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# Soil organic carbon distribution for 0-3 m soil depth at 1-km resolution of the frozen ground in the Third Pole

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Abstract: Soil organic carbon (SOC) is very important in the vulnerable ecological 24 environment of the Third Pole; however, data regarding the spatial distribution of 25 SOC are still scarce and uncertain. Based on multiple environmental variables and 26 soil profile data from 458 pits (depth of 0–1 m) and 114 cores (depth of 0–3 m), this 27 study uses a machine-learning approach to evaluate the SOC storage and spatial 28 distribution at a depth interval of 0–3 m in the frozen ground area of the Third Pole 29 region. Our results showed that SOC stocks (SOCS) exhibited a decreasing spatial 30 pattern from the southeast towards the northwest. The estimated SOC storage in the 31 upper 3 m of the soil profile was 46.18 Pg for an area of  $3.27 \times 10^6$  km<sup>2</sup>, which 32 included 21.69 Pg and 24.49 Pg for areas of permafrost and seasonally frozen ground, 33 respectively. Our results provide information on the storage and patterns of SOCS at a 34 1-km resolution for areas of frozen ground in the Third Pole region, thus providing a 35 scientific basis for future studies pertaining to Earth system models. The dataset is 36 open-access and available at https://doi.org/10.5281/zenodo.4293454 (Wang et al., 37 2020). 38

#### 39 **1 Introduction**

Soil is an important part of the global terrestrial ecosystem and represents the 40 largest terrestrial organic carbon pool with the longest turnover time (Amundson, 41 2001). This is especially true in areas of frozen ground, including permafrost and 42 seasonally frozen ground. In cold environments, soil accumulates substantial organic 43 carbon due to slow decomposition rates and repeated freeze-thaw cycles (Fan et al., 44 2012; Li et al., 2020). It has been reported that more than half of the world's soil 45 organic carbon (SOC) is stored in permafrost regions (Hugelius et al., 2014; Ping et 46 al., 2015). Even slight changes in the decomposition of the SOC pool in permafrost 47 regions might lead to significant changes in the atmospheric CO<sub>2</sub> concentration, 48 which plays an important role in regulating and stabilizing the carbon balance of 49 global ecosystems (Schuur et al., 2015). Therefore, it is of great significance to 50 accurately estimate the storage and spatial distribution of SOC in regions of frozen 51 ground in order to study the carbon cycle of this ecosystem as well as global change. 52

53 As the "roof of the world", the Third Pole is the area of frozen ground at the highest average altitude in the middle and low latitudes of the Northern Hemisphere. The 54 Third Pole is also one of the most sensitive areas with respect to global climate 55 change, and has a warming rate that is approximately twice the global average 56 (Stocker et al., 2013). In the past few decades, permafrost in the Third Pole region has 57 experienced obvious degradation (Mu et al., 2020; Ran et al., 2017; Turetsky et al., 58 2019; Wu et al., 2012). Permafrost degradation will not only cause serious geological 59 60 disasters and affect engineering construction in cold areas, but will also accelerate the decomposition of the huge SOC pool stored in permafrost (Cheng et al., 2007; Cheng 61 et al., 2019; Ding et al., 2021). Moreover, it will emit a large amount of greenhouse 62 gases into the atmosphere, thus increasing the rate of climate change in the future 63 (Schuur et al., 2015). Therefore, accurate estimates of the SOC storage and spatial 64 distribution in the areas of frozen ground in the Third Pole region have become 65 important for Earth system modeling. Such estimates are widely used to study the 66 carbon cycle of this ecosystem and global change (Koven et al., 2011; Lombardozzi et 67 68 al., 2016; McGuire et al., 2018).

Early studies were mostly based on data from China's national soil survey, and 69 were combined with regional vegetation/soil maps to estimate the SOC pool for a 70 certain vegetation type or relatively small area (Wang et al., 2002; Zeng et al., 2004). 71 Up until 2008, the Chinese part of the Qinghai-Tibet Plateau (QTP) was taken as an 72 independent geographical unit to estimate the SOC pool in the upper 100 cm of the 73 soil profile (Tian et al., 2008; Wu et al., 2008). However, these studies did not 74 distinguish between regions of permafrost and seasonally frozen ground. In recent 75 years, based on soil profile data and vegetation/soil maps, some studies have 76 estimated the SOC pool in the QTP permafrost region (Mu et al., 2015; Zhao et al., 77 2018; Jiang et al., 2019). The aforementioned studies improved our understanding of 78 SOC storage in the Third Pole region, but estimation results of 0-3m SOC pool have 79 large uncertainties, ranging from 17.1 Pg to 40.9 Pg. In addition, the large-scale maps 80 81 of vegetation and soil types used in these studies were associated with large uncertainties because they were created years ago and have a low spatial resolution, 82

