SCDNA: a serially complete precipitation and temperature dataset for North America from 1979 to 2018

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13 Abstract: Station-based serially complete datasets (SCDs) of precipitation and temperature observations are important 14 for hydrometeorological studies. Motivated by the lack of serially-complete station observations for North America, 15 this study seeks to develop a SCD from 1979 to 2018 from station data. The new SCD for North America (SCDNA) 16 includes daily precipitation, minimum temperature (T_{min}), and maximum temperature (T_{max}) data for 27276 stations. 17 Raw meteorological station data were obtained from the Global Historical Climate Network Daily (GHCN-D), the 18 Global Surface Summary of the Day (GSOD), Environment and Climate Change Canada (ECCC), and a compiled 19 station database in Mexico. Stations with at least 8-year records were selected, which underwent location correction 20 and were subjected to strict quality control. Outputs from three reanalysis products (ERA5, JRA-55, and MERRA-2) 21 provided auxiliary information to estimate station records. Infilling during the observation period and reconstruction 22 beyond the observation period were accomplished by combining estimates from 16 strategies (variants of quantile 23 mapping, spatial interpolation, and machine learning). A sensitivity experiment was conducted by assuming 30% 24 observations of stations were missing – this enabled independent validation and provided a reference for reconstruction. 25 Quantile mapping and mean-value corrections were applied to the final estimates. The median Kling-Gupta efficiency 26 (KGE') values of the final SCDNA for all stations are 0.90, 0.98, and 0.99 for precipitation, $T_{\rm min}$ and $T_{\rm max}$, respectively. 27 The SCDNA is closer to station observations than the four benchmark gridded products, and can be used in applications that require either quality-controlled meteorological station observations or reconstructed long-term 28 29 estimates for analysis and modelling. The dataset is available at https://doi.org/10.5281/zenodo.3735533 (Tang et al., 30 2020).

31 Key words: serially complete dataset; precipitation; temperature; North America

32 1 Introduction

33 Station-based serially complete datasets (SCDs, see Table A1 for all acronyms) are important for meteorological, 34 climatological and hydrological studies (Kanda et al., 2018; Ramos-Calzado et al., 2008), such as producing 35 retrospective gridded products (Di Luzio et al., 2008; Kenawy et al., 2013; Newman et al., 2019; Serrano-Notivoli et 36 al., 2019), trend analyses (Knowles et al., 2006; Anderson et al., 2009; Papalexiou and Montanari, 2019), and climatologic index calculation (Alexander et al., 2006; Papalexiou et al., 2018). These SCDs are useful because 37 38 station-based observational datasets often contain missing values due to factors such as observer absence, instrument 39 failures, and interrupted communication (Hasanpour Kashani and Dinpashoh, 2012). Moreover, station observations 40 failing quality control tests such as outlier and homogeneity checks may not be reliable (Menne et al., 2012), and many 41 stations are only maintained over a relatively short period of time or portions of the year, resulting in data gaps that 42 could affect the analysis of climate variability or long-term trends (Rubin, 1976; Stooksbury et al., 1999). Serial 43 completeness is also a critical requirement for real-time station-based applications, which regularly contend with 44 missing data values due to latencies in station reporting, quality control and processing (Tang et al., 2009).

45 Many methods have been developed to estimate missing observations and reconstruct time series of meteorological 46 stations that provide point-scale regular observations of atmospheric conditions (Longman et al., 2020). They can be 47 classified as self-contained infilling, spatial interpolation, quantile mapping, and machine learning methods.

1. Self-contained infilling only uses records from the target station to estimate its own missing values. Typical methods
 include interpolation based on data from previous and subsequent days or replacing missing values by long-term
 mean (Kemp et al., 1983; Pappas et al., 2014). Self-contained infilling, however, only performs well for variables
 with high temporal autocorrelation such as temperature and is problematic for daily precipitation (Simolo et al.,
 2010; Teegavarapu and Chandramouli, 2005), and in covering lengthy data gaps.

- 53 2. Spatial interpolation uses neighboring stations (identified on spatial distance or statistical similarity) to estimate data 54 at the target station. Spatial interpolation methods can be divided into two types: the first uses information only from 55 neighboring stations; and common methods include linear interpolation and inverse distance weighting (IDW; 56 Shepard, 1968). The second method needs information from both neighboring and target stations. Typical examples include the revised normal ratio (NR; Young, 1992) and the single best estimator (Eischeid et al., 1995, 2000), both 57 58 of which use correlation coefficients (CCs) between target and neighboring stations to estimate merging weights. 59 This second type of spatial interpolation also includes more sophisticated methods (e.g., multiple linear regression, 60 optimal interpolation, and kriging) that build a functional relationship between neighboring and target stations 61 (Simolo et al., 2010). Previous studies have shown that multiple linear regression based on the least absolute 62 deviation criteria (MLAD) performs better than many interpolation methods such as IDW, NR, and optimal 63 interpolation in infilling/reconstruction (Eischeid et al., 2000; Kanda et al., 2018).
- 3. Quantile mapping (QM) is widely used to correct biases in meteorological data (Maraun, 2013; Cannon et al., 2015)
 and performs well in estimating missing station data (Simolo et al., 2010; Newman et al., 2015, 2019; Devi et al.,

66 2019). In QM-based estimation, the cumulative distribution functions (CDFs) of observations from neighboring and

- 67 target stations are derived, and the record at the target station is estimated as the inverse of its CDF using concurrent
- 68 CDF probability information from neighboring stations. QM can avoid the problem of overestimating wet days in
- 69 precipitation series and preserve the frequency distribution of time series, which is useful for estimating extreme

70 events (Cannon et al., 2015).

71 4. Machine learning techniques have been successfully applied to infill station record gaps (Dastorani et al., 2010; 72 Wambua et al., 2016). For example, Coulibaly and Evora (2007) estimated missing daily precipitation and 73 temperature in northeastern Canada using six types of artificial neural networks (ANNs). Ustaoglu et al. (2008) 74 estimated daily temperature using three ANN methods in the Geyve and Sakarya basin, Turkey. Gene expression 75 programming was applied in the estimation of missing monthly rainfall data in Malaysia (Che Ghani et al., 2014). 76 Sattari et al. (2017) recommended that a decision-tree algorithm can be used to estimate monthly precipitation due 77 to its simplicity and high accuracy. Serrano-Notivoli et al. (2019) applied the k-nearest neighbours regression to 78 reconstruct minimum temperature (T_{\min}) and maximum temperature (T_{\max}) observations in Spain to form a gridded

79 dataset.

80 Previous SCDs have been developed using multiple infilling and reconstruction methods. For instance, Eischeid et al.

- 81 (2000) produced a daily SCD from 1951 to 1991 for the western United States (U.S.), including 2962 precipitation
- stations and 2034 temperature stations; Vicente-Serrano et al. (2003) produced a daily SCD from 1901 to 2002 for
- 83 northeast Spain using 3106 precipitation stations; Di Piazza et al. (2011) built a monthly SCD from 1921 to 2004 for
- 84 Sicily, Italy using 247 precipitation stations; and Woldesenbet et al. (2017) produced a daily SCD of precipitation and
- temperature from 1980 to 2013 for the Upper Blue Nile Basin using six stations. There is currently no SCD for North
- 86 America; this means that researchers often must collect station data from different databases, which is time-consuming
- 87 and may cause inconsistencies between studies based on different methods.

88 Responding to this need, we develop a retrospective 40-year daily SCD for North America (SCDNA) of precipitation, 89 $T_{\rm min}$ and $T_{\rm max}$ from 1979 to 2018. Central America and Caribbean are also covered by SCDNA. The three variables 90 are selected because (1) most stations measure precipitation and temperature, while other variables, such as humidity 91 and wind speed are measured at fewer stations, and (2) precipitation and temperature data are fundamental inputs for 92 hydrological modeling. Station observations are collected from four global and regional databases and undergo strict 93 quality control to eliminate dubious records. Since the performance of infilling and reconstruction methods differs in 94 space and time, the results from 16 strategies are merged to produce a single deterministic estimate. Finally, the 95 SCDNA is compared to four gridded products to demonstrate its performance and areas for improvement. The SCDNA 96 is expected to have a wide variety of applications in North America, and the methodology can be used to produce 97 SCDs in other regions of the world.

98 2 Datasets

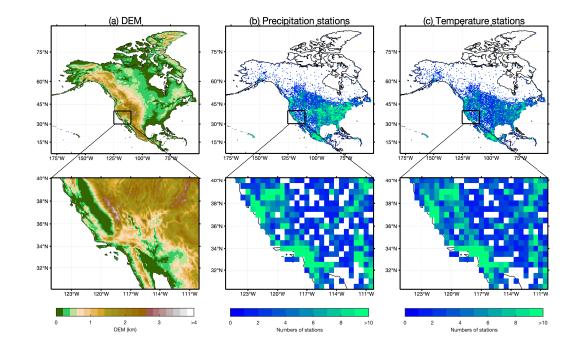
99 2.1 Meteorological station data

100 This study uses precipitation, T_{\min} , and T_{\max} station data from four databases, the Global Historical Climate Network

