# Soil organic carbon distribution for 0-3 m soils at 1 km<sup>2</sup> scale of the frozen ground in the Third Pole Regions

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Abstract: Soil organic carbon (SOC) is very important in the vulnerable ecological 23 environment of the Third Pole; however, data regarding the spatial distribution of SOC 24 are still scarce and uncertain. Based on multiple environmental variables and soil profile 25 data from 458 pits (depth of 0–1 m) and 114 cores (depth of 0–3 m), this study uses a 26 machine-learning approach to evaluate the SOC storage and spatial distribution at a 27 depth interval of 0-3 m in the frozen ground area of the Third Pole region. Our results 28 showed that SOC stocks (SOCS) exhibited a decreasing spatial pattern from the 29 30 southeast towards the northwest. The estimated SOC storage in the upper 3 m of the soil profile was 46.18 Pg for an area of  $3.27 \times 10^6$  km<sup>2</sup>, which included 21.69 Pg and 31 24.49 Pg for areas of permafrost and seasonally frozen ground, respectively. Our results 32 provide information on the storage and patterns of SOCS at a 1 km<sup>2</sup> scale for areas of 33 frozen ground in the Third Pole region, thus providing a scientific basis for future 34 studies pertaining to Earth system models. The dataset is open-access and available at 35 https://doi.org/10.5281/zenodo.4293454 (Wang et al., 2020). 36

#### 37 **1 Introduction**

38 Soil is an important part of the global terrestrial ecosystem and represents the largest terrestrial organic carbon pool with the longest turnover time (Amundson, 2001). This 39 is especially true in areas of frozen ground, including permafrost and seasonally frozen 40 ground. In cold environments, soil accumulates substantial organic carbon due to slow 41 decomposition rates and repeated freeze-thaw cycles (Fan et al., 2012; Li et al., 2020). 42 It has been reported that more than half of the world's soil organic carbon (SOC) is 43 stored in permafrost regions (Hugelius et al., 2014; Ping et al., 2015). Even slight 44 changes in the decomposition of the SOC pool in permafrost regions might lead to 45 significant changes in the atmospheric CO<sub>2</sub> concentration, which plays an important 46 role in regulating and stabilizing the carbon balance of global ecosystems (Schuur et 47 al., 2015). Therefore, it is of great significance to accurately estimate the storage and 48 spatial distribution of SOC in regions of frozen ground in order to study the carbon 49 cycle of this ecosystem as well as global change. 50

As the "roof of the world", the Third Pole is the area of frozen ground at the highest

average altitude in the middle and low latitudes of the Northern Hemisphere. The Third 52 Pole is also one of the most sensitive areas with respect to global climate change, and 53 has a warming rate that is approximately twice the global average (Stocker et al., 2013). 54 In the past few decades, permafrost in the Third Pole region has experienced obvious 55 degradation (Mu et al., 2020; Ran et al., 2017; Turetsky et al., 2019; Wu et al., 2012). 56 Permafrost degradation will not only cause serious geological disasters and affect 57 engineering construction in cold areas, but will also accelerate the decomposition of the 58 59 huge SOC pool stored in permafrost (Cheng et al., 2007; Cheng et al., 2019; Ding et al., 2021). Moreover, it will emit a large amount of greenhouse gases into the atmosphere, 60 thus increasing the rate of climate change in the future (Schuur et al., 2015). Therefore, 61 accurate estimates of the SOC storage and spatial distribution in the areas of frozen 62 ground in the Third Pole region have become important for Earth system modeling. 63 Such estimates are widely used to study the carbon cycle of this ecosystem and global 64 change (Koven et al., 2011; Lombardozzi et al., 2016; McGuire et al., 2018). 65

Early studies were mostly based on data from China's national soil survey, and were 66 67 combined with regional vegetation/soil maps to estimate the SOC pool for a certain vegetation type or relatively small area (Wang et al., 2002; Zeng et al., 2004). Up until 68 2008, the Chinese part of the Qinghai-Tibet Plateau (QTP) was taken as an independent 69 geographical unit to estimate the SOC pool in the upper 100 cm of the soil profile (Tian 70 et al., 2008; Wu et al., 2008). However, these studies did not distinguish between 71 regions of permafrost and seasonally frozen ground. In recent years, based on soil 72 profile data and vegetation/soil maps, some studies have estimated the SOC pool in the 73 QTP permafrost region (Mu et al., 2015; Zhao et al., 2018; Jiang et al., 2019). The 74 75 aforementioned studies improved our understanding of SOC storage in the Third Pole region, but estimation results of 0-3m SOC pool have large uncertainties, ranging from 76 17.1 Pg to 40.9 Pg. In addition, the large-scale maps of vegetation and soil types used 77 in these studies were associated with large uncertainties because they were created years 78 79 ago and have a low spatial resolution, thus leading to potentially large errors in the estimated total SOC pools (Mishra et al., 2013; Mu et al., 2020). Recently, considerable 80 progress has been made in digital soil mapping methods. Spatial interpolation, linear 81

