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## Recovery of the first ever multi-year lidar dataset of the stratospheric aerosol layer, from Lexington, MA, and Fairbanks, AK, January

## 5 **1964 to July 1965.**

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Abstract. We report the recovery and processing methodology of the first ever multi-year lidar dataset of the stratospheric aerosol layer. A Q-switched Ruby lidar measured 66 vertical profiles of 694nm attenuated backscatter at Lexington,

- 20 Massachusetts between January 1964 and August 1965, with an additional 9 profile measurements conducted from College, Alaska during July and August 1964. We describe the processing of the recovered lidar backscattering ratio profiles to produce mid-visible (532nm) stratospheric aerosol extinction profiles (sAEP<sub>532</sub>) and stratospheric aerosol optical depth (sAOD<sub>532</sub>) measurements, utilizing a number of contemporary measurements of several different atmospheric variables. Stratospheric soundings of temperature, and pressure generate an accurate local molecular backscattering profile, with nearby
- 25 ozone soundings determining the ozone absorption, those profiles thenwhich are used to correct for two-way ozone transmittance. Two-way aerosol transmittance corrections wereare also applied based on nearby observations of total aerosol optical depth (across the troposphere and stratosphere) from sun photometer measurements. We show the that accounting for these two-way transmittance correction has substantial effects on the retrieved sAEP<sub>532</sub> and sAOD<sub>5325</sub>, calculated without the corrections resulting in substantially lower values of both variables, as it was not applied increases the magnitude of the
- 30 1964/5 stratospheric aerosol layer's optical thickness in the Northern Hemisphere mid-latitudes, then ~50% larger than represented in the original processing producing CMIP6 volcanic forcing dataset. Compared to the lidar scattering ratio profiles we rescued. The uncorrected dataset, the combined transmittance corrections causes the aerosol extinction to increases the sAOD<sub>532</sub> by 67up to 66 % for Lexington and up to 27 % for Fairbanks, for sAOD<sub>532</sub> the increases 66 % and 26 % respectively. Comparing the magnitudesindividual sAEP<sub>532</sub> adjustments of the aerosol extinction
- 35 and sAODsimilar magnitude. Comparisons with the few contemporary available measurements reported show a better agreement in the case of with the two-way transmittance corrected values. The sAEP and sAOD timeseries at Lexington show a surprisingly large degree of variability, three periods where Within the

stratospheric acrosol layer had suddenly elevated optical thickness, the highest sAOD<sub>532</sub> of 0.07 measured at the end of March 1965. The two other periods of enhanced sAOD<sub>532</sub> are both two-month periods where the lidars show more than 1 night Con formato: Inglés (Estados Unidos) Con formato: Inglés (Estados Unidos) Con formato: Inglés (Estados Unidos)

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where retrieved sAOD<sub>532</sub> exceeded 0.05: in January and February-1964 and November and to August 1965 measurement timespan, the corrected Lexington sAOD<sub>532</sub> timeseries is substantially above 0.05 in three distinct periods: October 1964, March 1965 and May-June 1965, whereas the 6 nights the lidar measured in December 1964. Interactive and January 1965 had sAOD at most ~0.03. Comparison with interactive stratospheric aerosol model simulations of the 1963-Agung aerosol cloud illustrateshows that, although substantial variation -in mid-latitude sAOD<sub>532</sub> isare expected from the seasonal cycle in the Brewer-Dobson stratospheric circulation, the Agung eloudcloud's dispersion willfrom the tropics would have eaused

- much slower increase than the more episodic variations observed, with also different timing, elevated optical thickness from Agung occurringbeen at its strongest in winter, and spring-weakest in summer. The abruptness and timing of the steadily increasing trend in sAOD from January to July 1965-, also considering the large variability, suggests this variation wasthat the observed variations are from a different source than Agung, possibly from one or both of the two VEI3 eruptions that
- 50 occurred in 1964/65: Trident, Alaska and Vestmannaeyjar, Heimey, south of Iceland. A detailed error analysis of the uncertainties in each of the variables involved in the processing chain was conducted, relative. Relative errors offor the uncorrected sAEP<sub>532</sub> were 54 % for Fairbanks and 44 % Lexington for. For the uncorrected sAEP<sub>532</sub> corrected sAEP<sub>532</sub> ofthe errors were 61 % and 64 % respectively. The analysis of the uncertainties, identified variables that, with additional data recovery and reprocessing could reduce these relative error levels. Data described in this work are available at https://doi.pangaea.de/10.1594/PANGAEA.922105 (Dataset in Review) (Antuña-Marrero et al., 2020a).

#### 1. Introduction:

- The abrupt enhancements to the stratospheric aerosol layer from historical large magnitude volcanic eruptions (e.g. Deshler, 2008) cause substantial radiative foreings toforcing of the Earth's climate system, and reducing. Reducing their uncertainty remains an important priority for international scientific research, since volcanic forcings beingcan be the strongest driver of natural climate variability (e.g. Hansen, 1978; Robock, 2000). One of the <u>co-ordinated\_coordinated</u> multi-model experiments within the current international ISA-MIP activity (Interactive Stratospheric Aerosol Model Intercomparison Project, Timmreck et al., 2018), involves simulations of the volcanic aerosol clouds from the largest volcanic eruptions in the last century, Mt. Agung in 1963, El Chichón in 1982 and Mt. Pinatubo in 1991. The<u>One of the</u> main motivation formotivations
- within this HErSEA multi-model experiment (Historical Eruption SO<sub>2</sub> Emission Assessment) is to gather stratospheric aerosol observations in the periods after major tropical eruptions to provide new contraints to evaluate the model simulations; and. Another is to seek to understand whether the current diversity in the sulphursulfur emission amount and altitude distribution interactivethat stratospheric aerosol models use when simulating the Pinatubo aerosol cloud is also seen for other
   major tropical eruptions such as Agung (see section 3.3.2 of Timmreck et al., 20082018). The first of the ISA-MIP modelling groups to present results from all three of the HErSEA eruption cloud experiments was recently published (Dhomse et al.,
- 2020), with another). Another recent study focusingfocused on assessing the variability and global distribution of the Agung aerosol cloud (Niemeier et al., 2019).
- Whereas the models participating in ISA-MIP simulate volcanic aerosol clouds interactively, the historical climate model
  simulations that provide the main basis for attributing past climate variability (Hegerl and Schwierz, 2011; Gillett et al., 2016)), use prescribed volcanic forcing datasets (e.g. Sato et al., 1993; Ammann et al., 2003; Luo, 2016; Thomason et al., 2018), reference aerosol optical properties used to enact volcanic surface cooling.). The observational data constraining the Agung aerosol cloud in both the interactive models and for the volcanic forcing datasets has hitherto tended to be based on column optical properties measured at the surface. These are primarily the extensive synthesis of surface radiation observations summarized by Dyer and Hicks (1968), with additional turbidity anomaly data from astronomical measurements of the atmospheric attenuation of starlight (Stothers, 2001). Although the literature includes several papers reporting profile

measurements of the Agung aerosol cloud from balloon measurements (Rosen, 1964; 1968), lidars (Fiocco and Grams, 1964;

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Clemesha et al., 1966) and searchlights (Elterman and Campbell, 1964), no profile dataset of Agung backscatter ratio or aerosol extinction has yet been available to the scientific community. Whereas the Jamaica lidar (Clemesha et al., 1966) also measured the Agung cloud, the first multi-year dataset of lidar measurements of the volcanic aerosol from thatAgung eruption waswere conducted from Lexington, Massachusetts from January 1964 to August 1965 (Grams and Fiocco, 1967, hereinafter **GF-67**). No digital record of these lidar measurements existed until now, the data apparently only presented in Figures of the lidar backscattering ratio profiles within published scientific papers, providing only. Only a few quantitative information about the altitude of the Agung aerosol cloud. Although the descent in the peak of the backscatter ratio profile from Lexington is analysed within GF-67, only limited estimates of the cloud's optical properties from the lidar dataset have been found; aerosol extinction exist. (of 2 x 10<sup>-3</sup> km<sup>-1</sup> at 16 km and the aerosol optical depth of 0.015 (Deirmejian, 1971) were been

produced.). We discovered howeverHowever, after initial searchfailed searches of digital archives at several institutions, we discovered

- that the original lidar backscatteringbackscatter ratio profile measurements from the Lexington and Alaska 1964/5 soundings are fully tabulated in Gerald W. Grams PhD thesis conducted under the supervision of Prof. GiogioGiorgio Fiocco (Grams, 1966) hereinafter identified as G-66. Fortunately, at those times it was quite common for some observational datasets to be tabulated within PhD theses or grant reports etc., a practice that after several decades is becoming required again, with many journals now mandating authors to make available the data they use via a recognisedrecognized open-access data archive.
- Dhomse et al. (2020) used preliminarily processed lidar data from Lexington, MA, one of the two sites reported in (GF-67) to compare model aerosol extinction at 16 km with lidar observations, finding good agreement. TheyDhomse et al. (2020) and Niemeier et al. (2019) also noted the large differences between change in the CMIP5 and CMIP6-volcanic aerosol datasetsforcing for the Agung and El Chichón-periods, pointing outwith the volcanic aerosol datasets used in the Coupled Model Intercomparison Projects 5 and 6 (CMIP5, Taylor et al., 2012; and CMIP6, Eyring, et al., 2016; Zanchettin et al., 2016). The importance of reducing this-uncertainty by reconciling the datasets with additional stratospheric aerosol
- 105 observations. There only was also identified within these studies. Only an initial (preliminary) single-level-version of the Lexington 550nm aerosol extinction dataset was used, our\_in Dhomse et al. (2020), with the analysis here completing the processing of the full-producing a vertical profile (dataset between 12 and 24km), with. An important aspect of the dataset here is the two-way transmittance corrections applied to the aerosol backscatter ratio, when deriving the aerosol extinction and optical depth datasets, with also with a detailed and transparent assessment of the relative error in each metricquantity included.

This work is a contribution to the Data Rescue activity of the Stratospheric Sulfur and its Role in Climate (SSiRC) a SPARC initiative (SSiRC, 2020), following a recent). The 1964/65 lidar data recovered here follows on from another important volcanic aerosol dataset recovery, of two ship-borne lidar datasets that measured the progression of the highly uncertain "tropical core" of the Pinatubo aerosol cloud in July 17<sup>th</sup> to September 13<sup>th</sup> 1991, 4-12 weeks after the 15<sup>th</sup> June 1991 Pinatubo

115 eruption (Antuña-Marrero et al., 2020b). Those datasets were <u>an</u> identified priority forwithin the <u>SSIRC</u> data recovery, being in isrescue activity, since they provide new constraints within the period when the Stratospheric Aerosols and Gas Experiment II (SAGE II) satellite could only observe the stratosphericupper part of the <u>Pinatubo</u> aerosol above around 22 kmcloud, due to the extreme opacity of the aerosols (saturation of the aerosol extinction retrieval (e.g. Thomason, 1992; McCormick and Veiga, 1992).

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#### 2. Materials and Methods.

2.1 Lidar instrumentation:

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125 The first successful laser radar ranging experiment was conducted at the Research Laboratory of Electronics, Massachusetts Institute of Technology, at Lexington, Massachusetts, and consisted of analysinganalyzing the return signal from a very high frequency (nanoshort pulse (micro-second) laser and forcovering the 60-140km altitude range (Smullin and Fiocco, 1962). The research team, led by Prof. Giorgio Fiocco, continued developing applications of the lidar for atmospheric research. Scattering layers were detected in the upper atmosphere between 110 and 140 km (Fiocco and Smullin, 1963) and were 130 interpreted to originate from meteoric fragments entering the outer atmosphere (Fiocco and Colombo, 1964). After some changes and improvements, stratospheric aerosols were detected between 10 and 30 km altitude and the first lidar

- measurements of the stratospheric aerosol layer began (Fiocco and Grams, 1964).
  - The schematic diagram and a photo of the instrument are in figures 3 and 4 of G-66 respectively. There are Also listed also are the main features of the lidar instruments/lidars used for the measurements at Lexington and College, Alaska, reported in its table 1, reproduced belowin Table 1. Both instruments lidars used a Q-switched ruby laser, at the 694 nm wavelength.