- 101 Daily (GHCN-D; https://www.ncdc.noaa.gov/ghcnd-data-access; Menne et al., 2012), the Global Surface Summary
- 102 of the Day (GSOD; https://catalog.data.gov/dataset/global-surface-summary-of-the-day-gsod), Environment and
- 103 Climate Change Canada (ECCC; <u>https://climate.weather.gc.ca/historical_data/search_historic_data_e.html</u>), and the
- 104 Mexico database from Servicio Meteorológico Nacional, under the Comisión Nacional del Agua (Livneh et al., 2015).
- 105 This study uses daily precipitation totals from each dataset. Only stations with at least 8-year precipitation or T_{\min} and
- T_{max} records between 1979 to 2018 are utilized. The requirement for minimum recording length is different among
- studies (e.g., Eischeid et al., 2000; Newman et al., 2015). We adopted a relatively short time limitation because (1) 8 year records are sufficient to provide basic support for missing value estimation (Fig. S1), and (2) the open-access
- 109 dataset and codes enable users to design customized data selection criteria according to their research requirements.
- 110 The numbers of stations with at least 8-year records are 33026, 4619, 3634, and 4049 for GHCN-D, GSOD, ECCC,
- 111 and the Mexico database, respectively (Table 1). Their spatial distributions are shown in Fig. S2. GHCN-D has
- 112 compiled a large amount of data from many sources including the Mexico database and ECCC. For identical stations
- from different sources, we keep the one with longer observation history, resulting in the exclusion of ~95% of stations
- 114 from the Mexico database and adoption of ~91% of stations from ECCC. Stations with more than 30% missing values
- in the observation period are excluded because they could be seasonal stations or suffer serious instrumentation
- problems. Stations overlapping in space (same latitude and longitude) and without sufficient metadata for
- discrimination are merged (see Sect. 3.2). The above screening reduces the available stations from 45328 to 31772
- 118 (Table 1), yet more stations are discarded due to quality control procedures (Sect. 3.1). The final SCDNA includes
- 119 24615 precipitation, 19604 T_{min} , and 19611 T_{max} stations; note that the numbers of T_{min} and T_{max} stations differ as
- 120 quality controls can result in excluding the one and reserving the other in some stations.
- 121 Most stations are located in the Contiguous United States (CONUS), southern Canada, and Mexico, while few stations
- 122 are located in high-latitude regions such as the Arctic Archipelago (Fig. 1b and c). The spatial distributions of
- 123 precipitation and temperature stations are similar, except in eastern CONUS where precipitation stations have a higher
- 124 density.
- Table 1. Numbers of stations with at least 8-year records from 1979 to 2018

Station numbers	GHCN-D	GSOD	ECCC	Mexico	Merge	Total
Original numbers	33026	4619	3634	4049	0	45328
SCDNA input	24765	4331	3100	187	207	31772
SCDNA output: precipitation	19255	2551	2440	170	199	24615
SCDNA output: T_{\min}	13394	3631	2219	166	194	19604

126 Notification: "Merge" is derived from stations with overlapped locations from all the other data sources (Sect. 3.1.1).



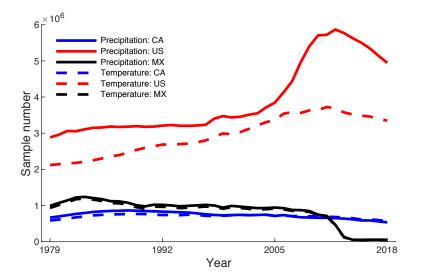
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Figure 1. (a) Digital elevation model (DEM; Sect. 2.3) of North America. (b) and (c) are the densities of stations at the $0.5^{\circ} \times 0.5^{\circ}$ resolution for precipitation and temperature, respectively. T_{\min} and T_{\max} stations are highly consistent, and thus T_{\min} is used to represent temperature in (c). The nested black boxes show examples of DEM and station densities.

In North America, more station observations occur in the U.S. than in Canada and Mexico (Fig. 2). The number of
samples in the U.S. increases from 1979 to 2018, and there are more precipitation samples than temperature samples.
For Canada, the numbers of precipitation and temperature samples are similar and show a decrease from 1988 to 2018;
the sample number in 2018 is only 61.76% of that in 1988. Mexico has more meteorological samples than Canada,
yet this number decreases after 1983. The decreasing trend is especially sharp after 2012 which may be due to the
delay in data collection or termination of some stations.

Figure 3 shows the fractions of missing values for all stations during the observation period (referred as ratio-1) and during the entire period from 1979 to 2018 (referred as ratio-2). For temperature, ~20% of the stations have more than 20% missing values in the observation period (ratio-1), and ~20% of the stations have more than 70% missing values in the entire period (ratio-2). For precipitation, the fraction of missing values is larger. The fractions show strong spatial variations (Fig. S3). Ratio-2 is smaller for precipitation stations in western U.S. and temperature stations in central U.S., but larger in Canada and Alaska. Most stations in Mexico have higher ratio-1 than other regions in North America, indicating that those stations have notable fractions of missing values during the observation period.

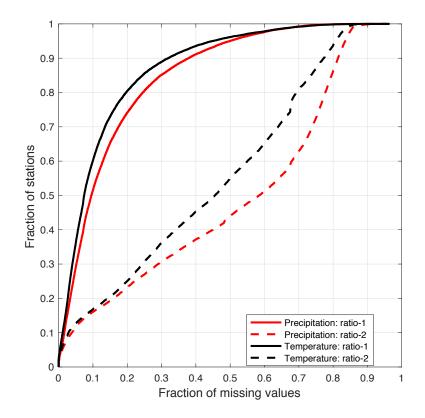
- 145 In summary, the curves of ratio-1 indicate that a small number of missing values need infilling during the observation
- 146 period, while the curves of ratio-2 indicate that extensive reconstruction is needed over the entire period.



148 Figure 2. Sample numbers of stations for each year from 1979 to 2018. CA represents Canada, US represents United

States, and MX represents Mexico. T_{max} stations are highly consistent with T_{min} stations, and thus T_{min} is used to represent temperature. The numbers of samples could be a better indicator than the numbers of stations because many

151 stations have notable missing values.



152

- 153 Figure 3. The fraction of missing values for stations with at least 8-year records. Ratio-1 is the degree of missingness
- during the observation period, and ratio-2 is the degree of missingness during the entire period of interest (1979 to
- 155 2018). T_{\min} is used to represent temperature because T_{\max} show almost overlapped curves with T_{\min} .

156 Many types of precipitation and temperature measurement instruments are used at stations from different sources. For 157 example, the Type-B rain gauge is used by Environment Canada since 1970s for most weather stations (Devine and Mekis, 2008; Wang et al., 2017), while tipping bucket and weighing rain gauges are also used in some stations 158 159 (Metcalfe et al., 1997). Nipher-shielded snow gauges have been used by some synoptic stations, while ruler measurements are still used by more stations (Mekis and Brown, 2010). Station data in the U.S. are from many 160 161 organizations or programs with different instrument configurations. For instance, the standard rain gauge is used by 162 the Cooperative Observer Program while Snow Telemetry uses storage-type gauges or tipping buckets. A better 163 understanding of instrument specifications and historical changes is important for climate studies (Pielke Sr et al., 164 2007; Whitfield, 2014; Ma et al., 2019). A detailed summary of station instruments is provided in the documentation 165 of the dataset (https://doi.org/10.5281/zenodo.3735533).

166 2.2 Reanalysis products

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We use reanalysis precipitation, T_{\min} and T_{\max} from the fifth generation of European Centre for Medium-Range 167 168 Weather Forecasts (ECMWF) atmospheric reanalyses of the global climate (ERA5; Copernicus Climate Change Service (C3S), 2017), the Japanese 55-year Reanalysis (JRA-55; Kobayashi et al., 2015), and the Modern-Era 169 Retrospective analysis for Research and Applications, Version 2 (MERRA-2; Gelaro et al., 2017) (see Table 2). The 170 171 three products are chosen because they are representative products from different international organizations and they 172 or their predecessor (ERA-Interim, JRA-25, and MERRA) have are been widely used by researchers. The ERA5 and JRA-55 do not provide daily outputs, thus, daily precipitation is accumulated from sub-daily estimates while daily 173 174 T_{\min} and T_{\max} are estimated by the sub-daily minimum and maximum temperature values. Gridded reanalysis 175 precipitation is linearly interpolated to match point-scale station data, and T_{\min} and T_{\max} are downscaled using temperature lapse rate (TLR; see Sect. 3.1). 176

1//	Table 2.	Information	on the	three	reanalysis products.	

Products	Spatial resolution	Temporal resolution	Period	Agency	
ERA5 0.25	0.25°×0.25°	1 h	1979-present	European Centre for Medium-	
	0.23 ×0.23			Range Weather Forecasts	
JRA-55	~55 km	3 h	1958-present	Japan Meteorological Agency	
MERRA-2*	0.5°×0.625°	daily	1980-present	NASA's Global Modeling and	
				Assimilation Office	

178 * MERRA-2 provides outputs in temporal resolutions from 1 h to 1 month; here we use daily values.

179 2.3 Auxiliary data

180 The Multi-Error-Removed Improved-Terrain digital elevation model (MERIT DEM) at a 3 sec (~90 m at the equator)

resolution (Yamazaki et al., 2017) is used in this study. To enable temperature downscaling, the high-resolution DEM

is spatially averaged to the original resolutions of ERA5, MERRA-2, and JRA-55 (Table 2). The MERIT DEM may

183 be slightly different than the DEM data used in the three reanalysis products, and this will have a limited impact on

184 missing data estimation (Sect. 3.3.2).

185 The Multi-Source Weighted-Ensemble Precipitation (MSWEP) V2.2 dataset (Beck et al., 2017, 2019) is utilized for

the comparison with the SCDNA developed by this study. MSWEP merges data from ground observations, satellite

187 products, and reanalysis models, and performs better than all products used for merging (Beck et al., 2019). The

188 comparison can show whether the SCDNA is a better choice than MSWEP to fill gaps in station precipitation 189 observations.

190 **3 Methodology**

191 The methodology to produce the SCDNA includes three primary steps (Fig. 4): (1) preparing a unified precipitation

and temperature database from multiple sources (Sect. 2.1 and 3.1); (2) downscaling reanalysis estimates (Sect. 2.2

and 3.2) that are used in QM- and machine learning-based data estimation (Sect. 3.3) and comparison with the SCDNA

194 (Sect. 4.5); and (3) producing the SCDNA from 1979 to 2018 based on 16 strategies (Sect. 3.3). The following sub-

sections summarize the work in each step of the methodology (Sect. 3.1, 3.2, and 3.3) as well as the approach used to

196 evaluate the performance of the method (Sect. 3.4).

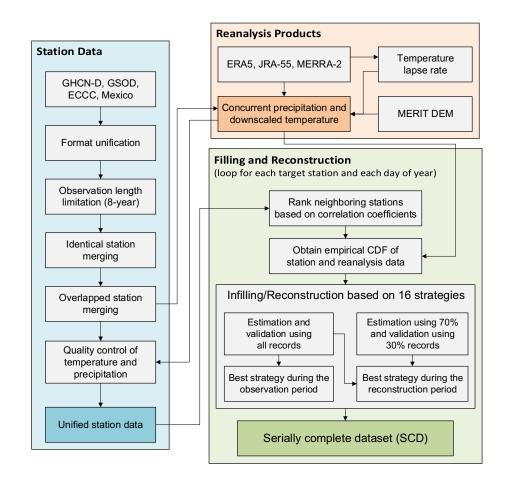


Figure 4. Flowchart of the production of the SCDNA, including station data preparation, reanalysis product processing,and missing data infilling and reconstruction.

