

# Rainfall erosivity mapping over mainland China based on high density hourly rainfall records

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**Abstract.** Rainfall erosivity represents the effect of rainfall and runoff on the average rate of soil loss. Maps of rainfall erosivity are necessary for soil erosion assessment based on the Universal Soil Loss Equation (USLE) and its successors. To improve currently available erosivity maps based on daily rainfall data from 774 stations for mainland China, hourly and daily rainfall data from 2,381 stations for the period 1951-2018 were collected to generate the R-factor and the 1-in-10-year EI<sub>30</sub> maps (available at <https://dx.doi.org/10.12275/bnu.clicia.rainfallerosivity.CN.001>; Yue et al., 2020). Rainfall data at 1-min intervals from 62 stations, of which 18 with more 29 years of data, were used to calculate rainfall erosivities as true values to evaluate the accuracy of the new R-factor and 1-in-10-year EI<sub>30</sub> maps as well as the improvement from the current maps based through cross-validation. The results showed that: (1) current maps underestimated erosivity for most of the south-eastern part of China and overestimated for most of the western region; (2) the R-factor map generated in this study had a median absolute relative error of 16% for the western region, and 18% for the rest of China, and the 1-in-10-year EI<sub>30</sub> map had median absolute relative error of 14%, except for the western region for which no evaluation was made because of data limitation; (3) comparing with the current maps, the improvement in the R-factor map occurred mainly in the western region, and the median absolute relative error was reduced 162% to 16%, because of an increase in the number of stations from 87 to 150 and an increased temporal resolution from daily to hourly data. The map of 1-in-10-year EI<sub>30</sub> in this study improved the accuracy from 20.6% to 13.5% for the middle and east of China; (4) the benefit of increased station density for erosivity mapping is limited when the station density reached about 1 station per 10,000 km<sup>2</sup>.

## 1 Introduction

Soil erosion has been the major threat to soil health, soil and river ecosystem services in many regions of the world (FAO, 2019b). Soil erosion has on-site impacts, such as the reduction of soil and water, the loss of soil nutrients, the decrease of land quality and food production, as well as off-site impacts, such as excessive sedimentation and water pollution.

Soil erosion models are tools to evaluate the rate of soil loss and can provide policymakers useful information for taking measures in soil and water conservation. The Universal Soil Loss Equation (USLE; Wischmeier and Smith, 1965, 1978) and

the Revised USLE (RUSLE; Renard, 1997; USDA-ARS, 2013) have been widely used to estimate soil erosion in at least 109 countries over the past 40 years (Alewell et al., 2019). Rainfall erosivity is one of the factors in the USLE and RUSLE to represent the potential ability of rainfall and runoff to affect soil erosion.

35 In the USLE, erosivity of a rainfall event is identified as the EI value, also denoted as  $EI_{30}$ , which is the product of the total storm energy (E) and the maximum 30-min intensity ( $I_{30}$ ) (Wischmeier, 1959). The erosivity factor (R-factor) in the USLE is the average annual total EI values of all erosive events. To recognize interannual rainfall variability, rainfall data of long periods are required (Wischmeier and Smith, 1978). In the original isoerodent map generated by Wischmeier and Smith (1965), stations with rainfall data of at least 22 years were used.

40 To use the USLE, two additional input parameters are required. One is the seasonal distribution of the R-factor. To acquire soil erodibility factor (K factor) and cover-management factor (C factor), the seasonal distribution of EI (monthly, Wischmeier and Smith, 1965; or half-month percentage of EI, Renard, 1997, Wischmeier and Smith, 1978) were needed. The other is the 1-in-10-year storm EI value needed to compute the support practice factor (P factor) for the contour farming (Renard, 1997). Kinetic energy generated by raindrops can be calculated based on raindrop disdrometer data and estimated based on breakpoint or hyetograph data via KE-I equations, while  $I_{30}$  is expected to be prepared using breakpoint or hyetograph data with an  
45 observed interval  $\leq 30$  min. In the original study of event rainfall erosivity, the recording-rain-gauge chart was used (Wischmeier and Smith, 1958). However, these data were usually in shortage not only in the length but also in the spatial coverage.

Methods to estimate rainfall erosivity based on more readily available data have been developed widely, such as daily (Angulomartínez and Beguería, 2009; Bagarello and D'Asaro, 1994; Capolongo et al., 2008; Haith and Merrill, 1987; Richardson et al., 1983; Selker et al., 1990; Sheridan et al., 1989; Xie et al., 2016; Yu et al., 1996; Yu and Rosewell, 1996a; Zhang et al., 2002), monthly (Arnoldus, 1977; Ferro et al., 1991; Renard and Freimund, 1994), and annual rainfall (Bonilla and Vidal, 2011; Ferrari et al., 2005; Lee and Heo, 2011; Yu and Rosewell, 1996b). Yin et al. (2015) evaluated a number of empirical models to estimate the R-factor using rainfall data of temporal resolutions from daily to average annual, and showed that the most accurate prediction was based on data at the highest temporal resolution.

55 Once values of the erosivity factor is obtained with site observations, spatial interpolation methods can be used to estimate rainfall erosivity for sites without rainfall data based on surrounding sites to produce the erosivity maps or isoerodent maps. Local values of erosivity can be taken from these maps (Wischmeier and Smith, 1978). Rainfall erosivity maps can also be meaningful in various fields such as soil erosion, sediment yield, environment and ecology. In the original version of the USLE, 181 stations with breakpoint data plus 1,700 stations with annual averaged precipitation, 1-in-2-year 1-h rainfall amount and 1-  
60 in-2-year 24-h amount were used to generate the erosivity map for the eastern part of the US (Wischmeier and Smith, 1965). In the successor of the USLE (Wischmeier and Smith, 1978), the erosivity map for the western part of the US were generated based on 1-in-2-year, 6-h rainfall amount data (P) using the equation of  $R=27.38P^{2.17}$ . In Revised USLE (RUSLE), (Renard, 1997) released the erosivity map using the same data as Wischmeier and Smith (1965) for the eastern part, and 60-min rainfall data at 790 stations for the western part in the US. In RUSLE2, monthly erosivity maps based on 15-min data from 3,700

65 stations were generated (USDA-ARS, 2013). Erosivity maps based on spatial interpolation have been widely produced around the world (Borrelli et al., 2016; Klik et al., 2015; Liu et al., 2013; Lu and Yu, 2002; Oliveira et al., 2012; Panagos et al., 2015, 2016, 2017; Qin et al., 2016; Sadeghi et al., 2017; Yin et al., 2019; Riquetti et al., 2020; Silva et al., 2020).

Recently, Food and Agriculture Organization (FAO) proposed to produce a Global Soil Erosion Map (GSERmap) which encouraged scientists from all over the world to generate their own national level maps making the most of the country  
70 knowledge, locally available methods and input data (FAO, 2019a). Rainfall erosivity maps for China were reviewed and relevant information on how they were generated are presented in Table 1, which shows that current R-factor maps for mainland China typically used readily-available daily rainfall data from about 500-800 stations (e.g., Zhang et al, 2003; Liu et al., 2013; Qin et al., 2016; Yin et al., 2019; Liu et al., 2020), which were recorded by simple rain gauges. However, daily rainfall data are not enough to derive sub-daily intensities, which reduced the accuracy of estimated rainfall erosivity (Yin et  
75 al., 2015). One-minute data are the finest resolution data measured by automatic tipping bucket rain gauges we can obtain up to now, therefore they are one of the best datasets for deriving precipitation intensity and estimating rainfall erosivity. However, 62 stations with 1-min data collected were inadequate for the spatial interpolation of rainfall erosivity over mainland China. Hourly data was believed to reflect the variation of precipitation intensity better than daily data, which can be used to improve the estimation of at-site rainfall erosivity with precipitation observations. In addition, the increase of station density for the  
80 interpolation can better describe the spatial variation of rainfall erosivity and improve the estimation of rainfall erosivity for areas without observations together with the improvement of interpolation models and procedures.

Therefore more than 2,000 stations of hourly and daily data were collected, together with the 62 stations of 1-min data: (a) to develop high-precision maps of the R-factor and 1-in-10-year  $EI_{30}$  over the mainland China; (b) to quantify the improvement of the new erosivity maps in higher temporal resolution and station density and better interpolation method compared to current  
85 maps. The study of (Yin et al., 2019) was chosen to represent the latest data had set to estimate the R-factor and 1-in-10-year  $EI_{30}$  and related maps. New R-factor and 1-in-10-year  $EI_{30}$  maps were produced in this study may improve the estimation of the soil loss in mainland China. The meaning and rationale of the study is to: (1) present and share high-precision maps of the R-factor and 1-in-10-year  $EI_{30}$  over the mainland China with related earth system science communities; (2) provide some insights in the improvement of rainfall erosivity maps for other regions over the world.

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**Table 1: Studies on the mapping of R-factor for or involving China**

Study Area	Period	Temporal resolution of precipitation data	No. of stations for the study area	Interpolation method	Reference
China	1956-1984	Multi-year average of annual, maximum daily and maximum hourly	125	Unknown	Wang et al., 1996
	1971-1998	Daily	564	Ordinary Kriging	Zhang et al., 2003
	1960-2009	Daily	590	Ordinary Kriging	Liu et al., 2013
	1951-2010	Daily	756	Universal co-kriging with the aid of the elevation	Qin et al., 2016
	1961-2016	Daily	774	Ordinary Kriging	Yin et al., 2019*

Study Area	Period	Temporal resolution of precipitation data	No. of stations for the study area	Interpolation method	Reference
Global	1989-2010	Annual average	Gridded (0.5°×0.5°)	—	Naipal et al., 2015
	1998-2012 (in China)	Hourly and sub-hourly	3,625 (387 in China)	Gaussian Process Regression	Panagos et al., 2017
	1980-2017	Daily	30,000+ (~800 in China)	Thin-plate spline smoothing	Liu et al., 2020