**Observation Observation** period January-May July-August October October 1964 **Observation** Observation site Lexington College Lexington 0.694 µm 0.694 µm 0.694 µm Transmitted wavelength Pulse length < 1 µs < 1 µs  $< 1 \ \mu s$  $\sim 0.5$  Joule Pulse energy  $\sim 0.5$  Joule  $\sim 2$  Joule Pulse repetition rate  $\sim 0.1 \, \text{s}^{-1}$  $\sim 0.1 \, \text{s}^{-1}$  $\sim 0.5 \, \text{s}^{-1}$ Transmitted beam width < 1 mrad< 1 mrad< 1 mrad~ 75% ~ 75% ~ 75% Transmitter efficiency (estimated) Aperture of receiving telescope 40 cm 30 cm 40 cm ~ 30% ~ 30% ~ 30% **Receiver efficiency (estimated)** Quantum efficiency of photodetector ~ 5% ~ 5% ~ 5% Bandwidth of receiver filter 20 Å 3 Å 6 Å

Tabla con formato

Table 1: Technical features of the lidar instrumentslidars operated at Lexington and College, Fairbanks. An additional set of relevant features of A problem with these early Ruby lasers was the instrument follows. The fluorescent emission afterwhich followed the laser pulse-has been emitted, was prevented incorporating. These lidars incorporated a small-rotating shutter intoin the transmitting unit, synchronized with the Q-switching-device. The sensing unit for the backscattered signal consisted inof an astronomical telescope, with an interference filter and a-photomultiplier tube synchronized to another rotating shutter, to avoid its expositionexposure to the intense returns from short distances. The

photomultiplier was cooled with methanol and dry ice, to reduce the levels of its dark current (G-66).

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#### 2.2 Lidar measurements:

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Lidar observations were conducted at Lexington, Massachusetts (42° 25'N, 71° 15'W) and also at College, (64° 53'N, 148°3'W) located in the city of Fairbanks, Alaska, hereinafter identified as Fairbanks. The measurements were supported by the NASA Grant NGR-22-009-131. One of the semi-annual reports mentionmentions more than 100 measurements conducted (Fiocco, 1966a). However the amountnumber of total profiles appearing in Grams PhD dissertation was 75. Nine days of measurements from July 26 to August 21, 1964, were conducted in Fairbanks. At Lexington, Massachusetts, 23 days of measurements from January 14 to May 20, 1964, and 43 days from October 11, 1964 to July 21, 1965 were made. At both sites, measurements were conducted at nightrestricted to avoiddark nighttime conditions. A single laser shot was registered by photographing the contribution of daylightreturn signal on an oscilloscope covering up to the40 km, and then digitized by hand. The digitized return signals registered by the photomultipliers. from a set of laser shots were then averaged in 1 km

155 bins (G-66; GF-67). The lidar signal returns at both sites were registered photographically from oscilloseopes covering up to 40 km and then digitized. Then the digitized lidar return signals from a set of daily laser shots were averaged in 1 km bins (G-66; GF-67).

2.3 Backscattering ratios in the original lidar dataset:

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It is well known that solving the <u>lidar</u> equation for the single-\_wavelength elastic lidar is an ill-posed problem. The <u>single</u> returned signal, is the result of <u>two main species, scatter from both</u> molecules and <u>aerosol</u> particles, <u>making it necessary to the</u> <u>use ofhence</u> additional information to estimate the solution (eg.is necessary to separate their contributions (e.g., Kovalev, 2015). That is the reason why still today processing single wavelength lidar profiles remains a challenge. Considering this fact, we may understand the magnitude of the challenge confronted by Prof. <u>GirogioGiorgio</u> Fiocco and then BSc Gerald W.

165 fact, we may understand the magnitude of the challenge confronted by Prof. GirogioGiorgio Fiocco and then BSc Gerald W. Grams, Prof. Fiocco's PhD student, when they conducted the processing of the first ever set of lidar returned signals from stratospheric aerosols.

We now First, we describe the procedure applied in G-66 to derive <u>SRo(the backscattering ratio (SR(694, z)-))</u>. The average photoelectron flux registered by the photomultiplier, which is proportional to the backscattered signal, that was represented by the expressionEq. (3.8) in <u>G66</u>;

 $\frac{\mathrm{d}n(z)}{\mathrm{dt}} = \mathrm{K} \frac{\mathrm{N}_{\mathrm{A}}(z)}{z^2} \frac{\sigma_{\mathrm{T}}(z)}{z^2}$ 

(1)

Where z is the altitude, n<sub>z</sub>n(z) is the number of photons at the altitude z, N<sub>A</sub> is the molecular number densityo<sub>1</sub>(z) the total radar cross-section per unit volume of atmospheric constituents at altitude z, obtained from the US 1962 Standard Atmosphere. K is a constant resulting from all the terms not depending on the altitude in the optical radar equation, including T<sup>2</sup><sub>2w</sub>, the two-way atmospheric transmittance (see G-66 for more details). The assumption of a constant value for T<sup>2</sup><sub>2w</sub> in the stratosphere was based on the atmospheric attenuation model proposed by Elterman (1964). The model provided magnitudes of the molecular and aerosol scattering, and the ozone absorption, showing that almost all attenuation of the laser beanbeam occurs in the troposphere. The model allowedgave an estimate at 700 nm of the variability of the term T<sup>2</sup><sub>2w</sub>, at 700 nm in the stratosphere, between 10 and 30 km which was below 3%. The correction of the returned signal, associated with the two-way transmittance of the laser beam throughout the atmosphere, was then neglected and it was assumed that the atmospheric aerosol loading. For enhanced stratospheric aerosol, e.g. after volcanic eruptions, however, aerosol extinction

- becomes important, reduces the stratospheric transmission, and makes it range dependent.

   The returned signal from a set of laser shots was averaged in time and in altitude to a resolution of 1 km between 12 and 30

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   km. Next, the ratios between the averaged signal at each level and the values at the same level of the right side of the equation

   (1) were calculated for each profile between 12 and 30 km. A final step for each profile consisted in normalizing the ratios calculated in each profile between 12 and 24 km. To that end, for each profile, with the average valueratios between 25 and 30 km ofproducing the ratios calculated inderived SR(694, z) under the former step were determined. Then for each profile
- the ratios in the altitude range 12 and 24 km were divided by the average value of the ratios between 25 and 30 km from the same profile. The resulting values were considered to be the backscattering ratio (SR<sub>e</sub>(λ, z)): the ratio between the total (aerosols + molecules) backscattering divided by the molecular backscattering assumptions already cited. The normalization procedure assigned the backscattering ratio to be equal to one above 25 km, after assumptions already cited the contribution from aerosols was negligible eompared to the molecular at those levels. This assumption would leadabove 24 km, leading to an under-estimate of stratospheric aerosol since there would have been aerosol at these altitudes (Russell, et al., 1979).
- 195 The SR<sub>6</sub>(<u>+SR(694</u>, z) derived from the lidar measurements conducted at Lexington and Fairbanks were reported in tabular format in the Gerald W. Grams PhD Thesis (G-66), and cited in the acknowledgements section of GF-67. It was the unique reference of its existence, the clue that guided us in our search for the lidar measurements.

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#### 2.4 Algorithm and complementary datasets Algorithms used in the processing:

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Far beyond the mere rescue and deposit on public repositories of datasets, mainly from stratospheric aerosols from past volcanic eruptions from the 60's to the present, the The SSiRC Data Rescue Activity is committed, whenever it will possible, to re-calibrate each dataset and determine its levels of uncertainties (SSiRC, 2020). Because some stratospheric aerosol lidar datasets have already been identified and located, we consider that its recalibration or reprocessing should be conducted endeavor to reprocess them using a standardized algorithm, to guarantee the best possible consistence consistency among the different lidar datasets. To contribute to this task\_Below, we describe below the processing algorithm we used as a first step in that direction.

The lidar backscattering ratio (SR(λ, z)) is <u>commonly</u> defined as the ratio between the total backscatter (β<sub>T</sub>(λ, z)) and the molecular backscatter β<sub>m</sub>(λ, z), at the altitude z and wavelength λ. β<sub>T</sub>(λ, z) is the sum of β<sub>m</sub>(λ, z) and the aerosol attenuated
 backscatter (β<sup>A</sup><sub>a</sub>(λ, z)). That definition is <u>associatedrelated</u> to the fact that in the retrieval of SR<sub>o</sub>(z) the two-way total transmittance(T<sup>2</sup><sub>T</sub>) correction was neglected (HostelerHostetler et al., 2006):

$$SR(\lambda, z) = \frac{\left\{\frac{\beta_{\rm m}(\lambda, z) + \beta_{\rm m}^{\rm A}(\lambda, z)}{\beta_{\rm m}(\lambda, z)}\right\}}{\beta_{\rm m}(\lambda, z)} \frac{\beta_{\rm m}(\lambda, z) + \beta_{\rm m}^{\rm A}(\lambda, z)}{\beta_{\rm m}(\lambda, z)}$$
(2)

 $\beta_{\text{m}}(\lambda,\,z)$  is derived using the equation:

$$\beta_{\rm m}(\lambda,z) = \frac{\sigma_{\rm m}(\lambda,z)}{S_{\rm m}} = \frac{3 \sigma_{\rm m}(\lambda,z)}{8 \pi}$$
(3)

where  $S_m = (8\pi/3)k_{bw}$  is the molecular extinction to backscatter ratio for the molecular scattering, commonly approximated by  $8\pi/3$  (Collins and Russell, 1976) after neglecting the dispersion of the refractive index and the King factor of the air represented by  $k_{bw}$ . The volume molecular scattering coefficient,  $\sigma_m(\lambda, z)$  is determined by the equation:

$$\sigma_{\rm m}(\lambda,z) = \frac{N_{\rm A} \Pr(z)}{R_{\rm a} \operatorname{Temp}(z)} Q_{\rm s}(\lambda) \tag{4}$$

Where  $N_A = 6.02214 \times 10^{23}$  (1/mol) is Avogadro's number; Ra = 8.314472 (J/K/mol) is the gas constant and Qs( $\lambda$ ) the total molecular scattering cross section per molecule for the standard air. The derived equation for Qs( $\lambda$ ) for standard air is (Hostetler et al., 2006):

$$Q_{s}(\lambda) = 4.5102 \times 10^{-27} \left[\frac{\lambda(nm)}{550}\right]^{-4.025 - 0.05627 \times \left[\frac{\lambda(nm)}{550}\right]^{-1.017}}$$
(5)

Then from From equation 2-we retrieved β<sup>A</sup>/<sub>3</sub>:

 $\beta_a^A(\lambda, z)$ , using the expression:

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### $\frac{\beta_{\oplus}^{A}(\lambda,z)}{\beta_{\oplus}(\lambda,z)} = \frac{(SR_{\Theta}(z) - 1)\beta_{m}}{\beta_{\oplus}(\lambda,z)} = (SR(694,z) - 1)\beta_{m}(\lambda,z)$

To derive the aerosol backscatter profiles at 532 nm ( $\beta_a(532, z)$ )), we used the wavelength exponents (kb(z, t)) for aerosol backscatter in the range of wavelengths between 532 and 694 nm derived for the stratospheric aerosols produced by the 1991 Mt Pinatubo eruption (Jäger and Deshler, 2002) according to the expression:

(6)

$$\beta_{a}(532, z) = \left[\frac{532}{694}\right]^{kb(z,t)} \frac{\beta_{a}^{A}(694, z)}{T_{m}^{2}(694, z) T_{03}^{2}(694, z)}$$
(7)

230 Applying in addition the corrections for,  $T_{m}^2$ Next, we calculated  $T_m(694, z)$  and  $T_{03}^2(694, z) T_{03}(694, z)$ , the two-way molecular and ozone transmittances at  $\lambda = 694$  nm, to the correct  $\beta_a^A(694, z)$ . The generic general definition of the two-way tansmittance is:

$$\frac{T_{i}^{2}}{T_{i}} T_{i}(\lambda, z) = e^{-2 \int_{\sup}^{z} \alpha_{j}(\lambda, z) dz}$$
(8)

With with the sub index j representing in  $\alpha_j(\lambda, z)$  the vertical profiles of the scattering extinction by the molecules  $\alpha_m(\lambda, z)$ ,

235 ozone  $(\alpha_{03}(\lambda, z))$  and aerosols  $(\alpha_a(\lambda, z))$ .