regression, and machine learning have been widely used to simulate the spatial distribution of SOC in the permafrost region of the QTP (Ding et al., 2016; Ding et al., 2019; Wang et al., 2020; Yang et al., 2008). These studies have provided new spatial data and improved the prediction accuracy of SOC compared with earlier studies. However, few studies to date have systematically assessed SOC pools across areas of seasonally frozen ground in the Third Pole region, which limits many investigations requiring SOC data for these areas.

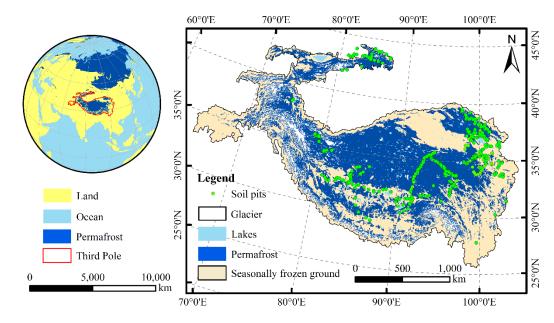
89 To evaluate the size and high-resolution spatial patterns of SOC stocks in the Third Pole region, we carried out a large-scale field-sampling plan that covered representative 90 permafrost zones over the region's bioclimatic gradient, including a large unpopulated 91 area with harsh natural conditions. A total of 200 soil pits were excavated, most of 92 which were deeper than 2 m. In addition, we collected field-measured SOCS data for 93 the Third Pole region from relevant literature published between 2000 and 2016 (Ding 94 et al., 2016; Song et al., 2016; Xu et al., 2019; Yang et al., 2008). By combining high-95 resolution remotely sensed data and interpolated meteorological datasets, we simulated 96 97 the spatial distribution of SOCS in the Third Pole region by three machine learning methods and calculated the SOC storage of specific soil intervals (0-30 cm, 0-50 cm, 98 0-100 cm, 0-200 cm, and 0-300 cm). The results provide basic data for Earth system 99 modeling, and reference methods for studying the spatial distribution of soil elements 100 under complex terrain. 101

102 2 Materials and Methods

### 103 2.1 Study area

The Third Pole is the highest plateau in the world, and is located on the QTP and its 104 surrounding mountains, which include Pamir and Hindu Kush mountain ranges in the 105 106 west, the Hengduan Mountains in the east, the Kunlun and Qilian mountains in the north, and the Himalayas in the south (Yao et al., 2012). In addition, the Third Pole is 107 the largest high-altitude permafrost zone in the Northern Hemisphere, with a total 108 permafrost area of approximately  $1.72 \times 10^6$  km<sup>2</sup>, thus representing ~8% of permafrost 109 regions in the Northern Hemisphere (Obu et al., 2019). The area of seasonally frozen 110 ground covers an area of approximately  $1.55 \times 10^6$  km<sup>2</sup>, which is mainly located in the 111

eastern and southern parts of the Third Pole as well as at lower elevations of basins
(Fig.1). Third Pole is mainly covered by five types of vegetation: forests, shrubs,
grasslands, croplands, and deserts (Hao et al., 2017).





116 Figure 1. Distribution of soil pits in the Third Pole region (the frozen ground map is derived from

117

Obu et al., 2019).

## 118 2.2 Data Processing

#### 119 2.2.1 Soil organic carbon data

The collected SOC data used in this study included field investigated data and available published data for total 371 soil sample (458 samples for the 0–100 cm soil layer, and 113 samples for the 0–300 cm soil layer).

(1) Field measured data: a total of 200 soil pits were excavated between 2009 and
2011; 72 soil pits were excavated manually in 2009, and 128 soil pits were excavated
with hydraulic excavators in 2010 and 2011. Most of the pits were deeper than 2m,
unless rock layers were detected. For each soil profile, we collected soil samples at
depth intervals of 0–10 cm, 10–20 cm, 20–30 cm, 30–50 cm, 50–100, and 100–200 cm
(Fig. 2).

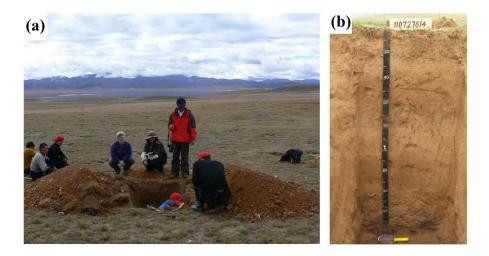


Figure 2. Field work photographs showing (a) soil sample collection, and (b) a soil profile.

