

Rainfall erosivity mapping over mainland China based on high density hourly rainfall records

Tianyu Yue¹, Shuiqing Yin¹, Yun Xie¹, Bofu Yu², Baoyuan Liu¹

¹State Key Laboratory of Earth Surface Processes and Resource Ecology, Faculty of Geographical Science, Beijing Normal University, Beijing, 100875, China

²Australian Rivers Institute, School of Engineering and Built Environment, Griffith University, Nathan, Queensland, QLD 4111, Australia

Correspondence to: Shuiqing Yin (yinshuiqing@bnu.edu.cn)

Abstract. Rainfall erosivity represents the effect of rainfall and runoff on the average rate of soil erosion. Maps of rainfall erosivity are indispensable for soil erosion assessment using the Universal Soil Loss Equation (USLE) and its successors. To improve current erosivity maps based on daily rainfall data for mainland China, hourly rainfall data from 2381 stations for the period 1951-2018 were collected to generate the R factor and the 1-in-10-year EI₃₀ maps (available at https://dx.doi.org/10.12275/bnu.clicia.rainfallerosivity.CN.001; Yue et al., 2020). Rainfall data at 1-min intervals from 62 stations (18 stations) were collected to calculate rainfall erosivities as true values to evaluate the improvement of the new R

- 15 factor map (1-in-10-year EI_{30} map) from the current maps. Both the R factor and 1-in-10-year EI_{30} decreased from the southeastern to the northwestern, ranging from 0 to 25300 MJ mm ha⁻¹ h⁻¹ a⁻¹ for the R factor and 0 to 11246 MJ mm ha⁻¹ h⁻¹ for the 1-in-10-year EI_{30} . New maps indicated current maps existed an underestimation for most of the southeastern areas and an overestimation for most of the middle and western areas. Comparing with the current maps, the R factor map generated in this study improved the accuracy from 19.4% to 15.9% in the mid-western and eastern regions, from 45.2% to 21.6% in the
- 20 western region, and the 1-in-10-year EI_{30} map in the mid-western and eastern regions improved the accuracy from 21.7% to 13.0%. The improvement of the new R factor map can be mainly contributed to the increase of data resolution from daily data to hourly data, whereas that of new 1-in-10-year EI_{30} map to the increase of the number of stations from 744 to 2381. The effect of increasing the number of stations to improve the interpolation seems to be not very obvious when the station density was denser than about $10 \cdot 10^3$ km² 1 station.

25 1 Introduction

Soil erosion has been the major threat to soil health, soil and river ecosystem services in many regions of the world. Soil erosion has on-site impacts, such as the reduction of soil and water, the loss of soil nutrients, the decrease of land quality and food production, as well as off-site impacts, such as excessive sedimentation and water pollution. The reduction of crop production due to erosion has been estimated to be 0.4% per year on a global scale (FAO, 2019b). Soil erosion models are

30 tools to evaluate the rate of soil loss and can provide policymakers useful information for taking measures in soil and water





conservation. The Universal Soil Loss Equation (USLE; Wischmeier and Smith, 1965, 1978) and the Revised USLE (RUSLE; Renard, 1997; USDA-ARS, 2013) have been widely used to estimate soil erosion in at least 109 countries over the past 40 years (Alewell et al., 2019). Rainfall erosivity is one of the factors in the USLE and RUSLE to represent the potential ability of rainfall and runoff to affect soil erosion. In the USLE, erosivity of a rainfall event is identified as the EI value, also denoted

- 35 as EI₃₀, which is the product of the total storm energy (E) and the maximum 30-min intensity (I₃₀) (Wischmeier, 1959). The erosivity factor (R factor) in the USLE is the average annual total EI values of all erosive events. To recognize interannual rainfall variability, rainfall data of long periods are required (Wischmeier and Smith, 1978). In the original isoerodent map generated by Wischmeier and Smith (1965), stations with rainfall data of at least 22 years were used. To use the USLE, two additional input parameters are required. One is the seasonal distribution of the R factor. To acquire soil erodibility factor (K
- 40 factor) and cover-management factor (C factor), the seasonal distribution of EI (monthly, Wischmeier and Smith, 1965; or half-month percentage of EI, Renard, 1997, Wischmeier and Smith, 1978) were needed. The other is the 1-in-10-year storm EI value needed to compute the support practice factor (P factor) for the contour farming (Renard et al., 1997). Calculation of the rainfall erosivity factor requires high-resolution rainfall data to compute E and I₃₀ accurately. In the original study of event rainfall erosivity, the recording-rain-gauge chart was used (Wischmeier and Smith, 1958). Meteorological
- 45 stations with this type of data are sparsely distributed and the record length is usually quite short. Methods to estimate rainfall erosivity based on more readily available data have been developed widely, such as daily (Angulomartínez and Beguería, 2009; Bagarello and D'Asaro, 1994; Capolongo et al., 2008; Haith and Merrill, 1987; Richardson et al., 1983; Selker et al., 1990; Sheridan et al., 1989; Xie et al., 2016; Yu et al., 1996; Yu and Rosewell, 1996a; Zhang et al., 2002), monthly (Arnoldus, 1977; Ferro et al., 1991; Renard and Freimund, 1994), and annual rainfall (Bonilla and Vidal, 2011; Ferrari et al., 2005; Lee and Heo,
- 50 2011; Yu and Rosewell, 1996b). Yin et al. (2015) evaluated a number of empirical models to estimate the R factor using rainfall data of temporal resolutions from daily to average annual, and showed that the most accurate prediction was based on data at the highest temporal resolution.

Once values of the erosivity factor is obtained with site observations, spatial interpolation methods can be used to estimate rainfall erosivity for sites without rainfall data based on surrounding sites to produce the erosivity maps or isoerodent maps.

