



# Global Balanced Wind Derived from SABER Temperature and Pressure Observations and its Validations

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**Abstract.** Zonal winds in the stratosphere and mesosphere play important roles in the atmospheric dynamics and aeronomy. However, the direct measurement of winds in this height range is difficult. We present a dataset of the monthly mean zonal wind in the height range of 18-100 km and at latitudes of 50°S-50°N from 2002 to 2019, which is derived by the gradient

- 20 balance wind theory and the temperature and pressure observed by the SABER instrument. The tide alias above 80 km at the equator is replaced by the monthly mean zonal wind measured by a meteor radar at 0.2°S. The dataset (named as BU) is validated by comparing with the zonal wind from MERRA2 (MerU), UARP (UraU), HWM14 empirical model (HwmU), meteor radar (MetU) and lidar (LidU) at seven stations from 53.5°N to 29.7°S. At 18-70 km, BU and MerU have (1) nearly identical zero wind lines, (2) year-to-year variations of the eastward/westward wind jets at middle and high latitudes, (3) the
- 25 quasi-biennial oscillation (QBO) and semi-annual oscillation (SAO), especially the anormal QBO in early 2016. The comparisons among BU, UraU and HwmU show good agreement in general below 80 km. Above 80 km, the agreements among BU, UraU, HwmU, MetU and LidU are good in general, except some discrepancies at limited heights and months. The BU data are archived as netCDF files and can be available at <a href="https://dx.doi.org/10.12176/01.99.00574">https://dx.doi.org/10.12176/01.99.00574</a> (Liu et al., 2021).

## **1** Introduction

30 Zonal mean zonal wind in the middle and upper atmosphere is critical to the propagation and dissipation (filters or prohibits) of the atmospheric waves (e.g., gravity waves, tides and planetary waves) while the waves propagate against or along the zonal

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winds (Forbes, 1995; Fritts and Alexander, 2003). On the other hand, the waves dissipate their energy and momentum in the mean flow and accelerate or decelerate the mean wind. This changes the atmospheric thermal and wind structures and even reverse the wind directions (McLandress, 1998; Zhang and Shepherd, 2005; Watanabe and Miyahara, 2009; Liu et al., 2014;

35 Becker and Vadas, 2018). Thus, the zonal wind climatology and the mean wind-waves interactions are important topics in atmosphere dynamics.

Ground-based observations (e.g., radiosondes, rockets, Fabry-Perot interferometers, radars and lidars, etc.) have a long history of wind measurements. They provide horizontal winds in a limited altitude range and/or locations, but have a wide and even full local time (LT) coverage. On the other hand, satellite observations provide global observations of winds in the middle and

- 40 upper atmosphere in a limited LT or height ranges. For example, the High Resolution Doppler Imager (HRDI) on the Upper Atmosphere Research Satellite (UARS) observed the winds in 10-40 km and 65-110 km of during day time from 1991 to 1999 (Hays et al., 1993). The Wind Imaging Interferometer (WINDII) on the UARS observed the winds in 90-120 km during both day and night times from 1991 to 1997 (Shepherd et al., 2012; Zhang and Shepherd, 2005). Onboard the Thermosphere, Ionosphere, Mesosphere Energetics and Dynamics (TIMED) satellite, the TIMED Doppler Interferometer (TIDI) observed
- 45 wind in 70-115 km during day and 80-105 km during night since 2002 (Killeen et al., 2006). The TIDI wind data are mainly used to study tides and planetary waves due to the uncertainties in its absolute zero wind (Gu et al., 2013; Niciejewski et al. 2006; Wu et al., 2006; 2008). On September 10<sup>th</sup>, 2019, NASA's Ionospheric Connection Explorer (ICON) was launched to study the earth's ionosphere (Immel et al., 2018). Two Michelson Interferometer for Global High-Resolution Thermospheric Imaging (MIGHTI) onboard the ICON satellite has two identical sensor units: MIGHTI-A and MIGHTI-B, which can be used
- 50 to retrieve temperature at 90-115 km, the line-of-sight winds and the vector winds at 90-300 km (Englert et al., 2017; Harding et al., 2017; Stevens et al., 2018). These ground-based and satellite observations, as well as rocket soundings are useful to construct empirical wind models, such as the COSPAR International Reference Atmosphere (CIRA-86) (Fleming et al., 1990), the Horizontal Wind Models (HWM) (Drob et al., 2008, 2015; Emmert et al., 2008) and the Upper Atmosphere Research Satellite (UARS) Reference Atmosphere Project (URAP) wind climatology (Swinbank and Ortland, 2003). In addition, these
- 55 observations are useful to constrain the re-analysis wind data, such as the Modern-Era Retrospective analysis for Research and Applications, version 2 (MERRA2) (Gelaro, et al., 2017) and the European Centre for Medium-Range Weather Forecasts (ECMWF) (Hoffmann, et al., 2019). These observations and models greatly improved our knowledge on the winds in the middle and upper atmosphere.

The zonal winds provided by CIRA-86 and UARP are in the sense of global climatology and at 0-120 km and 0-110 km,

- 60 respectively (Fleming et al., 1990, Swinbank and Ortland, 2003). The zonal and meridional winds provided by HWM series are a function of day of the year and LT and from surface to thermosphere (~500 km) (Drob et al., 2008, 2015; Emmert et al., 2008). The zonal winds from these models are useful to study the seasonal variations but not for the variations with periods longer than one year. The zonal winds provided by re-analysis data (e.g., MERRA2 and ERA5, etc.) are in the height range from surface to ~70-80 km and are useful to study the variations with periods from several days to several years (Gelaro, et al., 2008).
- 65 al., 2017; Hoffmann et al., 2019).







