

# Carbon Emissions and Removals from Forests: New Estimates, 1990-2020

Francesco N. Tubiello<sup>1</sup>, Anssi Pekkarinen<sup>2</sup>, [Giulia Conchedda](#)<sup>1</sup>, Lars Gunnar Marklund<sup>2</sup>, Orjan Jonsson<sup>2</sup>, Nathan Wanner<sup>1</sup>, [Giulia Conchedda](#)<sup>1</sup>, Sandro Federici,<sup>3</sup> Simone Rossi<sup>4</sup> and Giacomo Grassi<sup>4</sup>

<sup>1</sup>Statistics Division, FAO, Rome, 00153, Italy

<sup>2</sup>Forestry Division, FAO, Rome, 00153, Italy

<sup>3</sup>Institute for Global Environmental Strategies, <sup>3</sup>IGES, Hayama, 240-0112, Japan

<sup>4</sup>European Commission Joint Research Centre, EC JRC, Ispra, 21027, Italy

*Correspondence* to: Francesco N. Tubiello (francesco.tubiello@fao.org)

**Abstract.** ~~Trends in ational, regional and global, regional, and national~~ CO<sub>2</sub> emissions and removals from forests ~~were estimated for the period 1990–2020, using as input the~~ ~~are estimated by FAO and disseminated in FAOSTAT, using We document a major product update, based on the new country reports data from tublished byof~~ the Global Forest Resources Assessment (FRA) 2020, ~~which replaces and updates previous information published under the FRA 2015, based on country reports, providing new information with respect to the previous FRA published in 2015.~~ The FAOSTAT new FAO estimates, based on a simple carbon stock change approach, ~~provideupdate published information on net emissions and removals from forests in relation to, in total as well as by component processes estimates were derived separately for two components: a) emissions from deforestationnet forest conversion; and b) emission and removals from remaining forest lands, including new forests resulting from natural expansion or afforestation. The estimatesResults indicate a significantshoweconfirmed a significant reduction of the emissions fromin global net deforestation emissions from net forest conversion, a proxy for deforestation, over the study period, though at.~~ However, the emission reduction is slower ~~rates than previously assessed, i.e., from a mean n average of 4.3 in the 1991–2000 to 2.9 Gt CO<sub>2</sub> yr<sup>-1</sup> during 1991–2000, to an average of 2.9 Gt CO<sub>2</sub> yr<sup>-1</sup> during 2016–2020 in 2016–2020.~~ At the same time, ~~Excluding deforestation, forest land Excluding deforested areas, Forest land was a significant net-carbon sink globally andglobally, but over the entire period, albeit decreasing in strength, over the study period, from -3.4 Gt CO<sub>2</sub> yr<sup>-1</sup> in 1991–2000 to -2.5 Gt CO<sub>2</sub>eq yr<sup>-1</sup> during 2016–2020. In total, Combining emissions from net forest conversion with forest landremovals on forest land, ourthe FAOSTAT estimates indicatedd that globally forests were (and their losses) were generally and globally a small net source of CO<sub>2</sub> of emissions of roughly to the atmosphere on average during 1990–2020, with mean net emissions of 0.4 Gt CO<sub>2</sub> yr<sup>-1</sup> on average during 1990–2020 over the entire study period.~~ Remarkably, ~~Yet for the brief~~ the new estimates also suggest a ~~globalperiod 2011–2015.~~ The exception was the brief period 2011–2015, when forest land removals counterbalanced emissions from net forest conversion, resulting in forests acting

~~globally as a global -net sink of about -0.7 Gt CO<sub>2</sub> yr<sup>-1</sup> during 2011–2015 —, a dynamic which which was never has not been not reported before in the literature. Importantly, the new estimates allow for the first time in the literature to characterize forest emissions and removals for the decade just concluded, 2011–2020, showing that in this period the net contribution of forests to the atmosphere was very small, i.e., less than 0.2 Gt CO<sub>2</sub> yr<sup>-1</sup>. This near-zero balance was nonetheless the result of large global fluxes of opposite sign, namely net forest conversion emissions of 3.1 Gt CO<sub>2</sub> yr<sup>-1</sup> counterbalanced by net removals on forest land of -3.3 Gt CO<sub>2</sub> yr<sup>-1</sup>. Finally, we compared our estimates with Forest emissions and removals data independently reported by countries to the United Nations Framework on Climate Change, indicating were in close excellent agreement between FAO and country emissions and removals estimates with the new FAO estimates over the entire period 1990–2020 study period. Data from this study are openly available via the Zenodo portal (Tubiello, 2020), with DOI 10.5281/zenodo.3941973, as well as on the FAOSTAT Emissions database.~~

## 1 Introduction

~~Emissions from agriculture, forestry and other land uses represent nearly a quarter of world total anthropogenic emissions (Smith et al., 2014; IPCC 2019). Importantly, the CO<sub>2</sub> component of these emissions is generated on land at the margin between farm and natural ecosystems, largely in relation to processes that convert land for agricultural use, such as deforestation and drainage of peatlands, generating roughly 4-5 Gt CO<sub>2</sub> yr<sup>-1</sup> in recent decades (e.g., Tubiello, 2019). Additional important anthropogenic emissions and removals of CO<sub>2</sub> are located directly on forest land, in relation to processes linked to forest management or degradation.~~

~~There is nonetheless significant disagreement between carbon cycle models on the one side, and national greenhouse gas inventories (NGHGI) on the other, on the quantification of the combined emissions and removals of CO<sub>2</sub> from all these land processes, though it is being increasingly shown that most differences are due to boundaries and definitional issues (e.g., Grassi et al., 2018; 2021). Greatly simplifying and limiting our scope to forests, terrestrial carbon cycle models have tended to focus on the CO<sub>2</sub> emissions from deforestation and forestry activities (land use change processes defined under the term E<sub>LUC</sub>), while NGHGI have typically added removals on forest land beyond those generate by forestry practices, which the models tend not to consider anthropogenic. These forest removals in NGHGI counterbalance the positive emissions, resulting in near-zero estimated total net contributions of forests to the atmosphere (Grassi et al., 2018). Beyond the critical issues of the differences in boundaries and definitions between the two approaches, which are addressed elsewhere (e.g., Grassi et al., 2021), there is a significant need to improve the underlying activity input data used by both approaches. To this end, the The Food and Agriculture Organization of the United Nations (FAO) Global Forest Resources Assessment (FRA) collects, analyzes and disseminates, at regular intervals, a wealth of country-based forest statistics through its Global Forest Resources Assessment 2020 (FRA 2020), describing the status of forests with data at country, regional and global level (<http://www.fao.org/forestry/fra/fra2020/en/>) (FAO, 2020a). Among many different uses, FRA activity data of forest land area and carbon stock serve as a critical inputs into for estimates of forest carbon fluxes by FAO (Federici et al., 2015; FAO,~~

Formatted: Subscript

Formatted: Superscript

2020b) and other major international efforts for global carbon cycle modelling (e.g., Friedlingstein et al., 2019; IPCC, 2019; Houghton and Nassikas, 2017; Houghton et al., 2012; Federici et al., 2015) and at. To this end, they are also the basis for the FAO-STAT estimates of greenhouse gas (GHG) emissions and removals from forest land, disseminated in FAO-STAT (Tubiello et al., 2015; FAO, 2020b). The FAO estimates, accessible freely to users worldwide, have regularly featured in , which regularly inform IPCC assessment reports and other relevant scientific studies (e.g., Smith et al., 2014; IPCC, 2019; ), studies (e.g. Houghton and Nassikas, 2017; Grassi et al., 2018) and users worldwide. FAO estimates Previous FAO-STAT estimates based on the previous FRA 2015 (Federici et al., 2015) (data FAO, 2016) had documented a decrease of net forest conversion found deforestation emissions decreasing from 1990 to 2015, from about 4.5 (1991–2000) to 3.0 (2011–2015) Gt CO<sub>2</sub>-yr<sup>-1</sup>. Likewise, they described a net forest carbon sink on forest land, decreasing in strength over the same period time, from an average of 2.7 (1991–2000) to 2.0 (2011–2015) Gt CO<sub>2</sub>-yr<sup>-1</sup>.

This paper describes the forest statistics available at FAO and discussed provides to estimate new updated FAO-STAT estimates of emissions and removals of CO<sub>2</sub> from forests that, being and net deforestation emissions based on a simple though powerful (and replicable) carbon stock change method, generate data that can serve as boundary conditions to help evaluate more complex terrestrial carbon model results and NGHGI data, the new 2020 FRA 2020 data. Our The analysis highlights new trends based on the use of FRA 2020 input data, documenting the differences with respect to the previous use of FAO estimates based on FRA 2015. Finally, it and compares results to national independent country data independently reported by countries to the United Nations Framework Convention on Climate Change (UNFCCC).

## 2 Material and Methods

The estimates of CO<sub>2</sub> emissions and removals from forest land were computed following the established FAO-STAT made by FAO and published in FAO-STAT methodology (Federici et al., 2015; FAO 2020ba) are computed by applying . The methodology applies a simplified the carbon stock change method of based on the 2006 IPCC Guidelines for National Greenhouse Gas Inventories (IPCC, 2006, Federici et al., 2015; FAO, 2020a), to input data on, time series data of forest land area and carbon stock in living biomass for the years 1990, 2000, 2010, 2015 and 2020 from country reports submitted under, derived for this update from the FRA 2020 Previous estimates covered the period 1990-2015, using as inputs activity data from the FRA 2015 (Federici et al., 2015) were used as input into the FAO-STAT estimates. To this end, it should be noted that This work extends the FAO estimates of emissions and removals to the FRA 2020 data 2020, by adding new input data for the period 2015-2020, while incorporating any revision may replace entirely those previously published under FRA 2015, rather than merely updating data for the more recent years in time series that may have occurred in the FRA 2020 with respect to FRA 2015. In describing the methods used in this work, we also discuss their limitations and uncertainties and the scope for comparing FAO estimates to UNFCCC country data.

### 2.1 Gap-filling

Formatted: Font: Bold

The FRA 2020 data used herein are: *forest land* area, as a total and for its two sub-categories, i.e., *Naturally regenerating forest area* (including both primary and secondary forest) and *Planted forest*; and carbon stock in above and below-ground living biomass. Data cover the period 1990-2020. We gap-filled the few missing data from the original FRA 2020 dataset as follows. For carbon stock data when needed, by using ~~we used relevant regional averages of above and below ground biomass stock density (carbon stock per unit forest land area), multiplied by to gap fill missing country values~~ country forest land area. For forest land area data, ~~we~~ Additionally, we checked the consistency of consistency of country forest land area values with those provided for the two sub-categories *Naturally regenerating area* of *Planted forest area*, i.e., by ensuring that they would against ~~sum to the value of the parent category~~ its two sub-components. In the few cases when such consistency was violated, we considered data on planted forest area more reliable than the other sub-category and re-computed the *naturally regenerating forest area* component as the difference between the areas of *forest land* and *planted forest area*. The data ~~so derived~~ ~~was~~ ~~slightly~~ revised dataset was used as input into the emissions FAOSTAT calculations. It is ~~They are~~ openly available via the Zenodo portal (Tubiello, 2020), with DOI 10.5281/zenodo.3941973, as well as via ~~in~~ the FAOSTAT database (FAO, 2020a). Each ~~The~~ time-series data for forest area were complete, but the carbon stock data had some gaps which were filled by FAO estimates to obtain a globally complete dataset for both variables and all FRA reporting years. ~~for the sake of consistency, all data employed here came from FRA 2020 as FRA assessment may provide newly revised data for the whole time series, hence FRA 2020 entirely updates the FRA 2015 and extends it to 2020.~~ FRA 2020 data on the forest land area are further stratified in two sub-categories: area of *Naturally regenerating* and area of *Planted forest*. *P* When computing area changes, these were tracked separately, in order to improve the computations of area change compared to the use of forest land area only. Following Federici et al. (2015), the decline in net forest area ~~—resulting from the combination of losses due to forest conversion and from gains due to afforestation or natural expansion over any given period—~~ is used herein as a proxy for deforestation.

Next we present a number of relevant definitional issues and discuss uncertainties, limitations and differences with respect to processes under the UN Framework Convention on Climate Change (UNFCCC).

## 2.2 Forest Land Use Definition definitions

The term *forest land* used herein follows the international FAO land use definitions (FAO, 2020b), also adopted by the UN system for environmental economic accounting (SEEA AFF, 2020), based on the FRA ~~used to collect data from countries via the FRA process and the FAO Land Use Questionnaire.~~ As a land use category, the FAO definition of *forest land* comprises areas under forestry production, forest conservation including natural parks, and in general any area regulated administratively in terms of destination and use, including unmanaged forests, as long as ~~Such data are disseminated on the FRA portal as well as on the FAOSTAT Land Use database (FAO, 2020b).~~ The areas of *forest land* are defined by FAO as tree covered areas that meet three basic bio-physical parameters/conditions are met, namely: i) minimum tree height of 5 m at maturity; ii) overall crown cover greater than 10%; and iii) minimum 0.1 ha in extension. ~~(complete definitions can be found at for complete~~

Formatted: Font: Italic

Formatted: Font: Italic

Formatted: Font: Italic

Formatted: Font: Italic

Formatted: Font color: Auto

Formatted: Font: Italic

Formatted: Font: Italic

definitions see, e.g., the FAO Land Use questionnaire, the FRA portal or in FAOSTAT (<http://www.fao.org/economic/ess/ess-home/questionnaires/en/>). Countries reporting forest land data to FAO are expected to adhere to the above definitions, although the uncertainty underlying reported national forest data is often incomplete. Recent comparisons of land use with land cover information from remote sensing suggest differences of up to 20% at regional level, largely due to the difficulty of mapping land cover characteristics to land use status (FAO, 2020c). It may be noted that for well-defined forest land areas, uncertainties in national forest inventories are nonetheless typically an order of magnitude smaller. For lack of additional knowledge of how uncertainty in local measurements carried out at national to regional levels, we applied the uncertainty suggested by IPCC for FAO activity data (20%) to the forest land area and biomass stock data used in this work.

In terms of comparison with UNFCCC data, we note that the FAO forest land use definitions used herein may differ from those used by countries for reporting their national GHG inventories (NGHGI), for instance in relation to minimum forest area thresholds or in criteria to assign land use status. Furthermore, country reporting to UNFCCC of emissions and removals data is limited to areas of managed forest, as per IPCC guidelines, while the FAO land use definitions comprise both managed and unmanaged forests, as discussed above. In practice, such differences may often be small, considering that a large portion of the world's forest land area in many countries is administratively regulated. Finally, we note that the FAO forest land area considered herein does not track separately, as done instead in UNFCCC reporting, the two- sub-components *forest land remaining forest land* (FL-FL) and newly converted forest land. This is often overlooked in the literature, where FAO estimates of forest land emissions and removals may be incorrectly compared to UNFCCC data for FL-FL (e.g., Petrescu et al., 2020), the forest land definition used by countries for reporting to UNFCCC within their national GHG inventories (NGHGI) may differ from FAO's, for instance in the values of the minimum forest area thresholds. Also, NGHGIs may further subdivide forest land area into separate accounts for *forest land remaining forest land* (FL-FL) and new forest land, a feature that is not covered in the FAO definitions. It is important to highlight that, while being a land use definition, *forest land* for FAO includes both managed and unmanaged areas. Furthermore, 'managed' area may comprise active forestry production as well as areas for conservation, restoration, tourism, etc. At the same time, we note that most forests nowadays are under some administrative regulation in most countries, and hence many countries consider a large majority of their forest lands as managed. Nonetheless, the forest land definition used by countries for reporting to UNFCCC within their national GHG inventories (NGHGI) may differ from FAO's, for instance in the values of the minimum forest area thresholds. Also, NGHGIs may further subdivide forest land area into separate accounts for *forest land remaining forest land* (FL-FL) and new forest land, a feature that is not covered in the FAO definitions.