200 In this study, infilling refers to the estimation of missing values during the observation period, while reconstruction

- 201 refers to estimating values outside of the observation period when no station record is available (Fig. 5). Station records
- that fail quality control are treated as missing values.

203 **3.1 Prepare a unified precipitation and temperature database**

204 *3.1.1 Merging of stations based on location*

205 Stations are merged if their latitude and longitude match other stations. The problem of overlapped locations is caused

206 by identification alteration of one station for different periods, recording/rounding bias of station location information,

207 inconsistent naming rules of different sources, and other factors. Although it is possible that multiple stations are

208 deployed in the same location for experimental aims, location merging is done to preserve internal consistencies as

209 inconsistent records at the same location are self-contradictory.

- 210 The method for location merging includes several steps. First, overlapping stations are extracted and grouped. Stations
- 211 within the same group that have non-overlapping recording periods are simply merged into one time series. Otherwise,

- the Spearman's rank CC (SCC) between precipitation series from all station pairs in the group is calculated. For SCC
- < 0.7, the station group is discarded due to large discrepancies; for 0.7 < SCC < 0.9 the discrepancy is considered as
- tolerable and the station with the longest record is kept; for SCC > 0.9 stations are considered as highly correlated and
- their data are merged into one time series, while for overlapping periods the station with the longest record is used.

Overall, 1240 stations are involved in location merging, stratified in 586 station groups. Around 10% of the groups contain more than two stations and the largest group contains five stations. After location merging, only 207 groups are kept and merged into unified times series (Table 1). Despite the steps taken above, the merged series could contain inhomogeneities due to the combination of records from multiple stations.

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220 3.1.2 Quality control

To ensure station observations undergo strict and comprehensive quality control, we adopted the methods used to produce previous station-based datasets. For T_{min} and T_{max} , we followed the method designed by Durre et al. (2010) which is adopted by GHCN-D (Menne et al., 2012). The procedures include five types of checks: integrity checks, outlier checks, internal and temporal consistency checks, spatial consistency checks, and extreme megaconsistency checks. A few of the procedures in Durre et al. (2010) require other variables such as snowfall, and thus are not adopted in this study. In addition, the quality flags in this study are partly different with those of GHCN-D because of

- the different sources, numbers and temporal periods of stations.
- 228 For precipitation, quality control procedures consist of three parts. The first part is similar with that for temperature.
- 229 The second part (four types of checks) follows procedures designed by Hamada et al. (2011) which are adopted by
- 230 the Asian Precipitation-Highly-Resolved Observational Data Integration Towards Evaluation (APHRODITE; Yatagai
- et al., 2012). The third part (two types of checks) adopts strategies by Beck et al. (2019) used in the production of
- MSWEP. Note that although Durre et al. (2010) and Hamada et al. (2011) share some common traits for precipitation,
- both of them are adopted to ensure quality control reliability.
- The details of quality checks are in Appendix B.

235 **3.2 Downscale reanalysis data**

The reanalysis temperature estimates are downscaled to match point-scale station observations using temperature lapse rate (TLR) according to

$$T_s = T_R + TLR \times \Delta h \tag{1}$$

where T_R is 2-m reanalysis air temperature, T_s is downscaled temperature, Δh is the height difference between station elevation and reanalysis grid elevation. TLR shows notable spatiotemporal variations (Minder et al., 2010) and estimating TLR based on ground observations over a large domain is difficult due to the sparsity of stations. Yet recent studies show that reanalysis outputs offer an alternative in estimating gridded TLR (e.g., Gao et al., 2012). The gradient of air temperature at different pressure levels above the ground can be used to approximate near-surface TLR (Gao et 244 found that methods based on reanalysis-derived TLR can achieve higher accuracy compared to fixed TLR (e.g., -245 6.5°C/km) or statistical interpolation downscaling methods. Hence, this study uses the linear regression slope between 246 MERRA-2 air temperature and geopotential heights from 300 hPa to 1000 hPa pressure levels to represent TLR for each month at the resolution of 0.5°×0.625° (Table 2). MERRA-2 is used because it directly provides monthly data 247 and masks temperature data if the pressure level is below land surface. The choice of pressure levels needs further 248 249 investigation because relationships between vertical and near-surface temperature vary with regions. Complicated 250 TLR phenomena such as inverse lapse rate are not considered for simplicity. The climatological mean of TLR (Fig. 251 S4) decreases from -4.8°C/km in the northeast continent (i.e., Canadian Arctic Archipelago) to -7.2°C/km in the 252 southwest continent (i.e., Rocky Mountains in CONUS). The smaller TLR magnitude in high latitudes is consistent 253 with previous studies (e.g., Gardner et al., 2009; Marshall et al., 2007).

al., 2012, 2018; Gruber, 2012). Tang et al. (2018) compared eight temperature downscaling methods in CONUS and

3.3 Produce the serially complete dataset

243

To produce the high-quality SCDNA for North America, we use 16 strategies: four based on quantile mapping with neighboring stations (QMN; e.g., Longman et al., 2019; Newman et al., 2015, 2019), four on quantile mapping with concurrent reanalysis estimates (QMR), four using spatial interpolation methods (INT; e.g., Eischeid et al., 2000; Kanda et al., 2018; Woldesenbet et al., 2017), two using machine learning methods (MAL; e.g., Dastorani et al., 2010; Wambua et al., 2016), and two multi-strategy merging methods (MRG). Merging multiple infilling/reconstruction methods can provide better estimation than individual methods, as shown by previous data merging and gap infilling studies (e.g., Eischeid et al., 2000; Beck et al., 2017, 2019; Ma et al., 2018).

We generate estimates for every station and every day from 1979 to 2018 (Fig. 5). The estimates from these 16 strategies and the SCDNA are evaluated using station observations, and the performance of the SCDNA is compared to four benchmark gridded products. Then, the estimates of the SCDNA are corrected for further accuracy improvement. Finally, estimates are replaced by station observations when observations exist and pass quality control checks. The variance and spatial correlation analyses are performed to compare the statistical properties of station observations and estimates (see Sect. 4).

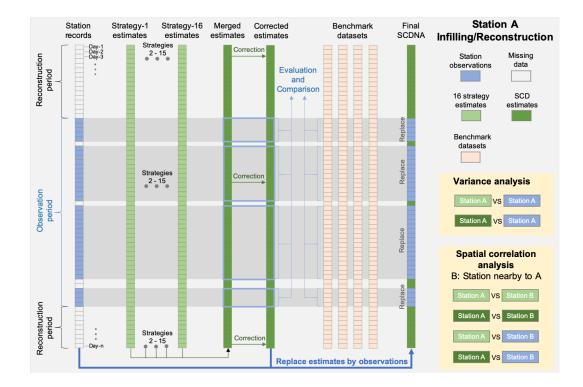




Figure 5. Diagram of the infilling and reconstruction for a specific station (referred to as A). The entire period from 1979 to 2018 is divided into the observation period and the reconstruction period. The data flows of variance and spatial correlation analyses are shown in the nested yellow boxes. Station B is a nearby station of A.

Only stations with at least 3000 valid values are included in the infilling and reconstruction effort. The nine steps (termed Step-1 to Step-9) of SCDNA production are described as below. Unless otherwise stated, the steps are implemented for each target station (*s*), each variable (precipitation, T_{min} , and T_{max}), and each day of the year (DOY, i.e., 1-366).

276 *3.3.1 Data extraction*

Step-1: Spatiotemporally concurrent reanalysis estimates (ERA5, JRA-55, and MERRA-2) are extracted, including precipitation, T_{min} , T_{max} , and TLR. Precipitation is linearly interpolated from gridded reanalysis estimates, and temperature is downscaled (i.e., corrected for the elevation difference between the reanalysis grid cell and the station elevation) based on TLR (Sect. 3.1).

- Step-2: Neighboring stations (at least one and at most 30) with at least 8-year overlapped period with station *s* are found within the searching radius of 200 km. These stations are ranked from closest to farthest according to their CC with the target station. SCC is used for precipitation, and Pearson CC (PCC) is used for T_{min} and T_{max} . CC is calculated using data within a 31-day window centered around the current DOY from all years.
- Step-3: The empirical CDFs of *s*, neighboring stations, and reanalysis estimates are obtained using data within the
 same 31-day window.

287 3.3.2 Infilling and reconstruction

Step-4: For each day (*d*) corresponding to the DOY, the estimated data are acquired based on 16 strategies which are
 divided into five groups.

290 *Group 1*: Quantile Mapping with Neighboring stations (QMN)

QMN-1: For all neighboring stations with valid records, the station with the highest CC in Step-2 is selected.
 The estimated data for *s* and *d* is obtained using Eq. (2).

$$X_{s} = F_{s}^{-1}(F_{i}(X_{i}))$$
⁽²⁾

where X_i is precipitation or temperature for *d* from the selected neighboring station *i*, F_i is the empirical CDF of *i* corresponding to the DOY, F_s^{-1} is the inverse CDF of *s* corresponding to the DOY, and X_s is the estimated data.

• QMN-2: For all neighboring stations with observations, estimated values are obtained using Eq. (2) which are 296 merged based on Eq. (3).

$$X_{s} = \frac{\sum_{i}^{n} W_{i} F_{s}^{-1}(F_{i}(X_{i}))}{\sum_{i}^{n} W_{i}}$$
(3)

$$W_i = CC_i^2 \tag{4}$$

where *n* is the number of neighboring stations, $F_s^{-1}(F_i(X_i))$ is the QM-based estimate from *i*, and W_i is the weight calculated using Eq. (4). CC_i is CC (SCC or PCC) between data from *s* and *i* corresponding to the DOY. W_i is assigned zero if CC_i is negative.