Because the direct global measurement of zonal wind in the upper stratosphere and mesosphere is difficult, the balance wind (BU) has been derived by Smith et al. (2017). The gradient wind balance theory (Randel, 1987) and the geopotential height observed by the Aura Microwave Limb Sounder (MLS) (Schwartz et al., 2008) from 2004 to 2016 and the pressure and temperature measured by the Sounding of the Atmosphere Using Broadband Emission Radiometry (SABER) instrument

- 70 (Russell et al., 1999) on the TIMED satellite from 2002 to 2015 were used. They showed the semi-annual oscillations (SAO) of zonal wind and their relations with quasi-biennial oscillations (QBO) in the tropical upper stratosphere and mesosphere. Smith et al. (2017) noted that the BU is reasonable below ~84 km but not above ~84 km. This is because the aliasing of diurnal tide to mean wind is notable above 84 km (Mclandress et al., 2006; Xu et al., 2009).
- The focus of this work is to provide a global dataset of the monthly mean zonal wind (short for BU dataset) at 18-100 km, which is based on the gradient wind balance theory and calculated from the temperature and pressure measured by the SABER instrument. The BU dataset extends from 2002 to 2019 and from 50°S to 50°N. To overcome the unrealistic BU above 84 km over the equator (Smith et al., 2017), we replace the BU above 80 km by the zonal wind measured by the meteor radar at Kototabang (0.20°S, 100.32°E). Therefore, the BU dataset can be used to study the seasonal, inter-annual, QBO of zonal winds in the stratosphere and mesosphere. The validation of the BU dataset will be performed by comparing with those from
- 80 MERRA2, UARP, and meteor radar and lidar observations from 53.5°N to 29.7°S.

## 2 Data and Method

## 2.1 Data Description

MERRA2 is the new version of atmospheric reanalysis dataset developed by NASA's Global Modeling and Assimilation Office (Gelaro, et al., 2017). We use the 72 levels (~0-75 km) assimilated meteorological fields, which have time, longitude

85 and latitude intervals of 3 hours, 0.625° and 0.5°, respectively. The MERRA2 zonal winds are interpolated to uniform vertical grids from 2 km to 72 km with a step of 1 km. Then the MERRA2 zonal wind is averaged in a latitude band of 5° with overlap of 2.5° each month. Such that the monthly zonal mean (MerU) wind can be obtained to validate the BU. The URAP zonal wind (UraU) is based on the winds observed by the HRDI instrument, the stratospheric assimilation data

from the Met Office, and the gradient wind balance calculated from URAP temperature data from April 1992 to March 1993

90 (Swinbank and Ortland, 2003). The UraU mainly represents the period of 1992-1993 and can be used as a reference wind dataset in the climatology sense. The UARP zonal wind (UarU) covers from 1000 hPa (~z=0 km) to 4.6×10<sup>-5</sup> hPa (~z=110 km) and from 80°S to 80°N with equally interval of 4° (Swinbank and Ortland, 2003). HWM14 is the latest version of HWM, which provides the global zonal and meridional winds from the surface to thermosphere

(~500 km) and their variations with LT and day of year (Drob et al., 2015). After setting the longitude to be 0 and changing the LT from 0 to 23 at each day, we get the hourly zonal winds from 18 to 100 km with a step of 1 km and from 50°S to 50°N with latitude interval of 2.5°. Then the daily mean zonal wind is calculated by average the hourly zonal winds in one day.

Finally, we change the day numbers from 1 to 365 to get the monthly mean zonal winds, which is referred to be the HWM14







zonal wind (HwmU). We note that the monthly zonal wind does not depend on longitude since the stationary planetary waves and migrating tides reproduced by HWM14 can be removed on a time scale of one month (Drob et al., 2015).

- 100 The zonal winds measured by meteor radars and lidar are used to improve the BU over the equator and to validate BU at middle and high latitudes. The radars' locations and their data periods are listed in Table 1. For the meteor radars, they measure the zonal and meridional winds at 80-100 km with a vertical interval of 2 km and a temporal interval of 1 hour. The zonal winds measured by these meteor radars are averaged over each calendar month to get the monthly zonal winds (MetU). The MetU spans from 53.5°N to 29.7°S and is useful to compare the BU at 80-100 km and in both the northern (NH) and southern
- 105 hemispheres (SH). Especially, the MetU at KT (0.2°S) can be used to replace the tidal aliased BU over the equator since the aliasing for tides on BU (Mclandress et al., 2006; Xu et al., 2009; Smith et al., 2017). Affected by the weather conditions, the zonal winds measured by the CSU lidar (LidU) from 2002 to 2008 are rearranged in a composite year according to calendar month in 80-100 km with a vertical interval of 0.5 km. The LidU is used to compare with the BU in the climatology sense. The detailed description of the meteor radars and lidar, as well as their measurement uncertainties, can be found in the
- 110 references listed in Table 1.

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The BU is derived from the temperature and pressure profiles (level 2A, version 2.07) measured by the SABER instrument (Russell et al., 1999) from 2002 to 2019. These profiles cover ~15-110 km and latitudes of 53°S-83°N or 83°S-53°N. The temperature accuracy is 1-3 K from 30 to 80 km and 5-10 K from 90 to 100 km (Remsburg et al., 2008). The detailed procedure of deriving BU is described in the next subsection.

## 115 2.2 Method of Deriving Balanced Wind

The method of deriving BU is ascribed to the following two steps. The first step is to derive the zonal mean temperature and pressure. All the original profiles are interpolated linearly to 18-108 km with vertical interval of 1 km. Then these profiles are sorted into latitude bands, which have width of 5° with centers offset by 2.5° and extend from 50°S to 50°N. At each latitude band ( $\varphi$ ) and height (z), the temperature can be expressed as  $T(t_{UT}, \lambda)$  ( $\lambda$  is longitude). Then the zonal mean temperature in each universal time (UT) day  $T_{ZMUT}(t_{ZMLT})$  for the ascending and descending nodes, respectively, can be expressed as,

$$T_{ZMUT}(t_{ZMLT}, z, \varphi) = \frac{1}{2\pi} \int_0^{2\pi} T(t_{UT}, \lambda, z, \varphi) d\lambda, t_{ZMLT}(z, \varphi) = \frac{1}{2\pi} \int_0^{2\pi} t_{LT}(\lambda, z, \varphi) d\lambda.$$
(1)

Thus,  $T_{ZMUT}(t_{ZMLT}, z, \varphi)$  excludes the nonmigrating tides and stationary planetary waves but contains the zonal mean temperature in a LT day ( $T_{ZMLT}(t_{ZMLT}, z, \varphi)$ ), short for  $\overline{T}(z, \varphi)$ ) and migrating tides (Xu et al., 2007; 2014, Gan et al., 2014). It takes about 60 days to get a nearly complete LT coverage for the SABER measurements. Thus,  $T_{ZMLT}(t_{ZMLT}, z, \varphi)$  and