The bulk density samples were obtained for each layer using a standard soil sampler 131 (5 cm diameter and 5-cm-high stainless-steel cutting ring), and bulk density was 132 calculated as the ratio of the oven-dry soil mass to the container volume. Soil samples 133 for carbon analysis were air-dried, handpicked to remove plant detritus, and then sieved 134 through a 2mm mesh to calculate the volume percentage of the gravel. The SOC content 135 was determined using the Walkley-black method after soil samples were pretreated by 136 137 air drying, grinding, and screening. The analyses were carried out in triplicate using subsamples, and the mean of three values was used as the SOC content. The SOCS was 138 calculated using Eq. (1): 139

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$$SOCS = \sum_{i=1}^{n} T_i \times BD_i \times SOC_i \times \frac{(1-C_i)}{10}$$
(1)

where  $T_i$ ,  $BD_i$ ,  $SOC_i$ , and  $C_i$  are soil thickness (cm), dried bulk density (g·cm<sup>-3</sup>), SOC content (%) and > 2mm rock fragment content (%) at layer *i*.

143 (2) Available published data: we compiled all available information from the studies on SOC stocks in third pole regions published after 2000. The following 3 criteria are 144 used to screen the data of SOC stocks from the published literature: (1) The SOC data 145 must be field investigated data; (2) Eliminate sample data with missing geographic 146 location information and sampling time; (3) SOC measuring methods were similar as 147 our experimental procedure. Finally, the 4 papers selected encompassed the main 148 ecosystems in Third Pole, namely forest, grassland, desert, cropland, and shrub 149 ecosystems. Specifically, data pertaining to a soil depth interval of 0-30 cm (n = 135) 150

was retrieved from Yang et al. (2010) for the SOC database; data pertaining to a depth interval of 0–100 cm (n = 93) was obtained from Xu et al. (2019), data pertaining to a depth interval of 0–100 cm (n = 30) retrieved from Song et al. (2016). Moreover, additional data for 0–3 m and 0–2 m depth intervals (n = 113) were retrieved from Ding et al. (2016).

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 Table 1 Summary of soil organic carbon datasets used in this study

| Number of | Depth interval        | Depth interval Period |                      | Source             |  |
|-----------|-----------------------|-----------------------|----------------------|--------------------|--|
| samples   |                       |                       |                      |                    |  |
| 135       | 0–100 cm              | 2001–2005             | Walkley-black method | Yang et al., 2010  |  |
| 30        | Genetic horizon       | 2012–2013             | Walkley-black method | Song et al., 2016  |  |
| 93        | 0–100 cm              | 2004–2014             | Walkley-black method | Xu et al., 2019    |  |
| 113       | 0–200 cm and 0–300 cm | 2013–2014             | Walkley-black method | Ding et al., 2016  |  |
| 200       | 0–200 cm              | 2009–2013             | Walkley-black method | Field-investigated |  |

157 Combined with the available published data and field investigated data (Table 1), the 158 458 soil pits (depth of 0-1 m) and 114 soil cores (depth of 0-3 m) can represent the 159 vegetation types and characters in large areas of the Third pole (Table 2).

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Table 2 Number of soil sample points of different vegetation types in Third pole region

| Vegetation types | Forest | Shrub | Grassland | Desert | Cropland |
|------------------|--------|-------|-----------|--------|----------|
| Number           | 10     | 22    | 371       | 49     | 6        |

#### 161 **2.2.2 Environmental Covariates**

162 The environmental covariates used in this study included a digital elevation model163 (DEM), remotely sensed data, and spatial interpolation data (Table S1).

A DEM at a spatial resolution of 1 km × 1 km was downloaded from the International Scientific Data Service Platform (http://datamirror.csdb.cn). Using the DEM data and SAGA GIS software, we calculated 14 terrain attributes: elevation (H), slope (S), aspect (A), plan curvature (PlanC), profile curvature (ProC), topographic wetness index (TWI), total catchment area (TCA), relative slope position (RSP), slope length and steepness factor (LS), convergence index (CI), channel network base level (CNB), channel network distance (CND), valley depth (VD), and closed depressions (CD). Mean annual air temperature (MAT) and mean annual precipitation (MAP) data were downloaded from WorldClim version 2.1 (https://www.worldclim.org). These datasets were generated by organizing, calculating, and spatially interpolating observed data from global meteorological stations for the period 1970–2000.

Normalized difference vegetation index (NDVI) data were obtained from the United States Geological Survey (USGS) (http://modis.gsfc.nasa.gov/). The datasets underwent atmospheric, radiometric, and geometric correction, with a spatial resolution of 1 km  $\times$  1 km for every 1-month interval over the period 2000–2015. The NDVI product was calculated using the maximum value composite (MVC) method, which can minimize the effects of aerosols and clouds (Stow et al., 2004).

