

1 **Realtime WRF LES Simulations to Support Uncrewed Aircraft System (UAS)**  
2 **Flight Planning and Operations during 2018 LAPSE-RATE**

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18

19 **Abstract**

20

21 The simulation dataset described herein provide a high-resolution depiction of the four-  
22 dimensional variability of weather conditions across the northern half of the San Luis Valley,  
23 Colorado, during the 14-20 July 2018 Lower Atmospheric Profiling Studies at Elevation–A  
24 Remotely-Piloted Aircraft Team Experiment (LAPSE RATE) field program. The simulations  
25 explicitly resolved phenomena (e.g., wind shift boundaries, vertical shear, strong thermals, and  
26 turbulence in the boundary layer, fog, low ceilings, and thunderstorms) that are potentially  
27 hazardous to small UAS operations. Details of the model configuration used to perform the  
28 simulations and the data processing steps used to produce the final grids of state variables and  
29 other sensible weather products (e.g., ceiling and visibility, turbulence) are given. A nested  
30 (WRF) model configuration was used in which the innermost domain featured large-eddy-  
31 permitting 111-m grid spacing. The simulations, which were executed twice per day, were  
32 completed in under six hours on the National Center for Atmospheric Research (NCAR)  
33 Cheyenne supercomputer using 59 cores (2124 processors). A few examples are provided to  
34 illustrate model skill at predicting fine-scale boundary layer structures and turbulence associated  
35 with drainage winds, up-valley flows, and convective storm outflows. A subset of the data is  
36 available at the Zenodo data archive (<https://zenodo.org/record/3706365#.X8VwZrd7mpo>) while  
37 the full dataset is archived on the NCAR Digital Asset Services Hub (DASH) and may be  
38 obtained at <http://doi.org/10.5065/83r2-0579> (see Pinto et al. 2020a).

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## 1. Background

The LAPSE-RATE field program took place 14-20 July 2018 in the San Luis Valley (SLV) of Colorado. The goal of this project was to utilize a fleet of small UAS to sample sub-mesoscale variability occurring in the lower atmosphere of an alpine desert valley that resulted from surface-air interactions within complex terrain characterized by heterogeneous surface conditions (de Boer et al. 2020a). Several meso-gamma-scale (i.e., 2-20 km in extent) circulations were expected including drainage winds, valley flows, and thunderstorm outflows; however, the extent, strength and depth of these flows was not well known due to a lack of observations in the area. The impact of surface heterogeneities (specifically, irrigated cropland versus fallow fields and desert shrubland) on boundary layer evolution and their influence on triggering moist deep convection was also targeted with UAS missions.

The process of driving a Large Eddy Simulation (LES) model with time-varying mesoscale forcing at the lateral boundaries is known as mesoscale-to-microscale (M2M) coupling (Haupt et al. 2019). The need for LES-permitting environmental prediction spans many economic sectors, including applications in wind energy (Olson et al. 2019), hydrometeorology and flash flood prediction (Silvestro et al. 2019), and precision agriculture (Tesfahuney et al. 2013). In addition, accurate wildland fire prediction requires simulating the impact of fine-scale terrain features on air flows as well as fire-weather feedbacks that occur at  $O(100\text{ m})$  scales (Jiménez et al. 2018). In wind energy, wind farm operators need a high-resolution (in space and time) depiction of winds vary across their turbine arrays in order to estimate energy output (Liu et al. 2011). Early on, Bryan et al. (2003) demonstrated the importance of resolving fine-scale flow features in order to accurately simulate the evolution of tornado- and flash flood-producing super cell convective storms.

The use of small UAS in commercial applications has grown immensely over the past few years and will soon be performing routine flight Beyond Visual Line Sight. This paradigm shift will require improve weather guidance products to support safety and efficiency. The performance

71 and recoverability of small UAS is influenced by weather conditions (e.g., gusty winds, vertical  
72 wind shear, wind shift boundaries, thermals) that are considered benign by general aviation.  
73 Small UAS are susceptible to these conditions because of their lighter weight, weaker thrust and  
74 limited energy supply (Ranquist et al. 2017). In addition, keeping small UAS within visual line  
75 of sight (which is an FAA Part 107 regulation for many current small UAS operators) can  
76 quickly become problematic under a range of conditions including development of fog, lowering  
77 cloud bases, as well as haze/pollution and sun angle considerations. As commercial uses of small  
78 UAS continue to expand, fine-scale weather information at scales much smaller than that  
79 currently resolved by operational Numerical Weather Prediction (NWP) models will be needed  
80 to ensure safety and improve cost-effectiveness of operations (e.g., Campbell et al. 2017,  
81 Glasheen et al. 2020; Steiner 2019; Garrett-Glaser 2020). Campbell et al. (2017) point out that  
82 analyses and forecasts of winds and turbulence in the lower atmosphere are currently not  
83 adequate for supporting efficient UAS Traffic Management (UTM) that require accurate wind  
84 information at scales relevant to UAS routing structures. At the same time, Roseman and Argrow  
85 (2020) note the importance of accurate, high-resolution analyses and predictions of weather  
86 hazards and associated uncertainties to support UAS flight planning.

87  
88 The LAPSE-RATE field experiment offered an opportunity to work with UAS operators to  
89 better understand their needs and potential risks for when operating in a high alpine desert  
90 environment characterized by a range of small-scale flow patterns. At the same time,  
91 atmospheric data collected during the experiment can be used to assess WRF LES predictions  
92 and to assess the value of UAS observations in data assimilation experiments (e.g., Jensen et al.  
93 2020). Ultimately, studies are needed to determine the potential value of these very high  
94 resolution simulations in supporting UAS operations versus using output from coarser-resolution  
95 mesoscale models that will continue to be operational over the next decade or longer.

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97 The grid-spacing used in operational NWP models has been rapidly decreasing over the past two  
98 decades but has leveled off in recent years. At grid-spacings of less than 1 km, the partial  
99 differential equations describing the spatiotemporal evolution of weather begin to resolve  
100 circulations in the boundary layer that are treated by planetary boundary layer (PBL) schemes.  
101 At the same time, atmospheric turbulence closure schemes used in LES models are not designed

102 to operate at grid spacings greater than  $\sim 100$  m. Because of these issues, Wyngaard (2004)  
103 named this problematic range of model grid spacings (100 m-1 km) the “terra incognita.” Thus,  
104 simulating the impact of mesoscale flows on local turbulence properties of the atmosphere  
105 requires a substantial increase in the resolution between the mesoscale and microscale grids (e.g.,  
106 Muñoz-Esparza et al. 2017).

107

108 Over the past 5 years, work has progressed on M2M coupling (Haupt et al. 2019). It has been  
109 shown that the performance of mesoscale models running at sub-kilometer grid spacing may  
110 actually suffer a degradation in skill. Rai et al. (2016, 2019) have shown that the skill of a  
111 mesoscale model forecast can actually decrease when using grid-spacings of between 0.5 to 1.25  
112 km compared to grid-spacings greater than 1.25 km. These findings are important when  
113 considering that current operational forecasting centers are beginning to implement grid mesh  
114 nests with O(1km) grid spacing. As mentioned above, grid spacings of between 150 m and 1 km  
115 are too coarse for sub-grid-scale parameterizations used in LES to work properly, and have been  
116 shown to systematically over-estimate turbulence energy content (Doubrawa and Muñoz-  
117 Esparza, 2020). Thus, general guidelines for M2M have been developed that recommend  
118 avoiding the range of grid resolutions that span the terra incognita.

119

120 Another key consideration in M2M coupling is the distance from the LES domain edge required  
121 to fully spin up turbulent motions within the LES grid. Muñoz-Esparza et al. (2014)  
122 demonstrated that the absence of fully spun-up turbulence in LES can lead to an imbalance  
123 between the subgrid-scale and resolved scales of motion that not only degrades the turbulence  
124 intensity estimates but can also results in a spurious wind speed profile. Markowski and Bryan  
125 (2016) have reported that LES without properly developed turbulence produces unrealistic near-  
126 surface vertical wind profiles with excessive vertical wind shear. Muñoz-Esparza and Kosović  
127 (2018) have shown that the distance required for turbulent motions to fully develop is related to  
128 the ratio of the convective velocity scale and the horizontal mean wind during convective  
129 daytime conditions.

130

131 These considerations must be taken into account when designing a M2M forecast system. The  
132 model configuration used to generate real-time microscale forecast guidance to support both the

133 Intensive Operation Period (IOP) planning and small UAS operations during LAPSE-RATE is  
134 described in Section 2. Examples of the guidance products that were generated during the  
135 experiment and preliminary comparisons with observational datasets are given in Section 3. A  
136 detailed description of file naming conventions and data formats is provided in Section 4 and  
137 data availability is detailed in Section 5 with a summary of the work being given in Section 6.

