Global sea-level budget and ocean-mass budget, with focus on advanced data products and uncertainty characterisation

Submitted as a review article manuscript

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Abstract

Studies of the global sea-level budget (SLB) and the global ocean-mass budget (OMB) are essential to assess the reliability of our knowledge of sea-level change and its contributors. Here we present datasets for times series of the SLB and OMB elements developed in the framework of ESA's Climate Change Initiative. We use these datasets to assess the SLB and the OMB simultaneously, utilising a consistent framework of uncertainty characterisation. The time series, given at monthly sampling, include global mean sea-level (GMSL) anomalies from satellite altimetry; the global mean steric component from Argo drifter data with incorporation of sea surface temperature data; the ocean mass component from Gravity Recovery and Climate Experiment (GRACE) satellite gravimetry; the contribution from global glacier mass changes assessed by a global glacier model; the contribution from Greenland Ice Sheet and Antarctic Ice Sheet mass changes, assessed from satellite radar altimetry and from GRACE; and the contribution from land water storage anomalies assessed by the global hydrological model WaterGAP. Over the period Jan 1993 – Dec 2016 (P1, covered by the satellite altimetry records), the mean rate (linear trend) of GMSL is 3.05 ± 0.24 mm yr^{-1}. The steric component is 1.15 ± 0.12 mm yr^{-1} (38% of the GMSL trend) and the mass component is 1.75 ± 0.12 mm yr^{-1} (57%). The mass component includes 0.64 ± 0.03 mm yr^{-1} (21% of the GMSL trend) from glaciers outside Greenland and Antarctica, 0.60 ± 0.04 mm yr^{-1} (20%) from Greenland, 0.19 ± 0.04 mm yr^{-1} (6%) from Antarctica, and 0.32 ± 0.10 mm yr^{-1} (10%) from changes of land water storage. In the period Jan 2003 – Aug 2016 (P2, covered by GRACE and the Argo drifter system), GMSL rise is higher than in P1 at 3.64 ± 0.26 mm yr^{-1}. This is due to an increase of the mass contributions, now about 2.40 ± 0.13 mm yr^{-1} (66% of the GMSL trend), with the largest increase contributed from Greenland, while the steric contribution remained similar at 1.19 ± 0.17 mm yr^{-1} (now 33%). The SLB of linear trends is closed for P1 and P2, that is, the GMSL trend agrees with the sum of the steric and mass components within their combined uncertainties. The OMB, which can be evaluated only for P2, shows our preferred GRACE-based estimate of the ocean-mass trend to agree with the sum of mass contributions at 1.5 times or 0.8 times the combined 1-sigma uncertainties, depending on the way of assessing the mass contributions. Combined uncertainties (1-sigma) of the elements involved in the budgets are between 0.29 and 0.42 mm yr^{-1}, on the order of 10% of GMSL rise. Interannual variations that overlie the long-term trends are coherently represented by the elements of the SLB and the OMB. Even at the level of monthly anomalies the budgets are closed within uncertainties, while also indicating possible origins of remaining misclosures.

1 Introduction

Sea level is an important indicator of climate change. It integrates effects of changes of several components of the climate system. About 90% of the excess heat in Earth's current radiation imbalance is absorbed by the global ocean (von Schuckmann et al., 2016, 2020; Oppenheimer et al., 2019). About 3% melts ice (Slater et al., 2021), while the remaining heat warms the atmosphere (1-2%) and the land (~5%). Present-day global mean sea-level (GMSL) rise primarily reflects thermal expansion of sea waters (the steric component) and increasing ocean mass due to land ice melt, two processes attributed to anthropogenic global warming (Oppenheimer et al., 2019). Anthropogenic changes in land water storage (LWS) constitute an additional contribution to the change in ocean mass (Wada et al., 2017; Döll et al., 2014), modulated by effects of climate variability and change (Reager et al., 2016; Scanlon et al., 2018).

To assess the accuracy and reliability of our knowledge about sea-level change and its causes, assessments of the sea-level budget (SLB) are indispensable. Closure of the sea-level budget implies that the observed changes of GMSL equal the sum of observed (or otherwise assessed) contributions, namely the effect of ocean-mass change (OMC) and the steric component (e.g. WCRP, 2018). Steric sea-level can be further separated into volume changes through ocean salinity (halosteric) and ocean temperature (thermosteric) effects, from which the latter is known to play a dominant role in contemporary GMSL rise. Closure of the ocean mass budget (OMB) implies that the observed OMC (e.g., from the Gravity Recovery and Climate Experiment, GRACE, Tapley et al., 2019) is equal to assessed changes of water mass (in solid, liquid or gaseous state) outside the ocean, which are dominated by mass changes of land ice (glaciers and ice sheets) and water stored on land as liquid water or snow. Misclosure of these budgets indicates errors in the assessment of some of the components (including effects of undersampling) or contributions from unassessed elements in the budget. Clearly, as a prerequisite of progress in SLB assessments, datasets on the mentioned budget elements must be accessible.

Over the course of its six assessment reports and its recent Special Report on The Ocean and Cryosphere in a Changing Climate (SROCC; IPCC, 2019), the Intergovernmental Panel on Climate Change (IPCC) has documented a significant improvement in our understanding of the sources and impacts of global sea-level rise. Today, the SLB for the period since 1993 is often considered closed within uncertainties (Church et al., 2013, Oppenheimer et al., 2019). Recent studies that reassessed the SLB over different time spans and using different datasets include the studies by Rietbroek et al. (2016), Chambers et al. (2017), Dieng et al. (2017), Chen et al. (2017, 2020), Nerem et al. (2018), Royston et al, (2020), Vishwakarma et al. (2020), and Frederikse et al. (2020). In the context of the Grand Challenge of the World Climate Research Programme (WCRP) entitled "Regional Sea-level and Coastal Impacts", an effort involving the sea-level community worldwide (WCRP, 2018) assessed the various datasets used to estimate components of the SLB during the altimetry era (1993 to present). A large number of available quality datasets were used for each component, from which ensemble means for each component were derived for the budget assessment.

Significant challenges remain. The IPCC SROCC reported the sum of assessed sea-level contributions for the 1993–2015 period (2006–2015 period) to be 2.76 mm yr^{-1} (3.00 mm yr^{-1}, respectively), and this

107 was 0.40 mm yr^{-1} smaller (0.58 mm yr^{-1} smaller) than the observed GMSL rise at 3.16 mm yr^{-1}

108 (3.58 mm yr^{-1}) (Oppenheimer et al., 2019, Table 4.1). While the misclosure was within the combined

109 uncertainties of the sum of contributions and the observed GMSL, these uncertainties were large, with

110 a 90% confidence interval width of 0.74 mm yr^{-1} to 1.1 mm yr^{-1}. Determining the LWS contribution to

111 sea-level is a particular challenge (WCRP, 2018): Hydrological models generally suggest LWS losses

112 and therefore a positive contribution from LWS to GMSL rise (Dieng et al., 2017; Scanlon et al., 2018;

113 Cáceres et al., 2020). Initial GRACE-based estimates indicated a gain of LWS (Reager et al. 2016;

114 Rietbroek et al. 2016), while newer GRACE-based estimates (Kim et al. 2019, Frederikse et al. 2020)

115 agree with global hydrological modeling results on the sign of change (loss of LWS). Moreover, in view

116 of the high interannual variability of LWS, the determined trend strongly depends on the selected time

117 period and method of trend determination. Challenges of making SLB assessments include the question

118 of consistency among the various involved datasets and their uncertainty characterisations. For example,

119 the study by WCRP (2018) assessed each budget element from a large number of available datasets

120 generated in different frameworks and used ensemble means of these datasets in the budget assessment.

121 The Climate Change Initiative (CCI, https://climate.esa.int) by ESA offers a consistent framework for

122 the generation of high-quality and continuous space-based records of Essential Climate Variables

123 (ECVs; Bojinski et al., 2014). A number of CCI projects has addressed ECVs relevant for the SLB, most

124 importantly the Sea-level CCI project, the Sea Surface Temperature (SST) CCI project, the Glaciers

125 CCI project, the Greenland Ice Sheet CCI project and the Antarctic Ice Sheet CCI project.

126 The Sea-level Budget Closure CCI (SLBC_cci) project conducted from 2017 to 2019 was the first cross-

127 ECV project within CCI. It assessed and utilised the advanced quality of CCI products for SLB and

128 OMB analyses. For this purpose, the project also developed new data products based on existing CCI

129 products and on other data sources. It is specific to SLBC_cci, and complementary to the WCRP

130 initiative, that SLBC_cci concentrated on datasets generated within CCI or by project members. The

131 thorough insights into the genesis and uncertainty characteristics of the datasets facilitated progress

132 towards working in a consistent framework of product specification, uncertainty characterization, and

133 SLB analysis.

134 In this paper we present the methodological framework of the SLBC_cci budget assessments (Sect. 2).

135 We describe the datasets used, including summaries of the methods of their generation and details on

136 their uncertainty characterisation (Sect. 3). We report and discuss results of our OMB and SLB

137 assessments (Sect. 4 to 7), address the data availability in Sect. 8 and conclude in Sect. 9 with an outlook

138 on suggested work in the sequence of this initial CCI cross-ECV study.

139 The analysis concentrates on two time periods: P1 from Jan 1993 to Dec 2016 (the altimetry era), and

140 P2 from Jan 2003 to Aug 2016 (the GRACE/Argo era). The start of P1 is guided by the availability of

141 altimetry data. Its end is guided by the availability of outputs of the WaterGAP Global Hydrological

142 Model used in this study to compute LWS, due to availability of climate input data at the time of the

143 study. The start of P2 is guided by the availability of quality GRACE gravity field solutions at the time

144 of the study and by the implementation of the Argo drifter array. We note, though, that Argo-based steric

145 assessments are uncertain in the early Argo years 2003–2004. The budgets are assessed for mean rates

of change (linear trends) over P1 and P2 as well as for GMSL and ocean mass anomalies at monthly resolution. The OMB assessment also addresses the seasonal cycle.

2 Methodological framework

2.1 Sea-level budget and ocean-mass budget

The SLB (e.g., WCRP, 2018) expresses the time-dependent sea-level change $\Delta SL(t)$ as the sum of its mass component $\Delta SL_{Mass}(t)$ and its steric component $\Delta SL_{Steric}(t)$:

$$\Delta SL(t) = \Delta SL_{Mass}(t) + \Delta SL_{Steric}(t). \tag{1}$$

The three budget elements are spatial averages over a fixed ocean domain. We consider the global ocean area in a first instance and we discuss restrictions to sub-areas further below.

More specifically, $\Delta SL(t)$ is the geocentric sea-level change from which effects of glacial isostatic adjustment (GIA) were corrected (Tamisea, 2011; WCRP, 2018). Likewise, assessments of $\Delta SL_{Mass}(t)$ include corrections for GIA effects. The small elastic deformations of the ocean bottom (Frederikse et al., 2017; Vishwakarma et al., 2020) are not corrected in $\Delta SL(t)$ in this study (cf. Section 3.8). The steric component $\Delta SL_{Steric}(t)$ arises from the temporal variations of the height of the sea-water columns of a given mass per unit area in response to temporal variations of the temperature and salinity profiles. The mass component $\Delta SL_{Mass}(t)$ is defined as

$$\Delta SL_{Mass} = \frac{1}{A_{Ocean}\,\rho_W}\Delta M_{Ocean} \tag{2}$$

where ΔM_{Ocean} is the change of ocean mass within the ocean domain, A_{Ocean} is the surface area of this domain (defined as $361\cdot10^6$ km^2), and $\rho_W = 1000$ kg m^{-3} is the density of water (cf. Sect. 3.8 for a discussion on the choice of this value). Change of A_{Ocean} is considered negligible over the assessment period. Equivalently, the mass component can be expressed by a spatial average of the geographically dependent change of ocean mass per surface area, $\Delta\kappa_{Ocean}(x,t)$ (with units of kg m^{-2}):

$$\Delta SL_{Mass} = \frac{1}{\rho_W}\langle\Delta\kappa_{source}\rangle_{Ocean} \tag{3}$$

where $\langle\cdot\rangle_{Ocean}$ denotes the spatial averaging over the ocean domain.

The OMB equation reads

$$\Delta M_{Ocean} = -(\Delta M_{Glaciers} + \Delta M_{Greenland} + \Delta M_{Antarctica} + \Delta M_{LWS} + \text{other}), \tag{4}$$

where $\Delta M_{Glaciers}(t)$, $\Delta M_{Greenland}(t)$, $\Delta M_{Antarctica}(t)$ and $\Delta M_{LWS}(t)$ are the temporal changes in mass of glaciers outside Greenland and Antarctica (where ice caps are also referred to as glaciers), the Greenland Ice Sheet (GrIS) and Greenland peripheral glaciers, the Antarctic Ice Sheet (AIS), and LWS, respectively. Other terms (e.g., atmospheric water content variations) were not considered in this assessment. We express the OMB in terms of sea-level change,

$$\Delta SL_{Mass} = \Delta SL_{Glaciers} + \Delta SL_{Greenland} + \Delta SL_{Antarctica} + \Delta SL_{LWS} + \Delta SL_{other} \tag{5}$$

by setting

179
$$\Delta SL_{Source} = -\frac{1}{A_{Ocean}\,\rho_W}\Delta M_{Source}, \tag{6}$$

180 where the suffix "source" stands for Glaciers, Greenland, Antarctica, LWS, or other sources.

181 By expressing the mass component as the sum of the contributions from the individual sources, the SLB
182 can be expressed as

183
$$\Delta SL = (\Delta SL_{Glaciers} + \Delta SL_{Greenland} + \Delta SL_{Antarctica} + \Delta SL_{LWS} + \Delta SL_{other}) + \Delta SL_{Steric}. \tag{7}$$

184 For each of the budget equations (1), (5) and (7), we refer to the individual terms on both sides of the
185 equation as budget elements. We define the misclosure of the SLB and the OMB as the difference 'left-
186 hand side minus right-hand side' of Eq. (1) or (7) and Eq. (5), respectively. We consider the budget
187 closed if this misclosure is compatible with the assessed combined uncertainties of the budget elements,
188 or more generally, if the distribution of misclosures is compatible with the assessed probability
189 distribution of the combined errors of the budget elements.

190 Part of this study refers to the SLB over the ocean area between 65°N and 65°S. This choice is made
191 because both altimetry and the Argo system have reduced coverage and data quality in the polar oceans.
192 When referring to a non-global ocean domain, the concept of spatial averaging implied in ΔSL, ΔSL_{Steric}
193 and ΔSL_{Mass} still holds. However, in this case, the evaluation of ΔSL_{Mass} by the sum of contributions
194 from continental mass sources (Eq. 5 and 6) needs assumptions on the proportions that end up in the
195 specific ocean domain (e.g., Tamisiea et al., 2011), that is, on the geographical distribution of water
196 mass change per surface area, $\Delta\kappa_{source}$, induced by these continental sources. Based on such assumptions,
197 ΔSL_{source} may be evaluated as

198
$$\Delta SL_{source} = \frac{1}{\rho_W}\langle\Delta\kappa_{source}\rangle_{Ocean65}, \tag{8}$$

199 where $\langle\cdot\rangle_{Ocean65}$ denotes the averaging over the ocean area between 65°N and 65°S. Here we assume
200 $\langle\Delta\kappa_{source}\rangle_{Ocean65} = \langle\Delta\kappa_{source}\rangle_{Ocean}$. Our assumption is a simplification of reality. For example, the
201 gravitationally consistent redistribution of ocean water induces geographically dependent sea-level
202 fingerprints (Tamisiea et al., 2011).

2.2 Time series analysis

204 The budget assessment is based on anomaly time series $z(t)$ of state parameters, such as sea-level, glacier
205 mass, etc., where $z(t)$ is the *difference* between the state at epoch t and a reference state Z_0. In SLBC_cci,
206 the reference state Z_0 is defined as the mean state over the ten years from Jan 2006 to Dec 2015. This
207 choice (as opposed to alternative choices such as the state at the start time of the time series) affects
208 plots of $z(t)$ by a simple shift along the ordinate axis. However, uncertainties of $z(t)$ depend more
209 substantially on the choice of Z_0, which is why they cannot be characterised and analysed without an
210 explicit definition of the reference state. The epoch t usually denotes a time interval such as a calendar
211 month, so that $z(t)$ is a mean value over this period.

An alternative way of representing temporal changes is by the rates of change $\frac{\Delta z}{\Delta t}(t)$, where t refers to a time interval with length Δt (e.g. a month or a year) and Δz is the change of z during that interval. Cumulation of $\frac{\Delta z}{\Delta t}(t)$ over discrete time steps gives $z(t)$:

$$z(t) = \sum_{\tau=t_0}^{t} \frac{\Delta z}{\Delta t}(\tau)\, \Delta t. \tag{9}$$

We chose to primarily use the representation $z(t)$ rather than $\frac{\Delta z}{\Delta t}(t)$, that is, we use the evolution of state rather than its rate of change. The choice is motivated by the characteristics of data products from satellite altimetry, satellite gravimetry, and Argo floats. They mostly use the representation $z(t)$. Their differentiation with respect to time amplifies the noise inherent to the observation data.

We analyse the budgets on different temporal scales: First, we analyse the linear trends that arise from a least squares regression according to

$$z(t) = a_1 + a_2 t + a_3 \cos(\omega_1 t) + a_4 \sin(\omega_1 t) + a_5 \cos(2\omega_1 t) + a_6 \sin(2\omega_1 t) + \varepsilon(t), \tag{10}$$

where a_1 is the constant part, a_2 is referred to as the linear trend, or simply the trend, and $\omega_1 = 2\pi\ \mathrm{yr}^{-1}$. The parameters a_3, ..., a_6 are co-estimated when considering time series that temporally resolve a seasonal signal that has not been removed beforehand. We use the trend a_2 as a descriptive statistic to quantify the mean rate of change in a way that is well-defined and robust against noise. The trend a_2 thus obtained for different budget elements is then evaluated in budget assessments according to Eq. (1), (5) and (7).