Secondly, *net forest conversion* is defined herein as the negative difference in forest land area between successive time periods. In practice, it represents the net loss of forest land area net of gains that may have taken place over the same period, considering that gross gain and loss area components are not tracked separately in the FAO data. As an effort towards capturing some of the possible gross changes in forest area, our computations track net forest conversion separately for the two forest subcategories *naturally regrowing forest* (including both primary and secondary forest) and *planted forest*. This separate

Formatted: Not Highlight

Field Code Changed

Formatted: Not Highlight

Field Code Changed

Formatted: Not Highlight

Formatted: Not Highlight

Formatted: Not Highlight

Formatted: Not Highlight

Field Code Changed

Formatted: Font: Italic

Formatted: Font: Italic

Formatted: Font: Italic

accounting aims at reducing the possible masking of area losses in naturally regrowing forests by concomitant area expansions in plantation forests. A final consideration concerns the drivers of land use changes, i.e., whether they are anthropogenic or natural. While it is noted that the data on *net forest conversion* cannot be sub-classified as either anthropogenic or natural, we suggest they are largely an indication of the former, and that net of the limitations highlighted above, they can be used as a proxy for human driven deforestation. To this end, we note that land use classifications are based on human agency, including as a driver of changes in land use typology. Specifically, in cases of forest land area losses due to natural causes, human intervention is typically required to determine a land use change, for instance through the establishment of non-forest activities, chiefly agriculture, or for building new infrastructure, which would then prevent the subsequent natural forest regrowth and recovery.

Conceptually, the computation of *net forest conversion* adopts the so-called IPCC 'Approach 1' for land use area representation. In terms of comparison to data reported to UNFCCC by countries that also apply Approach 1 to their land use statistics, the FAO *net forest conversion* data would roughly correspond to the sum of forest land use area changes from forest land to other land uses. More generally, forest land use change data reported to the UNFCCC may differ, since countries may be able to measure gross losses and gains of forest area via more accurate national forestry inventories, and in addition they may be able to better characterize which land use changes were driven by anthropogenic or natural causes.

### 2.3 Emissions and Removals

The FAOSTAT estimates presented herein provide information on total net emissions and removals from forests, in total as well as by component processes. We simplified the methods developed in Federici et al. (2015) and conducted the analysis without interpolating between FRA years—as this would require assumptions on temporal forest dynamics that are not available in the FRA data. To this end, we computed following previous work (Federici et al., 2015), total net emissions/removals from forests,  $ER_a$ , were computed as the carbon stock change in living forest biomass over time, and split into two distinct component fluxes: a) net forest conversion,  $NFC_a$ ; and b) forest land,  $FL_a$  estimates as annual average carbon fluxes from the differences of relevant forest area or carbon stock information for the FRA 2020 periods 1991–2000; 2001–2010; 2011–2015; and 2016–2020, as follows (see also Fig. 1). Specifically, for each country  $a$  and total carbon stock  $B_a$  (limited to carbon in above and below living biomass), the total forest emissions/removals,  $ER_a$ , were computed as a simple carbon stock change, as follows:

$$ER_a(t_i) = \Delta C_{a,t_i} = - [ B_{a,t_i} - B_{a,t_{i-1}} ] = NFC_a(t_i) + FL_a(t_i) \quad (1)$$

Where biomass stock information was derived from the FRA 2020 as indicated in the previous section, and  $t_i = 1990, 2000, 2010, 2015, 2020$  represent FRA years successive periods in the FRA 2020 time series. The minus sign was used to adhere to the convention of considering emissions as positive fluxes to the atmosphere, corresponding to decreases in forest carbon stock—and vice-versa to consider removals as negative fluxes, i.e., negative from the atmosphere into forest land.

Formatted: Font: Italic

Formatted: Font: Italic

Formatted: Font: Italic

Formatted: Font: Italic

Formatted: Font: Italic

Formatted: Font: Italic

Formatted: Font: 10 pt

Formatted: Subscript

Formatted: Font: 10 pt

Formatted: Indent: Left: 0.5", First line: 0.5"

Formatted: Subscript

Formatted: Subscript

Formatted: Font: 10 pt

Formatted: Subscript

Formatted: Font: 10 pt

Formatted: Subscript

Formatted: Subscript

Formatted: Font: 10 pt

Formatted: Font:

Formatted: Font: 10 pt

Formatted: Subscript

corresponding to increases in forest carbon stock. We note that the estimates in equation (1) are robust as well as easily replicable by anyone having access to FRA data. At the same time, it is noted that the FAO carbon stock change estimates include only two of the five carbon pools typically reported by countries according to IPCC. This difference may affect the magnitude of the estimated C stock changes, although likely not the sign, because of biophysical linkages across carbon pools. The net forest signal to the atmosphere, ER, was split. Also shown in equation (1), these total emissions/removals were split into two mutually exclusive components, specifically emissions from *net forest conversion*, NFC, and emissions/removals from *forest land*, FL. The net signal to the atmosphere is thus determined by the contribution of these two processes (Fig. 1).

### 2.3.1 Emissions from Net Forest Conversion

For each the same country  $a$ , total carbon stock  $B_a$ , and time period  $t_i$  in equation (1), the emissions from *net forest conversion*,  $NFC_a(t_i)$  in equation (1), were computed as the positive carbon flux to the atmosphere associated with the net forest land area net losses, the latter tracked separately for sub-categories *naturally regenerating/growing forest*,  $ANR_a$ , and planted forest,  $APL_a$  as follows:-

$$NFC_a(t_i) = - [ B_a(t_i) - A_a(t_{i-1}) ] * \{ \text{Min} [ ANR_a(t_i) - ANR_a(t_{i-1}), 0 ] + \text{Min} [ APL_a(t_i) - APL_a(t_{i-1}) ], 0 \} \quad (2)$$

Thus net forest conversion tracks losses of both primary and secondary forest areas, as well as those in planted forest areas. It should be noted that in cases when net forest land area change is positive, indicating net area gains, NFC is zero by definition and the relevant emissions/removals are instead accounted for on forest land (see next section). In the previous section we have already addressed potential differences and limitations characterizing these FAO estimates to data submitted to the UNFCCC by countries, linked to differences in national forest definitions, measurement techniques and level of data aggregation. Additional limitations apply to equation (2) in terms of assumptions and resulting uncertainties. First, there is currently poor understanding of the uncertainty in national forest land area data. Recent comparison of land use with land cover information from remote sensing suggests uncertainties of up to 20%, quite in line with what the IPCC guidelines indicate as default uncertainty for FAOSTAT activity data. It may be noted that uncertainties in national forest inventories are typically an order of magnitude smaller but apply to gross area differences. For lack of additional knowledge, we applied the same uncertainty to all area data used in equation (2), as well as to biomass density data in equation (2). Simple propagation of the component uncertainties results in an uncertainty in NFC emissions of roughly 50%. We furthermore apply this uncertainty to all components of (1), for coherence. This is consistent with values used for land use change emissions estimates in recent IPCC reports (IPCC, 2019) and carbon cycle literature (Friedglinstein et al., 2020). In addition to the numerical uncertainty arising from the input data, the A number of limitations apply to the computation of emissions in (2). First, results are -is furthermore limited by the lack of forest category specific carbon density stock data by forest sub-component in the FRA 2020, resulting in the need to apply a single value for both naturally regenerating/growing forest and planted forest. Considering that

Formatted: Font: Italic

Formatted: Font: Italic

Formatted: Font:

Formatted: Font: Italic

Formatted: Font: Italic

Formatted: Font: 10 pt

Formatted: Font: Italic

Formatted: Font: Italic

Formatted: Subscript

~~generally carbon density can be expected to be higher in natural forests than in plantations, and that the majority of forest area losses in the FRA 2020 data pertain refer to the natural forest component, however, we suggest that the use of a single carbon density value in (2) is not a significant issue to this end. At the same time, carbon stock density can be expected to be higher in natural forests than the average biomass stock (which also includes carbon stock in plantations), implying that the NFC emissions computed in (2) are likely underestimates. Furthermore, we note that equation (1) above does not depend on the availability of carbon stock values by forest sub-component. –and in addition we conclude that the NRC emission in (2) are likely underestimates. A second important limitation to equation (2) is that forest losses are computed net of forest area gains taking place over the same period. The underlying activity data used as input do not in fact allow separate tracking of gross gains and losses. Thus in terms of comparison to UNFCCC, FAO net forest conversion data would roughly correspond to the sum of UNFCCC-reported land use changes from forest land to non-forest land, for those countries using the so-called ‘IPCC approach 1’ to land use representation, which like our estimates relies on net area changes. By contrast, use of more accurate national forestry inventories, with more detailed identification of gross area fluxes, would generate larger differences between FAO estimates and the corresponding UNFCCC country data for this category. Finally and importantly, estimates in equation (2) are limited by the underlying uncertainty in the activity data. Simple error propagation of the component uncertainties in area and carbon stock discussed in the previous section give an uncertainty in NRC emissions of roughly 50%. This is consistent with values used for land use change emissions estimates published in recent IPCC reports (IPCC, 2019) and in relevant carbon cycle literature (Friedlingstein et al., 2019). For coherence, we applied this uncertainty value to ER and FL estimates. Finally, with the above definitions in mind, and within the differences highlighted with regards to land accounting approaches and differences in national forest definitions, the FAO net forest conversion emission estimates in (2) correspond to the sum of those reported by countries in their NGHGs with regards to land use changes from forest land to non-forest land.~~

### ***2.3.2 Emissions and Removals on Forest Land***

~~Finally, for any the same country  $a$ , total carbon stock  $B_a$ , and time period  $t_i$  in equations (1) and (2) above, the emissions/removals on from forest land,  $FL_a(t_i)$ , were computed as the net carbon flux to or from the atmosphere associated to dynamic on forest land, i.e., from a combination of carbon stock changes and forest area increases on forest land between successive FRA periods. While Federici et al. (2015) had computed these dynamics explicitly, we compute them herein more simply, though equivalently to the previous approach, as the residual between total forest carbon stock change and net forest conversion, as follows:~~

$$FL_a(t_i) = ER_a(t_i) - NFC_a(t_i) \quad (3)$$

~~The emissions/removals computed in (3) represent the net carbon flux to or from the atmosphere located within the boundaries of forest land area, arising from a combination of carbon stock and forest area changes between successive FRA periods. These~~

Formatted: Font: Italic



changes in principle may arise from both anthropogenic and natural causes, including legacy effects of deforestation prior to the study period, afforestation, forest management, climate signals, as well as the impacts on plant growth of nitrogen deposition and increased atmospheric CO<sub>2</sub> concentrations. As discussed above, we associated an uncertainty level of 50% to estimates in equation (3), consistently with those computed for the emissions from net forest conversion and in line with the uncertainty used in the literature.

Within the differences highlighted above, with regards to land accounting approaches and differences in national forest definitions, the FAO emissions/removals on *forest land* largely correspond to those used by countries in their reporting to UNFCCC with respect to forest land.

as the net carbon flux to or from the atmosphere associated to dynamic on forest land, i.e., from a combination of carbon stock changes and forest area increases on forest land between successive FRA periods. While Federici et al. (2015) had computed these dynamics explicitly, we compute them herein more simply, though equivalently to the previous approach. A number of considerations apply to the estimates in (3).

#### 2.4 Comparisons to UNFCCC data

A final consideration on the limitations of the approach presented herein concerns the underlying drivers of the emissions/removals estimates, i.e., whether they could be labelled as anthropogenic or natural fluxes. On the one hand, the definitions underlying equation (1)-(3) make the association impossible within our approach. On the other, a bit more can be said in practice. This is because human intervention is typically required to determine land use changes—for instance the establishment of specific activities, for instance agriculture, preventing natural forest regrowth and recovery following forest loss. To this end, and within the limitations discussed above, *net forest conversion*, representing permanent forest loss in the FAO statistics, can be considered virtually all anthropogenic in nature, hence a good proxy for human-driven deforestation.

Conversely, only a portion of the emissions/removals estimated on forest land can be considered anthropogenic. At the same time, recent work shows that the anthropogenic portion of this component can be substantial, once the concept of ‘managed land’ is expanded beyond forestry practices to include all forest areas except in very remote places (Grassi et al., 2021). Nonetheless, because of the above complexities, we chose not to determine *a priori* the anthropogenic portion of our emissions/removals estimates. Instead, we complemented our analysis of results with a comparison between our estimates of emissions and removals and the anthropogenic fluxes submitted by countries to UNFCCC. In this context, although it is recognized that countries report data to both FAO and UNFCCC, we reserve herein the term ‘country data’ to the emissions/removals reported by countries to the UNFCCC.

To this end, we used country data accessed at the UNFCCC data portal (UNFCCC, 2020) and complemented with information from national Biennial Update Reports (BURs). While data from Annex I countries are fairly complete over the period 1990–2018, data from non-Annex I countries are sparse, although becoming increasingly available through BURs. Given these data

Formatted: Subscript

Formatted: Font: Not Italic

Formatted: Font: Not Italic

Formatted: Font: Italic

limitations, a full comparison was possible only for Annex I countries for the FRA periods 1990–2000; 2001–2010; and 2011–2015. First, we compared results of equation (3) with aggregate Annex I reporting of emission/removal for the category ‘4.A Forest land’ (UNFCCC, 2020). To gain further insights, we also separately analysed emissions/removals on forest land reported by individual countries in their national GHG inventories (NGHGs), focusing on those reporting large sinks, i.e., Canada, Russian Federation and the United States of America among Annex I parties, and China among non-Annex I parties. We also compared our results for net forest conversion to available non-Annex I country data from Brazil and Indonesia, representing large emission sources, according to FAOSTAT estimates respectively the first and third emitters in this category (FAO, 2020b). Unfortunately, no BUR submissions have been made so far by the Democratic Republic of Congo—the second largest emitter from deforestation according to FAOSTAT data—which therefore could not be included in this comparison exercise. Data for NAI countries were sourced from China’s second Biennial Update Report (2018), Brazil’s third Biennial Update Report (2019) and from Indonesia’s second Biennial Update Report (2018).

Formatted: Not Highlight

Formatted: Not Highlight

Formatted: Not Highlight

First, as for the other estimates in this work, they represent net fluxes, arising from the combinations of many possible dynamics, from forest area expansion at constant carbon density, to increases in carbon density at constant forest land area, and combinations thereof, in either forest subcategory. While in principle it is possible to further disaggregate into forest subcomponents, as done in Federici et al. (2015), the use of a single value for carbon stock is a greater limitation than for net forest conversion, so that we do not include it in our results, but only offer a few remarks in the discussion section. Second, it is relevant to note that, when net forest conversion is non zero, a direct consequence of the underestimation of NRC is that FL is likely overestimated as a source and underestimated as a sink.