Then the The aerosol extinction ( $\alpha_a(532, z)$ ) is calculated by the expression:

 $\alpha_{a}(532,z)=~EB_{c}(z,t)~\beta_{a}(532,z)~(9)$ 

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where  $\frac{BE_eEB_e}{C}(z, t)$  are the <u>altitude and time dependent</u> backscattering to extinction conversion coefficients from  $\lambda = 694$  nm to  $\lambda = 532$  nm also derived for the Mt Pinatubo (Jäger and Deshler, 2003).

# Finally we derive the aerosolsaerosol scattering corrected by the total two-way transmittance ( $\alpha_a^{Ta}(532, z)$ )), by applying the correction byfor the two-way aerosol transmittance $T_a^2(z)$

 $\alpha_{a}^{Ta}(532, z) = \frac{\frac{\alpha_{a}(532, z)}{T_{a}^{2}(532, z)}}{T_{a}(532, z)}$ (10) Because the information available to calculate the  $\frac{T^{2}}{Ta}T_{a}(532, z)$  should be determined from using the total aerosol optical

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depth (TAOD) measurements from sun photometers we applied a two-step procedure. The first step consists of using the TAOD to calculate a first guess- $\frac{T_{\pi}^2(532,z)}{r_{\pi}^2(532,z)}$  followed by the,  $T_a(532)$ , which is a unique value for all the altitudes. It is follow by calculation of a first guess  $\alpha_a^{Ta}(532,z)$ , profile. Then the stratospheric AOD (sAOD) is calculated integrating  $\alpha_a^{Ta}(532,z)$ , between 12 and 24 km. The second step calculates: (see Suplement-1 for details on the calculations of TAOD): tAOD = TAOD - sAOD (11)

and the calculation of  $T_a^2(532, z)$  is repeated but using tAOD instead of TAODproducing a profile of  $T_a(532, z)$  with the particularity of having a constant value of  $T_a(532)$  from the surface to 11 km, and then a profile of  $T_a(532, z)$  between 12 and 24 km. This profile of  $T_a(532, z)$  is applied in equation (11) getting the definitive values of  $\alpha_a^{Ta}(532, z)$ .

The algorithms for the solution of the single wavelength lidar equations apply the two-way transmittance correction to the raw lidar returned signal, together with squared distance correction, well-before the backscattering ratio is calculated. In our case the available information we have are the backscattering ratios which have been derived without conducting the twoway transmittance correction (G-66): for any species. That is the reason that correction was included in the retrieval of  $\beta_a(694, z)$  in equation (7). However only the molecular and ozone two way transmittance corrections  $(\frac{T^2_{eff}}{1000}(694, z), T^2_{eff}, (694, z))$  were included in this step.

The aerosol two-way transmittance correction,  $T_{e}^{2}$   $T_{a}(532, z)$ , was delayed until the final step to derive  $\alpha_{a}^{Ta}(532, z)$ . The reason was that the available information on the AOD was at  $\lambda = 500$  nm and it was the total AOD, including the sAOD that we are tryingattempting to retrieve. No Ångstrom exponent contemporary information for the Agung eruption in the eastern US in the range 500 to 694 nm was not found. UsingHence, we use the Ångstrom exponent elimatological valuesexponents covering the cited wavelength range from 1995 to 2019 from the nearest Aerosol Robotic Network (AERONET, 2020) stations-we derived, we converted the total AOD at 500 nm to 532 nm only, because it was. With a very near  $\lambda$ . It was the solution to lack of trusted information with, the aim was to minimize the error that could be introduced for converting the AOD to 694 nm. There have been abundant accounts about the changes of the aerosols physical- and chemical properties of aerosols in the eastern US from the sixties until the present in the eastern US has been documented (Went, 1960; Husar et al., 1991).

2.5 Complementary datasets used:

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The correction for the attenuation of the lidar signal by the two-way transmission by atmospheric molecules, ozone and aerosols is often considered negligible and ignored, based on signal to noise ratio considerations or for simplicity as it was the case in the original processing of these set of measurements ((e.g. G-66; GF-67). We were motivated to make that correction by the fact that, during a little more than half a century, the accuracies of the different instruments available for measurements of the stratospheric aerosols from the 1963 Mt. Agung eruption have beenare still under, still unsettled, scrutiny and discussions (exe.g., Deirmendjian, 1965; Dyer, 1971a; Clemesha, 1971; Dyer, 1971b; Deirmendjian, 1971; Stothers, 2001; Timreck et al., 2018). Our goal was to produce the most accurateconsistently processed aerosol extinction and optical depth from the rescued measurements, based on the contemporary state of the art measurements in the sixties of the XX century. The different data sources, and processing algorithms, we calculate the two-way transmittance corrections Con formato: Centrado

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#### 280 by atmospheric molecules, ozone and aerosols as described in Supplement 1.

The last column list the distances to Lexington (\*) and to Fairbanks(\*\*).

Table 2 summarize the locations of the sites where radiosonde, ozone soundings and atmospheric turbidity measurements were conducted. Also the distances from each individual site to the corresponding lidar site are provided. Following each individual dataset is described.

 Table 2: Locations of the observation sites where thermodynamic variables and ozone vertical soundings were

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 meassured nearby College, AK and Lexington, MA. Also the site of the atmospheric turbidity meassurements is listed.

Station	<del>Variable</del>	<b>Latitude</b>	<b>Longitude</b>	Elevation	<del>Dist.</del>
Nontuckot MA*	Temp Pr	41.2°N	70.0°W	14 m	162.1

			0		
Nantucket, MA*	<del>Temp, Pr</del>	4 <del>1.2°N</del>	<del>70.0°W</del>	<del>14 m</del>	<del>162.1 km</del>
Bedford, MA*	$\Theta_3$	4 <del>2.5°N</del>	<del>71.3°₩</del>	<del>251 m</del>	<del>10.7 km</del>
Blue Hill Obs., MA*	TAOD 500 nm	4 <del>2.2°N</del>	<del>71.1°W</del>	<del>192 m</del>	24.1 km
CARTEL, Canada*	TAOD 500 & 675 nm	4 <del>5.38°N</del>	<del>71.93°₩</del>	<del>251m</del>	<del>334.4 km</del>
Fairbanks, AK **	<del>O<sub>3</sub>, Temp, Pr,</del>	<del>64.8°N</del>	<del>147.9°₩</del>	<del>353 m</del>	<del>11.7 km</del>
Fairbanks, AK**	TAOD 500 nm	<del>64.86°N</del>	<del>147.85°W</del>	<del>133 m</del>	<del>9.8 km</del>
Bonanza Creek, AK**	TAOD 500 & 675 nm	<del>64.74°N</del>	<del>148.32°W</del>	<del>353m</del>	<del>23.6 km</del>

2.5.1 Datasets used to estimate the thermodynamic local variables:

290 To derive β<sub>m</sub>(λ, z), α<sub>m</sub>(λ, z), α<sub>a</sub>(λ, z) and T<sup>2</sup><sub>m</sub>(z) the required variables are the temperature (Temp(z)), pressure (Pr(z)) and molecular number density (N<sub>a</sub>(z)). We used the vertical profiles of Temp(z), Pr(z) and N<sub>a</sub>(z) from the 1962 US Standard Atmosphere (U. S. Standard Atmosphere, 1962).

 We also made use of the Temp(z) and Pr(z) profiles (deriving N<sub>d</sub>(z)) from the most complete and nearest sounding station. In the case of the soundings we took into account the fact that lidar observations were performed at night, typically near 21:00 EST (G-66; GF-67), then we selected Temp(z) and Pr(z) profiles from nearby soundings stations conducted at 00 GMT from the Integrated Global Radiosonde Archive (IGRA) Version 2 database (Dumre et al., 2016). G-66 and GF-67 mention the contemporary Bedford, MA, soundings near Lexington but although a total of 731 temperature profiles from this site are available in digital format (IGRA – 2, 2020) they only cover 1943 to 1945. The information about the temperature profiles from the ozone soundings from 1963 to 1964 exists, but it is plotted on the ozonogram reports (Hering and Borden, 1965).

For Lexington, Massachusetts (42° 25'N, 71° 15'W) we used the soundings from the station at Nantucket (41° 15' N, 70° 4' W, 14 m asl), code USM00072506, located at 163 km and around 1° of latitude south. Because the altitude of interest is between 12 km and 24 km, the upper troposphere and lower stratosphere in this region, they could be considered more representative than the US 1962 Standard Atmosphere. For Fairbanks, Alaska (64° 53'N, 148°3'W) we used Fairbanks (64° 49'N, 147° 53'W, 134 m asl), code USM00070261, at a distance of 11 km. They are the nearest sounding stations in IGRA-2 with Pr(z) and Temp(z) profiles during 1964 and 1965. We used the soundings conducted the next day at 00 GMT because the local time at Nantucket, MA and Fairbanks, AK are -4 and -8 hours respect to GMT. At Nantucket, no sounding was available the next day at 00 GMT in 2 of the 66 measurements days. In those 2 cases, the same day 12 GMT sounding were used. The few gaps in Temp(z) and Pr(z) in both sets of data below 25 km were filled with the mean Pr(z) and Temp(z) and Temp(z)
g100 profiles derived from all 1964 and 1965 Nantucket and Fairbanks daily Temp(z) and Pr(z) profiles at 00Z.

2.5.2 Datasets used for the estimation of the ozone 2-way transmittance:

We used the N<sub>03</sub>(z) from the 1966 US Standard Atmosphere Supplement (COESA, 1967). In addition, we used the seasonal
 means of N<sub>03</sub>(z) between 1963 and 1967 from ozone soundings conducted at L. G. Hanscom Fla., Bedford, MA and

Fairbanks, AK for Lexington and Fairbanks respectively (Hering and Borden, 1967).

The profile of the ozone absorption coefficient at a given wavelength  $(k_{\sigma\sigma}(\lambda, z))$  is calculated using the profile of ozone cross sections  $(\sigma_{\sigma\sigma}(\lambda, \text{Temp}(z)))$ :

 $k_{03}(\lambda, z) = \sigma_{03}(\lambda, \text{Temp}(z)) \times N_{03}(z)$ (12)

at the temperature (Temp(z)), where N<sub>G2</sub>(z) is the number density of ozone. The  $\sigma_{G2}(\lambda, \text{Temp}(z))$  at  $\lambda = 694$  nm is provided by Serdyuchenko et al., (2014) in the temperature range 193 to 293 °K. We used the average of  $\sigma_{G2}(\lambda, \text{Temp}(z))$ , 9.88e-22 em<sup>2</sup> molecules<sup>4</sup>, considering that the standard deviation of this averaging profile represents 2.4% variability of the average value. This set of absorption coefficients have been recommended by the recent status report from the International Ozone Commission from WMO (Orphal et al., 2016).