- 55 Local values of erosivity can be taken from these maps (Wischmeier and Smith, 1978). Erosivity maps are indispensable for regional soil erosion and erosion risk assessments based on USLE-type models (Borrelli et al., 2017; Grimm et al., 2001; Liu et al., 2013; Lu et al., 2001; Panagos et al., 2015). Maps based on spatial interpolation have been widely produced around the world (Borrelli et al., 2016; Klik et al., 2015; Liu et al., 2013; Lu and Yu, 2002; Oliveira et al., 2012; Panagos et al., 2015, 2016, 2017; Qin et al., 2016; Sadeghi et al., 2017; Yin et al., 2019).
- 60 Recently, Food and Agriculture Organization (FAO) proposed to produce a Global Soil Erosion Map (GSERmap) which encouraged scientists from all over the world to generate their own national level maps making the most of the country knowledge, locally available methods and input data (FAO, 2019a). Rainfall erosivity maps for China were reviewed and relevant information on how they were generated are presented in Table 1, which shows that current R factor maps for mainland China typically used daily rainfall data from about 500-800 stations (e.g., Zhang et al, 2003; Liu et al., 2013; Qin et al., 2016;





- 65 Yin et al., 2019; Liu et al., 2020), which were recorded by simple rain gauges and readily available. However, daily rainfall data are not enough to derive sub-daily intensities, which reduced the accuracy of estimated rainfall erosivity (Yin et al., 2015). Breakpoint data and 1-min data are the best datasets for deriving precipitation intensity and estimating rainfall erosivity. However, 62 stations with 1-min data collected were inadequate for the spatial interpolation of rainfall erosivity over mainland China. More than 2000 stations of hourly data were collected, together with the 62 stations of 1-min data: (a) to develop high-
- 70 precision maps of the R factor and 1-in-10-year EI₃₀ over the mainland China; (b) to quantify the improvement of the new erosivity maps in higher temporal resolution and station density and better interpolation method compared to current maps. The study of (Yin et al., 2019) was chosen to represent the latest data had set to estimate the R factor and 1-in-10-year EI₃₀ and related maps. New R factor and 1-in-10-year EI₃₀ maps were produced in this study may improve the estimation of the soil loss in mainland China.
- 75

Table 1: Studies on the mapping of R factor or daily rainfall erosivity (R_{d}) for or involving China

| Study Area | Period | Temporal resolution of precipitation data | No. of stations for the study area | Interpolation method | Reference |
|---------------|-------------------------|--|------------------------------------|--|----------------------|
| | 1956-1984 | Multi-year average of annual, maximum daily and maximum hourly | 125 | Contour mapping | Wang et al., 1996 |
| a . | 1971-1998 | Daily | 564 | Kriging | Zhang et al., 2003 |
| China | 1960-2009 | Daily | 590 | Kriging | Liu et al., 2013 |
| | 1951-2010 | Daily | 756 | Universal co-kriging with the aid of the elevation | Qin et al., 2016 |
| | 1961-2016 | Daily | 774 | Ordinary Kriging | Yin et al., 2019* |
| | 1989-2010 | Annual average | Gridded (0.5°×0.5°) | | Naipal et al., 2015 |
| Global | 1998-2012 (in China) | Hourly and sub-hourly | 3625 (387 in China) | Gaussian Process Regression | Panagos et al., 2017 |
| | 1980-1999 2000-2017 | Daily | 30000+ (~800 in China) | Thin-plate spline smoothing | Liu et al., 2020 |

*Map of event 1-in-10-year EI₃₀ in China was also generated.

2 Data and methods

2.1 Data

80 Rainfall data at three temporal resolutions were obtained hourly, daily and 1-min. Hourly data were used to generate maps of R factor and 1-in-10-year EI₃₀. The evaluation of the effect of station density and interpolation methods on generated maps was also based on the erosivity calculated with hourly data. Daily rainfall data were used to adjust the erosivity estimated by hourly data where the record length was short. Data at 1-min interval were used in two ways. One was to calculate the R factor as true values to evaluate the effect of temporal resolution on erosivity estimation along in comparison to the hourly and the daily





- 85 rainfall data. The other is to develop an exponential model for estimating R factor with the mean annual precipitation. The coefficient of the model was used for adjusting the R factor based on hourly data of shorter periods. Rainfall data at 1-hour intervals from 2381 meteorological stations over mainland China (Fig. 1) were collected and quality controlled by the National Meteorological Information Center of China Meteorological Administration. The period of the data was from 1951 to 2018. The start year of the data varied because data collection commenced in different years. Observation was
- 90 suspended in the snowy season, which resulted in some missing months in winter for station in the northern part of China. There were 932 (39%) stations with data for the whole year, 550 (23%) stations from April to October and 421 (18%) stations from May to September.

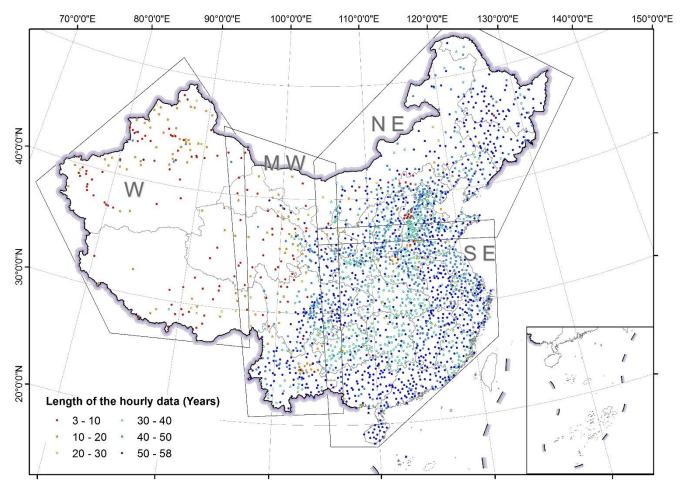


Figure 1: Spatial distribution of stations with hourly rainfall data and the length of the data. Western, Mid-western, Northeastern 95 and Southeastern regions were abbreviated as W, MW, NE and SE, respectively.

The missing data were handled according to the following criteria: (a) a day with more than 4 missing hours was defined as a missing day; (b) a month with more than 6 missing days was defined as a missing month; (c) a year with any missing month in its wet-season was defined as a missing year. The wet-season for stations north of 32°N was from May to September, and





- for those south of 32°N was from April to October. Missing years were removed and missing hours in the remaining effective 100 years were input in two categories: (a) the missing period is followed by a non-zero record, which recorded the accumulated rainfall amount in the missing period based on data notes; (b) the missing period is followed by zero. In the first case, each missing hour and the following non-zero hour were assigned the average value of the non-zero record in these hours. For the second case, the missing hours were input as zero value.
- The daily data were obtained for the same 2381 stations over the period of 1951-2014 (Fig. 1), and precipitation was measured with simple rain gauges. The data were also collected and quality controlled by the National Meteorological Information Center of China Meteorological Administration. Daily data were collected all year round and the number of effective years ranged from 18 to 54 years. Most of the stations (88%) have data of more than 50 years. An effective year of the daily data was defined as there is no more than one missing month in the year, and a missing month was defined as there are more than 6 missing days in the month. The missing records in the effective years were input as zero value.
- 110 Data at 1-min intervals were collected from 62 stations in mainland China (Fig. 2; and were used in Yue et al., 2020). Data from station No. 1-18 have effective years of 29-40 and cover the period of 1961(1971)-2000. Data from stations No. 19-62 have effective years of 2-12 and cover the period of 2005-2016. The missing data in the effective years were assumed to be zero.