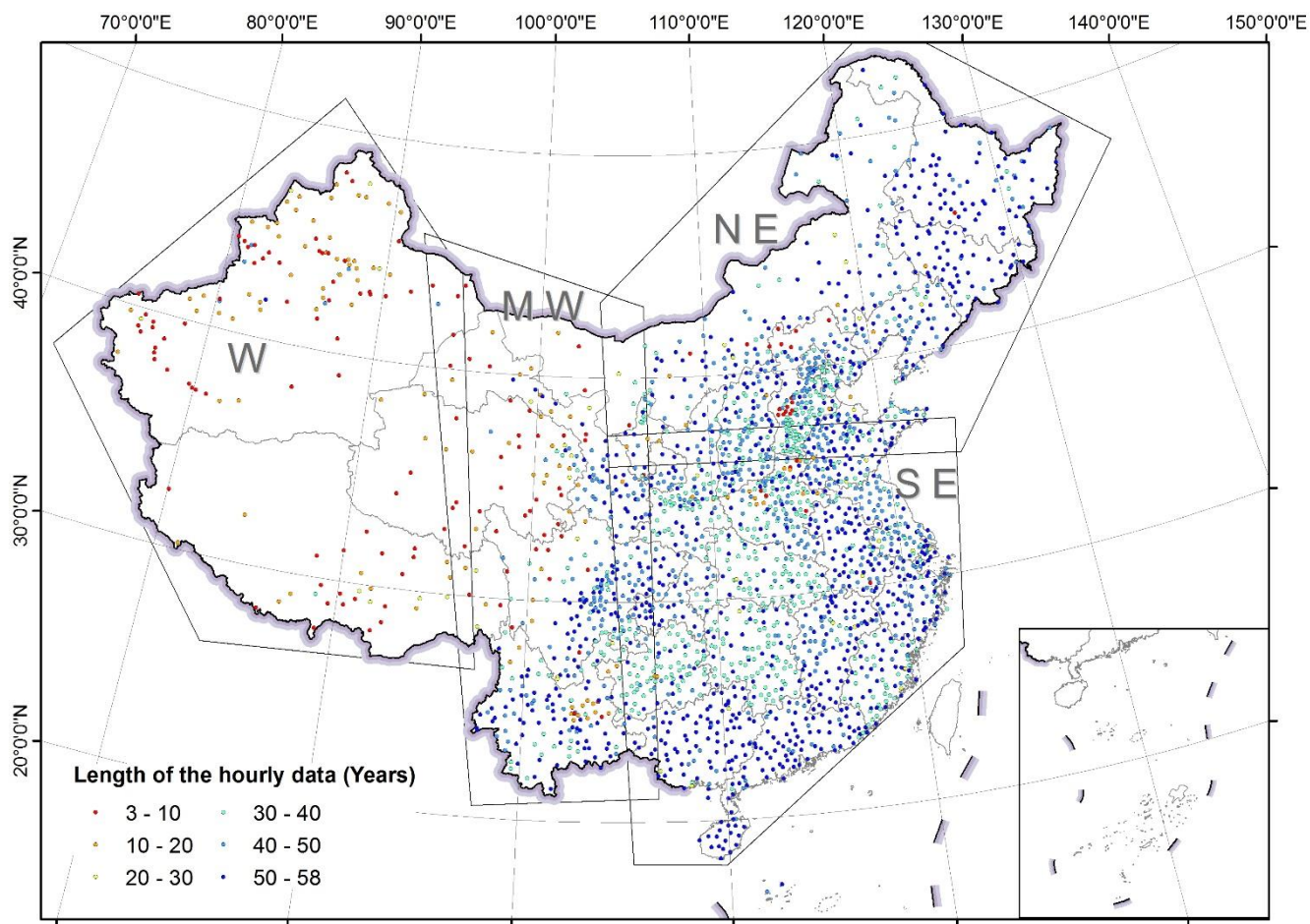
\*Map of event 1-in-10-year EI<sub>30</sub> in China was also generated.

## 2 Data and methods

### 2.1 Data

95 Rainfall data at three temporal resolutions were obtained hourly, daily and 1-min. Hourly data were used to generate maps of R-factor and 1-in-10-year EI<sub>30</sub>. The evaluation of the effect of station density and interpolation methods on generated maps was based on the erosivity calculated with hourly data. Daily rainfall data were used to adjust the erosivity estimated by hourly data when its record length was short. Data at 1-min interval were used in two ways. One was to calculate the R-factor as true values to evaluate the effect of temporal resolution on erosivity estimation along in comparison to the hourly and the daily rainfall data. The other is to develop an exponential model for estimating R-factor with the mean annual precipitation. The coefficient of the exponential model was used for adjusting the R-factor values based on hourly data with short lengths.

100 Rainfall data at 1-hour intervals from 2,381 meteorological stations over mainland China (Fig. 1) were collected by siphon rain gauges or tipping bucket rain gauges and quality controlled by the National Meteorological Information Center of China Meteorological Administration. The period of the data was from 1951 to 2018. The start year of the data varied because data collection commenced in different years. Observation was suspended in the snowy season, which resulted in some missing months in winter for station in the northern part of China. There were 932 (39%) stations with data for the whole year, 550 (23%) stations from April to October and 421 (18%) stations from May to September.



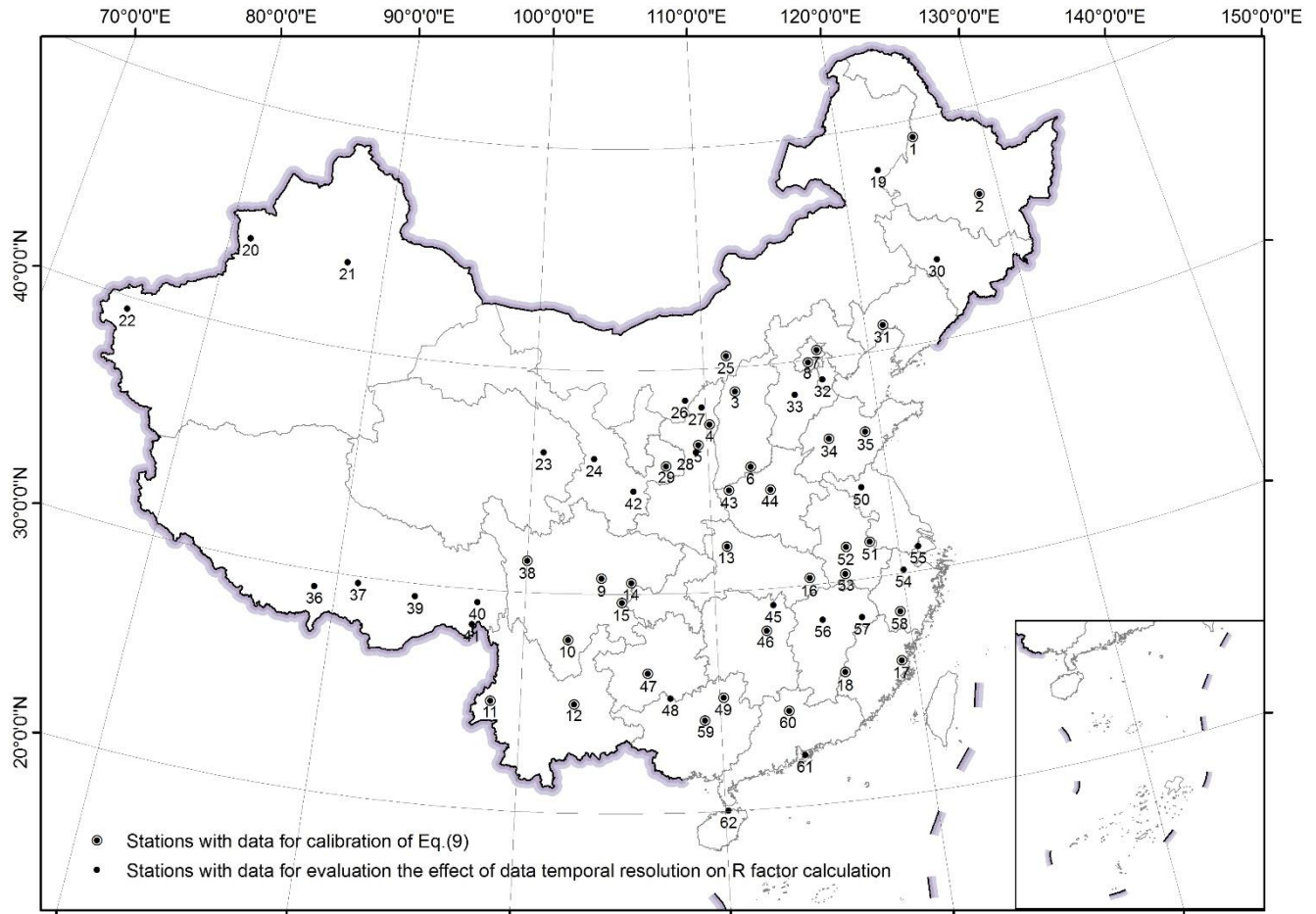
110 **Figure 1: Spatial distribution of stations with hourly rainfall data and the length of the data. Western, Mid-western, Northeastern and Southeastern regions were abbreviated as W, MW, NE and SE, respectively.**

The missing data were handled according to the following criteria: (a) a day with more than 4 missing hours was defined as a missing day; (b) a month with more than 6 missing days was defined as a missing month; (c) a year with any missing month in its wet-season was defined as a missing year. The wet-season for stations north of 32°N was from May to September, and for those south of 32°N was from April to October. Missing years were removed and missing hours in the remaining effective years were input in two categories: (a) the missing period is followed by a non-zero record, which recorded the accumulated rainfall amount in the missing period based on data notes; (b) the missing period is followed by zero. In the first case, each missing hour and the following non-zero hour were assigned the average value of the non-zero record in these hours. For the second case, the missing hours were input as zero value.

115  
120 The daily data were obtained for the same 2,381 stations over the period of 1951-2014 (Fig. 1), and precipitation was measured with simple rain gauges. The data were also collected and quality controlled by the National Meteorological Information Center of China Meteorological Administration. Daily data were collected all year around and the number of effective years ranged from

18 to 54 years. Most of the stations (88%) have data of more than 50 years. An effective year of the daily data was defined as there is no missing month in the year, and a missing month was defined as there are more than 6 missing days in the month. The missing records in the effective years were input as zero value.

125 Data at 1-min intervals were collected from 62 stations in mainland China (Fig. 2; and were used in Yue et al., 2020). Data from station No. 1-18 have effective years of 29-40 and cover the period of 1961(1971)-2000. Data from stations No. 19-62 have effective years of 2-12 and cover the period of 2005-2016. The missing data in the effective years were assumed to be zero.



**Figure 2: Spatial distribution of the stations with 1-min rainfall data**

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The R-factor map from Panagos et al. (2017) shown in the discussion part of this study was from the global rainfall erosivity dataset published by Joint Research Centre - European Soil Data Centre (ESDAC).

## 2.2 Rainfall erosivity for stations

Hourly rainfall data were first separated into rainfall storms. A continuous period of  $\geq 6$  hours of non-precipitation was regarded as the separation of two rainfall storms (Wischmeier and Smith, 1978). Storms with the amount of  $\geq 12$  mm were defined as erosive events (Xie et al., 2000), and were used to calculate the rainfall erosivity factors.

Event rainfall erosivity  $EI_{30}$  ( $\text{MJ mm ha}^{-1} \text{h}^{-1}$ ) was the product of the total storm energy  $E$  ( $\text{MJ ha}^{-1}$ ) and the maximum 30-min intensity  $I_{30}$  ( $\text{mm h}^{-1}$ ). The maximum 1-hour intensity  $H_{60}$  ( $\text{mm h}^{-1}$ ) was obtained in this study:

$$EH_{60} = E \cdot H_{60}, \quad (1)$$

$$E = \sum_{r=1}^l (e_r \cdot P_r), \quad (2)$$

$$e_r = 0.29[1 - 0.72 \exp(-0.082i_r)], \quad (3)$$

where  $r=1, 2, \dots, l$  means that a storm could be divided into  $l$  periods or a storm lasted for  $l$  hours,  $e_r$  the unit energy (energy per mm of rainfall,  $\text{MJ ha}^{-1} \text{mm}^{-1}$ ),  $P_r$  the amount (mm), and  $i_r$  the intensity ( $\text{mm h}^{-1}$ ) of the  $r^{\text{th}}$  hour (USDA-ARS, 2013).

The R-factor ( $R_{hour}$ ,  $\text{MJ mm ha}^{-1} \text{h}^{-1} \text{a}^{-1}$ ) was the mean annual rainfall erosivity and was obtained by multiplying the R-factor from hourly data ( $R_h$ ) and the conversion factor of 1.871 (Yue et al., 2020):

$$R_h = \frac{1}{N} \sum_{i=1}^N \sum_{j=1}^m (EH_{60})_{ij}, \quad (4)$$

$$R_{hour} = 1.871 \cdot R_h, \quad (5)$$

where  $i=1, 2, \dots, N$  means there are  $N$  effective years, and  $j = 1, 2, \dots, m$  means there are  $m$  erosive rainfall storms in the  $i^{\text{th}}$  year.

