



1 Radiosounding HARMonization (RHARM): a new homogenized dataset of 2 radiosounding temperature, humidity and wind profiles with uncertainty.

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14 Abstract

15 Observational records are essential for assessing long-term changes in our climate. However, these records are more often than not influenced by residual non-climatic factors which must be detected 16 17 and adjusted prior to their usage. Ideally, measurement uncertainties should be properly quantified 18 and validated. In the context of the Copernicus Climate Change Service (C3S), a novel approach, 19 named RHARM (Radiosounding HARMonization), has been developed to provide a harmonized 20 dataset of temperature, humidity and wind profiles along with an estimation of the measurement 21 uncertainties for about 650 radiosounding stations globally. The RHARM method has been applied 22 to IGRA daily (0000 and 1200 UTC) radiosonde data holdings on 16 standard pressure levels (from 23 1000 to 10 hPa) from 1978 to present. Relative humidity adjustment and data provision has been 24 limited to 250 hPa owing to pervasive issues on sensors' performance in the upper troposphere and 25 lower stratosphere. The applied adjustments are interpolated to all reported significant levels to 26 retain information content contained within each individual ascent profile. Each historical station 27 time series is harmonized using two distinct methods. Firstly, the most recent period of the records 28 when modern radiosonde models have been in operation at each station (typically starting between 29 2004 and 2010 but varying on a station-by-station basis) are post-processed and adjusted using 30 reference datasets from the GCOS Reference Upper Air Network (GRUAN) and from the 2010 31 WMO/CIMO (World Meteorological Organization/Commission for Instruments and Methods of 32 Observation) radiosonde intercomparison. Subsequently, at each mandatory pressure level, the 33 remaining historical data are scanned backward in time to detect structural breaks due to prolonged 34 systematic effects in the measurements and then adjusted to homogenize the time series.

This paper describes the dataset portion related to the adjustment of post-2004 measurements only. A step-by-step description of the algorithm is reported and comparisons with GRUAN and atmospheric reanalysis data for temperature and relative humidity data are discussed. The evaluation shows that the strongest benefit of RHARM compared to existing products is related to the substantive adjustments applied to relative humidity time series for values below 15% and above 55% as well as to the provision of the uncertainties for all variables. Uncertainties have been validated using the ECMWF reanalysis short-range forecast outputs.

42 The RHARM algorithm is the first to provide homogenized time series of temperature, relative 43 humidity and wind profiles alongside an estimation of the observational uncertainty for each single 44 observation at each pressure level. A subset of RHARM data is available at 45 http://doi.org/10.5281/zenodo.3973353 (Madonna et al., 2020a).





46 **1. Introduction**

Homogeneous climate data records (CDRs) are essential to diagnosing changes in our climate, understanding its variability, and assessing and contextualizing future climate projections (Cramer et al. 2018). Use of CDRs influenced by residual non-climatic factors may lead to incorrect conclusions about the changing state of the climate (Kivinen et al. 2017). Furthermore, if assimilated within a meteorological reanalysis, these climatic time series may introduce bias instead of positively impacting the final products (Dee et al., 2011). Therefore, when CDRs are used it is important, for any kind of application and to the extent possible, to:

- Detect and adjust for all known and quantifiable systematic inhomogeneities in the observation time series, due to a variety of causes (changes in station location, instrumentation, calibration or drift issues, different instrument sensitivity respect to different networks, changes in the measurement procedures, etc.);
- Establish measurement traceability ideally to an absolute reference (SI or community acknowledged) "standard" through an unbroken chain of calibrations, each contributing to the measurement uncertainty;

Quantify measurement uncertainties in any data where traceability was not properly
 established; in such cases, uncertainties must be instead estimated from the available
 metadata, results of sensors' intercomparisons, or other kinds of information about the
 measurement process.

Unfortunately, for historical in-situ observations it is often not easy to fulfil the above list of requirements, especially for global baseline or comprehensive networks (Thorne et al., 2017), where the metadata and original pre-processed data (e.g. digital sensor counts) are either missing or retained solely by individual station PIs (if at all) and not routinely shared or stored in their data archives.

70 This is the case for radiosounding measurements of temperature (T), relative humidity (RH) and 71 wind which still represent anchor information for meteorological reanalysis, despite the advent of 72 GNSS-RO (Global Navigation Satellite System - Radio Occultation) measurements which have proven 73 to be also a very valuable observing system for data assimilation (Bauer et al. 2013). Nevertheless, 74 GNSS-RO measurements are limited in their historical availability, starting only in c.2000. 75 Radiosounding measurements are the only available data source available to study the climate 76 variability in the troposphere and lowermost stratosphere since the mid-20th Century. They also 77 constitute a valuable source of information for satellite cal/val activities (Calbet et al., 2016, Loew 78 et al., 2017, Finazzi et al., 2019). In ERA-Interim ECMWF reanalysis, the conventional observing 79 system which includes radiosoundings, despite proportionately low data volumes, still represents 80 an indispensable constraint (Haimberger et al., 2008). A similar situation exists for the more recent 81 ECMWF ERA5 reanalysis data and for other meteorological reanalysis (Hersbach et al., 2020).

Quality and biases of radiosounding observations strongly varies with sensor type, altitude level, and through time. Several papers have been published using historical radiosounding measurements of temperature to construct CDRs (e.g. Free et al. 2004; Thorne et al., 2005a; McCarthy et al., 2008; Sherwood et al. 2008; Dai et al., 2011; Haimberger et al., 2012). These have used a broad range of approaches enabling an exploration of structural uncertainty (Thorne et al., 2005b). Several products additionally include ensembles which explore parametric uncertainty (Haimberger et al., 2012; Thorne et al., 2011).

A new statistical approach has been recently proposed for future applications (Fassò et al., 2018).
 Intercomparison datasets made available by various research organizations, institutions and
 manufacturers represent an invaluable source of information which improves the interpretation of





92 effects, drifts and any other kind of inhomogeneity in the recorded time series. Most notable of 93 these are the periodic intercomparison campaigns that have been organized by WMO CIMO which 94 have typically involved the vast majority of commercial manufacturers (e.g. Nash et al., 2006 or 95 Nash et al., 2011) providing a thorough snapshot of differences on a periodic basis. These 96 intercomparisons involve the flying of multiple sonde models on the same rig enabling a direct 97 comparison of relative performance of the different sensors under the full range of ascent profile 98 conditions experienced at the location and time of the comparison.

99 To respond to the need of providing homogeneous and fully traceable upper-air measurements with 100 quantified uncertainties, the Global Climate Observing System (GCOS) Reference Upper-Air 101 Network (GRUAN) was established in 2006 (Bodeker et al., 2018). GRUAN aims to provide reference-102 quality observations of Essential Climate Variables (ECVs, Bojinski et al., 2014) above Earth's surface. 103 GRUAN is providing long-term, high-quality radiosounding data at several sites around the world 104 with characterized uncertainties, ensuring the traceability to SI units or accepted standards, 105 providing extensive metadata and comprehensive documentation of measurements and 106 algorithms.

107 Reference-observing networks provide metrologically traceable observations, with quantified 108 uncertainty, at small number of stations while baseline-observing networks provide long-term 109 records that are capable of catching regional, hemispheric and global-scale features, though they 110 lack absolute traceability (Thorne et al., 2017). As a reference network, GRUAN also provides a basis 111 for enhanced interpretation of the results, with the quantification of uncertainties, from global 112 baseline observations. For example, through providing instrumental corrections which can be 113 extended to non-GRUAN stations to adjust quantifiable systematic effects compromising the quality 114 of radiosoundings.

115 The present paper provides an analytic description of the first part of a novel algorithm for 116 homogenization of historical radiosounding data records available since 1978 (earlier records are 117 not assessed due to the more heterogeneous data availability at mandatory levels before) which 118 exploits the added value provided by GRUAN. The approach is named RHARM (Radiosounding 119 HARMonization) and it is based on two main steps:

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121 1. Adjustment of systematic effects and quantification of uncertainties by post-processing the 122 radiosounding observations of temperature, humidity and wind since 2004 to present using 123 the GRUAN data and algorithms as well as the 2010 WMO/CIMO radiosonde 124 intercomparison dataset [hereinafter ID2010, Nash et al. 2011], made available upon 125 agreement with WMO;

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- 127 128

 Identification of change-points in the time series and adjustment of non-climatic (systematic) effects using statistical methods with related quantification of uncertainties in the historical observations.