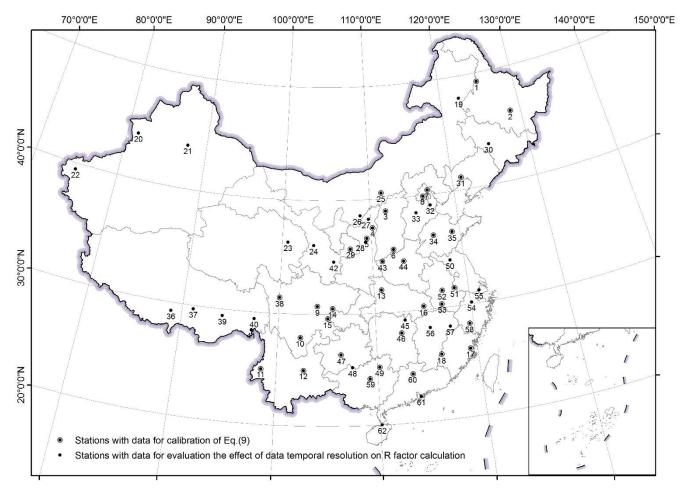


Figure 2: Spatial distribution of the stations with 1-min rainfall data

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The R factor map from Panagos et al. (2017) shown in the discussion part of this study was from the global rainfall erosivity dataset published by Joint Research Centre - European Soil Data Centre (ESDAC).

2.2 Rainfall erosivity for stations

Hourly rainfall data were first separated into rainfall storms. A continuous period of >= 6 hours of non-precipitation was regarded as the separation of two rainfall storms (Wischmeier and Smith, 1978). Storms with the amount of >= 12 mm were

defined as erosive events (Xie et al., 2000), and were used to calculate the rainfall erosivity factors. Event rainfall erosivity EI_{30} (MJ mm ha⁻¹ h⁻¹) was the product of the total storm energy E (MJ ha⁻¹) and the maximum 30-min

intensity I₃₀ (mm h⁻¹). The maximum 1-hour intensity H₆₀ (mm h⁻¹) was obtained in this study:
EH₆₀ =
$$E \cdot H_{60}$$
,

$$EH_{60} = E \cdot H_{60},$$
(1)
125 $E = \sum_{r=1}^{l} (e_r \cdot P_r),$
(2)



130



(3)

$$e_r = 0.29[1 - 0.72exp(-0.082i_r)],$$

where r = 1, 2, ..., l means that a storm could be divided into l periods or a storm lasted for l hours, e_r the unit energy (energy per mm of rainfall, MJ ha⁻¹ mm⁻¹), P_r the amount (mm), and i_r the intensity (mm h⁻¹) of the rth hour (USDA-ARS, 2013). The R factor (R_{hour} , MJ mm ha⁻¹ h⁻¹ a⁻¹) was the mean annual rainfall erosivity and was obtained by multiplying the R factor from hourly data (R_h) and the conversion factor 1.871 (Yue et al., 2020):

$$R_h = \frac{1}{N} \sum_{i=1}^{N} \sum_{j=1}^{m} (EH_{60})_{ij},\tag{4}$$

$$R_{hour} = 1.871 \cdot R_h,\tag{5}$$

where i=1, 2, ..., N means there are N effective years, and j = 1, 2, ..., m means there are m erosive rainfall storms in the i^{th} year.

135 The 1-in-10-year EI_{30} was obtained by calibrating the generalized extreme value (GEV) distribution, and the parameters of the model were estimated using L-moments method (Hosking, 1990). The GEV distribution is a family of probability distributions of Gumbel, Fréchet and Weibull. and can be denoted as G (μ , σ , ξ) with parameters μ (location), σ (scale), and ξ (shape) (Coles, 2001):

$$G(z) = exp\left\{-\left[1+\xi\left(\frac{x-\mu}{\sigma}\right)\right]^{-1/\xi}\right\}\{x: 1+\xi(x-\mu)/\sigma > 0\},$$
(6)

140 where *x* was the annual maximum storm EI₃₀ (MJ mm ha⁻¹ h⁻¹), $-\infty < \mu < \infty$, $\sigma > 0$ and $-\infty < \xi < \infty$. The extreme quantiles of the annual maximum EI₃₀ (*X_p*) were then obtained by inverting Eq. (6):

$$X_{p} = \begin{cases} \mu - \frac{\sigma}{\xi} \left[1 - \{ -\log(1-p) \}^{-\xi} \right], for \xi \neq 0\\ \mu - \sigma \log\{ -\log(1-p) \}, for \xi = 0 \end{cases},$$
(7)

where $G(X_p) = 1 - p$. The 1-in-10-year EI₃₀, was the value of X_p when p was 1/10. The computed 1-in-10-year EI₃₀ using hourly rainfall data was then multiplied by the conversion factor of 1.489 (Yue et al., 2020).

- 145 Due to the variability of rainfall erosivity, stations with less than 22 effective years should be excluded (Wischmeier and Smith, 1978). However, stations in western China have limited effective years (Fig. 1). Once stations with less than 22 effective years are removed, the western stations would be too sparse, which would reduce the accuracy of the rainfall erosivity map. To fill the gap of the insufficient years, daily rainfall data observed by simple rainfall gauges (usually have longer periods) of these stations were used.
- 150 The calculation of the R factor considered the following two cases: (1) The effective years of hourly data were no less than those of daily data (37% of the stations); (2) The effective years of hourly data were less than those of daily data (63% of the stations). In the first case, the R factor was calculated directly by hourly data with Eq. (1-5). In the second case, the R factor was firstly calculated by hourly data with Eq. (1-5), then adjusted by the mean annual rainfall calculated by daily data (Zhu and Yu, 2015):

155
$$R_{\text{hadj}} = R_{hour} \left(\frac{P_{\text{d}}}{P_{h}}\right)^{1.481},$$
 (8)



160

(11)

where R_{hadj} was the adjusted R factor, R_{hour} was the estimated R factor using hourly rainfall data, P_d was the mean annual precipitation of longer period (period of the daily data), and P_h was the mean annual precipitation of shorter period (period of the hourly data).

The exponent 1.481 was calibrated with a power function (Eq. 9) of the mean annual precipitation and rainfall erosivity using 1-min and daily rainfall data of 35 stations in China (Fig. 2). The 1-min and daily data share periods of more than 10 years for these 35 stations.

$$R_{min} = 0.156 \cdot P_m^{1.481},\tag{9}$$

where R_{min} was the R factor (MJ mm ha⁻¹ h⁻¹ a⁻¹), and P_m was the mean annual precipitation (mm).