We apply an unweighted regression in Eq. (10). While a weighted regression may better account for uncertainties, it would imply that episodes of true interannual variation get different weights in the time series of different budget elements, so that the trends a_2 would be less comparable across budget elements. As an exception, we apply a weighted regression in one case (the SLBC_cci steric product, Sect. 3.2.2) where otherwise biases in the early years of the time series would bias the trend.

Second, we analyse the budget on a time series level, that is, we evaluate the budget equations (1), (5) and (7) for $z(t)$ per epoch. For this purpose, the time series are interpolated (by linear interpolation) to an identical monthly temporal sampling, while for the regression analysis they are left at their specific temporal sampling.

2.3 Uncertainty characterisation

Following the 'Guide to the expression of uncertainty in measurement' (JCGM, 2008) we quantify uncertainties of a measurement (including its corrections) in terms of the second moments of a probability distribution that "characterises the dispersion of the values that could reasonably be attributed to the measurand". Specifically, we use the standard uncertainty (i.e., standard deviation, 1-sigma) to characterise the uncertainty of a measured value. See Merchant et al. (2017) for a recent review on uncertainty information in the CCI context.

Uncertainty propagation is applied when manipulating and combining different measured values. Correlation of errors, where present, significantly affects the uncertainty in combined quantities and

careful treatment is required in the context of a budget study in which many millions of measured values are combined. In this study we have utilised and significantly advanced the characterisation of temporal error correlations and their accounting in uncertainty propagations, such as for the uncertainty of linear trends. Where no error correlations are present, the uncertainty of a sum (or difference) of values is the root sum square of the uncertainties of the individual values. Uncorrelated uncertainty propagation is applied, in particular, for assessing uncertainties of the sum (or difference) of budget elements since the data sources for these contributions are mostly independent.

Within this framework for uncertainty characterisation, the uncertainty assessment of each budget element used a methodology appropriate to the data. Their description in Sect. 3 documents the variety of approaches, including different ways how error correlations are accounted for explicitly or implicitly. The requirement to refer $z(t)$ consistently to the mean over the 2006–2015 reference period entailed adaptations of the uncertainty characterisation for some of the elements.

For each budget element, uncertainties of the linear trends were assessed by the project partners who contribute the datasets on the budget element. By accounting for temporal error correlations, the trend uncertainties are typically larger than the formal uncertainty that would arise from the least squares regression (Eq. 10). Our concept of treating the trend purely as a mathematical functional of the full time series through which uncertainties can be propagated implies that our evaluated uncertainties in trends arise only from uncertainties in $z(t)$ and not from statistical fitting effects, such as any true nonlinear evolution of $z(t)$ or sampling any assumed underlying trend from a short series of data.

3 Data sets

3.1 Global mean sea-level

Methods and product

We use time series of GMSL anomalies derived from satellite altimetry observations. For the period Jan 1993 – Dec 2015, the GMSL record is the version 2.0 of the ESA (European Space Agency) CCI Sea Level project (https://climate.esa.int/en/projects/sea-level/). The CCI sea-level record combines data from the TOPEX/Poseidon, Jason-1/2, GFO, ERS-1/2, Envisat, CryoSat-2 and SARAL/Altika missions and is based on a new processing system (Ablain et al., 2015, 2017a; Quartly et al., 2017; Legeais et al., 2018). It is available as a global gridded 0.25° × 0.25° dataset over the 82°N–82°S latitude range. It has been validated using different approaches including a comparison with tide gauge records as well as to ocean re-analyses and climate model outputs. While our study focusses on utilising CCI products, the CCI sea-level product did not cover the year 2016. We therefore extended the GMSL record with the Copernicus Marine Environment and Monitoring Service dataset (CMEMS, https://marine.copernicus.eu/) from Jan 2016 to Dec 2016.

The TOPEX-A instrumental drift due to aging of the TOPEX-A altimeter placed in the TOPEX/Poseidon mission from Jan 1993 to early 1999 was corrected for in the GMSL time series following the approach of Ablain et al. (2017b). It was derived by comparing TOPEX-A sea-level data with tide gauge data. The

283   TOPEX-A drift value based on this approach amounts to -1.0 ± 1.0 mm yr^{-1} over Jan 1993 to Jul 1995

284   and to 3.0 ± 1.0 mm yr^{-1} over Aug 1995 to Feb 1999 (see also WCRP, 2018).

285   For the SLBC_cci project, the gridded sea-level anomalies were averaged over the 65°N–65°S latitude

286   range. The GMSL time series was corrected for GIA applying a value of -0.3 mm yr^{-1} (Peltier, 2004).

287   Annual and semi-annual signals were removed through a least square fit of 12-months and 6-months

288   period sinusoids.

289   Figure 1a shows the record of GMSL anomalies. The well-known, sustained GMSL rise has a linear

290   trend of 3.05 ± 0.24 mm yr^{-1} over P1. An overall increase of the rate of sea-level rise over the 24 years

291   is visible (cf. Nerem et al., 2018). The overall GMSL rise is superimposed by interannual variations like

292   the temporary GMSL drop between 2010 and 2011 by about 6 mm (cf. Boening et al., 2012) with a

293   subsequent return to the rising path.

294   *Uncertainty assessment*

295   Over the recent years, several articles (Ablain et al., 2015, 2017b; Dieng et al, 2017; Quartly et al., 2017;

296   Legeais et al., 2018) have discussed sources of errors in GMSL trend estimation. Ablain et al. (2019)

297   extended these previous studies by considering new altimeter missions (Jason-2, Jason-3) and recent

298   findings on altimetry error estimates. We use the uncertainty assessment by Ablain et al. (2019), which

299   can be summarised as follows.

300   Three major types of errors are considered in the GMSL uncertainty: (a) biases between successive

301   altimetry missions characterised by bias uncertainties at any given time; (b) drifts in GMSL due to on-

302   board instrumental drifts or long-term drifts such as the error in the GIA correction, orbit etc.

303   characterised by a linear trend uncertainty; (c) other measurement errors such as geophysical correction

304   errors (wet tropospheric, orbit, etc.) which exhibit temporal correlations and are characterised by their

305   standard deviation. These error sources are assumed to be independent from each other.

306   For each error source, the variance-covariance matrix over all months is calculated from a large number

307   of random trials (>1000) of simulated errors with a standard normal distribution. The total error

308   variance-covariance matrix is the sum of the individual variance-covariance matrices of each error

309   source. The GMSL uncertainties per epoch are estimated from the square root of the diagonal terms of

310   the total matrix. The covariances are rigorously propagated to assess the uncertainties of multi-year

311   linear trends. In the present study we use standard uncertainties, while Ablain et al. (2019) quote 1.65-

312   sigma uncertainties to characterize the 90% confidence margins. Ablain et al. (2019) refer to GMSL

313   anomalies with respect to the mean over a 1993–2017 reference period, while our study uses the 2006–

314   2015 reference period. We neglect the effect of this difference on the uncertainties.

315   Fig. 1b shows the GMSL anomaly uncertainties per epoch. They are larger during the TOPEX/Poseidon

316   period (3 mm to 6 mm) than during the Jason period (close to 2.5 mm). This is mainly due to

317   uncertainties of the TOPEX-A drift correction. Long-term drift errors common to all missions also

318   increase the uncertainties towards the interval boundaries.

3.2 Steric sea-level

3.2.1 Ensemble mean steric product for 1993–2016

Since the Argo-based steric product developed within SLBC_cci (see Section 3.2.2 below) does not cover the full P1 period, for P1 we resort to the ensemble mean steric product by Dieng et al. (2017), updated to include the year 2016. It comprises the following three datasets for the period 1993–2004: the updated versions of Ishii and Kimoto (2009); the NOAA dataset (Levitus et al., 2012); and the EN4 dataset (Good et al., 2013). Over the recent years, these datasets integrate Argo data from IPRC (International Pacific Research Center, http://apdrc.soest.hawaii.edu/projects/Argo/data/gridded/On_standard_levels/), JAMSTEC (Japan Agency for Marine-Earth Science and Technology, ftp://ftp2.jamstec.go.jp/pub/argo/MOAA_GPV/Glb_PRS/OI/) and SCRIPPS (SCRIPPS Institution of Oceanography (http://sioargo.ucsd.edu/RG_Climatology.html). Annual and semi-annual signals were removed. The uncertainty was characterised from the spread between the ensemble members and, where available, from uncertainties given for the individual ensemble members.

Figure 2 shows the ensemble mean steric time series. It exhibits an overall rise, modulated by interannual fluctuations which are within uncertainties prior to 2005 but exceed assessed uncertainties later, e.g. in 2010/2011, (due to the smaller size of the latter).

3.2.2 SLBC_cci Steric product

Within SLBC_cci, the calculation scheme for the steric sea-level change based on Argo data was updated from that described by von Schuckmann and Le Traon (2011). Formal propagation of uncertainty was included following JCGM (2008, their Eq. 13) in which an overall uncertainty estimate is obtained by propagating and combining the evaluations of uncertainty associated with each source.

Methods and product

The steric thickness anomaly for a layer, l, of water with density ρ_l is $h_l' = h_l - h_{l,c}$, where $h_{l,c}$ is the steric thickness of a layer with climatological temperature and salinity and $h_l = \left(\frac{1}{\rho_l} - \frac{1}{\rho_0}\right)\rho_0\,\Delta z_{l0}$ is the "steric thickness" of the layer *relative to a layer of reference density* ρ_0 and reference height Δz_0. The thickness h_l' can therefore be written in terms of layer density ρ_l and climatological density for the layer $\rho_{l,c}$ as

$$h_l' = \left(\frac{1}{\rho_l} - \frac{1}{\rho_{l,c}}\right)\rho_0\,\Delta z_{l0}\,. \tag{11}$$

The monthly mean steric thickness anomaly for layer, l, is found as the optimum combination of the steric thickness anomaly calculations from all the valid profiles in the grid cell for the month. Let the individual anomaly calculations be collected in a vector $\boldsymbol{x}_l$. The optimum estimate is then given by the following collection of equations:

$$h_l' = \boldsymbol{w}_l^{\mathrm{T}}\boldsymbol{x}_l \tag{12}$$

353
$$w_l = \frac{1}{i^{\mathrm{T}} S_{x_l}^{-1} i} S_{x_l}^{-1} i,$$
(13)

354 where i is a column vector of 1s, w_l is the vector of weights appropriate to a minimum error variance
355 average, and S_{x_l} is the error covariance matrix of the steric thickness anomaly estimates.

356 The error covariance matrix, S_{x_l}, is needed for the optimal calculation of the monthly average in Eq.
357 (12), as well as for the evaluation of uncertainty discussed below. To estimate this matrix, we need to
358 be clear about what "error" means here: it is the difference between the steric thickness anomaly for the
359 layer from a single profile (Argo, or climatological) and the (unknown) true cell-month mean. This
360 difference therefore has two components: the measurement error in the profile, characterised by an error
361 covariance S_{x_m}; and a representativeness error arising from variability within the cell-month S_{x_r}. The
362 measurement error covariance is the smaller term and was modelled to be independent between profiles
363 within the cell (neglecting the fact that on occasion a single Argo float will contribute more than one
364 profile within a given cell in a month). The representativeness error covariance was modelled assuming
365 that this error has an exponential correlation form with a length scale of 2.5° and time scale of 10 days.

366 It is relatively common to have layers with no observations, sometimes in the upper ocean and often at
367 depth. Conditional climatological profiles were used as an additional "observation" to fill in information
368 for missing-data layers. The climatology of profiles was conditioned by the observed SST from
369 Merchant et al. (2019), which essentially has negligible sampling uncertainty at monthly cell-average
370 scales. The SST information constrains the upper-ocean profile to a degree determined by the vertical
371 correlation of variability, which is variable in time and place according to the mixed layer depth. The
372 uncertainty in the conditional climatological profile is the variability. Examples of an unconditional and
373 conditional climatological profile are shown in Fig. 3. For this particular month (August), year (2003)
374 and location (30.5°N, -9.5°E), the SST is about 2°C below the climatological value. The conditioning is
375 strong for the upper ~50 m of the ocean, and within this modest depth range the conditioned profile is
376 realistic given the SST (approximately isothermal over a mixed layer). The uncertainty is reduced at the
377 surface, where the cell-month SST is well known from the satellite data. Below about 150 m, the effect
378 of conditioning decays towards zero (conditioned and unconditioned profiles converge).

379 Including SST information slightly reduces uncertainty and affects steric height in the mixed layer often
380 enough to influence the global mean. Over the period 2005–2018 the trend in steric height is larger using
381 SST-conditioned climatological profiles than when using a static climatology as the prior. The use of
382 static climatology to fill gaps in Argo profiles has been shown to cause systematic underestimates of
383 trends in the literature (e.g., Ishii and Kimoto, 2009). Inclusion of SST-conditioning to the climatology
384 mitigates the over-stabilising effect.

385 Steric sea level height anomalies (SSLHA) were calculated for every month from Jan 2002 to Dec 2017
386 in a global grid of $5° \times 5°$ resolution. For a given cell-month the SSLHA is the sum of the layer-by-layer
387 estimates of steric thickness anomaly, i.e., $h' = \sum_l h'_l$. By concatenating the vectors w_l^{T} and x_l for all
388 the layers into a vector of weights w and a vector of thicknesses x we can write the equivalent equation

389
$$h' = w^{\mathrm{T}} x.$$
(14)

390 This form makes it more clear how to estimate the uncertainty in the SSLHA, which is

391
$$u_{h'} = \left(\boldsymbol{w}^{\mathrm{T}} \boldsymbol{S}_x \boldsymbol{w}\right)^{0.5}.$$
(15)

392 To evaluate the uncertainty we need to formulate $\boldsymbol{S}_x$. The diagonal blocks corresponding to each layer

393 in $\boldsymbol{S}_x$ are the matrices $\boldsymbol{S}_{x_l}$ that have already been calculated on a layer-by-layer basis. Assumptions about

394 the error correlations between layers are then required in order to complete the off-block-diagonal

395 elements of $\boldsymbol{S}_x$. Conservative assumptions were made:

- 396 • Measurement errors are perfectly correlated vertically in a given profile; this is equivalent to
- 397 saying that the sensor calibration bias dominates all other sources of measurement uncertainty
- 398 in each profile.
- 399 • Representativity errors are perfectly correlated vertically.

400 Having obtained cell-month mean SSLHA estimates and associated uncertainty, the global mean steric

401 sea-level height anomaly, $\Delta SL_{\mathrm{Steric}}$, is the area-weighted average of the available gridded SSLHA results.

402 $\Delta SL_{\mathrm{Steric}}$ was calculated over the range 65°S to 65°N, consistent with other budget elements.

403 Figure 2a shows the $\Delta SL_{\mathrm{Steric}}$ time series from the SLBC_cci product. While from 2005 onwards, the

404 trends of the SLBC_cci product and the ensemble mean product (cf. Table A1) as well as their

405 interannual behaviour are similar, the SLBC_cci product shows little change prior to 2005. This

406 difference and its reflection by the uncertainty characterization are discussed further below.

407 *Uncertainty assessment*

408 The uncertainties of the available cell-month mean SSLHA estimates were propagated to the $\Delta SL_{\mathrm{Steric}}$.

409 In any given month, there are missing SSLHA cells, through lack of sufficient Argo profiles. Using

410 $\Delta SL_{\mathrm{Steric}}$ estimated from the available SSLHA cells as an estimate for the global steric sea-level anomaly

411 introduces a global representativity uncertainty. Moreover, the global sampling errors are correlated

412 from month-to-month because the sampling distribution evolves over the course of several years towards

413 near-global representation. To evaluate the global representativity uncertainty and serial correlation, the

414 sampling pattern of sparse years was imposed on near-complete fields: the standard deviation of the

415 difference in global mean with the two sampling patterns is a measure of uncertainty. The correlation

416 between the sample-driven difference in consecutive months was found to be 0.85. The time series of

417 the global representativity uncertainty, the uncertainty propagated from the gridded SSLHA uncertainty

418 (which has no serial correlation) and this correlation coefficient combine to define a full error covariance

419 estimate to be obtained for the $\Delta SL_{\mathrm{Steric}}$ time series.

420 Figure 2b shows the two components of uncertainty (global representativity and propagated SSLHA

421 uncertainty) together with the total uncertainty. The global representativity uncertainty dominates prior

422 to 2005 and is very large in 2002 and 2003. This reflects how sparse and unrepresentative the sampling

423 by the Argo network was at that early stage.

424 Given the large representativity uncertainty prior to 2005, the absence of an increase in the SLBC_cci

425 steric record during that time is thus understood to arise from global sampling error and is consistent

426 with the global sampling uncertainty. The SLBC_cci steric time series and the ensemble mean steric

427 time series are consistent given the evaluated uncertainties throughout the record. In addition, the

428 evaluated uncertainties for the two time-series with their different ways of uncertainty assessment are

remarkably similar for the period of the established Argo network starting from 2005, giving confidence in the validity of two very distinct approaches to uncertainty characterisation.

The use of a formal uncertainty framework allows separation of distinct uncertainty issues, namely, our ability to parameterise and estimate the various uncertainty terms, our ability to estimate the error covariance, and the model for propagation of error at each successive step.

Two aspects of the uncertainty model are recognised to be potentially optimistic: the modelling of measurement errors as independent between profiles rather than platforms; and the use of only 10 years for assessing inter-annual variability. Two assumptions are potentially conservative: measurement errors in salinity and temperature were combined in their worst-case combination; representativity errors in profiles are assumed to be fully correlated vertically, whereas in reality they are likely to decorrelate over large vertical separations.