The net primary productivity (NPP) and leaf area index (LAI) data were obtained from the Global Land Surface Satellite (GLASS, V3.1), which is estimated from the MODIS reflectance data using the general regression neural network (GRNN) method (Liang et al., 2013). Data were at a 1 km resolution for 8 day periods between 2000 and 2015, and were downloaded from the National Earth System Science Data Center of the National Science & Technology Infrastructure of China (http://www.geodata.cn).

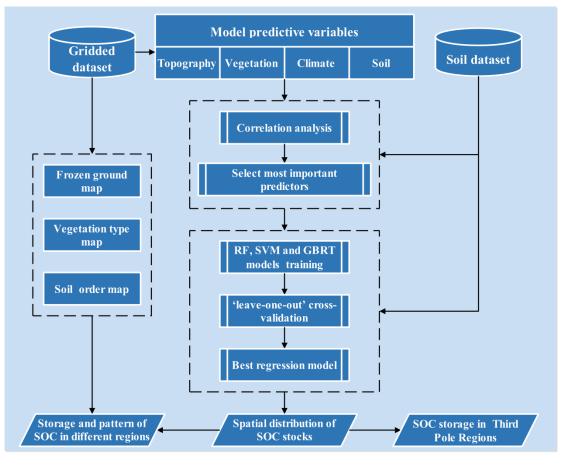
The soil texture data, including sand, silt, and clay contents, were obtained from the "SoilGrids250m database" (http://www.isric.org). The original 250 m spatial resolution data were resampled to a 1 km resolution based on nearest neighbor interpolation using ArcGIS 10.2 software (ESRI, Redlands, CA, USA).

The land cover data used in this study were collected from the Land Cover Type 191 Climate Modeling Grid (CMG) product (MCD12C1) from 2010 192 (https://lpdaac.usgs.gov). The classification schemes in this study were based on the 193 global vegetation classification scheme of the International Geosphere Biosphere 194 Programme (IGBP). We reclassified the land cover types into five major categories: 195 forest, shrub, grassland, cropland, and desert. 196

**197 2.3 Model predictions** 

## 198 2.3.1. Geographical modelling and selection of the predictors

In this study, three machine learning methods (random forest (RF), gradient boosted regression tree (GBRT), and support vector machine (SVM)) were constructed and validated using the SOCS in the upper 30 cm of soil profiles along with associatedvariables (Fig.3).



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Figure 3. Workflow diagram for predicting SOCSs in this study. RF: random forest; SVM:
 support vector machine; GBRT: gradient boosted regression tree.

With respect to the machine learning methods used, RF is used for classification, 206 regression, and other tasks. It is operated by constructing a large number of decision 207 trees during training, and outputs the class as the classification or regression patterns of 208 single trees (Tin Kam, 1998). The GBRT method is an iterative fitting algorithm 209 210 composed of multiple regression trees, and combines regression trees with a boosting technique to improve predictive accuracy (Elith et al., 2008). The SVM regression 211 method uses kernel functions to construct an optimal hyperplane, which has a minimal 212 total deviation (Drake and Guisan, 2006). Combined with the remotely sensed data and 213 214 spatial interpolation data, RF, GBRT, and SVM regression were conducted to predict the SOCS in the Third Pole region. The 'randomForest', 'gbm', and 'e1071' packages 215 in R were used to perform RF, GBRT, and SVM analyses. 216

The 15 input variables (H, S, TWI, TCA, RSP, CNB, CND, VD, NDVI, NPP, LAI, MAP, MAT, sand, and silt) for the three regression models were selected because they can reflect the effects of topography, climate, vegetation, and soil properties on regional SOCS. Moreover, these variables were significantly associated with the SOCS at a depth interval of 0–30 cm (P < 0.01, Table S2), whereas other environmental factors were eliminated due to their low correlation coefficients.

#### 223 2.3.2 Estimation method of SOCS in deep soils

To generate the spatial distributions of SOCS in deep layers (below a depth of 100 cm), we established nonlinear extrapolation models (Fig. 4.a–b; Eqs. (2)–(4)) between the SOCS in the upper 100 cm interval and the SOCS in the upper 200 cm interval using the data from the 200 soil pits in grassland (n = 151) and desert ecosystems (n = 49, Fig. S1). A third extrapolation model between the SOCS in the upper 200 cm interval and the SOCS in the upper 300 cm interval in grassland ecosystems was established using the data from 114 sites reported by Ding et al. (2016) (Fig 4.c; Eq. (4)).