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## 139 **2. Model Configuration**

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141 Version 3.9.1.1 of the Weather Research and Forecasting (WRF) model (Skamarock and Klemp  
142 2008, Skamarock et al. 2008) was configured and automated to generate twice-per-day fine-scale  
143 simulations to support UAS operations. The system was adapted from that developed by Jiménez  
144 et al. (2018) to support wildland fire management. Control scripts were developed and adapted to  
145 ingest model forcing datasets, convert them to WRF input data formats, execute a nested WRF  
146 model configuration, and post process model data to provide UAS weather hazard guidance in  
147 real time. Guidance on local winds, thermal, and turbulence patterns required implementation of  
148 a WRF LES grid (innermost domain in Fig. 1) capable of resolving terrain-driven flows and  
149 boundary layer structures on scales relevant for UAS flight planning. The simulations were  
150 performed twice per day on the Cheyenne supercomputer (CISL 2019). The real-time predictions  
151 and post-processing were run in under 5 hours using 59 cores (2124 processors)<sup>1</sup>. Details of the  
152 model configuration are given in Table 1 and described further below.

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154 A schematic representation of the run-time configuration is shown in Fig. 2. The simulations  
155 used to support next-day planning were driven at the lateral boundaries of D01 (not archived)  
156 using forecast data from the 12:00 UTC run from NCEP's Global Forecast System (GFS) model  
157 (Version 14). A detailed description of the GFS is provided online.<sup>2</sup> The GFS runs at 18 km  
158 resolution and uses advanced techniques for assimilation of data collected from platforms  
159 ranging from surface met stations to satellites. For day-of planning, D02 was initialized and  
160 forced using the 04:00 UTC run of the High Resolution Rapid Refresh (HRRR) model (version  
161 2), also run at NCEP. In addition to hourly cycling to assimilate conventional observations, the

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<sup>1</sup>Processor specs: 2.3-GHz Intel Xeon E5-2697V4 (Broadwell) processors, 16 flops per clock

<sup>2</sup>[https://www.emc.ncep.noaa.gov/emc/pages/numerical\\_forecast\\_systems/gfs/implementations.php](https://www.emc.ncep.noaa.gov/emc/pages/numerical_forecast_systems/gfs/implementations.php)

162 HRRR performs 15-min cycling to assimilate radar reflectivity data using latent heat nudging  
163 within the Gridpoint Statistical Interpolation module (Benjamin et al. 2016), producing a new  
164 18-hour HRRR forecast each hour. The D02 domain is run for 6 or 16 hours depending on the  
165 forecast input (HRRR versus GFS, respectively) to allow model dynamics and thermodynamics  
166 to come into balance prior to initiating the innermost domain, D03 (referred to as WRF LES  
167 hereafter). Sensitivity studies revealed that a 6-hour spin-up period provided an adequate amount  
168 of time for noise and spurious gravity waves in D02 to dissipate, thus producing well-balanced  
169 flows need to drive the lateral boundaries of D03.

170  
171 In both simulations, the WRF LES domain is initialized at 10:00 UTC with simulations being  
172 integrated 12 hours out to cover the period of interest for UAS flight planning and deployment.  
173 The requirement for simulations to be available for planning purposes dictated the domain  
174 configuration, domain size, grid spacing, and which operational forecast models were used to  
175 drive the prediction system. The runs were generally available at 4 pm (GFS-forced runs) and 4  
176 am (HRRR-forced runs) MDT (UTC = MDT + 7) each day. The run available at 4 pm MDT was  
177 used by LAPSE-RATE participants to decide which IOP flight configurations to deploy the next  
178 day. The day-of guidance, available at 4 am MDT, was used to assess if the weather situation had  
179 notably changed from that expected based on the previous day's forecast with specific emphasis  
180 on providing warnings of the potential for conditions that might be hazardous to small UAS  
181 operations.

182  
183 In order to perform simulations with M2M coupling in real time, a refinement ratio of 9:1  
184 between the parent domain (D02, 1 km grid spacing) and the WRF LES grid (D03, 111 m grid  
185 spacing) was used. This nesting ratio, which is identical to that used by Muñoz-Esparza et al.  
186 (2018) and Jiménez et al. (2018), resulted in well-behaved simulations that all completed without  
187 error. As discussed above, this large refinement ratio was used to minimize the impact of the  
188 terra incognita range of grid resolutions for which boundary-layer parameterizations were not  
189 designed (Wyngaard 2004, Zhou et al. 2014). Using this 9:1 refinement ratio allows for use of  
190 the MYNN turbulence parameterization (Nakanishi and Niino 2009) on the 1 km grid mesh  
191 (D02) while no PBL scheme is used on the innermost grid and the model generates its own  
192 turbulent motions with the large turbulent eddies being fully resolved. The turbulent kinetic

193 energy (TKE) of the sub-grid scale motions within the LES grid were diagnosed following the  
194 treatment described by Lilly (1966, 1967) and formalized in terms of grid-spacing dependent  
195 eddy diffusivities by Deardorff (1980) in their Equation 6.

196  
197 While Rai et al. (2019) indicate that the MYNN scheme was prone to developing spurious  
198 structures in the PBL at horizontal resolutions comparable to the boundary layer depth, Muñoz-  
199 Esparza et al. (2017) found that, in general, the MYNN scheme performed best in simulating the  
200 evolution of the boundary layer throughout the diurnal cycle when using model grid spacings of  
201 1 km or greater. In addition, Rai et al. (2019) found that performance of the WRF LES is less  
202 sensitive to the PBL scheme used in the parent domain than it is to the sub-grid-scale turbulence  
203 parameterization used in the LES domain. A similar conclusion was found by Liu et al. (2020) in  
204 simulating flows over complex terrain. Thus, it was decided to use the MYNN scheme, since the  
205 simulation periods spanned the transition from stable nocturnal morning boundary layer to  
206 daytime convective boundary layer.

207  
208 Finally, it should be noted that the cell perturbation technique outlined by Muñoz-Esparza et al.  
209 (2014) was not utilized in this implementation of WRF LES. As it turned out, boundary layer  
210 winds were rather weak (i.e., less than  $5 \text{ m s}^{-1}$ ) and surface-based heat fluxes were strong; thus,  
211 PBL growth and the evolution of turbulence structures was dominated by local processes and  
212 vertical turbulent transport (Muñoz-Esparza and Kosović, 2018). Under these conditions, adding  
213 perturbations at the lateral boundaries to aid development of turbulence along the inflow  
214 boundaries is not necessary. In addition, the large extent of our domain ensured flow  
215 equilibration within the region of interest which was far removed from the WRF LES lateral  
216 boundaries.

217  
218 Surface fluxes were computed using the revised MM5 surface layer parameterization which  
219 includes updates to the Monin-Obukhov-based surface layer parameterization, improving the  
220 computation of surface layer fluxes by smoothly extending the stability function over a wide  
221 range of stability conditions following Jiménez et al. (2012). This treatment greatly improves the  
222 simulation of surface fluxes under more extreme stability conditions experienced during LAPSE-  
223 RATE. Land surface type, which determines the surface roughness length and albedo (among



224 other properties) was prescribed using 1-km resolution MODIS 20-category data. Terrain was  
225 also prescribed using 1 km data from the U.S. Geological Survey (USGS). General properties of  
226 the model configuration and a listing of the physical parameterizations used to produce the  
227 simulations are provided in Table 1.

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229 A vertically stretched terrain-following sigma coordinate is used with vertical resolution of less  
230 than 150 m in the lowest 1.25 km of the model (Fig. 1). The top of the model was moved from a  
231 standard height of 50 hPa to 200 hPa (i.e., omitting model levels in stratosphere) in order to  
232 accommodate increased vertical resolution near the surface and timely completion of the  
233 simulations. The influence of this reduced model top has been shown to have minimal impact on  
234 the evolution of the lower atmosphere (Jiménez et al. 2018). Vertically-propagating gravity  
235 waves are attenuated using  $w$ -Rayleigh damping within a 5000 m deep layer below the model top  
236 with a damping coefficient of  $0.2 \text{ s}^{-1}$  (Klemp et al. 2008).

237  
238 The raw model data were post-processed using a modified version 3.2 of the NCEP Unified Post  
239 Processor (UPP, see the UPP User's Guide V3.0 for details<sup>3</sup>) and sent to a data server for  
240 immediate rendering as images that could be viewed within a web-based display. Modifications  
241 to the UPP for this study include adding output fields of relative humidity, TKE and vertical  
242 velocity and handling sub-hourly data. Images from the LES grid were used during the daily  
243 weather briefings to support next-day flight planning. The UPP was configured to immediately  
244 post-process the data from both D02 and D03 (i.e., the LES domain). The UPP was used to de-  
245 stagger the  $u$ - and  $v$ -components of the wind field so that the wind and mass fields were all on a  
246 common grid. Data were also vertically interpolated from the computational sigma coordinate  
247 system to flight levels (i.e., meters above ground level). In order to save space, only data from 20  
248 flight levels spanning the lowest 5 km AGL of the simulations are available in the archive. These  
249 three-dimensional data grids were stored every 10 minutes. Fine temporal resolution profiles and  
250 near-surface variables were stored for select model grid points that corresponded with locations  
251 where fixed assets were deployed during LAPSE-RATE (Fig. 1). A detailed description of the  
252 file naming convention and the content of each file stored in the archive is given in Section 4.