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131 The present paper deals with the first part of the RHARM approach which is able to post-process 132 and adjust a subset of 650 radiosounding stations at the global scale available from the Integrated 133 Global Radiosonde Archive (IGRA - Durré et al., 2006; Durré et al., 2012). The RHARM dataset 134 provides a combined homogenization option which is complementary to the limited number of 135 existing datasets of: homogenized radiosounding temperature measurements, e.g. Radiosonde 136 Atmospheric Temperature Products for Assessing Climate (RATPAC) by NOAA (Free et al., 2004), 137 RAdiosonde OBservation COrrection using REanalyses (RAOBCORE), Radiosonde Innovation 138 Composite Homogenization (RICH) by the University of Wien (Haimberger et al., 2012), Hadley





139 Centre's radiosonde temperature product v2 (HadAT2) by Met Office (Thorne et al., 2005), Iterative 140 Universal Kriging v2 (IUKv2) by University of New South Wales (Sherwood and Nishant et al., 2015); 141 homogenized radiosounding humidity measurements, e.g. the Homogenized RS92 radiosounding humidity measurements (HomoRS92) by University of Albany (Dai et al., 2011) and the Hadley 142 143 Centre's radiosonde temperature and humidity product (HadTH) (McCarthy et al., 2009); and the 144 only homogenized radiosounding wind dataset "GRASPA" (Ramella-Pralungo et al., 2014a,b). 145 Distinct from previous efforts, RHARM is the first approach providing the homogenized time series 146 of temperature, relative humidity and wind in the same package. Moreover, RHARM is based on the 147 use of "Reference measurements" to calculate and adjust for systematic effects, instead of using 148 background information provided by meteorological reanalysis, autoregressive models or 149 neighboring stations. In addition, and of great practical importance, each harmonized data series is 150 provided with an estimation of the measurement uncertainty. RHARM is also valuable in providing 151 adjustments on each single radiosounding profile.

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153 The remainder of this paper is organized as follows. In section 2, the data sources used in the paper 154 are outlined. In section 3, a detailed review of the RHARM data processing for the observations post-155 2004 is provided. Specifically, in section 3.1, the algorithms applied for the adjustment of T, RH and 156 wind profiles measured using Vaisala RS92 radiosondes is outlined, while section 3.2 describes the 157 adjustments applied to all other radiosonde types than RS92. In section 4, comparisons between 158 IGRA, RHARM, GRUAN and ERA5 data are shown and discussed to assess the consistency and 159 performance of the RHARM algorithm. Section 4.1 compares IGRA, RHARM and GRUAN co-located 160 data to assess the added-value provided by the RHARM post-processing of IGRA data and to 161 ascertain the consistency of the RHARM algorithm with the GRUAN Data Processing (GDP). In 162 section 4.2, comparison between IGRA, RHARM and ERA5 are discussed to quantify inconsistencies 163 between observational and atmospheric reanalysis data. In section 5, the consistency of the RHARM 164 estimated uncertainties with the GDP is discussed and a validation of the uncertainties based on the 165 use of ECMWF forecast model data is presented. Finally, conclusions and an outlook are provided 166 in Section 6.

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168 2. Data sources used

169 The RHARM approach is applied to the IGRA database which is the most comprehensive collection 170 of historical and near-real-time radiosonde and pilot balloon observations from around the globe, 171 maintained and distributed by the National Oceanic and Atmospheric Administration's National 172 Centers for Environmental Information (NCEI). RHARM is applied to IGRA Version 2 (Durre et al., 173 2018) data which was released in 2016 and incorporates data from a considerably greater number 174 of data sources with an increased data volume by 30% compared to Version 1, extending the data 175 back in time to as early as 1905, and improving the spatial coverage. IGRA contains observations 176 from several networks and initiatives, including the GCOS Upper-air Network (GUAN), and the 177 universal RAwinsonde OBservation program (RAOB). The latter constitutes the largest available 178 radiosounding data source globally.

179 From the IGRA data archive, the RHARM approach is applied to a subset of about 650 radiosounding 180 stations and radiosoundings from ships. The subset consists of those records with documented 181 metadata (i.e. availability of the radiosonde code, see WMO table 3685, describing the radiosonde 182 type used at each station over the time) since 2000 (for most of the stations) and for fewer stations 183 since 1978. For these stations, depending on the used radiosonde type, adjustments based on the 184 application of GRUAN-like data processing and on the comparison between GRUAN data and ID2010 185 allow us to provide a quality-enhanced dataset of radiosoundings since 2004, where radiosounding





profiles are corrected for several instrumental effects (e.g. the well-known solar radiation dry-bias). Beyond the 650 homogenized stations, also the other radiosounding profiles available from IGRA with documented metadata and a radiosonde model compatible with the GDP or the ID2010 have been post-processed using RHARM. These additional profiles are provided in the final RHARM dataset although the paucity of measurements at the considered measurements station does not allow to complete the homogenization of the corresponding historical time series until 1-1-1978 using RHARM.

193 The RHARM data harmonization process involves principally the Vaisala RS92 radiosondes (WMO 194 radiosonde code = 14, 79, 80, 81) launched in the "GRUAN era" (2004-2017) and the Vaisala RS92 195 NGP (WMO radiosonde code=52), processed in the same way as RS92 on an assumption of 196 similarity. Since 2016, the Vaisala RS41 sondes are also available (WMO radiosonde code=23, 24, 197 41, 42) though these are not post-processed by RHARM yet due to the lack, at the present time, of 198 a specific GRUAN RS41 data product and of any manufacturer independent study on the RS41 data 199 processing. In Ingleby et al. (2017), operational radiosonde data are compared to ECMWF 200 background values (12-hour forecast): mean and root-mean-square (rms) Observation-minus-201 Background (O-B) statistics show that RS92 NGP sondes have slightly poorer performance 202 characteristics than the more common RS92 SGP, while there are indications that RS41 perform 203 slightly better than the RS92. These indications are confirmed by the comparisons shown in Dirksen 204 et al. (2019) and Madonna et al. (2020b). In Jensen et al. (2018), a comparison is provided between 205 RS41 and RS92 radiosondes on a limited dataset showing how RS41 does provide important 206 improvements, particularly in cloudy conditions. GRUAN is currently undertaking a "distributed" 207 RS41 vs RS92 SGP comparison at its stations, the outcome of which will become available soon 208 (Dirksen et al., 2020). Following its completion, it is possible that a distinct adjustment approach will 209 be applied to the RS41 data, if the eventual analysis warrants such a differentiation.

210 Table 1 gives the number and percentage of radiosonde launches available in the C3S database and 211 post-processed using the RHARM. Table 1 reveals that more than 85 % of RHARM post-processed 212 radiosondes are manufactured by Vaisala. On the one hand, this increases the homogeneity of the 213 dataset globally, whereas on the other hand the dataset is more prone to the impacts of 214 unquantified random and systematic effects unique to the Vaisala sondes. These can be identified 215 by comparisons with other datasets such as atmospheric reanalysis (see section 4). The 216 radiosoundings reported in Table 1 include about 40,000 launches from 37 ships (mostly travelling 217 in the Atlantic Ocean) processed using RHARM.

218 There are attendant limitations to the approach proposed above for Vaisala sondes in that: i) the 219 data processing of Vaisala RS92 radiosoundings provided by IGRA stations is based on the 220 manufacturer processing software which is used as a black-box and is known to have changed with 221 time (https://www.vaisala.com/en/sounding-data-continuity). To complicate matters yet further, 222 the timing when individual stations changed software is not often discernible either from available 223 (incomplete) metadata or the data series; and ii) raw high resolution profile data from most stations 224 are not available to allow the full exploitation of the GRUAN data processing methodology despite 225 their sharing being called for in the latest GCOS Implementation Plan (GCOS, 2016). Furthermore, it 226 is rarely if ever possible to properly estimate the radiosonde ascent speed from IGRA data due to 227 missing information of the time of observations (i.e. the observation time at each single pressure level). Therefore, to apply the radiation correction algorithm proposed in Wang et al. (2013) and 228 documented in Dirksen et al. (2014), an average ascent speed of 5 m s⁻¹ has been assumed. For 229 230 these reasons, it is not possible to directly apply the GDP processing as it stands, but only an 231 approximation, with simplifying assumptions, can be applied.