The 1-in-10-year  $EI_{30}$  was obtained by calibrating the generalized extreme value (GEV) distribution, and the parameters of the model were estimated using L-moments method (Hosking, 1990). The GEV distribution is a family of probability distributions of Gumbel, Fréchet and Weibull. and can be denoted as  $G(\mu, \sigma, \xi)$  with parameters  $\mu$  (location),  $\sigma$  (scale), and  $\xi$  (shape) (Coles, 2001):

$$G(z) = \exp\left\{-\left[1 + \xi \left(\frac{z-\mu}{\sigma}\right)\right]^{-1/\xi}\right\} \{x: 1 + \xi(x - \mu)/\sigma > 0\}, \quad (6)$$

where  $x$  was the annual maximum storm  $EI_{30}$  ( $\text{MJ mm ha}^{-1} \text{h}^{-1}$ ),  $-\infty < \mu < \infty$ ,  $\sigma > 0$  and  $-\infty < \xi < \infty$ . The extreme quantiles of the annual maximum  $EI_{30}$  ( $X_p$ ) were then obtained by inverting Eq. (6):

$$X_p = \begin{cases} \mu - \frac{\sigma}{\xi} [1 - \{-\log(1-p)\}^{-\xi}], & \text{for } \xi \neq 0 \\ \mu - \sigma \log\{-\log(1-p)\}, & \text{for } \xi = 0 \end{cases}, \quad (7)$$

where  $G(X_p) = 1 - p$ . The 1-in-10-year  $EI_{30}$ , was the value of  $X_p$  when  $p$  was 1/10. The computed 1-in-10-year  $EI_{30}$  using hourly rainfall data was then multiplied by the conversion factor of 1.489 (Yue et al., 2020).

Due to the annual variability of rainfall erosivity, stations with less than 22 effective years should be excluded (Wischmeier and Smith, 1978). However, stations in western China have limited effective years, with 133 out of 150 stations having less than 22 effective years (Fig. 1). Once stations with less than 22 effective years are removed, the western stations would be too

sparse, which would reduce the interpolation accuracy of the rainfall erosivity map. To fill the gap of the insufficient years, daily rainfall data observed by simple rainfall gauges (usually have longer periods) of the same stations were used.

165 The calculation of the R-factor considered the following two cases: (1) The effective years of hourly data were no less than those of daily data (871 out of 2,381 stations); (2) The effective years of hourly data were less than those of daily data (1,510 out of 2,381 stations). In the first case, the R-factor was calculated directly by hourly data with Eq. (1-5). In the second case, the R-factor was firstly calculated by hourly data with Eq. (1-5), then adjusted by the mean annual rainfall calculated by hourly and daily data following (Zhu and Yu, 2015):

$$170 \quad R_{h\_adj} = R_{hour} \left( \frac{P_d}{P_h} \right)^{1.481}, \quad (8)$$

where  $R_{h\_adj}$  was the adjusted R-factor,  $R_{hour}$  was the estimated R-factor using hourly rainfall data with Eq. (1-5),  $P_d$  was the mean annual precipitation of longer period (period of the daily data), and  $P_h$  was the mean annual precipitation of shorter period (period of the hourly data).

The exponent value of 1.481 was estimated based on a power relationship between the mean annual precipitation and the R-  
175 factor, and the latter was determined using 1-min and daily rainfall data of 35 stations in China (Fig. 2). All the daily and 1-min data shared common periods of record of more than 10 years.

$$R_{min} = 0.156 \cdot P_m^{1.481}, \quad (9)$$

where  $R_{min}$  was the R-factor ( $MJ \text{ mm ha}^{-1} \text{ h}^{-1} \text{ a}^{-1}$ ), and  $P_m$  was the mean annual precipitation (mm) using 1-min data. The coefficient of determination ( $R^2$ ) was 0.776 for Eq. 9.

180 R-factor based on 1-min rainfall data was calculated using Eq. (10-11):

$$R_{min} = \frac{1}{N} \sum_{i=1}^N \sum_{j=1}^m (EI_{30})_{ij}, \quad (10)$$

$$EI_{30} = E \cdot I_{30}, \quad (11)$$

where  $I_{30}$  was the maximum continuous 30-min intensity. Total storm energy (E) was obtained using methods from RUSLE2 as Eq. (2-3) with a time increment of 1 min.

185 Calculation of the 1-in-10-year  $EI_{30}$  also considered two cases: (1) The effective years of the hourly data were no less than 22 years (89% of the stations) and the 1-in-10-year  $EI_{30}$  was estimated by hourly data with Eqs. (6-7); (2) The effective years of the hourly data were less than 22 years, but those of the daily data were no less than 22 years (11%), the 1-in-10-year  $EI_{30}$  was estimated by daily data as follows. Firstly, daily rainfall erosivity was obtained by the following equation developed by Xie et al. (2016):

$$190 \quad R_{daily} = \alpha P_{daily}^{1.7265}, \quad (12)$$

where  $P_{daily}$  was the daily precipitation ( $\geq 10\text{mm}$ ), parameter  $\alpha$  was 0.3937 in the warm season (May to September), and 0.3101 in the cold season (October to April). Secondly, the 1-in-10-year daily erosivity was obtained by calibrating the GEV distribution parameters as Eq. (6-7) and the  $x$  in the functions was replaced by the annual maximum daily erosivity. Finally, the 1-in-10-year daily erosivity from daily data was multiplied by a conversion factor of 1.17 to correct the 1-in-10-year daily  
195 erosivity to approximate the actual event 1-in-10-year  $EI_{30}$  from 1-min data (Yin et al., 2019). The record length was 22 to 29



for 16 (0.7%) stations, 30 to 39 for 44 (1.8%) stations, 40 to 49 for 216 stations (9.1%) stations, more than 50 for 2,105 (88.4%) stations when these adjustments were made.

### 2.3 Spatial interpolation and cross validation

200 Since there is a good correlation between erosivity factor and the mean annual precipitation, the erosivity maps were obtained using the method of Universal Kriging with the annual rainfall as a co-variable. The annual average rainfall was computed using daily rainfall data of the stations and was firstly interpolated using Ordinary Kriging, and the results were used to conduct Universal Kriging. Both the mean annual precipitation and the erosivity factors were interpolated first for each region separately (Fig. 1; Li et al., 2014), and then combined to obtain annual precipitation and erosivity maps over China. Buffer areas were used to avoid the discontinuity in the boundary areas following Li et al. (2014).

205 To evaluate the efficiency of interpolation models, a leave-one-out cross-validation method was applied in each region. Symmetric mean absolute percentage error (sMAPE) and Nash-Sutcliffe model efficiency coefficient (NSE) were used for the assessment:

$$\text{sMAPE} = \frac{1}{n} \sum_{i=1}^n \left| \frac{F_i - A_i}{(F_i + A_i)/2} \right| \times 100\%, \quad (13)$$

$$\text{NSE} = 1 - \frac{\sum_{i=1}^n (F_i - A_i)^2}{\sum_{i=1}^n (A_i - \bar{A})^2}, \quad (14)$$

210 where  $n$  was the number of stations,  $F_i$  was the predicted value at the position of the  $i^{\text{th}}$  station using data from surrounding stations,  $A_i$  was the observed value at the  $i^{\text{th}}$  station.

### 2.4 The evaluation of the improvement on the accuracy of the erosivity maps

Current erosivity mapping at the national scale in mainland China usually uses daily rainfall data from about 500-800 stations. The R-factor and 1-in-10-year  $\text{EI}_{30}$  maps of Yin et al. (2019) were taken as references to evaluate the improvement in the accuracy of the erosivity maps generated in this study. To compare the accuracy of the erosivity maps of this study and those of Yin et al. (2019), true R-factor values using 1-min data and Eq. (10-11) for 62 stations were used to evaluate the improvement of R-factor. For 1-in-10-year  $\text{EI}_{30}$ , 1-min data for 18 stations (No.1-18; Fig. 2) with more than 22 years were used. The cross-validation values from the interpolation of the two erosivity maps were compared with the true values calculated with 1-min data to calculate relative error for these stations. Considering that the absolute values of relative error would be high for stations with smaller R-factor values, the relative error of the entire map was expressed as the median absolute value of the relative error for all the stations.

220 The erosivity maps in this study used a different procedure as in Yin et al. (2019) mainly in three areas: (1) temporal resolution (hourly vs. daily); (2) number of stations (2,381 stations vs. 744 stations); (3) interpolation method (Universal Kriging vs. Ordinary Kriging).

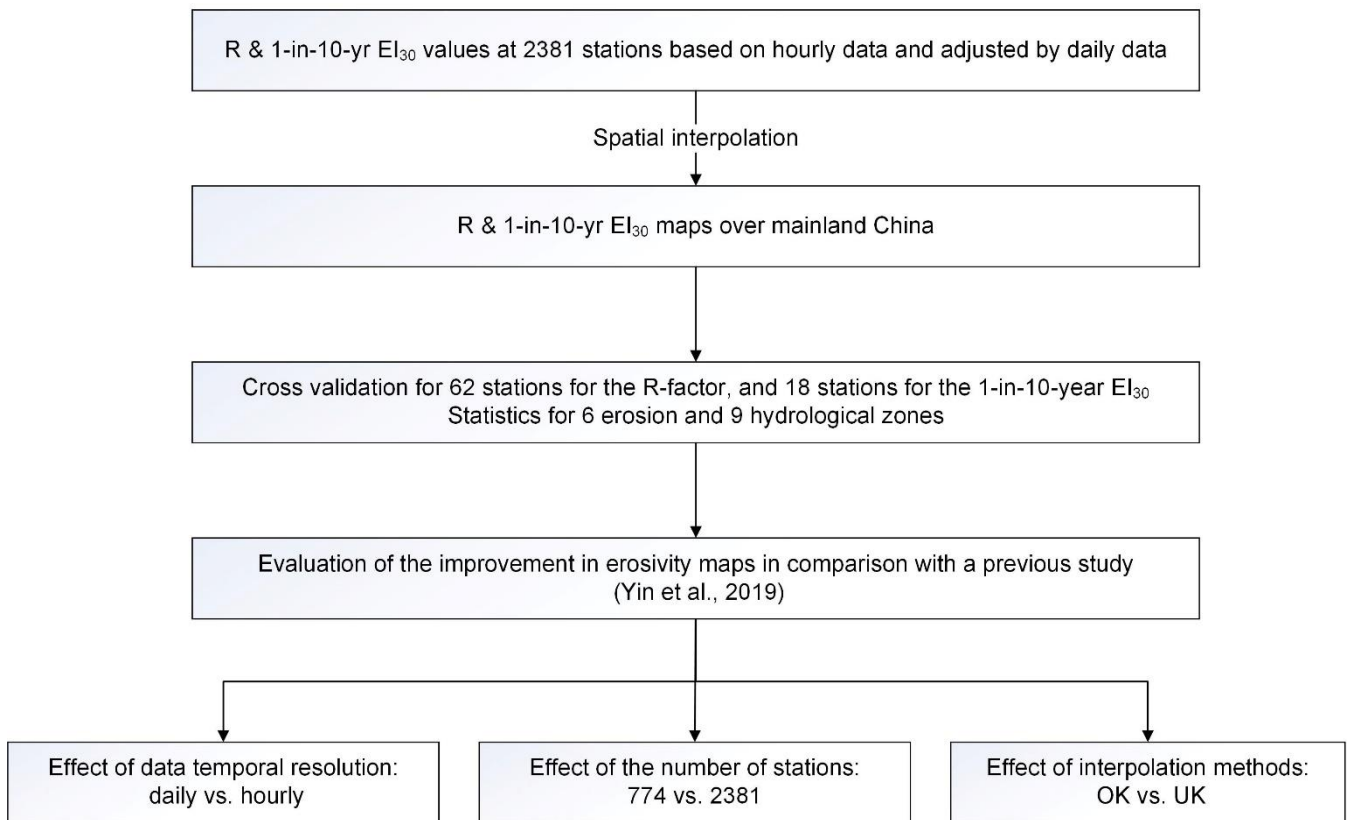
225 To evaluate the effect of the temporal resolution on the calculated R-factor and 1-in-10-year  $\text{EI}_{30}$ , hourly and daily rainfall data with the same period as the 1-min data at the 62 stations were used. R-factors from hourly data were based on Eq. (1-5), those

from daily rainfall data were based on Eq. (12), and those from 1-min data were based on Eq. (10-11). The 1-in-10-year  $EI_{30}$  were all calculated by calibrating GEV distribution using Eq. (6-7). Erosivity factors from 1-min data can be regarded as the true values. The relative error was computed for evaluating accuracy.

