)	<u>Ps</u>	<u>1 hPa</u>
		<u>RH</u>	<u>10%</u>
AMV	Conventional atmospheric motion vector data from geostationary satellite	<u>u, v</u>	<u>2.5-4.5 m/s</u>

955 <u>Table 3. (Re)analyses and (re)forecasts and corresponding experiments used in this study.</u>

Experiment	(Re)analysis	(Re)forecast
AdvHG (EARR)	Reanalysis from AdvHG	Generated using WRF
<u>E3DVAR</u>	Analysis from E3DVAR	Generated using WRF
WRF-based ERA5	Reanalysis from ERA5	Generated using WRF
WRF-based ERA-I	Reanalysis from ERA-I	Generated using WRF
ERA5_fromECMWF	Reanalysis from ERA5	Downloaded from ECMWF
ERA-I_fromECMWF	Reanalysis from ERA-I	Downloaded from ECMWF

957	Table <mark>24</mark> . The	$e 2 \times 2$	contingency	table for	dichotomous	(yes-no)	events.
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Forecast	0		
	Yes	No	
Yes	Hits (A)	False alarms (B)	A + B
No	Misses (C)	Correct rejections (D)	C + D
	A + C	B + D	Total = A + B + C + D

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961 Figure 1. The model domain over East Asia with verification area (black dashed box).



Figure 1. The East Asia Regional Reanalysis domain with different types of NCEP PrepBUFR
 observations available for assimilation at 00 UTC on 1st of January in 2017. The black dashed
 box denotes a verification area.





Figure 2. RMSEs of analysis of (a,b) zonal wind, (c,d) meridional wind, (e,f) temperature, and
(g,h) Qvapor (water vapor mixing ratio) from ERA-I (black dashed), ERA5 (black solid),
E3DVAR (blue dashed), AdvHG (blue solid) depending on pressure levels for (left) January
and (right) July in 2017.



977 Figure 3. RMSEs of analysis of (a,b) zonal wind, (c,d) meridional wind, (e,f) temperature, and
978 (g,h) Qvapor (water vapor mixing ratio) from ERA-I (black dashed), ERA5 (black solid),
979 E3DVAR (blue dashed), AdvHG (blue solid) depending on pressure levels for (left) January
980 and (right) July in 2017.





Figure 3. Same as Fig. 2 except for 24 h forecast.



984 Figure 4. Same as Fig. 3 except for 24 h forecast.





Figure 4. Same as Fig. 2except for 36 h forecast.







Figure 5. RMSEs of analysis of (a) zonal wind, (b) meridional wind, (c) temperature, and (d)
 Qvapor (water vapor mixing ratio) from ERA5 (black solid) and AdvHG (blue solid) and
 spreads of analysis (black dashed) and 6 h forecast (gray dashed) of AdvHG depending on
 pressure levels averaged over the two-year period of 2017–2018.



997 Qvapor (water vapor mixing ratio) from ERA5 (black solid) and AdvHG (blue solid) and
 998 spreads of analysis (black dashed) and 6 h forecast (gray dashed) of AdvHG depending on
 999 pressure levels averaged over the ten-year period of 2010–2019.









Figure 78. (a,c) ETS and (b,d) FBI for (a,b) January and (c,d) July in 2017 depending on thresholds 0.5, 1, 4, 8, and 16 mm (6 h)⁻¹.



Figure 89. (a,c) POD and (b,d) FAR for (a,b) January and (c,d) July in 2017 depending on1013thresholds 0.5, 1, 4, 8, and 16 mm (6 h)⁻¹.





1016 Figure <u>910</u>. Brier skill score of the probabilistic postprocessed forecast with reference to the

1017 WRF-based ERA-I for (a-d) January and (e-h) July in 2017 (Blue solid: AdvHG, blue dashed:

1018 E3DVAR, red solid: WRF-based ERA5).



Figure <u>1011</u>. The spatial distribution of 6 h accumulated precipitation of (1st column) observation, (2nd column) E3DVAR, (3rd column) AdvHG, (4th column) ERA-I, (5th column) ERA5, (6th column) ERA-I_fromECMWF, and (7th column) ERA5_fromECMWF and the pattern correlation coefficient (PCC) shown at the bottom right of each figure at valid time (1st low, 3rd low) 06 UTC and (2nd low, 4th low) 18 UTC on 29th and 30th of January in 2017.



1028 Figure <u>112</u>. As in Fig. <u>1011</u> \rightarrow 11, but for 1st and 2nd of July in 2017.



Figure <u>1213</u>. The spatial distribution of the monthly accumulated precipitation of (a) observations, (b) E3DVAR, (c) AdvHG, (d) ERA-I, (e) ERA5, (f) ERA-I from ECMWF, and (g) ERA5 from ECMWF for January 2017.



1034 Figure <u>1314</u>. As in Fig. <u>1213</u>, but for July 2017.