Radiosonde type	Launches	Percentage
LMS6	29148	1.3
DMF-09 Graw	16736	0.8
VIZ/JinYang	33721	1.5
Taiyuan GTS1-1/GFE(L)	13409	0.6
Nanjing GTS1-2/GFE(L)	17406	0.8
Meteolabor	436	0.0
Meisei	16179	0.7
Beijing Changfeng CF-06	36393	1.7
M10, Modem	121446	5.5
Vaisala RS92/RS41	1893805	85.9
Intermet	26505	1.2
Total	2205183	100

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Table 1: Number and percentage of the radiosonde launches available since 2004 and post-processed using the RHARM
 approach. The total number of soundings available within IGRA since 2004 for the stations post-processed using RHARM
 is 4,785,543. These include 55,325 balloon launches with a Vaisala RS41 sonde, currently not post-processed within
 RHARM.

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In Figure 1, the global distribution of the RHARM post-processed stations is shown with the
indication of the 650 station where the homogenization of the historical time series has been
completed. Figure 2, instead, shows the number of post-processed launches at each station.

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Figure 1: Global distribution of GRUAN Reference stations (green large dots) and the subset of IGRA stations harmonized using the RHARM approach (small dots). The X symbol indicates the stations where homogenized time series from 1-1-1978 to present can be obtained using RHARM. The colour legend in the bottom left corner specifies the principal type of radiosondes used at each station. Some stations have changed sonde types, including switching manufacturers, over

the period of the present analysis.





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251 The global coverage of the RHARM dataset appears reasonably complete, except for Siberia where 252 a small number of launches is post-processed using RHARM since 2004 on and these prevent the 253 homogenization of historical time series. The station density in North America, North East Asia, and 254 East Africa is lower than in Europe, U.S and South America. Nevertheless, the latter regions include 255 also several stations with the smallest number of available launches, while the stations with largest 256 number of launches are quite uniformly distributed globally (Figure 2). Table 2 confirms the low 257 number of measurements available in the Southern Hemisphere (SH), although it is already known 258 that the quantity of measurements alone cannot address the value of the dataset for a specific study 259 without a representativeness study (Weatherhead et al., 2017).

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Figure 2: Quantity of RHARM post-processed radiosoundings available. The scale in the left bottom corner denotes available radiosoundings at each station (in thousands of ascents).

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Region	Latitude range	Number of adjusted launches (thousands)	Percentage
Arctic	70N-90N	70.3	3.2
Northern Hemisphere	25N-70N		
mid-latitudes		1177.9	53.4
Tropics	25N-25S	611.3	27.7
Southern Hemisphere	25S-70S		
mid-latitudes		325.7	14.8
Antarctic	70S-90S	20.0	0.9
Total		2205.2	100

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Table 2: Number of launches at different latitudes for the stations shown in Figure 1. In the last column of Table 2, the percentage with respect to the total number available globally is also provided.





Further in-depth statistical analysis of the IGRA Version 2 historical times series and their temporal and spatial coverage at different pressure levels is available in Durre et al. (2018) and in Ferreira et al. (2019), the latter for relative humidity observations only. Another statistical analysis of the missing data and of their spatial coverage is provided in Sy et al. (2020).

275 Many of the RHARM stations are GCOS Upper Air Network (GUAN) sites with a commitment to long-276 term operation, a guideline that at least 25 radiosonde launches per month should reach 30 hPa, 277 and an articulated aim for compliance with best practice for GUAN stations, although in reality they 278 very frequently fall short of these requirements (for more information see 279 http://www.wmo.int/pages/prog/gcos/index.php?name=ObservingSystemsandData). Within 280 ECMWF or other NWP systems (Dee et al., 2011; Ingleby et al., 2017), GUAN stations are not 281 distinguished from the broader RAOB network, though ECMWF does monitor data availability from 282 GUAN separately on behalf of GCOS (http://www.ecmwf.int/en/forecasts/quality-our-283 forecasts/monitoring-observing-system). Some GUAN stations have not reported observations for 284 long periods, while for others there may be temporary outages. In some cases, GUAN stations 285 provide radiosounding profiles to greater heights than neighboring ones or two ascents a day rather 286 than one. Recent work has assessed the quality of different radiosonde types by examining O-B 287 departures for the two-year period 2015-2016 (Ingleby et al., 2017). GUAN and RAOB data has 288 shown very similar performance. As the two networks are not sufficiently distinguishable, they are 289 considered fully equivalent for the purposes of RHARM. There is presently an ongoing GCOS task 290 team for GUAN (https://library.wmo.int/doc_num.php?explnum_id=4469), which may eventually 291 provide a basis to distinguish between future GUAN operations and the broader RAOB program. 292 Furthermore, the nascent Global Basic Observing Network if adopted and fully implemented may 293 provide another reason to differentiate between stations based upon network affiliation in future. 294 Future updates of RHARM could reconsider the decision to treat as equivalent all such 295 measurements should developments require such a re-evaluation.

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297 3. Methodology

298 The RHARM homogenization of global radiosounding temperature, humidity and wind profiles is 299 applied to daily (00:00 and 12:00 UTC) radiosonde data on 16 mandatory pressure levels (10, 20, 30, 300 50, 70, 100, 150, 200, 250, 300, 400, 500, 700, 850, 925, 1000 hPa) arising from the IGRA database. 301 Relative humidity (RH) adjustments are limited to 250 hPa owing to pervasive sensor performance 302 issues at greater altitudes. Profiles are post-processed at these mandatory pressure levels which do 303 not change on a per-profile basis, as occurs for significant levels. The applied adjustments are then 304 interpolated to the significant levels. Uncertainties are estimated for each processing step listed 305 above and propagated to estimate the total uncertainty.

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307 It is important to note that adjustments at 1000 hPa and all levels above 10 hPa (<10hPa), due to
308 the small sizes of the available observation sample, must be handled with care because they are less
309 representative of the real differences at the corresponding altitudes.

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3.1 Adjustment of Vaisala temperature, humidity and wind profiles

During daytime, the sensor boom of any radiosonde type is heated by solar radiation which introduces biases in temperature and humidity (Wang et al., 2013). The net heating of the temperature sensor and the dry-bias affecting the relative humidity sensors depends on the amount





of absorbed radiation and, therefore, the solar elevation angle (α), as well as on the cooling by thermal emission and ventilation by air flowing around the sensor (Dirksen et al., 2014).

To adjust this effect in the measured profiles of temperature and RH, the first step of the RHARM algorithm, involving only the Vaisala RS92 sondes, is to apply a solar radiation correction to the T vertical profiles (all levels, mandatory and significant) in a way similar to the GDP. This is performed in two steps:

- 322 1. first, the radiation correction, $\Delta T_{VAISALA}$, applied (subtracted) by the manufacturer (Vaisala) 323 to the temperature profiles is removed;
- 2. second, a GRUAN-like radiation correction, ΔT_{GRUAN} , is calculated using the values of the actinic flux modelled with the Streamer RTM (Key and Schweiger, 1998) and applied to the RS92 sondes. Where GRUAN-like corrections cannot be applied, the manufacturer correction is left unchanged.

328 $\Delta T_{VAISALA}$ is derived from the tables provided by the manufacturer and accounts for the changes to 329 the RS92 data processing during the sonde model's production lifetime (see 330 https://www.vaisala.com/en/sounding-data-continuity).