Formatted: Font color: Black

Formatted: Normal, Line spacing: single

$$FL_{Tot_{i-p}} = (B_i - B_{i-p}) * \frac{44}{12} * 10^3 / D \quad (1)$$

$$NFC_{i-p} = \sum_{j=1}^n (A_{i,j} - A_{i-p,j}) * C_{i,j} * 10^3 / D \quad (2)$$

$$FL_{i-p} = FL_{Tot_{i-p}} - NFC_{i-p} \quad (3)$$

Where:

- $FL_{Tot}$  is the overall forest carbon flux from forest area, expressed in Gg CO<sub>2</sub> yr<sup>-1</sup>;
- $NFC$  is the (negative) net forest conversion, herein a proxy for emissions from deforestation, in Gg CO<sub>2</sub> yr<sup>-1</sup>;
- $FL$  is net emissions/removals on forest land (excluding deforestation), expressed in Gg CO<sub>2</sub> yr<sup>-1</sup>; and
- $B_i$  is the carbon stock in living biomass (above and belowground) at FRA reporting year  $i$ , expressed in Mt C;
- $A_i$  is the forest land area at FRA year  $i$ , expressed in k ha;
- $A_{i,j}$  is the area of forest category ( $j$  = Naturally regenerating forest, Planted forest,) at FRA 2020 year  $i$ ; in k ha;
- $i$  = 1990, 2000, 2010, 2015, 2020 is the FRA reporting year;
- $i-p$  = 1991–2000; 2001–2010; 2011–2015; 2016–2020 are the corresponding FRA 2020 periods;

Formatted: Justified, No bullets or numbering, Bold  
Top: (No border), Bottom: (No border), Left: (No border), Right: (No border), Between : (No border)

Formatted: Indent: Left: 0.5", No bullets or numbering

•  $D$  is the length of the FRA lag interval  $i$  p, i.e. either 5 or 10 years; and

Values are multiplied by  $44/12 * 10^3$  to convert from Mt C to Gg CO<sub>2</sub> as well as to express a positive change in carbon stock as a negative emission (i.e. removal or sink) to the atmosphere, and vice versa.

In our estimates, deforestation occurs when the changes in the area of Naturally regenerating forest and Planted forest, computed separately for each FRA interval (5 or 10 years), sum to a net negative balance. In this respect, the concept of deforestation used here (i.e. net deforestation) is not entirely coincident to the definition of deforestation in the IPCC guidelines (2006), i.e. as any forested land converted to non-forested land. Likewise, the net emissions/removals on forest land from the calculations above, do not entirely correspond to the category Forest land under the UNFCCC reporting (UNFCCC, 2020).

FAOSTAT estimates are expressed in Gg CO<sub>2</sub>. For the sake of readability of results, this paper presents however regional and global values in Gt (giga-tonnes) CO<sub>2</sub> and country results in Mt (mega-tonnes) CO<sub>2</sub>. Within the differences highlighted above, with regards to land accounting approaches and differences in national forest definitions, the FAO emissions/removals on forest land largely correspond conceptually to those used by countries in their reporting to the UNFCCC with respect to land use. At the same time, it is noted that

#### 2.1 Structure of the FAOSTAT datasets on emissions forest land and online access

The computed emissions estimates and associated area information statistics are disseminated in the FAOSTAT Emissions Land Use/ Forest Land domain, over the period 1990–2020 (FAO, 2020b) for 220 countries and territories. Data include, by country and year, forest land area and area of deforestation (in 1000 ha), the emissions/removals on forest land and the emissions from deforestation (net-negative forest conversion) (in Gg of CO<sub>2</sub>). The carbon stock in living biomass (in Mt C) is available under the FAOSTAT database, Inputs/Land Use (FAO, 2020c). The dataset makes values available by country, by standard FAO regional aggregations and special groups, including the Annex I and non-Annex I to UNFCCC.

Where data for a country were not available for any FRA assessment, the data of the previous FRA assessment have been applied without any extrapolation, as well as without any interpolation for those data missing between 2 available FRA assessments.

#### 2.2 Limitations and uncertainty

Formatted: No bullets or numbering

Formatted: Space Before: 6 pt, After: 6 pt, Border: Top (No border), Bottom: (No border), Left: (No border), Right: (No border), Between : (No border), Tab stops: 7.19", Left + 7.25", Left

Formatted: Normal, Space Before: 6 pt, After: 6 pt, Border: Top: (No border), Bottom: (No border), Left: (No border), Right: (No border), Between : (No border), Tab stops: 7.19", Left + 7.25", Left

For several reasons, the FAOSTAT emissions estimates presented here are likely to underestimate both emissions and removals. First, the FAO estimates we include only two out of the five carbon pools identified by the IPCC guidelines (2006), that is the typically reported by countries, aboveground and belowground biomass while excluding the dead wood, litter, and soil organic carbon. Such incompleteness is expected to have an impact on the magnitude of the estimated C stock changes between FAO and UNFCCC data, but although generally not on their likely not sign the sign (i.e., source or sink), i.e., indicating a net sink or a net source because these processes are linked biophysically. We included only carbon in living biomass because data for the other pools were less complete and would have required substantial gap-filling and thus increasing uncertainty. Second, the use of net forest area change, even if performed separately on naturally regenerating and planted forest, is likely also contributing to underestimate the actual gross forest change (both deforestation and forest expansion). Moreover, equation (2) assumes C losses in deforestation based on average carbon stock density of the entire forest, which is probably an underestimate whenever the deforestation occurred on primary or other natural forests with higher carbon stocks than average.

The implication of these factors is that, while the estimates of total forest carbon flux to or from the atmosphere are correct—within the uncertainty of the biomass carbon stock values that are reported to the FRA—the estimates corresponding to the two sub-components, i.e., net deforestation emissions and forest land net sink, are probably underestimated in the case of naturally growing forests, systematically underestimated.

The uncertainty of the emissions estimates depends directly on the uncertainty in area and carbon stock values that countries reported to the FRA 2020. The latter uncertainty can be assumed about 50%. Carbon stock density is the variable measured and reported by countries and from which we derived the total carbon stock area in equations (1–2) multiplying by the forest area. In line with the IPCC default assumptions, the uncertainty is instead about 20% for the area statistics. It follows that, assuming normal distributions and thus applying simple error propagation formulas, equations (1)–(3) imply that our estimates have uncertainties (expressed as relative errors) in the order of: ———

Finally, it should be noted that the total forest carbon flux computed herein is based on the use of two attributes and is therefore not comparable with the results from studies considering the full range of forest carbon fluxes. GHG

In most country reports to FRA, forest carbon stock refers to the entire forest land area reported to FAO (i.e. without differentiating between primary, naturally regenerated and planted forest categories). The above means that estimates reported are not always fully comparable with National GHG inventories reported to UNFCCC, because of possible differences in the pools and the area included (some National GHG inventory may report GHG fluxes on less area than FAO FRA 2020, but they often include non biomass pools).

## 2.54 Structure of the FAOSTAT datasets on emissions-forest land and online access

The FAO emissions and removals estimates and associated area information statistics are disseminated in the FAOSTAT Emissions Land Use/ Forest Land domain as yearly statistics, over the period 1990–2020 (FAO, 2020b), for 220 countries and territories. Annual average mean fluxes are obtained by dividing the outcomes of (1)–(3) by the relevant time-period underlying FRA intervals, i.e., by 5 or 10 years. They therefore refer to the following periods: 1991–2000; 2001–2010; 2011–2015; and 2016–2020. For completeness, values for the year 1990 were set equal to the averages computed for 1991–2000, and the full period of analysis was referred to as 1990–2020. Data include, by country and year, forest land area and area of net forest conversion/deforestation (in 1000 ha), the emissions/removals on forest land and the emissions from net deforestation (net negative forest conversion; emissions/removals on forest land; and total emissions/removals from forests) (in Gg of CO<sub>2</sub>). The carbon stock in living biomass (in Mt C) is available under the FAOSTAT database, Inputs/Land Use (FAO, 2020c). Data are disseminated. The dataset makes values available by country, by standard FAO regional aggregations and special groups, including the Annex I and non-Annex I country grouping relevant to UNFCCC reporting.

## 3 Results

We present below the main findings of a Annual CO<sub>2</sub> emissions/removals estimates from forest, divided into net emissions from net forest conversion/deforestation, net forest land emissions/removals, and their aggregate, total emissions and removals from forest, are disseminated in FAOSTAT (FAO, 2020b) for the period 1990–2020, computed for more than 200 countries and territories, based on equations (1)–(3) above. Emissions and removals are expressed in annual means (Gt CO<sub>2</sub> yr<sup>-1</sup>) relative to the relevant FRA period. Results are

We present below the main findings presented at global level summarized by Annex I and non-Annex I countries and (also available in FAOSTAT as special regional groups), by standard FAOSTAT region, and globally where relevant. Results are presented for the four periods: 1991–2000, 2001–2010, 2011–2015, 2016–2020. Annex I and non-Annex I countries, and compared with the results based on Differences with estimates based on earlier FRA 2015 input data are also discussed, where of interest and reported earlier

### 3.1. Total forest carbon flux to the atmosphere

FAOSTAT estimates based on FRA 2020 data show that forests acted globally as a net, albeit source of CO<sub>2</sub> emissions to the atmosphere over 1990–2020 period, averaging 0.4 Gt CO<sub>2</sub> yr<sup>-1</sup> (including deforestation losses and emissions/removals on forest lands). This was which is significantly less than reported earlier, estimated earlier the (Table 1). The small global source was the result of a net

Formatted: Font color: Auto

sink mostly in UNFCCC Annex I countries ( $-1.5 \text{ Gt CO}_2 \text{ yr}^{-1}$ ) counterbalanced by a larger slightly larger net source in non-Annex I countries ( $1.9 \text{ Gt CO}_2 \text{ yr}^{-1}$ ).

Two notable new findings emerged from a more detailed analysis focusing on trends over time (Fig. 2). First, the decreasing trend in non-Annex I sources and the increasing trend in Annex I sinks seen during 1990–2015, both reversing in 2016–2020, with non-Annex I sources increasing from 1.3 to 1.6  $\text{Gt CO}_2 \text{ yr}^{-1}$ , while Annex I sinks decreased in strength from  $-2.0$  to  $-1.3 \text{ Gt CO}_2 \text{ yr}^{-1}$ . Secondly, and remarkably, forests acted as a net overall sink of atmospheric  $\text{CO}_2$  during the period 2011–2015 due to the decreased deforestation, averaging nearly  $-0.7 \text{ Gt CO}_2 \text{ yr}^{-1}$ . This net overall sink has never been estimated before by FAOSTAT. By comparison, FAO estimates based on FRA 2015 for the corresponding 2011–2015 period indicated a source of  $1.1 \text{ Gt CO}_2 \text{ yr}^{-1}$  (Table 1).

### 3.12 Deforestation Emissions from Net Forest Conversion

Results show that global carbon net deforestation fluxes of carbon to the atmosphere from net forest conversion were significant during 1990–2020, with world total averaging means of  $3.7 \text{ Gt CO}_2 \text{ yr}^{-1}$  for the period 1990–2020, confirming previous estimates, and Unlike for total forest carbon fluxes, deforestation was almost entirely determined by dynamics located in non-Annex I countries, which contributed more than 90 % of the world total (Table 1).

In terms of temporal trends, the new estimates confirm previous findings over the period 1990–2015, i.e., showing a decrease of average deforestation rates and associated emissions globally: the global mean decreased by 20% from 1990 to 2015, from 4.3 to 3.3  $\text{Gt CO}_2 \text{ yr}^{-1}$ , less than (previously estimated over the same period using the FRA 2015 (about a 20% decrease, there where the estimates based on the FRA 2015 had indicated a 40% decrease). It decreased and then further down to an average of by another 10% to 2.9  $\text{Gt CO}_2 \text{ yr}^{-1}$  during 2016–2020. The regional distribution of deforestation in For the period 2016–2020, saw the Americas and Africa were nearly nearly equal major contributors ( $1.3$  and  $1.1 \text{ Gt CO}_2 \text{ yr}^{-1}$ , respectively), but yet with markedly opposite trends. Compared to the period first 1991–2000. Specifically with respect to the two time periods, e-FRA 2020 period, deforestation emission in the Americas nearly halved, from 2.2 to 1.3  $\text{Gt CO}_2 \text{ yr}^{-1}$ , while in Africa they continued to increase in Africa increase, from earlier levels of 0.9  $\text{Gt CO}_2 \text{ yr}^{-1}$  to 1.1  $\text{Gt CO}_2 \text{ yr}^{-1}$ . Asia was the third region in terms of deforestation emissions from net forest conversion, with associated emissions decreasing, from showing a slight decrease, from  $-0.6 \text{ Gt CO}_2 \text{ yr}^{-1}$  over the same time periods (2011–2015) to  $0.4 \text{ Gt CO}_2 \text{ yr}^{-1}$  (2016–2020) (Fig. 23).

### 3.23 Emissions and removals on forest land

Results show that Emissions/removals on forest land after deducting the net deforestation continued to function as showed a net sink of atmospheric  $\text{CO}_2$  over the entire period 1990–2020 period, averaging with a mean removal of  $-3.3 \text{ Gt CO}_2 \text{ yr}^{-1}$  globally. Unlike deforestation fluxes, This forest carbon flux was nearly roughly equally divided between Annex I ( $-1.8 \text{ Gt CO}_2 \text{ yr}^{-1}$ ) and non-Annex I countries ( $-1.5 \text{ Gt CO}_2 \text{ yr}^{-1}$ ) (Table 1). Additionally, we computed that The new FAOSTAT estimates

Formatted: Font: Italic

indicated a stronger forest sink than previously estimated using FRA 2015 data, are i.e., on average 1.0 Gt CO<sub>2</sub> yr<sup>-1</sup> and about (-35 %) stronger, than previous findings based on the FRA 2015, mostly due to larger ~~computed~~ estimated sinks in Europe (dominated by trends in Russian Federation) and Asia (China).

~~In terms of temporal trends, our estimates based on FRA 2020 data show a decrease in the~~ At the same time, the world total ~~estimated global forest land sink weakened in strength over the study period, with average rates going~~ the world total mean decreasing ~~from -3.3 to -2.6 Gt CO<sub>2</sub> yr<sup>-1</sup>, i.e., about a 20 % decrease from 1990 to 2020 due to declining~~ declining afforestation rate. In fact, the new estimates also reveal a significant albeit brief reversal during t ~~The period 2011–2015 represented an exception to this decreasing trend, where the~~ showing the strongest ~~forest land sink showed a marked increase in strength with respect to the~~ over the study period ~~1991–2010 period, reaching an average with mean world total annual rates of -4.0 Gt CO<sub>2</sub> yr<sup>-1</sup>.~~

~~Regionally, the global sink, averaged over the entire p~~ In terms of regional distribution and averaged over the period 1990–2020, was nearly equally split between ~~Europe, the Americas and Asia~~ nearly equally contributed to the estimated forest land removals, within a narrow range of -1.0 to -1.2 Gt CO<sub>2</sub> yr<sup>-1</sup>, ~~and with Europe (including the Russian Federation), being having the largest contribution among these regions. Conversely, forest land in Africa was the only region with was a source to the atmosphere, with mean emissions increasing significantly from 2000 to 2015, i.e., from 1.4 to 43 Mt CO<sub>2</sub> yr<sup>-1</sup> (Fig. 3). By associating net forest land emission to forest degradation, as done in Federici et al. (2015), our results suggest over a 15-fold increase in forest degradation in Africa over the last twenty years. net forest land emissions (albeit small, compared to the sinks), in all more recent periods (2001–2010; 2011–2015; and 2016–2020) (Fig. 4)~~ (degradation defined following Federici et al. 2015, as netnet emission over forest land, or a net loss of carbon stock).