QMN-3: Similar to QMN-2, but the weight is calculated according to the distance (D_i) between s and i based on
 Eq. (5). Although the exponent of distance (k) varies in different studies, -2 is the most common choice
 (Teegavarapu and Chandramouli, 2005).

$$W_i = D_i^k \tag{5}$$

• **QMN-4**: The median of QMN-1 to QMN-3 is used as the estimated data. The strategy of using median values is 304 the same with Eischeid et al (2000), which could be closer to actual observations than QMN-1 to 3.

305 Group 2: Quantile Mapping with Reanalysis products (QMR)

306 Reanalysis products provide useful information for SCDNA production as (1) remote regions may not have enough

neighboring stations, and (2) neighboring stations also have missing values which could result in gaps of estimates atthe target station.

QMR-1 to QMR-3: Similar to QMN-1, but the neighboring station is replaced by concurrent ERA5, JRA-55,
 and MERRA-2 estimates, respectively.

• QMR-4: The median of QMR-1 to 3 is used as the estimated data.

312 *Group 3*: Interpolation (INT)

The three interpolation methods used in this study are MLAD (referred as INT-1), NR (referred as INT-2), and inverse distance weighting (IDW, referred as INT-3). They are described below. Following Eischeid et al. (2000), neighboring stations with CC lower than 0.35 are excluded. The remaining stations are ranked from high CC to low CC. A maximum of four neighboring stations are used in the interpolation. For T_{min} and T_{max} , direct interpolation from neighboring stations to *s* could be biased due to the elevation differences between stations. Temperature data from neighboring stations are downscaled to the elevation of *s* based on Eq. (1).

INT-1: MLAD minimizes the sum of absolute errors. It is more robust than regression based on least squares because while least square estimation is effective when the errors are normally distributed and independent, environmental variables, especially precipitation, often violate the assumption of normality (Eischeid et al., 2000). MLAD has been well documented with better performance in gap infilling than other interpolation methods (Eischeid et al., 1995, 2000; Kanda et al., 2018; Young, 1992). The formula is shown in Eq. (6).

$$X_s = c_0 + \sum_{i}^{n} c_i X_i \tag{6}$$

where c_i (i = 0, 1, ..., n) is regression coefficients estimated using data within a 31-day window for each DOY. Different *d* corresponding to the same DOY could have different combinations of neighboring stations due to the limitation of observation availability. MLAD is performed for each combination to ensure that effective estimates are available for all days.

• INT-2: NR is an interpolation method proposed by Paulhus and Kohler (1952) and modified by Young (1992). 329 The modified version is adopted in this study, which combines information from neighboring stations by 330 replacing $F_s^{-1}(F_i(X_i))$ with X_i in Eq. (3). The weight is calculated using Eq. (7).

$$W_i = CC_i^2 \frac{N_i - 2}{1 - CC_i^2}$$
(7)

where N_i is the number of samples used to calculate CC_i between *s* and *i*. SCC is used for precipitation and PCC is used for temperature.

• INT-3: IDW is one of the most common interpolation methods. It is implemented similar to NR, where the inverse squared distance, as shown in Eq. (5), is used as the weight.

• **INT-4**: The median of INT1, INT2 and INT3 is used as the estimated data.

336 *Group 4*: Machine Learning (MAL)

The two MAL methods used in this study are ANN (referred as MAL-1) and random forest (RF, referred as MAL-2; Breiman, 2001). Unlike QMN, QMR and INT that are carried out for each DOY, MAL uses complete observation records of *s* to ensure that ANN and RF are trained with enough values. MAL models are trained using the first 70% observations and tested using the remaining 30% observations. The MAL models' validation based on the 30% observations can indicate their performance in the reconstruction period.

- 342 The input data are from neighboring stations and concurrent reanalysis estimates. For each s, neighboring stations are 343 determined in a way similar with Step-2, but CC is calculated using data in the entire observation period. Neighboring 344 stations with CC lower than all reanalysis products (ERA5, JRA-55, and MERRA-2) are excluded. The remaining 345 neighboring stations and three reanalysis products form a complete repository of input features. Then, for each day 346 that s has no observation, the input features are extracted from the repository in three steps: (1) neighboring stations 347 without observations for the day are excluded, (2) the remaining neighboring stations and reanalysis products are 348 ranked according to their CC with s, and (3) at most five stations/reanalysis products with the highest CC are selected. 349 In this way, s will have multiple combinations of input features to ensure that all days with missing values have 350 estimates. All combinations are used to train and test the ANN and RF models, resulting in multiple estimated series 351 for s. The final estimates of s are generated in three steps: (1) the Kling-Gupta Efficiency (KGE'; Kling et al., 2012) 352 of all estimated series is calculated using all observations of s, and ranked from high to low KGE' (see Sect. 3.4 for 353 definition of KGE'); (2) the series with higher KGE' is used to constitute the estimates of s in sequence; and (3) the 354 second step is repeated until there are no missing values for s. This approach ensures that "best" and complete estimates 355 are provided for s.
- MAL-1: A four-layer ANN is used. The input layer has a maximum of five nodes (depending on the number of input features), the two hidden layers both have 20 nodes, and the output layer has one node for generating precipitation or temperature estimates. The transfer functions are hyperbolic tangent sigmoid for hidden layers and linear for the output layer. The training function is resilient backpropagation. The model is trained using the first 50% data, validated using the subsequent 20% data, and tested using the final 30% data.
- MAL-2: A RF model with 50 trees is built with 70% training data and 30% testing data. The minimum number of samples per tree leaf is 5. The input nodes depend on the number of input features like MAL-1.
- 363 *Group 5*: Multi-Strategy Merging (MRG)
- MRG-1: KGE' is used to rank the performance of the 11 strategies (QMN-1 to 3, QMR-1 to 3, INT-1 to 3, and MAL-1 to 2) as CC cannot reflect the magnitude difference (e.g., bias) between target and reference

- series. The first three cases of the 11 strategies are merged using squared KGE' as the weight. The individual
 weight is assigned zero if KGE' is negative.
- MRG-2: The median of the three selected strategies in MRG-1 is used as the estimated data.

369 *3.3.3 Generating serially complete records*

370 Step-5: In this step, Step-3 and -4 are repeated based on 70% data of s in the observation period. Then, the KGE' of 371 estimates from all strategies are calculated using the remaining 30% observations. MAL-1 and 2 are not repeated 372 because they are trained on 70% observations. Although the evaluation samples are different among stations, the results are reliable and stable as shown in the results section. This step is implemented because QMN-1 to 4, QMR-1 373 374 to 4, and INT-1 in Step-4 use all data of s in the observation period to select stations, estimate empirical CDFs and 375 carry out regression. This potential overfitting problem could lead to better performance of these strategies in the 376 observation period but worse performance in the reconstruction period. KGE' calculated in Step-4 can represent the 377 accuracy of estimates in the observation period, while KGE' calculated in Step-5 can represent the accuracy of 378 estimates in the reconstruction period.

Step-6: In the observation period, the strategy with the highest KGE' in Step-4 is selected to contribute the extension/reconstruction to the SCDNA. In the reconstruction period, first, the strategy with the highest KGE' in Step-5 is determined; then, the estimates from the corresponding strategy in Step-4 are used to constitute the SCDNA because the empirical CDF and regression based on all observations in Step-4 could be more representative than the 70% observations in Step-5.

384 Step-7: Estimates in Step-6 are corrected for certain climatological biases using station data in the observation period. 385 Precipitation estimates are often subjected to wet-day bias. Two methods are implemented to address this problem. 386 First, QM is performed based on the CDF of s in Step-3. However, QM may reduce the accuracy of estimated 387 precipitation in some cases, for which the method used in Beck et al. (2019) is adopted. This method subtracts a tiny 388 value (0.01 mm) from the original precipitation series and rescales the series to restore the original mean value. This 389 operation is repeated until the estimated series show an equal number of wet days (>0.5 mm d⁻¹) with observations of s. In addition to wet-day bias correction, mean-value correction is implemented. The ratio between the mean values 390 391 of precipitation estimates and observations is calculated in the observation period, which is used to rescale estimated 392 series in both observation and reconstruction periods. For T_{\min} and T_{\max} , QM correction and mean-value correction are 393 also implemented.

Step-8: The accuracy of the SCDNA is evaluated and compared to benchmark datasets based on actual observations (Fig. 5). Then, the estimates are replaced by observations whenever possible to generate the final SCDNA. Very occasionally, estimated T_{min} could be larger than estimated T_{max} , for which T_{max} is replaced by the maximum T_{max} , and T_{min} is replaced by the minimum T_{min} of the estimates from the 16 strategies. 398 **Step-9**: The serially complete data of SCDNA is quality controlled again using methods introduced Sect. 3.1.2 to 399 exclude stations with unreliable estimates.

400 **3.4 Evaluate the precipitation and temperature estimates**

401 KGE', which is proposed by Gupta et al. (2009) and modified by Kling et al. (2012), is used to support the merging

402 of different strategies (Sect. 3.3) and the evaluation of the estimated precipitation and temperature.

$$\begin{cases} \text{KGE}' = 1 - \sqrt{(r-1)^2 + (\beta-1)^2 + (\gamma-1)^2} \\ \beta = \frac{\mu_s}{\mu_0} \\ \gamma = \frac{CV_s}{CV_o} = \frac{\sigma_s/\mu_s}{\sigma_o/\mu_o} \end{cases}$$
(8)

where *r* is the PCC, β is the bias ratio, and γ is the variability ratio; μ is the mean value, and σ is the standard deviation. The subscripts *s* and *o* represent estimated and reference time series, respectively. KGE' ranges from negative infinity to one. If two series exactly match, the KGE' is one. A β or γ value smaller/larger than one indicates that the mean value or variability of observations is underestimated/overestimated.

In Sect. 4, the evaluation during the observation period is based on the complete station observations (i.e., Step-4 in Sect. 3.3.2), while the evaluation during the reconstruction period is realized using 30% independent station

409 observations (i.e., Step-5 in Sect. 3.3.3). Unless otherwise stated, SCDNA estimates in Sect. 4 are after correction

410 (Step-7 in Sect. 3.3.3). In Sect. 4.5, SCDNA estimates are compared with gridded products (ERA5, JRA-55, MERRA-

411 2, and MSWEP). In addition to the three SCDNA variables (precipitation, T_{min} , and T_{max}), mean temperature (T_{mean} ,

412 the mean of T_{\min} and T_{\max}) and daily temperature range (T_{range} , the difference between T_{\max} and T_{\min}) are also included.