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2.5.3 Datasets used for the estimation of the tropospheric aerosol 2-way transmittance:

For Lexington we found contemporary statistics of turbidity measurements (B) at λ = 500 nm. Those measurements were part of the turbidity network established in 1961 by the U. S. Weather Bureau Research Station (later National Center for Air Pollution Control), Cincinnati, Ohio. For Lexington, the measurements were conducted at Blue Hill Observatory, Boston, Ma, 24 km from the lidar location. The Blue Hill Observatory frame plot with the monthly means for the period 1961 to 1966 are in figure 3 in Flowers et al., (1969). The curve of the monthly mean B belonging to Blue Hill Observatory in the frame plot on the figure were digitized (WebPlotDigitize, 2020). Then TAOD at λ = 500 nm was calculated using the equation (Volz, 1969), resulting from converting the decadic logarithm used to define B to the neperian logarithm used for AOD:

 $B = 0.434 \times TAOD$ (13)

For Fairbanks we did not find contemporary measurements, but there were manually conducted measurements in several places in the Arctic and Antarctic, including at Fairbanks (64.86°N, 147.85 °W, 133 m), with sun photometers at several wavelengths (Shaw, 1982). Those measurements are reported to be corrected by the molecular seattering and gas absorption (Shaw et al., 1973). The instruments were calibrated at Mauna Loa Observatory using Langley method with root mean square errors (RMSE) of sun photometer voltage output readings (V) of <sup>δV</sup>/<sub>V</sub> ~ 10<sup>-3</sup> having a systematic RMSE for AOD = +/-0.002 and the total error estimated as +/-0.004 (Shaw, 1982). At Fairbanks the annual mean AOD = 0.110 from 105 observations at λ = 500 nm is reported on table 1 of the cited reference, but also the AOD annual cycle appears in the lower panel of figure 2, showing the high AOD values in late winter and spring, peaking up to 0.135. We then digitized the mean AOD and its variation range values for July (no data for August appears on the figure), resulting AOD = 0.082 ± 0.022.
Although Shaw (1982) does not provide the information of the year the measurements were conducted this data is cited and cited to have been conducted in 1978 by Freund (1983).

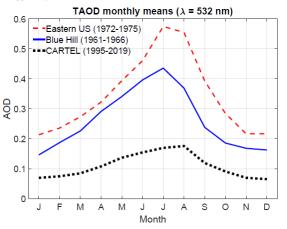
We also used AOD data at 500 nm from the 2 nearest AERONET stations to each site having long-term records. Bonanza Creek, AK, is less than 30 km from the location the lidar measurements were reported to be conducted at Fairbanks. TAOD measurements at this site have been conducted from 1997 to the present. The other site belongs to the Centre d'Applications et de Recherches en Teledetection (CARTEL), at the Universite de Sherbrooke, Canada, 334 km from Lexington.

From both sites we also used the climatological monthly means of TAOD at 500 and 675 nm from 1997 to 2019 for Bonanza Creek, and 1995 to 2019 for CARTEL (AERONET, 2020). We then derived the TAOD at 532 nm using the Ångstrom exponents calculated from the TAOD climatological monthly means for the interval 440 to 870 nm,that we used for Lexington. In the case of Bonanza Creek, we had one "contemporary" value from July 1978 (Shaw, 1982), and we selected the July climatological monthly mean, as the "current" value. After the conversion to 532 nm they were respectively 0.087and 0.242, and we used the same value for both July and August 1964 lidar measurements at Fairbanks.

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In the case of Lexington, for comparison purposes, we digitized the average monthly mean TAOD for 26 Eastern US stations from 1972 to 1975 from Husar et al., (1981). The series of monthly mean TAOD values were converted from 500 nm to 532 nm, with the procedure described above, using the Ångstrom exponents for the interval 440 to 870 nm. The figure 1 shows the resulting values. The high TAOD values for the two series before the 1960s and 1970s are representative of what have been reported for the Eastern US (Husar et al., 1991). The natural conditions contribute to an elevated background AOD attributed to the combination of absolute and relative humidity, and the vegetation density, both of which could be responsible for increased natural aerosols either from hygroscopic marine aerosols or from secondary aerosols originating from vegetation (e.g. Went, 1960). Additionally an important contribution to the TAOD came from anthropogenic aerosols originating from the extensive use of fossil fuels in the region. In fact, as their figure 1 shows, the pollution increased with respect to the 1960s (Husar et al., 1991). Recent research reports aerosol simulations for the historical period from 1850 to 2014 using the GISS ModelE2.1 with two different aerosol schemes to contribute to the Coupled Model Intercomparison Project Phase 6 (CMIP6). The simulations result in the AOD showing an increasing trend from well before 1900 until the early 1970s in the Eastern US, supporting the AOD data features we collected from Blue Hills Observatory (Bauer et al., 2020).



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Figure 1: Contemporary and current TAOD monthly means at 532 nm from Blue Hill Observatory, MA, from 1961 to 1966, the average of 26 stations in Eastern US between 1972 and 1975 and CARTEL, Canada, from 1995 to 2019.

2.6 Numerical and statistical methods:

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For each of the two datasets we ealeulated the statistical results of the calculate percentage differences ( $\Delta \alpha_{*US}$ ) between  $\alpha_a(532, z)_{US}$  calculated using the same  $\beta_m(\frac{594, z}{694, z})$  (694, z) profile from the 1962 US Standard Atmosphere for all the days and the  $\alpha_a(532, z)_*$  calculated using the  $\beta_m(\frac{594694}{294694}, z)$  profiles derived from the daily soundings:

(1412)

(<del>15<u>13</u>)</del>

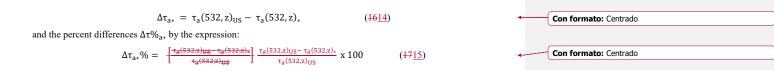
 $\Delta \alpha_{a*} = \alpha_a (532, z)_{US} - \alpha_a (532, z)_*$ and the percent differences  $\Delta \alpha \%_{a*}$  by the expression:

$$\Delta \alpha_{a*}\% = \left[\frac{\alpha_{a}(532,z)_{US} - \alpha_{a}(532,z)_{z}}{\alpha_{a}(532,z)_{US}}\right] \frac{\alpha_{a}(532,z)_{US} - \alpha_{a}(532,z)_{*}}{\alpha_{a}(532,z)_{US}} x \ 100$$

Similarly we defined the differences  $\Delta \alpha_{at2w}$  and the percent differences  $\Delta \alpha_{at2w}$ % between the  $\alpha_a(532, z)_*$  calculated using the  $\beta_m(594, z)(694, z)$  profiles derived from the daily soundings, and its corrected values  $\alpha_a(532, z)_{t2w}$  resulting for accounting for the two-way atmospheric transmittance.

Also we defined, for cumulative aerosol optical depth in the layer 12 to 24 km, we define  $\tau_a(532, z)_{us}$  and  $\tau_a(532, z)_{us}$  calculated from the  $\alpha_a(532, z)_{s}$  and  $\alpha_a(532, z)_{us}$  respectively:

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2.7 Relative Error estimates:

The present evaluation of the relative errors in the different processing steps of the single wavelength elastic lidar followed the algorithms developed by Russell (1979). Whenever it was possible we calculated the different terms of the equation based in the available dataset error. In several cases we combined information from the rescued metadata associated with the measurements and from available additional information in literature.

2.7.1 Backscattering ratio relative error:

400 As it was explained above, the data we rescue are a reasonable approximation of what we today know as the backscattering ratio described in equation (2). Then we We use the equation (19) from Russell (1979) quantifying the contributions from the different sources to the relative error in backscattering ratio  $\frac{\delta SR}{SR}$ :

 $\left(\frac{\delta SR}{SR}\right)^2 = \left(\frac{\delta N_s}{N_s}\right)^2 + \left(\frac{\delta T_{aw}}{T_{aw}}\right)^2 \left(\frac{\delta T_T}{T_T}\right)^2 + \left(\frac{\delta \beta_m}{\beta_m}\right)^2 + \left(\frac{\delta \beta_{m*}}{\beta_{m*}}\right) - \left(\frac{C_{FF*}^2}{\beta_m \beta_{m*}}\right) + \left(\frac{\delta SR_{min}}{SR_{min}}\right)^2 \tag{1916}$ 

- Where SR( $\lambda$ , z) is the total backscattering ratio; N<sub>s</sub> is the signal measured;  $T_{zw}T_T$  the two-way transmittance from aerosols; 405 molecules and ozone;  $\beta_m$  the molecular backscattering;  $\beta_{m*}$  molecular backscatter at the normalization level; SRSRmin( $\lambda$ , z) total backscattering ratio at the normalization level and  $C_{FF*}^2$  the covariance between measured  $\beta_m$  and  $\beta_{m*}$ . For estimating the magnitude of the signal measurement error we rely on the information provided by G-66. He estimated statistical fluctuation of the signal, the shot noise of the photodetector and other sources on the order of 0.2 to 3%. ThenFor both for Lexington and Fairbanks: we assume  $\left(\frac{\delta N_s}{N_s}\right) = 3 \%$
- 410 As cited above, according to **G-66** if no  $T_{zuv}T_T$  correction was conducted then the term  $\left(\frac{\delta T_{zuv}}{T_{zuv}}\right)^2 \left(\frac{\delta T_T}{T_T}\right)^2 = 0$ . Because in the calculation of SR( $\lambda$ , z) \_values of N<sub>d</sub>(z) from the 1962 US Standard Atmosphere were used (**G-66**)), it was assumed  $\frac{\delta \beta_m(\lambda, z)}{\beta_m(\lambda, z)} = 3$ % for both sites (e.g. Russell et al, 1979). In addition we assumed  $\left(\frac{\delta \beta_m}{\beta_m}\right) = \left(\frac{\delta \beta_{m*}}{\beta_{m*}}\right)$ , and  $C_{FF*}^2 = 0$  after assuming measurement errors are uncorrelated <u>lifet is a plausible assumption because the profile The use at the lidar levels of interpolated</u>  $\beta_m$  usedvalues from the lower resolution ones calculated using the US 1962 Standard Atmosphere for the vertical resolution of the lidar, support the former assumption.

The term  $\delta SR_{min}$  was evaluated according to table (1b) in Russell (1979) for the  $SR_{min} = 1.01$  and the respective latitudes of both sites. Then for both sites, according to following Russell et al. (1979); we assume

$$_{\min} = 0.07(SR_{\max} - 1)$$
 (2017)

420 2.7.2 Aerosol backscattering relative errors:

The equation (18) in Russell (1979) to estimate the relative error in  $\beta_a(694, z)$  can be approximated in our case by

$$\frac{\left(\frac{\delta\beta_{a}(694,z)}{\beta_{a}(694,z)}\right)^{2}}{\left(\frac{\delta SR}{\beta_{a}}\right)^{2}\left\{\frac{\delta SR}{\left(\frac{\delta SR}{SR}\right)^{2}} + \frac{\delta T_{zw}}{T_{zw}}\right)^{2} + \frac{\delta \beta_{m}}{\beta_{m}}\right\}^{2}} - \frac{(21\left\{(SR)^{2}\left[\left(\frac{\delta SR}{SR}\right)^{2} + \left(\frac{\delta T_{T}}{T_{T}}\right)^{2}\right] + \left(\frac{\delta\beta_{m}}{\beta_{m}}\right)^{2}\right\}}{(18)}$$

425 The estimated error for the 2-way transmission corrections in Russell et al. (1979) provides the expression:

δSR

$$\frac{\left(\delta T_{aw}\right)^2}{\left(\frac{\delta T_T}{T_T}\right)^2} = 4\left\{\left[\delta \tau_a(\lambda, z)\right]^2 + \left[\delta \tau_m(\lambda, z)\right]^2 + \left[\delta \tau_{03}(\lambda, z)\right]^2\right\}$$

and considering the standard error of determinations of  $\tau_a$ ,  $\tau_{03}$ , and  $\tau_m$  are respectively 50, 20 and 10% the following estimates are produced. That is:  $\delta \tau_a = 0.5 \tau_a$ ,  $\delta \tau_{03} = 0.2 \tau_{03}$  and  $\delta \tau_m = 0.1 \tau_m$ . However, in our calculus chain calculation of  $\beta_{a_i}$  only the ozone and molecular two-way transmittances were used.