231 
$$\ln SOCS_{0-200 \text{cm}} = 0.9708 \times \ln SOCS_{0-100 \text{cm}} + 0.3128$$
 (2)

232 
$$\ln SOCS_{0-200 \text{cm}} = 0.8690 \times \ln SOCS_{0-100 \text{cm}} + 0.7649$$
 (3)

233 
$$\ln SOCS_{0-300 \text{cm}} = 0.9521 \times \ln SOCS_{0-200 \text{cm}} + 0.3296$$
(4)

where  $\ln SOCS_{0-100 \text{ cm}}$ ,  $\ln SOCS_{0-200 \text{ cm}}$  and  $\ln SOCS_{0-300 \text{ cm}}$  are the natural logarithms of the soil organic carbon stocks (kg·m<sup>-2</sup>) at the depth intervals of 0–100 cm, 0–200 cm, and 0–300 cm, respectively.

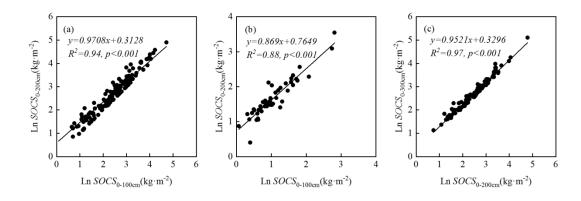




Figure 4. Extrapolation function of the SOCS between soil depth intervals of (a) 0–100 cm and 0–
200 cm in grassland ecosystems, (b) 0–100 cm and 0–200 cm in desert ecosystems, and (c) 0–200

It is impossible to build extrapolation models directly to estimate deep SOC storage 241 in forest, shrub, and cropland ecosystems, which lack deep soil pits below 100 cm. 242 Therefore, according to the vertical distribution of the SOCS associated with different 243 land cover types worldwide from Jobbagy and Jackson (2000), the extrapolation models 244 shown in Eqs. (5)–(6) were established indirectly to estimate deep SOC storage (below 245 a depth of 100 cm) in areas of these land cover types (Fig. S1). Correspondingly, Eq. 246 247 (7) was established to estimate the deep SOC storage (below a depth of 200 cm) in desert ecosystems due to a lack of deep soil pits below 200 cm. 248

249 
$$SOCS_{0-200cm} = (1 + \beta_{100-200cm}) \times SOCS_{0-100cm}$$
(5)

250

240

$$SOCS_{0-300cm} = (1 + \beta_{100-200cm} + \beta_{200-300cm}) \times SOCS_{0-100cm}$$
(6)

251 
$$SOCS_{0-300cm} = SOCS_{0-200cm} + \beta_{200-300cm} \times SOCS_{0-100cm}$$
 (7)

where  $\beta_{100-200\text{cm}}$  and  $\beta_{200-300\text{cm}}$  are proportion of  $SOCS_{100-200\text{cm}}$  and  $SOCS_{200-300\text{cm}}$  in SOCS<sub>0-100cm</sub>, respectively.

The calculation of the SOC storage (Pg) for a region generally uses Eq. (8):

$$SOC_{storage} = \sum_{i=1}^{n} SOCS_i \times A \times 10^{-12}$$
(8)

where  $SOCS_i$  is the SOCS (kg·m<sup>-2</sup>) at site *i* and *A* is the area (m<sup>2</sup>) of each grid unit.

## 257 2.3.3 Model validation

To test the predictive effects of the three machine learning methods, "leave-one-out" cross-validation was conducted. We used the  $R^2$  value, the mean error (*ME*, Eq. (9)), and the root mean square error (*RMSE*, Eq. (10)) to evaluate the performance of the prediction models.

262 
$$ME = \frac{1}{n} \sum_{i=1}^{n} [D(x_i) - D^*(x_i)]$$
(9)

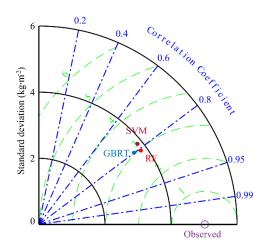
263 
$$RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^{n} [D(x_i) - D^*(x_i)]^2}$$
(10)

where  $D(x_i)$  is the measured SOCS,  $D^*(x_i)$  is the predicted SOCS, and *n* is the number of validation sites.