230 To evaluate the effect of station density, hourly data from 774 stations (used in Yin et al. (2019)) and from 2,381 stations (used in this study) were used to generate two separate erosivity maps. R-factor and 1-in-10-year  $EI_{30}$  values were compared using leave-one-out cross validation method region by region. The sMAPE was calculated for accuracy assessment.

To evaluate the effect of interpolation methods, Ordinary Kriging and Universal Kriging with the mean annual rainfall as the co-variable were applied for the R-factor and 1-in-10-year  $EI_{30}$  computed using hourly data from 2,381 stations. Both  
 235 interpolation methods were applied to each of four different regions as shown in Fig. 1 and leave-one-out cross validation results were compared. The sMAPE was calculated to evaluate the accuracy of interpolated values.

The framework of this study is shown in Fig. 3.



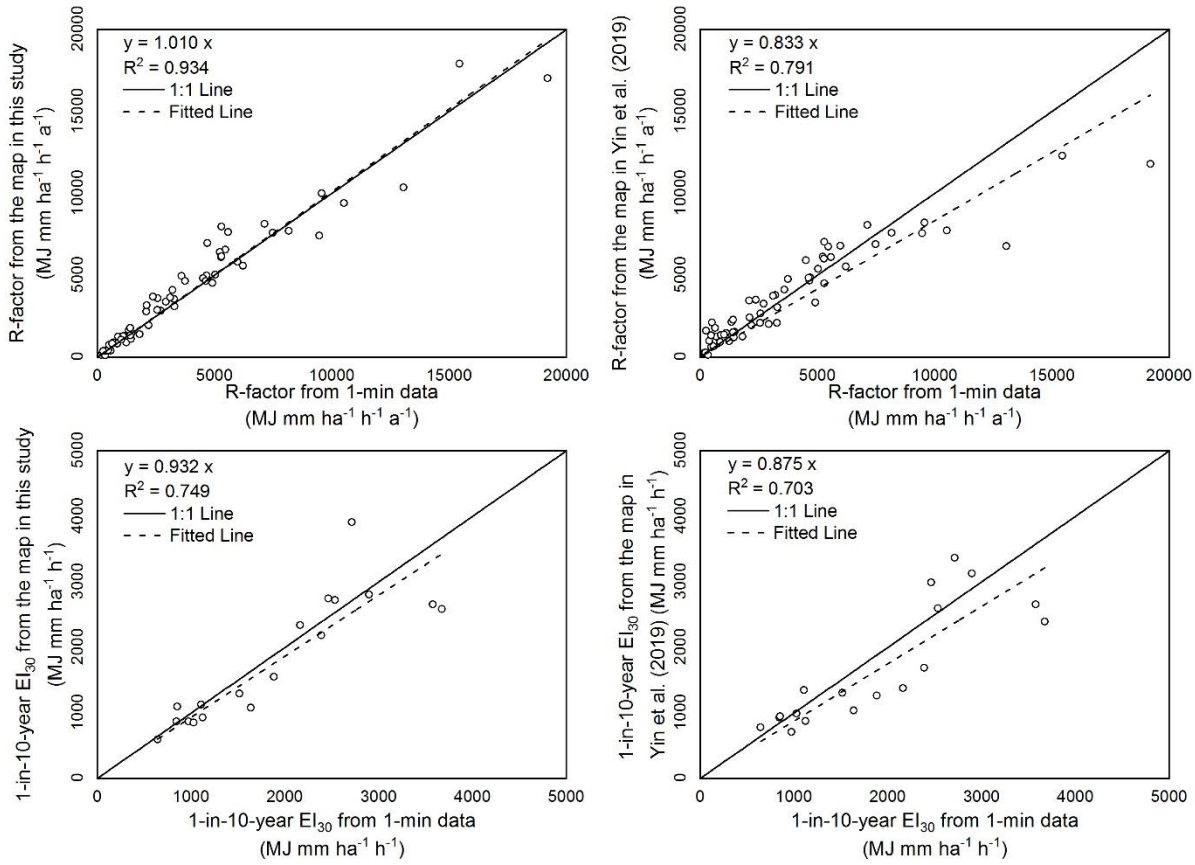
**Figure 3: Framework of this study**

### 3 Results

#### 3.1 Accuracy evaluation on erosivity maps

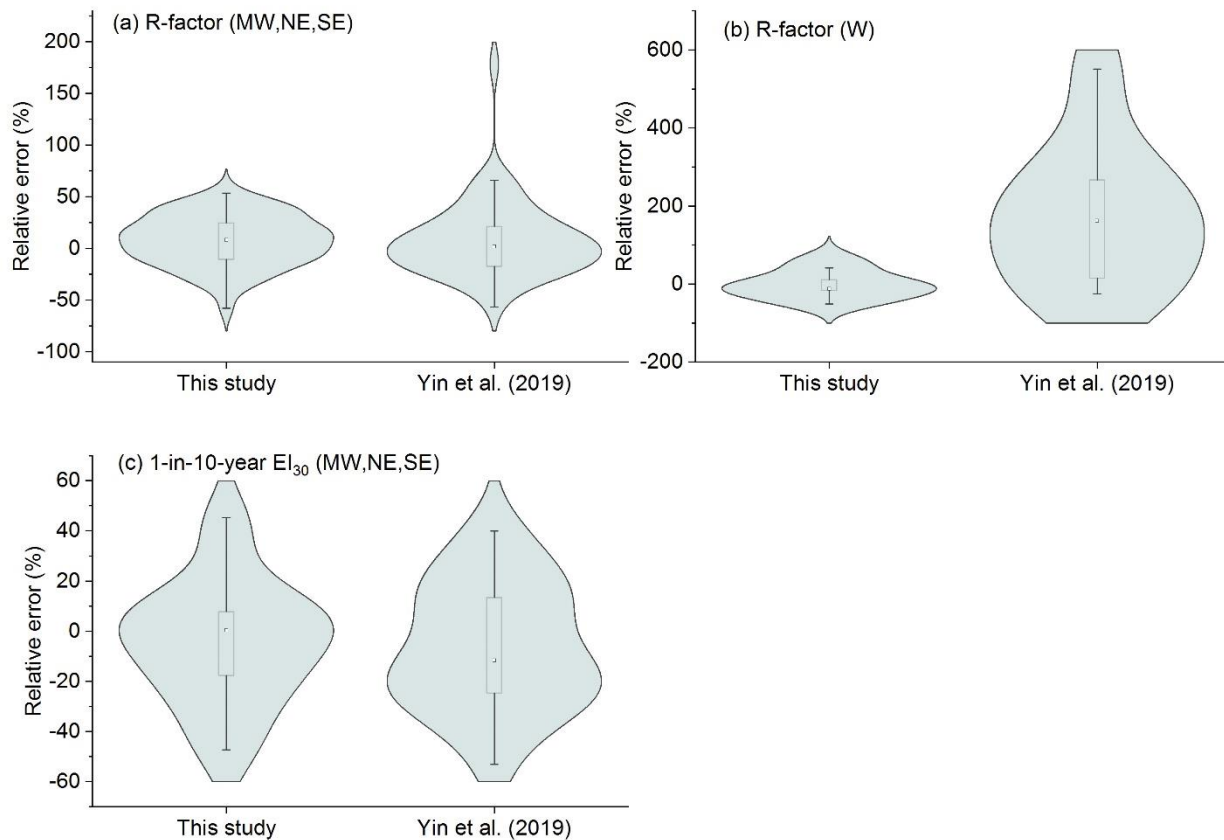
245 Taking erosivity maps (R-factor and 1-in-10-year  $EI_{30}$ ) generated by Yin et al. (2019) as references, this study shows a certain improvement in accuracy (Fig. 4; Table 2). For the R-factor, the values in the map of Yin et al. (2019) were underestimated where the R-factor was relatively high, and overestimated where the R-factor was relatively low. The improvement was particularly noticeable for western China ( $R < 1,000 \text{ MJ mm ha}^{-1} \text{ h}^{-1} \text{ a}^{-1}$ ) and the southeastern coastal region ( $R > 10,000 \text{ MJ mm ha}^{-1} \text{ h}^{-1} \text{ a}^{-1}$ ).

250 Relative errors of the two maps at the 62 stations are shown in Fig. 5 (a) and (b). Those with the relative error of more than 100% were all in the Western (W) or Mid-western (MW) region. There was no obvious difference between the median values (also the average values) of the absolute relative error for the R-factor in this study and Yin et al. (2019) in Mid-western (MW), Northeastern (NE) and Southeastern (SE) regions (Table 2), however, there are some extremely high relative error values in Yin's map which were found to be located in the MW region (Fig. 5(a)). The median values of the absolute relative error in Western (W) region were 16.2% and 161.6%, respectively for this study and Yin et al. (2019), which indicated an improvement of 145.4%. For 1-in-10-year  $EI_{30}$ , the median values of the absolute relative error were 13.5% for this study and 20.6% for Yin et al. (2019), indicating an improvement of 7.1% in the mid-western and eastern regions (Table 2, (Fig. 5(b))). The relative errors of the 1-in-10-year  $EI_{30}$  in this study concentrated in the range of -10% ~ +10%, whereas those in Yin et al. (2019) concentrated in the range of -25% ~ -15% and +15% ~ +25% (Fig. 5(c)). The evaluation on the 1-in-10-year  $EI_{30}$  map didn't cover the western region where there were no 1-min data with enough effective years to estimate the return level. The spatial distribution of the absolute relative errors of the maps from this study is shown in Fig. 6.