R factor based on 1-min rainfall data was calculated using Eq. (10-11):

165
$$R_{min} = \frac{1}{N} \sum_{i=1}^{N} \sum_{j=1}^{m} (EI_{30})_{ij},$$
 (10)

$$\mathbf{E}I_{30} = E \cdot I_{30}$$

where I_{30} was the maximum continuous 30-min intensity. Total storm energy (E) was obtained also using methods from RUSLE2 as Eq. (2-3) with a time increment of 1 min.

Calculation of the 1-in-10-year EI₃₀ also considered two cases: (1) The effective years of the hourly data were no less than 22
years (89% of the stations) and the 1-in-10-year EI₃₀ was estimated by hourly data with Eqs. (6-7); (2) The effective years of the hourly data were less than 22 years, but those of the daily data were no less than 22 years (11%), the 1-in-10-year EI₃₀ was estimated by daily data as follows. Firstly, daily rainfall erosivity was obtained by the following equation developed by Xie et al. (2016):

$$R_{daily} = \alpha P_{daily}^{1.7265},\tag{12}$$

- 175 where P_{daily} was the daily precipitation (≥ 10 mm), parameter α was 0.3937 in the warm season (May to September), and 0.3101 in the cold season (October to April). Secondly, the 1-in-10-year daily erosivity was obtained by calibrating the GEV distribution parameters as Eq. (6-7) and the *x* in the functions was replaced by the annual maximum daily erosivity. Finally, the 1-in-10-year daily erosivity from daily data was multiplied by a conversion factor of 1.17 to correct the 1-in-10-year daily erosivity to approximate the actual event 1-in-10-year EI₃₀ from 1-min data (Yin et al., 2019). The record length was 22 to 29
- 180 for 16 (0.7%) stations, 30 to 39 for 44 (1.8%) stations, 40 to 49 for 216 stations (9.1%) stations, more than 50 for 2105 (88.4%) stations when these adjustments were made.

2.3 Spatial interpolation and cross validation

Since there is a good correlation between erosivity factor and the mean annual precipitation, the erosivity maps were obtained using the method of Universal Kriging with the annual rainfall as a co-variable. The annual average rainfall was computed

185 using daily rainfall data of the stations and was firstly interpolated using Ordinary Kriging, and the results were used to conduct Universal Kriging. Both the mean annual precipitation and the erosivity factors were interpolated first for each region



separately (Fig. 1; Li et al., 2014), and then combined to obtain annual precipitation and erosivity maps over China. Buffer areas were used to avoid the discontinuity in the boundary areas following Li et al. (2014).

To evaluate the efficiency of interpolation models, a leave-one-out cross-validation method was applied in each region. 190 Symmetric mean absolute percentage error (sMAPE) and Nash-Sutcliffe model efficiency coefficient (NSE) were used for the assessment:

$$sMAPE = \frac{1}{n} \sum_{i=1}^{n} \left| \frac{F_i - A_i}{(F_i + A_i)/2} \right| \times 100\%,$$
(13)

NSE =
$$1 - \frac{\sum_{i=1}^{n} (F_i - A_i)^2}{\sum_{i=1}^{n} (A_i - \overline{A_i})^2},$$
 (14)

where *n* was the number of stations, F_i was the predicted value at the position of the ith station using data from surrounding stations, A_i was the true value at the ith station.

2.4 The evaluation of the improvement on the accuracy of the erosivity maps

Current erosivity mapping at national scale in mainland China usually uses daily rainfall data from more than 700 stations. The R factor and 1-in-10-year EI_{30} maps of Yin et al. (2019) were taken as references to evaluate the improvement in the accuracy of the erosivity maps generated in this study. To compare the accuracy of the erosivity maps of this study and those

of Yin et al. (2019), true values from 1-min data using Eq. (10-11) for 62 stations were used to evaluate the improvement of R factor and those from 1-min data for 18 stations (No.1-18; Fig. 2Figure 2) with more than 22 years were used to evaluate the 1-in-10-year EI₃₀. The values extracted from the two erosivity maps for these stations were compared with the true values calculated with 1-min data. Relative error (%) for each station was calculated as follows:

$$RE_{ij} = \frac{R_{ij} - (R_{min})_i}{(R_{min})_i} \times 100\%,$$
(15)

- where $(R_{min})_i$ is the true value calculated from 1-min data, i = 1, 2, ..., 62 (18); R_{ij} was the value extracted from the erosivity map of this study and that of Yin et al. (2019); j = 1, 2, 3, 4 represents R factor and 1-in-10-year EI₃₀ maps for the two studies; RE_{*ij*} was the relative error (%) of the R factor or 1-in-10-year EI₃₀ of the *i*_{th} station on the *j*_{th} map. Considering that the absolute values of RE would be high for stations with smaller R factor values, the relative error of the entire map was expressed as the median absolute value of the RE for all the stations.
- 210 The erosivity maps in this study used a different procedure as in Yin et al. (2019) mainly in three areas: (1) temporal resolution (hourly vs. daily); (2) number of stations (2381 stations vs. 744 stations); (3) interpolation method (Universal Kriging vs. Ordinary Kriging).

To evaluate the effect of the temporal resolution on the calculated R factor and 1-in-10-year EI_{30} , hourly and daily rainfall data with the same period as the 1-min data at the 62 stations were used. R factors from hourly data were based on Eq. (1-5), those

from daily rainfall data were based on Eq. (12), and those from 1-min data were based on Eq. (10-11). The 1-in-10-year EI_{30} were all calculated by calibrating GEV distribution using Eq. (6-7). Erosivity factors from 1-min data can be regarded as the true value. The relative error was computed for evaluating accuracy.





To evaluate the effect of station density, hourly data from 774 stations (used in Yin et al. (2019)) and from 2381 stations (used in this study) were used to generate two separate erosivity maps. R factor and 1-in-10-year EI_{30} values were compared using leave-one-out cross validation method region by region. The sMAPE was calculated for accuracy assessment.

To evaluate the effect of interpolation methods, Ordinary Kriging and Universal Kriging with the mean annual rainfall as the co-variable was applied for the R factor and 1-in-10-year EI_{30} computed using hourly data from 2381 stations. Both interpolation methods were applied to each of four different regions as shown in Fig. 1 and leave-one-out cross validation results were compared. The sMAPE was also calculated to evaluate the accuracy of interpolated values.