125 migrating tides can be calculated by the least square fitting  $T_{ZMUT}(t_{ZMLT}, z, \varphi)$  in a time window of 60-day and forward 1 day. Here both the ascending and descending data are used for the fitting. The fitting function is expressed as,  $T_{ZMUT}(t_{ZMLT}, z, \varphi) = \overline{T}(z, \varphi) + A_n \cos[n\omega_0(t_{ZMLT} - t_n)].$  (2)



Here,  $\omega_0 = 2\pi/(24 \text{ hour})$ , n = 1, 2, 3, 4 is the frequency (unit of 1/day) of migrating tides.  $A_n$  and  $t_n$  are the amplitude and phase of the migrating tides with frequency of n.  $\overline{T}(z, \varphi)$  is the zonal mean temperature in a LT day. In the same way, the

130 zonal mean pressure  $\bar{p}(z, \varphi)$  can be obtained.

The second step is to calculate the BU from  $\overline{T}$  and  $\overline{p}$ . At 10°N-50°N and 10°S-50°S, the zonal mean of the momentum equation in the zonal direction is used to calculate the gradient balance wind (Randel, 1987; Fleming et al., 1990; Xu et al., 2009),

$$\frac{\bar{u}^2}{a}\tan\varphi + f\bar{u} = -\frac{1}{a\bar{\rho}}\frac{\partial\bar{\rho}}{\partial\varphi}.$$
(3)

Here,  $f = 2\Omega \sin \varphi$  is the Coriolis factor,  $\Omega = 2\pi/(24 \times 60 \times 60)$  is the earth rotation frequency (unit of rad·s-1), *a* is the

radius of the earth.  $\bar{u}$  and  $\bar{\rho} = \bar{p}/R\bar{T}$  are the BU and zonal mean density, respectively. *R* is the gas constant for dry air. Equation (3) can be applied to equatorward of 8°N/S (Smith et al., 2017) and to poleward of 70°N/S (Fleming et al., 1990). We restrict Equation (3) at 10°N-50°N and 10°S-50°S due to the un-continuous sampling of the SABER measurements poleward of 53°N/S.

At the equator, Eq. (3) can be simplified as (Fleming et al., 1990; Swinbank & Ortland, 2003),

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$$\bar{u} = -\frac{1}{2\Omega a\bar{\rho}} \frac{\partial^2 \bar{\rho}}{\partial \phi^2}.$$
 (4)

Here the BU below 80 km is obtained from Eq. (4). Due to the alias of diurnal tide to the BU above 84 km at the equator (Mclandress et al., 2006; Xu et al., 2009; Smith et al., 2017), the BU in 80-100 km calculated by Eq. (4) will be replaced by the MetU at KT ( $0.2^{\circ}$ S). Consequently, the reconstructed BU should be reliable throughout the height ranges from 18 to 100 km. The replaced BU will be described in the next subsection.

145 At 2.5°N-7.5°N and 2.5°S-7.5°S, the BU is estimated by a cubic spline interpolation of the BU at 10°N-50°N, 10°S-50°S and the reconstructed BU at the equator (Smith et al., 2017).

## 2.3 Modification of Balance Wind at the Equator by MetU at KT

The MetU measured at KT (0.20°S) provides a unique advantage to modify the BU at the equator. Such that one can get reliable BU up to 100 km. Fig. 1 shows the daily mean (black) and monthly mean (red) zonal wind at 86 km measured by the

150 meteor radar at KT station. We can see that the wind data are continuous from November 2002 to September 2017 except during some months in 2013 and 2014. To match the SABER measurements from 2002 to 2019, we have to get a continuous dataset from 2002 to 2019 through filling the missing data in 2013 and 2014, and extending the data backward to February 2002 and forward to December 2019.

The continuous dataset is constructed by multiple linear regression (MLR, Chapter 6 of Kutner et al. (2005)) through the following three steps: (1) separating the data into 4 segments (a: 2002-2007, b: 2006-2011, c: 2010-2015, d: 2014-2019) with an overlap of 24 months. The separation seems arbitrary but includes continuous observation in segment b, which is regarded as a reference segment and used as a predictor variable in MLR. Moreover, the separation retains as many as more observations in the other three segments to improve the confidence level of MLR. The overlap of 24 months is to cover the missing





observation from October 2017 to December 2019. (2) Constructing the predictor variables of MLR. The first predictor variable
is constant of 1. Its regression coefficient represents the mean wind of each segment. The second predictor variable is the wind data in segment b. Fig. 1 shows that the temporal variations of winds in segments a, c, and d are similar to that in the segment b but have slightly different oscillations' amplitudes. After inspecting each segment, we see that the prominent oscillations have periods of 12 and 6 months, which are used as the 3<sup>rd</sup> and 4<sup>th</sup> predictor variables. To reproduce more realist regression, we also include oscillations of 936, 24, 4, and 3 months as the 5<sup>th</sup>-8<sup>th</sup> predictor variables. (3) Using the predictor

- 165 variables mentioned in step (2), we perform MLR on the segments a, c and d, respectively. Then the missing observations are filled with MLR predictions (shown as blue line with dots in Fig. 1). Fig. 1 shows that the MLR fittings coincide well with the observed monthly mean zonal winds when observations were available. It is reasonable to expect that the MLR predictions in the time intervals of missing observations (e.g., 2013 and 2014, before November 2002 and after September 2017) and can be used to construct BU.
- 170 After applying the MLR on the zonal wind measured by the KT meteor radar at 80-100 km, we obtain a continuous dataset of MetU. This continuous dataset is composed by the observed data when they were available and predicted values when the observed data were missing. Then this continuous dataset is used to replace the BU calculated by Equation (4) at 80-100 km. Combined with the BU calculated by Equation (4) at 18-80 km and the MetU measured by the KT meteor radar at 80-100 km, we can get a reconstructed BU at 18-100 km. Fig. 2 shows the BU at 18-100 km calculated by Equation (4) at the equator (2a)
- 175 and the reconstructed BU (2b and 2c). We note that the reconstructed BU is smoothed by 3-point running mean in height and time, respectively. Fig. 2 shows that the BU above 80 km (a) is in the eastward direction during most of months, which is opposite to the replaced BU (b and c). This is because the BU above 80 km shown in Fig. 2(a) is aliased by the diurnal tide over the equator (Mclandress et al., 2006; Xu et al., 2009; Smith et al., 2017).