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**Figure 4: Comparison of the R-factors and 1-in-10-year  $EI_{30}$  extracted from the maps and the true values. The graphs on the left were the evaluation of the maps generated in this study, and those on the right were the evaluation of the maps generated by Yin et al. (2019)**

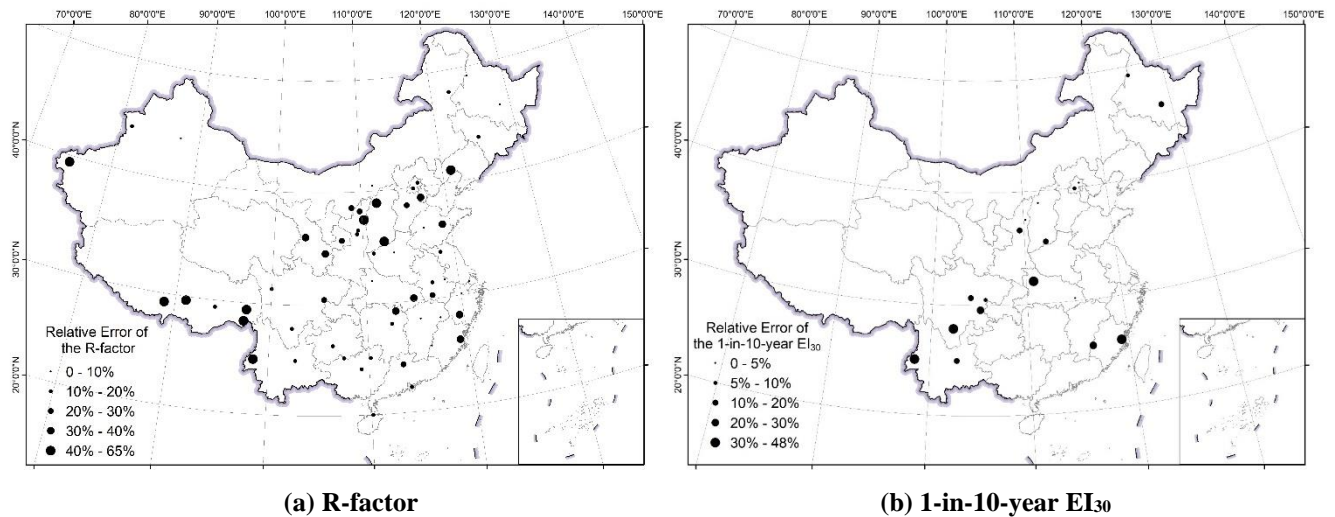


265 **Figure 5: The relative errors of the R-factor (a for region MW, NE, SE; b for region W) and 1-in-10-year EI<sub>30</sub> (c for region ME, NE, SE) maps**

**Table 2: The statistical characteristics of the absolute relative errors of the erosivity factors from the maps**

	R-factor				1-in-10-year EI <sub>30</sub>	
	MW, NE, SE		W		MW, NE, SE	
	This study	Yin et al. (2019)	This study	Yin et al. (2019)	This study	Yin et al. (2019)
25th percentile	9.3%	8.8%	11.4%	23.1%	5.1%	13.0%
Median	17.8%	18.1%	16.2%	161.6%	13.5%	20.6%
75th percentile	32.8%	34.4%	45.9%	292.3%	31.6%	31.3%
Mean	21.0%	24.7%	28.7%	184.8%	18.6%	22.3%

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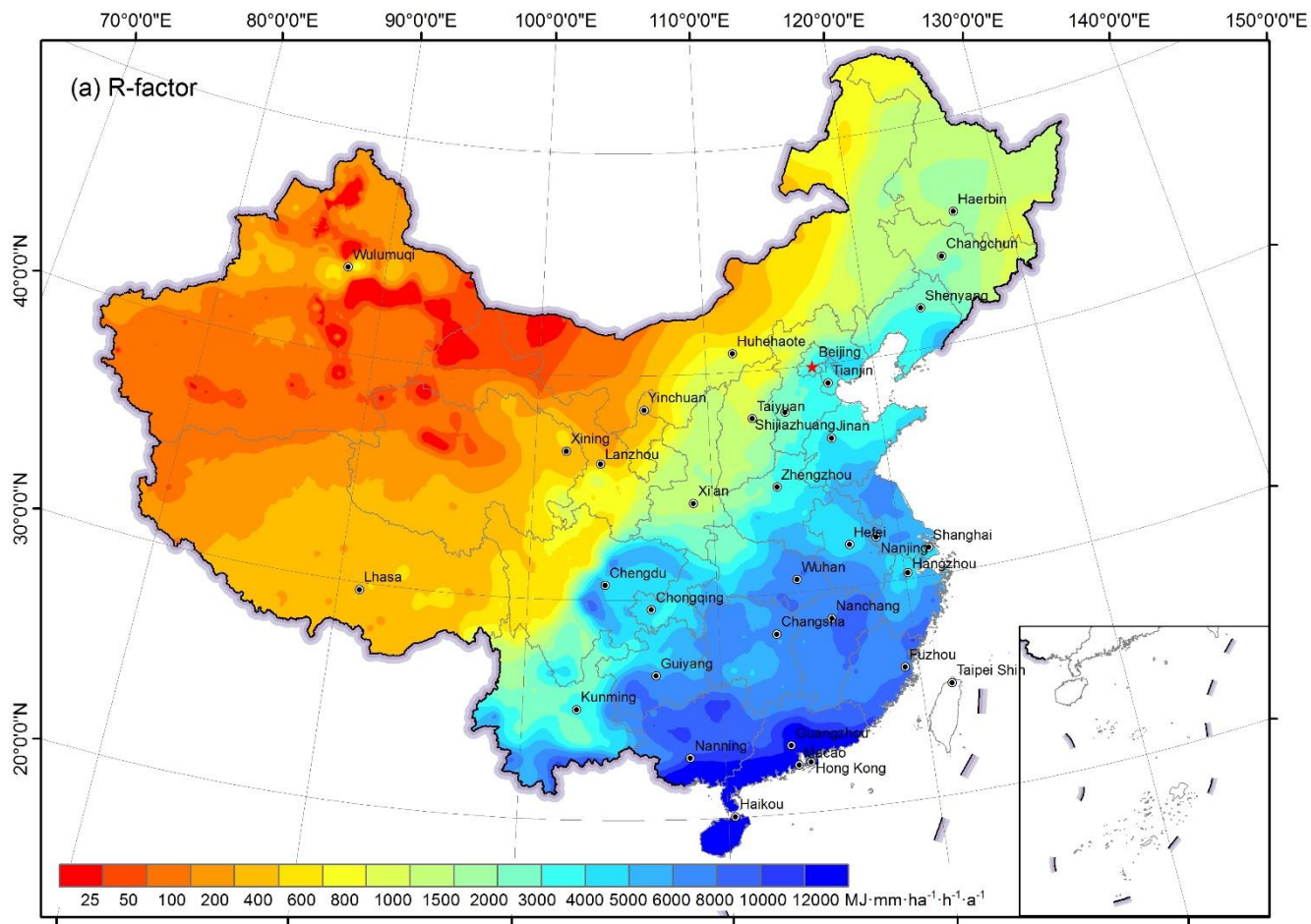


**Figure 6: Spatial distribution of the absolute relative errors in the map of R-factor for 62 stations (a) and in the map of 1-in-10-year  $EI_{30}$  for 18 stations (b) with 1-min observation data.**

### 275 3.2 Erosivity maps and the differences comparing with the previous study

The R-factor generally decreased from the southeastern part to the northwestern part of China (Fig. 7a), ranging from 0 to 25,300  $MJ\ mm\ ha^{-1}\ h^{-1}\ a^{-1}$ . The map of 1-in-10-year  $EI_{30}$  shows a similar spatial pattern with that of the R-factor (Fig. 7b), ranging from 0 to 11,246  $MJ\ mm\ ha^{-1}\ h^{-1}$ . Zero R-factor value is found at Turpan, Xinjiang Province, where the mean annual rainfall is only 7.8 mm. The maximum of the R-factor (more than 20,000  $MJ\ mm\ ha^{-1}\ h^{-1}\ a^{-1}$ ) is located in the southern part of the Guangxi and Guangdong provinces, along the South China Sea, where the mean annual rainfall is more than 2,500 mm.

280 In addition to the overall trend, some local scale characteristics could be identified in the maps. Taking the R-factor map as an example, in the western region, the wetter region in northwestern China was located in the west of Dzungaria Basin and along the Tianshan Mountain, which could be captured on the map. Some statistical characteristics of the new maps of the erosivity factors are shown in Table 3 based on soil erosion and hydrological zoning schemes (Fig. 8).



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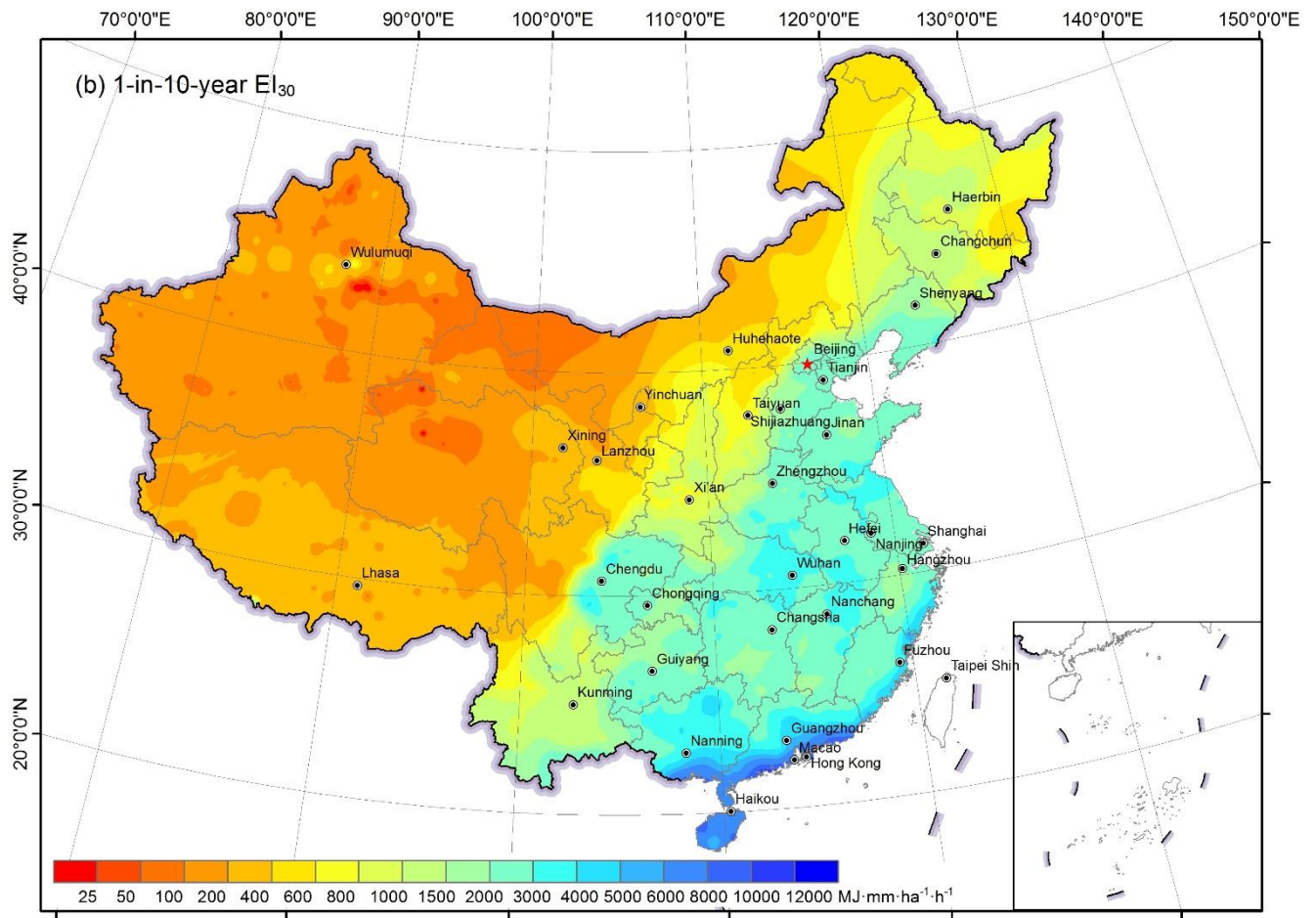
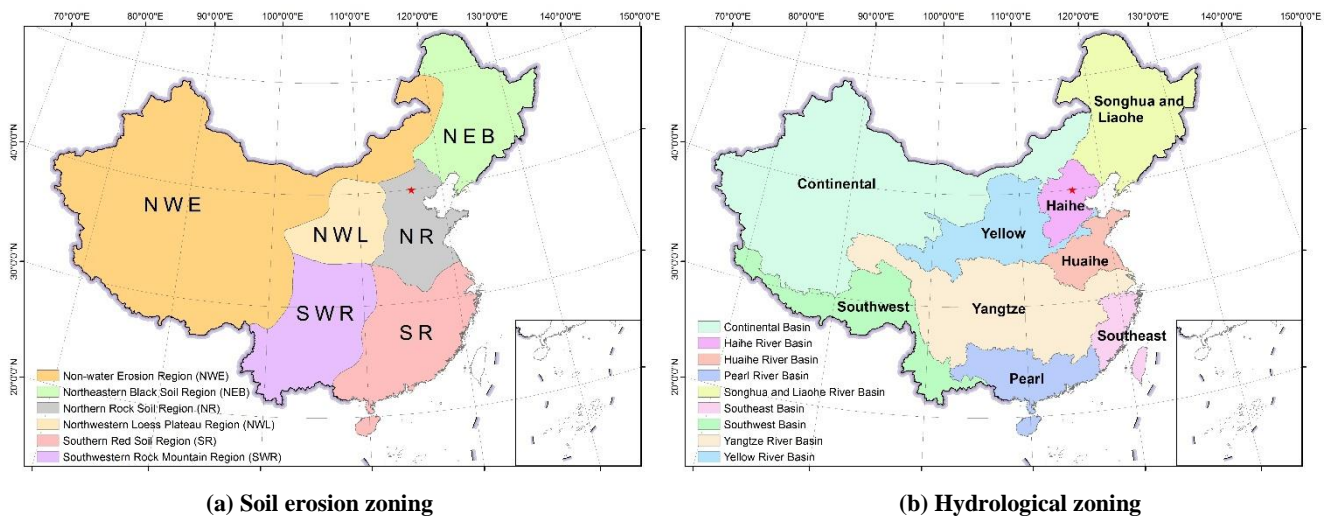