(<del>22<u>19</u>)</del>

(2320)

(2522)

### For this section of the procedure, we considered consider $\left(\frac{\delta\beta_m}{\beta_m}\right) = 10\%$ because we used radiosonde soundings at both sites 430 (Russell et al, 1979).%. We neglected the error in computing Qs using equation (5) because its maximum relative error is 0.2 % for a spectral region of 350-1600 nm (Hosteler Hostetler et al., 2006), well below the error in $\left(\frac{\delta\beta_m}{\beta_m}\right)$

Next we determined the relative error in  $\beta_a(532, z)$  associated with the conversion from  $\beta_a(694, z)$  in equation (7), using the wavelength exponents (kb(z, t)) for aerosol backscatter in the range of wavelengths between 694 and 532 nm (Jäger and  $\frac{\delta \kappa b}{\delta \kappa b}^2 = 10.06$ Dashlan 2002) The

Desiner, 2003). The errors were estimated from their figure 1 with 
$$\left(\frac{\delta\beta_{a}(532,z)}{\kappa b}\right)^{2} = \left(\frac{\delta\beta_{a}(694,z)}{\kappa c}\right)^{2} + \left(\frac{\delta\kappa b}{\kappa b}\right)^{2}$$

$$(β_a(532,z))$$
 ( $β_a(694,z)$ ) (κb)

2.7.3 Aerosol extinction relative errors:

440 In the case of the  $\alpha_a$ , its relative errors are:

> $\left(\frac{\delta \alpha_a}{\alpha_a}\right)^2 = \left(\frac{\delta \beta_a}{\beta_a}\right)^2 + \left(\frac{\delta EB_c}{EB_c}\right)^2$ (2421) The last term in the right side represents the error in the EB<sub>C</sub> for  $\lambda = 532$  nm. In the case of the ones we used (Jäger and

2002; 2003) the error has been estimated 
$$\frac{inat}{inat} \pm 40$$
 % according to Deshler et al., (2003). For  $\alpha_a^{Ta}$ , the aerosols n corrected by the aerosols two-way aerosols transmittance, using the estimates of its relative error described above:  

$$\left(\frac{\delta \alpha_a^{Ta}}{\alpha_a^{Ta}}\right)^2 = \left(\frac{\delta \alpha_a}{\alpha_a}\right)^2 + \left(\frac{\delta T_{2wa}}{T_{2wa}}\right)^2 \qquad (2522)$$

Deshler. extinction

Using the cited set of equations and the assumptions described above we evaluated the error for each levelaltitude in each measurement

#### 3.0 Results:

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3.1 Aerosols extinction cross sections and optical depth:

Figure 21 shows the  $\alpha_a(532, z)$  eross sections contours for Lexington. Panel a)  $\alpha_a(532, z)_{us}$  is calculated using the same  $\beta_m$ (594, z)(694, z) profile from the 1962 US Standard Atmosphere for all the days; b)  $\alpha_a$ (532, z), was calculated using and 455 B the daily  $\beta_m(594, z)$  (694, z) profiles derived from the sounding at Nantucket, MA. On top of the figures we plotted the dates the measurements were conducted (red starts at 24.5 km level). In the case of Lexington the two dataIf measurement gaps higherare longer than 1 month, March, and July to September both in 1964, have been left blank in the cross-sections plots. The temporal/vertical eross-sectioncontours of the aerosolsaerosol extinctions were generated using a linear time interpolation

460 In general, the eross sectionscontours show a high level of variability of the aerosol extinction for Lexington both in time and altitude associated with the complex thermodynamic processes in the upper troposphere-lower stratosphere of the eastern US., Three main maximums are identified across the entire period. The first between 16 and 18 km at the beginning of the record in middle January 1964. The second between 14 and 16 km by November 1964 and the third at the same altitude but in the transition between March and April 1965. Evident is the decaying altitude of the maximums in time typical of the

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465 volcanic aerosols clouds in the lower stratosphere. However, the occurrence of the absolute maximum at this time cannot be attributed to the volcanic aerosols from Mt Agung, itas will be discussed below. No long-term analysis of this type could be conducted on figure 32 for Fairbanks because of the very short period of time it covers. However, the cross-section of  $\alpha_a(532, z)_*$  for Fairbanks reveals the maximum values between 14 and 16 km with the absolute maximum around mid-August, <u>centred</u> at 15 km. The magnitudes of  $\alpha_a(532, z)_{US}$  in are slightly higher than the ones from  $\alpha_a(532, z)_*$  for 470 both sites, and it is also true for  $\tau_{a_{US}}$  and  $\tau_{a*}$ . This is quantified in table 2. The magnitudes of the mean percent difference

increase of both variables is around 1%.

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Regarding the magnitudes of  $\alpha_a(532, z)_{US}$  in figure 2, they are slightly higher than the ones from  $\alpha_a(532, z)_{z}$ . That is also the case in figure 3 showing the cross-sections for Fairbanks, with panels similar to figure 2. This is quantified in table 3. At both sites the mean and maximum values for  $\Delta \tau_{a+}$  and  $\Delta \alpha_{a+}$  are positive showing that the magnitudes of  $\alpha_{a+1}$  and  $\tau_{a+1}$  are

475 in general higher than  $\alpha_{a_*}$  and  $\tau_{a_*}$ . Also in the table we appreciate that the magnitudes of the mean percent difference increase of both variables is around 1%.

The fact described above disagrees with the possibility G-66 mentions about lower aerosol backscatter from the retrieval they conducted, where he found retrievals using the 1962 US Standard Atmosphere, and slightly lower than the more realistic ones using soundings-, but the differences are within calculated errors. He arrived toat that conclusion from "a cursory examination" of the local variations of molecular number density  $(N_d(z))$  estimated with the Temp(z) profiles from ozone soundings at Bedford, MA (Hering and Borden,  $\frac{1965}{1967}$ ). He reported  $N_d(z)$  variability rarely exceeded 5% of the N<sub>dUS</sub>(z) values at altitudes between 10 and 30 km.

Table 32: Relative differences between the  $\alpha_{a_{US}}$  and  $\alpha_{a_*}$  as well as  $\tau_{a_{US}}$  and  $\tau_{a_*}$ 

	Lexington	l		Fairbanks				
	$\Delta \alpha_{a*}$	$\Delta \alpha \%_{a*}$	$\Delta\tau_{a*}$	$\Delta \tau_{a*}\%$	$\Delta lpha_{a*}$	$\Delta \alpha \%_{a*}$	$\Delta\tau_{a*}$	$\Delta\tau_{a*}\%$
Mean	1.89E-05	1.4	2.46E-04	1.2	1.42E-05	0.2	1.84E-04	1.6
Mean	5.92E-05	3.2	7.42E-04	3.3	1.85E-05	2.1	1.90E-04	1.7
Max	4.22E-04	42.2	2.71E-03	13.6	1.13E-04	6.4	4.30E-04	3.1

485 To estimate the effects of the differences between the magnitudes of  $N_{dUS}(z)$  and  $N_d(z)$  in the backscattering ratios we calculate the differences between the ratios defined by:

$$\Delta N_{d}(z) = \frac{N_{dUS}(z)}{M_{dUS}} - \frac{N_{d}(z)}{M_{d}}$$
(1825)

The values in the denominators  $M_{dUS}$  and  $M_d$  are the mean values of  $N_{dUS}(z)$  and  $N_d(z)$  between 25 and 30 km respectively, replicating the procedure used by G-66. In figure 43 the differences  $\Delta N_d(z)$  for all the 66 soundings at Nantucket used to 490 calculate  $N_{a}(z)$  and the 9 for Fairbanks are plotted. For Lexington, on panel a),  $N_{aus}(z) \Delta N_{d}(z)$  values are both negative and positive, but higher values of  $N_{dUS}(z)$  dominate. It is confirmed that the relative means and the maximum values of  $\Delta \alpha_{a*}$ between the  $\alpha_a(532, z)_{US}$  and  $\alpha_a(532, z)_{\star}$  for Lexington in table 3 are the same order of magnitude,  $10^{-5}$  km<sup>-1</sup> for the relative and absolute means and 10<sup>4</sup> km-1 for the maximum, larger than for For Fairbanks. The values of the relative means  $\Delta \alpha_{a*}$ % confirm the higher values when the 1992 US Standard Atmosphere is used, in contradiction with G-66 estimation. NdUS(z) 495 always is greater.

It has been stablished that the The errors in lidar retrievals of  $\alpha_a(532, z)_{*,z}$  attributed to the use of Temp(z) and Pr(z) from a model atmosphere to retrieve  $N_d(z)$ , are of the order of 3% and decrease to 1% when the source of Temp(z) and Pr(z) are soundings (Russell et al., 1979). Again in table 32, the magnitudes of the absolute differences between the US 1962 Standard Atmosphere and the soundings at Lexington and Fairbanks for  $\alpha_a(532, z)$  are in the order of 3%. That magnitude matches  $\frac{M}{M}$ <u>agreeing with</u> the error attributed if case models are used instead of soundings to derive  $\beta_m(\lambda, z)$ .

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The figure 54 shows  $\tau_{a*}$  both for Lexington (blue stars) and for Fairbanks (red diamonds). Also figure 5 shows the monthly mean  $\tau_a$  for the northen hemisphere (Sato et al., 1993). The means for the entire period of measurements available at each site are 0.0215 and 0.0099 respectively. The magnitude of Also shown is a monthly mean  $\tau_a$  for the northern hemisphere

- (Sate et al., 1993). The mean  $\tau_{a*}$  at Fairbanks areis half that of Lexington, providing evidence of the decreasing aerosol amount with increasing latitude. At the same time, some of the daily  $\tau_{a*}$  values at Lexington are around the magnitude of the mean  $\tau_{a*}$  at Fairbanks, becauseBecause of the variability of  $\alpha_a(532, z)_*$ . Few,  $\tau_{a*}$  values from Lexignton have magnitudes near the values of Lexington vary widely from the Fairbanks mean to the Sate  $\tau_{a*}$ magnitude, the current reference for this period. However, as we will see in the next section a-better agreement is found when the measurements are corrected by with two-way transmittance attenuation.
- 510 Taking into account the littlesmall difference between the results using the US 1962 Standard Atmosphere or and the soundings to derive  $\beta_m(\lambda, z)$ , the first simpler option couldcan reliably be used. However we decided to use the soundings to minimize the errors and to capture the more realistic features of the aerosol cloud.