~~leading to the conclusion that those forest lands are subject to degradation of their C stocks. Indeed, annual average emissions increased significantly from 2001–2010 to 2011–2015, i.e., from 1.4 to 38 Mt CO<sub>2</sub> yr<sup>-1</sup>, and then further to 43 Mt CO<sub>2</sub> yr<sup>-1</sup> in 2016–2020, or more than a 15-fold increase in forest degradation in this region over the last twenty years (degradation defined following Federici et al. 2015, as netnet emission over forest land, or a net loss of carbon stock).~~

### 3.3 Total emissions and removals from forests

~~FAOSTAT—Our estimates based on FRA 2020 data show that the net effects of emissions from net forest conversion and removals on forest land were s~~ acted globally as a small net, albeit source of CO<sub>2</sub> emissions to the atmosphere, with a world total mean over 1990–2020 period, averaging of 0.4 Gt CO<sub>2</sub> yr<sup>-1</sup> over the 1990–2020 period. This new estimated value was ~~(including deforestation losses and emissions/removals on forest lands). This was which is significantly less than reported earlier based on FRA 2015 data, estimated earlier the~~ (Table 1). It is further of interest to note that ~~The the estimated small global source was the result of a balance of larger fluxes: a net sink on forest land, largely located in~~ mostly in UNFCCC Annex I countries (-1.5 Gt

Formatted: Heading 2

Formatted: Not Highlight

CO<sub>2</sub> yr<sup>-1</sup>), counterbalanced by a ~~larger~~ ~~slightly larger~~ net emission source from net forest conversion, mainly in non-Annex I countries (1.9 Gt CO<sub>2</sub> yr<sup>-1</sup>).

~~Two notable new findings emerged from a~~ more detailed analysis focusing on trends over time (Fig. 42) ~~revealed two~~ notable new findings of our analysis with respect to previous results. (Fig. 2). First, the period 2015-2020 saw a reversal of the decreasing trend in non-Annex I sources and the increasing trend in Annex I sinks seen for the period ~~during~~ 1990 to 2015. Specifically, ~~both reversing in 2016–2020~~, with non-Annex I sources from net forest conversion ~~ibegan to increase again in 2016-2020~~, ~~ing~~ from 1.3 to 1.6 Gt CO<sub>2</sub> yr<sup>-1</sup>, while ~~while~~ Annex I sinks on forest land ~~began decreasing in strength, from -2.0 to -1.3 Gt CO<sub>2</sub> yr<sup>-1</sup>~~. Second, ~~by~~ and remarkably, forests acted as a net overall sink of atmospheric CO<sub>2</sub> ~~during the period 2011–2015 due to the decreased deforestation~~, averaging nearly -0.7 Gt CO<sub>2</sub> yr<sup>-1</sup>, largely a result of decreased emissions from net forest conversion in this period. ~~This net overall sink has never been estimated before in the literature before by FAOSTAT. By comparison~~ For instance, FAO had previously estimated for the same period, ~~s~~ based on FRA 2015 input data, ~~for the corresponding 2011–2015 period indicated a net emission source of 1.1 Gt CO<sub>2</sub> yr<sup>-1</sup> (Table 1).~~

#### 4. Discussion

The recent release of the new FRA 2020 data allowed for a revision of earlier FAO estimates of forest emissions and removals from the atmosphere of CO<sub>2</sub>, suggesting that in the most recent decade forests have contributed very little to atmospheric CO<sub>2</sub> concentration. We combined information reported by countries to FRA 2020 on the two periods 2011–2015 and 2016–2020 to obtain for the first time a picture of the 2011–2020 decade. Our findings indicate that in 2011–2020 the net contribution of forests to the atmosphere was less than 0.2 Gt CO<sub>2</sub> yr<sup>-1</sup>, resulting from the emissions from large carbon. More specifically, the emissions from deforestation were 3.1 Gt CO<sub>2</sub> yr<sup>-1</sup> whereas the net removals from forest land were -3.3 Gt CO<sub>2</sub> yr<sup>-1</sup>. Our When comparing the net forest flux if the 2011–2020 to the previous decade, our results indicate a decrease of deforestation emissions by 15% and at the same time a small 5% decrease in the strength of the forest land sink due to decreased pace of natural expansion and afforestation. ~~also~~ Although data limitations and quality remain issues to be addressed to achieve an accurate assessment of the forest contribution to atmospheric CO<sub>2</sub> concentration, results ~~but also~~ The new FAOSTAT estimates also show that over the earlier period 1991–2010 forests were a net source of emissions. This confirms and further quantifies what was also seen in previous estimates using the data reported to FRA 2015 (Federici et al. 2015) although those earlier results were on average four times larger than those presented here. The main reason for this difference was identified in stronger forest sinks estimated with the new FRA 2020 data compared to FRA 2015, respectively for Europe (+0.7 Gt CO<sub>2</sub> yr<sup>-1</sup>) and Asia (+0.6 Gt CO<sub>2</sub> yr<sup>-1</sup>).

The main finding of the new estimates presented herein is undoubtedly the large estimated sink on forest land over the period 2011–2015, i.e., a forest land sink of -4.0 Gt CO<sub>2</sub> yr<sup>-1</sup>. This sink is the major reason for the overall net negative carbon flux



previously highlighted in the results section. Notable contributors to the 2011–2015 FAO estimates for forest land sink were the Russian Federation, USA, China, Indonesia and India, which all had stronger uptakes compared to the previous 2001–2010 period, as well as much stronger estimated sinks compared to the FRA 2015.

A discussion on forest carbon emissions cannot be complete without an attempt to address the issue of anthropogenic versus natural carbon fluxes, itself linked to the definitions of ‘managed’ vs. ‘unmanaged’ forest, of relevance to climate change policy and action (e.g., Grassi et al., 2018 and 2020; Petrescu et al., 2020).<sup>20</sup>

While equations (1)–(3) above do not separate between anthropogenic and natural carbon fluxes, it can be noted that the stocks in deforestation emissions reported for here results mostly in from managed forest forests only (e.g., see [http://www.fao.org/economic/ess/ess\\_home/questionnaires/en/](http://www.fao.org/economic/ess/ess_home/questionnaires/en/)).

### 3.44.1 Comparisons Regional e with country reporting to the UNFCCC

#### *Forest Land*

As discussed in the methodology section, we first compared our estimates of emissions/removals on forest land to ~~To~~ assess quality of the quality of FAO flux estimates, we resorted to simple comparisons of our estimates with the anthropogenic emissions data reported by countries to UNFCCC, as accessed at the UNFCCC data portal (UNFCCC, 2020) and complemented with information from national Biennial Update Reports (BURs). While data from Annex I countries are fairly complete over the period 1990–2018, data from non-Annex I countries are sparse, although becoming increasingly available through BURs. Given these data limitations, a full comparison was possible for the FRA periods 1991–2000; 2001–2010; and 2011–2015 (Fig. 5 to Fig 7).

First, we looked at the emissions/removals on forest land reported by Annex I Parties, comparing our results with data reported by Annex I reporting of emission/removal countries, i.e., for the category “4.A Forest land” in their national GHG inventory (UNFCCC, 2020). In the aggregate, e.g., summing up all country ies data and averaging over the period, our estimates were, on average over the entire period 1990–2020, with equal sign and our estimates agreed in both sign and magnitude within 14 % (relative absolute error) with the UNFCCC country data (14 % relative absolute error). Specifically, we estimated an average our estimates indicated a mean sink of -1.9 Gt CO<sub>2</sub> yr<sup>-1</sup> vs -2.2 Gt CO<sub>2</sub> yr<sup>-1</sup> reported of Annex I country reporting. Using, while the earlier estimates based on the FRA 2015 in earlier work (Federici et al., 2015) had given a had indicated a 33 percent % smaller sink weaker sink (Table 2). The new FAOSTAT Our estimates were particularly well aligned

Formatted: Heading 2

Formatted: Font: Italic

Formatted: Not Highlight

Formatted: Not Highlight

Formatted: Not Highlight

<sup>20</sup>The FAO definitions of forest land comprise areas under forestry production, forest conservation including natural parks, and in general any area regulated administratively in terms of destination and use.

with country reporting for the period 2010–2015, i.e., within 5 % percent, predicting a sink on forest land of  $-2.1 \text{ Gt CO}_2 \text{ yr}^{-1}$  vs  $-2.2 \text{ Gt CO}_2 \text{ yr}^{-1}$  of Annex I reporting reported. As in the previous case, earlier sink estimates based on the FRA 2015 were 40 % smaller. Previous estimates based on the FRA 2015 for the same period reported instead a 40 percent weaker sink (Fig. 5).

To gain further insights, we also separately analyzed data on forest land reported by individual countries in their national GHG inventories (NGHGs), focusing on those reporting large sinks, i.e., Canada, Russian Federation and the United States of America (USA) among Annex I parties, and China among non-Annex I parties (Fig. 6). In the latter group, we also compared our results to the data to UNFCCC from Brazil and Indonesia (Fig. 7), focusing in this case instead on two net sources, large emitters.

Comparisons of estimated forest land emissions/removals on forest land removals for specific countries with large reported sinks the Russian Federation confirmed the good overall agreement found for Annex I parties in aggregate. For instance, on average over the period 1990–2015, our estimates of forest land sinks for the Russian Federation were within 5 % of those reported by the country eNGHG. The NGHGI data agreement with NGHGI data was particularly good even closer after the year 2000, i.e., for the period 2001–2010 an average estimated our estimates indicated a mean sink on forest land of  $-800 \text{ Mt CO}_2 \text{ yr}^{-1}$  versus NGHGI country data of  $-750 \text{ Mt CO}_2 \text{ yr}^{-1}$  for the period 2001–2010, and a mean sink estimates of  $-730 \text{ Mt CO}_2 \text{ yr}^{-1}$  versus  $-680 \text{ Mt CO}_2 \text{ yr}^{-1}$  for the period 2011–2015 (Fig. 6).

Comparisons of the FAO estimates for with the USA NGHGI data were also encouraging, albeit with showing larger differences than found for the Russian Federation. On average over the period 1991–2010, the FAO STAT estimates were of a within 25 % smaller sink on forest land compared to of the NGHGI country NGHGI data. Importantly, estimates of the sink during Averaged over the period 2011–2015 our estimates were 29 % of the NGHGI data, NGHGI with FAO estimates smaller than the country data, or  $-460 \text{ Mt CO}_2 \text{ yr}^{-1}$  and NGHGI data indicating a sink of  $-650 \text{ Mt CO}_2 \text{ yr}^{-1}$ , respectively (Fig. 6).

Another important comparison useful to assess the anthropogenic component of the sink on forest land was We performed comparisons for China, also a major contributor to the global sink, using Data for China were taken directly from the country's the Second recent Biennial Update Report to the UNFCCC (2018), to extend our analysis to non-Annex I countries reporting large sinks on forest land. Specifically, we used national data on total removals from LULUCF data for the period 2011–2015 are available for total LULUCF. We assumed concluded that China LULUCF data were a good proxy for forest land data, considering that: 1) zero emissions from net forest conversion were indicated in the same BUR was not present in this period; and 2) emissions from while cropland and grassland emissions/removals—the other main component of LULUCF emissions—within a national inventory—are usually were likely small, as indicated by

independent emissions estimates published in FAOSTAT (FAO, 2020b). Within these assumptions, we found that for the period 2011–2015 our FAO estimates of a forest land sink on forest land in China for the period 2011–2015 agreed well with country data (were within 20 % of country data–NGHGI data), i.e., -710 Mt CO<sub>2</sub> yr<sup>-1</sup> compared to -840 Mt CO<sub>2</sub> yr<sup>-1</sup> reported to UNFCCC (Fig. 6).

Finally, our results showed in Conversely, our estimates of emissions/removals on forest land did not agree well to those reported by Canada. Our results indicated a net forest source on forest land, declining over the periods 2001–2010 and 2011–2015 from 2000 to 2015, whereas the NGHGI country data reported a progressively smaller reducing sink over the same period (Fig. 6). Specifically for the period 2011–2015, our estimates reported a weak net source, i.e., about 23 Mt CO<sub>2</sub> yr<sup>-1</sup>, compared to about a net sink of -150 Mt CO<sub>2</sub> yr<sup>-1</sup> in of the country–NGHGI data. Finally, our Our estimates for the most recent period, 2016–2020, for which however there is no available NGHGI data yet from the country, also indicate began to show a sink on forest land, of -80 Mt CO<sub>2</sub> yr<sup>-1</sup>, thus indicating a possible progressively alignment in net with NGHGI data in recent years. A possible reason for the discrepancies found in this case may relate to differences in land use definitions, particularly those related to managed forest land. For the purpose of the NGHGI GHG inventory, in fact, Canada delineates the area of managed forests defined by Canada (forest under direct human influence) which is about 65 % of the total forest land area reported to FAO (Canada’s 7th National Communication and 3rd Biennial Report, 2017; Ogle et al., 2018). This is likely the main reason for the discrepancies found with our estimates, which were larger than for the other countries (Fig. 6).

#### *Net forest conversion*

We also also compared FAOSTAT estimates of emissions from net forest conversion with data reported to UNFCCC from NGHGI Brazil and Indonesia through their BUR. As discussed in the methodology section, FAO estimates of emissions from net forest conversion are proxies for deforestation emissions data. The two countries for which relevant data were available were Brazil and Indonesia. More specifically, data were obtained from the Brazil Brazil’s third Biennial Update Report (2019) and from the Indonesia Indonesia’s second Biennial Update Report Indonesia (2018) (Fig. 7). In general FAO estimates of deforestation should be an underestimate of NGHGI values since the latest are based on gross deforestation while FAO data estimates the net deforestation net deforestation only. For Deforestation data for Brazil, we compared our estimates of net forest conversion directly to deforestation emissions from were directly available in the country’s BUR. For Indonesia, we took compared our estimates to LULUCF sum of LULUCF emissions arising from land use change emissions related to land use conversion to cropland and grassland—assuming, in line with current understanding of deforestation trends in this country, that this was a good proxy for deforestation, i.e., land most converted sion to cropland and grassland in Indonesia originated largely from loss of forest land area. Deforestation data for Brazil were directly available in the BUR.

For Indonesia, for the period 1991–2000, the FAOSTAT our estimates of emissions from net forest conversion differ greatly overestimated country data from the NGHGI data for deforestation, in the BUR for 1991–2000, i.e., by over a factor s of over 10 (Fig. 7). Conversely, for the more recent period 2011–2015, they were on average within 25 % of country

Formatted: Font: Italic

Formatted: Not Highlight

NGHGHGHI data, or specifically 180 Mt CO<sub>2</sub> yr<sup>-1</sup> vs country data of 165 Mt CO<sub>2</sub> yr<sup>-1</sup>. Finally in terms of new information, for 2016–2020, the FAOSTATFAO estimates showed aOur estimates further suggested a 50% decrease in emissions from net forest conversion of nearly 50 % with respect to the 2011–2015 periodin the period 2016-2020, for which however BUR data are not yet available (Fig. 7).

For Brazil, our estimates over the entire 1991–2015 were in good agreement (within 10 % ) of-NGHGHGHI country NGHGHGHI data over the period 1990 to 2015, i.e., on average 1.4 vs. 1.5 Mt CO<sub>2</sub> yr<sup>-1</sup> reported data (Fig. 7). More in detail by decade, FAO our estimates were 1.4 vs 1.9 Gt CO<sub>2</sub> yr<sup>-1</sup> during 1991–2000 and both–1.6 vs 1.6 Gt CO<sub>2</sub> yr<sup>-1</sup> over 2001–2010. While Conversely, for the period 2010–2015, ourFAO estimates of emissions from net forest conversion were suggested a mueh significantly- higher deforestation (about 50 % more) than reported in the BURin the NGHGHGHI for the period 2011–2015, consistently with suggestions made in the literature that deforestation emissions may have been higher in this period than initially estimated by the country (Fig. 7).

These findings point to two main conclusions. First, the good agreement between the FAOSTAT estimates and country reports implies that the definition of forest land use underlying both FAO and UNFCCC reporting was consistent. When this alignment in forest land use definitions was not present, as in the case of Canada, significant differences between FRA and country data were found (Fig. 6).