83 thus leading to potentially large errors in the estimated total SOC pools (Mishra et al., 2013; Mu et al., 2020). Recently, considerable progress has been made in digital soil 84 mapping methods. Spatial interpolation, linear regression, and machine learning have 85 been widely used to simulate the spatial distribution of SOC in the permafrost region 86 of the QTP (Ding et al., 2016; Ding et al., 2019; Wang et al., 2020; Yang et al., 2008). 87 These studies have provided new spatial data and improved the prediction accuracy of 88 SOC compared with earlier studies. However, few studies to date have systematically 89 90 assessed SOC pools across areas of seasonally frozen ground in the Third Pole region, which limits many investigations requiring SOC data for these areas. 91

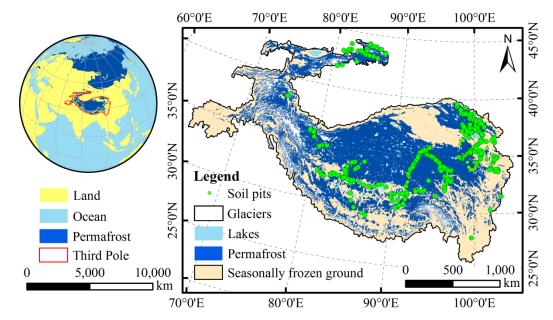
To evaluate the size and high-resolution spatial patterns of SOC stocks in the Third 92 Pole region, we carried out a large-scale field-sampling plan that covered 93 representative permafrost zones over the region's bioclimatic gradient, including a 94 large unpopulated area with harsh natural conditions. A total of 200 soil pits were 95 excavated, most of which were deeper than 2 m. In addition, we collected field-96 measured SOCS data for the Third Pole region from relevant literature published 97 98 between 2000 and 2016 (Ding et al., 2016; Song et al., 2016; Xu et al., 2019; Yang et al., 2008). By combining high-resolution remotely sensed data and interpolated 99 meteorological datasets, we simulated the spatial distribution of SOCS in the Third 100 Pole region by three machine learning methods and calculated the SOC storage of 101 specific soil intervals (0-30 cm, 0-50 cm, 0-100 cm, 0-200 cm, and 0-300 cm). The 102 results provide basic data for Earth system modeling, and reference methods for 103 studying the spatial distribution of soil elements under complex terrain. 104

# 105 2 Materials and Methods

#### 106 2.1 Study area

The Third Pole is the highest plateau in the world, and is located on the QTP and its surrounding mountains, which include Pamir and Hindu Kush mountain ranges in the west, the Hengduan Mountains in the east, the Kunlun and Qilian mountains in the north, and the Himalayas in the south (Yao et al., 2012). In addition, the Third Pole is the largest high–altitude permafrost zone in the Northern Hemisphere, with a total permafrost area of approximately  $1.72 \times 10^6$  km<sup>2</sup>, thus representing ~8% of

permafrost regions in the Northern Hemisphere (Obu et al., 2019). The area of 113 seasonally frozen ground covers an area of approximately  $1.55 \times 10^6$  km<sup>2</sup>, which is 114 mainly located in the eastern and southern parts of the Third Pole as well as at lower 115 elevations of basins (Fig.1). The Third Pole is mainly covered by five ecosystems: 116 forests, shrubs, grasslands, croplands, and deserts (Hao et al., 2017). 117



119 Figure 1. Distribution of soil pits in the Third Pole region (the frozen ground map is derived from Obu et al., 2019).

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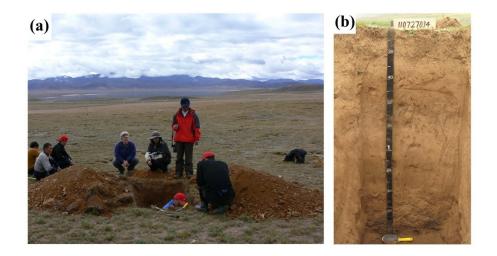
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#### 2.2 Data Processing 121

#### 2.2.1 Soil organic carbon data 122

The collected SOC data used in this study included field investigated data and 123 available published data for total 371 soil sample (458 samples for the 0-100 cm soil 124 layer, and 113 samples for the 0–300 cm soil layer). 125

(1) Field measured data: a total of 200 soil pits were excavated between 2009 and 126 2011; 72 soil pits were excavated manually in 2009, and 128 soil pits were excavated 127 with hydraulic excavators in 2010 and 2011. Most of the pits were deeper than 2m, 128 unless rock layers were detected. For each soil profile, we collected soil samples at 129 depth intervals of 0-10 cm, 10-20 cm, 20-30 cm, 30-50 cm, 50-100, and 100-200 130 131 cm (Fig. 2).