#### 3 Validations of the Balance Wind

180 To validate the BU derived from the SABER observations and modified by meteor radar wind observations near the equator, we will compare the BU with (1) monthly mean zonal winds from MERRA2 data (MerU), (2) the UARP wind (UarU) and the zonal wind calculated from HWM14, (3) the zonal winds observed by meteor radars (MetU) at latitudes of 29.7°S-53.5°N and a Na lidar (LidU) at 40.6°N.

#### 3.1 Comparisons with MerU

Fig. 2b and 2c, and Fig. 3-4 compare the BU derived from SABER observations and the monthly mean zonal winds from MERRA2 data (MerU) at the equator, the middle (30°N/S) and high (50°N/S) latitudes. At the equator (Fig. 2b and 2c), the agreements of BU and MerU are well at least below ~55 km and can be described as: (1) at ~40-55 km, The SAO is dominant in both BU and MerU and have nearly identical amplitudes and phases, as well as zero wind lines; (2) at ~20-35 km, the QBO is dominant in both BU and MerU and have nearly identical zero wind lines. (3) at ~35-40 km, both SAO and QBO can be





- 190 seen in BU and MerU; (4) both BU and MerU reproduce the anormal QBO in 2016, i.e., a westward jet (highlighted by a red solid rectangle) formed within the eastward phase of QBO at 18-23 km. Meanwhile the eastward wind shift to a higher height (above 23 km) (Newman, et al., 2016; Osprey, et al., 2016). Subsequently, the westward wind (highlighted by a red dashed rectangle) occurred in the eastward phase of QBO in ~30-35 km during late 2016; (5) at ~40-50 km, the fast westward jet (denoted as white rectangles) seems an extension of the westward phase of QBO to a higher height just after the QBO changing
- 195 its phase from eastward to westward. This feature can also be seen in Fig. 6 of Smith et al. (2017), which showed the BU derived from the SABER observations.
  At 30°N/S (Fig. 3), the excellent agreements between BU and MerU can be summarized as the following three points: (1) at 30°S (Fig. 3a and 3b), the eastward jets are asymmetry around June with peaks at a lower (higher) height during early (later)
- summer of most years; (2) at 30°N (Fig. 3c and 3d), the eastward jets of both BU and MerU have two relative weaker peaks
  below ~60 km. Then with the increasing height, the two peaks merge into one strong peak above ~70 km during winter of most years; (3) both BU and MerU have nearly identical patterns of zero wind lines and westward wind jets.

At 50°N/S (Fig. 4), the eastward jets of both BU and MerU are stronger at 50°S than those at 50°N below ~70 km. Moreover, the westward jets of both BU and MerU reach their peaks at higher heights than those of the eastward jets. At 50°S, the BU and MerU agree well with each other and can be described as the following four aspects: (1) the nearly identical patterns of

- 205 zero wind lines, (2) the fastest (slowest) eastward winds during 2006 (2010), (3) the nearly identical of times (around July) and heights (~50 km) of the eastward wind jets, (4) the nearly identical of times (around January) and heights (~70 km) of the westward wind jets. At 50°N, the well agreements between BU and MerU exhibits the four aspects mentioned above. Moreover, the double-peak structure can be seen both in both BU and MerU during winter of some years (e.g., 2003, 2004, 2006, 2008, 2009, 2012, 2013, 2015, 2019). These double-peak structures caused by the sudden stratospheric warmings (SSWs), which
- 210 reduce eastward wind during minor SSW or even reverse the eastward wind to westward during major SSW (Butler et al., 2015; 2017).

The above comparisons show that BU and MerU agree good with each other. At the equator and below 55 km, BU and MerU have nearly identical zero wind lines and reproduce the QBO and SAO. Both BU and MerU reproduce the fast westward jet during the beginning of the QBO westward phase and the anormal QBO in early 2016. At middle and high latitudes, BU and

215 MerU have nearly identical zero wind lines in z=20-70 km and reproduce the year-to-year variations of the latitude and height dependent eastward/westward jets. Both BU and MerU reproduce the double-peak structures induced by SSWs in the NH.

#### 3.2 Comparisons with the UraU and HwmU in a Composite Year

To compare the UraU with the BU, the UarU is interpolated to geometric height with vertical interval of 1 km and latitude interval of 2.5°. Moreover, the BU is rearranged in a composite year, which is calculated by averaging the BU in the same

220 calendar month of the years from 2002 to 2019. Fig. 5 shows the latitude-height sections of BU and UraU in each month of a composite years. It shows that zero wind lines of BU and UraU are nearly identical below ~85 km from 50°S to 50°N. During winter (November, December, January, February) in the NH and summer (May, June, July, August) in the SH, the eastward







jet shifts from high to low latitudes with the increasing height. During summer in the NH and winter in the SH, the westward jet shifts from low to high latitudes with the increasing height. During spring (March, April) and autumn (September, October), the westward winds, which occur at low and middle latitudes and below ~45 km or above ~70 km, are separated by eastward

winds at ~45-70 km. These comparisons show the good agreement between BU and UraU below ~80 km. Above 80 km and at middle to high latitudes, Both BU and UraU exhibit similar eastward jets during winter in the SH and during summer in the NH. During summer in the SH and winter in the NH, both BU and UraU exhibit decreasing eastward wind with the increasing height and even reverse to westward near 100 km. This is different from the MetU at MH (53.5°N)