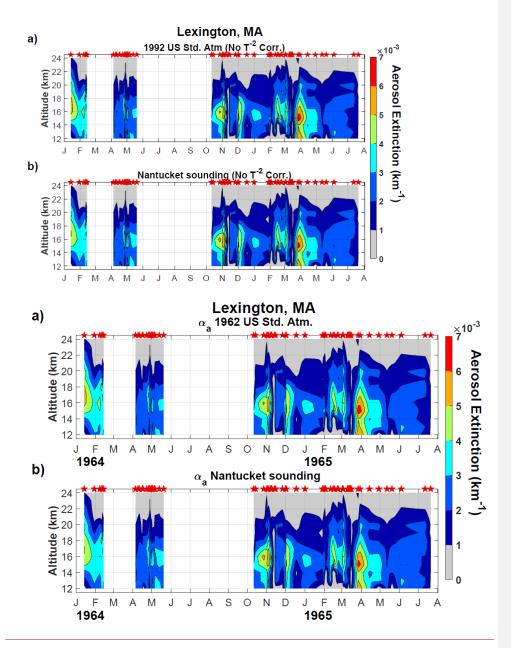
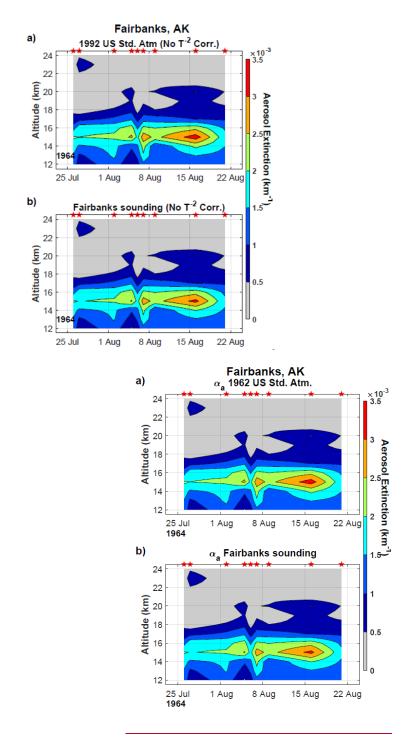
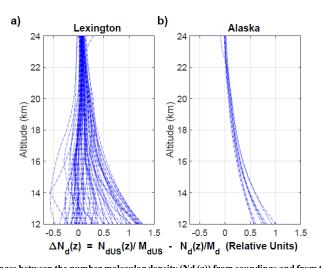


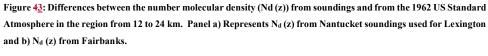
Figure 2:1: Panel a)  $\alpha_a(532, z)$  calculated using the same  $\beta_m(594, z)(694, z)$  profile from the 1962 US Standard Atmosphere for all the days; b)  $\alpha_a(532, z)$  was calculated using the daily  $\beta_m(594, z)(694, z)$  profiles from the sounding at Nantucket, MA. The red stars indicate the dates the measurements were conducted. The measurement gaps longer than 1 month, March, and July to September both in 1964, have been left blank.

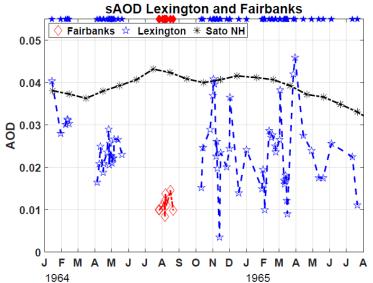






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Figure 54: Daily aerosol optical depth ( $\tau_{a*}$ ) for Lexington (blue stars), Fairbanks (red diamonds) and for the northern hemisphere (black asterisks) for the period the measurements were conducted.  $\tau_{a*}$  was calculated from the  $\alpha_a(532,z)_*$  derived using local soundings. Blue stars and red diamonds on the top axes of the figure are the dates the measurements were conducted.

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#### 3.2 Aerosols extinction eross-sectionscontours and optical depth corrected by aerosol two-way transmittance attenuation:

Figure 65 shows the eross sections contours of  $\alpha_a(532, z)_*$  for uncorrected and corrected two-way transmittance  $(\alpha_a^{Ta}(532, z))$  for Lexington. The initial values of TAOD were used to obtain a first estimate of  $\alpha_a(532, z)_{*t2w}$ . This  $\alpha_a^{Ta}(532, z)_*$  is only used to calcuate sAOD for each day and is subtracted from TAOD to produce the tropospheric corrected

value (tAOD) and the calculation is repeated to determine new profiles of the two-way aerosol transmittance and correct α<sub>a</sub>(532, z)<sub>\*</sub> generating theα<sup>Ta</sup><sub>a</sub>(532, z). Panel a) shows the cross-section<u>contour</u> of uncorrected values of α<sub>a</sub>(532, z)<sub>\*</sub>, in panel b) the cross-sections<u>contours</u> of α<sup>Ta</sup><sub>a</sub>(532, z). The magnitudes of α<sup>Ta</sup><sub>a</sub>(532, z) are higher than α<sub>a</sub>(532, z)<sub>\*</sub>. The two-way transmittance correction is dominated by the aerosols, in particular the tropospheric aerosols. The maximum extinction is at the third maximum, 1.071 x 10<sup>-2</sup> km<sup>-1</sup> located at 15 km, on March 27<sup>th</sup> 1965. Similarly in figure 76, the Fairbanks cross-sections<u>contours</u> for α<sub>a</sub>(532, z)<sub>\*</sub> and α<sup>Ta</sup><sub>a</sub>(532, z) show a notable difference in magnitude. The<u>Here the</u> absolute maximum extinction occurred on August 16<sup>th</sup> 1964 at 15 km, with a magnitude of 3.8 x 10<sup>-3</sup> km<sup>-1</sup>.

Table 43 contains the relative and absolute means and maximums for  $\Delta \alpha_a^{Ta}$ ,  $\Delta \alpha$ 

- In figures 87 and 98 the increases of  $\tau_a^{Ta}$ . with respect to  $\tau_{a*}$  for Fairbanks and for-Lexington respectively are shown: At Lexington the  $\tau_a^{Ta}$ .magnitudes are aroundapproximately the values of  $\tau_a$  from Sato et al., (1993) for the northern hemisphere represented by the dot dash (black line, a). This agreement is an important confirmation that the results of the present study are in the accepted range of Sato magnitudes for  $\tau_a$  from Agung at the northern hemisphere. Again in table 3, the magnitudes of the increase of  $\tau_a^{Ta}$  are in the order of 10<sup>-2</sup> for Lexington and 10<sup>-3</sup> for Fairbanks, representing a 66 % and 26 % increases respectively.
  - At Lexington the absolute maximum value of  $\tau_a^{Ta}$ , 0.076071 occurs on March 30<sup>th</sup> 1965, 3 days after the absolute maximum extinction was registered at 15 km. At Fairbanks the absolute maximum value of  $\tau_a^{Ta}$ , 0.018, was registered on August 16<sup>th</sup> 1964, the same day the absolute maximum extinction was registered at 15 km at this site.

Table 43: Idem than table 32, but for the comparison of $\alpha_{2}(532, z)_{*}$ vs. $\alpha_{2}^{Ta}$	<sup>a</sup> (532, z) and τ., vs	s T <sup>Ta</sup> See text for details.
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	Lexington				Fairbanks				
	$\Delta \alpha_a^{Ta}$	$\Delta\alpha_a^{Ta}\%$	$\Delta \tau_{at2w}$	$\Delta \tau_{at2w}\%$	$\Delta \alpha_a^{Ta}$	$\Delta\alpha_a^{Ta}\%$	$\Delta \tau_{at2w}$	$\Delta \tau_{at2w}\%$	
Mean	1.17E-03	67.2	1.52E-02	66.2	2.22E-04	26.5	2.89E-03	25.9	
Mean	1.17E-03	67.2	1.52E-02	66.2	2.22E-04	26.5	2.89E-03	25.9	
Max	3.60E-03	152.6	3.09E-02	148.8	8.35E-04	29.1	3.89E-03	26.7	

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During the course of more than two decades after<u>Since</u> the pioneering stratospheric aerosols measurements with lidar work by Fiocco and Grams (1964)), multiple researchers <u>have</u> contributed to the development of the processing algorithms to retrieve <u>aerosolsaerosol</u> optical properties and <u>its</u>-errors (Russell et al, 1979, Klett, 1981; Klett, 1985, Kovalev, 2015). Those facts<u>These works</u> explain the limitations that do not allow the retrieval ofon retrieving the full set of optical variables characterizing the stratospheric aerosols from the Fiocco and Grams dataset. However <u>usingassuming</u> a Junge size-

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distribution model; and assuming Mie scattering with refractive index 1.5, they producedFiocco and Grams did produce estimates of the aerosol content of the stratosphere at 16 km: number concentration, surface area, and the aerosol density per unit volume of air. They also use the mean profile they derived to estimate the total of particles/cm<sup>3</sup>, total surface area and a total mass, integrating the concentrations obtained between 12 and 24 km (**GF-67**). The only available optical property estimates, based inon some of the cited particle concentration estimates at 16 km and in the column, are the aerosol extinction

at 16 km,  $(2 \times 10^{-3} \text{ km}^{-1})$  and the aerosol optical depth of (0.015,) both at 694 nm (Deirmejian, 1971). For comparing with the values reported above, we made estimates at of estimate  $\alpha_a(694, z)$  from  $\alpha_a(532, z)$  as well as

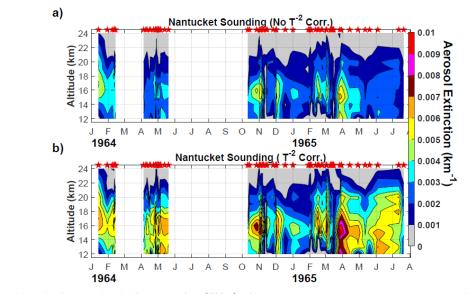
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τ<sub>a</sub>(694, z) from τ<sub>a</sub>(532, z) using the wavelength exponents for aerosols from Mt Pinatubo in the range of wavelengths 532 to 694 nm (Jäger and Deshler, 2002). We made the estimates <u>for</u> both <u>for</u> Lexington and Fairbanks <u>because</u>, <u>as</u> no clear assignation of the values <u>eited above</u> to <u>one of the two siteseither site</u> is made in **G-66** and **GF-67**. At the 16 km-level, the mean value of α<sub>a</sub>(694, z) was 10<sup>-3</sup> km<sup>-1</sup> for Fairbanks and 2 x 10<sup>-3</sup> km<sup>-1</sup> for Lexington matching for both sites the order of magnitude estimated by Deirmejian, (1971).

An additional validation of those results, in particular for  $\tau_a^{\pi a}$  (532, z) at Lexington appears in figure 9, where the stratospheric  $-\tau_a$  (532, z) for the northern hemisphere from January 1964 to July 1965 has been plotted (Sato et al., 1993). The magnitude of  $\tau_a^{\pi a}$  (532, z) is the same at Lexington (and also at Fairbanks, figure 8) as the  $\tau_a$  (532, z) from Sato et al., (1993).

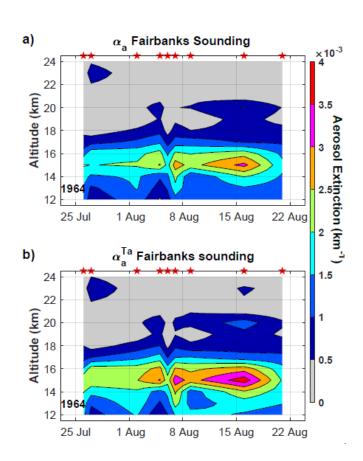
- From 1963 to mid-1965, in addition to the 1963 Mt Agung, two other volcanoes were reported to have erupted in the northern hemisphere with Volcanic Explosivity Index (VEI) 3. They were the Trident volcano in Alaska at 58°N and 155°W and the Vestmannaeyjar volcano (also known as *Surtsey*) south of Iceland at around 63°N and 20°W and. The first was reported to have erupted in April 1963 and its plume reaching 15 km (Decker, 1967). The second remained in in eruption between November 1963 and February 1964, with its plume reaching more than once in November 1963 an altitude around 4.5 km above the tropopause, located approximately at 10.5 km (Thorairinsson, 1965). They were attributed contributing to the
- replenishing of aerosols in the mid-latitude lower stratosphere, following the increase of the atmospheric turbidity, determined using twilight measurements (Cronin 1971).
- Twilight measurements revealed 3 peaks in atmospheric turbidity, between the March 1963 Agung eruption in and the end of 1965 shown in figure 1 from Volz (1970). The first turbidity peak in that figure with the highest magnitude was registered by the end of the 1963, when no lidar measurements were available, but its decaying is seen in the sAOD during the first half of 1964 on our figure 54. The second turbidity peak, having approximately the same magnitude than the third, is located in the last months of 1964, coincident with the second sAOD peak in figure 54. And the third turbidity peak also coincide with the third sAOD peak. Updated information reveal the extension in time of the Vestmannaeyjar, from late 1963 to the middle
- of 1964 (GVP, 2013a) and the occurrence of two additional eruptions of Trident volcano, the first between October 17 to November 17 1963 and the second in May 31 1964 (GVP, 2013b) all of them with VEI 3. That sustained input of the aerosols in the northern hemisphere stratosphere explains the second and third peaks similar magnitudes in the turbidity, figure 1 in Volz (1970) and in the sAOD in our figure 54.