Second, country reports are consistent with and thus support FAOSTAT estimates of a large anthropogenic sink on forest land for the period 2011–2015, leaving open the possibility, put forward by the new estimates and in need of verification in coming years, that the world forests were a small sink, rather than a source, of atmospheric carbon during this period.

#### **4. Discussion**

The availability of new forest area and carbon stock data from the FRA 2020 enabled a new analysis of the role of forests in generating CO<sub>2</sub> emissions and removals at country, regional and global level, during the period 1990–2020. In particular, the new information allowed us, for the first time in the literature, to estimate emissions and removals relative to the most recent decade, covering the period 2011–2020. Our findings indicate that in the decade just concluded the net contribution of forests to the atmosphere, representing the combination of emissions from net forest conversion and removals on forest land, was very small, i.e., an overall emission source of less than 0.2 Gt CO<sub>2</sub> yr<sup>-1</sup>. It nonetheless resulted from the balance of large global fluxes of opposite sign, namely mean net forest conversion emissions of 3.1 Gt CO<sub>2</sub> yr<sup>-1</sup>, counterbalanced by mean net removals on forest land of -3.3 Gt CO<sub>2</sub> yr<sup>-1</sup>. Both fluxes, and hence the overall net near zero balance for forests, were shown to be in very good agreement with the data reported by countries in national GHG inventories, and in line with independent findings by Grassi et al. (2021). At the same time, the consistency of our estimates with those of terrestrial carbon cycle models were

limited to the anthropogenic carbon flux from forests to the atmosphere (i.e., IPCC, 2019). Results further showed that, with respect to the previous decade 2001–2010, emissions from net forest conversions had decreased by 15 %, while removals on forest land had decreased by 5 %. Further analysis of the underlying FRA 2020 data (not shown) indicated that such decreases were due to a reduced pace of natural expansion and afforestation in Annex I countries, which have functioned historically (1990–2020) as forest sinks, as well as a decrease in forest loss in non-Annex I countries, which have represented the bulk of deforestation. The new estimates also show that over the earlier period 1991–2010 forests were a smaller net source of emissions than previously calculated (Federici et al. 2015), largely due to much stronger sinks on forest land estimated using the new FRA 2020 as opposed to FRA 2015 data, respectively for Europe (+ 0.7 Gt CO<sub>2</sub> yr<sup>-1</sup>) and Asia (+ 0.6 Gt CO<sub>2</sub> yr<sup>-1</sup>).

Formatted: Font color: Auto

The main new finding of this work is the large estimated sink on forest land over the period 2011–2015, averaging -4.0 Gt CO<sub>2</sub> yr<sup>-1</sup>, causing the overall net negative carbon flux from forests highlighted in the results section. Notable contributors to this forest land sink were the Russian Federation, USA, China, Indonesia and India, which all had stronger carbon uptake compared to the previous 2001–2010 period. Comparisons with country data reported to the UNFCCC support our estimates, indicating that they represent an improvement compared to previous results. In particular, the good agreement between our new estimates and country NGHGI data on emissions/removals on forest land and emissions from net forest conversion suggests that the definition of forest land area underlying both FAO and UNFCCC reporting was consistent across the countries considered, i.e., they considered most of the forest land area reported to FAO as managed for UNFCCC purposes—confirming the analysis provided in the methodological section of this paper. This implies that, limited to the countries tested and within the range of limitations discussed earlier in this paper, the estimates of emissions and removals from forests provided in this paper can be considered largely anthropogenic. Finally, the good agreements found between our estimates and country reports support the finding of a large anthropogenic sink on forest land for the period 2011–2015, leaving open the possibility, in need of verification in coming years, that the world forests were a small sink, rather than a source, of atmospheric carbon during this period. In fact, the discussed progressive reduction of the overall forest source observed across the two most recent decades is consistent with the appearance of a net overall forest sink in recent years.

Formatted: Not Highlight

Formatted: Font color: Black

## 5. Data availability

The emissions and removals data, alongside with input activity data of forest land area and carbon stock, are disseminated in FAOSTAT (FAO, 2020b). An exact replica of the data used for this paper is available at Zenodo (Tubiello, 2020), with DOI 10.5281/zenodo.3941973. provided as open access via Zenodo (Tubiello, 2020), with DOI 10.5281/zenodo.3941973.

## 6. Conclusions

The new FAOSTAT estimates of CO<sub>2</sub> emissions and removals from forests and land were updated based on the most recent FRA 2020 data and by applying a simple yet robust, transparent and easily replicable carbon stock change approach. Over the period 1990–2020, the results confirmed well-known country, regional and global trends, providing additional detail to specific dynamics while extending existing information to the period 2016–2020. Importantly, the new estimates allowed to characterize for the first time forest emissions and removals for the decade just concluded, 2011–2020, showing that in this period the net contribution of forests to the atmosphere was very small, i.e., less than 0.2 Gt CO<sub>2</sub> yr<sup>-1</sup>. This near-zero balance was nonetheless the result of large global fluxes of opposite sign, namely net forest conversion emissions of 3.1 Gt CO<sub>2</sub> yr<sup>-1</sup> counterbalanced by net removals on forest land of -3.3 Gt CO<sub>2</sub> yr<sup>-1</sup>. Importantly, they allowed for the first complete analysis of trends in the most recent decade, 2011–2020. The new estimates confirm and further quantify decreases over time in global net deforestation emissions, to below 3 Gt CO<sub>2</sub> yr<sup>-1</sup> globally in the second half of the decade. The new FAOSTATFAO estimates highlighted opposite regional trends in Latin America and Africa, with the former seeing marked reductions while in the latter the emissions from deforestation have continued to grow. At the same time, these estimates have identified a particularly strong carbon sink on forest land during 2011–2015, consistent with country reporting but never previously detected with this magnitude. Overall and aside for the 2011–2015 sink, the new estimates confirm and extend current knowledge (Smith et al., 2014; Friedlingstein et al., 2019; IPCC, 2019). During the period 1991–2020, natural forests lost annually more than 2 percent of their biomass C stocks, corresponding to a mitigation potential of roughly 3 Gt CO<sub>2</sub> yr<sup>-1</sup> when future C stock losses from deforestation and forest degradation are avoided. Our findings also confirm that forests continue being a net, albeit small sink of emissions and thus have a significant role for mitigating climate change.

Formatted: Tab stops: Not at 3.48"

## Author contributions.

**Competing interests.** The authors declare that they do have no conflict of interest.

**Disclaimer.** The views expressed in this publication are those of the authors and do not necessarily reflect the views or policies of FAO.

**Acknowledgments.** This work was made possible by regular funding provided to FAO by its member countries. We are grateful to staff of the FAO Statistics Division for overall support, and in particular ~~to~~ Giorgia De Santis for her preliminary analysis of the FRA 2020 data used as input into this work, Griffiths Obli-Layrea for UNFCCC data provision and Amanda Gordon for FAOSTAT [data](#) maintenance and dissemination.

## References

FAO: Global Forest Resources Assessment 2020: Main Report, Rome, available at: <http://www.fao.org/documents/card/en/c/ca9825en> (last access: January 2021), 2020<sup>aa</sup>.

FAO: FAOSTAT Emissions – Land Use, Forest Land, available at: <http://www.fao.org/faostat/en/#data/GF> (last access: January 2021), 2020<sup>bb</sup>.

FAO: [Land statistics. Global, regional and country trends, 1990–2018. FAOSTAT Analytical Brief Series No. 15. Rome, Italy.](#) Available at: ~~FAOSTAT – Inputs – Land Use, available at: <http://www.fao.org/faostat/en/#data/RL>~~ <http://www.fao.org/3/cb2860en/cb2860en.pdf> (last access: January 2021), 2021<sup>0e</sup>.

FAO: Global Forest Resources Assessment 2015, How are the world's forests changing? Second edition, Food and Agriculture Organization of the United Nations, Rome, [Italy](#). Available at: <http://www.fao.org/3/a-i4793e.pdf> (last access: January 2021), 2016.

Federici, S., Tubiello, F. N., Salvatore, M., Jacobs, H. and Schmidhuber, J.: New estimates of CO<sub>2</sub> forest emissions and removals: 1990–2015, *Forest Ecology and Management*, 352, 89–98, 2015.

Friedlingstein, P., Jones, M. W., O'Sullivan, M., Andrew, R. M., Hauck, J., Peters, G. P., Peters, W., Pongratz, J., Sitch, S., Le Quéré, C., Bakker, D. C. E., Canadell, J. G., Ciais, P., Jackson, R. B., Anthoni, P., Barbero, L., Bastos, A., Bastrikov, V., Becker, M., Bopp, L., Buitenhuis, E., Chandra, N., Chevallier, F., Chini, L. P., Currie, K. I., Feely, R. A., Gehlen, M., Gilfillan, D., Gkritzalis, T., Goll, D. S., Gruber, N., Gutekunst, S., Harris, I., Haverd, V., Houghton, R. A., Hurtt, G., Ilyina, T., Jain, A. K., Joetzier, E., Kaplan, J. O., Kato, E., Klein Goldewijk, K., Korsbakken, J. I., Landschützer, P., Lauvset, S. K., Lefèvre, N., Lenton, A., Lienert, S., Lombardozi, D., Marland, G., McGuire, P. C., Melton, J. R., Metzl, N., Munro, D. R., Nabel, J. E. M. S., Nakaoka, S.-I., Neill, C., Omar, A. M., Ono, T., Pregon, A., Pierrot, D., Poulter, B., Rehder, G., Resplandy, L., Robertson, E., Rödenbeck, C., Séférian, R., Schwinger, J., Smith, N., Tans, P. P., Tian, H., Tilbrook, B.,

Formatted: Indent: Left: 0"

Formatted: Indent: Left: 0", Hanging: 0.2"

Tubiello, F. N., van der Werf, G. R., Wiltshire, A. J. and Zaehle, S.: Global Carbon Budget 2019, *Earth System Science Data*, 11(4), 1783–1838, <https://doi.org/10.5194/essd-11-1783-2019>, 2019.

Grassi, G., Stehfest, E., Rogelj, J., van Vuuren, D., Cescatti, A., House, J., Nabuurs, G., Rossi, S., Alkama, R., Viñas, R.A., Calvin, K., Ceccherini, G., Federici, S., Fujimori, S., Gusti, M., Hasegawa, T., Havlik, P., Humpenöder, F., Korosuo, A., Perugini, L., Tubiello, F.N., and Popp, A.: Critical adjustment of land mitigation pathways for assessing countries' climate progress, *Nature Climate Change*, submitted, 2021.

**Formatted:** Normal, Indent: Left: 0", Hanging: 0.2", Line spacing: single, Pattern: Clear

**Formatted:** Font: (Default) Times New Roman

Grassi, G., House, J., Kurz, W. A., Cescatti, A., Houghton, R. A., Peters, G. P., Sanz, M. J., Viñas, R. A., Alkama, R., Arneeth, A., Bondeau, A., Dentener, F., Fader, M., Federici, S., Friedlingstein, P., Jain, A. K., Kato, E., Koven, C. D., Lee, D., Nabel, J. E. M. S., Nassikas, A. A., Perugini, L., Rossi, S., Sitch, S., Viovy, N., Wiltshire, A. and Zaehle, S.: Reconciling global-model estimates and country reporting of anthropogenic forest CO<sub>2</sub> sinks, *Nature Climate Change*, 8(10), 914–920, <https://doi.org/10.1038/s41558-018-0283-x>, 2018.

Houghton, R. A., House, J. I., Pongratz, J., Werf, G. R. van der, DeFries, R. S., Hansen, M. C., Le Quéré, C. and Ramankutty, N.: Carbon emissions from land use and land-cover change, *Biogeosciences*, (12), 5125–5142, 2012.

Houghton, R. A. and Nassikas, A. A.: Global and regional fluxes of carbon from land use and land cover change 1850–2015, *Global Biogeochemical Cycles*, 31(3), 456–472, <https://doi.org/10.1002/2016GB005546>, 2017.

IPCC Guidelines for National Greenhouse Gas Inventories (NGHGI): available at: <https://www.ipcc-nggip.iges.or.jp/public/2006gl/> (last access: January 2021), 2006.

IPCC: Summary for Policymakers. In: *Climate Change and Land: an IPCC special report on climate change, desertification, land degradation, sustainable land management, food security, and greenhouse gas fluxes in terrestrial ecosystems*, [Shukla, P. R., Skea, J., Calvo Buendia, E., Masson-Delmotte, V., Pörtner, H.-O., Roberts, D. C., Zhai, P., Slade, R., Connors, S., van Diemen, R., Ferrat, M., Haughey, E., Luz, S., Neogi, S., Pathak, M., Petzold, J., Portugal Pereira, J., Vyas, P., Huntley, E., Kissick, K., Belkacemi, M. and Malley, J., (eds.)], *In press*, 2019.

Ogle, S. M., Domke, G., Kurz, W. A., Rocha, M. T., Huffman, T., Swan, A., Smith, J. E., Woodall, C. and Krug, T.: Delineating managed land for reporting national greenhouse gas emissions and removals to the United Nations framework convention on climate change, *Carbon Balance and Management*, 13(1), 9, <https://doi.org/10.1186/s13021-018-0095-3>, 2018.

**Formatted:** Indent: Left: 0", Hanging: 0.2"

Petrescu, A. M. R., Peters, G. P., Janssens-Maenhout, G., Ciais, P., Tubiello, F. N., Grassi, G., Nabuurs, G.-J., Leip, A., Carmona-García, G., Winiwarter, W., Höglund-Isaksson, L., Günther, D., Solazzo, E., Kiesow, A., Bastos, A., Pongratz, J., Nabel, J. E. M. S., Conchedda, G., Pilli, R., Andrew, R. M., Schelhaas, M.-J. and Dolman, A. J.: European anthropogenic AFOLU greenhouse gas emissions: a review and benchmark data, *Earth System Science Data*, 12(2), 961–1001, <https://doi.org/10.5194/essd-12-961-2020>, 2020.

Smith, P., Bustamante, M., Ahammad, H., Clark, H., Dong, H., Elsiddig, E.A., Haberl, H., Harper, R., House, J., Jafari, M., Masera, O., Mbow, C., Ravindranath, N.J., Rice, C.W., Robledo Abad, C., Romanovskaya, A., Sperl, F., and Tubiello, F.N.: Agriculture, Forestry and Other Land Use (AFOLU), in Working Group III contribution to the IPCC 5th Assessment Report, *Climate Change 2014: Mitigation of Climate Change*, edited by: Edenhofer, O., R. Pichs-Madruga, Y. Sokona, E.



- Farahani, S. Kadner, K. Seyboth, A. Adler, I. Baum, S. Brunner, P. Eickemeier, B. Kriemann, J. Savolainen, S. Schlömer, C. von Stechow, T. Zwickel and J.C. Minx, Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA, 2014.
- Tubiello, F.N.: Greenhouse Gas Emissions Due to Agriculture. In: [Ferranti, P., Berry, E.M., Anderson, J.R. (Eds.)], Encyclopedia of Food Security and Sustainability, vol. 1, pp. 196–205, Elsevier. ISBN: 9780128126875, 2019.
- Tubiello, F. N., Salvatore, M., Ferrara, A. F., House, J., Federici, S., Rossi, S., Biancalani, R., Condor Golec, R. D., Jacobs, H., Flammini, A., Prosperi, P., Cardenas-Galindo, P., Schmidhuber, J., Sanz Sanchez, M. J., Srivastava, N. and Smith, P.: The Contribution of Agriculture, Forestry and other Land Use activities to Global Warming, 1990–2012, *Global Change Biology*, 21(7), 2655–2660, <https://doi.org/10.1111/gcb.12865>, 2015.
- Tubiello, F.N., 2020: FAOSTAT Forest land emissions (version July 2020), available at: Zenodo, <https://zenodo.org/record/3941973#.XxWVoigzblU> (last access: January 2021), DOI 10.5281/zenodo.3941973.
- UNFCCC: United Nations Framework Convention on Climate Change: Time Series - Annex I, available at: [https://di.unfccc.int/time\\_series](https://di.unfccc.int/time_series) (last access: January 2021), 2020.