- 230 and BJ (40.3°N) (red lines in Fig. 7), in which the westward winds occur only around March and April. The comparisons among the BU, MetU and UraU will be shown in the next subsection. Around the equator and above 80 km, both BU and UraU exhibit westward winds but have different height and latitudinal coverages. At ~90 km, the UraU is eastward throughout the composite year except during February-April. However, the BU is eastward only during June and December and westward during other months. By analyzing the zonal winds measured by
- 235 the medium frequency (MF) radars at Christmas Island (2°N, 157°W), Tirunelveli (8.7°N, 77.8°E) and Pameungpeuk (7.4°S, 107.4E°) and meteor radar at Koto Tabang (0.2°S, 100.3°E) and Jakarta (6°S, 107°E), Rao et al. (2012) showed that the composite zonal winds is westward except around June, July and December. This supports the BU derived here. Comparing between the BU calculated from Equation (4) (Fig. 2a) and the reconstructed BU (Fig. 2b and 2c) above 80 km, we find that the BU calculated from Equation (4) is eastward in general. While the reconstructed BU is largely westward and coincides
- 240 with the results of Rao et al. (2012). Thus, the reliability of the BU around the equator above 80 km is improved greatly after we combine the BU derived from the SABER observations and MetU measured by meteor radar at KT (0.2°S). In a same manner as Fig. 5, we show in Fig. 6 the HwmU and BU in a composite year. Below ~85 km the eastward jets of BU and HwmU agree good during winter in the NH and summer in the SH. Meanwhile, the westward jets of HwmU and BU agree good during summer in the NH and winter in the SH. During spring and autumn and at low and middle latitudes, the westward
- 245 winds below ~45 km or above ~70 km surround the eastward winds at ~45-70 km. These comparisons show the well agreement between BU and HwmU below ~80 km. Around the equator and at ~90 km, the eastward HwmU occurs during May-July and November-December, which lasts a shorter time interval than that of the UraU. At ~20-40°N, above the peaks of the eastward jets (at ~70 km), there are weak
- 250 However, this peak is not as obvious as in BU or in the MetU at BJ (40.3°N) and SY (18.3°N) or in the LidU at CSU (40.6°N) shown in the next subsection.

eastward jets in UraU (HwmU) during September-November (September-December) at 80-90 km with peak at ~85 km.

#### 3.3 Comparisons with the Time Series of MetU

Fig. 7 shows the monthly mean zonal wind from meteor radars (MetU) and the BU at similar latitudes and five height levels. At MH (53.5°N) station, the variations of BU agree good with MetU with correlation coefficients (CCs) of 0.89-0.98. Moreover,

255 BU and MetU exhibit similar AO and SAO above 90 km and similar AO below 86 km. The eastward peak of AO in both BU







and MetU shift from below 86 km in winter to above 92 km in summer. From 2011 to 2019 and above 86 km, both BU and MetU show that the eastward wind is dominant in almost of all months except March and April, during which the MetU reversed from eastward to westward.

At BJ (40.3°N) station, the variations of BU agree good with MetU with CCs of 0.85-0.95. The AO and SAO of BU and MetU

- 260 at BJ vary with height. Both BU and MetU show that the eastward peaks of AO shift from winter below 82 km to summer above 90 km. This is in a similar situation to that at MH station. At 86 km, the AO and SAO are almost equal portioned for the MetU with peaks in both summer and winter, while the AO is dominant with eastward peaks in winter. From 2009 to 2019 and above 86 km, both BU and MetU show that the eastward wind is dominant except in some occasional period. At SY (18.3°N) station, the CCs between BU and MetU with are in the range of 0.78-0.9. However, the temporal variations of
- BU do not coincide good with that of MetU since the BU (MetU) is dominant by both AO and SAO (AO) above 86 km. Only at 82 km, the temporal variations of BU and MetU agree good with each other. Moreover, at 82 km, 86 km and 90 km, besides the AO and SAO, the QBO signal (with westward peaks in the beginning of 2011 and 2013) appears in both BU and MetU. At BK (1.2°S) station, the BU and MetU agree good with each other and have CCs of more than 0.88 below 86 km. The smaller CCs above 90 km are mainly caused by the inconsistency of weak temporal variations in BU and MetU. It should be
- 270 noted that the magnitudes of BU agree well with those of MetU above 90 km. Moreover, both BU and MetU exhibit similar AO and SAO from 82 to 98 km except at 94 km. At CP (22.7°S) station, the CCs between BU and MetU are in the range of 0.78-0.96. The temporal variations of BU and MetU agree and below 00 km. At 04 and 08 km although the PU and MetU have CCs of 0.0 and 0.06, they are dominant by the

agree good below 90 km. At 94 and 98 km, although the BU and MetU have CCs of 0.9 and 0.96, they are dominant by the SAO and AO, respectively. At SM (29.7°S) station, the CCs between BU and MetU are in the range of 0.82-0.95. The temporal variations of BU and MetU agree good with each other except at 86 km and 90 km. At 82, 94 and 98 km, both BU and MetU

- 275 variations of BU and MetU agree good with each other except at 86 km and 90 km. At 82, 94 and 98 km, both BU and MetU are dominant by AO. While the AO in MetU has a lager amplitude than that of BU. A short summary about the comparisons among the time series of BU and MetU is below. At MH (53.5°N), BJ (40.3°N), BK (1.2°S) and SM (29.7°S) stations, the agreements between BU and MetU are good in general. The agreements are better at 82 km, 94 km and 98 km than those at 86 km and 90 km. At SY (18.3°N) station, the agreement between BU and MetU is good
- 280 only at 82 km. At CP (22.7°S) station, the agreement between BU and MetU is good only below 90 km.

## 3.4 Comparisons with MetU and LidU in a Composite Year

To compare BU, UraU, HwmU and MetU above 80 km in the terms of climatology, we show their monthly mean values in a composite year in Fig. 8. At 50°N and MH station (the first row of Fig. 8), the composite winds of the four datasets exhibit AO and agree good with each other below 85 km. The exception is that the BU and MetU are more westward than UraU and

285 HwmU in summer and are less eastward than UraU and HwmU in winter. Above 86 km, the agreement is also good except that: (1) the westward wind in MetU during April does not occur in BU, UraU and HwmU; (2) the westward wind in UraU during December does not occur in BU, HwmU and MetU; (3) the SAO in BU and MetU cannot be seen in UraU and HwmU.