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505 Figure 6: <u>Cross-sections5: Contours</u> of  $\alpha_a(532, z)$ , for uncorrected and corrected two-way transmittance  $(\alpha_a^{Ta}(532, z))$  for Lexington. <u>The red stars indicate the dates the measurements were conducted.</u>

Con formato: Izquierda: 1,9 cm, Derecha: 1,9 cm, Arriba: 2,54 cm, Abajo: 2,54 cm



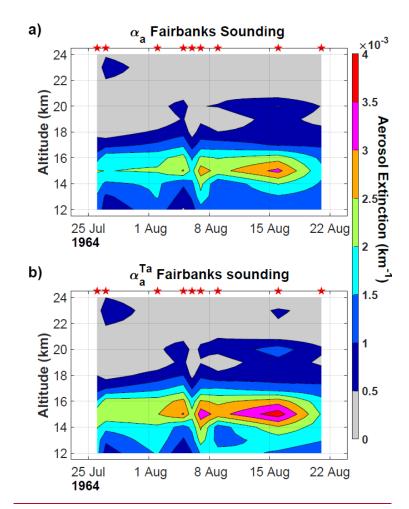
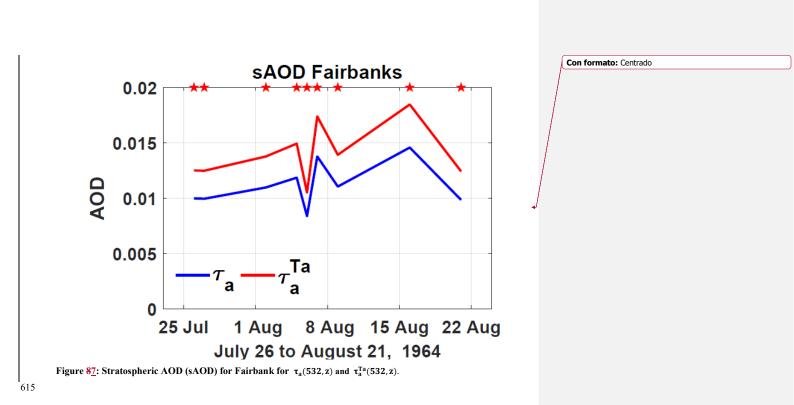
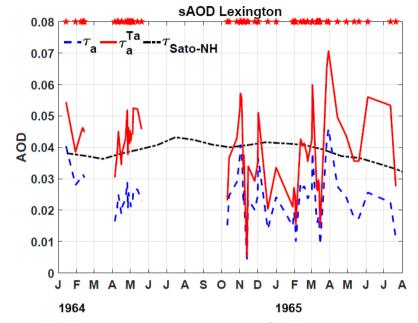


Figure 7<u>6</u>: Cross sections of  $\alpha_a(532, z)_*$  for uncorrected and corrected two-way transmittance ( $\alpha_a^{Ta}(532, z)$ ) for Fairbanks<u>. The red stars indicate the dates the measurements were conducted</u>.







3.3 Relative Errors:

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Table 54 reports the results for the estimated relative errors in the aerosol extinction with and without the aerosol two-way transmittance correction for both sites. In addition, the relative errors of the backscattering ratio and aerosol backscatter at 694 nm and the aerosol backscatter at 532 nm are reported. The relative errors for  $\alpha_a^{Ta} \le 5 \times 10^4 \text{ km}^{-1}$  were excluded in the statistics.

625 Note the increases in the mean relative errors from  $\left(\frac{\delta SR}{SR}\right)$  to  $\left(\frac{\delta \beta_a}{\beta_a}\right)$ , 12% to 48% at Fairbanks and 13% to 36% at Lexington, the higher increases occur during the full processing. It is explained by the factor  $\left(\frac{\beta_m}{\beta_a}\right)^2$  in equation (2118). Because the processing algorithm relies on equation (6) to derive  $\beta_a$  from  $\beta_m$  the squared ratio will be lower than 1 if  $\frac{SR_{\sigma}}{SR} SR < 2$ , increasing as  $\frac{SR_{\sigma}}{SR}$  decreases and reaching the value  $\left(\frac{\beta_m}{\beta_a}\right)^2 = 10^4$  for  $\frac{SR_{\sigma}}{SR} = -SR = 1.01$ . Only with  $\frac{SR_{\sigma}}{SR} SR =>2$  is the Con formato: Centrado

Con formato: Ancho: 21 cm, Alto: 24 cm

ratio is lower than 1, which in the case of Fairbanks happens at one level on one day. In the case of Lexington, 10% of the  $SR_{p}$  are higher than 2. In other words

In table <u>54</u>, the second <u>highlighest</u> increase in the mean relative error <u>happenedoccurs</u> in the calculation of  $\left(\frac{\delta \alpha_a^{13}}{\alpha_a^{13}}\right)$  from  $\left(\frac{\delta \alpha_a}{\alpha_a}\right)$ . At Fairbanks the increase is 7%, from 54% to 61%. At% and at Lexington the increase is 20% from 44% to 64%. The error is associated with the magnitudes of the relative errors from  $\left(\frac{\delta T_a}{T_a}\right)$ , conducted at this step <u>byfor</u> the reasons explained above. At Fairbanks the mean value of  $\left(\frac{\delta T_a}{T_a}\right)$  is 8% while 44% at Lexington, associated towith the expression  $\delta \tau_a = 0.5 \tau_a$ . It should be taken into account that the total AOD at both sites are dominated by the magnitude of the tropospheric AOD, which is higher at Lexington.

Table 54: Relative error estimates of the backscattering ratio, aerosol backscatter at 694 nm and 532 nm, aerosol extinction with and without correction for aerosol two-way transmittance at 532 nm for Lexington and Fairbanks. Errors for  $\alpha_a^{Ta} \leq 5 \times 10^{-4} \text{ km}^{-1}$  were not included in the statistics. <u>All errors are %</u>.

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FAIRBAN	FAIRBANKS						LEXINGTON						•	Tabla con formato		
	694 nm 532 nm			694 nm 532 nm												
	$\left(\frac{\delta SR}{SR}\right)$	$\left(\frac{\delta\beta_a}{\beta_a}\right)$	$\left(\frac{\delta\beta_a}{\beta_a}\right)$	$\left(rac{\delta lpha_a}{lpha_a} ight)$	$\left(\!\frac{\delta T_a}{T_a}\!\right)$	$\left(\!\frac{\delta\alpha_a^{Ta}}{\alpha_a^{Ta}}\!\right)$	$\left(\frac{\delta SR}{SR}\right)$	$\left(\frac{\delta\beta_a}{\beta_a}\right)$	$\left(\!\frac{\delta\beta_a}{\beta_a}\!\right)$	$\left(\frac{\delta \alpha_a}{\alpha_a}\right)$	$\left(\frac{\delta T_a}{T_a}\right)$	$\left(\!\frac{\delta\alpha_a^{Ta}}{\alpha_a^{Ta}}\!\right)$				
Mean	12 <del>%</del>	48 <mark>%</mark>	49 <mark>%</mark>	54 <mark>%</mark>	8 <del>%</del>	61 <del>%</del>	13 <del>%</del>	36 <mark>%</mark>	38 <mark>%</mark>	44 <del>%</del>	21	64 <mark>%</mark>				
Maximum	13 <del>%</del>	120	121	122	8 <del>%</del>	125 <mark>%</mark>	16 <del>%</del>	151	151	152	42	162 <mark>%</mark>				
Minimum	11 <del>%</del>	24 <mark>%</mark>	26 <mark>%</mark>	31 <mark>%</mark>	7 <del>%</del>	42 <mark>%</mark>	11 <del>%</del>	18 <mark>%</mark>	20 <mark>%</mark>	27 <mark>%</mark>	9%	43 <mark>%</mark>				
The vertical	The vertical distributions time vs. altitude contours of the $\left(\frac{\delta \alpha_a^{Ta}}{\alpha_a^{Ta}}\right)$ realtive relative errors on consecutive measurements and of								<del>nts<u>and of</u></del>							
the $\alpha_a^{\mathrm{Ta}}(z,n)$	are shown	1 in figure	es <u>109</u> and	141 <u>10</u> for	Lexingt	on and Fa	irbanks ı	respective	ely. <del>Pane</del>	<del>ls a) in bo</del>	th figure	es are the		Con formato: Fuent	te: Negrita	
cross-section	s of the $\left(\frac{\delta \alpha}{\alpha}\right)$	$\left(\frac{Ta}{a}\right)$ relat	ive errors	and pane	<del>s b) are</del>	the cross	-sections	of the a	$\frac{\mathrm{Ta}}{\mathrm{a}}(\mathbf{z},\mathbf{n}),$	<del>where</del> n i	s the cor	nsecutive				
number orde	r of the me	asuremen	<del>ts in each</del>	one of th	e datase	ts. We se	elected th	<del>iis variab</del>	<del>le to prov</del>	ride a con	npact vie	ew of the				
magnitudes o	of the $\left(\frac{\delta \alpha_{a}^{Ta}}{\alpha_{a}^{Ta}}\right)$	) relativ	e errors a	nd α <del>Ta</del> .	As exp	ected at 1	ooth sites	<del>s the<u>The</u></del>	regions w	ith maxi	mum ma	agnitudes				
of α <sub>a</sub> <sup>Ta</sup> at bo	<u>th sites</u> are	e associate	ed with th	e lower r	elative e	errors <del>. In</del>	figure 10	note tha	<del>t at</del> as ex	pected.	<u>At</u> Lexin	gton, for				
$\alpha_a^{Ta} > 8 \ge 1$	$\alpha_a^{Ta} > 8 \ge 10^{-3} \text{ km}^{-1}$ the relative errors has a magnitude equal or lower than are $\leq 20\%$ . It is also evident that relative errors															
equal or lowe	er than 50%	dominat	e both in t	ime and a	ltitude.	In the cas	e of Fair	banks, <del>fig</del>	<del>gure 11, f</del> o	or $\alpha_a^{Ta} >$	· 2 x 10 <sup>-3</sup>	km <sup>-1</sup> the				
relative error	has a magi	nitude equ	<del>.al or low</del>	<del>er than<u>e</u>rr</del>	ors are <	<u> </u>										

Considering the magnitudes of the The relative errors for  $\alpha_{a}^{Ta}$  in table 5 it is evident that the 4, produce  $\tau_{a}^{Ta}$  relative

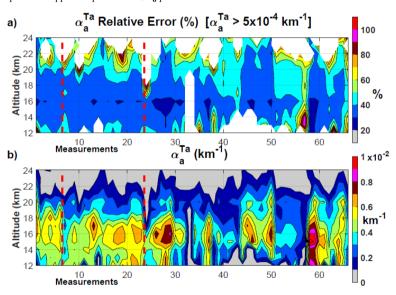
errors-are above 100%. Those estimated values of the relative errors for  $\tau_{a}^{Ta}$  together with the ones in table 5 show high

magnitudes compared with <u>4</u> are substantially larger than other sets of volcanically perturbed stratospheric aerosols lidar measurements.

As explained above, the highest<u>The high</u> error introduced<u>magnitudes</u> in the  $\left(\frac{\delta \beta_{a}}{\beta_{a}}\right)$  at 694 nm estimation could be reduced 655 iff<u>in case</u> the **SR**<sub>o</sub> have higher values increase. In several of the 75 **SR**<sub>o</sub> profiles <u>a</u> renormalization processing could increase its<u>SRo</u> magnitude. That<u>This</u> is <u>possible</u>, <u>becausereasonable since</u> the normalization procedure applied, <u>considered that above</u> 24altitude range (no aerosol present) was 25 to 30 km no aerosols were, where there certainly would be some aerosol present. Inspection of the plots of **SR**<sub>o</sub> vs altitude in figures 14, 15 and 16 in **G-66** shows the presence of aerosols between 25 and 30 km-and. And in some of the eases at all of those levels-profiles\_**SR**<sub>o</sub> magnitude is above 1, the value representing at all levels (1.0 indicates no aerosols-aerosol). In addition, what will definitely increase the magnitude of **SR**<sub>o</sub>, will be the introcutionthe introdution of the two-way transmittance correction in the processing <u>generationgof</u> **SR**<sub>o</sub>, will increase **SR**<sub>o</sub> from the raw returned lidar signal.