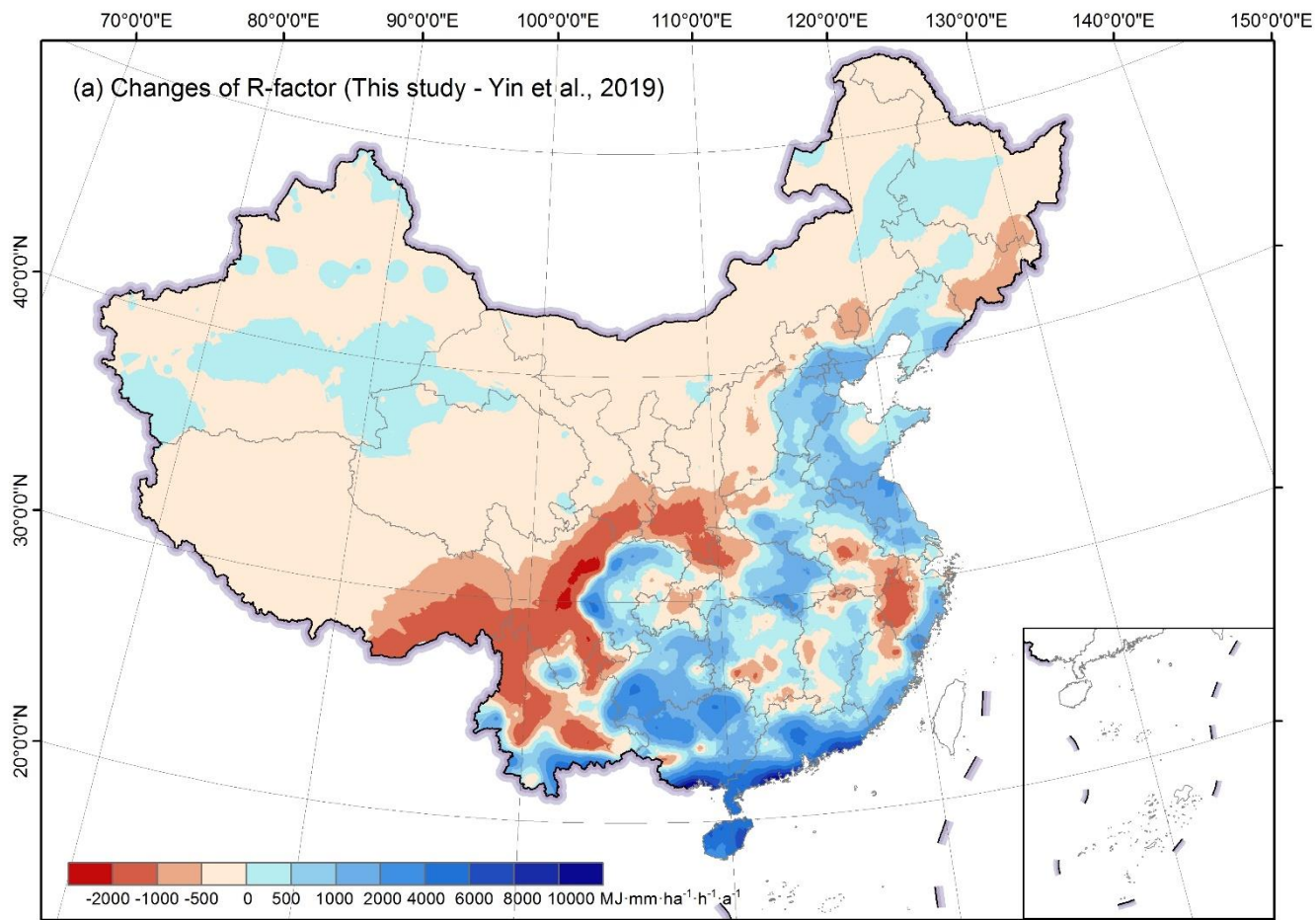
Figure 7: R-factor(a) and 1-in-10-year  $EI_{30}$ (b) over mainland China based on hourly data from 2381 stations



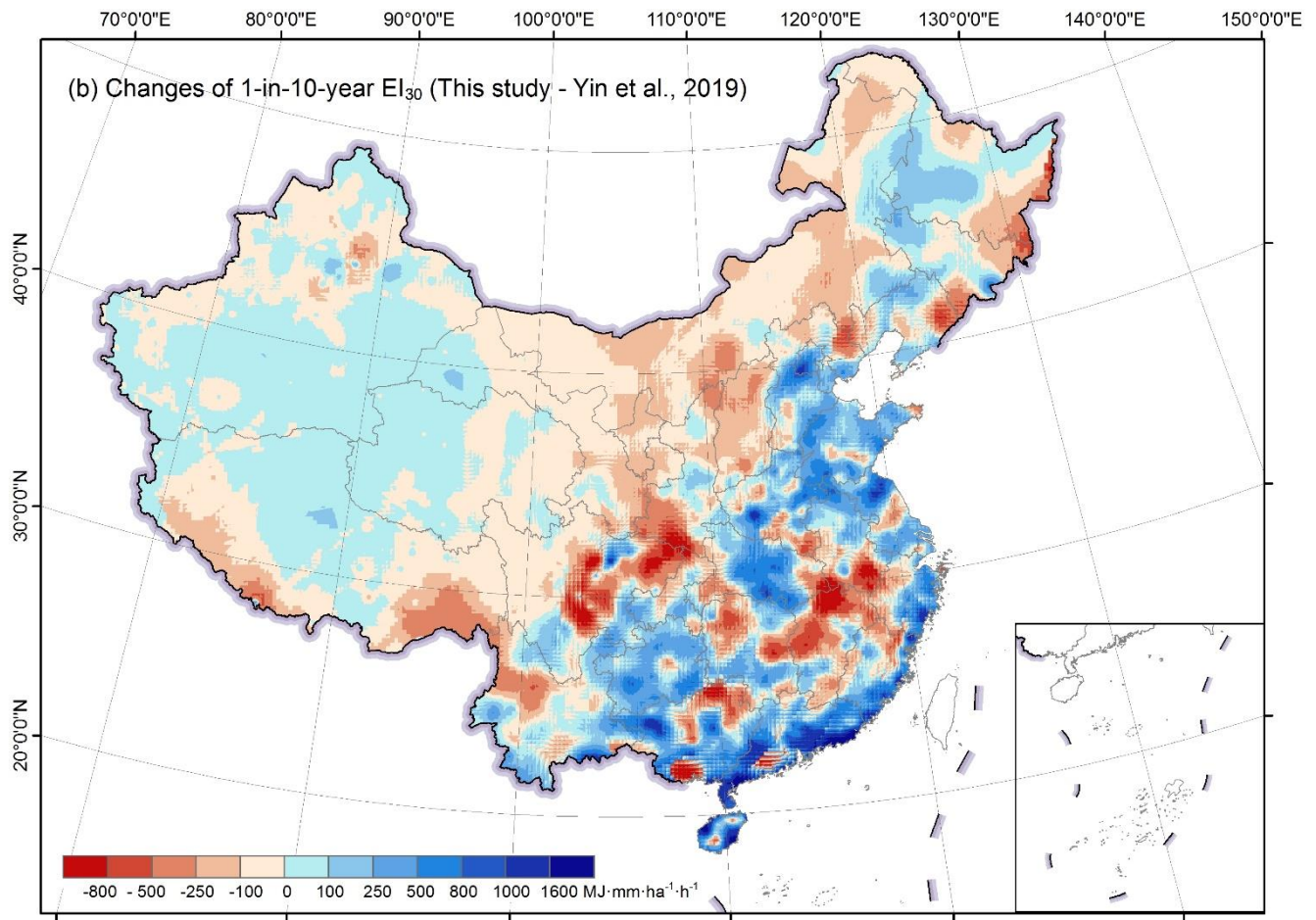


**Table 3: Statistical characteristics of R-factor and 1-in-10-year EI<sub>30</sub> in soil erosion and hydrological zonings**

Factors	Zones	Mean	Std.	5th-percentile	25th-percentile	50th-percentile	75th-percentile	95th-percentile
R-factor (MJ mm ha <sup>-1</sup> h <sup>-1</sup> a <sup>-1</sup> )	Mainland China	2200	3147	47	147	645	3503	8208
	NWE	208	192	30	70	144	276	614
	NWL	896	431	263	549	875	1239	1562
	NR	3637	1443	935	2780	3747	4577	5946
	NEB	1483	766	671	1041	1311	1611	3284
	SWR	4226	2079	841	2610	4324	5503	8060
	SR	8294	3370	4918	6140	7311	9141	16544
	Continental	138	130	25	62	92	174	424
	Haihe	2437	1169	719	1218	2717	3489	4042
	Huaihe	4744	948	3197	4062	4653	5466	6310
	SongLiao	1405	765	623	952	1235	1553	3220
	Yellow	920	754	214	402	749	1205	2199
	Yangtze	3933	2535	215	1355	4508	6052	7666
	Southwest	1318	2043	132	265	316	940	5998
	Southeast	7069	1292	4964	6014	7192	7916	9110
	Pearl	10280	3967	4450	7697	9354	12731	17591
	1-in-10-year EI <sub>30</sub> (MJ mm ha <sup>-1</sup> h <sup>-1</sup> )	Mainland China	1040	1259	99	166	435	1766
NWE		189	101	84	125	165	220	415
NWL		635	254	226	438	635	825	1031
NR		2199	770	556	1860	2422	2717	3123
NEB		948	449	444	669	867	1044	2055
SWR		1706	766	439	1098	1689	2308	2952
SR		3273	1418	1953	2375	2846	3512	6814
Continental		164	84	80	114	140	193	363
Haihe		1595	794	459	718	1773	2350	2626
Huaihe		2706	394	1999	2465	2723	2957	3337
SongLiao		902	453	422	604	823	1026	1974
Yellow		627	472	182	293	525	813	1430
Yangtze		1706	1039	167	711	1959	2551	3194
Southwest		496	533	184	212	232	389	1701
Southeast		2814	881	1781	2160	2550	3262	4570
Pearl		3846	1822	1564	2604	3320	4698	7512