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<sup>3</sup><https://dtcenter.org/sites/default/files/community-code/upp-users-guide-v3.pdf>

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### 3. Data products and preliminary assessment

An overview of model performance over the course of the LAPSE-RATE field experiment is shown in Figure 3 using 10-m wind predictions from all six HRRR-forced (using the 06-18 hour HRRR forecast lead times to drive lateral boundaries of D02) simulations. It is noted that the GFS-forced simulations were generally less accurate than the HRRR-forced simulations likely due, in part, to the more coarse resolution and longer lead times (22-34 hour forecast lead times) of the GFS used to drive the next-day WRF LES runs. Thus, the analyses hereafter focus on results from the HRRR-forced simulations which give the LES the best chance of matching with the observations. Modeled 10-m winds obtained from both domains are compared with Automated Weather Observing Station (AWOS) observations obtained at Saguache Airport near the mouth of Saguache Canyon (see Fig. 1 for location of the AWOS called SAG). The light blue boxes indicate transition and overnight periods (18:00 to 06:00 MDT). The winds observed at SAG demonstrate a clear diurnal signal each day, with drainage winds from the northwest (i.e.,  $u > 0$  and  $v < 0$  m s<sup>-1</sup>, respectively) developing around midnight (00:00 MDT) and typically dissipating and reversing (i.e.,  $u < 0$  and  $v > 0$  m s<sup>-1</sup>) about 2 hours after sunrise. The weakest drainage winds were observed on 16 and 17 July 2018 which were characterized by persistent overnight convective anvil cloud cover that limited radiative cooling at the surface.

Overall, the diurnal variability was captured quite well by both domains. Both D02 and D03 had very similar timing for the flow reversal from drainage to up-canyon winds between 08:00-10:00 MDT each day (Fig. 3). Because the larger-scale variability in WRF LES closely tracks that in D02, it is clear that the performance of WRF D02 was critical in properly initializing the drainage flow within the nested LES domain as well as in downscaling the HRRR data and driving the lateral boundaries of the WRF LES computational grid. It is noted that the onset of drainage flow winds always occurred prior to the 04:00 MDT initialization time of the D03. Additional simulations have been performed in archive mode to assess the onset of the drainage flow within the WRF-LES (D03) domain and will be reported on in the future.

284 Throughout the one-week period, the largest differences in the wind speed and direction between  
285 the two domains occurred during the daytime (Figure 3). There are several stronger wind spikes  
286 evident in the D02 timeseries that are not evident within D03 with obvious daytime offsets  
287 occurring after noon local time on the 19 July 2018. Further inspection revealed that these  
288 differences were associated with differences in the placement of moist convection and  
289 subsequent outflows within the two domains. Differences in the modeled evolution of the  
290 nighttime drainage flows obtained within the two domains are generally small except on the 19  
291 July 2018 when the westerly component is much stronger in D03 (note that the v-component is  
292 more similar). Despite this initial offset between D02 and D03 initialization, the 10-m winds  
293 become very similar within about 2 hours of the D03 initialization. Further analyses are ongoing  
294 to assess why there was such a large difference between D02 values and those used as initial  
295 conditions in D03 for this case.

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297 While the predicted timing of stronger afternoon winds was not exact, the general trends toward  
298 gustiness in the afternoon was well-captured by both domains. On 17 July 2018, convection and  
299 associated outflow winds near the surface were predicted within both domains at SAG (Fig. 3).  
300 Surface observations (Fig. 3) indicate that stronger winds did develop in the afternoon in  
301 response to convective storms that were evident in the Pueblo NEXRAD reflectivity field (not  
302 shown). As observed, the model predicted winds to increase at SAG as convective storms over  
303 higher terrain, produced outflows and propagated southward into the SLV (Fig. 4). Outflow  
304 winds exceeding  $10 \text{ m s}^{-1}$  are predicted at several locations around the edges of the SLV  
305 including areas around Saguache and just west of the Sangre De Cristo Mountains (Fig. 4a). The  
306 leading edge of the outflow boundaries near Saguache are accompanied by enhanced upward  
307 motions (Fig. 4b). The outflows merged with thermals organized in hexagonal-like cells which  
308 are still evident in areas that have yet to be disturbed by moist convection in the prediction.  
309 These predictions of highly a variable 3D wind field (strong winds, thermal updrafts and  
310 downdrafts exceeding  $2 \text{ m s}^{-1}$ ) and the possibility of convective precipitation are all potential  
311 hazards to UAS safety and efficiency and, as such, would be critical information for support  
312 UAS flight planning and UAS Traffic Management (UTM).

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314 As mentioned above, drainage winds were observed at SAG before sunrise each day during  
315 LAPSE-RATE. A drainage flow IOP took place on 19 July 2018. Figure 3 indicates fairly strong  
316 ( $|U| > 6 \text{ m s}^{-1}$ ) drainage winds were predicted at SAG within both D02 and D03. Comparison of  
317 the wind field obtained from the operational HRRR run with that obtained with WRF LES at 80  
318 m AGL reveals notable differences in the scales of variability resolved by the two models (Fig.  
319 5). Larger-scale features are relatively similar between the two models. Both indicate persistent  
320 northwesterly flow at mountain top perpendicular to the San Juan Mountains in the early  
321 morning (06:00 MDT) with weaker, generally southerly winds throughout the SLV. Both models  
322 also indicate the presence of drainage flow from the Rio Grande Canyon during the early  
323 morning but only the WRF LES shows drainage winds emanating from Saguache Canyon. In  
324 addition, the HRRR indicates widespread stronger ( $4\text{-}5 \text{ m s}^{-1}$ ) up-valley flow across much of the  
325 SLV during this time while the WRF LES indicates the presence of narrow channels of weaker  
326 (generally less than  $3 \text{ m s}^{-1}$ ) up-valley flow. Finally, WRF LES indicates the presence of several  
327 smaller-scale (meso-gamma scale) circulations / eddies within the SLV that are not evident in the  
328 HRRR.

329  
330 By 12:00 MDT, the patterns have diverged even further. The HRRR indicates that the persistent  
331 northwesterly winds near mountain tops have weakened and winds within the SLV have rotated  
332 to upslope on both sides of the SLV with very weak winds in the center of the SLV (Fig. 5). The  
333 WRF LES maintains slightly stronger mountain top winds with notably weaker and smaller-scale  
334 areas of upslope flow along the San Juan and Sangre De Cristo Mountains. The WRF LES also  
335 has generally weaker winds throughout the SLV at this time ( $< 2 \text{ m s}^{-1}$ ). WRF LES also depicts  
336 evidence of the convective PBL development, which results in a small scale cellular pattern of  
337 locally enhanced low-level winds. The HRRR only depicts larger-scale variability with strongest  
338 winds ( $5 \text{ m s}^{-1}$ ) along the foothills of the San Juan Mountains.

339  
340 Drainage winds develop in response to horizontal pressure gradients that form via differential  
341 cooling of air within the canyon (and along the canyon walls) and cooling of air at the same  
342 height out over the valley. The potential temperature patterns evident in Fig. 5c reveal the cold  
343 drainage flow in Saguache Canyon resulting in sharp horizontal gradients along a terrain-  
344 following sigma level near roughly 80 m AGL (note that the height of the sigma level varies

345 slightly with x,y and 80 m AGL is the domain average value). By noon, it is clear that  
346 temperatures in the canyon have warmed faster than surrounding higher terrain which results in  
347 local rising of air and a reversal of flow in the canyon to southeasterly.

348  
349 The modeled evolution of the cold pool that developed just outside the mouth of Saguache  
350 Canyon is assessed using observations from NSSL radiosondes (Fig. 6). Most of the NSSL  
351 soundings obtained on 19 July 2018 were collected roughly 11 km southeast of Saguache Airport  
352 (2393 m MSL) with a surface elevation of 2313 m MSL. The five radiosondes that were  
353 launched at this location indicate that the cold pool was generally less than 100 m deep with a  
354 temperature deficit of 5°C. The WRF LES captures the strength of this shallow inversion layer  
355 fairly well. The modeled strength of the surface-based inversion is also readily apparent in the  
356 modeled temperature profile shown in Fig. 1 (red shows modeled temperature profile at NSSL  
357 site). Both the modeled and observed potential temperature profiles exhibit a weakly stable  
358 stratification above the surface-based inversion. The WRF LES also generally captures the  
359 timing of convective mixing between 08:30 and 12:00 MDT as is evident in the increasing depth  
360 of constant potential temperature (indicating the depth of the well-mixed layer). Thus, the  
361 simulation generally captures both the vertical structure and evolution of the stability for this  
362 case with only slight discrepancies in the stability just above the surface-based inversion.

363 The modeled moisture profile is also similar to observed except for a notable dry bias near the  
364 surface. Biases of about 10 % are evident above the surface-based inversion layer. The large dry  
365 bias at the surface is potentially indicative of the surface boundary being too dry in the model.  
366 Future work could be performed to assess the whether improving the lower-boundary condition  
367 improves the forecasts.

368 In terms of winds, both the soundings and WRF LES data indicate significant vertical shear and  
369 temporal variability in winds within the lowest 1 km of the atmosphere. NSSL sounding data  
370 reveal that winds below 400 m are generally light and from the east-southeast prior to sunrise  
371 with stronger northwesterlies above 500 m AGL. This is in contrast to observations at SAG  
372 where low-level winds are from the northwest at 5 m s<sup>-1</sup> prior to sunrise (Fig. 3). The 07:00 MDT  
373 sounding detects weak northwesterly flow below 100 m which indicates that drainage winds may  
374 have briefly extended to the NSSL sounding site. The WRF LES data indicate the presence of

375 much stronger and elevated drainage winds at the NSSL launch site between 05:00 and 08:30  
376 MDT (decreasing with time after 07:15 MDT) with low-level jet core winds exceeding  $6 \text{ m s}^{-1}$   
377 between 150 and 400 m AGL (much stronger than any winds that were observed below 1 km  
378 AGL).