A significant output of the uncertainty modelling of the steric component is the error covariance matrix for the time series. It enables proper quantification of the change during the time series. We employed the time-variable uncertainties to determine the linear trend in a weighted regression according to Eq. (10). Without weighting, the global sampling error prior to around 2005, noted above, would bias any fitted trend result. Use of the error covariance matrix enables proper quantification of the uncertainty in the trend calculation by propagating the error covariance matrix through the trend function. Without this, the serial correlation in the global sampling error would be neglected, and the calculated trend uncertainty would be an underestimate.

3.2.3 Deep ocean steric contribution

For the deep ocean below 2000 m depth, the steric contribution was assessed as a linear trend of 0.1 ± 0.1 mm yr^{-1} following the estimate by Purkey and Johnson (2010) corroborated by Desbruyères et al. (2016). Note that this estimate is based on sparse in situ sampling. Corresponding evolutions of the ocean observing system are under way (Roemmich et al., 2019). This deep ocean contribution is included in the ensemble mean steric product described in Sect. 3.2.2. This deep-ocean component is added to the Argo-based SLBC_cci steric product described in Sect. 3.2.1 (which is for depths <2000 m) in order to address the full ocean steric contribution.

3.3 Ocean-mass change

Methods and product

Time series of ocean-mass change (OMC), in terms of anomalies with respect to the 2006 – 2015 reference period, were generated from monthly gravity field solutions of the GRACE mission (Tapley et al., 2019). Similar to previous analyses (Johnson and Chambers 2013; Uebbing et al., 2019) we used spherical harmonic (SH) GRACE solutions in order to have full control on the methodology and uncertainty assessment. Greater detail is provided by Horwath et al. (2019).

The following GRACE monthly gravity field solutions series were considered:

464 • ITSG-Grace2018 (Mayer-Gürr et al., 2018a, 2018b) from Institut für Geodäsie, Technische
465 Universität Graz, Austria, with maximum SH degree 60 (data source
466 ftp://ftp.tugraz.at/outgoing/ITSG/GRACE/ITSG-Grace2018/monthly/monthly_n60)

467 • CSR_RL06 from Center for Space Research at University of Texas, Austin, TX, USA,
468 GFZ_RL06 from Helmholtz Centre Potsdam GFZ German Research Centre for Geosciences,
469 Germany, JPL_RL06 from Jet Propulsion Laboratory, Pasadena, California, USA, all with
470 maximum SH degree 60 (data source: https://podaac.jpl.nasa.gov/GRACE).

471 We chose ITSG-Grace2018 as the preferred input SH solution because it showed the lowest noise level
472 among all releases considered, with no indication for differences in the contained signal (Groh et al.,
473 2019b).

474 Gravity field changes were converted to equivalent water height (EWH) surface mass changes according
475 to Wahr et al. (1998). The total mass anomaly over an area like the global ocean was derived by spatial
476 integration of the EWH changes. We used the unfiltered GRACE solutions in order to avoid damping
477 effects from filtering. A 300 km wide buffer zone along the ocean margins was excluded from the spatial
478 integration. Around islands, the buffer was applied if their surface area exceeds a threshold, which was
479 set to 20,000 km² in general and 2,000 km² for near-polar latitudes beyond 50°N or 50°S. The integral
480 was subsequently scaled by the ratio between total area of the ocean domain and the buffered integration
481 area. This scaling is based on the assumption that the mean EWH change in the buffer equals the mean
482 EWH change in the buffered ocean area. Effects of violations to this assumption are included in the
483 uncertainty assessment (see further below).

484 Modelled short-term atmospheric and oceanic mass variations are accounted for within the gravity field
485 estimation procedure (Flechtner et al., 2014; Dobslaw et al., 2013) and are not included in the monthly
486 solutions. To retain the full mass variation effect, the monthly averages of the modelled atmospheric and
487 oceanic dealiasing fields were added back to the monthly solutions by using the so-called GAD products
488 (Flechtner et al., 2014). We subsequently removed the spatial mean of atmospheric surface pressure over
489 the full ocean domain. Our investigations confirmed findings by Uebbing et al. (2019) on the
490 methodological sensitivity of this procedure. If the GAD averages were calculated over only the buffered
491 area, OMC trends would be about 0.3 mm yr^{-1} higher than for our preferred approach.

492 GIA implies redistributions of solid Earth masses and (to a small extent) of ocean masses. We corrected
493 the gravity field effect of GIA-related mass redistributions by using three different GIA modelling
494 results: The model by A et al. (2013), based on ICE-5Gv2 glaciation history from Peltier (2004); the
495 model ICE-6G_D (VM5A) by Peltier et al. (2015, 2018); and the mean solution by Caron et al. (2018).
496 The correction was applied on the level of the SH representation. Our preferred GIA correction is the
497 one by Caron et al. (2018). It is based on the ICE-6G deglaciation history (Peltier et al., 2015), while
498 the model by A et al. (2013) is based on its predecessor model ICE-5G. Furthermore, while the models
499 by A et al. (2013) and Peltier et al. (2015) are single GIA models, the solution by Caron et al. (2018)
500 arises as a weighted mean from a large ensemble of models, where the glaciation history and the solid
501 Earth rheology have been varied and tested against independent geodetic data to provide probabilistic
502 information. Table 1 demonstrates the sensitivity of GRACE OMC solutions to the GIA correction.

In order to include the degree-one components of global mass redistribution (not determined by GRACE) we implemented the approach by Sun et al. (2016), which combines the GRACE solutions for degree n≥2 with assumptions on the ocean-mass redistribution. The results depend on the input GRACE solution series and, more importantly, on the adopted GIA model. While GRACE Technical Note TN13 provides degree-one time based on the Sun et al. (2016) method, their input is fixed to the CSR, GFZ or JPL solutions and the ICE-6G_D GIA model. By our own implementation we generate degree-one series that are consistent with our choice of GRACE solution series (such as ITSG-Grace2018) and GIA models (such as by Caron et al, 2018). We also replaced GRACE-based C_{20} components by results from satellite laser ranging (SLR) (Loomis et al. 2019, https://podaac-tools.jpl.nasa.gov/drive/files/allData/grace/docs/TN-14_C30_C20_GSFC_SLR.txt).

Figure 4a shows our preferred time series of the mass contribution to sea level (see Fig. 10 for a time series where the seasonal signal is subtracted). The overall trend at 2.62 ± 0.26 mm yr^{-1} over P2 is superimposed by a seasonal signal with 10.3 mm amplitude of annual sinusoid and by interannual variations like a drop by about 6 mm sea level equivalent between 2010 and 2011.

Overall, integrated OMC time series were generated from four series of SH GRACE solutions, using three GIA corrections (and the option of no GIA correction), for the global ocean and the ocean domain between 65°N and 65°S. For comparison, we also considered OMC time series from two external sources: Global OMC time series from CSR, GFZ and JPL SH solutions by Johnson and Chambers (2013), updated by D. Chambers on 6 November 2017 and made available from https://dl.dropboxusercontent.com/u/31563267/ocean_mass_orig.txt (accessed 26 Jan 2018); Goddard Space Flight Center (GSFC) Mascon solutions RL06v01 (Loomis et al., 2019), dedicated for ocean mass research (data source: https://earth.gsfc.nasa.gov/geo/data/grace-mascons). Time series of total OMC from GSFC mascons were derived by the weighted integral over all oceanic points, using the ocean-land point-set mask contained in the solutions (but excluding the Caspian Sea). Integrated OMC was then divided by the total area over the corresponding oceanic mascons.

Uncertainty assessment

The following sources of uncertainty are relevant (cf. Nagler et al., 2018b):

- GRACE errors: Errors in the GRACE observations as well as in the modelling assumptions applied during GRACE processing propagate into the GRACE products.

- Errors in C_{20} and degree-one terms: Errors in these components, due to their very large scale nature and possible systematic effects are particularly important for global OMC applications (cf. Quinn and Ponte, 2010; Blazquez et al., 2018; Loomis et al., 2019).

- The impact of GIA on GRACE gravity field solutions is a significant source of signal and error for mass change estimates. Current models show strong discrepancies (Quinn and Ponte, 2010; Chambers et al., 2010; Tamisiea, 2011; Rietbroek et al., 2016; Blazquez et al., 2018).

- Leakage errors arise from the vanishing sensitivity of GRACE to small spatial scales (high SH degrees). In SLBC_cci, GRACE data were used up to a degree 60 (~333 km half-wavelength). As a result, signal from the continents (e.g. ice-mass loss) leaks into the ocean domain. Differences in methods to avoid (or repair) leakage effects can amount to several tenths of

kg m^{-2} yr^{-1} in regional OMC estimates (e.g., Kusche et al., 2016). Our buffering approach does not fully avoid leakage. Moreover, the upscaling of the integrated mass changes to the full ocean area is based on the assumption that the mean EWH change in the buffer is equal to the mean EWH change in the buffered ocean integration kernel.

We adapted the uncertainty assessment approach used for GRACE-based products of the Antarctic Ice Sheet CCI project (Nagler et al., 2018b). We modelled errors as the combination of two components distinguished by their temporal characteristics: temporally uncorrelated noise, with variance σ^2_{noise} assumed equal for each month; and systematic errors of the linear trend, with an associated uncertainty σ_{trend}. This model is a simplification as it does not consider autocorrelated errors other than errors that evolve linearly with time. The uncertainty $\sigma_{total}(t)$ per epoch t in a time series of mass anomalies $z(t)$ is approximated as

$$\sigma^2_{total}(t) = \sigma^2_{noise} + \sigma^2_{trend}(t - t_0)^2, \tag{16}$$

where t_0 is the centre of the reference interval to which $z(t)$ refers.

The noise was assessed from the GRACE OMC time series themselves as detailed by Groh et al. (2019a). The de-trended and de-seasonalised time series were high-pass filtered in the temporal domain. The variance of the filtered time series was assumed to be dominated by noise. This variance was scaled by a factor that accounts for the dampening of uncorrelated noise variance imposed by the high-pass filtering. The assessed noise component comprises uncorrelated errors from all uncertainty sources except for GIA, which is considered purely linear in time.

The systematic errors of the linear trends are assumed to originate from errors in degree-one components, C_{20}, the GIA correction, and from leakage. The related uncertainties were assessed for each source individually and summed in quadrature.

Trend uncertainties associated to GIA, degree-one, and C_{20}, were assessed individually based on the spread of a small ensemble of different options to incorporate these effects. The ensemble standard deviation was taken as the associated standard uncertainty. The GIA uncertainty assessment used the ensemble of the three GIA correction options mentioned above and in Table 1. For the degree-one uncertainty assessment we made a choice of ten different series in the attempt to represent a balanced sample of different methods and input datasets. This choice includes: three series from our implementation of the method by Sun et al. (2016) using the ITSG-Grace2018 GRACE solutions and the GIA model by A et al. (2013), Peltier et al. (2018) and Caron et al. (2018), respectively; the three TN13 series using CSR, GFZ and JPL GRACE solutions, respectively; the series originally provided by Sun et al. (2016); the series derived from SLR by Cheng et al. (2010); the series from the global combination approach by Rietbroek et al. (2016); and the series derived earlier for CSR RL05 solutions according to the method by Swenson and Wahr (2008). Likewise, for the C_{20} uncertainty assessment we made a choice of the following seven series: five series based on SLR by, respectively, Loomis et al. (2019), Cheng et al. (2017), Bloßfeld et al. (2015), König et al. (2019) and Cheng et al. (2013); one series from a combined analysis of SLR and GRACE by Bruinsma et al. (2010); and one series based on the GRACE-model combination by Sun et al. (2016).

To estimate the uncertainty that arises from leakage, in conjunction with buffering and rescaling, we performed a simulation study based on synthetic mass change data from the ESA Earth System Model (ESM; Dobslaw et al., 2015). The ESM data were processed according to the settings of the SLBC_cci OMC analysis and the results (simulated observations) were compared with the OMC that arises from the full-resolution ESM data (simulated truth). In order to derive statistics for multi-year trends, we calculated linear trends of the simulated observations and of the simulated truth and of their misfit for every interval of a length between 9 years and 12 years contained in the ESA-ESM period. The weighted RMS of misfits over all intervals was taken as the estimate of the leakage error uncertainty.

Results of the uncertainty assessment for the ITSG-Grace2018-based OMC solutions are summarised in Table 2. Figure 4b shows the time-dependent uncertainties associated to the ocean mass contribution time series. They reflect their construction by Eq. (16), where away from 2011.0, the uncertainty of the linear trend contributes an increasing share.

The GRACE-based OMC products described and used here ~~are an update of the products described and used in the first submission of this manuscript (Horwath et al., 2021b). The update consisted in replacing previous standards to new~~adopt recent standards concerning the degree-one and C_{20} series used as well as the inclusion of ICE-6G_D (instead of ICE-6G_C) in our comparison of GIA corrections. The products are an update to previous estimates by Horwath et al. (2019). ~~Consequently, the ensembles adopted for the uncertainty assessment related to GIA, degree-one and C$_{20}$ were also updated. The~~Our ~~updated~~ estimated global OMC trend~~s estimates~~ (for identical periods) are larger than ~~prior to the update~~according to those previous estimates. The difference (updated trend minus previous trend) is mainly due to the updated degree-one treatment and depends on the GIA model involved in the degree-one estimation. Incidentally, this difference is largest for our preferred choice of the GIA model by Caron et al. (2018), where the difference~~it~~ amounts to 0.43 mm yr^{-1}. This difference is outside the assessed one-sigma uncertainty but inside the 1.65-sigma range that would correspond to a 90% confidence range. The sensitivity of GRACE-based OMC trends observed here and in previous studies (Blazquez et al., 2018, Uebbing et al. 2019, Dobslaw et al. 2020) corroborates the uncertainty on the order of a few tenths of millimetres per year that remains associated to GRACE-based OMC trends.

3.4 Glacier contribution

Methods and product

The glacier mass change estimate was derived by updating the global glacier model (GGM) of Marzeion et al. (2012). Annually reported direct mass balance observations (using the glaciological method) are available for only a few hundred of the roughly 215.000 existing glaciers (Zemp et al., 2019). Global-scale geodetic, altimetric and gravimetric observations are limited to the most recent decades (e.g., Bamber et al., 2018). Only at a regional scale and more disperse, geodetic glacier mass changes are available back into the 1960s (e.g. Maurer et al., 2019; Zhou et al., 2018). The overall objective of the model approach is to use observations of glacier mass change for calibration and validation of the glacier model, which then translates information about atmospheric conditions into glacier mass change, taking into account various feedbacks between glacier mass balance and glacier geometry. This enables a reconstruction of glacier change that is complete in time and space, and that has higher temporal

resolution than the observations (here, we use monthly output). In our analysis, we included all glaciers outside of Greenland and Antarctica, and separately reconstructed the glacier change for Greenland peripheral glaciers.

As initial conditions, we used glacier outlines obtained from the Randolph Glacier Inventory (RGI) version 6.0 (updated from Pfeffer et al., 2014). The time stamp of these outlines differs between glaciers, but typically is around the year 2000. To obtain results before this time, the model uses an iterative process to find that glacier geometry in the year of initialisation (e.g., 1901) that results in the observed glacier geometry in the year of the outline's time stamp (e.g., 2000) after the model was run forward.

The model relies on monthly temperature and precipitation anomalies to calculate the specific mass balance of each glacier. It uses the gridded climatology of New et al. (2002) as a baseline. Here, we used seven different sources of atmospheric conditions (as well as their mean) as boundary conditions (Harris et al., 2014; Saha et al., 2010; Compo et al., 2011; Dee et al., 2011; Kobayashi et al., 2015; Poli et al., 2016; Gelaro et al., 2017). Temperature is used to estimate the ablation of glaciers following a temperature-index melt model, and to estimate the solid fraction of total precipitation, which is used to estimate accumulation. Glacier area and length change are estimated following mass change based on volume-area-time scaling, allowing for a delayed response of glacier geometry to glacier mass change. A detailed description of the model is provided by Marzeion et al. (2012).

There are four global model parameters that need to be optimised: (i) the air temperature above which melt of the ice surface is assumed to occur; (ii) the temperature threshold below which precipitation is assumed to be solid; (iii) a vertical precipitation gradient used to capture local precipitation patterns not resolved in the forcing datasets; and (iv) a precipitation multiplication factor to account for effects from (among other processes) wind-blown snow and avalanching, which are not resolved in the forcing dataset. For each of the eight forcing datasets cited above, we performed a multi-objective optimisation for these four parameters, using a leave-one-glacier-out cross validation to measure the model's performance on glaciers for which no mass balance observations exist. We used annual in-situ observations from about 300 glaciers, covering a total of almost 6000 mass balance years (WGMS, 2018). In the optimisation, the temporal correlation of observed and modelled mass balances is maximised, the temporal variance of modelled mass balances is brought close to that of observed mass balances (aiming for a realistic sensitivity of the model to climate variability and change), and the model bias is minimised (to avoid an artificial trend in modelled glacier mass). Using the mean of the seven atmospheric datasets described above results in the overall best model performance. Compared to the results in Marzeion et al. (2012), the correlation of annual glacier mass change was increased from 0.60 to 0.64, the bias was changed from 5 kg m^{-2} to -4 kg m^{-2} (both statistically indistinguishable from zero), and the ratio of the temporal variance of modelled and observed mass balances was improved from 0.83 to 1.00.

The model output for each glacier is aggregated on a regular 0.5° by 0.5° grid, where the mass change of each glacier is assigned to the grid cell that contains the glacier's centre point, even if the glacier might cover several grid cells (the GGM does not calculate the spatial distribution of mass changes of a glacier, so that a more accurate spatial assignment to the grid is not possible). Regional or global values of glacier mass change were obtained by summing over the region of interest.

659 Figure 5a shows the global glacier contribution to GMSL anomalies (see Fig. 10 and 12 for time series
660 after subtraction of the seasonal signal). The glacier contribution has a linear trend of 0.64 ± 0.03 mm yr^{-1}
661 over P1, where the positive rate increases from the first half to the second half of the period. Interannual
662 variations are less pronounced than for other budget elements.