At 40°N, and BJ and CSU station (the second row of Fig. 8), the BU exhibit AO and shift its eastward peak from below ~90 km in winter to above ~90 km in summer. In contrast, the AOs in MetU and LidU shift their eastward peaks below ~85 km in

- 290 winter to above ~85 km in summer. The difference between MetU and LidU is that the westward LidU shift from ~80 km in June to ~100 km in March, while the westward MetU occurs only below ~85 km. The weak eastward BU and MetU extend from ~85 km in April and October ~100 km in January and December. This is different from those UraU and HwmU: (1) the eastward wind peaks of both UraU and HwmU are stronger than those of BU, MetU and LidU; (2) the weak eastward peaks at ~90 km in March and September do not appear in either BU or MetU or LidU. Thus the BU at 40°N agrees with MetU and 295 LidU better than that with UraU and HwmU.
  - At 18.75°N and SY station (the third row of Fig. 8), the westward BU at ~80 km in March-May shifts to ~100 km in January-April. This agrees with the MetU except that the westward MetU lasts a shorter time interval. However, this is different to those of UraU and HwmU, which experience eastward wind at ~87-95 km. The westward BU at ~80 km in August shifts to ~100 km in October. In contrast, this westward BU does not occur in either UraU, HwmU or MetU. The eastward wind peaks
- 300 during summer at ~80-100 km can be seen in the four data sets. At 1.25°S and BK station (the fourth row of Fig. 8), the agreement between BU and MetU is excellent on the aspects of both seasonal and height variations. This is mainly because the BU is reconstructed by the MetU at the KT station, which is at 0.20°S and is very near the BK station (1.18°S). The UraU and HwmU exhibit similar SAO with eastward peaks during summer and winter. These eastward peaks are much stronger than those of BU and MetU, especially below ~92 km. In contrast,
- 305 above ~92 km, the UraU and HwmU are more westward than those of BU and BK. This is different from the results of Rao et al. (2012), who showed that the UraU was less westward than the MetU at KT station (0.20°S). A possible reason is that the 3-month running mean is performed here to construct a smooth BU at the equator and reduces the peak magnitudes of zonal winds.

At 22.5°N and CP station (the fifth row of Fig. 8), the agreement between BU and MetU is good below ~92 km. Both of them 310 exhibit: (1) SAO with eastward peaks in summer and winter; (2) westward winds during September-October and shifting backward with height. However, this westward wind cannot be seen in either UraU or HwmU. Above ~92 km, the agreement among the four datasets is good during January-March and October-December. During May-September, the eastward wind in BU cannot be seen in the other three datasets. This might be a symmetry results as those at 18.75°N, where the eastward wind

315 At 30.0°S and SM station (the sixth row of Fig. 8), the agreement between BU and MetU is good in general. Exception is that the BU is less eastward than the other three datasets during March-June at 82-95 km. Both BU and MetU exhibit much weak eastward winds than UraU and HwmU during September-November at ~82-95 km. During May-September and above ~92 km, the eastward wind in BU cannot be seen in the other three datasets. However, the eastward wind in BU is weaker and extends a shorter time interval as compared to that at 22.5°S and is closer to the MetU.

in BU in November-December cannot be seen in the other three datasets.

- 320 A short summary of the comparisons among BU, UraU, HwmU, LidU and MetU in a composite year is below. The BU agrees with MetU and LidU better than UraU and HwmU at 50°N, 40°N, 1.25°S and 30°S. At 18.75°N and 22.5°S, the agreement
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between BU and MetU is better than that among UraU, HwmU and MetU during spring and summer since the westward winds in BU and MetU cannot be seen in UraU or HwmU. However, the agreement between BU and MetU is worse than that among UraU, HwmU and MetU since the westward BU cannot be seen in the other three datasets in autumn. The agreement between

325 BU and MetU at 1.25°S indicates that the reconstructed BU is a feasible way get reliable zonal wind at the equator above ~80 km. The agreement between BU and MetU at 50°N, 40°N and 30°S indicates that the reliability of balance wind theory can be extend up to the height of 100 km. The less agreement between BU and MetU at 18.75°N and 22.5°S indicates that the tidal aliasing to the mean wind might not be neglected at these latitudes.

#### 4 Conclusions

- 330 Using the temperature and pressure observations by the SABER instrument over the past 18 years (2002-2019) and the balance wind theory, we derive the monthly zonal mean zonal wind (BU) in 18-100 km and at 10°N-50°N and 10°S-50°S and at the equator. The BU at the equator and above 80 km is replaced by the zonal wind measured by the meteor radar at KT station (0.20°S). Therefore, the reconstruct BU overcomes the tide alias at 80-100 km. Then the cubic interpolation is applied to get BU at 7.5°S-7.5°N.
- 335 The BU is compared with the zonal winds from MERRA2 re-analysis data (MerU), UARP data (UraU), HWM14 empirical model (HwmU), and meteor radar observations (MetU) at stations of MH (53.5°N), BJ (40.3°N), SY (18.3°N), BK (1.2°S), CP (22.7°S) and SM (29.7°S) and the lidar observations at CSU (40.6°N). The main conclusions can be summarized as the following:

The comparisons between BU and MerU show good consistency. At middle and high latitudes, BU and MerU have nearly identical zero wind lines in 18-70 km and year-to-year variations of the eastward/westward wind jets, the double-peak structures caused by SSW. At the equator and below 55 km, BU and MerU have nearly identical zero wind lines and reproduce the QBO and SAO, especially the anormal QBO in early 2016.

The comparisons among BU, UraU and HwmU show good agreement in general below 80 km. They have nearly identical zero wind lines and reproduce the eastward (westward) jet in the winter (summer) hemisphere. Above 80 km, the well

- 345 agreement among BU, UraU and HwmU is at the high latitudes. The comparisons among BU, UraU, HwmU, MetU and lidU show that the BU agrees with MetU and LidU better than UraU and HwmU at 50°N, 40°N, 1.25°S and 30°S on the aspects of both their time series and composite year. At 18.75°N and 22.5°S, the time series of BU agrees with those of MetU only at 82 km and below 90 km, respectively. In a composite year, the BU agrees with MetU better than UraU and HwmU in spring and summer.
- 350 Based on the comparisons, we conclude that the BU derived here is reliable at 18-100 km and from 50°S to 50°N in general. The BU derived here extends a time span of 18 years and can be used to study the seasonal and interannual variations (e.g., SAO, AO, QBO, etc.) of the global zonal wind. It can also serve as the background for wave studies such as tides and planetary





waves. The BU data have been archived as netCDF files and can be available at National Space Science Data Center through https://dx.doi.org/10.12176/01.99.00574.