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**Figure 9: Differences of the R-factor(a) and the 1-in-10-year  $EI_{30}$ (b) comparing with the previous study**

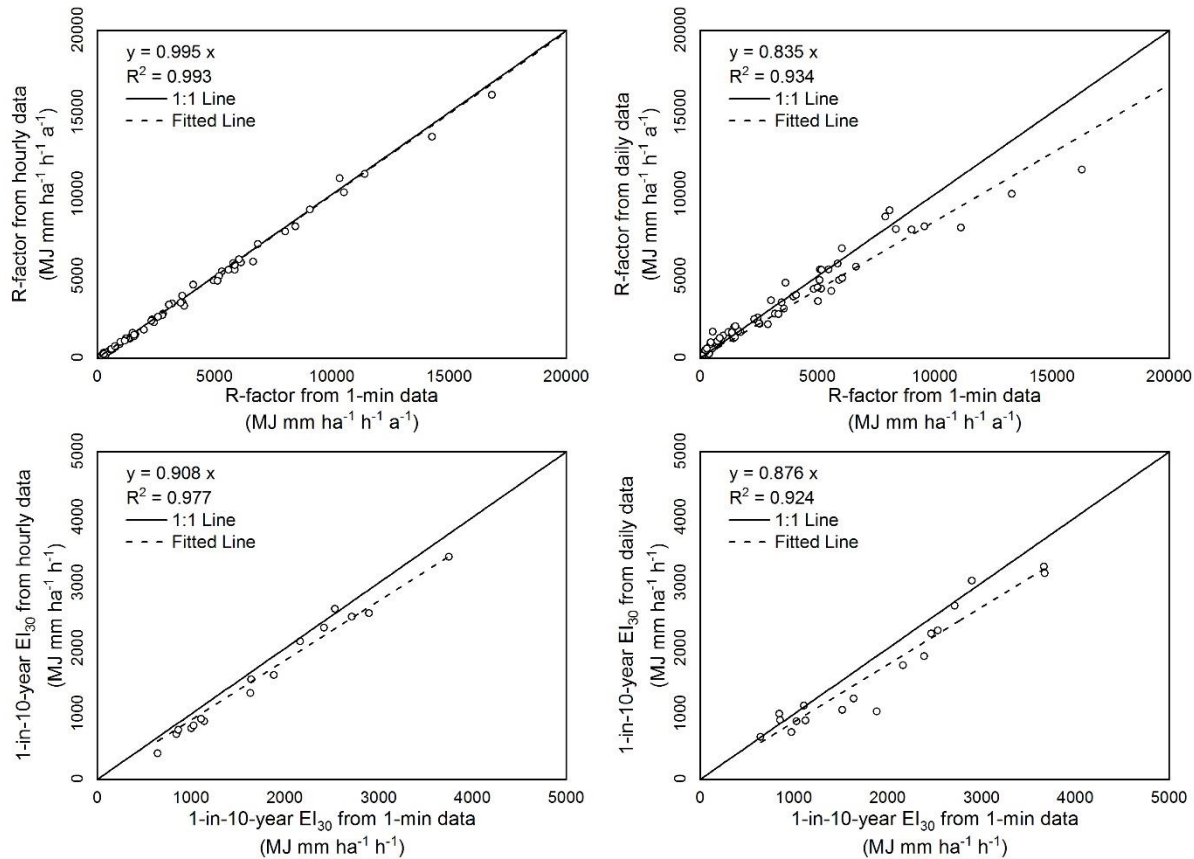
Comparing with the maps from Yin et al. (2019), the new maps can be quite different at some local areas (Fig. 9a and 9b). The R-factor in the new map was higher for most of the southeastern area, and lower for most of the middle and western areas, especially for the southwestern area (Fig. 9a). The map of 1-in-10-year event  $EI_{30}$  demonstrated similar pattern with that of R-factor, whereas with more negative values in some eastern mountainous areas (Fig. 9b).

### 3.3 Evaluation on the improvement of the erosivity maps

#### 3.3.1 Effect of data temporal resolution

Figure 10 shows that the R-factor estimated from daily data (Eq. 12) is underestimated when the R value is higher than 10,000  $MJ \cdot mm \cdot ha^{-1} \cdot h^{-1} \cdot a^{-1}$ , and slightly overestimated when the value is lower than 2,000  $MJ \cdot mm \cdot ha^{-1} \cdot h^{-1} \cdot a^{-1}$ . The model using hourly data improved the accuracy by about 11.1% (median value of the relative error) compared to that from daily data (Fig. 10). Estimated 1-in-10-year  $EI_{30}$  would be underestimated using hourly and daily data, and the underestimation is greater if daily

data were used (Fig. 10). Unlike the R-factor, 1-in-10-year  $EI_{30}$  was not noticeably improved with an increase in the temporal resolution from daily to hourly data, probably due to the fact that the 1-in-10-year  $EI_{30}$  values estimated using daily data in  
 310 Yin et al. (2019) had already been multiplied by a conversion factor of 1.17 to correct the 1-in-10-year daily erosivity to approximate the true 1-in-10-year  $EI_{30}$  from 1-min data.



**Figure 10: Comparison of the R-factor (upper) and 1-in-10-year  $EI_{30}$  (lower) estimated from hourly (left) and daily (right) rainfall data**

### 315 3.3.2 Effect of the station density

Interpolation for the Western (W) region had the least NSE compared to others, which may be induced by the sparsity of stations (Fig. 1) and the lower spatial correlation of rainfall. The fitted semivariogram for the R-factor in W region had a range of 35 km, whereas the ranges for Mid-western (MW), Northeastern (NE) and Southeastern (SE) regions were 288, 261 and 1,235 km, respectively.

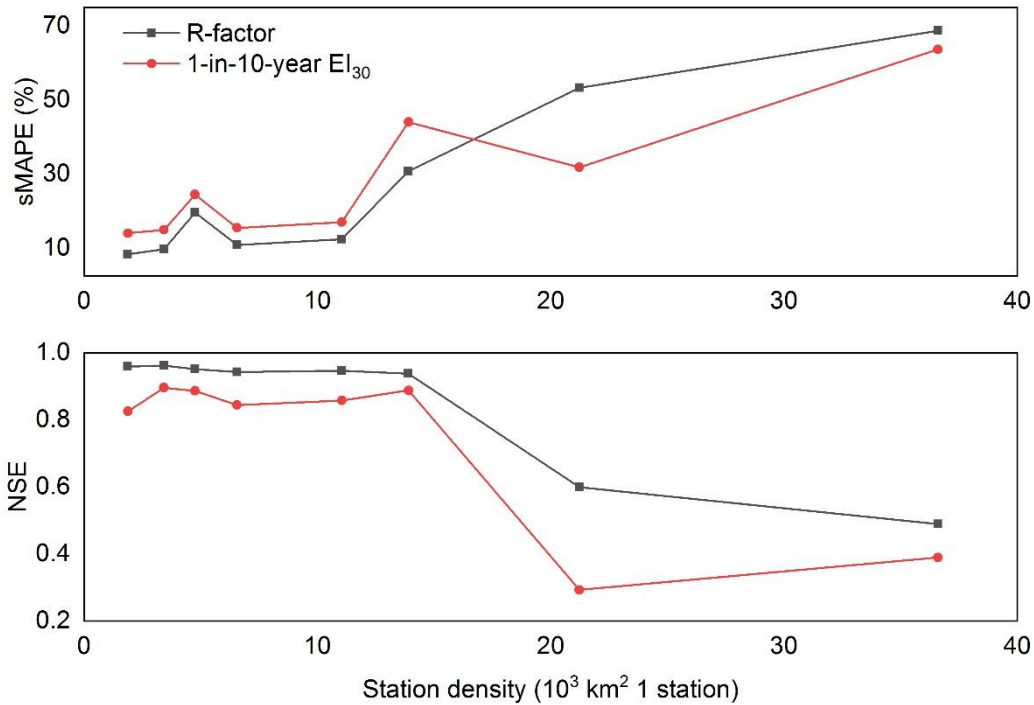
320 A comparison of cross-validation between the two maps with different station densities shows that the interpolation with denser stations can improve the accuracy by 2.6% ~ 15.4% for the R-factor based on the sMAPE index, and by 1.4% ~ 31.8% for 1-

in-10-year  $EI_{30}$  (Table 4) when the station density increased. The NSE can increase by 0.016 to 0.109 for R-factor. For 10-year  $EI_{30}$ , the NSE decreased in region W, MW and SE, and increased by 0.038 in region NE.

For the R-factor, in region W, the station density doubled (increased from 36,600 to 21,200  $km^2$  1 station), and the accuracy improved by 15.4%, whereas the sMAPE of 53.3% was still high with this increase in station density. In region MW, the station density tripled (from 13,900 to 4,800  $km^2$  1 station) and the accuracy was improved by 11.1% based on the sMAPE index from 30.7% to 19.6%. In region NE and SE, the station density tripled and quadrupled, respectively, and the accuracy increased about 2.5%. For 1-in-10-year  $EI_{30}$ , the improvement for the 1-in-10-year  $EI_{30}$  was even more (by 31.8% in region W and 19.6% in region MW). The improvement was mainly in western regions, and the station density in the eastern China before the increase is enough to describe the spatial variation of the R-factor and the 1-in-10-year  $EI_{30}$ . It can be inferred that when there were less than about 10,000  $km^2$  1 station, the increasing of the site density has little impact on the improvement of the interpolation (Fig. 11).

**Table 4: Comparison of cross-validation results for erosivity maps interpolated based on data from 774 and 2381 stations**

Region	No. of the stations	Density of the stations ( $10^3 km^2$ 1 station)	R-factor		1-in-10-year $EI_{30}$	
			sMAPE	NSE	sMAPE	NSE
W	87	36.6	68.7%	0.489	63.7%	0.389
	150	21.2	53.3%	0.599	31.8%	0.293
MW	161	13.9	30.7%	0.938	44.0%	0.887
	471	4.8	19.6%	0.951	24.5%	0.886
NE	214	11.0	12.4%	0.946	17.0%	0.857
	690	3.4	9.7%	0.962	14.9%	0.895
SE	389	6.6	10.9%	0.942	15.5%	0.844
	1362	1.9	8.3%	0.959	14.0%	0.824



335 **Figure 11: Improvement of the interpolation with the increase of station density. Data were from the 774 and 2381 stations in the 4 different regions**

### 3.3.3 Effect of the interpolation method

For the R-factor, cross-validation of Ordinary Kriging and Universal Kriging with the mean annual rainfall as the co-variable (Table 5) shows that UK improved the interpolation accuracy by 2.3%-9.0% (sMAPE) compared to OK. In the western region, 340 the NSE increased from 0.285(OK) to 0.599(UK). Therefore, it is better to use UK instead of OK when generating the R-factor map, especially in western China where station density was low. For 1-in-10-year  $EI_{30}$ , UK improved the accuracy by 0.4%-9.7% (sMAPE). In region W, the accuracy improved by 9.7% and the NSE increased from 0.094(OK) to 0.293(UK).