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Figure 2. Field work photographs showing (a) soil sample collection, and (b) a soil profile. 133 The bulk density samples were obtained for each layer using a standard soil 134 sampler (5 cm diameter and 5-cm-high stainless-steel cutting ring), and bulk density 135 was calculated as the ratio of the oven-dry soil mass to the container volume. Soil 136 samples for carbon analysis were air-dried, handpicked to remove plant detritus, and 137 then sieved through a 2mm mesh to calculate the volume percentage of the gravel. 138 The SOC content was determined using the Walkley-Black method after soil samples 139 140 were pretreated by air drying, grinding, and screening. The analyses were carried out in triplicate using subsamples, and the mean of three values was used as the SOC 141 content. The SOCS was calculated using Eq. (1): 142

$$SOCS = \sum_{i=1}^{n} T_i \times BD_i \times SOC_i \times \frac{(1-C_i)}{10}$$
(1)

where  $T_i$ ,  $BD_i$ ,  $SOC_i$ , and  $C_i$  are soil thickness (cm), dried bulk density (g·cm<sup>-3</sup>), SOC content (%) and > 2mm rock fragment content (%) at layer *i*.

(2) Available published data: we compiled all available information from the 146 studies on SOC stocks in the Third Pole regions published after 2000. The following 3 147 criteria are used to screen the data of SOC stocks from the published literature: (1) 148 The SOC data must be field investigated data; (2) Eliminate sample data with missing 149 geographic location information and sampling time; (3) SOC measuring methods were 150 similar as our experimental procedure. Finally, the 4 papers selected encompassed the 151 main ecosystems in Third Pole, namely forest, grassland, desert, cropland, and shrub 152 ecosystems. Specifically, data pertaining to a soil depth interval of 0-30 cm (n = 135) 153

was retrieved from Yang et al. (2010) for the SOC database; data pertaining to a depth interval of 0–100 cm (n = 93) was obtained from Xu et al. (2019), data pertaining to a depth interval of 0–100 cm (n = 30) retrieved from Song et al. (2016). Moreover, additional data for 0–3 m and 0–2 m depth intervals (n = 113) were retrieved from Ding et al. (2016).

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Table 1 Summary of soil organic carbon datasets used in this study

Number of	Depth interval	Period	Method	Source	
samples					
135	0–100 cm	2001-2005	Walkley-Black method	Yang et al., 2010	
30	Genetic horizon	2012-2013	Walkley-Black method	Song et al., 2016	
93	0–100 cm	2004–2014	Walkley-Black method	Xu et al., 2019	
113	0–200 cm and 0–300 cm	2013-2014	Walkley-Black method	Ding et al., 2016	
200	0–200 cm	2009–2013	Walkley-Black method	Field-investigated	

Combined with the available published data and field investigated data (Table 1), the 458 soil pits (depth of 0–1 m) and 114 soil cores (depth of 0–3 m) can represent

the ecosystem types and characters in large areas of the Third pole (Table 2).

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 Table 2 Number of soil sample points of different ecosystems in the Third pole region

Ecosystem types	Forest	Shrub	Grassland	Desert	Cropland
Number	10	22	371	49	6

# 164 **2.2.2 Environmental Covariates**

The environmental covariates used in this study included a digital elevation model(DEM), remotely sensed data, and spatial interpolation data (Table S1).

A DEM at a spatial resolution of 1–km was downloaded from the International Scientific Data Service Platform (http://datamirror.csdb.cn). Using the DEM data and SAGA GIS software, we calculated 14 terrain attributes: elevation (H), slope (S), aspect (A), plan curvature (PlanC), profile curvature (ProC), topographic wetness index (TWI), total catchment area (TCA), relative slope position (RSP), slope length and steepness factor (LS), convergence index (CI), channel network base level (CNB), channel network distance (CND), valley depth (VD), and closed depressions (CD). Mean annual air temperature (MAT) and mean annual precipitation (MAP) data were downloaded from WorldClim version 2.1 (https://www.worldclim.org). These datasets were generated by organizing, calculating, and spatially interpolating observed data from global meteorological stations for the period 1970–2000.

Normalized difference vegetation index (NDVI) data were obtained from the United States Geological Survey (USGS) (http://modis.gsfc.nasa.gov/). The datasets underwent atmospheric, radiometric, and geometric correction, with a spatial resolution of 1–km for every 1–month interval over the period 2000–2015. The NDVI product was calculated using the maximum value composite (MVC) method, which can minimize the effects of aerosols and clouds (Stow et al., 2004).