225 3 Results

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3.1 Accuracy evaluation on erosivity maps

Taking erosivity maps (R factor and 1-in-10-year EI₃₀) generated by Yin et al. (2019) as references, this study shows a certain improvement in accuracy (Fig. 3; Table 2). For the R factor, the values in the map of Yin et al. (2019) were underestimated where the R factor was relatively high, and overestimated where the R factor was relatively low. The improvement was

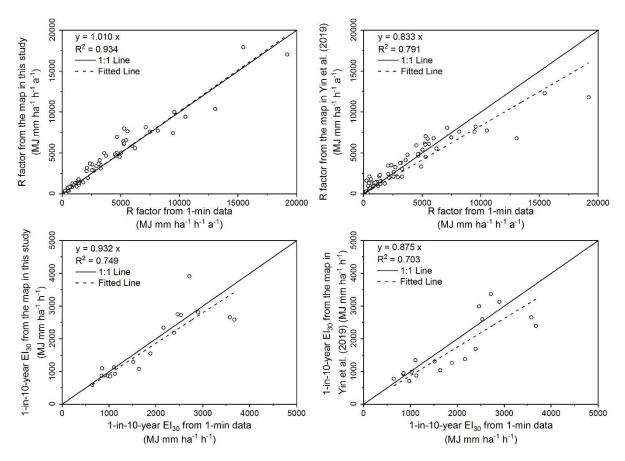
230 particularly noticeable for western China (R < 1000 MJ mm ha⁻¹ h⁻¹ a⁻¹) and the southeastern coastal region (R > 10000 MJ mm ha⁻¹ h⁻¹ a⁻¹).

Relative errors of the two maps at the 62 stations are shown in Fig. 4 (a) and (b). Those with the relative error of more than 100% were all in the western region. The median values of the absolute RE in Mid-western (MW), Northeastern (NE) and Southeastern (SE) regions were 15.9% and 19.4% for maps in this study and Yin et al. (2019), indicating an improvement of

235 3.4%. Those in Western (W) region were 21.6% and 45.2%, respectively, and indicating an improvement of 23.6%. For 1-in-10-year EI₃₀, the median values of the absolute RE were 13.0% for maps from this study and 21.7% for Yin et al. (2019), indicating an improvement of 8.7% in the mid-western and eastern regions. The evaluation on the 1-in-10-year EI₃₀ map didn't cover the western region where there were no 1-min data with enough effective years to estimate return level.







240 Figure 3: Comparison of the R factors and 1-in-10-year EI₃₀ extracted from the maps and the true value. The graphs on the left were the evaluation of the maps generated in this study, and those on the right were the evaluation of the maps generated by Yin et al. (2019)





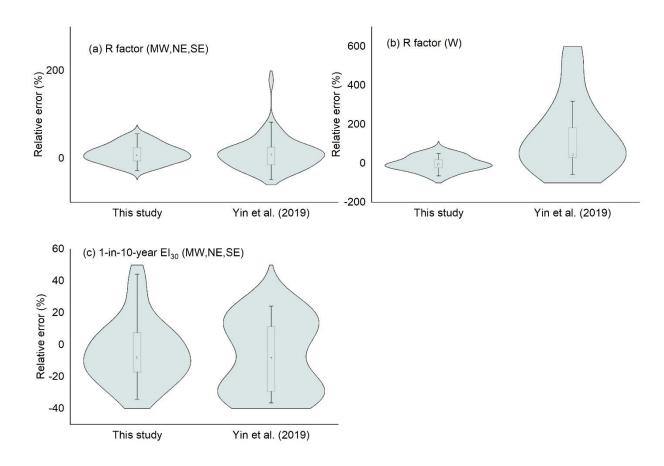


Figure 4: The relative errors of the R factors (a for region MW, NE, SE; b for region W) and 1-in-10-year EI₃₀ (c for region ME, NE, SE) extracted from the maps

Table 2: The statistical characteristics of the relative errors of the erosivity factors from the maps

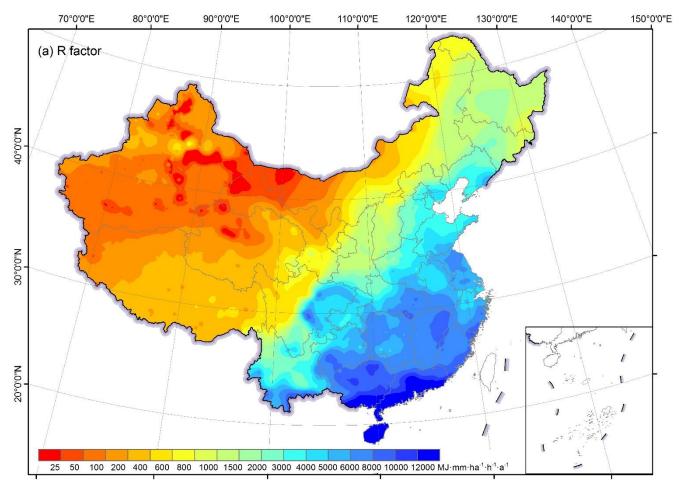
| | | R fac | 1-in-10-year EI ₃₀ | | | |
|-----------------|------------|-------------------|-------------------------------|----------------------|------------|-------------------|
| | MW, NE, SE | | W | | MW, NE, SE | |
| | This study | Yin et al. (2019) | This study | Yin et al. (2019) | This study | Yin et al. (2019) |
| 25th percentile | 6.2% | 10.5% | 9.3% | 29.9% | 7.5% | 11.1% |
| Median | 15.9% | 19.4% | 21.6% | 45.2% | 13.0% | 21.7% |
| 75th percentile | 27.8% | 32.8% | 39.1% | 250.2% | 26.6% | 30.2% |
| Mean | 19.5% | 27.2% | 23.4% | 150.6% | 16.2% | 21.2% |





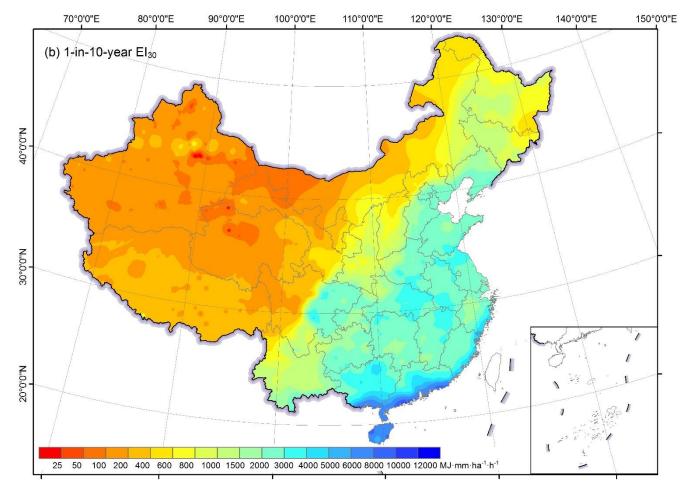
3.2 Erosivity maps and changes comparing with the previous study