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*Data availability.* The SABER data are downloaded from ftp://saber.gats-inc.com/Version2\_0/Level2A/ (last access: March 2020). The UARP wind data were obtained from ftp://sparc-ftp1.ceda.ac.uk/sparc/ref\_clim/randel/temp\_wind (last access: March 2020). The MERRA2 data were obtained from were obtained from http://disc.sci.gsfc.nasa.gov/mdisc (last access: March 2020). The meteor radar data of MH, BJ and SY were supported by the Chinese Meridian Project (Wang, 20) and provided by Beijing National Observatory of Space Environment, Institute of Geology and Geophysics Chinese Academy of

- Sciences through the Geophysics center, National Earth System Science Data Center (http://wdc.geophys.ac.cn, last access: March 2020). The meteor radar data of KT and BK were provided by Research Institute for Sustainable Humanosphere, Kyoto University (http://database.rish.kyoto-u.ac.jp/arch/iugonet, last access: March 2020). The database has been arranged by the Inter-university Upper atmosphere Global Observation NETwork (IUGONET) project (Hayashi et al., 2013). The meteor radar
- 365 data at CP and SM are available upon request to Paulo Prado Batista. The CSU lidar data are available upon request to Tao Yuan. The BU data developed in this work can be available at <u>https://dx.doi.org/10.12176/01.99.00574</u> (Liu et al., 2021).

Author contribution. XL and JX designed the study and wrote the manuscript. JY contributed to the discussion of the results and the preparation of the manuscript. All authors discussed the results and commented on the manuscript at all stages.

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Competing interests. The authors declare that they have no conflict of interest.

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## **Table and Figures Captions**

Instrument	Station	Location	Period	References
Meteor radar	Mohe (MH)	53.5°N, 122.3°E	2011-2019	Li et al. (2012) ; Xiong et al. (2013); Yu et al. (2013, 2015)
Meteor radar	Beijing (BJ)	40.3°N, 116.2°E	2009-2019	
Meteor radar	Sanya (SY)	18.3°N, 109.6°E	2011-2016	
Meteor radar	Koto Tabang (KT)	0.2°S, 100.3°E	2002-2017	Batubara et al. (2011); Rao et al. (2011, 2012); Hayashi et al. (2013); Abe et al. (2014); Matsumoto et al. (2016)
Meteor radar	Biak (BK)	1.2°S, 136.1°E	2011-2015	
Meteor radar	Cachoeira Paulista (CP)	22.7°S, 45.0°W	2004-2008	Batista et al. (2004); Andrioli et al. (2009, 2013, 2015)
Meteor radar	Santa Maria (SM)	29.7°S, 53.8°W	2005-2008	
Na Lidar	Colorado State University (CSU)	40.6°N, 105.1°W	2002-2008	She et al. (2004); Yuan et al. (2008)

## Table 1. Locations of radar and lidar and data periods

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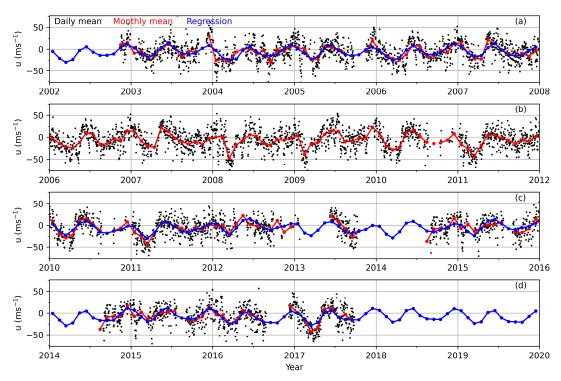
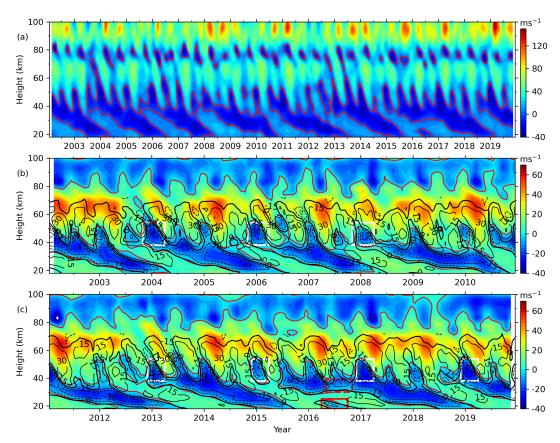


Figure 1. The daily mean (black dots) and monthly mean (red line with dots) zonal winds (positive for eastward) at 86 km measured by the JPNKT meteor radar and their regression results (blue line with dots) from 2002 to 2019. The x-ticks mark the beginning of each year.





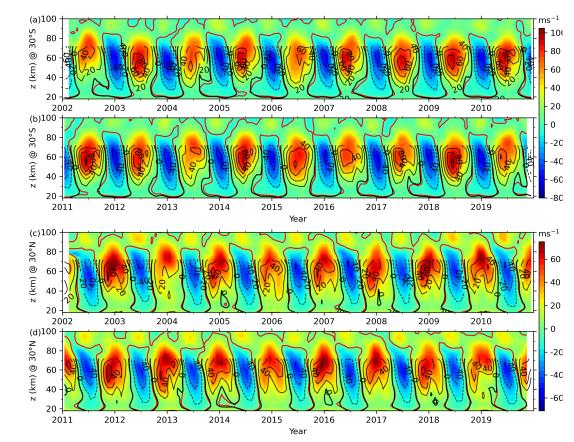


**Figure 2.** The BU (color filled contour, positive for eastward) calculated by Equation (4) at the equator at 18-100 km (a: 2002-2019) and the reconstructed BU (b: 2002-2010, c: 2011-2019). The overplotting contour lines are MerU (interval of 15 ms<sup>-1</sup>, the eastward and westward winds are represented as solid and dash lines, respectively). The white rectangles highlight the fast westward jet during the beginning of the QBO westward phase. The red and thick black contour lines are the zero winds of BU of MerU, respectively. The red solid and dash rectangles show the anormal QBO in 2016. The x-ticks mark the beginning of each year.