## Tables

**Table 1.** Estimates of total forest carbon fluxes (FL\_Tot), total emissions and removals from forests (ER), net forest conversion/deforestation (NFC) and emissions/removals on forest land (FL) in Gt CO<sub>2</sub> yr<sup>-1</sup>, for the period 1990–2020, for global for World, Annex I and non-Annex I totals. FAOSTAT, based on estimates using FRA 2020 (ibidem) and FRA 2015 data (Gt CO<sub>2</sub> yr<sup>-1</sup>). (Federici et al., 2015 (FAO, 2016) are compared.

	FRA_2020			FRA_2015		
	FL_Tot ER	NFC	FL	FL_Tot ER	NFC	FL
<b>1991—2000</b>	<b>0.8</b>	<b>4.3</b>	<b>-3.5</b>	<b>1.8</b>	<b>4.7</b>	<b>-2.9</b>
Annex I countries	-1.4	0.3	-1.7	-1.0	0.2	-1.2
Non-Annex I countries	2.2	3.9	-1.7	2.8	4.5	-1.7
<b>2001—2010</b>	<b>0.5</b>	<b>3.7</b>	<b>-3.1</b>	<b>1.2</b>	<b>3.7</b>	<b>-2.6</b>
Annex I countries	-1.6	0.3	-1.9	-1.4	0.4	-1.8
Non-Annex I countries	2.1	3.4	-1.3	2.6	3.3	-0.8
<b>2011—2015</b>	<b>-0.7</b>	<b>3.3</b>	<b>-4.0</b>	<b>1.1</b>	<b>2.9</b>	<b>-1.9</b>
Annex I countries	-2.0	0.2	-2.1	-1.1	0.1	-1.3
Non-Annex I countries	1.3	3.1	-1.8	2.2	2.8	-0.6
<b>2016—2020</b>	<b>0.3</b>	<b>2.9</b>	<b>-2.6</b>			
Annex I countries	-1.3	0.2	-1.6			
Non-Annex I countries	1.6	2.7	-1.1			
<b>AVERAGE 1990—2020</b>	<b>0.4</b>	<b>3.7</b>	<b>-3.3</b>			
Annex I countries	-1.5	0.3	-1.8			
Non-Annex I countries	1.9	3.4	-1.5			
<b>AVERAGE 1990—2015</b>	<b>0.4</b>	<b>3.8</b>	<b>-3.4</b>	<b>1.4</b>	<b>4.0</b>	<b>-2.5</b>
Annex I countries	-1.6	0.3	-1.8	-1.2	0.3	-1.4
Non-Annex I countries	2.0	3.6	-1.6	2.6	3.7	-1.1

Formatted: Font: Bold

Formatted: Font: Bold

Formatted Table

Formatted: Font: Bold

Formatted: Font: Bold

Formatted: Font: Bold

Formatted: Right

**Table 2. Estimates of emissions/removals on comparison of forest land carbon flux for Annex I countries, based on FRA 2020 and (ibidem), FRA 2015, compared to (Federici et al., 2015; FAO, 2016) and country data reported to UNFCCC (Gt CO<sub>2</sub> yr<sup>-1</sup>), (2020)**

	<b>Annex I totals</b>		
-	<b>FRA_2020</b> <b>(ibidem)</b>	<b>FRA_2015</b> <b>(Federici et al., 2015)</b>	<b>UNFCCC (2020)</b>
<b>Annex I total emissions/removals Gt CO<sub>2</sub></b>			
-	<b>FRA 2020</b>	<b>FRA 2015</b>	<b>UNFCCC</b>
1991-2000	-1.7	-1.2	-2.1
2001-2010	-1.9	-1.8	-2.1
2011-2015	-2.1	-1.3	-2.2
2016-2020	-1.6	0.0	0.0
<b>AVERAGE 1991-2015</b>	<b>-1.8</b>	<b>-1.4</b>	<b>-2.2</b>

Formatted: Font: Not Bold

Formatted: Font: Not Bold

Formatted: Font: Not Bold

Formatted: Font: Not Bold

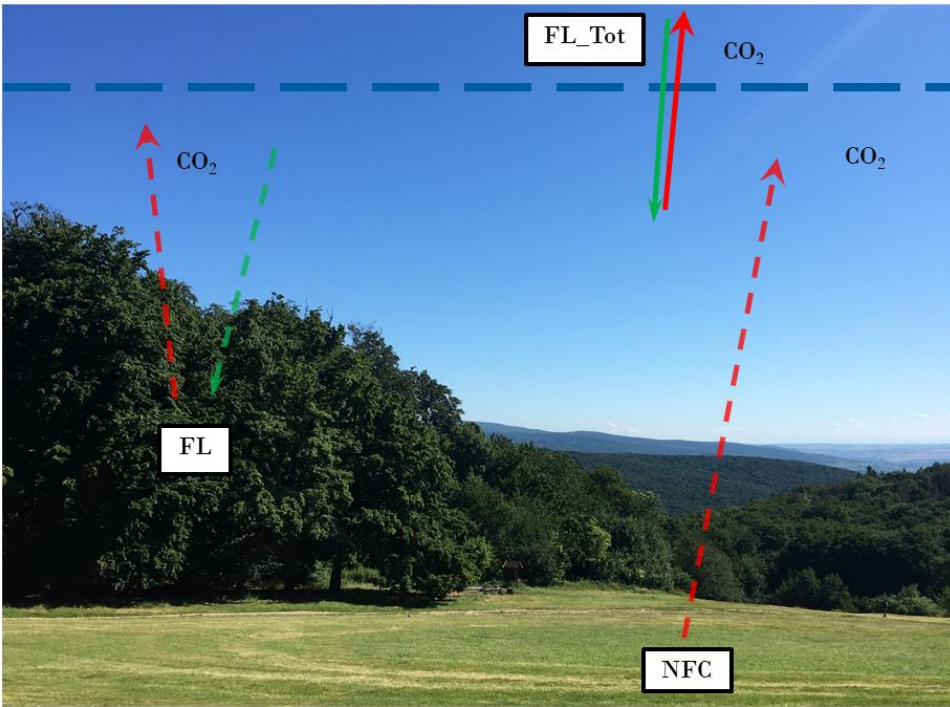
Formatted: Font: Not Bold

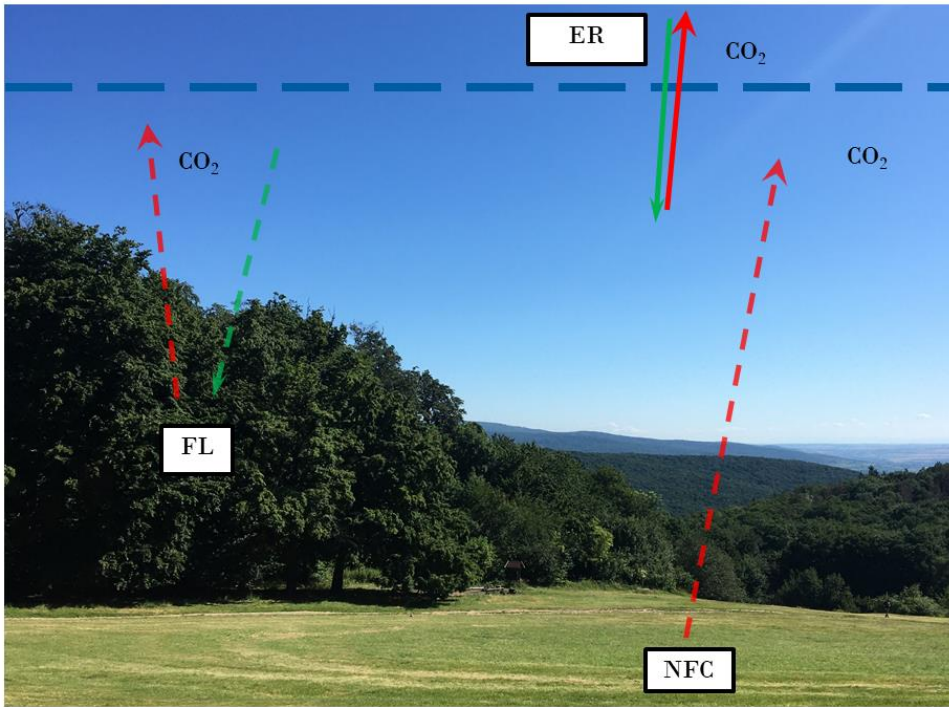
Formatted: Font: Not Bold

Formatted: Left

Formatted: Left

## Figures





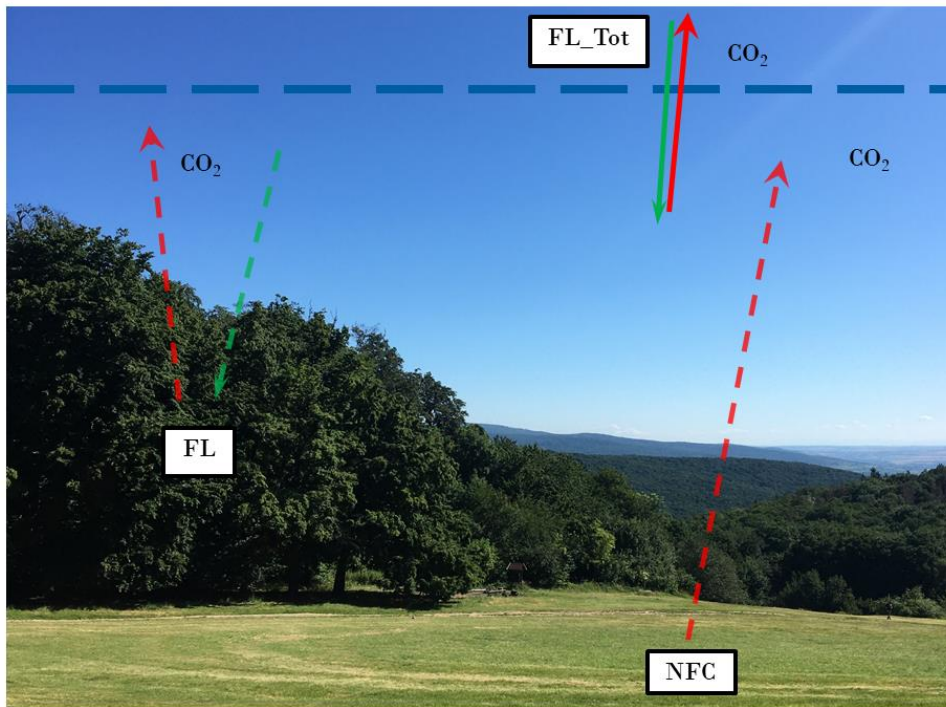
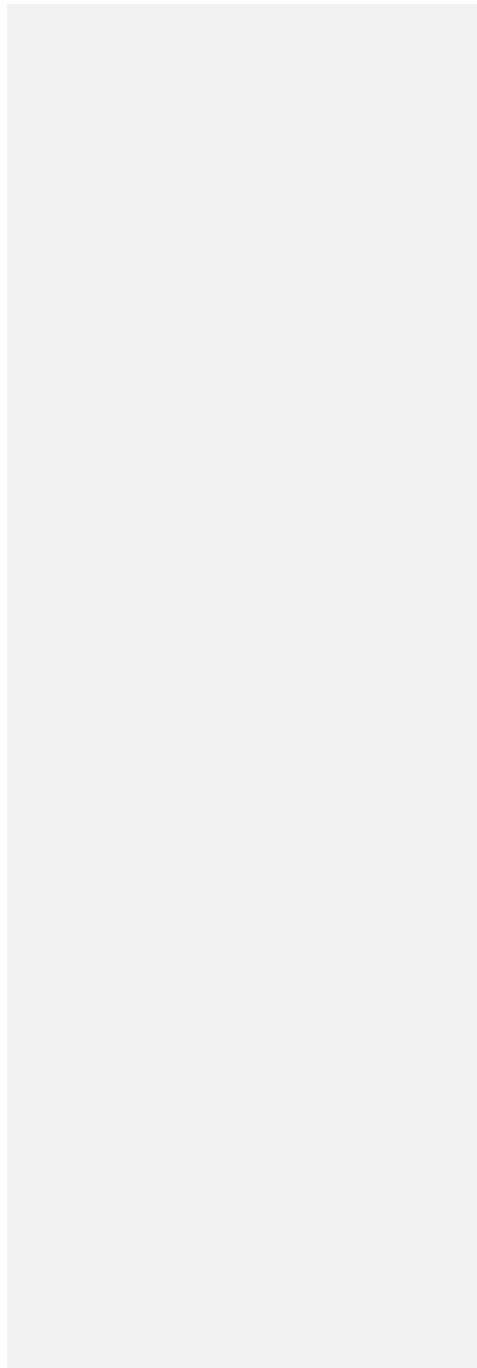
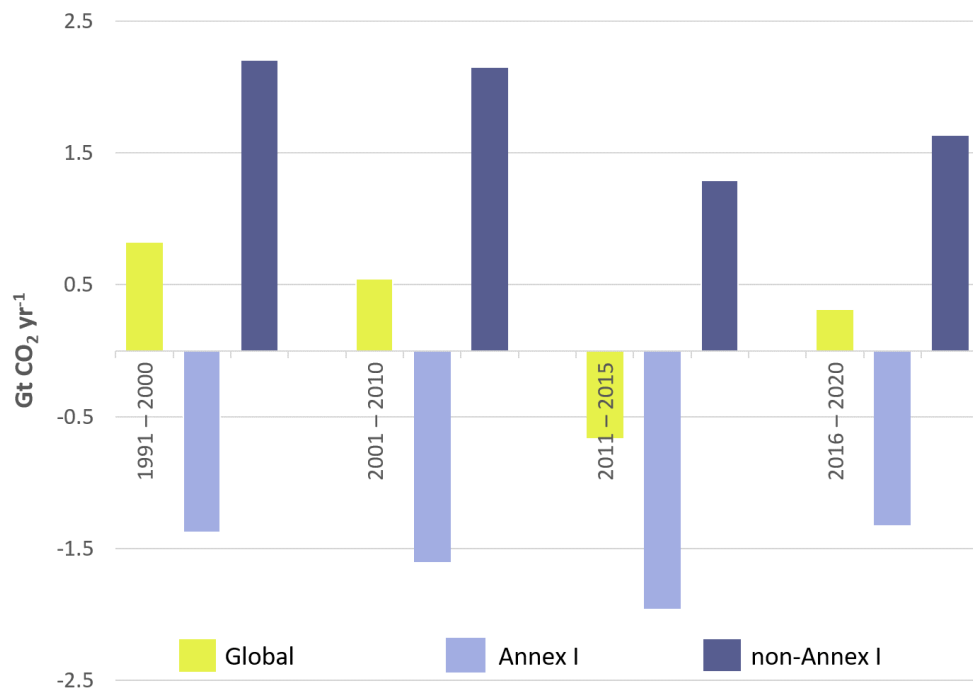