- 250 The R factor generally decreased from the southeastern part to the northwestern part of China (Fig. 5a), ranging from 0 to 25300 MJ mm ha⁻¹ h⁻¹ a⁻¹. The map of 1-in-10-year EI₃₀ shows a similar spatial pattern with that of the R factor (Fig. 5b), ranging from 0 to 11246 MJ mm ha⁻¹ h⁻¹. Zero R factor value is found at Turpan, Xinjiang Province, where the mean annual rainfall is only 7.8 mm. The maximum of the R factor (more than 20000 MJ mm ha⁻¹ h⁻¹ a⁻¹) is located in the southern part of the Guangxi and Guangdong provinces, along the South China Sea, where the mean annual rainfall is more than 2500 mm.
- 255 In addition to the overall trend, some local scale characteristics could be identified in the maps. Taking the R factor map as an example, in the western region, the wetter region in northwestern China was located in the west of Dzungaria Basin and along the Tianshan Mountain, which could be captured on the map.





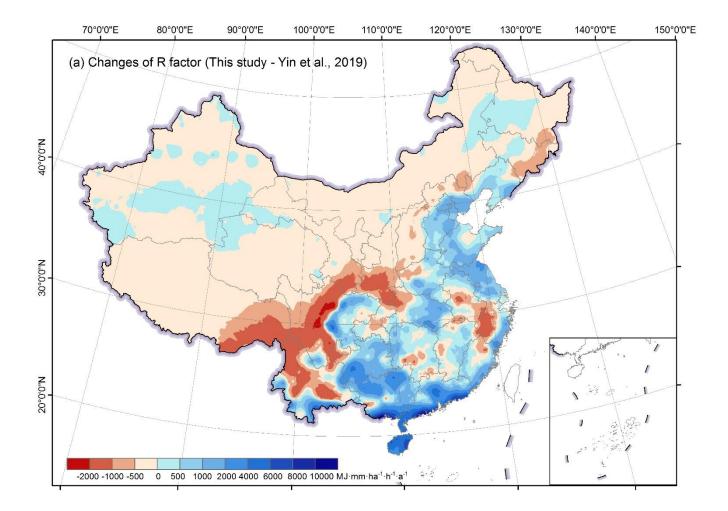




260 Figure 5: R factor(a) and 1-in-10-year EI₃₀(b) over mainland China based on hourly data from 2381 stations











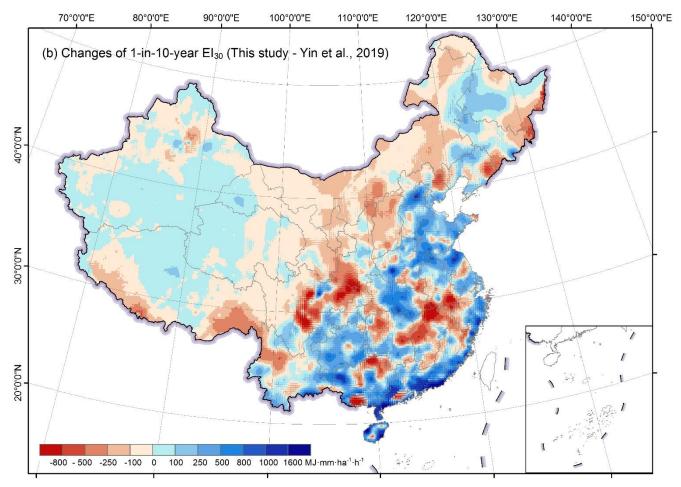


Figure 6: Changes of the R factor(a) and the 1-in-10-year EI₃₀(b) comparing with the previous study

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Comparing with the maps from Yin et al. (2019), the new maps can be quite different at some local areas (Fig. 6a and 6b). The
R factor in the new map was higher for most of the southeastern area, and lower for most of the middle and western areas, especially for the southwestern area (Fig. 6a). The change map of 1-in-10-year event EI₃₀ demonstrated similar pattern with that of R factor, whereas with more negative values in some eastern mountainous areas.

3.3 Contribution analysis on the improvement of erosivity maps

3.3.1 Contribution of data temporal resolution

270 Figure 7 shows that the R factor estimated from daily data (Eq. 12) is underestimated when the R value is higher than 10000 MJ mm ha⁻¹ h⁻¹ a⁻¹, and slightly overestimated when the value is lower than 2000 MJ mm ha⁻¹ h⁻¹ a⁻¹. The model using hourly data improved the accuracy by about 11.1% (median value of relative error) compared to that from daily data (Fig. 7). Estimated 1-in-10-year EI₃₀ would be underestimated using hourly and daily data, and the underestimation is greater if daily





data were used (Fig. 7). Unlike the R factor, 1-in-10-year EI₃₀ was not noticeably improved with an increase in the temporal resolution from daily to hourly data, probably due to the fact that the 1-in-10-year EI_{30} values estimated using daily data in 275 Yin et al. (2019) had already been multiplied by a conversion factor of 1.17 to correct the 1-in-10-year daily erosivity to approximate the true 1-in-10-year EI₃₀ from 1-min data.

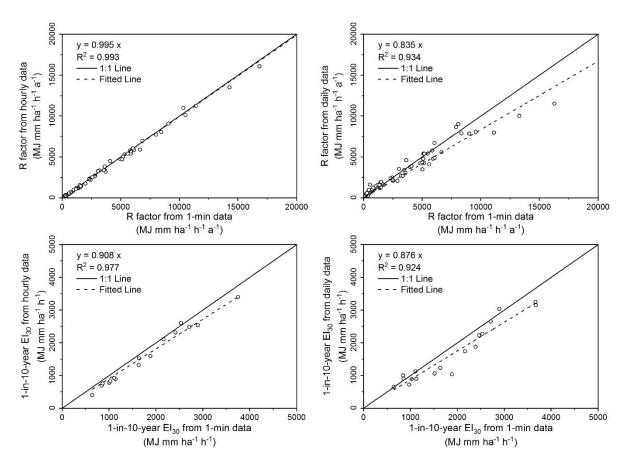


Figure 7: Comparison of the R factor (upper) and 1-in-10-year EI₃₀ (lower) estimated from hourly (left) and daily (right) rainfall 280 data

3.3.2 Contribution of the station density

Interpolation for the Western (W) region had the least NSE compared to others, which may be induced by the sparsity of stations (Fig. 1) and the lower spatial correlation of rainfall. The fitted semivariogram for the R factor in W region had a range of 35 km, whereas the ranges for Mid-western (MW), Northeastern (NE) and Southeastern (SE) regions were 288, 261 and

285 1235 km, respectively.