379 Examination of the spatial extent of the drainage flow in the WRF LES simulation indicates the  
380 presence of sharp gradients in the modeled strength and extent of northwesterly winds (Fig. 5b).  
381 Thus, small offsets in the modeled position of the drainage flow can result in significant model  
382 error when point-based comparisons are made. In addition, evidence presented by Jensen et al.  
383 (2020) demonstrates sensitivity of the modeled drainage flow to initial conditions. They found  
384 that assimilating UAS observations generally improved the simulated evolution, strength and  
385 timing of the drainage flow and subsequent flow reversal. Despite biases in the simulated  
386 drainage flow, both the WRF LES and NSSL soundings indicate that east-southeast winds  
387 deepen with time after sunrise in response to daytime heating with sunrise being at 06:30 MDT  
388 (Fig. 6d).

389 A key aspect of the WRF LES simulations is the ability to explicitly resolve convective  
390 boundary layer structures (Nolan et al. 2018) and meso-gamma scale flows described above.  
391 Examination of the drainage flow case indicates that the WRF LES also had skill in predicting  
392 finer-scale variations in the winds and characteristics of turbulence as the boundary layer  
393 transitioned from nocturnal to convective in complex terrain. As evident in the predicted surface  
394 winds (Figure 3), the strength of the drainage flow was over-predicted on 19 July 2018. This bias  
395 is evident as a deep layer of strong winds in WRF LES that initially exceed  $10 \text{ m s}^{-1}$  through the  
396 lower atmosphere (Fig. 7a). This bias is related to biases in the initial conditions and boundary  
397 conditions provided by D01. Lidar observations indicate the presence of a much shorter-lived  
398 drainage flow with a weaker peak winds (peak value  $\sim 6 \text{ m s}^{-1}$ ) that undulates between the  
399 lowest range gate and 140 m AGL between 05:00 and 07:00 MDT (Fig. 7b). Interestingly, the  
400 WRF LES demonstrates a similar, albeit slightly later, undulation with stronger winds between  
401 06:00 and 08:00 MDT. Generally, the winds predicted by WRF LES come into better agreement  
402 with the Doppler lidar observations with time as local processes begin to dominate and the  
403 impact of the poor initial conditions begins to wane.

404 Comparison of the turbulent properties of the flow reveal some interesting features as well. Here  
405 we focus on the morning transition which is characterized by PBL growth and transition to up-  
406 canyon flow which was observed to commence at 08:00 MDT. This transition to upvalley flow is  
407 indicated by the sharp change in wind speed in the Doppler lidar data (Fig. 7b) which is  
408 consistent with the 10-m wind observations (Fig. 3). The predicted shift to upvalley flow occurs  
409 about 45 min late (Fig. 7a). Comparisons of wind speed and vertical velocity distributions for  
410 mid and late morning are shown in Figure 7c,f. As expected, the distribution of wind speeds and  
411 vertical velocities changes throughout the morning with both modeled and observed distributions  
412 broadening with time. The model distributions of the 08:00-10:00 MDT wind speed and vertical  
413 velocity are well captured by the model. The biases in the wind speeds for this time period are  
414 due to over-prediction of the drainage flow strength and duration. By late morning, the modeled  
415 distributions for wind speed and vertical velocity are too peaked indicating a delay in the  
416 modeled development of the convective boundary layer. The potential cause of the delay in  
417 simulated turbulence is currently under investigation.

418

#### 419 **4. Description of model dataset**

420

421 Data from the real-time WRF modeling system were post-processed using a modified Version 3  
422 of the UPP. The UPP was extended to handle sub-hourly output and to add additional diagnostic  
423 outputs like TKE at flight levels. The UPP was also used to de-stagger the mass and wind field  
424 grids onto a single common grid, rotate the horizontal wind vectors from grid-relative to earth-  
425 relative frame of reference, interpolate from computational hybrid levels to heights above ground  
426 level (i.e. flight levels), and compute a number of diagnostics (Table 2). A detailed description of  
427 the UPP V3.0 is available online. The grids were output every 10 min for both domains (D02 and  
428 D03). The UPP was also used to convert the data from the raw WRF netCDF format to standard  
429 WMO Grib2 data format. Specifics describing the grid projection are given in Table 3.

430 The file naming convention follows:

431 WRFPRS\_YYYYMMDDhhmm\_dnn.lh\_lm

432 where

433 YYYYMMDD is the date the model run was initialized in UTC

434 hhmm is the hour and minute of the day that the model run was initialized in UTC

435 nn = domain number (01,02 – for 04:00 UTC runs or 02,03 – for 18:00 UTC runs)

436 lh = forecast outlook hour

437 lm = forecast outlook min

438 valid\_time = hhmm + lhlm

439 Additional data were output in ASCII format at three locations (i.e., Saguache Airport, Moffat,  
440 and Leach Airport) where observational systems (both surface-based and UAS) were located  
441 during LAPSE-RATE. The ASCII output files contain model data fields at a frequency  
442 determined by the time step used to integrate the equations of motion within that domain (D02: 6  
443 s, D03: 0.666 s). The files are stored using the following naming convention:

444 XXX.dnn.ZZ.yyyymmddhh.gz

445 where XXX is the location name (SAG - Saguache, MOF - Moffat, LEA - Leach), nn is the  
446 domain number (02 – for 04:00 UTC runs or 03 – for 18:00 UTC runs), ZZ is the variable and  
447 yyyymmddhh is the model initialization time (UTC).

448 These ASCII files are formatted to provide timeseries of profiles up to 1850 m AGL (where VV  
449 = 'PH', 'QV', 'TH', 'UU', 'VV', 'WW') and timeseries of surface meteorological data,  
450 vertically-integrated quantities, and stability parameters (ZZ = 'TS'). The value and units for  
451 each ZZ variable is given in Table 4. The format of the profile timeseries files is such that after  
452 the file header row, each subsequent row (or data record) includes the forecast lead time (LT)  
453 and values of the given variable for the lowest 25 model levels. The valid time in UTC is equal  
454 to hh + LT. Details of the format of the 'TS' files, which contains 19 variables per row, are given  
455 in the README file that accompanies the dataset.

456

457 **5. Data availability**



458

459 An overview of all of the LAPSE-RATE datasets that have been archived is given by de Boer et  
460 al. (2020b), <https://zenodo.org/communities/lapse-rate/>). Because of the size of the model files,  
461 in particular the 3D grids, only the timeseries ASCII data files and samples of the 3D model  
462 grids are provided at <https://zenodo.org/record/3706365#.X8VwZrd7mpo> (Pinto et al. 2020b).  
463 The full set of model grids and timeseries files can be obtained from the NCAR's Digital Asset  
464 Services Hub (DASH) repository at <http://doi.org/10.5065/83r2-0579>. (Pinto et al. 2020a). In  
465 addition, raw model data that have not been post-processed (i.e., not destaggered, hybrid levels,  
466 and stored in WRF netCDF format) are available upon direct request to the corresponding  
467 author.

468

## 469 **6. Summary**

470

471 Microscale real-time simulations were conducted to support both next-day IOP planning and  
472 day-of UAS flight operations during LAPSE-RATE. Key components of this dataset are that  
473 they were generated using a nested configuration that enabled M2M coupling in which  
474 operational forecasts from both the GFS and the HRRR model were used to drive a nested WRF  
475 model configured such that the innermost domain was run at LES-permitting horizontal grid  
476 spacing of 111.11 m producing twice-per-day microscale predictions of a suite of variables for  
477 region characterized by arid conditions and complex terrain. The data were processed on-the-fly  
478 using the UPP to store the output in operationally-compatible standard Grib2 data format.  
479 Special fields were computed to support UAS operations including cloud ceiling height, radar  
480 reflectivity (used to diagnose convective hazards), visibility and turbulence intensity. The  
481 temperature and 3D velocity field generated with the WRF LES also contained relevant  
482 information with regard to the presence and intensity of thermals, the boundary layer depth, and  
483 the presence of realistic boundary layer structures in the finescale predictions (Nolan et al. 2018).

484

485 Initial comparisons between model data and observations indicate that the model generally  
486 captured the meso-gamma scale flows that characterized the low-level winds in the SLV during  
487 LAPSE-RATE. Conditions that were simulated over the course of the experiment include  
488 nocturnal terrain-driven flows, valley flows, convective boundary layer evolution, turbulence

489 structures including hexagonal open cells, transitions from stable to convective PBL to moist  
490 deep convection and development of outflows. The wealth of observations collected by a number  
491 of small UAS and many ancillary platforms deployed during LAPSE-RATE will be a great asset  
492 for both evaluating fine-scale weather prediction models and assessing the value of UAS data  
493 assimilation in data sparse regions and complex terrain (e.g., Jensen et al. 2020).