413 The involvement of T_{range} can contribute to more objective comparison between SCDNA and reanalysis products

414 because the TLR-based downscaling of reanalysis temperature contains uncertainties, which could affect the

415 evaluation of T_{min}, T_{max}, and T_{mean}. Although there exist differences between TLR of T_{min} and T_{max}, T_{range} can reduce

the effect of scale-mismatch between gridded reanalysis temperature and point station temperature on evaluation

417 results.

418 4 Results

419 **4.1 Comparison of infilling and reconstruction strategies**

420 The value of a given infilling/reconstruction strategy can be quantified by the extent that a strategy is selected for use

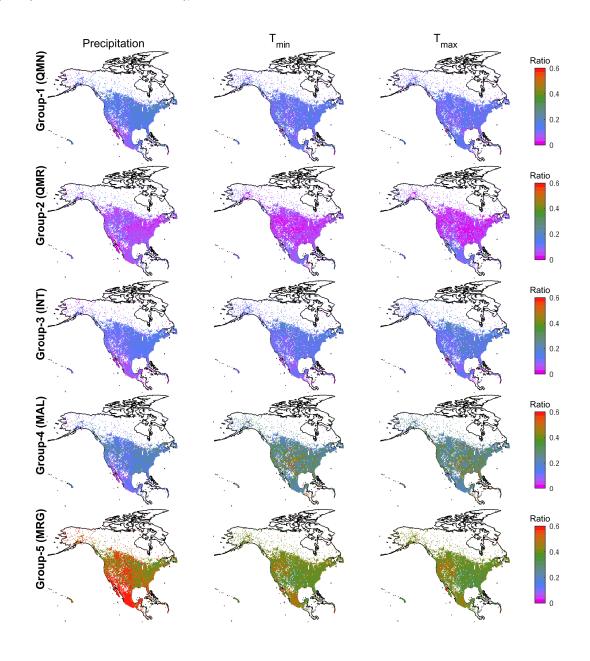
421 in the final SCDNA dataset. In this sense, the contribution ratios define the proportion of estimates that come from a

422 specific strategy. Fig. 6 shows that the contribution ratios of QMN, QMR, and INT to missing value estimation are

423 generally smaller than 20% in North America. Please note that QMN refers to all strategies within this group unless

- 424 the strategy number is specified right after QMN. This also applies to other groups. QMR shows the smallest
- 425 contribution ratios for almost all stations among the five groups. Compared with other regions in North America,

- 426 contribution ratios of QMR are higher for precipitation stations in western U.S. and temperature stations in Mexico.
- 427 INT shows lower contribution ratios in the Rocky Mountains compared with the western U.S., indicating statistical
- 428 interpolation without considering topographic effect is subjected to substantial uncertainties in complex terrain. MAL
- shows notably higher contribution ratios than QMN, QMR, and INT, particularly for *T*_{min} and *T*_{max}. The ratios of MAL
- 430 are higher than 20% for ~30% precipitation stations, ~65% T_{min} stations, and ~68% T_{max} stations. MRG has the highest
- 431 contribution ratios throughout North America. The average contribution ratios of MRG are 59.88%, 41.59%, and
- 432 40.56% for precipitation, T_{min} , and T_{max} , respectively. For precipitation, MRG is particularly effective in high-latitude
- 433 regions (northern Canada and Alaska), western U.S. and Mexico.



- 435 Figure 6. The contribution ratios of estimates from five infilling/reconstruction groups to the missing values of all
- 436 stations from 1979 to 2018. The three columns from left to right represent precipitation, T_{\min} , and T_{\max} , respectively.
- 437 The five rows from top to bottom represent Group-1 (QMN), Group-2 (QMR), Group-3 (INT), Group-4 (MAL), and
- 438 Group-5 (MRG), respectively. The maps are at the resolution of 0.5°. The ratio for each grid cell is the mean value of
- 439 all stations within this grid cell.
- 440 Figure 7 shows the KGE' and contribution ratios of 16 strategies. The KGE' of estimated precipitation is lower than that of estimated T_{\min} and T_{\max} due to the stronger spatial and temporal homogeneity of temperature (Fig. 7). The 441 median KGE' values of T_{min} and T_{max} are generally above 0.9, and the accuracy of estimated T_{max} is higher than that 442 443 of T_{\min} . The KGE' during the reconstruction period is smaller than that during the observation period, which is 444 particularly obvious for QMN, QMR, and INT-1 compared with other strategies, because QMN and QMR transfer 445 CDF during the observation period to other periods, and INT-1 transfers regression relationship during the observation 446 period to other periods. MAL suffers a slight degradation in the reconstruction period, and the better performance of 447 MAL-2 than MAL-1 shows that RF could be a better choice than ANN in estimating missing data. For MRG, the 448 differences of KGE' between the two periods are relatively small. For example, the median KGE' values of MRG-1 449 for T_{max} are 0.99 and 0.98 for observation and reconstruction periods, respectively. MRG also shows higher KGE' and 450 a narrower quantile ranges than other strategies, particularly for precipitation, benefiting from merging estimates from 451 multiple strategies
- 452 Regarding contribution ratios (Fig. 7), strategies with higher KGE' often have larger contributions to the estimated 453 series. However, this is not always true because the selection of strategies is performed for each DOY. Note that the 454 contribution ratios of MAL-2 are even higher than MRG-1 during the observation period for T_{min} and T_{max} , although 455 MRG-1 achieves higher KGE' than MAL-2 for most stations. This is because MAL-2 could be the best choice for 456 more DOY than MRG-1 even though MRG-1 may achieve the best overall performance. An example using T_{min} data 457 from one station is shown in Fig. S5.
- In the reconstruction period when observations are absent, the contribution ratios of MAL-2 decrease drastically compared with the observation period, contributing to the increased ratios of other strategies (particularly MRG-1). Although QMR shows the lowest contribution ratios, reanalysis products have implicit contributions to other strategies (e.g., MAL and MRG). Overall, MRG-1 shows much higher contribution ratios than all the other strategies (including MRG-2) during the reconstruction periods, indicating that it is the most important strategy in missing value estimation. Hence, combining information from multiple strategies is more reliable, and KGE'-based merging is more effective than the median-value-based estimation.

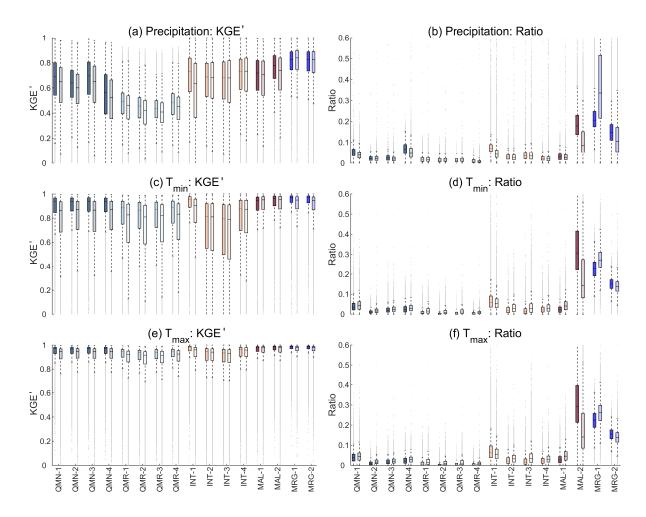


Figure 7. Boxplots of (a, c, and e) the KGE' and (b, d, and f) the contribution ratio of 16 strategies for all stations.
Each strategy corresponds to two boxes in each sub-figure; the left one with darker color represents the observation
period, and the right one with lighter color represents the reconstruction period. The line within the box is the median.
The upper and lower edges of the box represent the 25th and 75th percentiles, respectively. Values more than 1.5
times the interquartile range away from the upper or lower edges are outliers (dots).

472 **4.2 Impact of reconstruction on spatial correlation and series variance**

466

473 All infilling/reconstruction strategies except QMR rely on information from neighboring stations; this could affect the 474 spatial correlation structure and the variance of SCDNA series. Space-time correlations and other properties (e.g., 475 intermittency of precipitation) are important considerations because they can influence the performance of follow-on 476 applications that use the SCDNA as input. Theoretically, QMN strategies could significantly inflate spatial correlation 477 but retain variance of station observations. The spatial correlation inflation in INT strategies could be lower but the 478 variance would be underestimated due to smoothing. QMR-1 is used as an example to demonstrate the effect of QM 479 on spatial correlation and series variance (Fig. S6), because QMN uses different station combinations for every DOY 480 which would mask the effect of QM on final estimates. If the ERA5 used by QMR-1 is replaced by station observations, 481 the results should be generally consistent. According to Fig. S6, the spatial correlation is substantially inflated by

482 QMR-1, particularly for T_{min} and T_{max} , while the standard deviation of QMR-1 estimates is very close to that of 483 observations. This supports the design of estimating missing data using neighboring stations for each DOY as 484 otherwise, the inflation of CC could be very substantial for the entire period.

- 485 The spatial correlation based on station observations (Fig. 8a, d, and g) shows obvious seasonal variations, with CC lower in the warm season and higher in the cold season. The seasonality of CC for T_{max} is weaker compared with that 486 487 for precipitation and T_{\min} . The SCDNA estimates capture the seasonal patterns but underestimates the variation (Fig. 488 8b, e, and h) because the inflation of spatial CC is larger in the warm season than cold season (Fig. 8c, f, and i). 489 Moreover, the inflation is larger for neighboring stations with lower correlation with the target station. We tested 490 selecting neighboring stations according to their distance from the target station, and similar results were acquired. 491 For precipitation, the median CC differences of all stations are close to 0.1 in the cold season and range between 0.1 492 and 0.15 in the warm season. For $T_{\rm min}$, the median CC differences are generally between 0.05 and 0.15. The CC 493 differences of T_{max} are relatively homogeneous for different seasons and generally fluctuate between 0.05 and 0.1. The 494 inflation of CC is because (1) the estimates from the 10 neighboring stations and the target station are generally derived 495 using highly overlapped information (Sect. 3.3.1), and (2) estimation is realized for each DOY for all strategies except
- 496 MAL, meaning that calculating CC for each DOY show the inflation to the largest extent.
- 497 The final SCDNA replaces estimates by observations, which can largely relieve the inflation of spatial correlation
- 498 (Fig. S7), depending on the degree to which observations are present in the record. For T_{min} and T_{max} , CC is very close
- 499 to that based on observations; for precipitation, correlation in wintertime is even lower than that based on observations.