> A comparison of cross-validation between the two maps with different station densities shows that the interpolation with denser stations can improve the accuracy by 2.6% ~ 15.4% for the R factor based on the sMAPE index, and by 1.4% ~ 31.8% for 1-



in-10-year EI_{30} (Table 3) when the station density increased. The NSE can increase by 0.016 to 0.109 for R factor. For 10-year EI_{30} , the NSE decreased in region W, MW and SE, and increased by 0.038 in region NE.

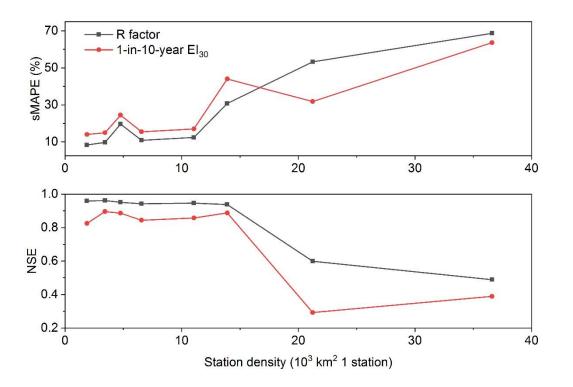
- For the R factor, in region W, the station density doubled (increased from 36.6 to 21.2 10³ km² 1 station), and the accuracy improved by 15.4%, whereas the sMAPE of 53.3% was still high with this increase in station density. In region MW, the station density tripled (from 13.9 to 4.8 10³ km² 1 station) and the accuracy was improved by 11.1% based on the sMAPE index from 30.7% to 19.6%. In region NE and SE, the station density tripled and quadrupled, respectively, and the accuracy increased about 2.5%. For 1-in-10-year EI₃₀, the improvement for the 1-in-10-year EI₃₀ was even more (by 31.8% in region W and 19.6% in region MW). The improvement was mainly in western regions, and the station density in the eastern China before
- and 19.6% in region MW). The improvement was mainly in western regions, and the station density in the eastern China before the increase is enough to describe the spatial variation of the R factor and the 1-in-10-year EI₃₀. It can be inferred that when there were less than about $10 \cdot 10^3$ km² 1 station, the increasing of the site density has little impact on the improvement of the interpolation (Fig. 8).

Table 3: Comparison of cross-validation results for erosivity maps interpolated based on data from 774 and 2381 stations

| Dagion | No. of the stations | Density of the stations (10^3 km^2) | ty of the stations (10 ³ km ² R factor 1 station) SMAPE NSE | | 1-in-10-year EI ₃₀ | |
|--------|---------------------|---|--|-------|-------------------------------|-------|
| Region | No. of the stations | 1 station) | | | sMAPE | NSE |
| W | 87 | 36.6 | 68.7% | 0.489 | 63.7% | 0.389 |
| | 150 | 21.2 | 53.3% | 0.599 | 31.8% | 0.293 |
| MW | 161 | 13.9 | 30.7% | 0.938 | 44.0% | 0.887 |
| | 471 | 4.8 | 19.6% | 0.951 | 24.5% | 0.886 |
| NE | 214 | 11.0 | 12.4% | 0.946 | 17.0% | 0.857 |
| | 690 | 3.4 | 9.7% | 0.962 | 14.9% | 0.895 |
| SE | 389 | 6.6 | 10.9% | 0.942 | 15.5% | 0.844 |
| | 1362 | 1.9 | 8.3% | 0.959 | 14.0% | 0.824 |







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Figure 8: Improvement of the interpolation with the increase of station density. Data were from the 774 and 2381 stations in the 4 different regions

3.3.3 Contribution of the interpolation method

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For the R factor, cross-validation of Ordinary Kriging and Universal Kriging with the mean annual rainfall as the co-variable (Table 4) shows that UK improved the interpolation accuracy by 2.3%-9.0% (sMAPE) compared to OK. In the western region, the NSE increased from 0.285(OK) to 0.599(UK). Therefore, it is better to use UK instead of OK when generating the R factor map, especially in western China where station density was low. For 1-in-10-year EI₃₀, UK improved the accuracy by 0.4%-9.7% (sMAPE). In region W, the accuracy improved by 9.7% and the NSE increased from 0.094(OK) to 0.293(UK).

Table 4: Cross-validation results of interpolation of R factor and 10-year EI₃₀ using OK and UK

| Decion | Internelation mathed | R factor | | 1-in-10-year EI ₃₀ | |
|--------|----------------------|----------|-------|-------------------------------|-----------------------|
| Region | Interpolation method | sMAPE | NSE | sMAPE | NSE 0.094 0.293 |
| W | ОК | 62.3% | 0.285 | 41.5% | 0.094 |
| | UK | 53.3% | 0.599 | 31.8% | 0.293 |
| MW | ОК | 24.8% | 0.861 | 24.9% | 0.838 |
| | UK | 19.6% | 0.951 | 24.5% | 0.886 |
| NE | ОК | 12.0% | 0.926 | 16.5% | 0.865 |
| | UK | 9.7% | 0.962 | 14.9% | 0.895 |



| Region | Intermelation method | R factor | R factor | | 1-in-10-year EI ₃₀ | |
|--------|----------------------|----------|----------|---|-------------------------------|--|
| | Interpolation method | sMAPE | NSE | sMAPE NSE 14.8% 0.844 | NSE | |
| SE | OK | 11.2% | 0.911 | 14.8% | 0.844 | |
| | UK | 8.3% | 0.959 | 14.0% | 0.824 | |

310 4 Discussion

This study produced quality R factor and 1-in-10-year EI_{30} maps with hourly data from 2381 stations over mainland China. The improvement of the R factor map over previously published R factor map can be contributed to the increase in the temporal resolution from daily to hourly data, whereas that of 1-in-10-year EI_{30} map to the increase of the station density in comparison with those of Yin et al. (2019). There are mainly two reasons for this. First, 1-in-10-year event EI_{30} values estimated from the