#### 266 **3 Results**

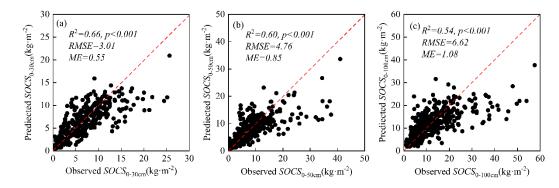
### 267 **3.1 Performance of machine learning methods**

The results of the "leave-one-out" cross-validation showed that the RF model 268 exhibited a Pearson's correlation coefficient of 0.81, which was higher than that of the 269 GBRT model (0.79) and SVM model (0.77). In addition, the RMSE of the RF model 270  $(3.01 \text{ kg} \cdot \text{m}^{-2})$  was lower than that of the GBRT model  $(3.11 \text{ kg} \cdot \text{m}^{-2})$  and SVM model 271  $(3.21 \text{ kg} \cdot \text{m}^{-2})$  for the upper 30 cm of the soil profile (Fig. 5). These results suggest that 272 the RF model provides a better tool for predicting the spatial distribution of SOCS in 273 the Third Pole region. Moreover, in order to further discuss the simulation accuracy of 274 the RF model in this study, "leave-one-out" cross-validations were conducted for depth 275 intervals of 0–50 cm and 0–100 cm. The results revealed high  $R^2$  as well as low *RMSE* 276 and ME values (Fig. 6). 277



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Figure 5. A Taylor diagram used to evaluate the model performance of random forest (RF),
support vector machine (SVM), and gradient boosting regression tree (GBRT) models, which
were used to predict the SOCS in the upper 30 cm of soil profiles across the Third Pole. The
contour centered on the observed indicates the root-mean-square error (*RMSE*, kg·m<sup>-2</sup>) between
the predicted value and observed value.



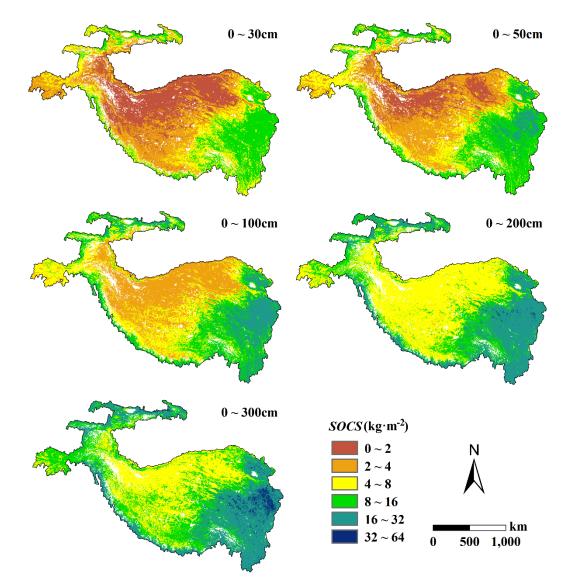


**Figure 6.** "Leave-one-out" cross-validation for the RF model used to predict the SOCS at (a) 0–30

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#### 288 **3.2** Storage and spatial distribution of soil organic carbon

289 Figure 7 shows a large spatial variability of the SOCS across the Third Pole region, whereby an overall decreasing trend can be observed from the southeast towards the 290 northwest. The wetland area in the eastern region of the Third Pole (Ruoergai) had the 291 highest predicted SOCS for a depth interval of 0-300 cm (> 32 kg·m<sup>-2</sup>), whereas the 292 northern region (Qiangtang Plateau and Qaidam Basin) had the lowest SOCS (<8 kg·m<sup>-</sup> 293 <sup>2</sup>). The estimated mean SOCS for the entire Third Pole region at depth intervals of 0-294 30 cm, 0-50 cm, 1-100 cm, 0-200 cm, and 0-300 cm was 4.84 kg·m<sup>-2</sup>, 6.45 kg·m<sup>-2</sup>, 295 8.51 kg·m<sup>-2</sup>, 11.57 kg·m<sup>-2</sup>, and 14.17 kg·m<sup>-2</sup>, respectively. Correspondingly, the total 296 estimated SOC storage was 15.79 Pg, 21.04 Pg, 27.75 Pg, 37.71 Pg, and 46.18 Pg at 0-297 30 cm, 0-50 cm, 0-100 cm, 0-200 cm, and 0-300 cm, respectively (Table 3). In 298 addition, the SOCS decreased with increasing soil depth across the Third Pole region, 299 with 34.26% of the total SOC storage for a depth interval of 0-300 cm being contained 300 in the uppermost 30 cm, and only 17.89% in the 200-300 cm depth interval. 301



**Figure 7.** Spatial distribution of SOCS at different depth intervals over the Third Pole region.

Compared with the area of seasonally frozen ground, the mean SOCS and total SOC storage in the permafrost region were lower in each soil layer. The estimated amount of SOC stored at a depth interval of 0–300 cm in the permafrost and seasonal frozen ground zone were 21.69 Pg and 24.49 Pg, respectively, which accounted for 46.97% and 53.03% of the total SOC pools, respectively.