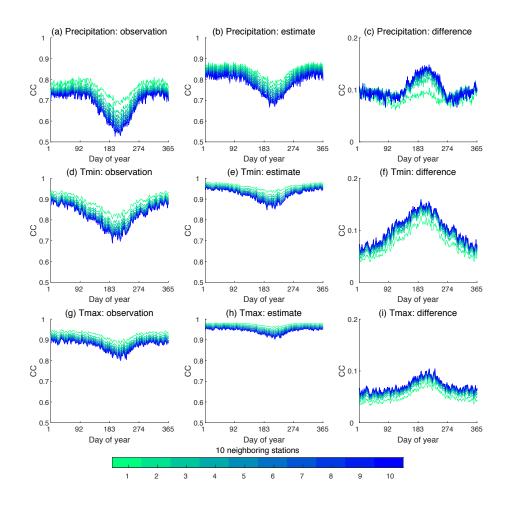


Figure 8. CC between target and neighboring stations for all DOY using station observations (the first column), SCDNA estimates (second column), and differences between SCDNA- and observation-based CC (the third column). CC is calculated in the observation period. For each target station, 10 neighboring stations are selected according to the correlation between time series from target and neighboring stations. Smaller numbers represent higher correlation. For example, station 1 represents the neighbor with the highest CC with the target station. Each curve represents the median CC of all stations.

Figures 9 and 10 show CC between estimates at the target station and observations at the neighboring station. For 507 508 precipitation, most strategies exhibit similar spatial correlation structure with observations for most stations. QMR 509 largely underestimates CC compared with observations, which should be attributed to the differences between precipitation of reanalysis products and stations. There are notable differences in different strategies within one group. 510 511 For example, QMN-1 shows larger inflation when observation-based CC is higher, which is not seen in QMN-2 to 4. 512 This is probably because QMN-1 only uses information from the one neighboring station with the highest correlation 513 with the target station for each DOY. Higher observation-based CC in Fig. 9 means this neighboring station could be 514 more frequently used by QMN-1 to estimate data for the target station, resulting in the larger inflation of CC. Another 515 example is that INT-1 underestimates the CC for 68.75% stations, whereas INT-2 to 4 overestimates the CC for almost

- smaller than that in Fig. 8. The mean values of observation-based and estimate-based CC are 0.71 and 0.77,
- 518 respectively. SCD-2 replaces estimates by observations and is the final dataset of this study. It reduces the mean
- 519 estimate-based CC to 0.70. The overall spatial correlation structure of observations is generally preserved by SCD-2.
- 520 However, SCD-2 calculates CC for the entire period which is different from the period of observation-based CC,
- 521 resulting in uncertainties such as the underestimation for some stations when observation-based CC is larger than 0.7.
- 522 The spatial correlation of T_{min} is much stronger than that of precipitation (Fig. 10). Most strategies overestimate the
- 523 CC for most stations, whereas the magnitude is quite small. For example, SCD-1 inflates the CC for 96.96% stations,
- 524 while the mean CC values for observations (0.95) and SCD-1 (0.96) are very close to each other. QMR still
- 525 underestimates CC similar to Fig. 9 for precipitation. CC based on SCD-2 is generally consistent with that based on
- 526 observations, while slight underestimation exists for some stations when observation-based CC is higher than 0.9. T_{max}
- 527 shows similar spatial correlation patterns with T_{\min} (Fig. S8).

528 In summary, inflation of CC is inevitable particularly when estimates are obtained using information from a sole data 529 source such as one neighboring station or one reanalysis product. The inflation is larger if each DOY is treated

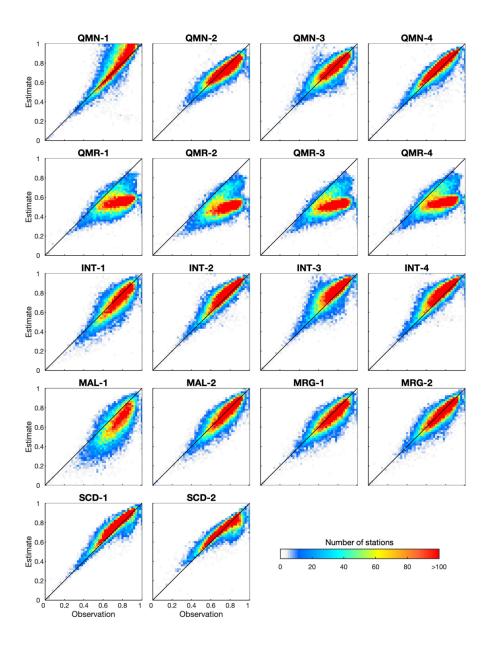
530 separately (Fig. 8 and S7), but smaller if CC is calculated for all years (Fig. 9, 10 and S8). Combining information

from multiple sources (stations and reanalysis) and combining multiple strategies for each DOY are beneficial in

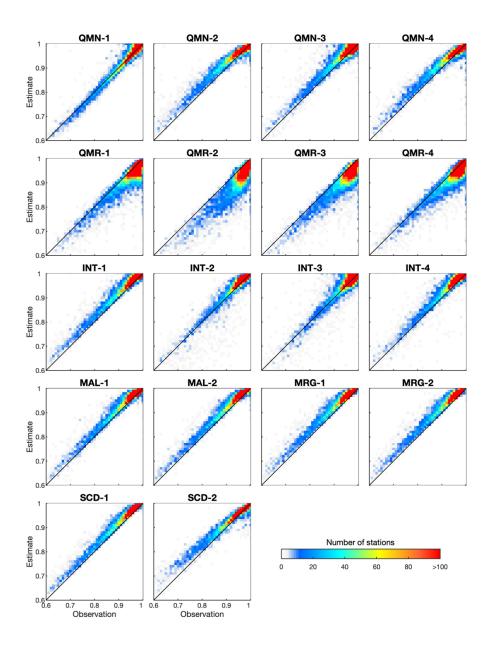
- estimating the overall spatial correlation structure. The spatial correlation structures vary for different strategies, and

533 further studies are needed to clearly demonstrate how and why the estimate-based CC differs from observation-based

534 CC.



536 Figure 9. Scatter density plots of CC between precipitation from the target station and neighboring stations. For each 537 target station, the neighboring station with the highest correlation with the target station is selected. X-axis represents the CC between observed precipitation from target and neighboring stations. Y-axis represents the CC between 538 539 estimated precipitation from the target station and the observed precipitation from the neighboring station. Each sub-540 figure corresponds to one strategy in Sect. 3.3.2. SCD-1 represents SCD estimates after correction, while SCD-2 replaces estimates by observations. CC is calculated during the overlapped observation period between target and 541 542 neighboring stations, and the only exception is SCD-2 which calculates CC using precipitation from target and 543 neighboring stations during the entire period.



545 Figure 10. Similar with Fig. 9, but for T_{\min} .

The variability of observations and of the corrected and uncorrected SCDNA estimates (Step-7 in Sect. 3.3.3) are compared using the standard deviation of the observation period (Fig. 11). The standard deviation of uncorrected SCDNA precipitation is lower than that of observations, while after correction, the standard deviation agrees very well with observations. The mean values of standard deviation are 7.36, 6.30, and 7.36 for observations, uncorrected SCDNA, and corrected SCDNA, respectively. For T_{min} and T_{max} , corrected and uncorrected SCDNA estimates both

show consistent variability with observations.

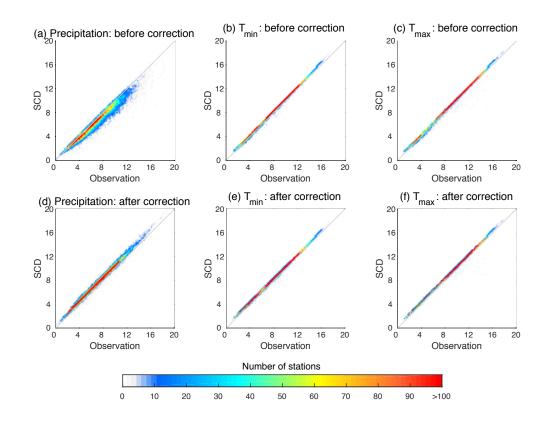


Figure 11. The standard deviation of observations and SCDNA estimates before and after correction. Data in the observation period are used.

555 **4.3 The performance of the serially complete dataset**

552

556 Uncorrected SCDNA estimates show high accuracy in North America (Fig. 12). For precipitation, the median KGE' of all stations is 0.87, and the median values of r, β , and γ are 0.91, 0.92, and 0.96, respectively. The KGE' for Mexico 557 stations generally ranges between 0.6 and 0.8, which is smaller than that in U.S. and southern Canada. Some stations 558 559 in Rocky Mountains, Caribbean, Alaska and northern Canada (regions with complex topography or climate), also 560 show lower KGE' for precipitation estimates. The spatial distribution of r is similar with that of KGE', while the 561 magnitude is higher. According to γ , most stations underestimate precipitation variability which is consistent with Fig. 562 11; β is generally lower than one in most regions of North America, particularly in the Rocky Mountains and Mexico where SCDNA underestimates precipitation. 563

Estimated temperature shows much higher KGE' compared with precipitation. The median KGE' and r of T_{min} are 0.97 and 0.99, respectively. For T_{max} , the median of KGE' and r are 0.99 and 0.99, respectively. The median γ and β are both between 0.99 and 1 for T_{min} and T_{max} with small variations, particularly for T_{max} (Fig. 12); the KGE' of T_{min} and T_{max} is lower in Caribbean and Mexico. For T_{min} , the KGE' for some stations around 45°N and the Rocky Mountains is lower than surrounding regions although γ is spatially homogeneous for the same region. This is because the mean T_{min} is close to zero for some stations in this region, resulting in the large magnitude of β and γ . In contrast, T_{max} exhibits homogeneous performance in the same region for all metrics.