663 *Uncertainty assessment*

664 The root mean square error obtained during the cross validation was propagated through the model.
665 Since the evaluation of the model results does not indicate any temporal or spatial correlation of the
666 model errors, the uncertainty of temporal and spatial mass change aggregations was calculated assuming
667 independence of the model errors, i.e. by taking the root of the summed squares of each glacier's (and
668 year's) uncertainty.

669 Uncertainties of mass anomalies with respect to the mean over the 2006–2015 interval were
670 approximated by uncertainties of anomalies with respect to the centre of the interval, 2011.0.
671 Uncertainties of yearly mass change rates were aggregated (as root sum square) forward or backward
672 from 2011.0 to the specific epochs. Figure 5b show the uncertainties per epoch, reflecting this
673 aggregation from 2011.0. Uncertainties of multi-year linear trends were calculated as follows: The
674 uncertainties of yearly rates of mass change were aggregated in time over the interval of interest, leading
675 to an uncertainty of cumulated mass change over the interval of interest, which was subsequently divided
676 by the length of the interval. That is, the trend uncertainty was calculated as the root sum square of
677 yearly rate uncertainties, divided by the interval length.

678 ## 3.5 Greenland contribution

679 Changes of land ice masses in Greenland comprising the GrIS and peripheral glaciers are assessed in
680 two ways: by GRACE (Sect. 3.5.1) and by a combination of satellite altimetry for the GrIS and glacier
681 modelling for the peripheral glaciers (Sect. 3.5.2, 3.5.3). Results from those complementary assessments
682 shown in Fig. 6 are collectively discussed at the end of this section.

683 ### 3.5.1 GRACE-based estimates

684 The GRACE-based product developed at DTU Space within the Greenland Ice Sheet CCI project is used
685 to provide mass change estimates for the GrIS from GRACE monthly gravity field solutions. The quasi-
686 monthly GRACE-based mass anomaly estimates (grids and basin time series) are available from
687 https://climate.esa.int/en/projects/ice-sheets-greenland/. Comprehensive descriptions and references
688 are given by Barletta et al. (2013), Sørensen et al. (2017), Horwath et al. (2019) and Mottram et al.
689 (2019).

690 *Methods and product*

691 An inversion technique was used to obtain monthly mass anomalies for entire Greenland from each of
692 the available GRACE monthly solutions, with the approach descried in Barletta et al. (2013). An
693 icosahedral grid of point masses, each representing an area of ~20 km radius, was inverted in order to
694 fit the gravity observations at the satellite altitude. The limited ~300 km resolution of GRACE monthly
695 solution requires inversion for ice mass changes over the whole GrIS including peripheral glaciers,

whose contribution cannot be isolated independently. For this work the CSR RL06 monthly solutions was used, with a maximum degree and order of 96, and prior to the inversion the prescribed C_{20} and degree-one corrections were applied, together with an anisotropic filtering (DDK3 from Kusche et al., 2009). Mass changes of glaciers outside Greenland were co-estimated to minimise their leakage into the Greenland ice mass change estimates.

Our inversion did not include a GIA correction. We separately calculated the effect of GIA on our inversion. Based on the Caron et al. (2018) GIA solution (chosen for consistency with the OMC estimate, cf, Sect. 3.3) we obtained a GIA effect of 7.5 Gt yr^{-1}. This linear trend was subtracted from the time series.

Uncertainty assessment

GRACE based products are provided with error estimates based on the approach developed by Barletta et al. (2013). The uncertainties were propagated from the errors in GRACE monthly solutions, leakage errors due to GRACE limited spatial resolutions, and errors in the models used to account for degree-one contributions and the GIA correction. In detail, the uncertainty related to GRACE solutions was obtained in a Monte-Carlo-like approach, with 200 simulations for Stokes coefficients selected from a zero-mean normal distribution, and the standard deviation from the GRACE CSR RL06 Level 2 solution.

3.5.2 Altimetry-based estimates

Methods and product

The surface elevation changes estimates are based on satellite radar altimeter observations for the period 1992–2017, and include data from the missions ERS-1, ERS-2, Envisat and Cryosat-2. The temporal evolution of surface elevation is estimated by a combination of cross-over, repeat-track and least-square methods covering the entire GrIS and for the entire time span covered by the different missions, on a 5 km common uniform grid (Sørensen et al., 2018). The different characteristics of the missions (the conventional radar altimetry of the ERS-1, ERS-2 and Envisat missions, and the novel SAR Interferometric altimetry of Cryosat-2), and the different orbital characteristics call for special care in the combinations of the different datasets, and in the determination of the uncertainties.

Before an ice sheet wide estimate of volume change can be converted into ice sheet mass balance, contributions which are not related to ice-mass change must bF65e corrected for. These contributions include factors such as changes in firn compaction rates, GIA, and elastic uplift. Such a correction method was first applied for satellite ICESat lidar observation (Sørensen et al., 2011). As Ku-band radar altimetry is subject to weather-induced changes in subsurface penetration depth of snow-covered areas (Nilsson et al., 2015), we here chose to apply a different calibration procedure instead of the direct correction fields. This approach follows that of Simonsen et al., 2021. The calibration period is the era of ICESat (2003–2009), where the ICESat laser altimeter provides precise estimates of surface elevation change without surface penetration, and ENVISAT provides similar estimates subject to surface penetration. The spatial differences between the ICESat and ENVISAT mass estimates provides the input for a calibration field (initial radar-volume mass balance) which can be applied to the full time

734 series of elevation changes based on satellite radar altimeter observations for the period 1992–2017.

735 Following the calibration procedure described above, we computed monthly grids of mass change rates
736 at 100×100 km^2 resolution for the entire main GrIS. The peripheral glaciers (connectivity level 0 and
737 1 according to Rastner et al. 2012) were excluded from the grid. For each epoch, the mass rates of the
738 grid cells were added to derive monthly mass change rates of the entire ice sheet. Time series of ice
739 sheet mass anomalies with respect to the reference interval 2006–2015 were then generated by
740 cumulating the mass change rates in time and subtracting the mean over 2006–2015 from the cumulated
741 time series.

742 The monthly grids were derived by applying a temporal window to aggregate the radar observations.
743 For the ERS-1, ERS-2, and ENVISAT mission, this window is 5 years long. For CryoSat-2 the window
744 is 3 years long. The monthly grids are referred to the centre of the time window. This result in a
745 smoothening of the time series to resolve climatic changes and not seasonal weather.

746 *Uncertainty assessment*

747 The error of the traditional altimetry-based mass-change estimates originates from different sources:
748 uncertainty in the interpolation from point changes to ice sheet wide changes, uncertainty in the bedrock
749 movement and in the firn compaction model, uncertainties due to the neglect of basal melt contributions,
750 and of the possible ice accumulation above the Equilibrium Line Altitude due to ice dynamics. For
751 observations from radar altimetry, an additional source of uncertainty is the changing radar penetration
752 in the firn column. The latter was reduced by the calibration approach applied here.

753 The overall uncertainty in the altimetry-derived mass change time series is provided as a conservative
754 estimate based on converting the radar altimetry volume error into mass by ascribing ice densities to all
755 grid cells. This estimate is assumed to be slightly overestimating the combined error of the five error
756 sources.

757 Uncertainties of cumulated mass changes (in space as well as in time) were derived as follows: For the
758 cumulation in space, standard uncertainties from all grid cells were added linearly. For the cumulation
759 in time, uncertainties of mass change rates were aggregated (as root sum square) forward or backward
760 from 2011.0 to the specific epochs. Uncertainties of multi-year trends were calculated as the aggregated
761 uncertainties of mass change rates over the interval of interest, divided by the interval length.

762 3.5.3 Altimetry-GGM combination

763 Unlike the GRACE-based assessment for Greenland, the altimetry-based assessment does not include
764 Greenland peripheral glaciers. We therefore take the sum of the altimetry-based estimates for the ice
765 sheet and GGM-based estimates for the peripheral glaciers to represent the total ice mass changes in
766 Greenland. The GGM methods and products and the related uncertainty assessments described in Sect.
767 3.4 were applied. The uncertainties of the sum of the two products were calculated as the root sum square
768 of the uncertainties of the two summands.

769 The synthesis of assessed Greenland GMSL contributions in Fig. 6a shows that both the proper ice sheet
770 and peripheral glaciers contribute significantly (0.43 ± 0.04 mm yr^{-1} and 0.17 ± 0.02 mm yr^{-1},

respectively, over P1). The rates of change vary interannually, peaking in 2011 and 2012. This is consistently reflected in the GRACE-based estimate and in the altimetry-GGM combination. The altimetry-GGM combination shows a somewhat larger trend over P2 than the GRACE-based estimate (0.89 ± 0.07 mm yr^{-1} versus 0.78 ± 0.02 mm yr^{-1}) and does not resolve the annual cycle in the same way as GRACE, as the annual cycle is not resolved in the altimetry-based time series. The time-variable uncertainties of the altimetry-based and GGM-based time series (Fig. 6b) reflect the cumulation of uncertainties of rates of change backward and forward from the reference interval centre. The uncertainties of the GRACE-based time series reflect the superposition of a linear trend uncertainty and an individual uncertainty for each monthly GRACE solution.

3.6 Antarctic contribution

Mass changes of the AIS are assessed in two ways: by GRACE (Sect. 3.6.1) and by satellite radar altimetry (Sect. 3.6.2). The results from the complementary assessments shown in Fig. 7 are collectively discussed in Sect. 3.6.2. The contribution from Antarctic peripheral glaciers is discussed in Sect. 3.8.

3.6.1 GRACE-based estimates

The GRACE-based product developed at TU Dresden within the Antarctic Ice Sheet CCI project is used to provide mass change estimates for the AIS from GRACE monthly gravity field solutions (Groh and Horwath, 2021; Nagler et al., 2018a, 2018b). Quasi-monthly GRACE-based mass anomaly estimates (grids and basin time series) are available from https://climate.esa.int/en/projects/ice-sheets-antarctica/ or https://data1.geo.tu-dresden.de/ais_gmb.

Methods and product

The AIS GRACE-based products were derived from the SH monthly solution series by ITSG-Grace2016 by TU Graz (Klinger et al., 2016; Mayer-Gürr et al., 2016) following a regional integration approach with tailored integration kernels that account for both the GRACE error structure and the information on different signal variance levels on the ice sheet and on the ocean (Groh and Horwath, 2021). The GIA correction adopted by these products was based on the regional model by Ivins et al. (2013). In Sect. 7.2 we address the trade-off between using global or regional GIA models for Antarctica.

Uncertainty assessment

The uncertainty assessment (Nagler et al., 2018b) is analogous to that described for the GRACE OMC assessment in Sect. 3.3. For the AIS, the dominant source of uncertainty is the GIA correction. Uncertainties in the degree-one components and the C_{20} component of the gravity field are also important.

3.6.2 Altimetry-based estimates

Methods and Data Product

We computed Antarctica mass change from 1992 to 2017 using observations from four different satellite radar altimetry missions – ERS-1, ERS-2, ENVISAT and CryoSat-2 – following the methodology

described by Shepherd et al. (2019). For each mission, we computed elevation change from repeated elevation measurements during fixed epochs of 140 days on a polar stereographic grid using a plane fit method (McMillan et al., 2016). We applied a backscatter correction to remove the short-term fluctuations in elevation change correlated with changes in backscatter and we combined the time series from different missions together by applying a cross-calibration technique. To convert our elevation change time series into a mass change time series, we first identified areas of ice dynamical imbalance in order to discriminate between changes occurring at the density of snow and ice. We defined these regions as areas with persistent elevation change that is significantly different from firn thickness change estimates derived from a semi-empirical firn densification model (Ligtenberg et al., 2011). Areas of accelerated rate of ice thickness change were allowed to evolve through time. Based on this empirical classification, we converted our elevation change time series to a mass change time series by using a density of $917 \, \text{kg m}^{-3}$ in areas classified as ice and using spatially-varying snow densities from the firn densification model in areas classified as snow. The mass anomalies for the WAIS, the EAIS and the APIS at a 140-day resolution from 1992 to 2016 are available from http://www.cpom.ucl.ac.uk/csopr/.

Figure 7a shows the AIS GMSL contributions from the altimetry-based assessment as well as from the GRACE-based assessment. Over P1 (assessed from altimetry), the AIS contribution to GMSL is $0.19 \pm 0.04 \, \text{mm yr}^{-1}$. Rates of change are much smaller from 1995 to 2006 and larger from 2006 onwards. Mass losses are dominated by mass losses in West Antarctica due to changing ice flow dynamics (cf. Shepherd et al., 2018). Over P2, the evolution of the AIS GMSL contribution from altimetry and GRACE is similar, with linear trends at $0.34 \pm 0.04 \, \text{mm yr}^{-1}$ and $0.27 \pm 0.11 \, \text{mm yr}^{-1}$, respectively, overlaid by noise as well as common interannual signal.

Uncertainty assessment

We assessed the uncertainties of our elevation change time series and convert them to a mass change uncertainty using the same time-evolving mask of ice dynamical imbalance areas described in the previous section. At each epoch, we estimated the overall error of our elevation change as the sum in quadrature of systematic errors, time-varying errors, errors associated to the calibration between the different satellite missions and errors associated with snowfall variability. The systematic errors refer to errors that affect the long-term elevation change trend. These may arise from short-term changes in the snowpack properties or from short-lived accumulation events that may not be accounted for in our plane fit model. We quantified the systematic errors as the standard error of the long-term rate of elevation change. The time-varying error refers to errors in the satellite measurements that might hinder our ability to measure elevation change at one particular epoch due to the measurement's precision or non-uniform sampling. We calculated these errors as the average standard error of elevation measurements. The inter-satellite biases uncertainties were computed as the standard deviations between modelled elevations during a two-year period centred on each mission overlap. Finally, we quantified the snowfall variability uncertainty based on estimates from a regional climate model.

Cumulated mass changes and their uncertainties were originally generated with respect to the reference epoch 1993.0, separately for the East Antarctic Ice Sheet (EAIS), the West Antarctic Ice Sheet (WAIS), and the Antarctic Peninsula (APIS). To refer the product to the reference interval 2006–2015, we subtracted the respective mean from the mass anomaly time series. We calculated uncumulated

uncertainties by taking the differences between the uncertainties of consecutive epochs. We re-cumulated these uncertainties with respect to the centre of the reference interval, 2011.0, by linearly cumulating the uncumulated uncertainties, forward or backward, from 2011.0. Uncertainties of linear trends were calculated by linearly cumulating the uncumulated uncertainties over the interval of interest and division by the interval length. Uncertainties for the mass changes of the entire AIS were calculated as the root sum square of uncertainties for EAIS, WAIS and APIS.

Figure 7b shows the time-dependent uncertainties resulting from the cumulation with respect to the reference interval centre. The uncertainties of the GRACE-based estimates also shows reflect the model analogous to Eq. (16).

3.7 Land water storage

Methods and product

The LWS contribution is assessed with the global hydrological model (GHM) WaterGAP (Döll et al., 2003; Müller Schmied et al., 2014) in its latest version, WaterGAP2.2d (Müller Schmied et al., 2021). The model simulates daily water flows and water storage anomalies including the effects of human water use on a $0.5° \times 0.5°$ grid (55 km by 55 km at equator and ~3000 km² grid cell) covering the whole land area except for Antarctica (we excluded model outputs over Greenland to avoid double-counting). Note that the Caspian Sea is not part of the model grid (based on the WATCH-CRU land-sea mask) and thus not included in the assessment of the LWS component. Water flows are routed through a series of individual water storage compartments (Fig. 2 in Müller Schmied et al., 2021). Following the stream network defined by the global drainage direction map DDM30 (Döll and Lehner 2002), streamflow is laterally routed until reaching the ocean or an inland sink. The model is calibrated against observed mean annual streamflow at 1319 gauging stations (Müller Schmied et al., 2021). LWS anomalies (LWSA) are the aggregation of the anomalies in all individual water storage compartments:

$$LWSA = SnWSA + CnWSA + SMWSA + GWSA + LaWSA + ReWSA + WeWSA + RiWSA \quad (17)$$

where WSA are water storage anomalies in snow (Sn), canopy (Cn), soil moisture (SM), groundwater (G), lake (La), reservoir (Re), wetland (We) and river (Ri) storages. The model does not account for anomalies related to glacier mass variations. Land areas that in reality are covered by glaciers are represented as non-glacier-covered land areas where hydrological processes (evapotranspiration, runoff generation, groundwater recharge etc.) are simulated. In terms of OMB assessment, adding the glacier contribution (Sect. 3.4) and the LWS contribution has the implication of "double-counting" the land areas covered by glaciers, which are then included in both contributions. In a recent study (Cáceres et al., 2020), time series of glacier mass variations computed by the GGM of Marzeion et al. (2012) were integrated as an input to WaterGAP; this resulted in a non-standard version of the model that explicitly accounts for glaciers. The aggregated water storage anomalies computed by this model version were compared to the result of adding LWSA computed by the standard WaterGAP and anomalies related to glacier mass variations computed by the GGM. The comparison of these two approaches showed that the impact of double-counting glacier-covered areas is insignificant at global scale.

883 Human water use is accounted for through the representation of the impact of water impoundment in
884 man-made reservoirs and of net water abstractions (i.e. total abstractions minus return flows) on water
885 flows and storages. The reservoir operation algorithm implemented in WaterGAP is a slightly modified
886 version of the generic algorithm of Hanasaki et al. (2006) (Döll et al., 2009). Based on a preliminary
887 version of the Global Reservoir and Dam (GRanD) data base (Lehner et al., 2011), the model accounts
888 for the largest 1082 reservoirs. The reservoir filling phase is simulated based on the first operational
889 year and the storage capacity. Net water abstractions are simulated for five water use sectors (irrigation,
890 livestock farming, domestic use, manufacturing industries and cooling of thermal power plants) and
891 subsequently subtracted from the surface water and groundwater storage compartments (Müller
892 Schmied et al., 2021; Döll et al., 2014).