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- 313

**Table 3** Summary of the estimated mean SOC stocks and storages in permafrost and seasonally

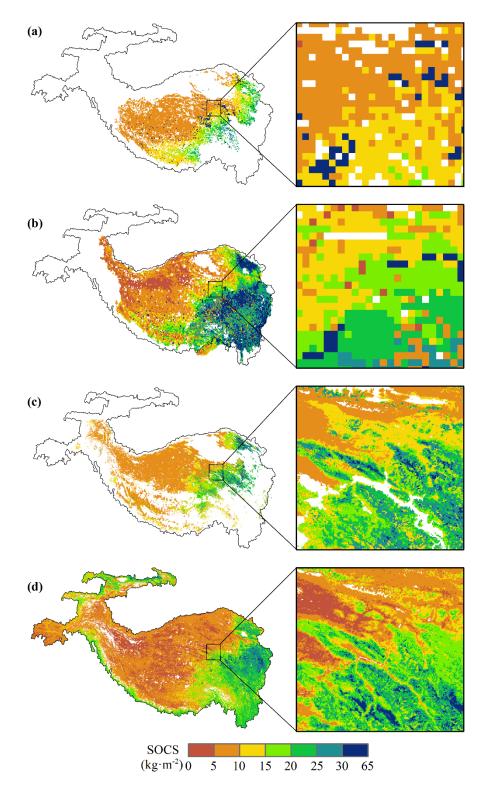
| С | 1 | E |
|---|---|---|
| Э | T |   |

frozen ground of the Third Pole

| Depth (cm) | SOC stock (kg·m <sup>-2</sup> ) |               |       | SOC storage (Pg) |               |       |
|------------|---------------------------------|---------------|-------|------------------|---------------|-------|
|            | Permafrost                      | Seasonally    | Third | Permafrost       | Seasonally    | Third |
|            |                                 | frozen ground | Pole  |                  | frozen ground | Pole  |
| 0–30       | 4.13                            | 5.56          | 4.84  | 7.61             | 8.63          | 15.79 |
| 0–50       | 5.72                            | 7.16          | 6.45  | 10.53            | 11.12         | 21.04 |
| 0–100      | 7.28                            | 9.70          | 8.51  | 13.41            | 15.06         | 27.75 |
| 0–200      | 10.25                           | 12.88         | 11.57 | 18.88            | 19.99         | 37.71 |
| 0–300      | 12.52                           | 15.40         | 14.17 | 21.69            | 24.49         | 46.18 |

#### 317 **4 Discussion**

In this study, we provided the new version of 1-km resolution maps of SOCS across 318 the Third Pole at 0–300cm depth intervals, and largely makes up for the deficiencies of 319 previous studies (Ding et al., 2016; Ding et al., 2019; Wang et al., 2020). On the one 320 321 hand, our predictions have higher resolution than those studies. Take an example and focus on a  $4.5 \times 10^4$  km<sup>2</sup> local area situated in the Budongquan area of Qinghai province, 322 China (Fig. 8). It can be seen from the excerpts of the map that our prediction is much 323 more detailed than previous studies. Thus, our predictions better represented spatial 324 variation of the SOCS across the Third pole region, especially for those regions with 325 large heterogeneity. On the other hand, these reports most focused on the permafrost 326 regions rather than the whole Third Pole (Ding et al., 2016; Wang et al., 2020). To date, 327 few studies have investigated the SOC storage and spatial patterns in areas of seasonally 328 329 frozen ground in the Third Pole region. In this study, we created high spatial resolution data of SOCS distribution in the whole Third Pole by compiling all the field data and 330 using machine learning methods, thus providing more accurate data than previous 331 studies. 332





**Figure 8.** Comparison of spatial details of the predictions with the previous studies: SOCS at 0–

300 cm depth in the map excerpt of Budongquan area of Qinghai province, China. (a) Ding et al.,
2016; (b) Ding et al., 2019; (c) Wang et al., 2020; (d) This study.

In addition, our predictions were much more accurate than the existing global SOCdatasets. Figure 9 shows accuracy assessments of our predictions, the SoilGrids250m

from Hengl et al., (2017) and the WISE30sec SOCS data from Batjes., (2016) at 0-2m 339 depth intervals based on the 213 SOC stocks data from Ding et al., (2016) and field 340 investigations. We found that our prediction had a higher  $R^2$  value and lower *RMSE* 341 value than SoilGrids250m and WISE30sec. The lowest accuracy was found for the 342 WISE30sec maps, showing the advantage of digital soil mapping based on machine 343 learning over conventional mapping method based on the vegetation/soil units (Liu et 344 al., 2020). The remarkably lower accuracy of SoilGrids250m than our predictions 345 mainly because of serious over-estimation of bulk density, and neglected the influence 346 of coarse gravel content (Hengl et al., 2017). Soil profile data used in SoilGrids250m 347 at Third Pole region are mainly from second China's national soil survey, which lacked 348 accurate information on coarse gravel content and bulk density (Shi et al., 2016). In 349 addition, almost all of these soil profiles are within 1-m depth, which could be a great 350 instability in calculating the deeper SOC by SoilGrids250m. Moreover, the global 351 model building could be less accurate than the regional model building when focusing 352 on a regional extent (Vitharana et al., 2019; Liu et al., 2020). Consequently, our 353 354 predictions were much more accurate than the existing maps of SOCS.