**Table 5: Cross-validation results of interpolation of R-factor and 10-year  $EI_{30}$  using OK and UK**

Region	Interpolation method	R-factor		1-in-10-year $EI_{30}$	
		sMAPE	NSE	sMAPE	NSE
W	OK	62.3%	0.285	41.5%	0.094
	UK	53.3%	0.599	31.8%	0.293
MW	OK	24.8%	0.861	24.9%	0.838
	UK	19.6%	0.951	24.5%	0.886
NE	OK	12.0%	0.926	16.5%	0.865
	UK	9.7%	0.962	14.9%	0.895

Region	Interpolation method	R-factor		1-in-10-year EI <sub>30</sub>	
		sMAPE	NSE	sMAPE	NSE
SE	OK	11.2%	0.911	14.8%	0.844
	UK	8.3%	0.959	14.0%	0.824

#### 4 Discussion

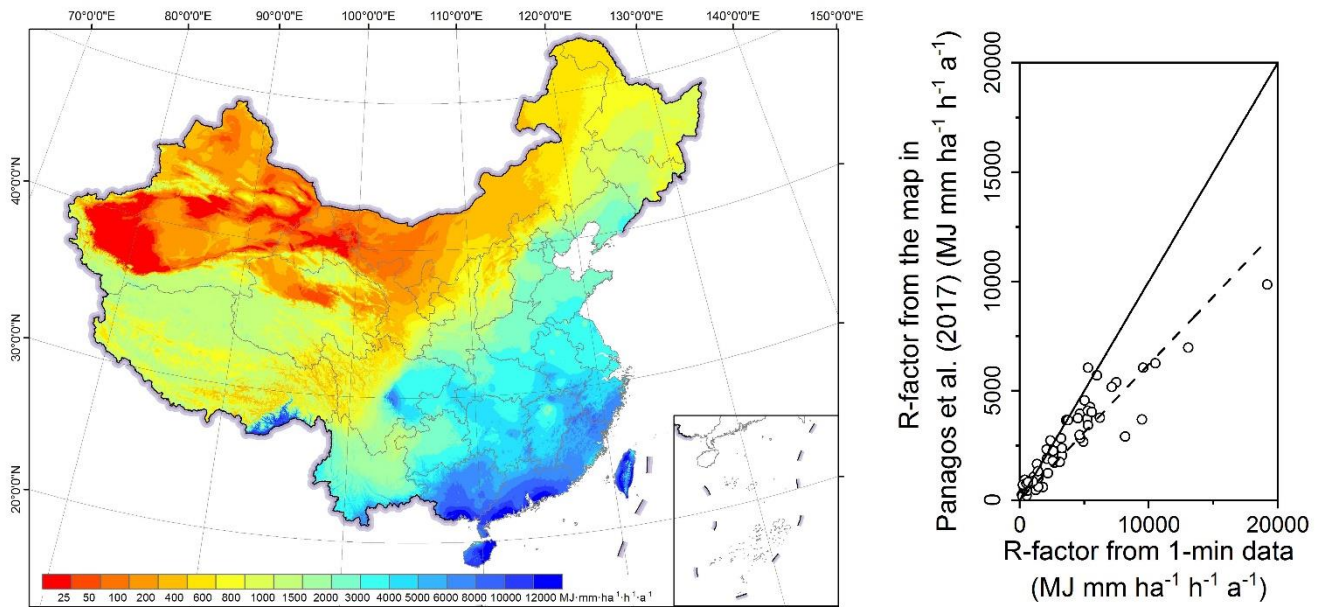
345 This study produced quality R-factor and 1-in-10-year EI<sub>30</sub> maps with hourly data from 2,381 stations over mainland China. The improvement of the R-factor map over previously published R-factor map can be contributed to the increase in the temporal resolution from daily to hourly data, whereas that of 1-in-10-year EI<sub>30</sub> map to the increase of the station density in comparison with those of Yin et al. (2019). There are mainly two reasons for this. First, 1-in-10-year event EI<sub>30</sub> values estimated from the daily data had already been adjusted to those from the 1-min data by multiplying a conversion factor of 1.17 (Yin et al., 2019), which resulted in no obvious improvement from the daily data to hourly data. Second, the 1-in-10-year event EI<sub>30</sub> associated with extreme rainfall event intrinsically has a high spatial variability in comparison to the annual average rainfall erosivity as shown in Table 4. The accuracy of spatially interpolated rainfall erosivity was more sensitive to the station density when the station density is low. Hence the improvement of the map of the 1-in-10-year EI<sub>30</sub> was mainly contributed to the increase of the station density, especially for the western and the mid-western regions with sparse station density.

355 The uncertainty of the results from this study mainly comes from the following aspects: (a) KE-I model for estimating Kinetic Energy (KE) from the instant precipitation Intensity (I). KE-I model used in this study is from RUSLE2 (USDA-ARS, 2013) and raindrop disdrometer observation data need to be collected to calibrate the KE-I model in future. (b) The estimation of the erosivity factors from hourly data (Eq. (5)). The conversion factors were developed based on 1-min rainfall data from 62 stations (Fig. 2). Hourly data brings information loss in the estimation of instant precipitation intensity comparing with  
360 breakpoint data. (c) The adjustment of the R-factor from the stations with less effective years (Eq. (8)). This is based on a power function (Eq. (9)) of the mean annual precipitation and rainfall erosivity using 1-min and daily rainfall data of 35 stations; The degree of uncertainty mainly depends on the variation of annual rainfall erosivity. (d) Station distribution and density. In western China, the stations were sparse and unevenly distributed, which affect the interpolation accuracy. (e) Spatial  
365 interpolation model (Universal Kriging in this study) and the interpolation procedures (the division of regions before the interpolation and the mergence of regions after the interpolation).

Panagos et al. (2017) developed a Global Rainfall Erosivity Database with hourly and sub-hourly rainfall data from 3,625 stations over 63 countries, which has provided a good basis for the comparison of rainfall erosivity among different regions in the world. In their study, rainfall data at 60-min interval from 387 stations across China were used. Figure 12 shows that the R-factor for China extracted from Panagos et al. (2017) is systematically underestimated by about 30% for most areas in China, whereas overestimated in the Tibetan Plateau (cf. Fig. 7a). The reason for the underestimation may be that the R-factor  
370 calculated from 60-min interval data applied a conversion factor (CF<sub>30</sub>) that was developed from the values estimated by 60-min data to those by 30-min data in Panagos et al. (2015), rather than a factor to those by breakpoint data (CF<sub>bp</sub>) or 1-min data

(CF<sub>1</sub>), which were used in USLE (Wischmeier and Smith, 1965, 1978), RUSLE (Renard, 1997) and this study. Previous research have showed the difference between CF<sub>30</sub> and CF<sub>bp</sub> (CF<sub>1</sub>) can result in an underestimation of R-factor by about 20% (Auerswald et al., 2015; Yue et al., 2020). Table 6 shows that the relative error of the map from Panagos et al. (2017) could reduce by about 6.2% after multiplying by a conversion factor of 1.253, which was calibrated by Yue et al. (2020) for converting the R-factor from 30-min data to 1-min data (Because the cross-validation values from the map of Panagos et al. (2017) were not available, the values extracted from the maps were instead to compare with the true values from 1-min data at the 62 stations). The adjusted map still generally underestimated. The reason may be that the equation for estimating the storm energy (E) used in Panagos et al. (2017) was from RUSLE (Renard, 1997), which have been reported an underestimation of the storm energy up to 10% in previous studies (McGregor et al., 1995; Yin et al., 2017). Because of this, the equation for estimating the storm energy (E) in RUSLE (Renard, 1997) was then modified in RUSLE2 (USDA-ARS, 2013), which was adopted in this study.

The R-factor in the Tibetan Plateau varies from 0 to 12,326 MJ mm ha<sup>-1</sup> h<sup>-1</sup> a<sup>-1</sup> in Panagos et al. (2017), and from 5 to 4,442 MJ mm ha<sup>-1</sup> h<sup>-1</sup> a<sup>-1</sup> in this study. The former was derived from a Gaussian Process Regression (GPR) model and a number of monthly climate variables from the WorldClim database, such as the mean monthly precipitation, mean minimum, average and maximum monthly temperature. The GPR model was calibrated using the site-specific R-factor values and these climate variables, which may not applicable for sites at high altitude, as none of the observation sites was located in the Tibetan Plateau region. The GPR model might be the main reason for the overestimation of the R-factor in the Tibetan Plateau where the R-factor was expected to be underestimated just like any other regions.



**Figure 12: R-factor map for China extracted from Panagos et al. (2017) and the evaluation of the map based on 62 stations with 1-min data.**



395 **Table 6: Comparison of the statistical characteristics of the relative errors of the R-factor extracted from the map generated in this study and extracted from Panagos et al. (2017) (original and adjusted). The adjusted map of Panagos et al. (2017) was the original map multiplying by a conversion factors of 1.253, which was calibrated by Yue et al. (2020) for converting the R-factor from 30-min data to 1-min data.**

	This study	Panagos et al. (2017)	Panagos et al. (2017) adjusted
25 <sup>th</sup> percentile	7.1%	14.8%	10.4%
Median	16.1%	28.3%	22.1%
75 <sup>th</sup> percentile	28.0%	40.5%	43.2%
Mean	20.1%	33.8%	33.1%

## 400 5 Conclusions

This study generated the R-factor and 1-in-10-year EI<sub>30</sub> maps using hourly and daily rainfall data for the period from 1951 to 2018 from 2,381 stations over mainland China. The improvement in the accuracy of these erosivity maps was evaluated against the current maps (maps from Yin et al. (2019) were taken as references) in terms of temporal resolution of the rainfall data, the station density, and the interpolation method. The conclusions were drawn as follows:

405 (1) Comparing with the current maps for the 62 reference sites, the R-factor map generated in this study improved the accuracy from 18.1% to 17.8% in the mid-western and eastern regions, 161.6% to 16.2% in the western region, and the 1-in-10-year EI<sub>30</sub> map improved the accuracy from 20.6% to 13.5% in the mid-western and eastern regions.

(2) The R-factor and the 1-in-10-year EI<sub>30</sub> increased from the northwestern to the southeastern China. The R-factor was from 0 to 25,300 MJ mm ha<sup>-1</sup> h<sup>-1</sup> a<sup>-1</sup>, and the 1-in-10-year EI<sub>30</sub> was from 0 to 11,246 MJ mm ha<sup>-1</sup> h<sup>-1</sup>. Comparing with the current  
410 maps, the R-factor and 1-in-10-year event EI<sub>30</sub> in the new maps were higher for most of the southeastern area, and lower for most of the middle and western areas.

(3) The improvement of the R-factor map can be mainly contributed to the increase of the temporal resolution from daily to hourly, whereas that of 1-in-10-year EI<sub>30</sub> map to the increase of station density. The increased station density mainly improved the accuracy in the western regions for both the R-factor and 1-in-10-year EI<sub>30</sub>. The benefit of an increased station density is  
415 limited once the station density reached 1 station per 10,000 km<sup>2</sup>. As for the interpolation method, Universal Kriging with the mean annual rainfall as the co-variable performed better than Ordinary Kriging for all regions, especially for the western regions.

### Data availability

The Rainfall erosivity maps (R-factor and 1-in-10-year EI<sub>30</sub>) are available at:  
420 <https://dx.doi.org/10.12275/bnu.clicia.rainfallerosivity.CN.001> (Yue et al., 2020)

## Competing interests

The authors declare that they have no conflict of interest.

## Acknowledgments

This work was supported by National Key R&D Program (no.2018YFC0507006) and the National Natural Science Foundation of China (no. 41877068). We also would like to thank the high-performance computing support from the Center for Geodata and Analysis, Faculty of Geographical Science, Beijing Normal University [<https://gda.bnu.edu.cn/>]

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