893 In the framework of this study, we used monthly globally-averaged (over 64432 0.5° by 0.5° grid cells)
894 LWSA time series extending from Jan 1992 to Dec 2016. Anomalies are relative to the mean over the
895 period Jan 2006 to Dec 2015. The model was forced with daily WATCH Forcing Data methodology
896 applied to ERA-Interim data (WFDEI, Weedon et al., 2014). Two different variants of this climate
897 forcing were used. In one of them, precipitation was bias corrected using monthly precipitation sums
898 from the Global Precipitation Climatology Centre (GPCC, Schneider et al., 2015) and, in the other one,
899 it was bias corrected using monthly precipitation sums from the Climate Research Unit (CRU, Harris et
900 al., 2014); hereafter, we refer to these climate forcings as WFDEI-GPCC and WFDEI-CRU,
901 respectively. In addition, we considered two different assumptions in relation to consumptive irrigation
902 water use in groundwater depletion regions. Typically, consumptive irrigation water use is calculated
903 by assuming that crops receive enough water for actual evapotranspiration to be equivalent to the
904 potential evapotranspiration value (Döll et al., 2016). We assumed consumptive irrigation water use to
905 be either optimal (i.e. 100% of water requirement) or 70% of optimal in groundwater depletion areas
906 (for more details, see Döll et al., 2014). Consequently, an ensemble of four LWSA time series
907 corresponding to two climate forcings and two irrigation water use variants was considered. The
908 unweighted mean of the four ensemble members was used in the SLB assessment.

909 A comparison of the monthly time series of total water and ice storage anomaly (CMC) over the
910 continents (except Greenland and Antarctica) as derived from GRACE and from the non-standard
911 WaterGAP version with glacier integration showed a very good fit, with a modelling efficiency of 0.87
912 (Cáceres et al., 2020). The GRACE trend during 2003–2016, however, was 26% weaker than the trend
913 from the non-standard WaterGAP version. More recently this difference was significantly reduced after
914 the GRACE analysis for continental total water storage was made more consistent with the GRACE
915 OMC analysis (Gutknecht et al., 2020).

916 Figure 8a shows the monthly time series of LWSA contribution to GMSL. It is characterised by the
917 highest seasonal amplitude of all ocean-mass contributions due to seasonal climate variations. (See Fig.
918 12 for a time series where the seasonal signal is subtracted.) The overall positive trend (0.40 ± 0.10
919 mm yr^{-1} over P1) is caused mainly by groundwater and surface water depletion that more than balances
920 increased land water storage due to the filling of new reservoirs.

 Uncertainty assessment

Uncertainties are characterised by the spread between the four model runs. For each month, the standard deviation of the values from the four time series was taken as the standard uncertainty. Figure 8b shows these time-variable uncertainties of the LWSA. They reflect month-to-month differences in the spread between the ensemble members. Since the LWS anomalies are referred to the 2006–2015 mean value and the four ensemble members show different trend, the uncertainty is lowest around 2011.0 and tends to increase towards the beginning (1993) and the end (2016). The standard deviation of the linear trends calculated for each ensemble member was taken as the standard uncertainty of the linear trend of the ensemble mean.

3.8 Other contributions and issues

Caspian Sea water storage changes are not included in the WaterGAP model domain and are therefore not included in our GMSL budget assessment. WCRP (2018) quote this contribution as 0.075 ± 0.002 mm yr^{-1} since 1995 and 0.109 ± 0.004 mm yr^{-1} since 2002. Based on GRACE analyses, Cáceres et al. (2020) estimate the contribution to be 0.066 ± 0.003 mm yr^{-1} over 2003–2016, very similar to the GRACE-based estimate by Loomis and Luthcke (2017), which corresponds to 0.067 ± 0.007 mm yr^{-1} sea-level equivalent over 2003–2014.

Antarctic peripheral glaciers are neither included in the altimetry-based assessment of the Antarctic ice mass change nor in the GGM assessment. The GRACE-based estimate for Antarctic ice mass changes was designed to address the ice sheet proper but includes part of the mass changes of peripheral glaciers in result of the low spatial resolution capability of satellite gravimetry. Gardner et al. (2013) estimate the Antarctic peripheral glaciers mass loss over 2003–2009 to a value equivalent to 0.017 ± 0.028 mm yr^{-1} GMSL. Zemp et al. (2019) estimate a loss over 2006–2016 at a value equivalent to 0.04 ± 0.30 mm yr^{-1} GMSL.

Changes in atmospheric water content (mainly tropospheric water vapour) are not included in our assessment. The atmosphere stores around 12,700 Gt of water (Trenberth 2014), or 35 mm sea-level equivalent. Hartmann et al. (2013) report that the rate of change of tropospheric water vapour content is very likely consistent with the Clausius–Clapeyron relation (about 7% increase in water content per Kelvin). This corresponds to an equivalent GMSL effect on the order of -0.03 to -0.05 mm yr^{-1}, which was also obtained by Dieng et al. (2017) from ERA-Interim atmospheric reanalysis results. Interannual variations of atmospheric water content reported by Dieng et al. (2017) are up to the order of 1 mm GMSL equivalent.

The elastic deformation of the ocean bottom induced by the present-day global redistribution of water and ice loads is not accounted for in our GMSL estimate from satellite altimetry (cf. Sect. 2.1). Since the deformation is downward on average over the global ocean, this omission leads to an underestimation of relative GMSL rise. Frederikse et al. (2017) estimated the effect for the period 1993–2014 to be 0.13 mm yr^{-1} for the global ocean and 0.17 mm yr^{-1} for the domain bounded by ±66° latitude, with higher rates in the second half of the period. Vishwakarma et al. (2020) estimated the effect for 2005–2015 to be 0.11 ± 0.02 mm yr^{-1} for a global altimetry domain buffered along the coasts.

Our conversion from OMC (or ocean-mass contributions) to sea-level change adopts the density of freshwater. In previous studies, either the density of freshwater or the density of sea water has been adopted, where both approaches have their justification (cf. Gregory et al., 2019; Vishwakarma et al., 2020). If we had adopted the sea water density (1028 kg m^{-3}), our assessments of mass contributions would be reduced by 2.7%.

4 Ocean-mass budget

We evaluate the OMB according to Eq. (5). We do the assessment for the P2 period (Jan 2003 –Aug 2016, cf. Sect. 2.2). We use the OMC assessment made for the global ocean.

4.1 Linear trend

For the elements of the mass budget, we calculated linear trends over P2. We assessed their uncertainties as explained in Sect. 2.3 and specified for every element in Sect. 3. The results are shown in Table 3.

All components exhibit a significant positive trend, i.e., water mass loss on land. Greenland ice masses contribute 0.78 ± 0.02 mm yr^{-1} as assessed from GRACE or 0.89 ± 0.07 mm yr^{-1} as assessed from radar altimetry for the ice sheet and from the GGM for the peripheral glaciers. The glaciers outside Greenland and Antarctica contribute 0.77 ± 0.03 mm yr^{-1}, similar to Greenland. The Antarctic Ice Sheet's contribution is 0.27 ± 0.10 mm yr^{-1} if assessed from GRACE, and 0.34 ± 0.04 mm yr^{-1} if assessed from radar altimetry. The trend in land water storage amounts to 0.40 ± 0.10 mm yr^{-1}.

The sum of components is 2.19 ± 0.15 mm yr^{-1} and 2.40 ± 0.13 mm yr^{-1}, respectively, if the Greenland and Antarctica contributions are assessed using either GRACE or altimetry. The corresponding trend in mean global ocean mass according to our preferred GRACE-based solution (ITSG-Grace2018, GIA correction according to Caron et al., 2018) amounts to 2.62 ± 0.26 mm yr^{-1}.

The misclosures of Eq. (5) with combined standard uncertainties are 0.40 ± 0.30 mm yr^{-1} (if using GRACE for Greenland and Antarctica) and 0.22 ± 0.29 mm yr^{-1} (if using altimetry in Greenland and Antarctica). Hence, the misclosure is at 1.5 times the standard uncertainty and 0.76 times the standard uncertainty, respectively. The mass budget is closed within standard uncertainty in the second case and still within the 1.65-sigma range (corresponding to the 90% confidence range) in the first case.

4.2 Seasonal component

The inherent monthly resolution of GRACE-based OMC, GRACE-based AIS and GrIS mass changes and modelled LWS and glacier mass changes allows us to analyse the budget of the seasonal variations of ocean mass. For this purpose, we analyse the annual cosine and sine amplitudes a_3 and a_4 of Eq. (3) just in the way we analysed the linear trend a_2 in Sect. 4.1.

Figure 9 shows the results of this analysis. The seasonal amplitudes of GRACE-based OMC and the sum of assessed contributions are very similar at 10.6 mm and 9.7 mm, respectively. LWS, with an amplitude of 8.9 mm, is by far the dominant source of seasonal OMC. The phase of GRACE OMC is

approximately 6 days later than the phase of the sum of components. This small offset of phase is close to the one-sigma uncertainties assessed for the GRACE OMC results, even though the uncertainty assessment was limited to effects of degree-one, C_{20}, and leakage. Errors in the seasonal components of WaterGAP are another potential source of the phase offset.

The result does not change significantly (by less than 0.2 mm SLE for the annual cosine and sine amplitudes) if we replace the WaterGAP ensemble mean by one of the individual WaterGAP model runs or if we replace the ITSG-based GRACE OMC solutions by the CSR-based, GFZ-based, or JPL-based SH OMC solution generated by the SLBC_cci project. The phase offset between GRACE OMC and the sum of contributions becomes larger if we replace the SLBC_cci OMC solutions by the Johnson and Chambers SH-based OMC solutions or the GSFC mascon solutions (cf. specifications in Sect. 3.3) – see grey arrows in Fig. 9.

4.3 Monthly time series

Figure 10 illustrates the monthly-sampled time series of the elements of the OMB. The seasonal signal component, represented by annual and semi-annual harmonic functions was subtracted. The GRACE OMC (dark green line), and the sum of components (dark red line or light red line) not only have similar trends (cf. Sect. 4.1), they also reflect interannual variations coherently. These interannual variations overlay the long-term trend and reach amplitudes of 2–3 mm. Clearly, they are dominated by the LWS contribution. They include a minimum in 2007/2008, a maximum in 2010, with a subsequent decrease to a minimum in 2011 related to a La-Niña event (Boening et al., 2012). The sequence continues with an interannual maximum in 2012/2013, a minimum in 2013/2014 and another maximum in 2015/2016.

Figure 11a shows the OMB misclosure, together with the combined standard uncertainties of all elements of Eq. (5). The percentages of monthly misclosure values within the 1-sigma, 2-sigma, 3-sigma and 4-sigma combined uncertainty amount to 58.5%, 92.7% and 99.4% and 100.0% for the time series using the GRACE-based ice sheet assessment. Similarly, the percentages are 64.0%, 95.1%, 100.0% and 100.0% for the time series using the altimetry-based ice sheet assessments. These statistics support the realism of the uncertainty assessment where under the assumption of a Gaussian error distribution one would expect 67.3%, 95.5%, 99.7% and 99.99% of the values to be within the 1-sigma, 2-sigma, 3-sigma and 4-sigma limits, respectively.

5 Sea-level budget

We consider the two time periods P1 (altimetry era) and P2 (GRACE/Argo era) as introduced in Sect. 2.2. We concentrate on the steric product generated within SLBC_cci (see Sect. 3.2) when analysing the SLB over P2. For P1, which is not fully covered by the SLBC_cci steric product, we resort to the ensemble mean steric product updated from Dieng et al. (2017). The GRACE-based OMC estimates used here are those evaluated for the ocean between 65°N and 65°S. While for the present results of GRACE OMC this makes little difference, it is consistent to the averaging area of GMSL and the steric component.

5.1 Linear trend

Linear trends for the elements of the SLB for the two time periods are given in Table 4. The trends were calculated as explained in Sect. 2.2, and uncertainties were assessed as explained in Sect. 2.3 and specified for every element in Sect. 3.

For P1, the observed GMSL trend is 3.05 ± 0.24 mm yr^{-1}. The sum of individual SLBC_cci v2 components is 2.90 ± 0.17 mm yr^{-1}. This leaves a misclosure of 0.15 ± 0.29 mm yr^{-1}.

For P2, the observed GMSL trend is 3.64 ± 0.26 mm yr^{-1}. The sum of contributions is 3.85 ± 0.33 mm yr^{-1} if OMC is estimated from GRACE. The sum of contributions is 3.59 ± 0.22 mm yr^{-1} and 3.41 ± 0.23 mm yr^{-1}, if the mass contributions are assessed individually, involving altimetry-based estimates or GRACE-based estimates, respectively, for the ice sheets. The three choices of assessing OMC leave misclosures of -0.21 ± 0.42 mm yr^{-1}, 0.05 ± 0.34 mm yr^{-1} and 0.23 ± 0.35 mm yr^{-1}, respectively. The trend misclosures are hence within the standard uncertainty arising from the combined uncertainties of the involved budget elements.

If we used the Dieng et al. (2017) ensemble mean steric product for the P2 SLB assessment, the trend misclosure remained unchanged, since the steric trend over P2 is equally 1.19 mm yr^{-1} for the two alternative steric products.

The strong limitations of Argo coverage in the years before 2005 are reflected in the uncertainties of the SLBC_cci Steric product (Fig. 3). Since the trend calculation accounts for these uncertainties (cf. Sect. 2.2) the SLBC_cci steric trend is dominated by the data starting from 2005. An alternative accounting for the large pre-2005 uncertainties would be to start the entire SLB assessment from 2005. For an alternative period, Jan 2005 – Aug 2016, the trend budget is given in Table A1 (Appendix). For this period, the assessed linear trends of GMSL, the steric component, and the mass component are higher, by about 0.16, 0.07 and 0.17–0.29 mm yr^{-1}, than for P2. The conclusions on the budget closure within uncertainties remain unchanged.

5.2 Monthly time series

For P1 (altimetry era) our SLB assessment refers to the Dieng et al. (2017) ensemble mean steric product and to the mass component composed from the individual contributions (involving altimetry-based assessments for the ice sheets). Figure 12 shows the monthly time series of the SLB elements. The seasonal signal component is removed. Apart from showing similar linear trends (cf. Sect. 5.1), the observed GMSL (black curve) and the sum of contributions (light red curve) exhibit largely coherent interannual variations in the second half of P1 starting from 2005. These interannual variations, overlaid on the long-term trend, reach about 3–4 mm amplitudes. As a prominent feature, the La-Niña related local GMSL minimum in 2011 (Boening et al., 2012) arises as a superposition of synchronous variations of a LWS effect and a steric effect.

The associated misclosure time series are shown in Fig. 11b (in grey). Deviations between GMSL and the sum of components are relatively large in the early years 1993–1996. In this period GMSL uncertainties are large (cf. Fig. 1b) due to uncertainties of the TOPEX-A drift correction. In addition,

the steric component has large uncertainties in this period and further through 2004, where it is based on XBT data and therefore suffers from sparse coverage both geographically and at depth (below 700 m). The monthly misclosure values for P1 are within the 1-sigma and 2-sigma uncertainty band, respectively, for 90.9% and 100.0% of the months. Hence, the distribution is narrower than expected from the uncertainty assessment under the assumption of a Gaussian error distribution.

For P2 (GRACE/Argo era) the SLB analysis may employ the SLBC_cci steric dataset, which is also shown in Fig. 12. Again, interannual variations of GMSL (black curve) and the sum-of-components (dark red curve) agree largely in their sequence of positive and negative deviations from a long-term evolution, with the exception of the early Argo years 2003 and 2004. Figure 11b shows (in blue) the misclosure of the SLB when using this SLBC_cci steric dataset (and the individual mass contribution assessments). The percentage of misclosures within the 1-sigma, 2-sigma and 3-sigma ranges of combined uncertainties are 84.8%, 99.4%, and 100.0%, respectively, indicative, again, of a narrower distribution than allowed for by the assessed uncertainties.

For the case of using the GRACE-based OMC, the monthly budget assessment over P2 is illustrated in Fig. 13. While the use of GRACE OMC introduces more month-to-month noise into the sum-of-components time series than the use of individual mass contributions, the features of interannual variations discussed above are again coherently reflected in the GMSL and the sum of-contributions. The related monthly misclosure time series are shown in Fig. 11c. When using the SLBC_cci steric product, the monthly misclosure values are within the 1-sigma, 2-sigma, and 3-sigma range, respectively, for 89.6%, 99.4% and 100.0% of the months, again far within the assessed combined error distribution

6 Attribution of misclosure

We cannot attribute the misclosures in the budgets of linear trends to any particular error source, as the uncertainties on the order of 0.2 to 0.3 mm yr^{-1} in various elements of the OMB and SLB would make such an attribution extremely ambiguous. In contrast, for the interannual features in the misclosure time series of the different budgets (Fig. 11) we can suggest indications on misclosure origins by comparing them among each other and with the interannual variations of the budget elements. Interannual variations are depicted as variations of the running annual means of the misclosure time series, shown as bold curves in Fig. 11.

The OMB misclosure varies interannually between roughly -2 mm and +2 mm (bold curves in Fig. 11a). The SLB misclosure varies interannually between roughly -6 mm and +4 mm (bold curves in Fig. 11b, c) depending on which steric product and which way of estimating OMC are used. Errors of the datasets on GMSL, the steric contribution, GRACE-based OMC, and LWS are most likely responsible for these interannual misclosures. The glaciers and ice sheets time series involve relatively small interannual variations (cf. Fig. 12) so that their errors are unlikely to exceed the sub-millimetre level. The unassessed atmospheric water content contribution (cf. Sect. 3.8) could contribute to the misclosure, though.