In the search for Options are available to find the raw lidar data several options are available. Searchingto conduct the reproceesing described above. These include searching for the filmed images of the oscilloscopes used as registers and/or the original punched cards (probably transferred to tapes) both reported in G-66. The last resort would be the digitalization of the  $SR_0$  from the figures cited above. Then the The original signal profiles could then be reconstructed inverting the normalization procedure applied to produce the  $SR_0$  profiles.

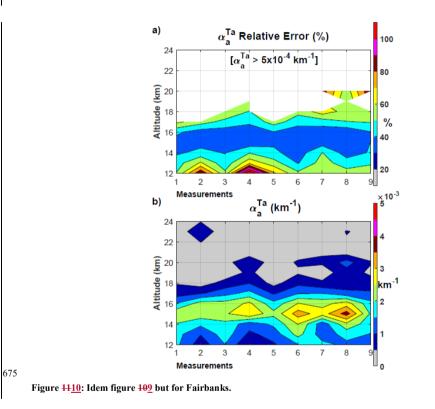
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#### Con formato: Punto de tabulación: 9,25 cm, Izquierda

Figure 10: Cross-section 9: Panel a) Contour of Relative Error estimates for Lexington, panel a). Panel b) Cross-section Contour of the consecutive measurements. Note the two data gap periods greater than 1 month: March, and July to September both in 1964. They are identified with vertical dotted red lines at the 7 and 23 measurements. In top panel the areas in white in the Relative Error cross-section contour represent relative errors for  $\alpha_a^{Ta} \leq 5 \times 10^{-4}$  km<sup>-1</sup>. They were not included in the statistics in Table 54.

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Con formato: Centrado

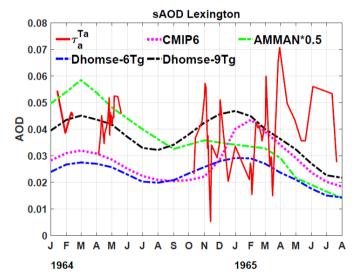
3.4 Attribution of the 1963 Agung aerosol cloud within the Lexington lidar dataset:

680 In this section, we seek to understand whether some of the sAOD variations observed by the Lexington lidar may originate from sources other than the March 1963 Agung eruption (such as the two stratosphere-injecting 1963 VEI3 discussed in

section 3.2: Trident, Alaska and Vestmannaeyjar, Iceland). Specifically, we compare the Lexington extinction dataset to four different model-based volcanic forcing datasets for the Agung aerosol cloud. Three of the four Agung forcing datasets are from two different interactive stratospheric aerosol models: two different SO<sub>2</sub> emissions scenarios from the UM-UKCA model (Dhomse et al., 2020) and a third simulation from the 2D-AER model (Arfeuille et al. 2014), as applied within the CMIP6 volcanic aerosol dataset (Luo et al., 2016). The fourth simulationssimulation is from an idealisedidealized model representation of the Agung cloud, based on a simple parameterization for the progression of the tropical reservoir of volcanic aerosol, and its dispersion to mid-latitudes (Ammann et al., 2003), used to represent historical volcanic forcings in some CMIP5 climate model historical integrations (see Driscoll et al., 2012).



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The progression of volcanic aerosol clouds from major tropical eruptions reaching the stratosphere was established by Dyer et al. (1970; 1974) from analysing). They synthesized the extensive synthesisset of observations on the Agung aerosol cloud (Dyer and Hicks, 1968), and from knowledge derived fromused the analyses of the global dispersion of radionuclides from Pacific thermonuclear tests in the 1950s (e.g. Machta and List, 1959). The continual slow upwelling circulation in the tropics, and the sub-tropical barrier at the edge of the tropical pipe, combine to cause the long-lived tropical stratospheric reservoir (Dyer, 1974; Grant et al., 1996) which is the reason why tropical eruptions have such prolonged radiative cooling compared

700 to mid-latitude eruptions. The Brewer -Dobson circulation (Brewer, 1949; Dobson, 1956) has a strong seasonal cycle,

**Con formato:** Normal, Izquierda, Sangría: Izquierda: 0 cm, Derecha: 0 cm, Interlineado: sencillo

transporting air preferentially towards the winter pole, causing an increasing mid-latitude sAOD trend during autumn and a decreasing mid-latitude sAOD trend during spring (in both hemispheres). Each of the model lines in Figure 12figure 11 show this circulation-driven seasonal variation in sAOD, with the transport of the Agung aerosol remaining in the tropical reservoir predicted to increase during October and November, reaching a peak in January to March in both 1964 and 1965. The model

- 705 predicted variations are consistent with the initial observed sAOD values of 0.04-0.05 in January and February 1964 being higher than most of the 0.01-0.04 sAOD values observed in October and November 1964, and the expected variations from the models suggest the suddenly higher sAOD values ~0.05 may be from a different source than Agung. However, whereas sAOD values would be expected to increase going into winter, the December January and February sAOD at Lexington are mostly lower than during the autumn, which indicates an additional source of stratospheric aerosol may have continued to
- 710 add to the Agung cloud sAOD throughout the autumn of 1964. Furthermore, the 1965 Lexington observations show a continuing increase in sAOD into the springtime, whereas the models predict the sAOD from Agung would have reduced by a factor of 2 during the first 6 months of 1965. The analysis suggests another source of sAOD influential during this period (either the two VEI3 volcanic eruptions in 1963/4 or some other source of material into the stratosphere) must have caused the observed increase in stratospheric AOD during 1965, with a potentially substantial influence also during autumn 1964.
- 715 Figure 1312 compares the vertical structure of the 9Tg representation of the Agung aerosol cloud from Dhomse et al. (2020) at 42N, compared to the Lexington observations, confirming that these model simulations capture the altitude of the cloud during the early period of the measurements (January to May 1964). However, although the magnitude of the simulated aerosol extinction compared well to the original Lexington dataset (Dhomse et al., 2020), with the two-way transmittance corrections applied here, the 9Tg simulation is low-biased compared to the lidar measurements, even in this earlier period,
- 720 suggesting a with the 12Tg UM-UKCA simulation (not shown) would likely to compare better (not shown). None of the 4 model-generated Agung forcing datasets can explain the observed increase in extinction during Jan to July 1965, with the. The sudden peaks in April and June 1965 having ahave quite a different vertical structure compared to the early 1964 measurements, the sAOD in 1965 having a substantial component from the altitude range 18-20km. This vertical profile analysis again suggests the episodic sAOD enhancements in spring 1965 were from a different source than the 1964

725 measurements, the altitude of the peak extinction in that first year of the dataset broadly consistent with the UM-UKCA simulations of the Agung cloud.

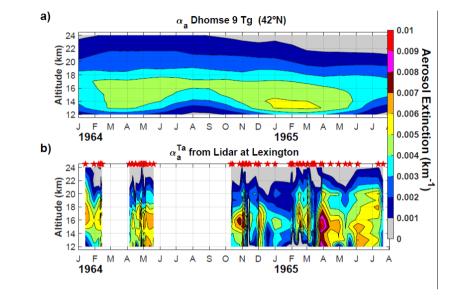


Figure 13: Cross sections 12: Contours of  $\alpha_a(532, z)_*$  from Dhomse et al., (2020) at 42 °N and corrected the two-way transmittance  $\alpha_a^{Ta}(532, z)$  from lidar for Lexington.



#### Summary:

- We report completingcompleted the processing of the first set of volcanic stratospheric aerosol lidar profiles; from the 1963 Mt. Agung eruption. The results show the high level of variability of the stratospheric aerosol extinction for Lexington between January 1964 and July 1965 that is mainly attributed to the 1963 Mt. Agung eruption. At Lexington the highest aerosol extinction values and aerosol optical depths are 1.1 x 10<sup>-2</sup> km<sup>-1</sup> and 0.076 respectively and were registeredobserved by the end of March 1965, almost at the end of the year and a half long record. Based on contemporary and updated reports of additional volcanic eruptions in the northern hemisphere between 1963 and 1965 we found a probable explanation to the
- apparent contradictory temporal trend of the sAOD magnitudes. Further research, combining observational data and modelling should be conducted to elucidate the individual contribution from each of those eruptions to the stratospheric aerosol layer at this location of the northern hemisphere.
- The level of the relative errors are unusually high considering that under high loads of volcanic aerosols in the stratosphere, the signal to noise ratio is high in the returned lidar signal. The analysis of the contributions of the variables along the different steps of the processing algorithm, allowed identifyingidentified the two main sources of error. The main one, accounting for a little more than 30 % of the relative error is associated with the division of the molecular backscatter by the aerosol backscatter, directly linked to low magnitudes of the backscattering ratio. Those low magnitudes are produced by two factors: the first is the lack of two-way transmittance corrections in the backscattering ratio calculation from the raw squared distance-
- 750 corrected signal. The second is <u>that</u> the normalization <u>method conducted in the regionaltitudes</u>, considered to be empty of aerosols, when in many profiles the signal plots reveal its presencewere too low and actually did contain aerosol. We suggested alternatives to search for the original signal profile records or to reconstruct the original signal profiles from the plotted backscattering ratio records, including the normalization region from 25 to 30 km. <u>TheFuture</u> search for original records should <u>include looking fortake into account also</u> the <u>at least-25</u> missing <u>profiles files</u> from the total<u>more</u> of <u>at least-25</u> missing <u>profiles files</u>.

755 100 <u>referred by</u> Fiocco <u>mentions</u>.

In general the results reported should be considered as the first estimates. We report the comparison of the aerosol extinction values and aerosol optical depths we calculated with information available up to the present, showing reasonable results. Improvements in the two factors cited above lead to an increase in magnitude of the aerosol extinction and optical depth in several of the profiles.

760 We have also compared the Lexington sAOD timeseriestime series to 4 different model representations of the 1963 Agung aerosol cloud, and illustrate how the model predictions suggest the sAOD above Lexington from Agung must have decreased from January to July 1965, whereas the 1965 lidar observations show a clear increase in sAOD through the spring into summer. Comparison of the vertical structure of the 1965 measurements to theThe UM-UKCA Agung aerosol simulations show the Agung cloud descending to lower altitude in 1965 than in 1964, whereas. Whereas the lidar measurements show more sudden aerosol extinction enhancements, reaching up to 20km in altitude during 1965. Contemporary records of two VEI-3 high latitude eruptions (in Alaska and Iceland) suggest their volcanic clouds reached the stratosphere in both cases,

and model comparisons strengthen the attribution of the January to July 1965 sAOD<sub>550</sub> increase to a source other than the 1963 Agung eruption.

The datasets of the original rescued backscattering ratios and the calculated aerosol backscatter (both at 694 and 532 nm) and the aerosol extinction at 532 nm (both corrected and uncorrected for two-way aerosols transmittances) at Lexington are available at https://doi.pangaea.de/10.1594/PANGAEA.922105 (*Dataset in Review*) (Antuña-Marrero et al., 2020a).

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#### Data availability:

795 The data reported in this article is available at https://doi.pangaea.de/10.1594/PANGAEA.922105 (*Dataset in Review*) (Antuña-Marrero et al., 2020a).

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	<u>۸</u>	$\sim$	Con formato: Inglés (Reino Unido)

Con formato: Izquierda, Sangría: Izquierda: 0 cm, Derecha: 0 cm, Interlineado: sencillo, Ajustar espacio entre texto latino y asiático, Ajustar espacio entre texto asiático y números