- 571 Corrected SCDNA estimates (see Step-7; Fig. S9) have higher accuracy than uncorrected estimates (Fig. 12). For
- 572 example, the median KGE' for precipitation is improved from 0.87 to 0.90 after correction. The KGE' for T_{min} and
- 573 T_{max} is also improved but not as significant as precipitation. β equals to one for all stations due to the mean-value
- 574 correction. γ for precipitation changes from negative to positive for all stations, whereas the magnitude of bias
- 575 (deviation from one) is smaller after correction. As a result, the spatial distribution of metrics for T_{\min} is also more
- 576 homogeneous.

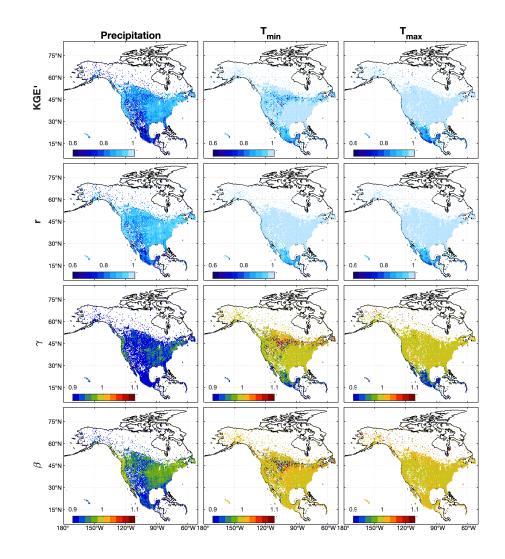
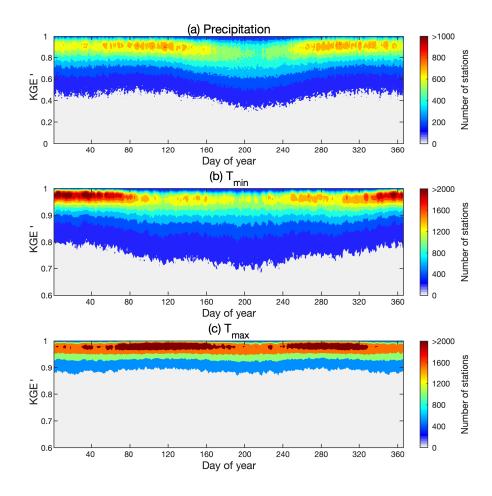


Figure 12. The spatial distributions of KGE' and its three components (r is CC, β is the bias ratio, and γ is the variability ratio) for uncorrected SCDNA estimates over North America during the observation period. The maps are at the resolution of 0.5°. The value for each grid cell is the median value of all stations within this grid cell.

The distributions of KGE' vary during the year (Fig. 13). For precipitation, more stations show lower KGE' during summer (DOY 150 to 250) than at other times of the year, which may be due to the variability of summertime convective precipitation. For T_{min} , some stations show lower KGE' from DOY 100 to 250. The seasonal variation of 584 KGE' for T_{max} is relatively weak, although KGE' is slightly more concentrated at a higher level during spring and 585 autumn than winter and summer. The overall performance of T_{max} is better than T_{min} and precipitation.



586

Figure 13. The distribution of KGE' for each day of year for (a) precipitation, (b) T_{min} , and (c) T_{max} . Corrected SCDNA estimates are used.

589 4.4 Comparison between the serially complete dataset and gridded products

590 SCDNA precipitation and temperature are compared with benchmark gridded products to demonstrate whether the 591 SCDNA is a good choice when station data are unavailable. Actual station observations are used as reference. 592 Although assessing gridded products using point-scale station data contains uncertainties (Tang et al., 2018a), the 593 objective of this section is to illustrate their agreement with station observations in lieu of providing an exhaustive 594 quantitative assessment of their real-world accuracy.

- 595 Overall, the SCDNA achieves much higher KGE' than reanalysis products for all variables (Fig. 14). For precipitation,
- the median KGE' differences between the SCDNA and ERA5, JRA-55 and MERRA-2 are 0.48, 0.57, and 0.54,
- respectively. The corresponding KGE' differences for T_{\min} are 0.46, 0.61, and 0.36, respectively. The improvement
- for T_{max} is smaller, particularly in the eastern U.S. where the topography is relatively flatter compared with western

- 599 U.S. The KGE' differences of T_{mean} are lower than T_{min} but higher than T_{max} due to the offset effect. T_{range} suffers little
- from the elevation differences between stations and reanalysis grids, and is suitable to demonstrate the differences
- between SCDNA and reanalysis products. The median KGE' differences for T_{range} between the SCDNA and ERA5,
- 602 JRA-55 and MERRA-2 are 0.31, 0.48, and 0.31, respectively.

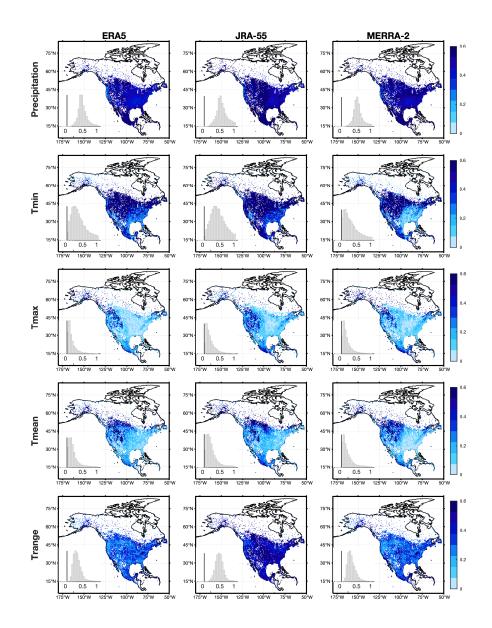


Figure 14. Spatial distributions of KGE' differences between SCDNA estimates and three reanalysis products (ERA5,
 JRA-55, and MERRA-2). The nested histograms show KGE' differences between the SCDNA and reanalysis products.
 Corrected SCDNA estimates are used.

SCDNA and MSWEP precipitation is compared (Fig. 15). Since MSWEP merges data from numerous stations, the
evaluation of MSWEP based on station data is not independent, which could result in the overestimation of its KGE'.
Even so, SCDNA precipitation shows higher KGE' than MSWEP for 98.97% stations with a median KGE' difference

- 610 of 0.31. Fig. 15 shows notable differences between MSWEP and SCDNA at the Canada-USA border and the USA-
- 611 Mexico border. This is because MSWEP infers gauge reporting time by searching for the highest correlation between
- 612 gauge data and the temporally shifted reanalysis/satellite estimates (Beck et al., 2019). The estimated temporal shift
- 613 could vary with countries, which results in distinct differences of station-based evaluation results along national
- 614 boundaries. The accumulation periods of station and MSWEP precipitation are inconsistent in some cases, which
- 615 could affect the evaluation of MSWEP (see Sect. 5.1).
- 616 Note that the evaluation does not indicate that the SCDNA has higher accuracy than the gridded products; rather, the
- 617 results show that SCDNA is a better substitute than gridded products when station observations are unavailable.

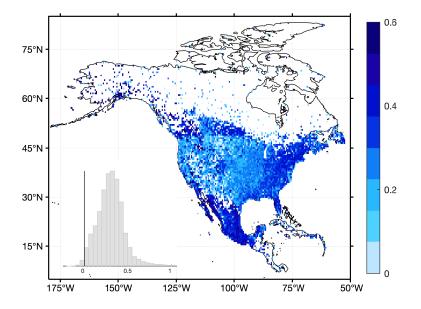


Figure 15. Spatial distributions of KGE' differences between SCDNA and MSWEP precipitation. Corrected SCDNA
 estimates are used.

621 5. Discussion

622 **5.1 Observation time of stations**

623 Meteorological stations in different countries usually have different local observation time, and stations in the same 624 country may also experience change of observation time (Vincent et al., 2012). Most station databases including those 625 used in this study do not account for reporting-time inconsistencies due to lack of hourly observations and well-626 documented station metadata. Vincent et al. (2009) examined several methods to adjust the time of daily precipitation 627 observations, which, however, often altered observed precipitation intensity. Beck et al. (2019) inferred the reporting time of daily precipitation observations by calculating SCC between the series of stations and gridded products, which 628 629 is useful to correct the bias of gridded products. A simple experiment is carried out using the method of Beck et al. (2019) to infer the lag day of station series. For precipitation, 6418 stations show nonnegligible time shift from the 630

- 631 reporting date (Fig. S10). However, this method may be unsuitable for temperature because the estimated lag day is
- 632 mostly zero, and the inferred reporting time cannot be directly applied to adjust station observations.

The inconsistent reporting time has different impact on precipitation, T_{min} , and T_{max} . For example, if a station records data from 8:00 a.m. on January 1st to 8:00 a.m. on January 2nd, the station will probably use January 2nd as the reporting time. However, two thirds of the 24-h time are within January 1st, indicating that the accumulated precipitation could mostly occur on January 1st. T_{max} could also occur during the daytime on January 1st, but it is hard to determine on which day T_{min} occurs, which makes it challenging to adjust precipitation, T_{min} and T_{max} at the same time. The difference between universal and local time makes this problem more complicated. Thus, the reporting time

of stations is not corrected here due to aforementioned difficulties.

640 **5.2 Homogenization**

641 Inhomogeneities in station observations are defined as variations that are not caused by weather and climate factors. 642 Long-term station records are often subjected to inhomogeneities due to factors like station re-location, observation 643 time change, instrument change, and surrounding environment change (Venema et al., 2012). Many methods have 644 been developed to identify breakpoints and homogenize station series in annual, monthly or even daily scales (e.g., 645 Ma et al., 2008; Vincent et al., 2002, 2012). Different methods could generate different estimates of inhomogeneities 646 as shown by many comparison studies (e.g., Beaulieu et al., 2008; Reeves et al., 2007; Venema et al., 2012). The four 647 station databases (Sect. 2.1) used in this study provide original station records without homogenization. The SCDNA 648 would inherit the potential inhomogeneities contained in these databases, and the infilling/reconstruction may also 649 lead to discontinuities. The homogenization of the SCDNA is challenging considering that (1) the dataset covers a 650 broad range of climate, topography, and countries, (2) the number of stations is large and differences between station 651 periods (ranging from 8 to 40 years) are substantial, and (3) whether existing methods are suitable for homogenization 652 of infilling/reconstruction estimates needs exploration. Therefore, homogenization is not carried out in this study, 653 which, however, is an important direction for future studies.