The net primary productivity (NPP) and leaf area index (LAI) data were obtained 184 from the Global Land Surface Satellite (GLASS, V3.1), which is estimated from the 185 MODIS reflectance data using the general regression neural network (GRNN) method 186 (Liang et al., 2013). Data were at a 1-km resolution for 8-day periods between 2000 187 and 2015, and were downloaded from the National Earth System Science Data Center 188 189 of the National Science & Technology Infrastructure of China (http://www.geodata.cn). 190

The soil texture data, including Sand, Silt, and Clay contents, were obtained from the "SoilGrids250m database" (http://www.isric.org). The original 250 m spatial resolution data were resampled to a 1–km resolution based on nearest neighbor interpolation using ArcGIS 10.2 software (ESRI, Redlands, CA, USA).

The land cover data used in this study were collected from the Land Cover Type 195 Climate Modeling Grid (CMG) product (MCD12C1) from 2010 196 197 (https://lpdaac.usgs.gov). The classification schemes in this study were based on the global vegetation classification scheme of the International Geosphere Biosphere 198 Programme (IGBP). We reclassified the land cover types into five major categories: 199 forest, shrub, grassland, cropland, and desert. 200

#### 201 **2.3 Model predictions**

## 202 2.3.1. Geographical modelling and selection of the predictors

203 In this study, three machine learning methods (random forest (RF), gradient

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boosted regression tree (GBRT), and support vector machine (SVM)) were
constructed and validated using the SOCS in the upper 30 cm of soil profiles along
with associated variables (Fig.3).

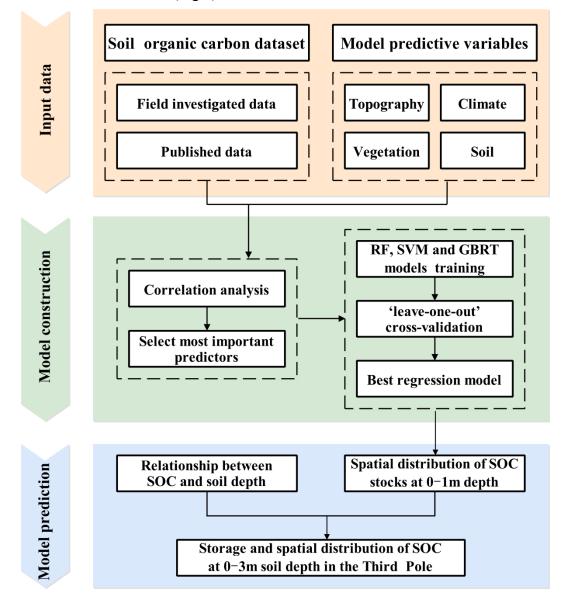


Figure 3. Workflow diagram for predicting SOCS in this study. RF: random forest; SVM: support
 vector machine; GBRT: gradient boosted regression tree.

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With respect to the machine learning methods used, RF is used for classification, regression, and other tasks. It is operated by constructing a large number of decision trees during training, and outputs the class as the classification or regression patterns of single trees (Tin Kam, 1998). The GBRT method is an iterative fitting algorithm composed of multiple regression trees, and combines regression trees with a boosting technique to improve predictive accuracy (Elith et al., 2008). The SVM regression method uses kernel functions to construct an optimal hyperplane, which has a minimal
total deviation (Drake and Guisan, 2006). Combined with the remotely sensed data
and spatial interpolation data, RF, GBRT, and SVM regression were conducted to
predict the SOCS in the Third Pole region. The 'randomForest', 'gbm', and 'e1071'
packages in R were used to perform RF, GBRT, and SVM analyses.

The 15 input variables (H, S, TWI, TCA, RSP, CNB, CND, VD, NDVI, NPP, LAI, MAP, MAT, Sand, and Silt) for the three regression models were selected because they can reflect the effects of topography, climate, vegetation, and soil properties on regional SOCS. Moreover, these variables were significantly associated with the SOCS at a depth interval of 0–30 cm (P < 0.01, Table S2), whereas other environmental factors were eliminated due to their low correlation coefficients.

#### 227 2.3.2 Estimation method of SOCS in deep soils

To generate the spatial distributions of SOCS in deep layers (below a depth of 100 228 cm), we established nonlinear extrapolation models (Fig. 4.a-b; Eqs. (2)-(4)) between 229 the SOCS in the upper 100 cm interval and the SOCS in the upper 200 cm interval 230 231 using the data from the 200 soil pits in grassland (n = 151) and desert ecosystems (n = 151)49, Fig. S1). A third extrapolation model between the SOCS in the upper 200 cm 232 interval and the SOCS in the upper 300 cm interval in grassland ecosystems was 233 established using the data from 114 sites reported by Ding et al. (2016) (Fig 4.c; Eq. 234 (4)). 235

236 
$$\ln SOCS_{G(0-200\,\text{cm})} = 0.9708 \times \ln SOCS_{G(0-100\,\text{cm})} + 0.3128$$
(2)