494

495

496 **Authors Contributions.** Dr. Pinto led all aspects of the deployment, data analyses and was lead  
497 author on the article. Dr. Steiner developed initial concept of deploying a finescale prediction  
498 system to LAPSE-RATE. Dr. Jiménez configured, optimized, and monitored the realtime nested  
499 model configuration with guidance from Dr. Muñoz-Esparza. Dr. Jensen performed data  
500 analyses and worked on final implementation of the realtime system. Ms. Hertneky implemented  
501 extensions to the UPP and set up post-processing to convert raw WRF output files to WMO  
502 standard Grib2 data format. A. Dumont developed the data display capability. All authors  
503 contributed to manuscript edits.

504

505 The authors declare that they have no conflict of interest.

506

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521

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699 **Table 1.** Model configuration.

<b>Parameter</b>	<b>Domain 1 (D02)</b>	<b>Domain 2 (D03)</b>	<b>Reference</b>
time step	6 s	0.667 s	
grid spacing	1 km	0.111 km	
E-W size	487	1008 (112 km)	number of grid points (km)
N-S size	637	972 (108 km)	number of grid points (km)
microphysics	WSM6	WSM6	Hong and Lim (2006)
longwave radiation	Dudhia	Dudhia	Dudhia (1989)
shortwave radiation	RRTMG	RRTMG	Iacono et al. (2008)
radiation time step	10 min	5 min	
surface layer	Revised MM5	Revised MM5	Jiménez et al. (2012)
land surface model	Unified Noah LSM	Unified Noah LSM	Tewari et al. (2004)
land use	MODIS 20-category	MODIS 20-category	Friedl et al. (2010)
PBL physics	MYNN	explicit	Nakanishi and Niino (2009)
cumulus physics	none	none	

**Table 2.** Description of gridded output variables in Grib2 format.

Field Name	Description	Levels <sup>s</sup>	Units	Notes
ELON, NLAT	longitude, latitude	---	deg	• lambert conformal
UGRD, VGRD	Earth-relative wind components	1,103	m s <sup>-1</sup>	• includes value at 10 m
TMP	temperature	1,103	C	• includes value at 2 m
RH	relative humidity	103	%	• includes value at 2 m
DZDT	vertical velocity	103	m s <sup>-1</sup>	• profile levels only
DZDT	layer mean vertical velocity	200	m s <sup>-1</sup>	• average between sigma levels 0.8 and 0.5
MAXUVV	maximum downward vertical velocity	100	m s <sup>-1</sup>	• max in previous 10 min lowest 400 hpa
MAXDVV	maximum upward vertical velocity	100	m s <sup>-1</sup>	• max in previous 10 min lowest 400 hpa
PRES	pressure	1,103	Pa	• includes value at 2 m
TKE	subgrid-scale turbulent kinetic energy	103	m <sup>2</sup> s <sup>-2</sup>	• profile levels only
SPFH	specific humidity	1,103	kg kg <sup>-1</sup>	• surface and 2m only
WIND	maximum wind speed	103	m s <sup>-1</sup>	• maximum wind speed at 10 m in previous 10 min
APCP	total accumulated precipitation	1	kg m <sup>-2</sup> (mm)	• total accumulation up to forecast lead time
PRATE	precipitation rate	1	kg m <sup>-2</sup> s <sup>-1</sup> (mm s <sup>-1</sup> )	• instantaneous
REFC	composite reflectivity	200	dBZ	• max in column
ULWR	upward longwave radiative flux	1	W m <sup>-2</sup>	• at surface
DLWR	downward longwave radiative flux	1	W m <sup>-2</sup>	• at surface
DSWR	downward shortwave radiative flux	1	W m <sup>-2</sup>	• at surface
SHTFL	sensible heat flux	1	W m <sup>-2</sup>	• at surface

LHTFL	latent heat flux	1	$W m^{-2}$	• at surface
GFLUX	ground heat flux	1	$W m^{-2}$	• at surface
TCOLW	total column condensed water	200	$kg m^{-2}$ (mm)	• vertically-integrated condensed water
PWAT	precipitable water (vapor only)	200	$kg m^{-2}$ (mm)	• vertically-integrated water vapor
HGT	ceiling	215	m ASL	• height of lowest cloud base
HGT	terrain height	1	m ASL	
VIS	visibility	3	m	• recommended
CAPE	surface-based convective available potential energy	1	$J kg^{-1}$	
CIN	convective inhibition	1	$J kg^{-1}$	

<sup>s</sup>Level codes: 1 = surface, 3 = visibility at surface, 100= lowest 400 hPA, 103 = profile (height AGL), 200 = column, 215 = cloud ceiling height

701

**Table 3.** Projection information for Grib2 for WRF LES grid (D03).

Projection Property	Values	
type	lambert conformal	
min latitude, longitude	37.5507	-106.672
lower-left minx, miny	-45.4529 km	-27.449654 km
$\Delta x, N_x$	1008	0.111 km
$\Delta y, N_y$	882	0.111 km
origin latitude, longitude	37.8	-106.15

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**Table 4.** Description of variables stored for point locations in ASCII format.

<b>Field Name</b>	<b>Description</b>	<b>Units</b>	<b>Notes</b>
TS	time series of 14 variables.	NA	See README file included with distribution.
UU	u-component of the wind	$\text{m s}^{-1}$	positive is from the west
VV	v-component of the wind	$\text{m s}^{-1}$	positive is from the south
WW	vertical velocity	$\text{m s}^{-1}$	positive is upward
TH	potential temperature	K	
PH	geopotential height	m ASL	
QV	water vapor mixing ratio	$\text{g g}^{-1}$	
TK	resolved turbulent kinetic energy	$\text{m}^2 \text{s}^{-2}$	D03 only
EP	epsilon eddy dissipation	$\text{m}^{2/3} \text{s}^{-1}$	D03: Sub-grid scale EDR
TP	PBL scheme TKE	$\text{m}^2 \text{s}^{-2}$	D02 only
EL	PBL mixing length	m	D02 only

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709 **Figure 1.** Model terrain for D02 (1 km grid spacing) and D03 (111 m grid spacing) and land use  
710 specification for D03 obtained from MODIS 20-category dataset. Note that D02 was nested  
711 within a mesh with 9 km grid spacing when GFS forcing data was used. The ‘\*’, ‘#’ and ‘^’  
712 mark the location of and AWOS station at Saguache Airport (SAG), Moffat Observing Site  
713 (MOF), and Leach Airport locations, respectively, for which high rate model output data are  
714 available. The filled circle marks the location of the NSSL soundings. WRF-LES profiles of  
715 temperature are given for three grid points (closest to SAG (black), NSSL (red), MOF (green))  
716 for the drainage flow case valid at 06:00 MDT on 19 July 2018. The heights of the half-levels at  
717 each location are indicated by the filled circles.

718

719 **Figure 2.** Input, timing and availability for WRF LES simulations which were executed twice  
720 per day. Next-day planning guidance was generate using forcing from the 12:00 UTC GFS run  
721 while day-of guidance was driven using data from the 04:00 UTC HRRR run. Note that the GFS  
722 runs required three concentric nests to downscale from 0.25 degrees to 111 m grid spacing using  
723 in WRF LES. The dashed black line represents spin up period for D01 before D02 is initiated  
724 starting at 18:00 UTC. The red solid lines indicate the spin-up period for D02 (1 km grid  
725 spacing) in both simulations while the solid blue lines indicate the 12 hour period over which  
726 WRF-LES (D03) was valid. Data from the next-day GFS-forced run were available at 4 pm  
727 MDT while data from the day-of HRRR-forced run were available at 4 am MDT to support UAS  
728 flight planning.

729

730 **Figure 3.** Comparison of predicted u-wind component, v-wind component, and wind speed at 10  
731 m AGL obtained from WRF D02 and WRF LES forced with 04:00 UTC HRRR with  
732 observations (OBS) obtained from an AWOS surface meteorological station at Saguache Airport  
733 located at the base of Saguache Canyon. WRF-D02 data are instantaneous values while WRF-  
734 LES data are 10 min averages. AWOS data from SAG are plotted when available (roughly every  
735 15 min). Night time conditions are indicated by the blue regions (approximately 8 pm to 6 am  
736 MDT). Day of year is indicated along the top. The location of the SAG AWOS station is marked  
737 with an ‘\*’ in Figure 1.

738

739 **Figure 4.** Simulated (a) 80-m winds (direction and magnitude) and 1 hour precipitation  
740 accumulation (R, color contours) and (b) vertical velocities (w) at 180 m AGL from WRF LES  
741 valid at 14:30 MDT on 17 July 2018. Region shown in (b) is a 40 km box outlined in (a) which is  
742 centered on SAG Airport. Terrain contours are also given for reference in both panels. Saguache  
743 Canyon is identified with the red arrow in (a) and (b), while Rio Grande Canyon is pointed out  
744 with the magenta arrow in (a).

745

746 **Figure 5.** Wind speed (colors) and direction (arrows) at 80 m AGL from (a) HRRR (issued at  
747 22:00 MDT on previous day) and (b) WRF LES and (c) WRF LES potential temperature at 80 m  
748 AGL for zoomed in region denoted by the white box in (b) for forecasts valid at 06:00 MDT and  
749 12:00 MDT on 19 July 2018. Model terrain heights are denoted by black contours. Symbols  
750 denote locations as follows: (asterisk – Saguache, star – Del Norte, filled circle – NSSL sounding  
751 site).