 $x = \frac{I_a}{nu}$ [Eq.2]

331 The GRUAN correction, ΔT_{GRUAN} , is defined as:

333
$$\Delta T_{GRUAN}(l_a, p, v) = ax^b [Eq.1]$$

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337 where I_a is the actinic flux at the solar zenith angle of the balloon release time, calculated using the 338 LOWTRAN v7 solar position data (taken from https://code.arm.gov/vap/mfrsrod1barnmich/blob/ed71a3666e8e1781ed8d753e859b284f3b7dcc 339 2e/src/zensun.pro); p is the pressure level; and u is the ascent speed in m s⁻¹. Unfortunately, u 340 cannot be directly ascertained from IGRA data due to the missing reporting of the observation time 341 at each pressure level for most soundings. For this reason, an average value of 5 m s⁻¹ for the ascent 342 343 speed is assumed in the RHARM approach. This corresponds to the recommended ascent speed 344 from WMO guidance and corresponds well to known profile ascent speeds (e.g. Madonna et al., 345 2020b). The coefficients a and b in Eq.1 are fit parameters arising from laboratory experiments (Dirksen et al., 2014) yielding $a = 0.18(\pm 0.03)$ and $b = 0.55(\pm 0.06)$. 346

347 Once ΔT_{GRUAN} is calculated, the final correction applied by GRUAN to the T profiles following the 348 approach in Dirksen et al. (2014) is to derive a best estimate that lies between the two approaches:

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 $\Delta T = \frac{(\Delta T_{GRUAN} + \Delta T_{VAISALA})}{2}$ [Eq.3]

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352 Within RHARM, the final adjustment added to IGRA temperature profiles is:

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$$\Delta T_{RHARM,RS92} = \Delta T_{VAISALA} - \Delta T + \Delta T_r [Eq.4]$$

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356 where ΔT_r is a residual calibration bias calculated from the mean difference of GRUAN and IGRA

357 night time temperature profiles at mandatory pressure levels for the six GRUAN sites reported in





Table 3. To calculate ΔT_r , outliers are filtered using a robust Z-score method. ΔT_r is added to both night and daytime profiles. If the value of Ia in equation 2 is equal to zero (i.e. $\Delta T=0$), the manufacturer radiation correction applied to IGRA profiles is not modified and Eq.4 reduces to $\Delta T_{RHARM,RS92} = \Delta T_r$. Eq. 4 allows to remove the solar radiation correction applied by the manufacturer and to adjust the data using the GRUAN correction plus an additional correction whose aim is to reduce, on average, the gap with the GDP.

The uncertainties on $T_{RHARM,RS92}$, $\varepsilon(T_{RHARM,RS92})$, are calculated according to the following equation:

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$$\varepsilon \left(T_{RHARM,RS92} \right) = \left(\varepsilon_{c,I_a} (\Delta T)^2 + \varepsilon_{c,R_c} (\Delta T)^2 + \varepsilon_{vent} (\Delta T)^2 + \varepsilon_r (\Delta T)^2 + \varepsilon_R (\Delta T)^2 \right)^{\frac{1}{2}}$$
 [Eq.5]

367 In Eq. 5, $\varepsilon_{c,l_a}(\Delta T)$ is the uncertainty due to the estimation of the solar actinic flux; $\varepsilon_{c,R_c}(\Delta T)$ is the 368 uncertainty due to parameters estimated in the radiation correction model reported in Eq. 1. 369 Formulas to calculate $\varepsilon_{c,I_a}(\Delta T)$ and $\varepsilon_{c,R_c}(\Delta T)$ are fully documented in Dirksen et al. (2014). ε_{vent} is the uncertainty due to the ventilation rate (including the effect of the pendulum motion of the 370 371 radiosonde assumed as in GRUAN to be of about 0.2 m s⁻¹); ε_r is used to indicate the comparison uncertainties estimated from the standard deviation of ΔT_r ; ε_B is an additional random uncertainty 372 373 added to the profiles of 0.15 K in agreement with the GDP approach (Dirksen et al., 2014), although 374 for RHARM this cannot be quantified as done by GRUAN due to the unavailability of raw data. When 375 the radiation correction of the manufacturer is left unchanged, $\varepsilon(T_{RHARM,RS92})$ is assumed to be the same as the closest temperature profile in time measured under the same meteorological 376 377 conditions (i.e. clear sky or cloudy).

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GRUAN code	Station name and country	Latitude	Longitude	Altitude	WMO index
CAB	Cabauw, Netherlands	51.97°	4.92°	1 m	06260
LIN	Lindenberg, Germany	52.21°	14.12°	98 m	10393
NYA	Ny-Ålesund, Norway	78.92°	11.92°	5 m	01004
SGP	Lamont, OK, USA	36.60°	-97.49°	320 m	74646
SOD	Sodankylä, Finland	67.37°	26.63°	179 m	02836
TAT	Tateno, Japan	36.06°	140.13°	25 m	47646

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Table 3: List of the GRUAN stations used to calculate the additional calibration bias applied in the RHARM approach to adjust the Vaisala RS92 radiosoundings available from IGRA.

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Following the application of temperature adjustments, the measured value of the relative humidity (all levels), $RH_{RHARM,RS92}$ is adjusted for the solar radiation dry-bias, estimated by the effect of the T warm bias on the saturation vapor pressure, using a correction factor calculated using the following formula:

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$$RH_{RHARM,RS92} = cf RH_{IGRA,RS92} \left(\frac{p_s(T_{RHARM,RS92} + f\Delta T_{RHARM,RS92})}{p_s(T_{RHARM,RS92})}\right) [Eq.6]$$

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391 where *cf* is scalar a factor accounting for the temperature dependency of the sensor calibration 392 estimated at night by a comparison with GRUAN measurements; p_s is the saturation vapor pressure 393 and *f* is a factor determined experimentally to weight the applied correction on different





- radiosonde batches (Dirksen et., 2014). The factor *cf* may embed a residual contribution from the
- 395 sensors' time-lag which is typically small for the RH values up to 250 hPa. For the sake of clarity, a
- 396 flow diagram describing the application of the RHARM adjustments to both T and RH profiles is
- shown in Figure 3.

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Figure 3: Flow diagram summarizing the post-processing steps of the RHARM algorithm to adjust temperature and relative humidity profiles measured by the RS92 sondes since 2004. In the diagram, cf is a calibration factor, p_s is the saturation vapor pressure, f is a factor determined experimentally to weight the applied correction on different radiosonde batches used over the years. ΔT indicates the adjustments applied to temperature, ΔRH to relative humidity. The subscripts refer to the GRUAN adjustments, IGRA adjustments (manufacturer-based plus IGRA quality control), RHARM adjustments and to RS92 Vaisala sondes. The subscript "r" refers to a residual correction derived from the night time comparison between GRUAN and IGRA data at six GRUAN sites, reported in Table 3.

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408 The uncertainties on $RH_{RHARM,RS92}$, $\varepsilon(RH_{RHARM,RS92})$, are calculated according to the following 409 equation:

410
$$\varepsilon \left(RH_{RHARM,RS92} \right) = \left(\varepsilon_{RC_T} (\Delta RH)^2 + \varepsilon_{RC_f} (\Delta RH)^2 + \varepsilon_{cf} (\Delta RH)^2 + \varepsilon_R (\Delta RH)^2 \right)^{\frac{1}{2}} [Eq.7]$$

411 In Eq. 7, $\varepsilon_{RC_T}(\Delta RH)$ is the uncertainty of dry bias correction and $\varepsilon_{RC_f}(\Delta RH)$ is the uncertainty of 412 the radiation sensitivity factor f in Eq. 5; ε_{cf} is the uncertainty due to calibration factor cf; ε_R is an 413 additional random uncertainty of 2% RH. In analogy with temperature, when the radiation 414 correction of the manufacturer is left unchanged, $\varepsilon(RH_{RHARM,RS92})$ is assumed to be the same as 415 the closest RH profile in time measured under the same meteorological conditions.

At present, there are only two GRUAN data products (GDP), for the Vaisala RS92 and for Meisei RG11 sondes. RHARM applies adjustments to RS92 Vaisala sondes only, which represents a substantive portion of the global data. For the Meisei RG11 GDP, its recent introduction (Kobayashi et al., 2019) has not allowed yet the implementation within RHARM, but an update of the data processing will be implemented in the near future along with any other GRUAN GDP which might become available.