237 
$$\ln SOCS_{D(0-200\,\text{cm})} = 0.8690 \times \ln SOCS_{D(0-100\,\text{cm})} + 0.7649$$
(3)

238 
$$\ln SOCS_{G(0-300\,\text{cm})} = 0.9521 \times \ln SOCS_{G(0-200\,\text{cm})} + 0.3296$$
(4)

where  $\ln SOCS_{G(0-100\text{ cm})}$ ,  $\ln SOCS_{G(0-200\text{ cm})}$  and  $\ln SOCS_{G(0-300\text{ cm})}$  are the natural logarithms of the SOC stocks (kg·m<sup>-2</sup>) in grassland ecosystems at the depth intervals of 0–100 cm, 0–200 cm, and 0–300 cm, respectively; likewise,  $\ln SOCS_{D(0-100\text{ cm})}$  and  $\ln SOCS_{D(0-200\text{ cm})}$  are the natural logarithms of the SOC stocks (kg·m<sup>-2</sup>) in desert ecosystems at the depth intervals of 0–100 cm and 0–200 cm, respectively.

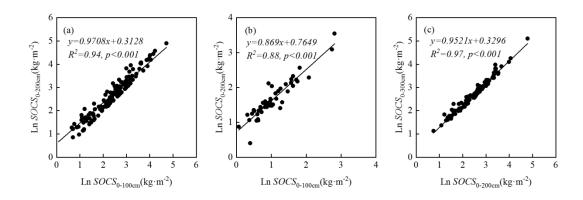


Figure 4. Extrapolation function of the SOCS between soil depth intervals of (a) 0–100 cm and 0–
200 cm in grassland ecosystems, (b) 0–100 cm and 0–200 cm in desert ecosystems, and (c) 0–200
cm and 0–300 cm in grassland ecosystems

It is impossible to build extrapolation models directly to estimate deep SOC storage 248 in forest, shrub, and cropland ecosystems, which lack deep soil pits below 100 cm. 249 Therefore, according to the vertical distribution of the SOCS associated with different 250 land cover types worldwide from Jobbagy and Jackson (2000), the extrapolation 251 models shown in Eqs. (5)-(6) were established indirectly to estimate deep SOC 252 253 storage (below a depth of 100 cm) in areas of these land cover types (Fig. S1). Correspondingly, Eq. (7) was established to estimate the deep SOC storage (below a 254 depth of 200 cm) in desert ecosystems due to a lack of deep soil pits below 200 cm. 255

256 
$$SOCS_{0-200cm} = (1 + \beta_{100-200cm}) \times SOCS_{0-100cm}$$
 (5)

257 
$$SOCS_{0-300\,cm} = (1 + \beta_{100-200\,cm} + \beta_{200-300\,cm}) \times SOCS_{0-100\,cm}$$
(6)

258 
$$SOCS_{0-300cm} = SOCS_{0-200cm} + \beta_{200-300cm} \times SOCS_{0-100cm}$$
(7)

where  $\beta_{100-200\text{cm}}$  and  $\beta_{200-300\text{cm}}$  are proportion of  $SOCS_{100-200\text{cm}}$  and  $SOCS_{200-300\text{cm}}$  in SOCS<sub>0-100cm</sub>, respectively.

# The calculation of the SOC storage (Pg) for a region generally uses Eq. (8):

$$SOC_{storage} = \sum_{i=1}^{n} SOCS_i \times A \times 10^{-12}$$
(8)

where  $SOCS_i$  is the SOCS (kg·m<sup>-2</sup>) at site *i* and *A* is the area (m<sup>2</sup>) of each grid unit.

#### 264 **2.3.3 Model validation**

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To test the predictive effects of the three machine learning methods, "leave–one– out" cross–validation was conducted. We used the  $R^2$  value, the mean error (*ME*, Eq. (9)), and the root mean square error (*RMSE*, Eq. (10)) to evaluate the performance ofthe prediction models.

269 
$$ME = \frac{1}{n} \sum_{i=1}^{n} [D(x_i) - D^*(x_i)]$$
(9)

$$RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^{n} [D(x_i) - D^*(x_i)]^2}$$
(10)

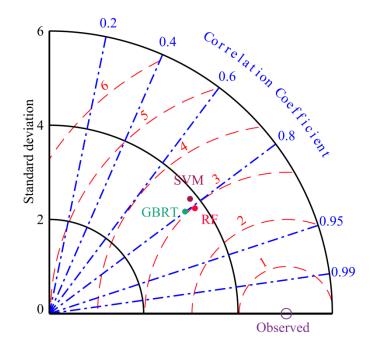
where  $D(x_i)$  is the measured SOCS,  $D^*(x_i)$  is the predicted SOCS, and *n* is the number of validation sites.