- 315 daily data had already been adjusted to those from the 1-min data by multiplying a conversion factor of 1.17 (Yin et al., 2019), which resulted in no obvious improvement from the daily data to hourly data. Second, the 1-in-10-year event EI₃₀ associated with extreme rainfall event intrinsically has a high spatial variability in comparison to the annual average rainfall erosivity as shown in Table 3. The accuracy of spatially interpolated rainfall erosivity was more sensitive to the station density when the station density is low. Hence the improvement of the map of the 1-in-10-year EI₃₀ was mainly contributed to the increase of
- 320 the station density, especially for the western and the mid-western regions with sparse station density. Panagos et al. (2017) developed a Global Rainfall Erosivity Database with hourly and sub-hourly rainfall data from 3625 stations over 63 countries, for water erosion assessment for many regions of the world especially where observational data were limited. In their study, rainfall data at 60-min interval from 387 stations across China were used. Figure 9 shows that the R factor for China extracted from Panagos et al. (2017) is systematically underestimated by about 30% for most areas in China,
- whereas overestimated in the Tibetan Plateau (cf. Fig. 5a). The reason for the underestimation may be that the R factor calculated from 60-min interval data applied a conversion factor (CF₃₀) that was developed from the values estimated by 60-min data to those by 30-min data in Panagos et al. (2015), rather than a factor to those by breakpoint data (CF_{bp}) or 1-min data (CF₁), which were used in USLE (Wischmeier and Smith, 1965, 1978), RUSLE (Renard, 1997) and this study. Previous research have showed the difference between CF₃₀ and CF_{bp} (CF₁) can result in an underestimation of R factor by about 20%
- (Auerswald et al., 2015; Yue et al., 2020). Table 5 shows that the relative error of the map from Panagos et al. (2017) could reduce by about 6.2% after multiplying by a conversion factor of 1.253, which was calibrated by (Yue et al., 2020) for converting the R factor from 30-min data to 1-min data. The adjusted map still generally underestimated. The reason may be that the equation for estimating the storm energy (E) used in Panagos et al. (2017) was from RUSLE (Renard, 1997), which have been reported an underestimation of the storm energy up to 10% in previous studies (McGregor et al., 1995; Yin et al.,
- 335 2017). Because of this, the equation for estimating the storm energy (E) in RUSLE (Renard, 1997) was then modified in RUSLE2 (USDA-ARS, 2013), which was adopted in this study.

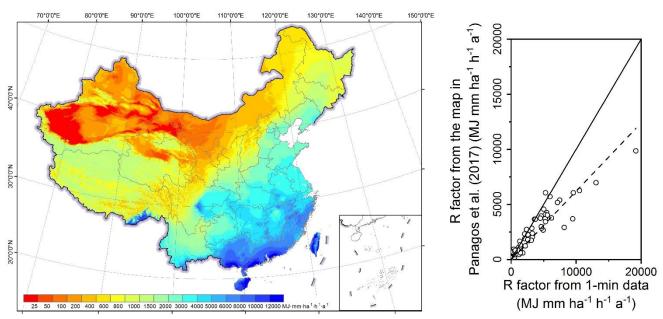
The R factor in the Tibetan Plateau varies from 0 to 12326 MJ mm ha⁻¹ h⁻¹ a⁻¹ in Panagos et al. (2017), and from 4.6 to 4441.9 MJ mm ha⁻¹ h⁻¹ a⁻¹ in this study. The former was derived from a Gaussian Process Regression (GPR) model and a number of





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monthly climate variables from the WorldClim database, such as the mean monthly precipitation, mean minimum, average and maximum monthly temperature. The GPR model was calibrated using the site-specific R factor values and these climate variables, which may not applicable for sites at high altitude, as none of the sites was located in the Tibetan Plateau region. The GPR model might be the main reason for the overestimation of the R factor in the Tibetan Plateau where the R factor was expected to be underestimated just like any other regions.



345 Figure 9: R factor map for China extracted from Panagos et al. (2017) and the evaluation of the map based on 62 stations with 1min data.

Table 5: Comparison of the statistical characteristics of the relative errors of the R factor from maps generated in this study and
extracted from Panagos et al. (2017) (original and adjusted). The adjusted map of Panagos et al. (2017) was the original map
multiplying by a conversion factors of 1.253, which was calibrated by Yue et al. (2020) for converting the R factor from 30-min data
to 1-min data.

| | This study | Panagos et al. (2017) | Panagos et al. (2017) adjusted |
|-----------------------------|------------|-----------------------|--------------------------------|
| 25 th percentile | 7.1% | 14.8% | 10.4% |
| Median | 16.1% | 28.3% | 22.1% |
| 75 th percentile | 28.0% | 40.5% | 43.2% |
| Mean | 20.1% | 33.8% | 33.1% |

5 Conclusions

This study generated the R factor and 1-in-10-year EI_{30} maps using hourly rainfall data for the period from 1951 to 2018 from 2381 stations over mainland China. The improvement in the accuracy of these erosivity maps was evaluated against the current



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maps (maps from Yin et al. (2019) were taken as references) in terms of temporal resolution of the rainfall data, the station density, and the interpolation method. The conclusions were drawn as follows:

(1) Comparing with the current maps for the 62 reference sites, the R factor map generated in this study improved the accuracy from 19.4% to 15.9% in the mid-western and eastern regions, 45.2% to 21.6% in the western region, and the 1-in-10-year EI_{30} map improved the accuracy from 21.7% to 13.0% in the mid-western and eastern regions.

- (2) The R factor and the 1-in-10-year EI_{30} increased from the northwestern to the southeastern China. The R factor was from 0 to 25300 MJ mm ha⁻¹ h⁻¹ a⁻¹, and the 1-in-10-year EI_{30} was from 0 to 11246 MJ mm ha⁻¹ h⁻¹. Comparing with the current maps, the R factor and 1-in-10-year event EI_{30} in the new maps were higher for most of the southeastern area, and lower for most of the middle and western areas.
- 365 (3) The improvement of the R factor map can be mainly contributed to the increase of the temporal resolution from daily to hourly, whereas that of 1-in-10-year EI_{30} map to the increase of station density. The increased station density mainly improved the accuracy in the western regions for both the R factor and 1-in-10-year EI_{30} . The contribution of increasing the station density to improve the interpolation was limited when the station density was denser than about $10 \cdot 10^3$ km² 1 station. As for the interpolation method, Universal Kriging with the mean annual rainfall as the co-variable performed better than Ordinary
- 370 Kriging for all regions, especially for the western regions.

Data availability

The Rainfall erosivity maps (R factor and 1-in-10-year EI_{30}) are available at: <u>https://dx.doi.org/10.12275/bnu.clicia.rainfallerosivity.CN.001</u> (Yue et al., 2020)

Competing interests

375 The authors declare that they have no conflict of interest.

Acknowledgments

This work was supported by National Key R&D Program (no.2018YFC0507006) and the National Natural Science Foundation of China (no. 41877068). We also would like to thank the high-performance computing support from the Center for Geodata and Analysis, Faculty of Geographical Science, Beijing Normal University [https://gda.bnu.edu.cn/]

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