422 It is important to note that at the end of 2010, Vaisala operational processing underwent a major 423 change with the inclusion of humidity time-lag correction and an improved dry-bias correction for 424 RH. Stations applied this update to the software in a heterogeneous way. For example, Germany 425 and the UK started using it in 2015, some others earlier and others later or not at all, due to different 426 choices by the NMSs. In this version of RHARM it is very difficult to take into account such changes





427 at each individual station given the grossly insufficient metadata available. Nevertheless, this may
428 be possible in future, for any such subsequent changes, using native BUFR reports which have the
429 version number of the processing in their extra metadata. Storing of these files on a routine basis
430 has been undertaken by ECMWF starting from 2016. An effort to cooperate with Vaisala will also be
431 undertaken to identify when individual stations switched, in order to improve future updates of the
432 RHARM dataset.

433 Differently from temperature and relative humidity data, the GDP on wind profiles is more basic 434 and does not apply as many corrections to the raw data. The manufacturer software retrieves the 435 magnitudes of u and v from the Doppler shift in the GNSS carrier signal. In the GRUAN processing, 436 these vectors are smoothed and converted into wind speed and direction. The noise in the raw data 437 of u and v, due to the radiosonde's pendulum motion and the noise of the GNSS data, is reduced by 438 using a low-pass digital filter (Dirksen et al., 2014). This smoothing reduces the effective temporal 439 resolution of the wind data to 40 s. Using statistical uncertainties calculated for u and v, the 440 uncertainty of the wind direction ϕ is given by:

$$\varepsilon(\varphi) = \frac{180}{\pi} \frac{\sqrt{\delta_{u}^{2} + \delta_{v}^{2}}}{\left(1 + \left(\frac{u}{v}\right)^{2}\right) |v|} [Eq. 8]$$

442 443

444 and the uncertainty of the wind speed *w* by

445

$$arepsilon(\mathbf{w}) = \sqrt{rac{(\mathbf{u}\delta_{\mathbf{u}})^2 + (\mathbf{v}\delta_{\mathbf{v}})^2}{\mathbf{u}^2 + \mathbf{v}^2}}$$
 [Eq. 9]

446 447

448 Typical values are between 0.4 and 1 ms⁻¹ for $\varepsilon(w)$ and about 1° for $\varepsilon(\phi)$. In the case of negligible 449 wind, when u and v approach 0, the value of $\varepsilon(\phi)$ becomes very large. For such cases, the absolute 450 value of $\varepsilon(\phi)$ is limited to 180° (Dirksen et al., 2014). The same limitation is applied to uncertainties 451 estimated with RHARM.

The RHARM algorithm converts wind direction and speed reported in IGRA data files into the vectorial components u and v. At time instant t and at a pressure level p, these variables are related as follows:

456 $u(p,t) = w(p,t) \sin\left(\frac{\pi}{180}\phi(p,t)\right)$ [Eq. 10]

457
458
$$v(p,t) = w(p,t) \cos\left(\frac{\pi}{180}\phi(p,t)\right)$$
 [Eq. 11]

459

460 461 The conversion into u and v components avoids issues of interpretation over averages or differences 462 associated with the use of the discontinuous wind direction scale. Nevertheless, to facilitate use 463 applications preferring the use of wind speed and direction, a final step of the processing converts 464 the vectors back into wind speed and direction. Eqs. 8 and 9 are then used also in RHARM to 465 estimate the final uncertainty on w and ϕ .

To adjust the IGRA wind profiles, the day and night time differences for u and v between the GRUAN
 processed and the IGRA radiosounding wind profiles have been calculated using the stations in Table





469 1. The approach is the same as for temperature and relative humidity, although Eq. 4 is reduced to 470 $\Delta u_{RHARM,RS92} = \Delta u_r$ and to $\Delta v_{RHARM,RS92} = \Delta v_r$, for each of the wind vectorial components. The 471 standard deviation of the $\Delta u_{RHARM,RS92}$ and $\Delta v_{RHARM,RS92}$ are then used as the estimation of the 472 adjustment uncertainties, which will be expressed as $\varepsilon (\Delta u_{RHARM,RS92}) = (\varepsilon_r (\Delta u)^2 + \varepsilon_R (\Delta u)^2)^{\frac{1}{2}}$ 473 and $\varepsilon (\Delta v_{RHARM,RS92}) = (\varepsilon_r (\Delta v)^2 + \varepsilon_R (\Delta v)^2)^{\frac{1}{2}}$. ε_R is a random uncertainty of 01.5 m s⁻¹ for both u 474 and v.

This adjustment can only partly reconcile the difference between GDP and manufacturer data processing at all the sites because typically the difference is higher, due to the differences in the low-pass filtering applied to reduce the effect of the radiosonde's pendulum motion.

The adjustment applied to temperature, humidity and wind profiles at the mandatory levels as well 478 479 as the corresponding uncertainties are finally interpolated at the significant levels available in the 480 IGRA files, which varies from profile-to-profile and is used to mark significant geophysical points in 481 the profile such as temperature or humidity profile inflections. The interpolation is performed using 482 a linear function for temperature, while a cubic spline interpolation has been applied to RH and 483 wind component profiles. The resulting interpolation uncertainty has been evaluated using the 484 comparison of the effect of the interpolation at GRUAN stations where high resolution profiles are 485 available. This interpolation uncertainty has been added to the final uncertainty budget (for T, σ =0.25 K, for RH, σ =0.5 %, for both u and v, σ =0.05 ms⁻¹). 486

487

488

489 **3.2 Adjustment of other radiosonde types**

490

491 Section 3.1 described the adjustments applied to the RS92 sondes, which represent the main link of 492 RHARM to GRUAN data and the GDP. For remaining radiosonde types, the adjustment estimation 493 requires the adoption of a different approach due to the unavailability of GRUAN reference products 494 for the vast majority of radiosonde types other than Vaisala RS92. To harmonize these records, 495 RHARM makes primary recourse to the ID2010, which is a unique dataset from which estimations 496 of the performance of operational radiosondes in 2010 were evaluated through a joint effort 497 between the scientific community and the various manufacturers. ID2010 allows us to assess the 498 systematic component of the inter-sensor differences, it does not contain strong outliers, but the 499 post-processing applied may come at the cost of under-representing sonde-to-sonde random 500 uncertainty effects (Nash et al., 2011). Furthermore, the use of complex multi-sonde rigs may alter 501 the sonde characteristics compared to standard single-payload flights in important ways vis-a-vis 502 aspects such as ventilation, thermal effects and the magnitude and periodicity of any pendulum 503 effects.

504

505 Among the radiosonde types involved in the intercomparison, only those routinely employed at a 506 sufficient number of stations worldwide have been considered for calculating the adjustments for 507 RHARM. The Vaisala RS92-SGP (WMO radiosonde code=80) was used as one of the common models 508 during (almost) all flights, allowing us to tie each sonde to the RS92 (at least for the particular 509 location, RS92 model version, the most recent update in the RS92 Vaisala data processing in 510 operation at the time, and the season of the campaign). In addition to keeping consistency with one 511 of only two reference products currently available through GRUAN, Vaisala RS92 sondes available 512 in ID2010 have been post-processed using the RHARM algorithm. The list of the selected radiosonde 513 types is given in Table 4.





515 Due to the launching setup adopted during the WMO intercomparison, a few radiosonde types were 516 compared less frequently than others on the same payload. Specifically, there was a subset of 517 models which did not have a sufficient sample of Vaisala RS92 sondes associated. In these cases, 518 the Graw radiosondes, which flew on sufficient rigs both with RS92 sondes and the under-sampled 519 sondes, have been used to make the bridge with the RS92 and to calculate statistics on a larger 520 number of comparisons. Standard deviations have been recalculated accordingly to consider the 521 additional contribution of the Graw radiosonde uncertainties and the two-steps required. The mean 522 difference between RS92 temperature profiles and the profiles measured by each of the sondes listed in Table 4 (hereinafter named as "NORS92") has been quantified as: 523

- 524
- 525
- 526

 $\Delta T_{NORS92} = \frac{1}{N} \sum_{i=1}^{N} T_i^{NORS92} - T_i^{RHARM, RS92}$ [Eq. 12],

and the standard deviation $\sigma_{T_{NORS92}}$ is calculated from the spread of pairwise estimates of ΔT_{NORS92} 527 arising from the RHS term of equation 12. $\sigma_{T_{NORS92}} = \sqrt{\sigma_{T_{NORS92}}^2 + \varepsilon (T_{RHARM,RS92})^2}$ is used as the 528 best estimate of the uncertainty for ΔT_{NORS92} . If the Graw radiosonde is considered as the link with 529 the Vaisala RS92, Eq.12 becomes: 530

531

 $\Delta T_{NORS92} = \left(\frac{1}{N}\sum_{i=1}^{N} T_{i}^{NORS92} - T_{i}^{GRAW}\right) - \left(\frac{1}{M}\sum_{j=1}^{M} T_{j}^{GRAW} - T_{j}^{RHARM, RS92}\right)$ [Eq. 13], 532 533

Although the ID2010 have already been processed for the presence of outliers, ΔT_{NORS92} and 534 $\sigma_{T_{NORS92}}$ have been calculated using a resistant algorithm where the mean trims away outliers using 535 536 median median absolute the and the deviation 537 (https://idlastro.gsfc.nasa.gov/ftp/pro/robust/resistant mean.pro). This allows us to ensure that 538 the most typical differences between two radiosonde types are caught in the calculated differences, 539 enabling their application as an average adjustment on a wide range of radiosondes. Eqs. 12 and 13, 540 with the related considerations, are applied also to wind profiles.