273 **3 Results** 

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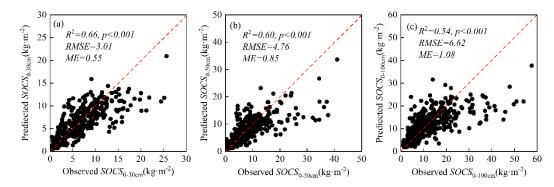
# 274 **3.1 Performance of machine learning methods**

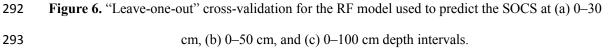
The results of the "leave-one-out" cross-validation showed that the RF model 275 exhibited a Pearson's correlation coefficient of 0.81, which was higher than that of the 276 GBRT model (0.79) and SVM model (0.77). In addition, the RMSE of the RF model 277  $(3.01 \text{ kg} \cdot \text{m}^{-2})$  was lower than that of the GBRT model  $(3.11 \text{ kg} \cdot \text{m}^{-2})$  and SVM model 278  $(3.21 \text{ kg} \cdot \text{m}^{-2})$  for the upper 30 cm of the soil profile (Fig. 5). These results suggest 279 that the RF model provides a better tool for predicting the spatial distribution of 280 281 SOCS in the Third Pole region. Moreover, in order to further discuss the simulation accuracy of the RF model in this study, "leave-one-out" cross-validations were 282 conducted for depth intervals of 0–50 cm and 0–100 cm. The results revealed high  $R^2$ 283 as well as low RMSE and ME values (Fig. 6). 284



#### 285

Figure 5. A Taylor diagram used to evaluate the model performance of random forest (RF),
 support vector machine (SVM), and gradient boosting regression tree (GBRT) models, which
 were used to predict the SOCS in the upper 30 cm of soil profiles across the Third Pole. The
 contour centered on the observed indicates the root–mean–square error (*RMSE*, kg·m<sup>-2</sup>) between
 the predicted value and observed value.





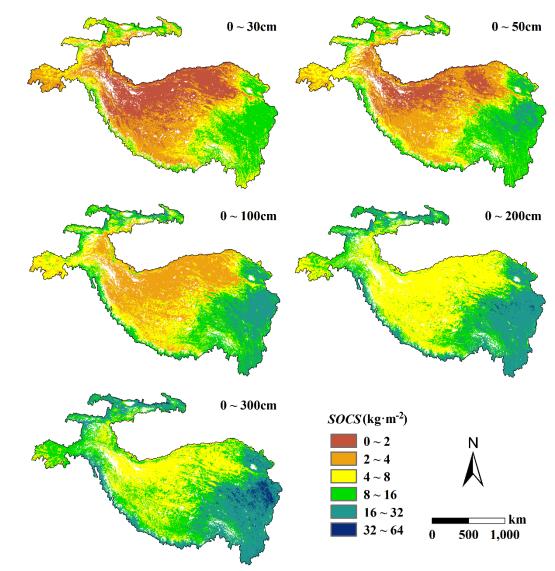
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# 295 **3.2 Storage and spatial distribution of soil organic carbon**

Figure 7 shows a large spatial variability of the SOCS across the Third Pole region, whereby an overall decreasing trend can be observed from the southeast towards the northwest. The wetland area in the eastern region of the Third Pole (Ruoergai) had the highest predicted SOCS for a depth interval of 0–300 cm (> 32 kg·m<sup>-2</sup>), whereas the northern region (Qiangtang Plateau and Qaidam Basin) had the lowest SOCS (< 8

kg·m<sup>-2</sup>). The estimated mean SOCS for the entire Third Pole region at depth intervals 301 of 0-30 cm, 0-50 cm, 1-100 cm, 0-200 cm, and 0-300 cm was 4.84 kg·m<sup>-2</sup>, 6.45 302 kg·m<sup>-2</sup>, 8.51 kg·m<sup>-2</sup>, 11.57 kg·m<sup>-2</sup>, and 14.17 kg·m<sup>-2</sup>, respectively. Correspondingly, 303 the total estimated SOC storage was 15.79 Pg, 21.04 Pg, 27.75 Pg, 37.71 Pg, and 304 46.18 Pg at 0-30 cm, 0-50 cm, 0-100 cm, 0-200 cm, and 0-300 cm, respectively 305 (Table 3). In addition, the SOCS decreased with increasing soil depth across the Third 306 Pole region, with 34.26% of the total SOC storage for a depth interval of 0-300 cm 307 being contained in the uppermost 30 cm, and only 17.89% in the 200-300 cm depth 308 interval. 309





**Figure 7.** Spatial distribution of SOCS at different depth intervals over the Third Pole.

Compared with the area of seasonally frozen ground, the mean SOCS and total

SOC storage in the permafrost region were lower in each soil layer. The estimated amount of SOC stored at a depth interval of 0–300 cm in the permafrost and seasonal frozen ground zone were 21.69 Pg and 24.49 Pg, respectively, which accounted for 46.97% and 53.03% of the total SOC pools, respectively.