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**Figure 3.** Time-height sections of the BU (color filled contour, positive for eastward) and MerU (lines with contour interval of 20 ms<sup>-1</sup>, the eastward and westward winds are represented as solid and dash lines, respectively) at 30°S (a, b) and 30°N (c, d). The red and thick black contour lines are the zero winds of BU of MerU, respectively. Different color scales are used at 30°S and 30°N. The x-ticks mark the beginning of each year.





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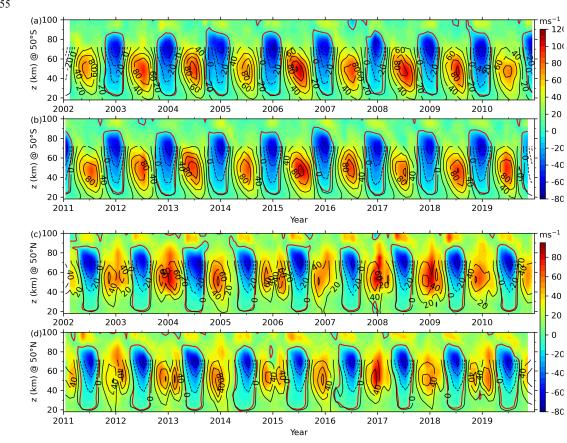


Figure 4. Same caption as Figure 3 but at 50°S (upper two panels) and 50°N (lower two panels).





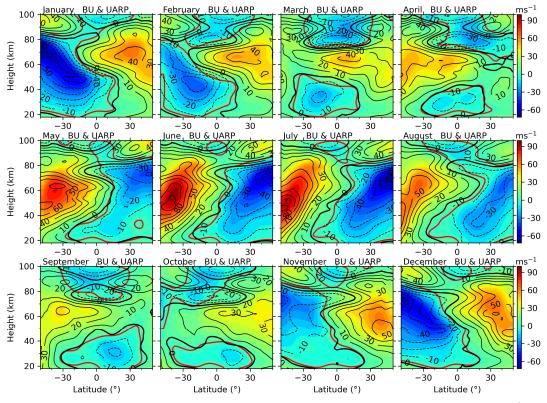


Figure 5. Latitude-height sections of BU (color filled contour, positive for eastward) and UraU (contour lines with interval of 10 ms<sup>-1</sup>, the eastward and westward winds are represented as solid and dash lines, respectively) in each month (denoted one the left-upper corner of each panel) of a composite year. The thick black and red contour lines are the zero wind of UraU and BU, respectively. Same color scale is used for all months.

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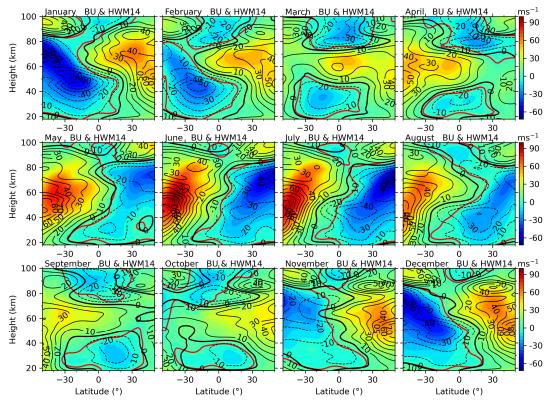
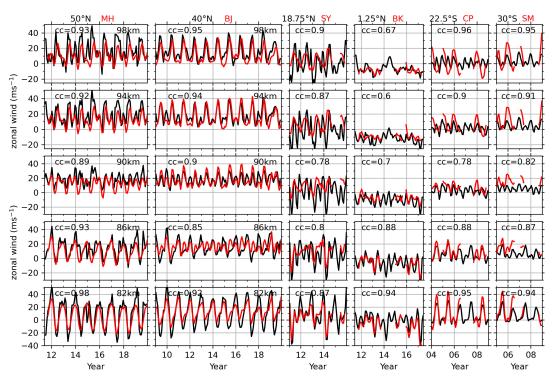


Figure 6. Same caption as Figure 5 but for the BU and HWM14. The thick black and red contour lines are the zero wind of HwmU and BU, respectively.





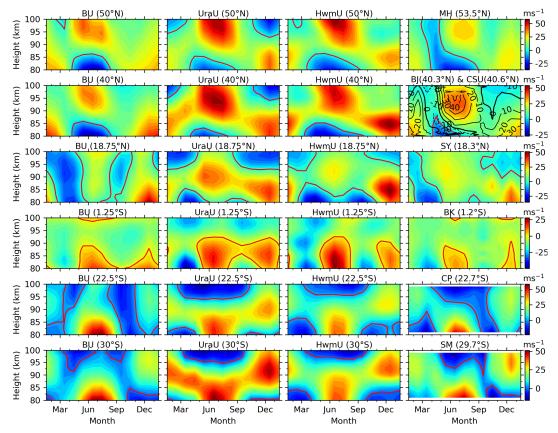


**Figure 7**. Monthly mean zonal wind from meteor radars (red lines, positive for eastward) at stations (from left to right) of MH (53.5°N), BJ (40.3°N), SY (18.3°N), BK (1.2°S), CP (22.7°S) and SM (29.7°S) and the BU (black) at the similar latitude (labeled on the top of each column) at five heights. The correlation coefficient (cc) between BU and MetU is labeled on each panel. Same y-axis is used in each row. The x-ticks mark the beginning of each year.

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**Figure 8.** Monthly mean zonal winds of BU, UraU, HwmU, and MetU (from the left to the right) at stations of (from up to below) MH (53.5°N), BJ (40.3°N), SY (18.3°N), BK (1.2°S), CP (22.7°S) and SM (29.7°S) in a composite year. The red contour lines show the zero wind in each panel. The black contour lines (interval of 10 ms<sup>-1</sup>) show the zonal wind measured by the CSU lidar (LidU). The same y-axis is used in each row. The x-ticks mark the beginning of each month.