5	4	1

Abbrev.	Name	WMO radiosonde code
RS92	VAISALA RS92 SGP	80
Graw	DMF-09 Graw	17
Modem	M10, Modem	57
LM	LMS6	11 (01/01/2008), 82 (07/11/2012)
Meisei	Meisei	30 (01/01/2010)
JinYang	JinYang	21
IntermSA	iMet-2 InterMet	97, 98, 99
Daqiao	Nanjing GTS1-2/GFE(L)	33 (03/11/2011)
Huayun	Taiyuan GTS1-1/GFE(L)	31 (03/11/2011)
Changf	Beijing Changfeng CF-06	45 (07/05/2014)
ML	Meteolabor	26

542

543 Table 4: List of the operational radiosondes involved in the 2010 WMO/CIMO radiosonde intercomparison which have

544 been used to calculate the RHARM adjustments. Dates in brackets are referred to the date of assignment for the WMO

545 radiosonde code. Please note that also RS92 is included in the list. Adjustments have been calculated using the RS92-

546 SGP sondes as the reference, in order to be physically consistent with the GRUAN product. For consistency, RS92-SGP 547

sondes launched during the intercomparison have been reprocessed using the RHARM post-processing approach.





548



549
550 Figure 4: Flow diagram summarizing the post-processing steps of the RHARM algorithm to the adjust the temperature
551 and relative humidity profiles measured for all radiosonde types other than RS92 reported in Table 4 in the period since
552 2004 onward. In the diagram, "X" stands for T, u or v. The subscript RHARM refers to the output adjusted variable and
553 the subscripts RS92/NORS92 refer to the input radiosonde type: RS92 Vaisala or other.

554

555 For relative humidity, also in order to be consistent with the RHARM post-processing of RS92 556 sondes, instead of Eq. 12 the following is used:

- 557
- 558 559

$$cf(RH)_{NORS92} = \frac{1}{N} \sum_{i=1}^{N} \frac{RH_i^{RHARM,RS92}}{RH_i^{NORS92}}$$
 [Eq. 14],

560

561 where $cf(RH)_{NORS92}$ is a scalar calibration factor to remove systematic effects on the NORS92 562 radiosondes; the related standard deviation, $\sigma_{cf(RH)_{NORS92}}$, is calculated via error propagation. If the 563 Graw radiosonde is considered as the link with the Vaisala RS92, Eq.14 becomes:

564

565
$$cf(RH)_{NORS92} = \frac{1}{N} \sum_{i=1}^{N} \frac{cf(RH)_{GRAW} RH_i^{RHARM,GRAW}}{RH_i^{NORS92}}$$
 [Eq. 15],

566

567 To facilitate the application of the adjustments for all significant pressure levels available in the IGRA 568 dataset, the profiles obtained from the Eqs. 12, 13, 14 and 15, including all the available (mandatory 569 and significant) levels, have been first smoothed to an effective resolution of 100 m (larlori et al., 570 2015), to reduce the uncertainties due to the limited sample size, and then interpolated at 0.1 hPa 571 resolution. Interpolation has been performed to allow the processing chain to always get an exact 572 match with any of the mandatory and significant levels available in the IGRA files. As for the





573 significant levels reported in the RS92 radiosonde profiles, the interpolation has been performed 574 using a linear function for temperature, while a cubic spline interpolation has been applied to RH 575 and wind component profiles. The interpolation uncertainty has been finally added to the final 576 uncertainty budget (for T, σ =0.25 K, for RH, σ =0.5 %, for both u and v, σ =0.05 ms⁻¹).

577

In Figure 5, $\Delta T_{RS92,NORS92}$ is shown with the corresponding standard deviations $\sigma_{\Delta T_{RS92,NORS92}}$ for 578 ten radiosonde types during night (upper panels) and day (lower panels) up to 50 hPa. $\Delta T_{RS92,NORS92}$ 579 580 ranges between -0.2 K and 0.3 K up to 200 hPa, both at night and day. At higher altitudes, 581 $\Delta T_{RS92,NORS92}$ increases with values between -0.3 K and 0.6 K. For a few radiosonde types, the 582 ID2010 provides only a few profiles to calculate the adjustments up to 50 hPa and beyond. This may strongly increase the value of $\Delta T_{RS92,NORS92}$ and of the related standard deviation. For this reason, 583 584 the profiles in Figure 5 have been cut at tailored pressure levels pt (ranging between 30 hPa and 100 585 hPa) and at pressures lower than pt the adjustment applied in RHARM is equal to the value of $\Delta T_{RS92,NORS92}$ at pt. $\sigma_{\Delta T_{RS92,NORS92}}$ is within 0.2 K at night up to 200 hPa and increases to 0.3-0.4 K at 586 587 100 hPa. A couple of radiosonde types show a larger standard deviation (e.g. JinYang). During daytime $\sigma_{\Delta T_{RS92,NORS92}}$ is larger than at night but is still less than 0.3K up to 200 hPa, while values 588 589 above this level are very similar to nighttime. The Meisei comparison profiles appear to be generally 590 noisier than the other types, particularly during the day. Is it also worth noting that some of the 591 apparent periodicity in the left panels of Figure 5 are likely relate to manufacturer-to-manufacturer 592 differences in accounting for the effect of the pendulum motion of the radiosondes.



Figure 5: Left panels, night time and daytime profiles of the mean differences between RS92 temperature profiles and
 the profiles measured by all the other radiosonde types listed in Table 4; right panels, profiles of the standard deviation
 of the mean difference, reported in the corresponding left panels.





597

In Figure 6, the mean difference $\Delta RH_{NORS92} = \frac{1}{N} \sum_{i=1}^{N} RH_i^{RHARM, RS92} - RH_i^{NORS92}$ is shown with 598 the corresponding standard deviation. The values of ΔRH_{NORS92} are shown instead of 599 600 $cf(RH)_{NORS92}$, which is the factor calculated in Eq.15, to give a clearer quantitative representation of the difference among the various radiosonde types for the ID2010. The plots in Figure 6 are shown 601 602 up to 250 hPa which is the maximum altitude at which the RHARM approach performs the postprocessing. ΔRH_{NORS92} ranges within about ±10% from the surface up to 500 hPa, both at night and 603 604 day, although it is mostly positive for all radiosonde types during the day: this indicates that the 605 adjustments applied to correct the effect of solar radiation by most of the manufacturers 606 underestimates the RH profiles compared to the RHARM processed Vaisala RS92 profiles. At pressure levels above 500 hPa, ΔRH_{NORS92} generally increases with altitude and is positive during 607 608 the day. The only exception is the Modem radiosondes which at night exhibit negative values of 609 ΔRH_{NORS92} , smaller than -15%, and Daqiao and Meteolabor for very few levels at pressures higher than 300 hPa. $\sigma_{\Delta RH_{NORS92}}$ is smaller than 10% at night and day, except for a few larger values at 610 611 levels below 400 hPa reported for the Daqiao, Huayun and Meteolabor radiosondes. 612



616 In analogy with Figures 5 and 6, Figure 7 shows the profiles of $\Delta u_{RS92,NORS92}$ with the corresponding 617 standard deviations $\sigma_{\Delta u_{RS92,NORS92}}$. The ID2010, apart from the Daqiao sondes, includes only winds 618 measurements based on GNSS tracking of the radiosonde. Moreover, in the ID2010 daytime and 619 night time measurements were treated together as no significant difference could be found





between the two categories. Nevertheless, considering that a different approach to the processing of the ID2010 is adopted by RHARM (i.e. decomposition into vectorial wind components u and v) and that here only one radiosonde model (e.g. RS92) is assumed as the reference for the calculation of adjustment profiles for all other sonde types of the ID2010, we treated daytime and night time data separately in order to check the robustness of the estimated adjustments.