**Table 3** Summary of the estimated mean SOC stocks and storages in permafrost and seasonally

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frozen ground of the Third Pole

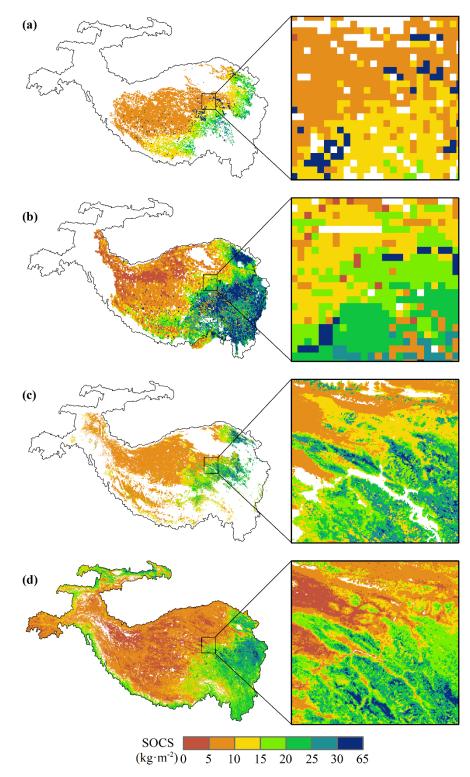
Depth – (cm)	SOC stock $(kg \cdot m^{-2})$			SOC storage (Pg)			
	Permafrost	Seasonally frozen	Third	Permafrost	Seasonally frozen	Third	
		ground	Pole		ground	Pole	
0–30	4.13	5.56	4.84	7.61	8.63	15.79	
0–50	5.72	7.16	6.45	10.53	11.12	21.04	
0–100	7.28	9.70	8.51	13.41	15.06	27.75	
0–200	10.25	12.88	11.57	18.88	19.99	37.71	
0–300	12.52	15.40	14.17	21.69	24.49	46.18	

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# 320 4 Discussion

In this study, we provided the new version of 1-km resolution maps of SOCS 321 across the Third Pole at 0-300cm depth intervals, and largely makes up for the 322 deficiencies of previous studies (Ding et al., 2016; Ding et al., 2019; Wang et al., 323 2020). On the one hand, our predictions have higher resolution than those studies. 324 Take an example and focus on a  $4.5 \times 10^4$  km<sup>2</sup> local area situated in the Budongguan 325 area of Qinghai province, China (Fig. 8). It can be seen from the excerpts of the map 326 that our prediction is much more detailed than previous studies. Thus, our predictions 327 better represented spatial variation of the SOCS across the Third pole region, 328 especially for those regions with large heterogeneity. On the other hand, these reports 329 most focused on the permafrost regions rather than the whole Third Pole (Ding et al., 330 2016; Wang et al., 2020). To date, few studies have investigated the SOC storage and 331 spatial patterns in areas of seasonally frozen ground in the Third Pole region. In this 332 study, we created high spatial resolution data of SOCS distribution in the whole Third 333

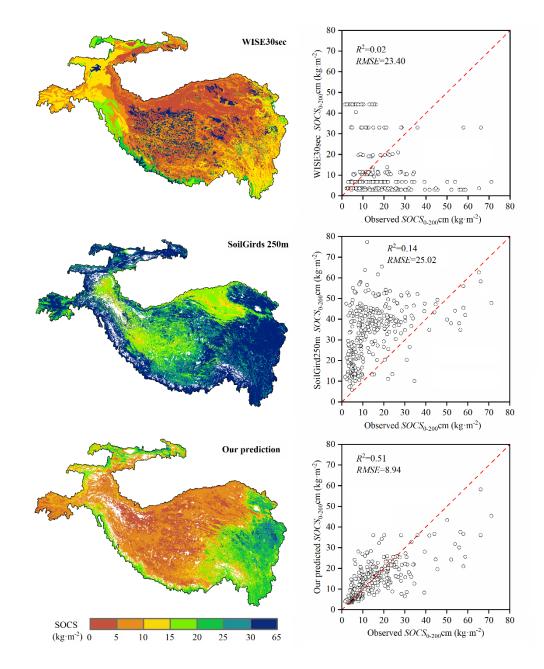
Pole by compiling all the field data and using machine learning methods, thusproviding more accurate data than previous studies.



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Figure 8. Comparison of spatial details of the predictions with the previous studies: SOCS at 0–
300 cm depth in the map excerpt of Budongquan area of Qinghai province, China. (a) Ding et al.,
2016; (b) Ding et al., 2019; (c) Wang et al., 2020; (d) This study.