As a starting point, we discuss the SLB misclosure obtained if estimating the steric contribution by the SLBC_cci steric product and estimating the mass component by the sum of mass contributions (Fig. 11b, blue curve). As a first feature, the misclosure moves from -6 mm to 0 mm between mid-2003 and mid-2006, indicating that over this 3-year period the sum of contributions rose 2 mm yr^{-1} less than the altimetry-based GMSL. At least part of this feature is readily explained by the limitations of the SLBC_cci steric product in these early years of the Argo system, as discussed in Sect. 3.2.

As a second prominent feature, the misclosure rises by 4 mm from 2006 to 2008 and falls again by 6 mm from 2008 and 2010. From Fig. 12 we see that this misclosure is related to the sum of components suggesting a temporary slowdown of sea-level rise from 2006 to 2008 while the altimetric GMSL exhibits less of such a slowdown. The SLBC_cci steric time series (Fig. 3, 12) has a feature of fall and rise by 3-4 mm in those 2006–2008 and 2008–2010 periods, and this feature enters the SLB misclosure with negative sign. In addition, the mass budget misclosure (Fig. 11a) has a similar rise and fall by 1 to 2 mm in the same 2006–2008 and 2008–2010 periods. Replacing the individual mass components by GRACE-based OMC reduces the misclosure feature (compare Fig. 11b blue line and Fig. 11c red line). Replacing the SLBC_cci steric product by the Dieng et al. (2017) ensemble mean steric time series further reduces this feature (compare Fig. 11c red line and grey line). This may suggest that between 2006 and 2010 the interannual variations of OMC and the steric component are more accurately represented by GRACE-based OMC and the Dieng ensemble mean, respectively, than by the sum of mass contributions involving modelled LWS and the SLBC_cci steric product.

7 Discussion

7.1 Budget closure and uncertainties

The six budget assessments we made for linear trends (two OMB assessments for P2, one SLB assessment for P1, three SLB assessments for P2, cf. Table 3 and 4) revealed misclosure within the 1-sigma range in five cases and at 1.5 sigma in one case. Hence, misclosures are compatible with assessed uncertainties. Assessed uncertainties of the trends of various budget elements are on a similarly high level. For example, for P2 the trend uncertainties are 0.26 mm yr^{-1} for GMSL, 0.17 mm yr^{-1} for the steric component, and 0.13 mm yr^{-1} to 0.29 mm yr^{-1} for the mass component, depending on how it is assessed.

As a consequence of the budget closure within uncertainties, no significant estimates of missing budget elements can be made based on the present budget assessments. Likewise, the linear trend of any single component cannot be easily validated through budget considerations. In cases where the budget of trends is closed much better than the combined uncertainties (e.g., column b in Table 4), this could just result from an incidental compensation of errors in the involved budget elements. It may be interesting to illustrate this notion by the history of the present study. Updates of the GRACE OMC analysis with respect to a previous version (cf. Sect. 3.3) shifted the misclosures of OMB (Table 3) and SLB (Table 4d). Picking OMC solution variants that provide near-zero budget closure would have led to different choices prior to and after the update. However, the methodological developments neither for GRACE OMC estimates nor for estimates of the other budget elements are concluded by far.

Our estimates of ice sheet contributions used the data products from the two methods (satellite gravimetry and satellite altimetry) exploited by the AIS CCI project and the GIS CCI project. The Input Output Method (IOM, e.g., Rignot et al., 2019) not used here has resulted in estimates of stronger losses, in particular for the AIS. Rignot et al. (2019) reported an AIS mass loss at 168.9 ± 5 Gt yr^{-1} (or 0.47 ± 0.01 mm yr^{-1} equivalent sea level) over 1992-2017, a period similar to our P1, where we estimate 0.19 ± 0.04 mm yr^{-1} sea-level equivalent. Therefore, using IOM-based estimates like those by Rignot et al. (2019) would likely result in less positive or slightly negative misclosures of the OMB and SLB as compared to our assessments in Table 3a,b and Table 4a,b,c. Again, since the budgets are closed within uncertainties for any of the discussed ice sheet estimates, we cannot use our budget assessments to judge which of the discrepant AIS estimates is more correct.

The trends of the individual budget components assessed here for P1 and P2 agree (with two exceptions) within stated uncertainties with the assessment by the IPCC SROCC (Oppenheimer et al., 2019, Table 4.1) for the similar (though not equal) periods 1993–2015 and 2006–2015, respectively. As one exception, our GRACE-based OMC estimate (2.62 ± 0.26 mm yr^{-1} for P2, 2.83 ± 0.29 mm yr^{-1} for Jan 2005 – Aug 2016) exceeds the one of the IPCC SROCC (2.23 ± 0.16), which is based on an ensemble mean from WCRP (2018) and has a considerably smaller uncertainty estimate than ours. The difference is associated to updates of standards on the treatment of degree-one and C_{20} since the time of WCRP (2018) (see our discussion at the end of Sect. 3.3) and to our use of the Caron et al. (2018) GIA correction (cf. Table 1). A second disagreement with IPCC SROCC concerns the LWS contribution. SROCC

reported a negative sea-level contribution at -0.21 mm yr^{-1} for 2006–2015 based on GRACE analyses, while our WaterGAP results indicate a positive contribution at 0.65 mm yr^{-1} for 2006-2015 (0.40 mm yr^{-1} for P2). However, a new GRACE-based assessment of continental mass change (Cáceres et al., 2020, updated by Gutknecht et al., 2020) corrected for the GGM-based glacier mass trend also determines a positive LWS contribution at 0.31 mm yr^{-1} for 2006–2015 (0.42 mm yr^{-1} for P2). This is also consistent with another GRACE assessment by Kim et al. (2019). Assessing the LWS contribution to GMSL remains a challenge.

More recently, the IPCC 6th Assessment Report (AR6, Fox-Kemper et al., 2021) incorporated our assessment (Cáceres et al. 2020) and the one by Frederikse et al. (2020) to quote a LWS GMSL contribution that is now positive for both 1993 – 2018 and 2006-2018. Overall, the AR6 assessment of all budget elements for 1993-2018, and likewise the assessment by Frederikse et al. (2020) for the same period, agree with our results for P1 within uncertainties. As a single exception, AR6 assessed a lower Greenland contribution than we do. Reasons may include the non-uniform treatment of peripheral glaciers by Shepherd et al. (2019), which underlies the AR6 Greenland assessment, as well as the difference between the time periods considered.

Our analysis of the OMB and the SLB on a time series basis exploits the intrinsic monthly resolution of almost all budget elements. Only for the altimetry-based GrIS and AIS assessments, true month-to-month variability is not contained in the time series interpolated to monthly resolution. We found that the spread of monthly misclosure of OMB and of SLB is similar to, or narrower than, a Gaussian distribution with a standard deviation equal to the combined standard uncertainties of the budget elements.

7.2 Limitations of the study

While the uncertainty assessments made for the individual budget components were described in a common framework, different approaches to uncertainty characterisation were used for the different products. The reasons for the conceptual differences as well as their consequences for the relative uncertainty levels within the budget assessments have not been fully elaborated. A further consolidation and standardisation of uncertainty characterisation could allow, in a more flexible way, to propagate uncertainties to different functionals, such as to anomalies with respect to different reference states, or to time-dependent rates of change.

No correlation between errors of different budget elements were accounted for when combining the different elements in budget assessments. However, such correlations exist. An important example is the GIA correction, which is significant by its magnitudes and uncertainties. In our study, the GIA corrections and their uncertainties are -1.37 ± 0.19 mm yr^{-1} for the GRACE OMC estimate, -0.14 ± 0.09 mm yr^{-1} and -0.02 ± 0.02 mm yr^{-1} for the GRACE-based assessment of the Antarctic and Greenland mass contribution, respectively, and -0.30 ± 0.05 mm yr^{-1} for the altimetric GMSL change. (These numbers are subtracted from the uncorrected results.) The errors in these GIA corrections to different budget elements are likely correlated among each other.

As a matter of fact, already the choice of a GIA model used for GIA corrections poses consistency issues not resolved in the present study. We used the regional GIA model IJ05_R2 (Ivins et al., 2013) for GRACE-based AIS mass change estimates as opposed to the global GIA models used for GRACE-based OMC estimates and GrIS mass change estimates. It is subject to ongoing controversy whether global models are consistent with geodetic and geological evidence over Antarctica (Ivins et al., 2013; Argus et al., 2014). Regional GIA models like IJ05_R2, W12 (Ivins et al., 2013; Whitehouse et al., 2012), on the other hand, are not constructed to obey geological evidence on global sea-level history.

Other contributions (Sect. 3.8) which were not included in our budget analysis nor in our uncertainty assessment are on the order of 0.1 mm yr^{-1}, with the largest single unconsidered contribution likely being the elastic seafloor deformation effect. Coarse estimates based on the literature review of Sect. 3.8 indicate that considering the discussed effects does not change the overall conclusions of our study.

It is also important to mention that our 'global' mean sea level assessment as well as our assessment of the steric contribution, by its limitation to the 65°N–65°S latitude range, left out 6% of the global ocean area. In the Arctic and in the Southern Ocean, satellite altimetry has sampling limitations due to orbital geometry and sea-ice coverage. Likewise, Argo floats and other in-situ sensors have sampling limitations due to the presence of sea ice. Therefore, SLB assessments for the polar oceans (e.g., Raj et al., 2020) are even more challenging than for the 65°N–65°S latitude range focussed on in this paper. An assessment of the truly global mean sea-level and its contributions would involve higher uncertainties than quoted here for the 65°N–65°S range.

8 Data availability

A compiled dataset of time series of the elements of the GMSL budget and of the OMB together with their uncertainties is freely available for download at http://dx.doi.org/10.5285/17c2ce31784048de93996275ee976fff (Horwath et al., 2021a). The dataset (ESACCI_SLBC_TimeSeriesOfSeaLevelBudgetElements_v2.2.csv) of Version 2.2 is an update of a previous Version 2.1 dataset, where the update concerns the update of GRACE OMC estimates outlined in Sect. 3.3. The single file in CSV format contains the time series presented in Fig. 10, 12 and 13. These time series are all at an identical monthly sampling, resulting from interpolation of the original time series where necessary. Uncertainties were partly recalculated from the original data products (as described in Sect. 3) in order to make them consistently refer to anomalies with respect to the same reference interval Jan 2006 – Dec 2015 as stated in Sect. 2.3. Seasonal signals (according to Eq. 10) are removed from all time series.

9 Conclusions and outlook

This study assessed CCI data products related to the SLB, advanced the generation of new time series of SLB elements based on satellite earth observation and modelling, and integrated, within a consistent framework, the products into an analysis of the OMB and the SLB. The consolidation, improvement, and exhibition (in Fig. 1, 3–8) of the uncertainty characterization for every budget element were central

1234 to this study. The datasets and analyses presented here document both achievements and limitations
1235 identified within the SLBC_cci study.

9.1 Advances on data products on individual budget elements

1237 For the GMSL, the use of the averaged ESA CCI 2.0 gridded sea-level data was enhanced by the
1238 incorporation of the uncertainty estimate over each GMSL time step from Ablain et al. (2019). Three
1239 major sources of errors were considered in the composition of a variance-covariance matrix to obtain
1240 GMSL uncertainty. The GMSL trend uncertainty over 1993–2016 (after correcting the TOPEX A drift)
1241 is assessed as 0.24 mm yr^{-1} (1-sigma).

1242 For the steric sea-level change, we developed a formal uncertainty framework around the estimation of
1243 steric height from Argo profiles, including propagation to gridded and time series products. The
1244 framework includes simple models to estimate each uncertainty source and their error covariance
1245 structures. Global sampling uncertainty was included when obtaining the global mean from the gridded
1246 products. Inclusion of SST from SST CCI to condition the climatology of the mixed layer reduced bias
1247 of the steric change in the upper ocean, with a small beneficial impact. A full error covariance matrix
1248 was calculated for the global steric time series, facilitating robust calculation of linear trends and their
1249 uncertainties.

1250 OMC was inferred from recent GRACE SH solution releases. The employed methodology is in the
1251 continuity of recent methodological developments and builds on comprehensive insights into the
1252 sensitivity to choices of input data and of the treatment of background models. The related uncertainty
1253 assessment accounted for this sensitivity.

1254 For the glacier contribution, the introduction of an ensemble approach to reconstruct glacier mass change
1255 and the systematic multi-objective optimisation of the global model parameters led to results that
1256 generally confirm the previous estimates, and which also agree well with methods based on observations
1257 only (Zemp et al., 2019). However, the increased model performance (higher correlation with
1258 observations on individual glaciers, better representation of the observed variance of mass balance)
1259 increased the confidence in the results.

1260 For the GrIS contribution, we devised an empirical and effective way to convert the radar altimetry
1261 elevation changes into mass changes. The resulting time series was independently tested against the
1262 GRACE-derived time series and it has shown very high compatibility.

1263 For the AIS contribution, the new time series of Antarctica mass change from satellite radar altimetry is
1264 the result of an improved processing chain and a better characterisation of uncertainties. With a time-
1265 evolving ice and snow density mask and a new method for interpolating surface elevation change in
1266 areas located beyond the latitudinal limit of satellite radar altimeters and in between satellite tracks, we
1267 have provided an updated time series of Antarctica mass change from 1992 to 2017. This new dataset
1268 (cf. Shepherd et al., 2019) shows that ice losses are dominated by the Pine Island Glacier and Thwaites
1269 Glacier basins in West Antarctica, where mass losses (expressed as equivalent GMSL contribution) have
1270 increased from 0.04 ± 0.01 mm yr^{-1} in 1992–1997 to 0.36 ± 0.03 mm yr^{-1} in 2012–2017.

1271 For the LWS contribution, the version of the global hydrological model WaterGAP (version 2.2d) was
1272 developed and applied, which includes the commissioning years of individual reservoirs to take into
1273 account increased water storage behind dams as well as regionalised model parameterisations to improve
1274 the simulation of groundwater depletion (Müller Schmied et al., 2021). Comprehensive insights into the
1275 model sensitivity to choices of irrigation water use assumptions and climate input data were acquired,
1276 enabling a first uncertainty estimation. The good fit of simulated monthly total water storage anomaly
1277 (sum of land water storage and glacier storage) to GRACE-derived estimates, in particular regarding
1278 seasonality and de-seasonalised long-term variability, enhanced the confidence in the simulated land
1279 water contributions (Cáceres et al., 2020).

9.2 Sea-level budget and ocean-mass budget

1281 As summarized in Table 3 and Table 4, the SLB and the OMB are closed according to their assessed
1282 uncertainties for their evaluation periods P1 (Jan 1993 – Dec 2016, SLB) and P2 (Jan 2003 – Aug 2016,
1283 SLB and OMB). We may reformulate the budgets as follows. The GMSL linear trend over P1 and P2 is
1284 3.05 mm yr^{-1} and 3.64 mm yr^{-1}, respectively. The larger trend over P2 is due to an increased mass
1285 component, predominantly from Greenland but also from the other mass contributors. Over P1 (P2) the
1286 steric contribution is 38% (33%) of GMSL rise, while the mass contribution is 57% (61%–73%). Among
1287 the sources of OMC, glaciers outside Greenland and Antarctica contributed 21% (21%) of total GMSL
1288 rise; Greenland contributed 20% (21%–24%); Antarctica contributed 6% (8%–9%); and LWS
1289 contributed 10% (11%). The SLB misclosure (GMSL minus sum of assessed contributions) is between
1290 -6% and +6% of the GMSL rise. Ranges quoted here arise from different options of assessing the
1291 contributions. Uncertainties given in Table 3 and 4 are not repeated here.

1292 We cannot attribute the statistically insignificant misclosure of linear trends. We tentatively attributed
1293 interannual features of misclosure to errors in some of the involved datasets. When the SLBC_cci steric
1294 product is used, a SLB misclosure in the early years of Argo 2003–2006 is likely due to an
1295 underestimation of the steric sea-level rise associated to the global sampling error in conjunction with
1296 the constraints towards a static climatology, as discussed in Sect. 3.2.2. An interannual misclosure
1297 feature between 2006 and 2010 might be related to the SLBC_cci steric product and the WaterGAP
1298 model making the impression of a temporary slowdown in sea-level rise in 2006–2008 with subsequent
1299 recovery in 2008–2010, which is not as pronounced in the GMSL record.

9.3 Outlook

1301 Future work will naturally include an extension of the considered time periods. It will be additionally
1302 spurred by the availability of new data types (Cazenave et al., 2019). GRACE-FO launched in August
1303 2018 already facilitates a satellite gravity times series spanning 19 years (yet with interruptions). It will
1304 be equally important to continue this time series beyond GRACE-FO as currently jointly considered by
1305 ESA and NASA for the next generation gravity mission (Haagmans et al., 2020). The Deep Argo project
1306 (Roemmich et al., 2019) promises new observational constraints on deep ocean steric contributions.
1307 With the Sentinel-6/Jason-CS mission (Scharroo et al., 2016) the continuation of satellite altimetry in
1308 the 66°N–66°S latitude range is enabled with synthetic aperture resolution capabilities exceeding those

of pulse-limited altimeters. Continuity of precise satellite radar altimetry at high latitudes beyond CryoSat-2 still has to be ensured. Perspectives and requirements for long-term GMSL budget studies are detailed by Cazenave et al. (2019). Additional ECVs related to the global water and energy cycle call for their exploration in SLB studies. In the framework of ESA's CCI, results from Water Vapour CCI, Snow CCI, or Lakes CCI are among the candidates.