752 **Figure 6.** Time-height plots depicting WRF LES modeled evolution of the boundary layer  
753 during drainage flow case observed on 19 July 2018 as evident in (a) potential temperature, (b)  
754 relative humidity, (c) wind speed and (d) wind direction. NSSL sounding data is overlaid within  
755 vertical columns corresponding with radiosonde launch times. Model data (with 10 min output  
756 frequency) is from innermost WRF LES grid at grid point closest to 38.05° N, 160.05° W. The  
757 location of the NSSL soundings on this day is shown in Figure 1.

758 **Figure 7.** Time-height plots depicting evolution of wind speed and vertical velocity from (a, d)  
759 WRF LES and (b, e) University of Colorado Doppler Lidar at Saguache Airport on 19 July 2018.  
760 The bottom row of plots provides distributions of (c) wind speed and (f) vertical velocity from  
761 WRF LES (red) and Doppler lidar (black) using 10 sec data for two time periods (08:00 – 10:00  
762 and 10:00 – 12:00 MDT) using all samples obtained between 40 and 140 m AGL.

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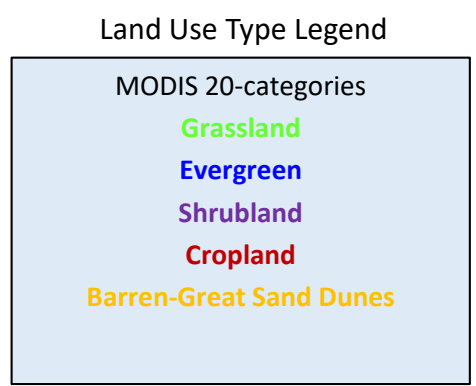
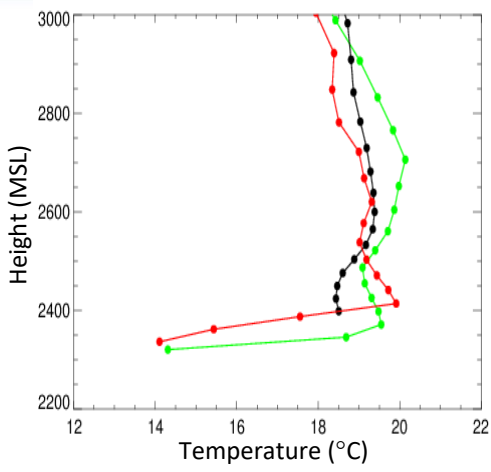
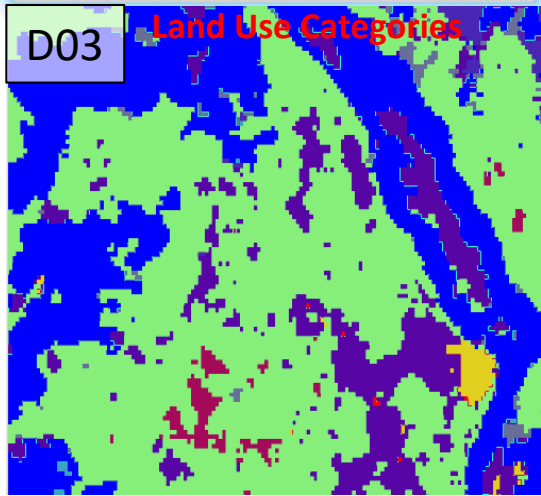
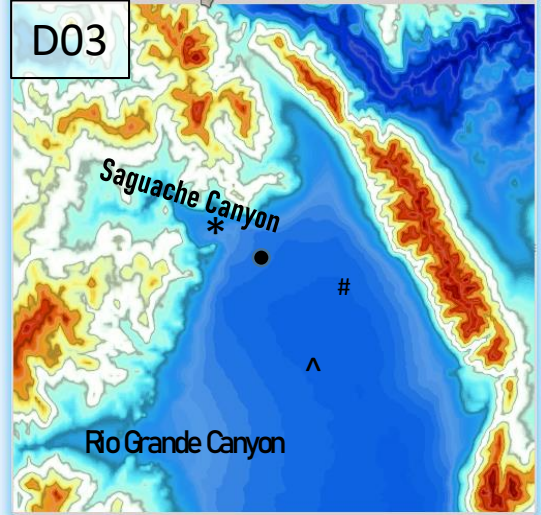
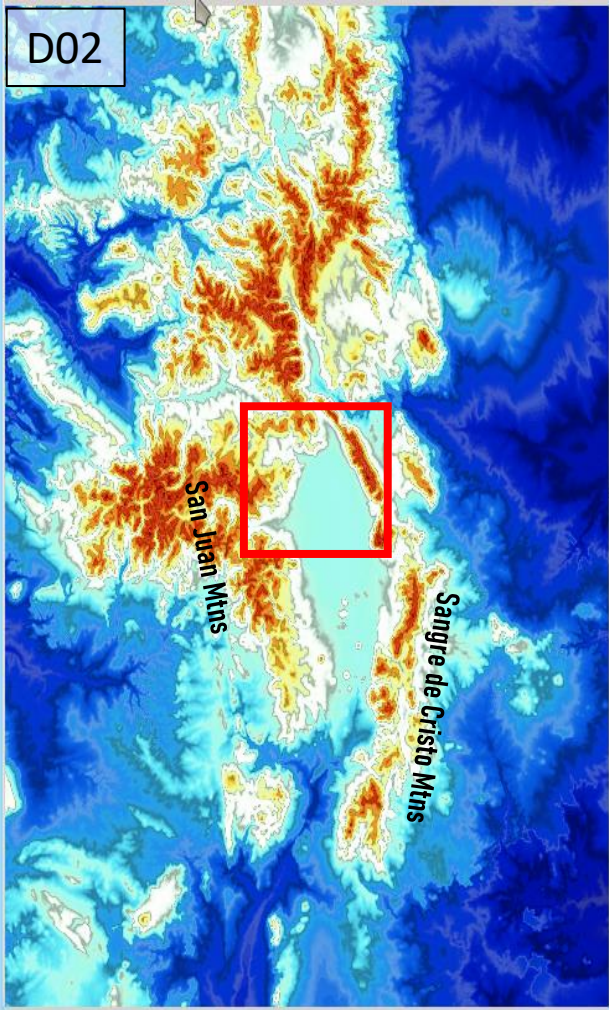
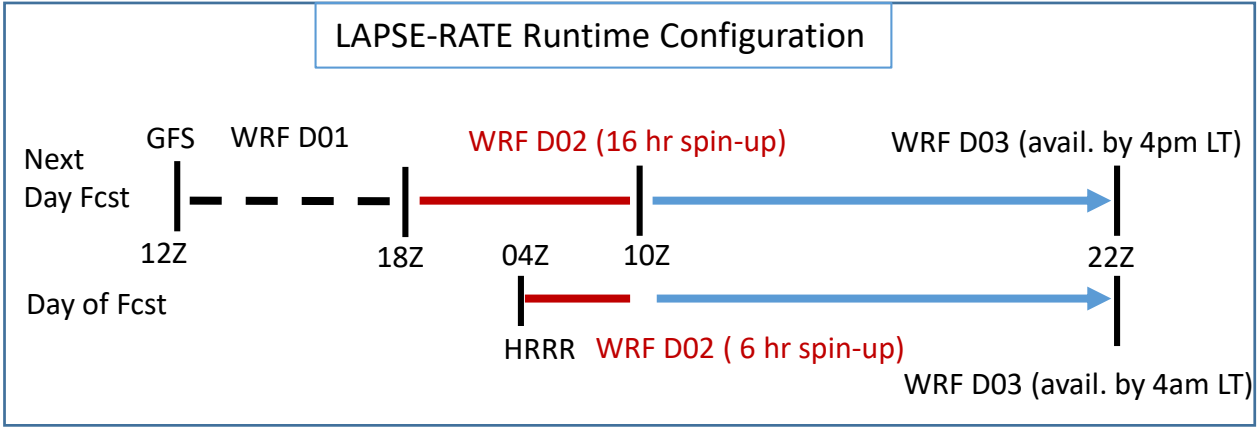
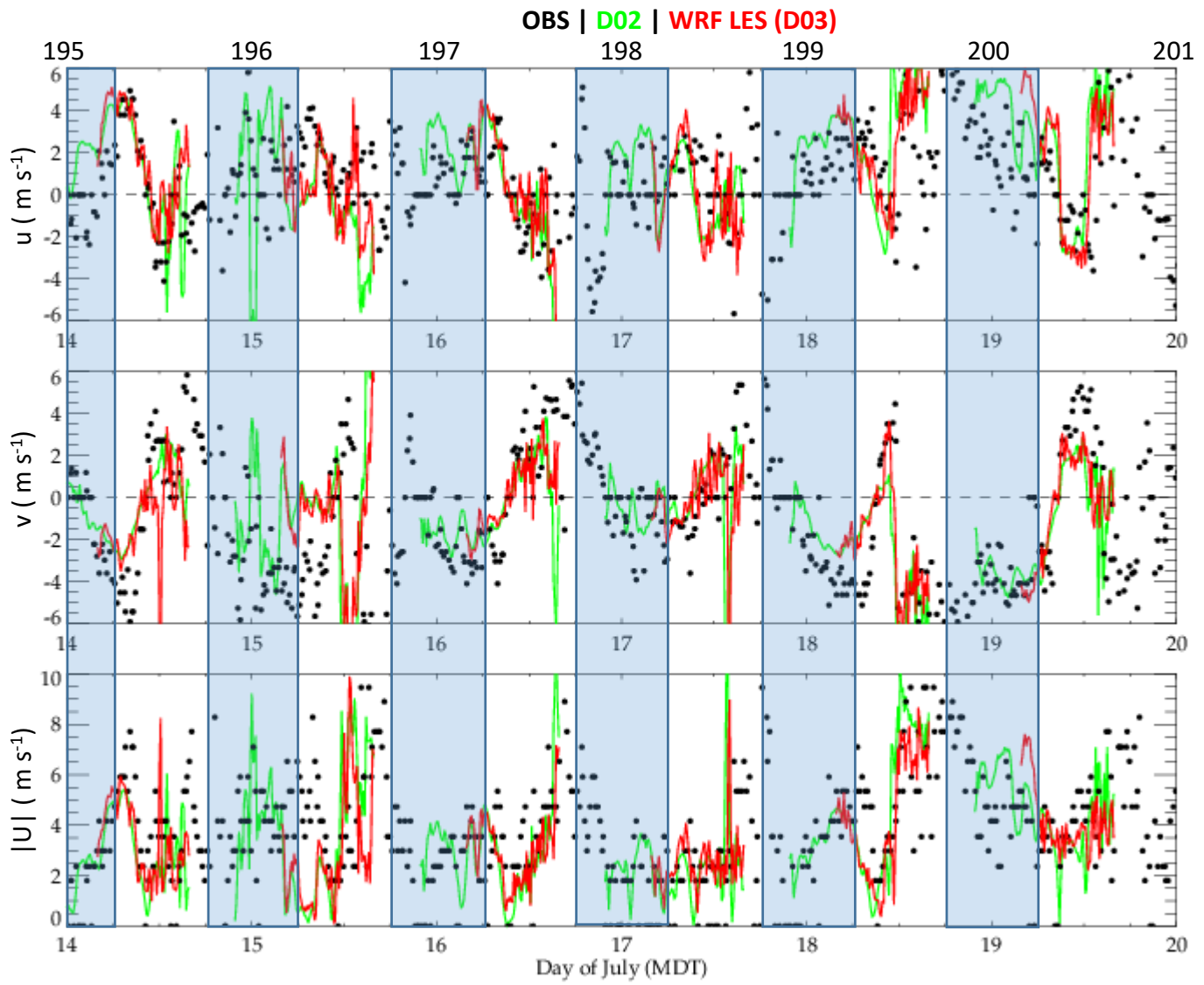