In addition, our predictions were much more accurate than the existing global SOC 340 datasets. Figure 9 shows accuracy assessments of our predictions, the SoilGrids250m 341 from Hengl et al., (2017) and the WISE30sec SOCS data from Batjes., (2016) at 0-342 2m depth intervals based on the 213 SOC stocks data from Ding et al., (2016) and 343 field investigations. We found that our prediction had a higher  $R^2$  value and lower 344 RMSE value than SoilGrids250m and WISE30sec. The lowest accuracy was found for 345 the WISE30sec maps, showing the advantage of digital soil mapping based on 346 347 machine learning over conventional mapping method based on the vegetation/soil units (Liu et al., 2020). The remarkably lower accuracy of SoilGrids250m than our 348 predictions mainly because of serious over-estimation of bulk density, and neglected 349 the influence of coarse gravel content (Hengl et al., 2017). Soil profile data used in 350 SoilGrids250m at the Third Pole region are mainly from second China's national soil 351 survey, which lacked accurate information on coarse gravel content and bulk density 352 (Shi et al., 2016). In addition, almost all of these soil profiles are within 1-m depth, 353 which could be a great instability in calculating the deeper SOC by SoilGrids250m. 354 355 Moreover, the global model building could be less accurate than the regional model building when focusing on a regional extent (Vitharana et al., 2019; Liu et al., 2020). 356 Consequently, our predictions were much more accurate than the existing maps of 357 SOCS. 358



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Figure 9. Comparison of the SOCS prediction with the WISE30sec from Batjes., (2016) and the
SoilGrids250m from Hengl et al., (2017) at 0–200 cm depth intervals based on the 213 SOCS data
from Ding et al., (2016) and field investigations.

Our study provides new and more accurate data on SOC storage and spatial patterns for a depth interval of 0-3 m at a 1-km resolution over the Third Pole region, thus providing basic data for future studies pertaining to Earth system modeling. We note that a lack of deep soil pits in forest, shrub, and cropland ecosystems (Fig. S2) means some uncertainties in the estimation of deep SOC pools remain; however, the collective area of these ecosystems accounts for < 6% of the total area of the Third

Pole region and may have a relatively small influence on total SOC pools (Fig. S1). 369 Regardless, there is a need for large-scale soil surveys that include these areas in order 370 to obtain more accurate information on the SOC storage and distribution in the Third 371 Pole region. Furthermore, regional SOC pools are affected by many other factors, 372 such as soil moisture (Wu et al., 2016) and grazing activities (Zhou et al., 2017), 373 which were not considered in our study due to lack of high-resolution data with a high 374 accuracy. Future work should consider the influence of these factors on SOC at a 375 376 regional scale to obtain more accurate datasets.

#### 377 **5.** Data availability

The datasets of SOC stocks distribution in GeoTiff format are available at https://doi.org/10.5281/zenodo.4293454 (Wang et al., 2020). The file name is "TP– SOC–d.tif", where d represents soil depth, for example, "TP–SOC–30.tif" represents the spatial distribution of SOC stocks in the Third Pole regions of the upper 30 cm depth interval.

## 383 6. Conclusions

384 This study simulated the spatial pattern of the SOCS over the Third Pole region, and systematically estimated the SOC storage (46.18 Pg) at a depth interval of 0-3 m 385 for the first time. Our results demonstrated that combining multi-environmental 386 factors with machine learning techniques (RF, SVM, and GBRT) can offer an 387 effective and powerful modeling approach for mapping the spatial patterns of SOC. 388 Furthermore, this study provided datasets of SOCS and SOC storage for permafrost 389 and seasonally frozen ground at different soil depths (0-30 cm, 0-50 cm, 0-100 cm, 390 0-200 cm, and 0-300 cm) across the Third Pole region. These datasets can be used to 391 392 modify existing Earth system models and improve prediction accuracy, and also serve as a reference for policymakers to formulate more effective carbon budget 393 management strategies. 394

#### 395 Author contributions

The study was completed with cooperation between all authors. Tonghua Wu and Xiaodong Wu conceived the idea of mapping the spatial distribution of the SOC

- across the Third Pole regions. Dong Wang conducted the data analyses and wrote the
- 399 paper. All authors discussed the simulation results and helped revise the paper.

# 400 **Competing interests**

401 The authors declare that they have no conflict of interest.

#### 402 Acknowledgements

- 403 This work was financially supported by the State Key Laboratory of Cryospheric
- 404 Science (SKLCS–ZZ–2020), the National Natural Science Foundations of China
- 405 (41690142, 41721091, 41771076, 41961144021, 41671070), and the CAS "Light of
- 406 West China" Program.

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