Limitations discussed in Sect. 7.2 call for further methodological developments. For example, the consideration of GIA as an own element in SLB analyses could help to enforce its consistent treatment. This will be particularly important for regional SLB studies, since GIA is a driver of regional sea-level change and OMC. Such a treatment of GIA could be in accord with the treatment of elastic solid-Earth load deformations as proposed by Vishwakarma et al. (2020). Recent probabilistic characterizations of GIA model errors (Caron et al., 2018) allow their propagation to error covariances of the SLB elements (cf. Frederikse et al. 2020).

While GMSL is an important global indicator, it is indispensable to monitor and understand the geographic patterns of sea-level change, that is, regional sea-level. Regional sea-level reflects the different processes causing sea-level change, which may be hidden in GMSL (e.g., Stammer et al., 2013; Hamlington et al., 2020). Understanding and projecting these processes, with implications down to coastal impact research, is the ultimate goal. The further development of methodologies for regional SLB assessments and their application will be an important step towards this goal.

Author contributions

AC, JB, MH, AS, RF, CJM, JAJ, OBA, BM, FP, PD, KN designed the study. MH led the study and the compilation and editing of the manuscript. AC, HKP, FM contributed the GMSL dataset and its description. CJM, CRM, KvS contributed the SLBC_cci steric dataset and its description. BDG contributed the GRACE-based OMC dataset and its description. BM contributed the global glacier dataset and its description. VRB, RF contributed the GRACE-based Greenland dataset and its description. LSS, SBS contributed the altimetry-based Greenland dataset and its description. AG, MH contributed the GRACE-based Antarctica dataset and its description. AEH, AS, IO contributed the altimetry-based Antarctica dataset and its description. PD, DC, HMS contributed the LWS dataset and its description. BDG conducted the ocean mass budget analyses and drafted their description. HKP, AC, MH conducted the sea-level budget analyses and misclosure attribution study and drafted their description. All authors discussed the results and contributed to the editing of the manuscript. KN managed the project administration. JB launched the ESA CCI Sea Level Budget Closure Project (SLBC_cci) and, with the support of MR, supervised the development of this research activity and reviewed all the deliverables.

Competing interests

The authors declare that they have no conflict of interest.

Acknowledgements

This study was supported by the European Space Agency through the Climate Change Initiative project CCI Sea-level Budget Closure (contract number 4000119910/17/I-NB). We thank the editor Giuseppe Manzella, the reviewers Jianli Chen, Riccardo Riva and an anonymous reviewer as well as Jean-François Legeais for their constructive and insightful comments which helped to improve this manuscript. We thank the German Space Operations Center (GSOC) of the German Aerospace Center (DLR) for providing continuously and nearly 100% of the raw telemetry data of the twin GRACE satellites.

Appendix A

Table A1: Same as the last three columns of Table 4, but for the alternative period Jan 2005 – Aug 2016, when the Argo network was fully established. Linear trends of the sea-level budget elements [mm equivalent global mean sea-level per year].

Budget element	Method	Jan 2005 – Aug 2016		
		(b)	**(c)**	**(d)**
Total sea-level	altimetry	3.80 ± 0.28	3.80 ± 0.28	3.80 ± 0.28
Steric component				
	SLBC_cci + deep steric estimate	1.26 ± 0.17	1.26 ± 0.17	1.26 ± 0.17
Glaciers	GGM	0.78 ± 0.04	0.78 ± 0.04	
Greenland	(altimetry)	(0.72 ± 0.07)		
	(GGM)	(0.20 ± 0.03)		
	Altimetry + GGM	0.92 ± 0.08		
	GRACE		0.81 ± 0.02	
Antarctica	altimetry	0.42 ± 0.04		
	GRACE		0.31 ± 0.11	
Land water storage	WaterGAP	0.57 ± 0.10	0.57 ± 0.10	
Sum of mass contributions		2.69 ± 0.14	2.47 ± 0.15	
Ocean mass (65°N-65°S)	GRACE			2.83 ± 0.29
Sum of contributions		3.94 ± 0.22	3.73 ± 0.23	4.09 ± 0.33
Misclosure		-0.14 ± 0.36	0.07 ± 0.36	-0.29 ± 0.44

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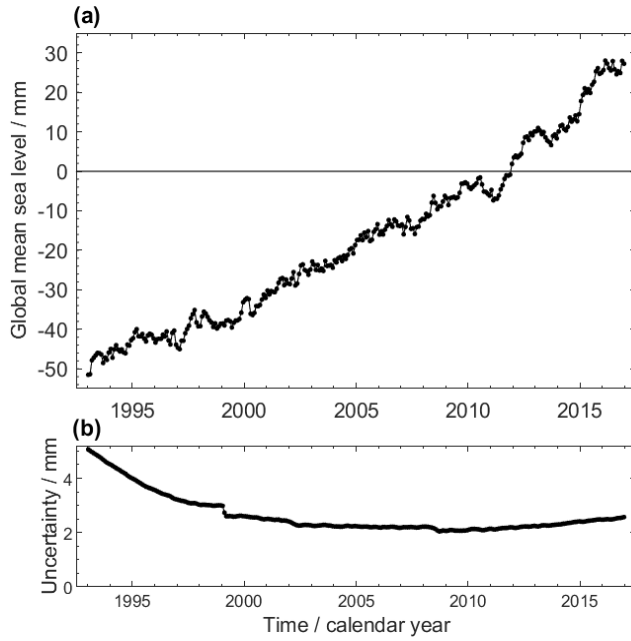
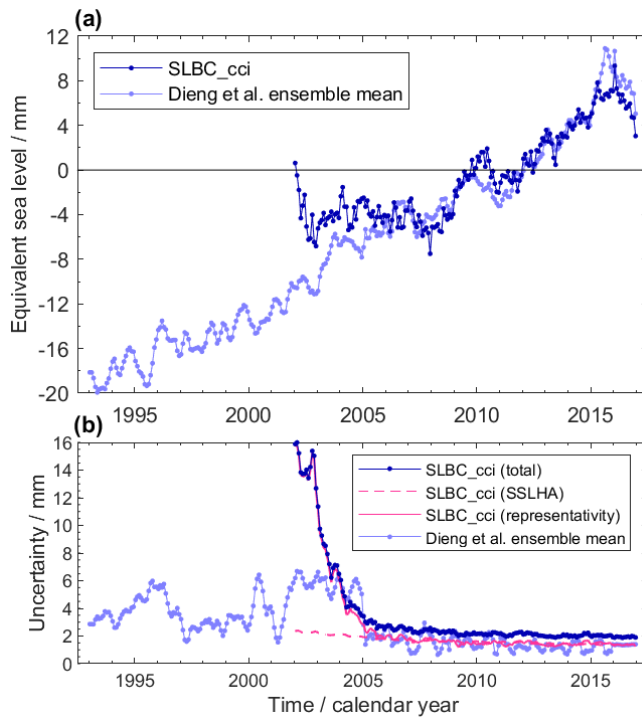

1812   **Figure 1:** (a) Global (65°N to 65°S) mean sea-level time series at its monthly resolution. Changes are
1813   expressed with respect to the mean of the reference interval 2006-2015. (b) The assessed standard
1814   uncertainties.

1816   **Figure 2:** (a) Global (65°N to 65°S) mean steric sea-level height anomaly time series at monthly resolution.
1817   Dark blue: dataset generated within SLBC_cci based on Argo data and the CCI SST product. Light blue:
1818   Update of the ensemble mean product by Dieng et al. (2017). Changes are expressed with respect to the
1819   mean of the reference interval 2006-2015. (b) uncertainties assessed for the estimates in (a). Pink curves
1820   (dashed and full lined) show the uncertainty contribution from the SSLHA uncertainty and from the global
1821   representativity uncertainty, respectively.

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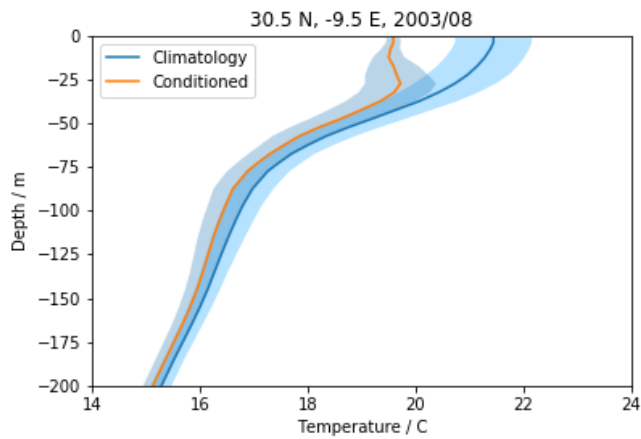
1823 **Figure 3:** Example of effect of conditioning climatology using SST from SST_cci, for a single time and
1824 location (30.5°N, -9.5°E, August 2003). Unconditioned (blue) and conditioned (orange) temperature
1825 profiles with their uncertainty ranges (blue-shaded).

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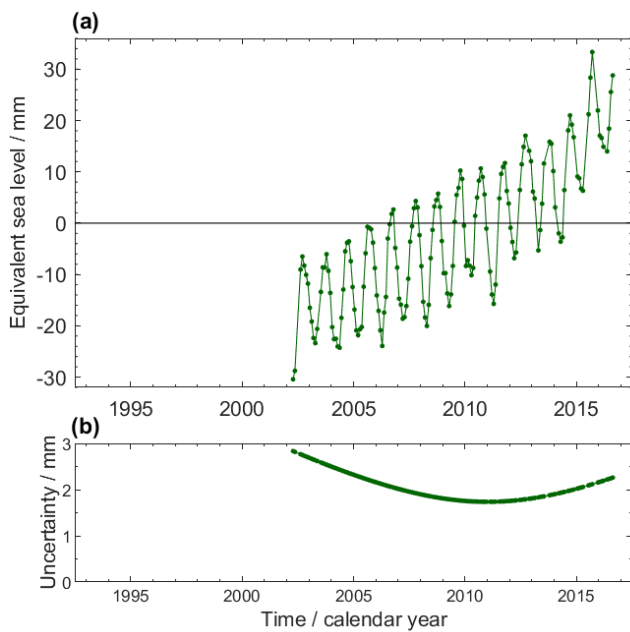
1827 **Figure 4: (a)** Ocean-mass component of GMSL change, derived from the ITSG-Grace2018 spherical
1828 harmonic GRACE monthly solutions with a GIA correction according to Caron et al. (2018). Mass change
1829 of the global ocean is expressed in terms of equivalent GMSL change with respect to the mean of the
1830 reference interval 2006–2015. Time series are shown in their original temporal sampling where some
1831 months are missing. (b) Uncertainties assessed for the estimates in (a).

1832

Figure 5: (a) Global glacier mass contribution to GMSL assessed by the GGM at a monthly resolution. Peripheral glaciers in Greenland and Antarctica are not included. Glacier mass change is expressed in terms of equivalent GMSL change with respect to the mean of the reference interval 2006–2015. (b) uncertainties assessed for the estimates in (a).

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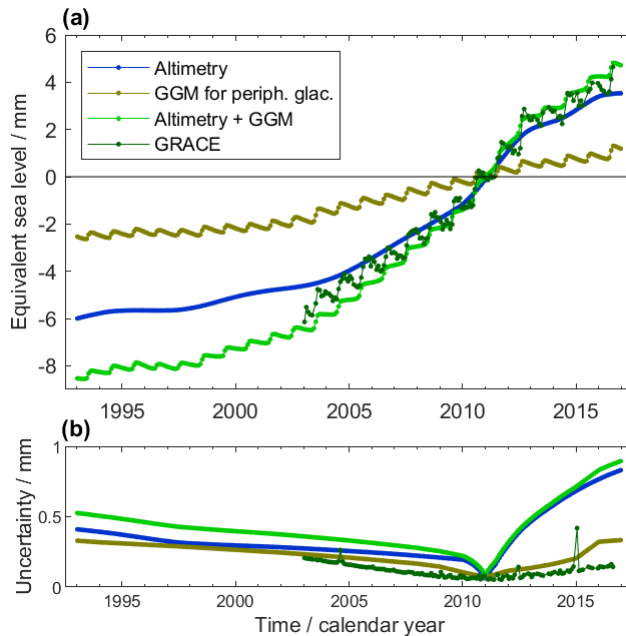
Figure 6: (a) Greenland ice mass contribution to GMSL assessed from GRACE (dark green) and from the combination of altimetry and GGM (light green). The altimetry-based assessment for the ice sheet (blue) and the GGM-based assessment for the peripheral glaciers (brown) are also shown. Ice mass change is expressed in terms of equivalent GMSL change with respect to the mean of the reference interval 2006–2015. GRACE-based time series are shown in their original temporal sampling where some months are missing. (b) uncertainties assessed for the estimates in (a).

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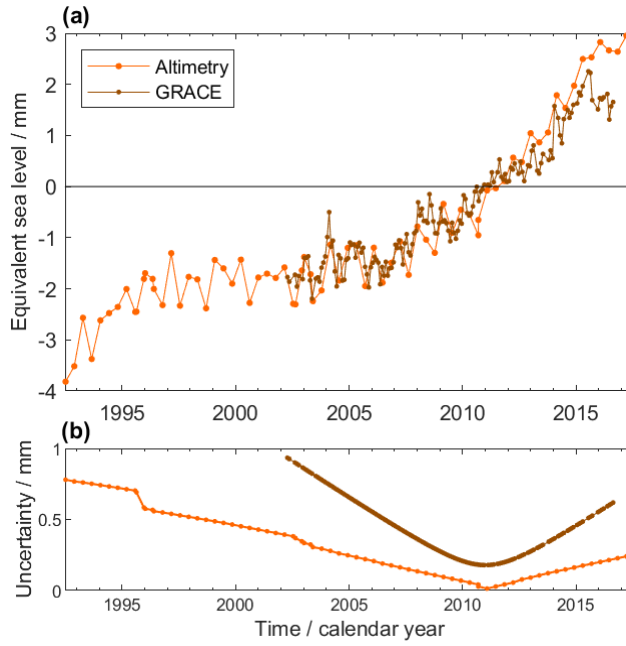
1845   **Figure 7:** (a) Antarctic Ice Sheet mass change contributions to GMSL from GRACE (dark orange) and
1846   altimetry (orange). Ice mass change is expressed in terms of equivalent GMSL change with respect to the
1847   mean of the reference interval 2006–2015. The temporal sampling is quasi-monthly (with a few months
1848   missing) for the gravimetric time series and 140-daily (with a few shorter time increments) for the altimetric
1849   time series. (b) Uncertainties assessed for the estimates in (a).

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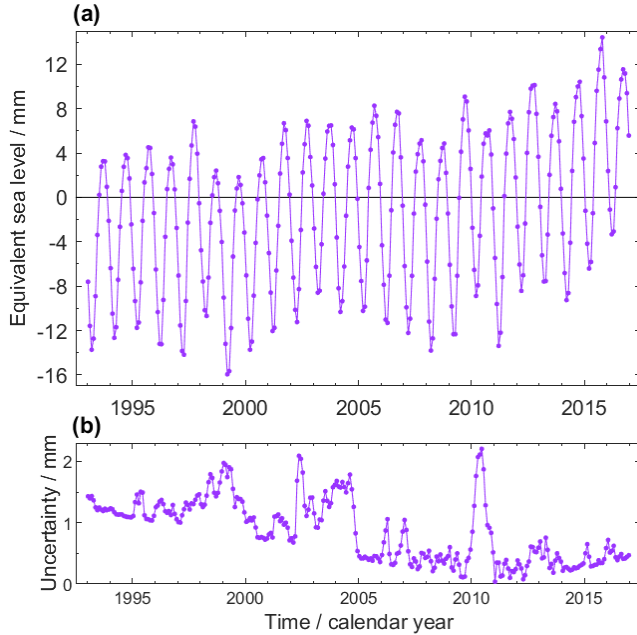
1851   **Figure 8:** (a) Contributions from global land water storage changes (except for Greenland and Antarctica)
1852   to GMSL, assessed by the WaterGAP global hydrology model at its monthly resolution. Water mass change
1853   is expressed in terms of equivalent GMSL change with respect to the mean of the reference interval 2006–
1854   2015. (b) uncertainties assessed for the estimates in (a).

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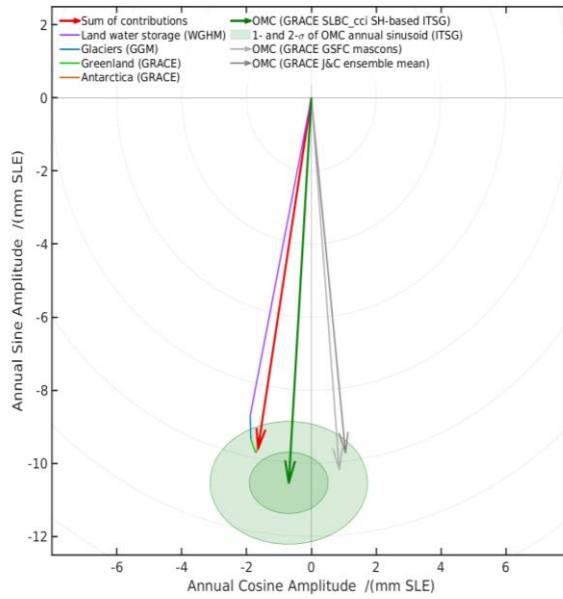
1857 **Figure 9:** Phase diagram of annual sine and cosine amplitudes of elements of the ocean-mass budget. Bold
1858 red vector: sum of contributions in (using GRACE-based estimates for Greenland and Antarctica). Coloured
1859 thin lines: individual contributions (see legend). Bold dark green vector: GRACE ocean-mass change
1860 (OMC) SLBC_cci solution based on GRACE ITSG-Grace2018, together with uncertainty ellipses. Thin
1861 grey vectors: external GRACE OMC solutions (GSFC mascons, Johnson and Chambers, J&C, ensemble
1862 mean). The phase difference between the red and the dark green vector corresponds to 6 days.