Figure 1.

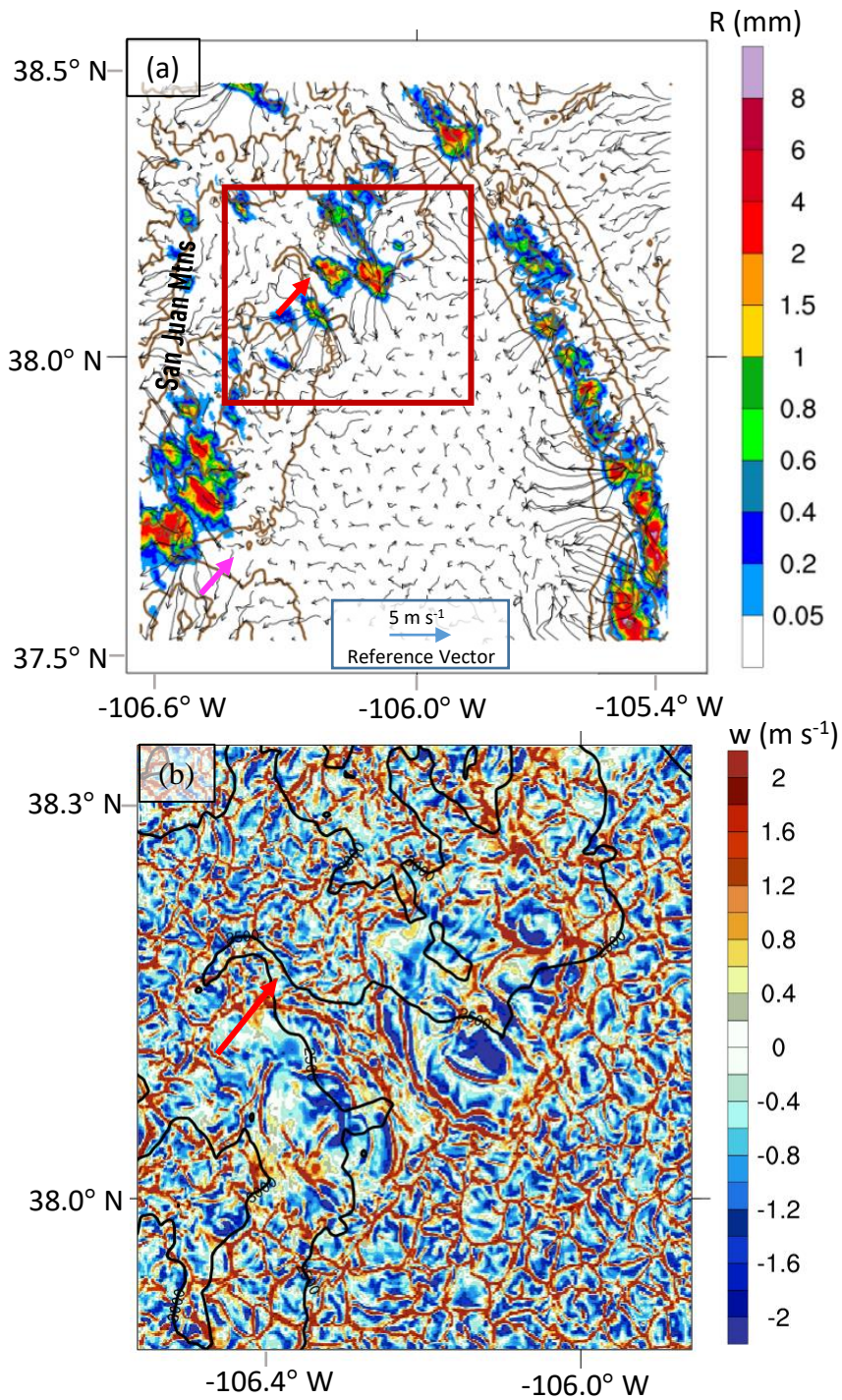


**Figure 2.**





**Figure 3.**



**Figure 4.**

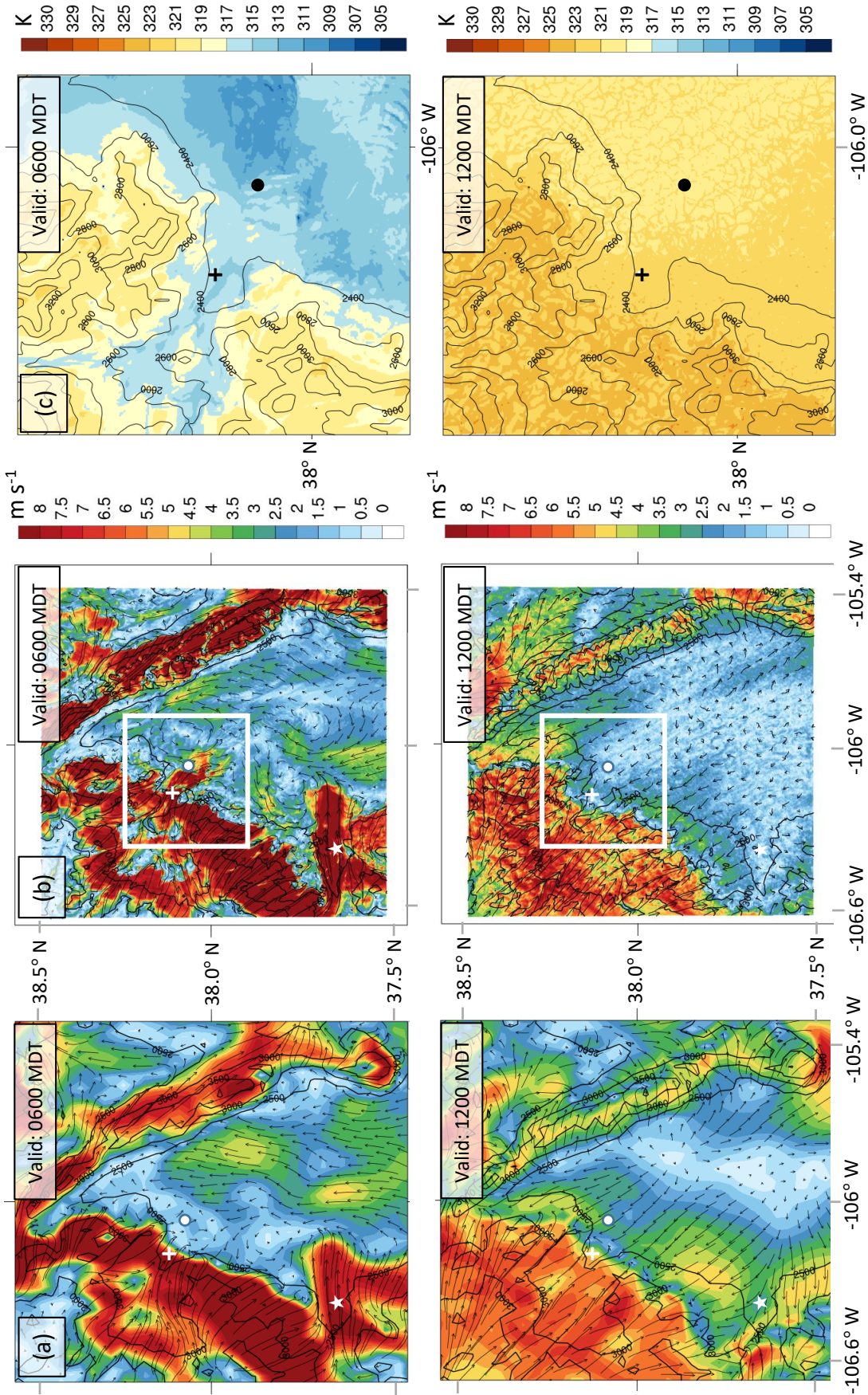