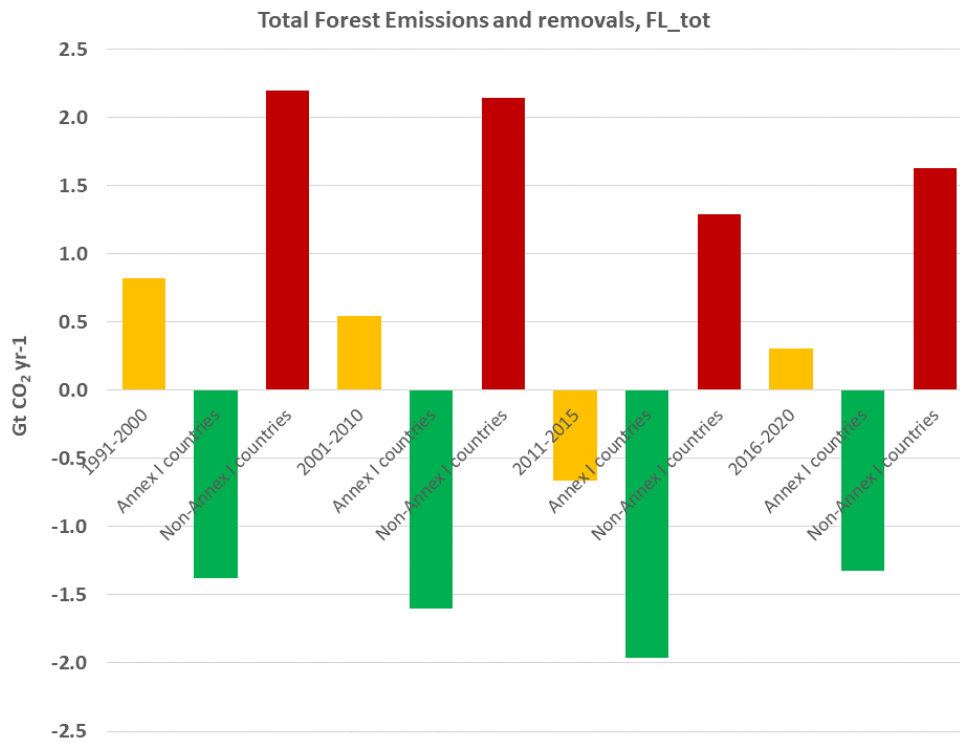
Figure 1. The three main carbon fluxes considered in this paper, consisting of emissions from overall carbon forest fluxes to and from the atmosphere (FL\_Tot), deforestation net forest conversion (NFC), and emissions and /removals on forest land (FLFL) and their aggregate, representing total net emissions/removals from forests (ER). Photo copyright: Francesco N. Tubiello.

|



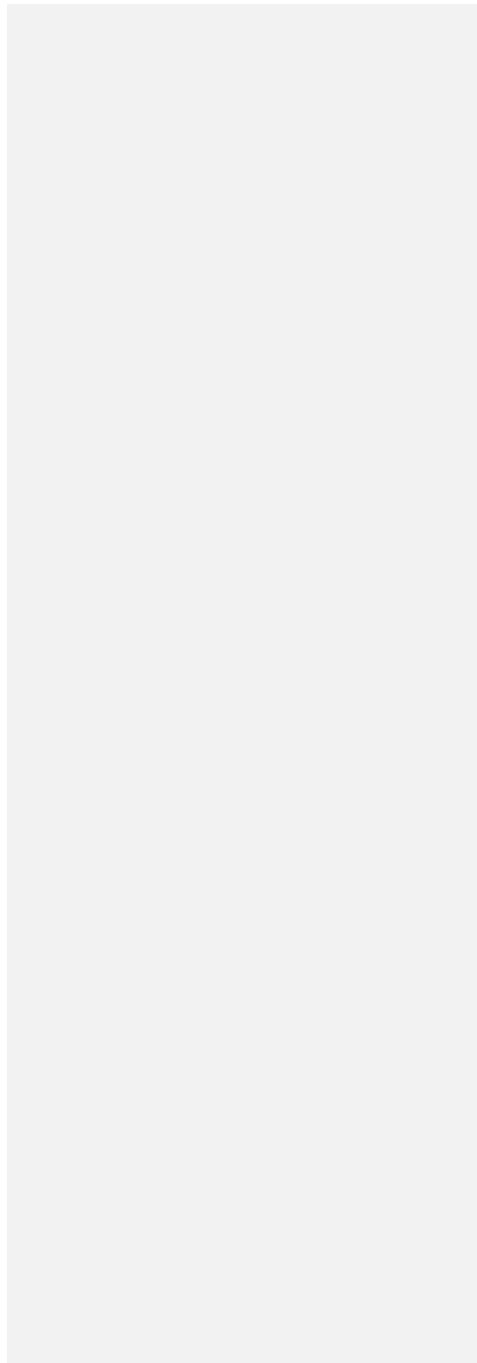


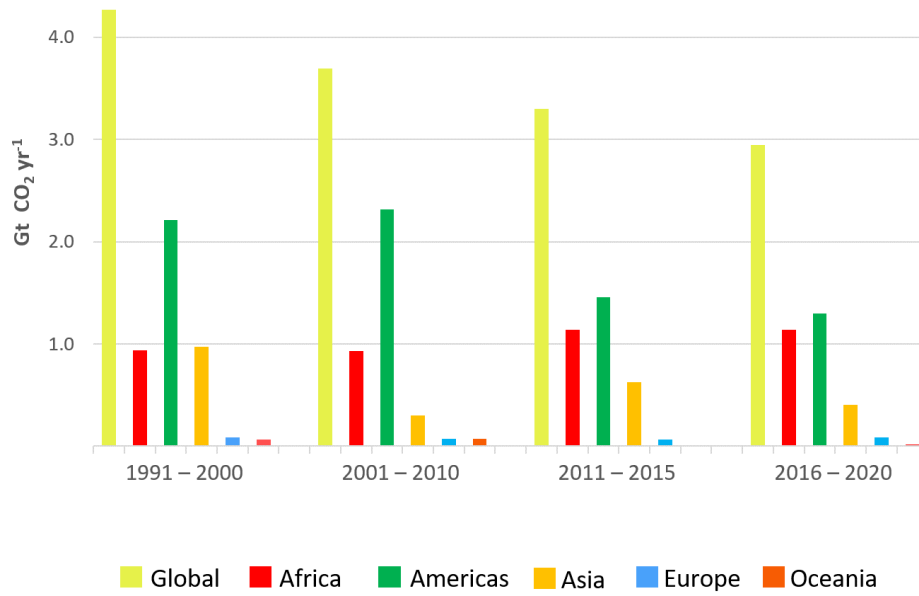


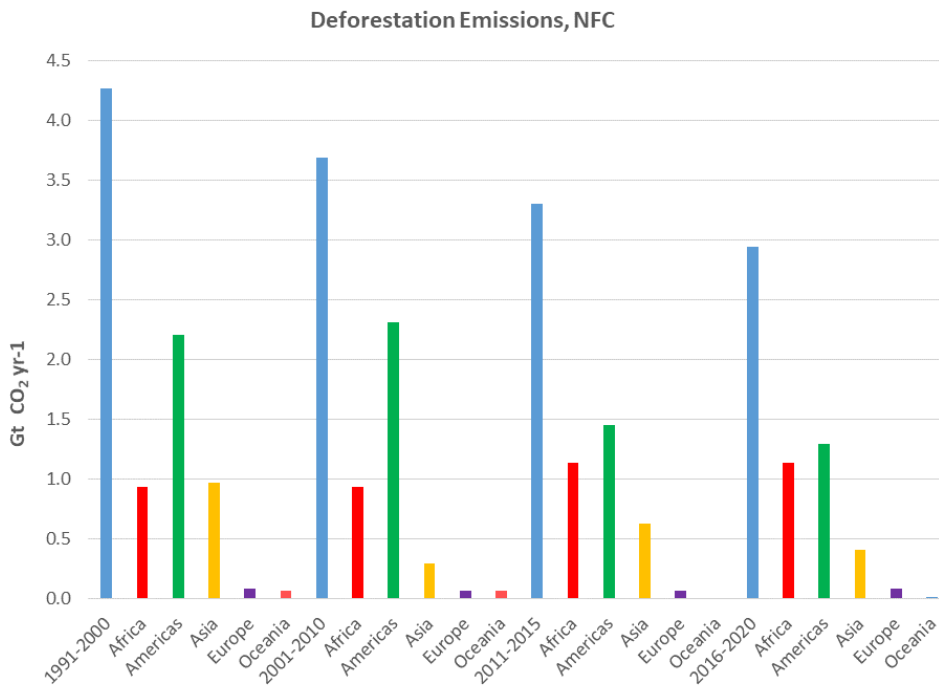


**Figure 2. Estimates of total carbon forest fluxes (FL\_Tot) based on FRA 2020 (ibidem) for the global (acid-green), Annex I (lavender) and non-Annex I (purple navy) totals, in Gt CO<sub>2</sub> yr<sup>-1</sup>, averaged over the periods 1991–2000; 2001–2010; 2011–2015; ibidem and (FAO, 2016–2020.)**

|

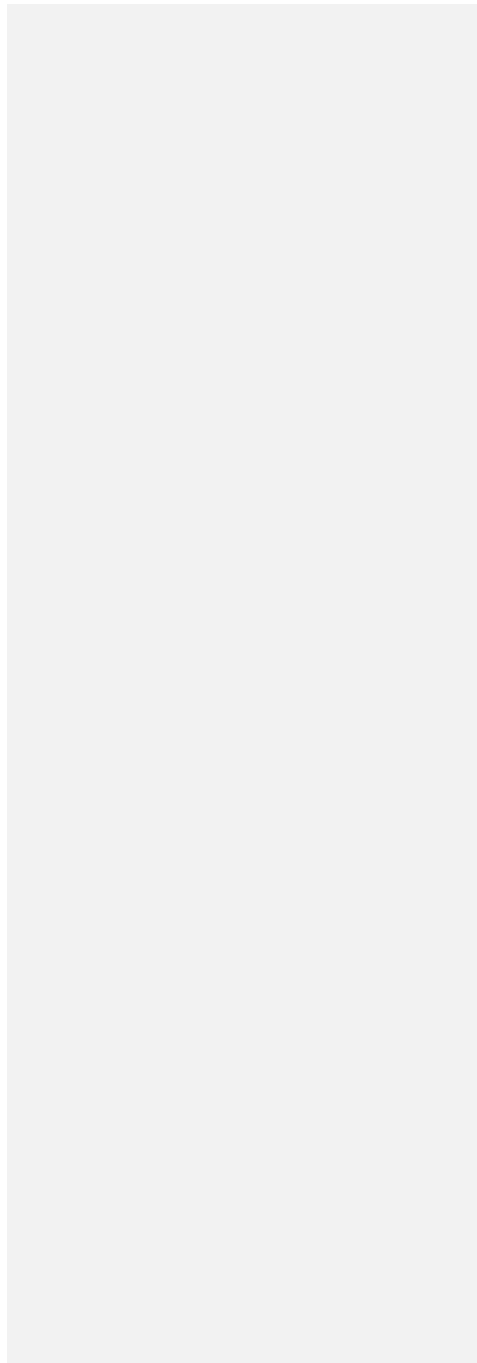


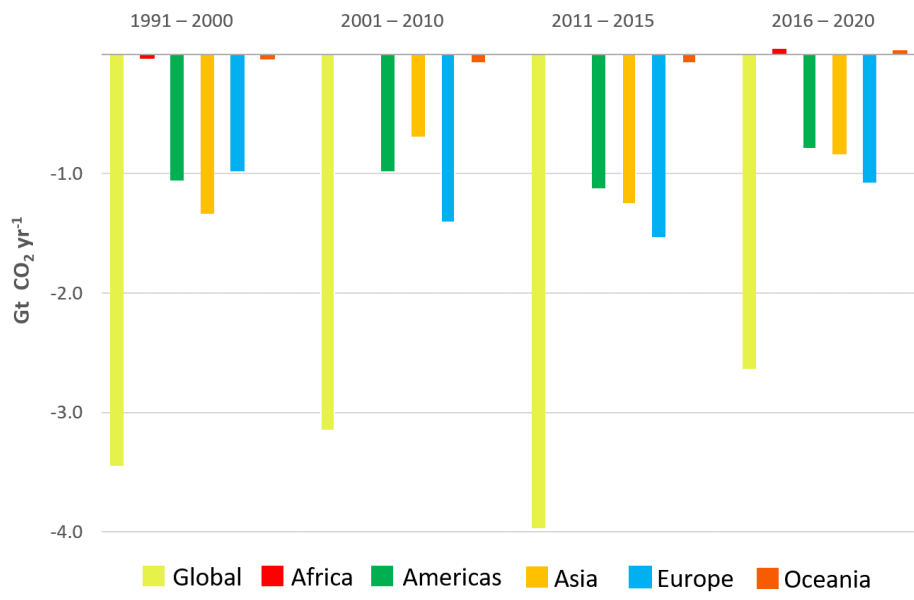




**Figure 23.** Estimates regional of emissions from net forest conversion/deforestation (NFC) based on FRA 2020 (*ibidem*) for global/global (acid green) and regional (Africa = red; Americas = green; Asia = gold; Europe = sapphire; Oceania = orange) totals, in Gt CO<sub>2</sub> yr<sup>-1</sup>, averaged over the periods 1991–2000; 2001–2010; 2011–2015; *ibidem* and (FAO, 2016–2020.)

|





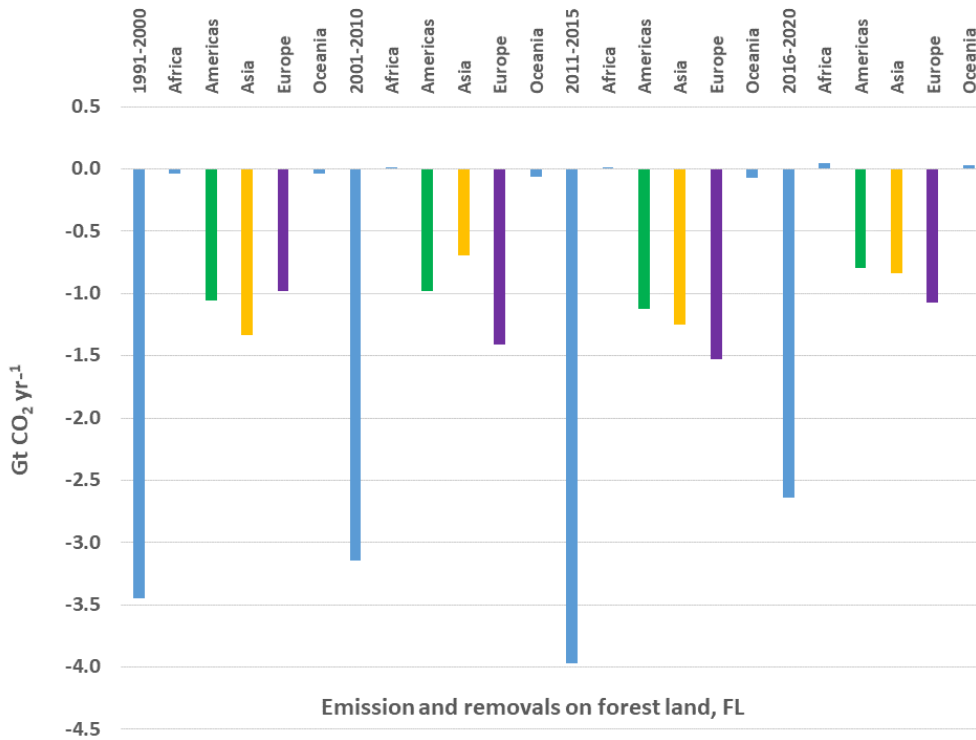
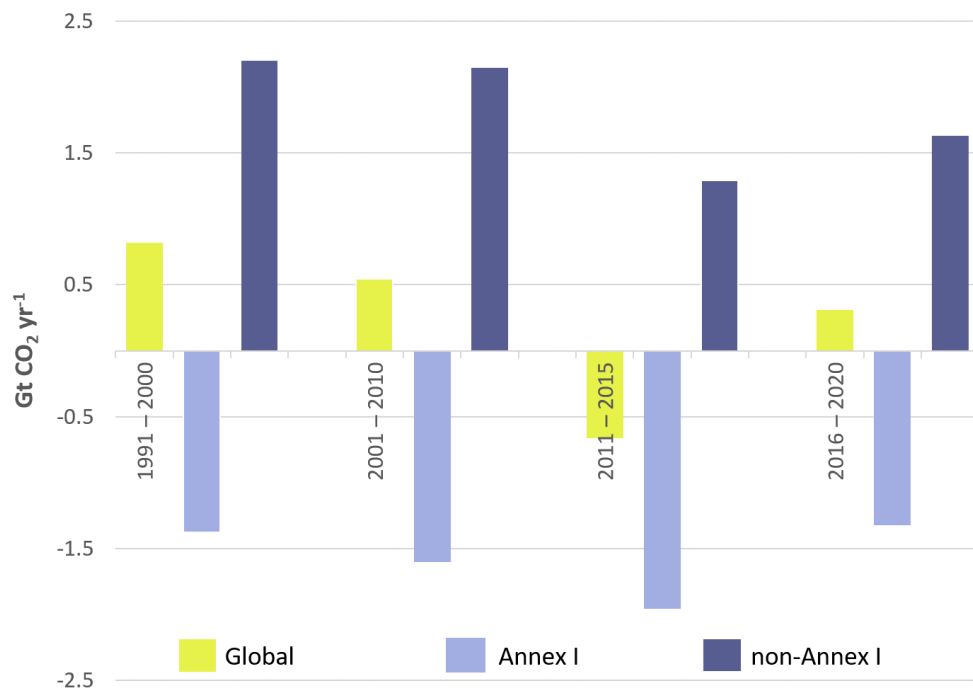