625 At night, $\Delta u_{RS92,NORS92}$ is predominantly negative throughout the profile for all manufacturers, but smaller than -0.5 ms⁻¹ up to 400 hPa, then increases up to -2.0 ms⁻¹ at 100 hPa reaching its maximum 626 value. During the day, the same behavior is observed although the values from the surface to 400 627 hPa show greater spread. $\sigma_{\Delta u_{RS92,NORS92}}$ is lower than 2.0 ms⁻¹ for both day and night, except for 628 629 Graw and Modem radiosondes above 100 hPa and 50 hPa heights, respectively. Figure 8 shows the 630 same as Figure 7 but for $\Delta v_{RS92,NORS92}$. Both at night and day, $\Delta v_{RS92,NORS92}$ is negative and smaller than -0.5 ms⁻¹ up to 400 hPa while it is positive at lower pressure levels with values lower than 1.0 631 632 ms⁻¹. The small sample size for the comparison clearly affects the values of $\Delta v_{RS92,NORS92}$ at levels above 100 hPa. The same is true for $\sigma_{\Delta v_{RS92,NORS92}}$ for Graw and Modem sondes at night. 633 634 $\sigma_{\Delta u_{RS92,NORS92}}$ is generally lower than 1.0 ms⁻¹ both at night and day.

635



637 Figure 7: Same as Figure 5 but for the zonal wind component (u).

638





- 639 As for temperature, $\Delta u_{RS92,NORS92}$ and $\Delta v_{RS92,NORS92}$ profiles have been cut at tailored pressure
- levels p_t and at pressure levels lower than p_t the adjustment is equal to the value of $\Delta u_{RS92,NORS92}$
- 641 and $\Delta v_{RS92,NORS92}$ at p_t, respectively.



642 643

644 Figure 8: Same as Figure 5 but for meridional wind component (v).

645

646 Wind data provided with the RHARM approach must be used with caution considering that the 647 radiosonde types reported in Table 4 are processed with distinct software routines provided by the 648 respective manufacturers which apply distinct smoothing to the data. The unavailability of the raw 649 data does not enable reprocessing of the data to provide all of them at the same resolution or even 650 at a known resolution, which can be controlled for in the RHARM software and optimized to remove 651 spurious effects on the wind measurement by the radiosondes.

652

653 **4. Results**

654 **4.1 RHARM consistency with GRUAN**

Although built to mimic the GDP, the RHARM the approach is not applied to the raw radiosonde
data. This may generate discrepancies in the result between the RHARM and the GDP which must
be quantified. By construction, the performance of the RHARM approach are expected be similar
on average to the GDP.





660 To evaluated the consistency of the RHARM adjustments applied to the RS92 IGRA sondes with the 661 GDP, in Figure 9 the "GRUAN minus RHARM" mean difference profiles of temperature and RH are compared with the corresponding profiles for "GRUAN minus IGRA". The plots in Figure 9 have been 662 limited to 100 hPa for the temperature and to 250 hPa for the RH: the latter is the minimum pressure 663 664 for the all the RH profiles adjusted using the RHARM approach, while for temperature adjustments 665 above 100 hPa are the same or very close to those carried out at 100 hPa. For temperature at night, 666 the difference GRUAN-IGRA is almost constant from the surface up to 300 hPa with a value of 0.12-667 0.13 K, while below 300 hPa it is a slightly smaller with values of 0.1 K. Instead, the GRUAN-RHARM 668 difference is closer to zero along the entire pressure range with values smaller than 0.07 K up to 250 669 hPa and close to zero at higher altitudes. During the day, the GRUAN-IGRA difference is nearly 670 constant at all the pressure levels with a value of about 0.12 K. The standard deviation of the 671 difference is almost the same for night and day with increasing values towards lower pressures from 672 0.2 to 0.3 K. These values agree with the results of the comparison shown for GRUAN vs Vaisala data 673 products (Dirksen et al., 2014) and with the manufacturer specifications 674 (https://www.vaisala.com/sites/default/files/documents/RS92SGP-Datasheet-B210358EN-F-

675 LOW.pdf). For at least some cases, the GRUAN-IGRA difference may be related to rounding of
676 temperature values in alphanumeric TEMP reports (Ingleby, 2017) and/or a systematic contribution
677 of 0.05 K due to the conversion of Celsius to Kelvin by the decoding software affecting alphanumeric
678 to BUFR transition when radiosoundings data are transmitted to the WMO Information System
679 (WIS).

For RH at night, the GRUAN-IGRA difference increases with height from less than 0.5% RH to 2.0%
RH and, during the day, from 0.7 % RH to 1.8% RH. The RHARM adjustments are able to reduce on
average the difference achieving negligible values, close to zero, both during night and day. The
standard deviation is similar for both the difference profile at night and day with values ranging
between 1.5 % RH and 5.0 % RH, increasing with decreasing pressures.

685 In analogy to temperature and RH, the wind speed mean differences have been calculated using 686 both night and daytime observations, because there is not any difference in the data processing 687 applied in the three considered datasets (GRUAN, IGRA and RHARM). Both the GRUAN-IGRA and 688 GRUAN-RHARM difference profiles, shown in Figure 10, are very close to zero from 1000 hPa to 300 689 hPa. Above this altitude, RHARM has a smaller mean difference than IGRA with respect to GRUAN 690 values, always positive and smaller than 0.05 m/s, while IGRA shows differences with GRUAN within 691 about ±0.3 m/s. The residual differences between GRUAN and RHARM may be due to several 692 reasons, such as rounding problems or differences in the smoothing window used by the 693 manufacturers and GRUAN data processing.







695 696

Figure 9: Mean difference profiles of temperature (top panels) and relative humidity (bottom panels) with the 697 corresponding standard deviations (horizontal bar) calculated from the comparison of the night time (panels a and c) 698 and daytime (panels b and d) difference "GRUAN minus IGRA" (black lines) and "GRUAN minus RHARM" (red lines) for 699 the profiles available at all GRUAN stations, in the period 2010-2018.

700







702 703

Figure 10: Same as panels in Figure 9 but for wind speed including both night and daytime observations. 704

705 In Figure 11, the probability density functions (pdfs) calculated for the IGRA and RHARM datasets 706 (Figure 1) in the Northern Hemisphere (NH) at 300 hPa are shown for temperature, RH and wind 707 speed components. The median, the first and third quartiles of the pdfs shown in Figure 11 are 708 reported in Table 5 for convenience. For temperature, it appears evident that the applied 709 adjustments minimally alter the IGRA pdf: the small magnitude of the RHARM adjustments for 710 temperature also indicates the enhanced quality of the data collected by most recent radiosonde 711 types available on the market compared to the historical observations (Thorne et al., 2012). The 712 RHARM pdf is slightly "warmer" than the IGRA one, with a median value 0.05 K larger, indicating 713 that an apparent systematic underestimation in the IGRA data.

714 For RH, there is a strong difference between the IGRA and RHARM pdfs mainly due to the 715 adjustment for the effects of solar radiation: the RHARM pdf is characterized by wetter values 716 revealing that the manufacturer applied correction is not sufficient and can provide too dry values. 717 The median value for RHARM is 2% larger than for IGRA: this result reflects the effect of the humidity 718 radiosonde dry-bias. RHARM has significant differences in its RH values compared to IGRA, 719 especially at RH values below 15% RH and above 55% RH.

720 For wind speed components, as anticipated, the systematic effects have a smaller magnitude than 721 for temperature and RH; the IGRA and RHARM pdfs are fairly similar with a difference of the median value of about 0.1 ms⁻¹ for the u wind speed and of 0.52 ms⁻¹ for v, with the RHARM pdf more 722 723 skewed toward positive values than IGRA.