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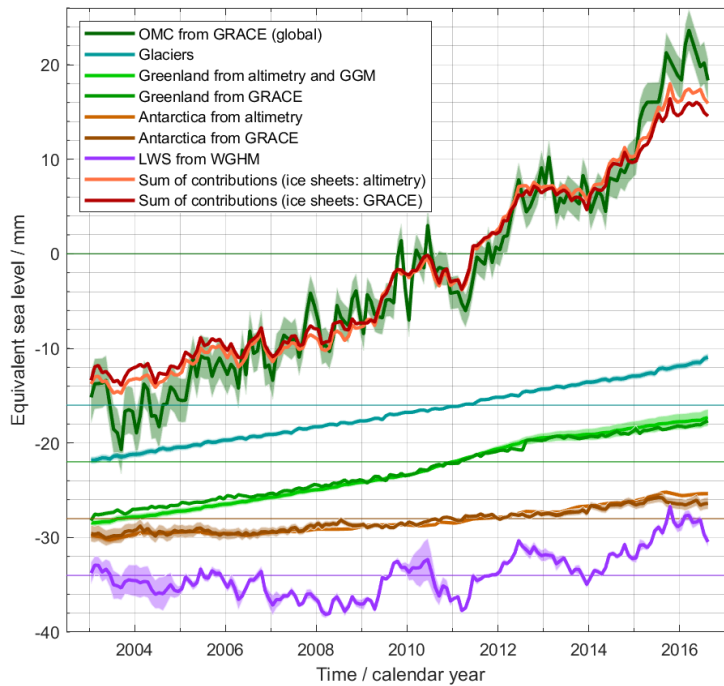
1864 **Figure 10:** Time series of the elements of ocean-mass budget in Jan 2003 – Aug 2016. See legend for
1865 attribution of graphs. The GRACE-based time series and the Antarctic altimetry time series were
1866 interpolated to monthly sampling. Seasonal variations are subtracted. Each graph shows anomalies with
1867 respect to a mean value over 2006–2015. Graphs are shifted arbitrarily along the ordinate axis. Transparent
1868 bands show standard uncertainties (except for the red sum-of-contribution graphs).

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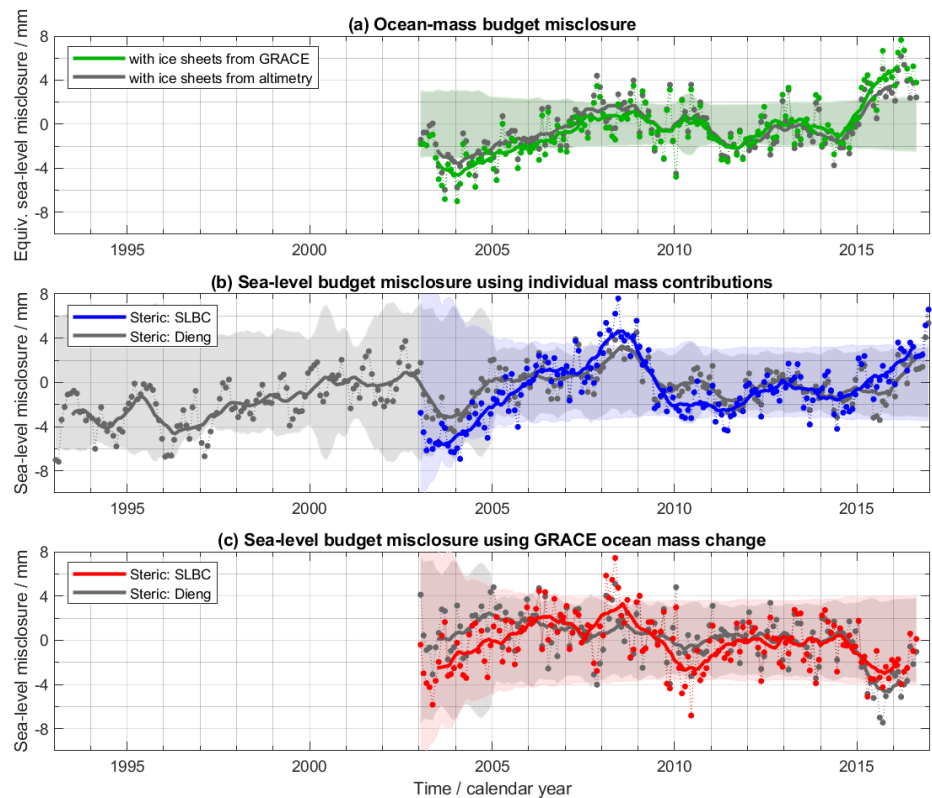
Figure 11: (a) Ocean-mass budget misclosure (GRACE-based OMC minus sum of assessed contributions) for the time series of monthly anomalies of mass budget elements as shown in Fig. 10. Dots: monthly misclosure for the case of GRACE-based (green) and altimetry-based (grey) ice sheet assessments. Thick lines: running 12-month mean, for better visibility of interannual features. Shaded bands (green and grey, almost identical in the figure): combined standard uncertainty (1-sigma) of the monthly misclosure. (b) Sea-level budget misclosure (GMSL minus sum of contributions) for the time series shown in Fig. 12 using the individual mass contributions (involving the altimetry-based ice sheet assessments). Dots, lines, shaded areas have meanings as in subplot a. Blue and grey: results employing the SLBC_cci Steric data product and the Dieng et al. (2017) ensemble mean dataset, respectively. (c) Same as subplot (b) but with application of GRACE-based OMC, instead of the sum of assessed mass contributions. Red and grey: results employing the SLBC_cci Steric data product and the Dieng et al. (2017) data product, respectively.

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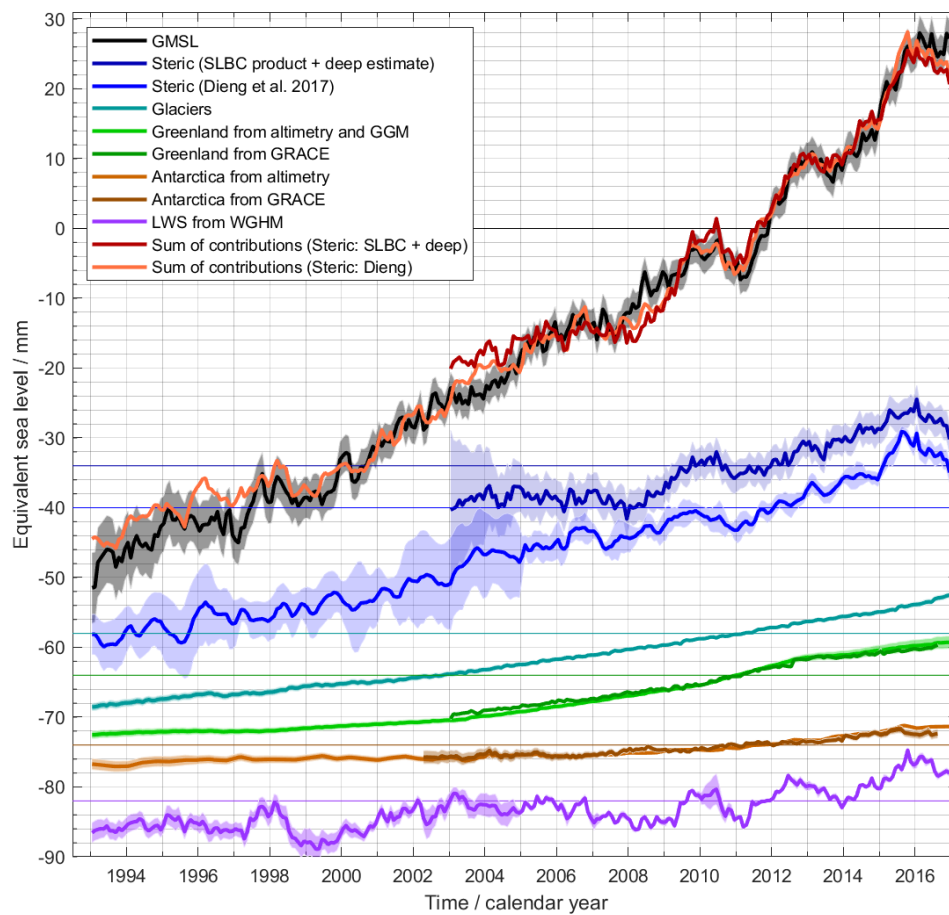
Figure 12: Time series of SLB elements involving the individual contributions to ocean-mass change. See legend for attribution of graphs. The sum-of-components graphs use altimetry-based ice sheet assessments. The GRACE-based time series and the Antarctic altimetry time series were interpolated to monthly sampling. Seasonal variations are subtracted. Each graph shows anomalies with respect to a mean value over 2006–2015. Graphs are shifted arbitrarily along the ordinate axis. Standard uncertainties are shown by transparent bands (except for the sum-of-contribution graphs).

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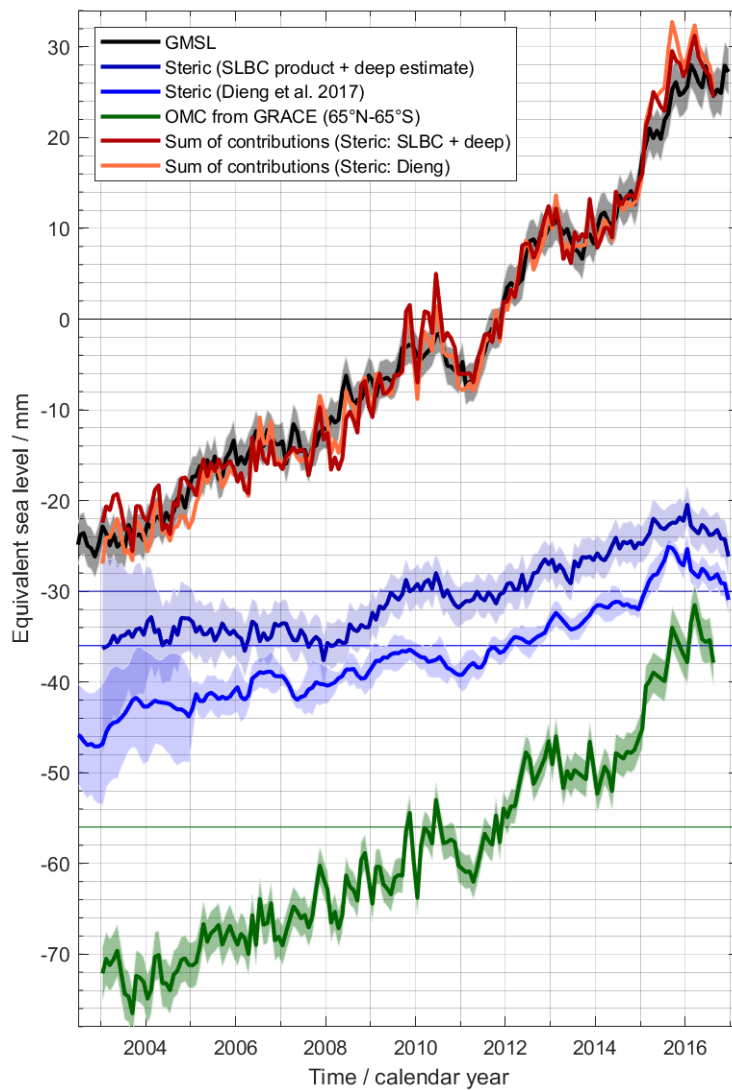
Figure 13: Time series of SLB elements involving the GRACE-based assessment of ocean-mass change.
See legend for attribution of graphs. The GRACE-based time series were interpolated to monthly sampling.
Seasonal variations are subtracted. Each graph shows anomalies with respect to a mean value over 2006–
2015. Graphs are shifted arbitrarily along the ordinate axis. Standard uncertainties are shown by transparent
bands (except for the sum-of-contribution graphs).

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Table 1: OMC linear trends [mm equivalent global mean sea-level per year] over Jan 2003 – Aug 2016 from different GRACE solutions. Each column uses a different GIA correction as indicated in the header line. The first four lines of data show results from different SH solution series generated within SLBC_cci. Numbers in brackets are for the ocean domain between 65°N and 65°S. The last two lines show external products, namely the ensemble mean of the updated time series by Johnson and Chambers (2013) and the GSFC RL06v01 mascon solution. The last column shows the assessed total uncertainty of the trend. The preferred solution is printed in bold font.

	GIA from Caron et al. (2018)	GIA from Peltier et al. (2018)	GIA from A et al. (2013)	**Uncertainty**
ITSG-Grace2018	**2.62 (2.66)**	2.23 (2.22)	2.21 (2.15)	**0.26 (0.29)**
CSR RL06 sh60	2.59 (2.63)	2.19 (2.19)	2.18 (2.12)	0.26 (0.29)
GFZ RL06 sh60	2.58 (2.65)	2.18 (2.00)	2.16 (2.14)	0.26 (0.29)
JPL RL06 sh60	2.63 (2.67)	2.23 (2.23)	2.21 (2.16)	0.26 (0.29)
Chambers ensemble	n/a	n/a	2.17	n/a
GSFC v2.4 mascons	n/a	2.25 (2.33)	n/a	n/a

Table 2: Assessed uncertainty components for the OMC solutions based on the ITSG-Grace2018 SH GRACE solutions.

Uncertainty component	global ocean domain	ocean domain 65°S – 65°N
Temporally uncorrelated noise	1.736 mm	1.862 mm
Trend uncertainty degree-one	0.175 mm yr^{-1}	0.179 mm yr^{-1}
Trend uncertainty C_{20}	0.049 mm yr^{-1}	0.075 mm yr^{-1}
Trend uncertainty GIA	0.155 mm yr^{-1}	0.188 mm yr^{-1}
Trend uncertainty leakage	0.095 mm yr^{-1}	0.090 mm yr^{-1}
Trend uncertainty combined	0.257 mm yr^{-1}	0.285 mm yr^{-1}

1912 **Table 3:** Linear trends of the mass budget elements [mm equivalent global mean sea-level per year] for the
1913 interval P2, and their standard uncertainties. The columns (a) and (b) adopt alternative estimates of the mass
1914 contributions from Greenland and Antarctica (as indicated by line labels and footnotes), while adopting the
1915 same estimates for the other budget elements.

| Budget element | Method | P2: Jan 2003 – Aug 2016 | |
		(a)	(b)
Glaciers	GGM	0.77 ± 0.03	0.77 ± 0.03
Greenland	Altimetry	(0.68 ± 0.06)	
	GGM	(0.21 ± 0.03)	
	Altimetry + GGM	0.89 ± 0.07	
	GRACE		0.78 ± 0.02
Antarctica	Radar altimetry	0.34 ± 0.04	
	GRACE		0.27 ± 0.11
Land water storage	WaterGAP	0.40 ± 0.10	0.40 ± 0.10
Sum of mass contributions		2.40 ± 0.13	2.22 ± 0.15
Ocean mass (global)	GRACE	2.62 ± 0.26	2.62 ± 0.26
Misclosure		0.22 ± 0.29	0.40 ± 0.30

(a) using altimetry-based estimates for Greenland and Antarctica complemented by GGM for
Greenland peripheral glaciers.
(b) using GRACE-based estimates for Greenland and Antarctica.

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Table 4: Linear trends of the sea-level budget elements [mm equivalent global mean sea-level per year] for the intervals P1 (column a) and P2 (columns b, c, d), and their standard uncertainties. Different columns adopt alternative estimates of some of the budget elements (as indicated by line labels and footnotes), while adopting the same estimates for other elements. The estimates of total sea level, the steric contribution, and the GRACE-based OMC refer to the ocean between 65°N and 65°S, thereby excluding polar and subpolar oceans in the Arctic and the Southern Ocean.

Budget element	Method	P1: Jan 1993 – Dec 2016 (a)	P2: Jan 2003 – Aug 2016 (b)	(c)	(d)
Total sea-level	altimetry	3.05 ± 0.24	3.64 ± 0.26	3.64 ± 0.26	3.64 ± 0.26
Steric component	Dieng	1.15 ± 0.12			
	SLBC_cci + deep steric estimate		1.19 ± 0.17	1.19 ± 0.17	1.19 ± 0.17
Glaciers	GGM	0.64 ± 0.03	0.77 ± 0.03	0.77 ± 0.03	
Greenland	(altimetry)	(0.43 ± 0.04)	(0.68 ± 0.06)		
	(GGM)	(0.17 ± 0.02)	(0.21 ± 0.03)		
	Altimetry + GGM	0.60 ± 0.04	0.89 ± 0.07		
	GRACE			0.78 ± 0.02	
Antarctica	altimetry	0.19 ± 0.04	0.34 ± 0.04		
	GRACE			0.27 ± 0.11	
Land water storage	WaterGAP	0.32 ± 0.10	0.40 ± 0.10	0.40 ± 0.10	
Sum of mass contributions		1.75 ± 0.12	2.40 ± 0.13	2.22 ± 0.15	
Ocean mass (65°N–65°S)	GRACE				2.66 ± 0.29
Sum of contributions		2.90 ± 0.17	3.59 ± 0.22	3.41 ± 0.23	3.85 ± 0.33
Misclosure		0.15 ± 0.29	0.05 ± 0.34	0.23 ± 0.35	-0.21 ±0.42

(a) using the ensemble mean assessment of the steric contribution updated from Dieng et al. (2017); using individual mass contribution estimates, where the Greenland and Antarctic contribution is assessed from altimetry, complemented by GGM for the Greenland peripheral glaciers.

(b) using the SLBC_cci steric product, complemented by the deep ocean steric estimate; using individual mass contribution estimates, where the Greenland and Antarctica contributions are assessed from altimetry, complemented by GGM for the Greenland peripheral glaciers.

(c) using the SLBC_cci steric product, complemented by the deep ocean steric estimate; using individual mass contribution estimates, where the Greenland and Antarctica contributions are assessed from GRACE

(d) using the SLBC_cci steric product, complemented by the deep ocean steric estimate; using the GRACE-based OMC estimate

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