654 **5.3 Limitations of the KGE' statistic**

655 We use KGE' because it incorporates information about correlation, bias, and variability, and hence provides more information on methodological performance than an individual metric. For example, the PCC between temperature 656 657 estimates and observations is usually close to one and cannot reflect the bias term, while the mean square error is prone to the effect of extreme values (or outliers). However, KGE' also has limitations. For example, the values of 658 659 KGE' depend on the units of measurement (e.g., Santos et al., 2018) – in our case, the β values for temperature are 660 clearly always close to one if the units of measurement for temperature are in Kelvin. Since these statistics incorrectly 661 indicate very small temperature biases, we used °C for all KGE' calculations in this study, ensuring that β has more leverage in the KGE' statistic. Moreover, and critical for our analysis, the normalization used in the KGE' formula (β 662 and γ) means that the KGE' values are low when the denominators of β and γ are close to zero (e.g., Santos et al., 663 2018). This problem is especially acute for temperature – for instance, we found that KGE' values were very small for 664

- cases where μ_0 is close to zero. Nevertheless, the number of cases where μ_0 is close to zero is rather small, where
- $\sim 0.5\%$ of all cases (based on all stations and all DOY) show absolute values of mean T_{min} smaller than 0.1°C. For cases
- 667 with μ_o close to zero, the ranking based on KGE' is similar to the ranking based on mean absolute error, which
- 668 means that KGE' can still function as a ranking indicator when its value is low. Further work is needed to both
- 669 comprehensively evaluate the alternative infilling strategies presented in this paper and evaluate more advanced multi-
- 670 method merging strategies.

671 **5.4 Potential improvement directions**

672 Several steps could be taken to improve the SCDNA. First, the optimal strategy could be different for each station as 673 shown by the results in this study. Therefore, the quality of SCDNA may be further improved by using more 674 infilling/reconstruction methods, which would yield diminishing returns at some point. For example, the long short-675 term memory (LSTM) could be suitable to impute missing station observations. Optimizing the configuration of 676 various strategies will be necessary to balance computation efficiency and estimation accuracy, particularly when the 677 number of stations is large. Second, some stations suffer from undercatch, which depends on gauge type, precipitation 678 phase, environmental conditions, etc. The bias caused by undercatch can be substantial for stations located in high 679 latitudes and the mountains (Yang et al., 2005; Scaff et al., 2015). Third, the SCDNA does not distinguish between 680 rainfall and snowfall. Considering that a large part of North America has frequent snowfall in winter, precipitation phase classification will be useful for hydrometeorological studies. Auxiliary data from reanalysis and satellite 681 682 products could be used to partition precipitation into rain and snow. Finally, although the SCDNA agrees well with 683 station observations, long-term trends are difficult to reconstruct when actual observations are unavailable, meaning 684 the SCDNA may not be suitable for climate trend analysis in the reconstruction period. Some gridded datasets use 685 only stations with long-term records (e.g., (Wood, 2008; Werner et al., 2019) to achieve temporally consistent 686 estimates, whereas such stations are very few. Reasonable trend estimation is challenging but meaningful for SCD.

Furthermore, other variables such as wind and humidity observed by stations also suffer from the same problems faced by precipitation and temperature. Future studies should explore whether the current methodology is applicable to other variables. A SCD covering more variables would be useful for research in various fields.

690 6 Data availability

- The SCDNA dataset is available at https://doi.org/10.5281/zenodo.3735533 (Tang et al., 2020) in netCDF format. The basic variables are station identification, latitude, longitude, elevation, date, and TLR derived in Sect. 3.2. Stations that undergo location merging (Sect. 3.1.1) are identified and all relevant stations are included in the data file. For precipitation, T_{min} , and T_{max} , the variables in the netCDF4 file include original station observations, quality flags provided by original station databases, quality flags provided by this study, estimates from 16 strategies, uncorrected
- 696 SCDNA estimates, corrected SCDNA estimates, the final SCDNA with estimates replaced by observations, data
- 697 source flags indicating the source of each record in SCDNA (observations or 16 strategies), and accuracy metrics
- 698 (KGE' and its three components) for all estimates (16 strategies and SCDNA).

Scripts used to produce the SCDNA are available at https://github.com/tgq14/GapFill. The dataset will be regularlyupdated to cover the latest periods.

701 7 Conclusions

702 This study developed a daily SCD of precipitation, T_{min} , and T_{max} for 27276 stations from 1979 to 2018 over North 703 America (SCDNA). The original station data are compiled from multiple sources and undergo strict quality control. 704 Many stations have nonnegligible fractions of missing values in observation and reconstruction periods. For each 705 station, the infilling and reconstruction are implemented using 16 strategies (quantile mapping, statistical interpolation, 706 and machine learning) based on information from neighboring stations and concurrent reanalysis estimates (ERA5, 707 JRA-55, and MERRA-2). The final SCDNA combines estimates from the 16 strategies and is corrected using station 708 observations. The spatial correlation is preserved and might be slightly inflated. The SCDNA estimates reproduce the variance of original station observations very well, particularly for temperature. The median KGE' of the final 709 precipitation, $T_{\rm min}$, and $T_{\rm max}$ for all stations is 0.90, 0.98, and 0.99, respectively. The comparison with four benchmark 710 711 gridded products shows that the SCDNA has much better agreement with station observations. The SCDNA will be 712 useful for a variety of hydrometeorological studies in North America.

713

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720 Appendix A

Acronym	Full name
ANN	Artificial neural network
APHRODITE	Asian Precipitation-Highly-Resolved Observational Data Integration Towards Evaluation
CC	Correlation coefficient
CDF	Cumulative distribution function
CONUS	Contiguous United States
DEM	Digital elevation model

721 Table A1. Acronyms used in this paper

DOY	Day of year
ECCC	Environment and Climate Change Canada
ERA5	the fifth generation of ECMWF atmospheric reanalyses of the global climate
fD	Fraction of days without precipitation
GHCN-D	Global Historical Climate Network Daily
GSOD	Global Surface Summary of the Day
IDW	Inverse distance weighting
INT	Interpolation
JRA-55	Japanese 55-year Reanalysis
KGE'	Kling-Gupta Efficiency
LSTM	Long short-term memory
MAL	Machine learning
MLAD	Multiple regression based on the least absolute deviation criteria
MERIT DEM	Multi-Error-Removed Improved-Terrain digital elevation model
MERRA-2	Modern-Era Retrospective analysis for Research and Applications, Version 2
MRG	Multi-strategy merging
MSWEP	Multi-Source Weighted-Ensemble Precipitation
NR	Revised normal ratio
PCC	Pearson CC
QM	Quantile mapping
QMN	QM using neighboring stations
QMR	Quantile mapping with concurrent reanalysis estimates
RF	Random forest
SCC	Spearman CC
SCDs	Serially complete datasets
TLR	Temperature lapse rate
T _{max}	Maximum temperature
T _{mean}	Mean temperature
T_{\min}	Minimum temperature
Trange	Daily temperature range
U.S.	United States
UTC	Universal Time Coordinated

723 Appendix B

Five types of checks (Durre et al., 2010) are adopted for the quality control of temperature.

- 1. <u>Integrity checks</u>. The first type of integrity check is *a duplication check* to identify duplicated records for time series in different time periods. The second type of integrity check includes *the streak check* to identify consecutive identical values and *the frequent-value check* to identify close but not necessarily consecutive identical values. The *world record exceedance check* sets lower (-89.4°C) and upper (57.7°C) bounds of temperature.
- Outlier checks, including *the gap check* that examines the frequency distributions for all calendar months, and
 the *climatological outlier check* that is based on the traditional z-score (e.g., Hubbard and You, 2005).
- 7323. Internal and temporal consistency checks, including the iterative temperature consistency check, to ensure some733inherent relationships are abided (e.g., T_{min} cannot be larger than T_{max}); the spike/dip check, identifies734temperatures which deviate from previous and following days by at least 25°C; and the lagged temperature range735check, which identifies abnormally large differences between T_{min} and T_{max} during a 3-day time window.
- 4. <u>Spatial consistency checks</u>, including *the regression check* and *the spatial corroboration check*. *The regression check* builds regression relationships between temperature at the target location and selected nearby stations to
 determine whether temperature at the target station should be flagged according to regression residuals and
 standardized residuals. *The spatial corroboration check* flags temperature at the target station if the value
 deviates far from the temperature at neighboring stations.
- 5. <u>Extreme megaconsistency checks</u> to ensure that certain relationships hold for the entire records of stations. For example, T_{max} cannot be higher than the lowest T_{min} for the calendar month, and vice versa.
- For precipitation, quality control strategies are from three studies. The first part is similar with temperature, but does
 not include the third type of checks (internal and temporal consistency checks). The second part is from Hamada et al.
 (2011).
- <u>Repetition checks</u>. The non-zero check identifies constant daily values (> 10 mm d⁻¹) that occur for more than
 four days. The zero check compares the annual zero-precipitation frequency with its climatological value to spot
 unusual frequencies of zero.
- Duplicated monthly or sub-monthly record check. The temporal CC and the number of days with equal
 precipitation are used to identify whether two different months have the same records caused by human errors.
- 3. <u>Z-score-based outlier check</u>. Daily precipitation is flagged if its difference with the mean value from precipitation
 within a 15-day window of all years is larger than nine standard deviations. This step is repeated until no outlier
 is identified.

4. <u>Spatiotemporally isolated value check</u>. Extremely large precipitation is identified in both space and time based
 on the percentiles of precipitation differences between the target station and neighboring stations within a radius
 of 400 km.

757 The third part is from Beck et al. (2019).

- 1. Empirical criterion based on the fraction of days without precipitation (*f*D). This was designed to identify the long
- series of erroneous zero precipitation contained in GSOD station records. However, we found that this criterion
- 760 misidentifies some acceptable records in GHCN-D. Therefore, the *f*D-based check is only implemented for GSOD.
- 761 2. Discarding stations with fewer than 15 unique values or more than 99.5% dry records ($<0.5 \text{ mm d}^{-1}$).

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