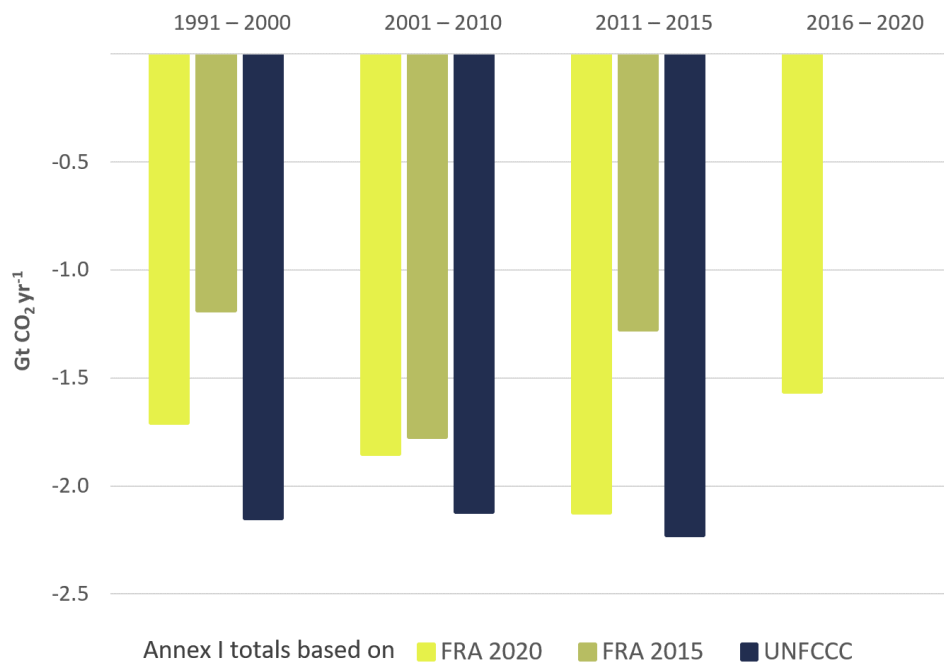
Figure 34. Estimates of the emissions/removals on forest land (FL) based on FRA 2020 (ibidem) for global (acid green) and regional totals (Africa = red; Americas = green; Asia = gold; Europe = sapphire; Oceania = orange), in Gt CO<sub>2</sub> yr<sup>-1</sup>, averaged over the periods 1991–2000; 2001–2010; 2011–2015; ibidem and (FAO, 2016–2020.)





**Figure 4. Estimates of total emissions/removals from forests (ER), based on FRA 2020, for global (acid green), Annex I (lavender) and non-Annex I (purple navy) totals, in Gt CO<sub>2</sub> yr<sup>-1</sup>.**





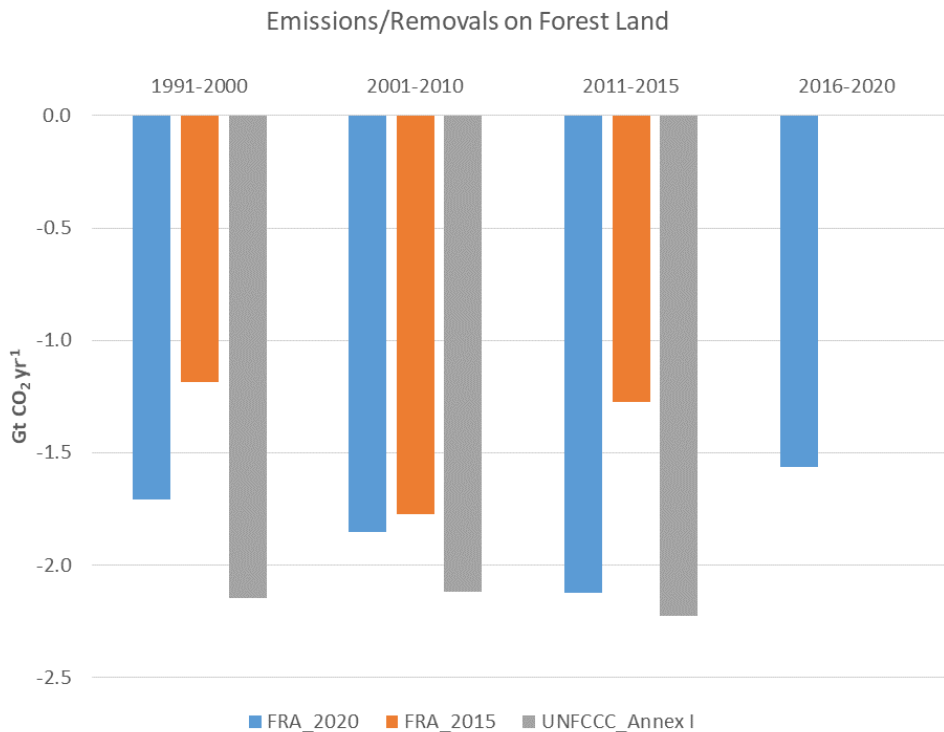
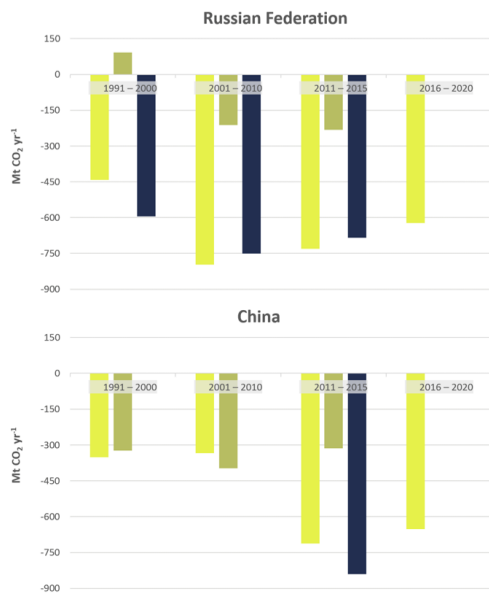


Figure 5. Comparison of estimates of emissions/removals on forest land (FLFL) for Annex I totals, in Gt CO<sub>2</sub> yr<sup>-1</sup>, from estimates based on FRA 2020 (ibidem) (acid green) and, from earlier estimates based on ibidem-FRA 2015 (Federici et al., 2015) (olive green), and from to the sum of data that Annex I countries totals reported by countries reported to UNFCCC\_ (2020) (cadet blue). Totals are averaged over the periods 1991–2000; 2001–2010; 2011–2015; and FAO, 2016–2020.)



Country data based on ■ FRA 2020 ■ FRA 2015 ■ UNFCCC

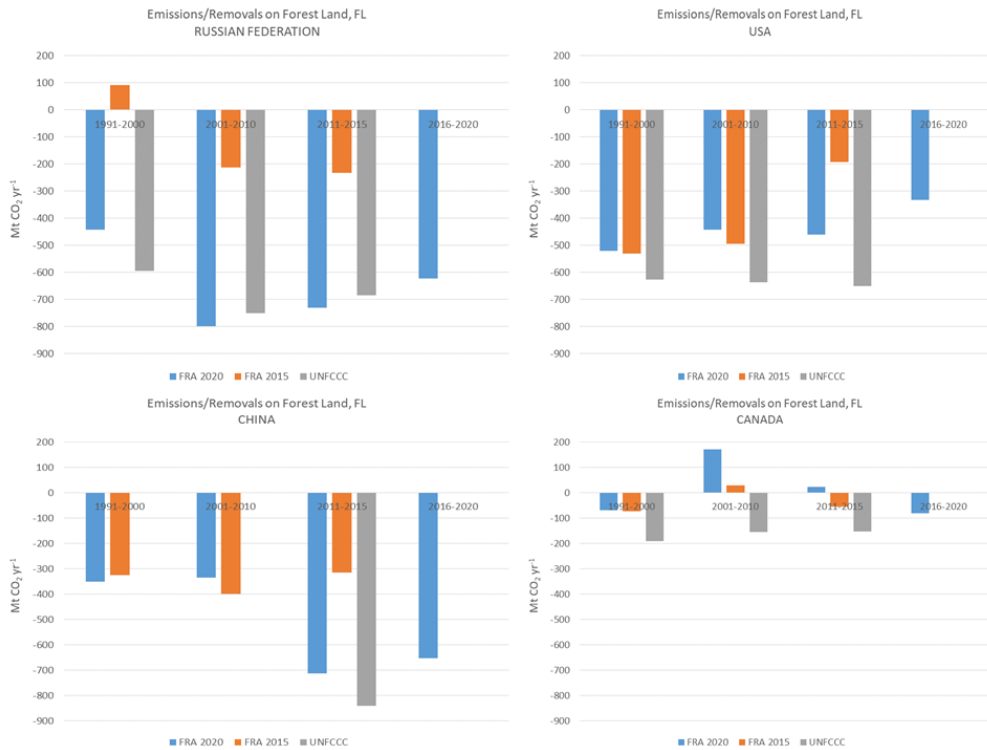


Figure 6. Comparison of estimates of emissions/removals on forest land (FLFL) for Russian Federation (top left), USA (top right), China (bottom left) and Canada (bottom right), in Mt CO<sub>2</sub> yr<sup>-1</sup>, from estimates based on FRA 2020 (ibidem) (acid green) and, from earlier estimates based on FRA 2015 (Federici et al., 2015) (olive green), and from country data reported to UNFCCC (2020) (cadet blue). Totals are averaged over the periods 1991–2000; 2001–2010; 2011–2015; and ibidem (FAO, 2016–2020).

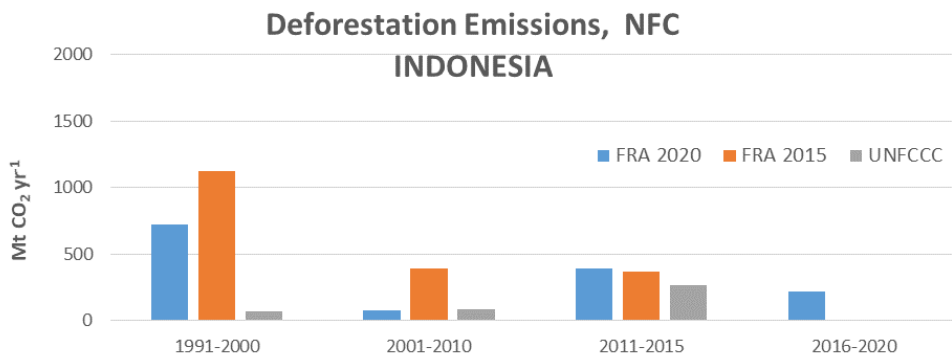
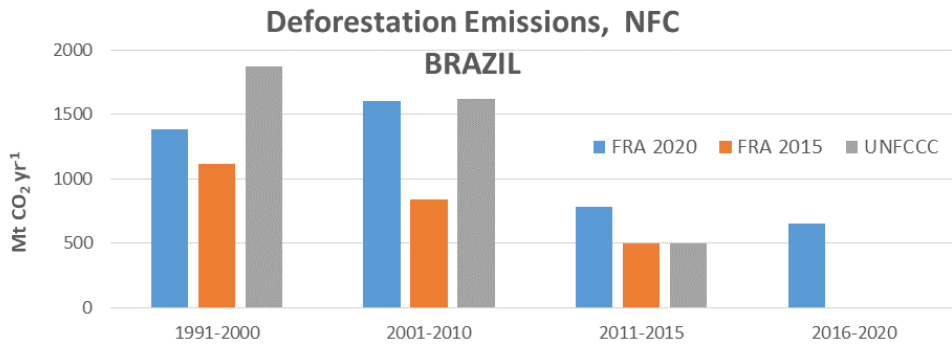
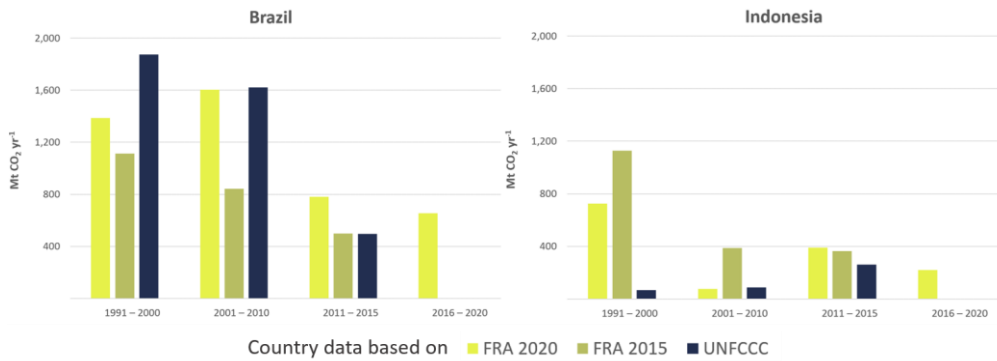


Figure 7. Comparison of ~~the emissions estimates of emissions~~ from deforestation-net forest conversion (NFC) for Brazil (left) and Indonesia (right), in Mt CO<sub>2</sub> yr<sup>-1</sup>, ~~from estimates based on FRA 2020 (ibidem)~~ (acid green) ~~and, from earlier estimates based on ibidem~~ FRA 2015 (Federici et al., 2015) (olive green), ~~and from~~ FAO, 2016) ~~to~~ country data reported to UNFCCC for deforestation (2020) (cadet blue). Totals are averaged over the periods 1991–2000; 2001–2010; 2011–2015; and 2016–2020.