Figure 5.

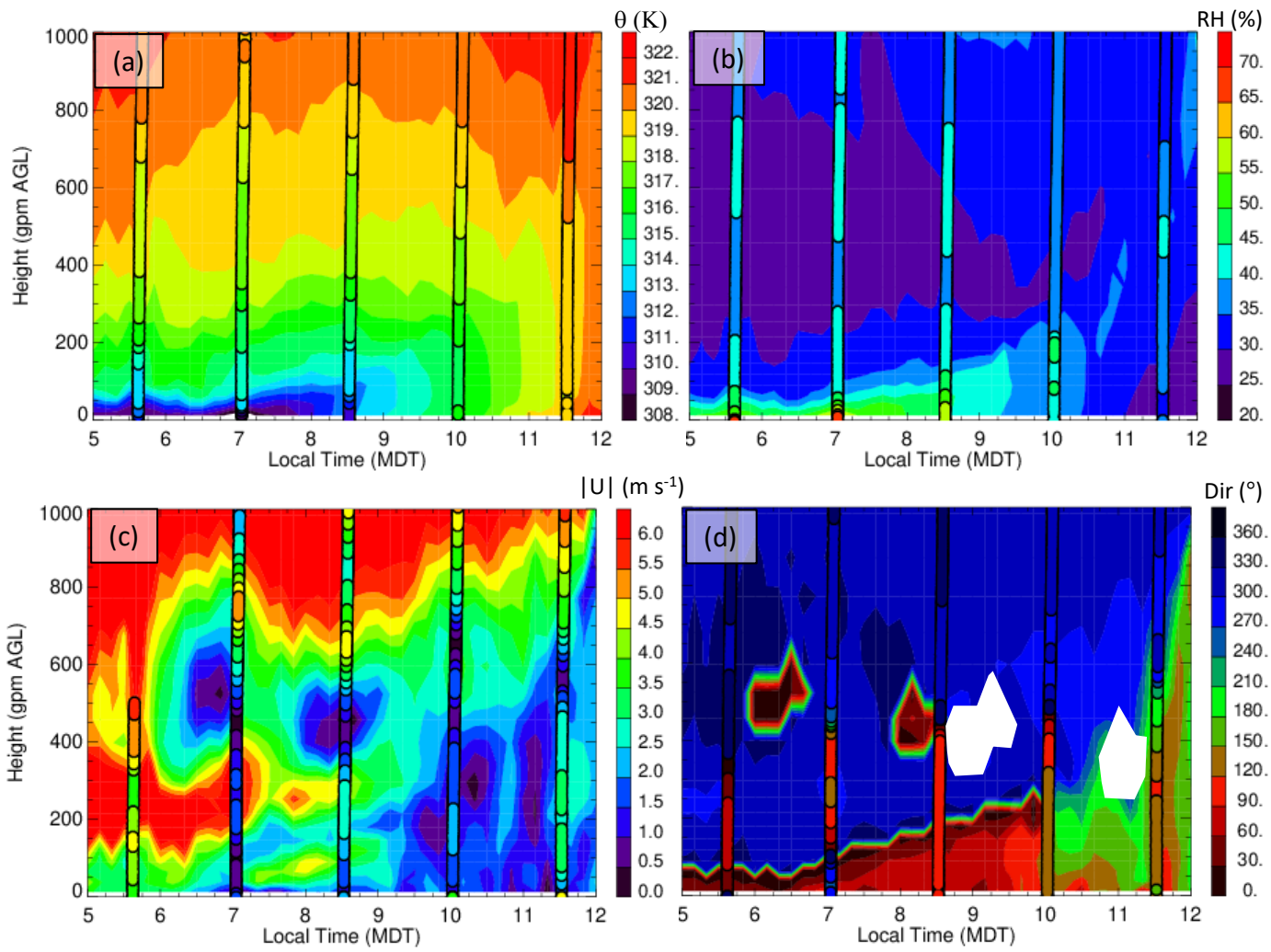
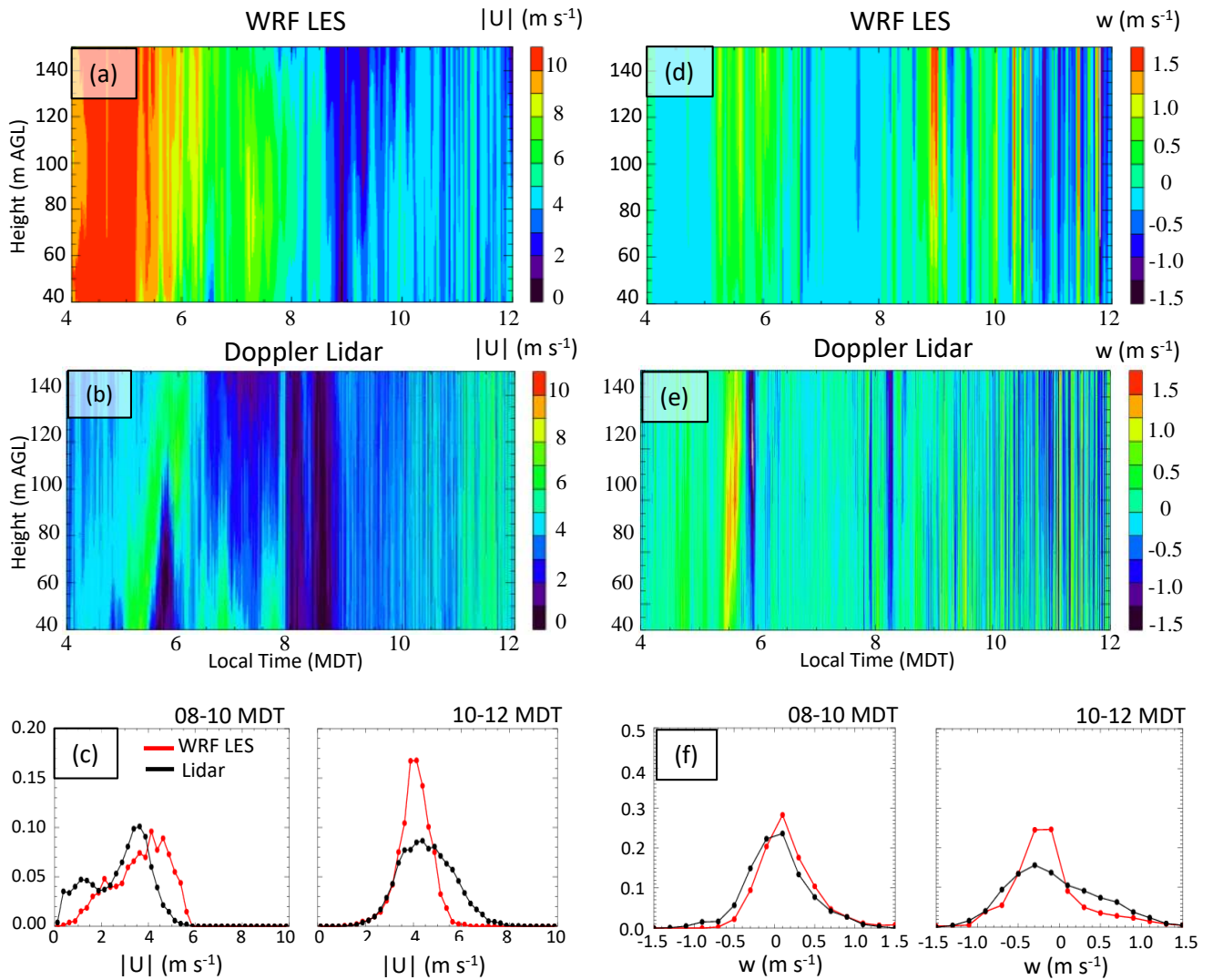


Figure 6.



**Figure 7.**