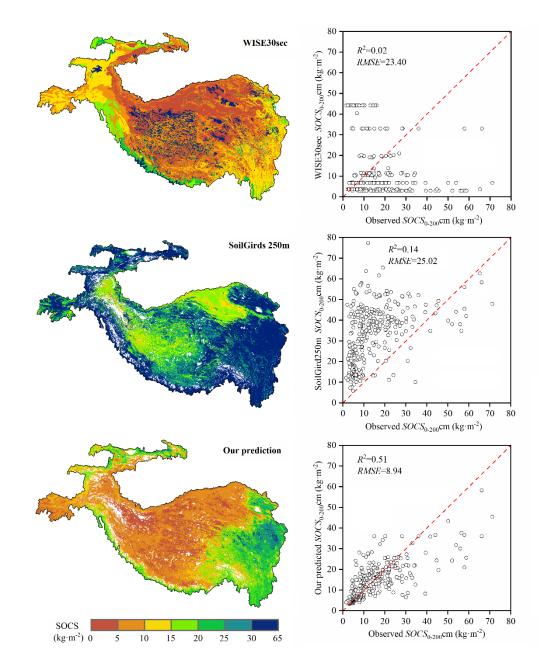


Figure 9. Comparison of the SOCS prediction with the WISE30sec from Batjes., (2016) and the
SoilGrids250m from Hengl et al., (2017) at 0–200 cm depth intervals based on the 213 SOCS data
from Ding et al., (2016) and field investigations.

Our study provides new and more accurate data on SOC storage and spatial patterns for a depth interval of 0-3 m at a 1 km<sup>2</sup> scale over the Third Pole region, thus providing basic data for future studies pertaining to Earth system modeling. We note that a lack of deep soil pits in forest, shrub, and cropland ecosystems (Fig. S2) means some uncertainties in the estimation of deep SOC pools remain; however, the collective area of these ecosystems accounts for < 6% of the total area of the Third Pole region and

may have a relatively small influence on total SOC pools (Fig. S1). Regardless, there 365 is a need for large-scale soil surveys that include these areas in order to obtain more 366 accurate information on the SOC storage and distribution in the Third Pole region. 367 Furthermore, regional SOC pools are affected by many other factors, such as soil 368 moisture (Wu et al., 2016) and grazing activities (Zhou et al., 2017), which were not 369 considered in our study due to lack of high-resolution data with a high accuracy. Future 370 work should consider the influence of these factors on SOC at a regional scale to obtain 371 more accurate datasets. 372

#### 373 **5. Data availability**

The datasets of SOC stocks distribution in GeoTiff format are available at https://doi.org/10.5281/zenodo.4293454 (Wang et al., 2020). The file name is "TP-SOC-d.tif", where d represents soil depth, for example, "TP-SOC-30.tif" represents the spatial distribution of SOC stocks in the Third Pole regions of the upper 30 cm depth interval.

#### 379 **6.** Conclusions

380 This study simulated the spatial pattern of the SOCS over the Third Pole region, and systematically estimated the SOC storage (46.18 Pg) at a depth interval of 0-3 m for 381 the first time. Our results demonstrated that combining multi-environmental factors 382 with machine learning techniques (RF, SVM, and GBRT) can offer an effective and 383 powerful modeling approach for mapping the spatial patterns of SOC. Furthermore, this 384 study provided datasets of SOCS and SOC storage for permafrost and seasonally frozen 385 ground at different soil depths (0-30 cm, 0-50 cm, 0-100 cm, 0-200 cm, and 0-300 386 cm) across the Third Pole region. These datasets can be used to modify existing Earth 387 system models and improve prediction accuracy, and also serve as a reference for 388 policymakers to formulate more effective carbon budget management strategies. 389

## 390 Author contributions

The study was completed with cooperation between all authors. Tonghua Wu and Xiaodong Wu conceived the idea of mapping the spatial distribution of the SOC across the Third Pole regions. Dong Wang conducted the data analyses and wrote the paper. All authors discussed the simulation results and helped revise the paper.

## **395 Competing interests**

396 The authors declare that they have no conflict of interest.

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