724 Figure 12 shows the same comparison as Figure 11 (300 hPa) but calculated for all stations in the 725 tropics (±25° latitude). The corresponding median, the first and third quartiles are reported in Table 726 6. Similar conclusions to Figure 11 can be drawn, in particular for temperature, as the warmer values 727 recorded in the NH by RHARM become more evident in the tropics (difference of 0.13 K in the 728 median). In general, the difference between IGRA and RHARM is the same as for NH: the 729 temperature pdf is closer to a normal distribution with much smaller variance, due to the larger 730 atmospheric stability and to the smaller seasonality, while the RH pdf is very similar to NH. The





- 731 difference in the RH median value is 2% RH like in the NH. The wind pdfs exhibit a difference in the
- median values of 0.30 ms⁻¹ for the u wind speed and of 0.32 ms⁻¹ for v, with the RHARM pdf again
- 733 more skewed toward positive values than IGRA.
- 734



735 736

Figure 11: pdfs calculated in the Northern Hemisphere (NH) at 300 hPa for the IGRA and RHARM datasets of temperature
(panel a), RH (panel b), u wind component (panel c) and v wind component (panel d), using the station shown in Figure
1.

739

740

NH	1st Quartile (Q1)	Median	3rd Quartile (Q3)
T IGRA (K)	224.15	229.05	234.25
T RHARM (K)	224.22	229.10	234.27
RH IGRA (%)	12	28	51
RH RHARM (%)	14	30	54
u IGRA (m s ⁻¹)	-9.90	0.01	8.92
u RHARM (m s ⁻¹)	-9.05	0.11	9.26
v IGRA (m s ⁻¹)	-28.56	-15.94	-5.27
v RHARM (m s⁻¹)	-27.46	-15.42	-4.94

741

742 Table 5: first, second (median) and third quartiles of the pdfs shown in Figure 11.

743







745 746 Figure 12: same as Figure 11 but calculated at the Tropics (±25° latitude).

747

748

Tropics	1st Quartile (Q1)	Median	3rd Quartile (Q3)
T IGRA (K)	241.25	242.45	243.45
T RHARM (K)	241.42	242.58	243.54
RH IGRA (%)	0.10	0.27	0.55
RH RHARM (%)	0.11	0.29	0.57
u IGRA (m s ⁻¹)	-4.11	-0.50	2.92
u RHARM (m s ⁻¹)	-3.78	-0.20	3.08
v IGRA (m s ⁻¹)	-7.46	1.23	6.80
v RHARM (m s ⁻¹)	-6.65	1.55	7.07

749

Table 6: first, second (median) and third quartiles of the pdfs shown in Figure 12.

751

752 In Figure 13, instead it is reported a comparison between the pdfs among the GRUAN, IGRA and 753 RHARM RH values for all the GRUAN stations in the period 2008-2018. The comparison comprises 754 all the night and daytime observations performed with the RS92 sondes on 00:00 and 12:00 UTC, at 755 300 hPa. The comparison between the two panels shows the impact of the RHARM adjustments 756 applied to the original IGRA data. The RHARM RH values become considerably more similar to 757 GRUAN, especially for values higher than 55% RH. These results imply that manufacturer data 758 processing applied to the RH radiosounding profiles measured by Vaisala RS92 radiosondes is not 759 adequate to compensate for instrumental effects, as it is inducing a dry-bias. Similar conclusions 760 can be inferred by the ID2010 data discussed above for the other radiosonde manufacturers.





RS92 Night/Day, 2008-2018 0.12 GRUAN 0.10 **IGRA** 0.08 Pdf 0.06 0.04 0.02 0.00 0.0 0.2 0.4 0.6 0.8 1.0 RH (%) 0.12 GRUAN 0.10 RHARM 0.08 0.06 Pdf 0.04 0.02 0.00 0.0 0.2 0.4 0.8 0.6 1.0 RH (%)

762

763 764

Figure 13: top panel, comparison between GRUAN (black) and IGRA (grey) RH measurements at 300 hPa for the profiles
available at all GRUAN stations (only RS92 sondes), in the period 2010-2018 The comparison comprises all the night and
daytime observations on 00:00 and 12:00 UTC. Bottom panel, same as top panel but for GRUAN (black) and RHARM
(grey).

768 4.2 Comparisons with ERA5

769 An important step in the performance assessment of the RHARM data is the comparison with 770 atmospheric reanalysis data. The latter incorporates millions of observations into a data assimilation 771 system, every 6-12 hours over the period being analyzed, providing a systematic approach to 772 produce data sets for climate monitoring and research. The various reanalysis products available 773 from the existing climate services have proven to be valuable when used appropriately (Dee et al., 774 2016). Nevertheless, reanalysis reliability can considerably vary depending on the location, time 775 period, and variable considered (Dee et al., 2016). The changing mix of observations, and biases in 776 observations and models, can introduce spurious variability and trends into reanalysis output. In 777 this section, IGRA and RHARM are compared with the ERA5 ECMWF atmospheric reanalysis. ERA5 778 is the latest climate reanalysis produced by ECMWF providing hourly data on regular latitude-779 longitude grids at 0.25° x 0.25° resolution (Hersbach et al., 2020), with atmospheric parameters on 780 37 pressure levels. ERA5 is publicly available through the Copernicus Climate Data Store (CDS, 781 https://cds.climate.copernicus.eu).

782

IGRA and RHARM monthly averages of temperature and RH have been compared with the monthly
 averages obtained for the nearest ERA5 grid-point to each radiosounding station. Simultaneous
 vertical profiles on 12 UTC and 00 UTC at mandatory levels have been considered only. Considering





786 the high resolution of ERA5 and its spatial representativeness, the representativeness uncertainty 787 due to the use of the nearest grid-point should be comparable with other methods (e.g. kriging, 788 bilinear interpolation, etc.).

789

790 Figure 14 compares the 300 hPa monthly zonal anomalies (i.e deviation from the mean created by 791 subtracting climatological values from monthly means) of temperature and of RH calculated 792 between 01/08/2006 and 01/08/2018 for IGRA, RHARM and ERA5 for Northern Hemisphere (NH),

793 tropics and Southern Hemisphere (SH) locations. Figure 15 shows the same as Figure 14 but for the Arctic region (70°-90° N) and the Antarctic region (70°-90° S).

794 795

> **Relative humidity** Temperature Northern hemisphere b а 01/08/200 01/08/ 01/08/2012 Date (dd/mm/y 01/08/2018 01/08/2012 Date (dd/mm/y 01/08/2018 m/yyyy) n/yyyy) Tropics d С 01/08 01/8 01/0 01/08/2012 Date (dd/mm/yyyy) 01/08 01/08/2012 Date (dd/mm/yyyy) Southern hemisphere f е 01/08 01/08 01/08 01/08 01/08/2012 Date (dd/mm/yyyy) 01/08/2012 Date (dd/mm/yyyy)

Monthly anomalies Aug. 2008 - July 2018

796 797

798 Figure 14: monthly temperature and RH anomalies calculated for IGRA (blue), RHARM (red) and ERA5 meteorological 799 reanalysis (black) at 300 hPa. Temperature anomalies are reported in the left panel, RH anomalies in the right panels. 800 Panel a and b are for NH, panel c and d for the tropics, panels e and f for SH.

801

802 In Table 7, the decadal trends for the time series of temperature monthly anomalies, shown in 803 Figure 14 and 15, are reported. Monthly anomalies are calculated aggregating all the available data





804 within each month, and each latitude region for both the observations and the reanalysis. Trends 805 are calculated on the monthly anomalies. Table 8, instead, refers to the decadal trends for the time 806 series of RH monthly anomalies. Both the tables also report the median absolute deviation (MAD) 807 from the fitted linear trends, which gives an estimate of the statistical uncertainty affecting the 808 trends. Trends have been calculated using a robust least absolute deviation method (Wong et al., 809 1989). This method has proven to be equivalent to other regression methods commonly used in 810 literature, such Theil-Sen and Levenberg-Marquardt (Sy et al., 2020), though faster in terms of 811 computation efficiency.

812 For both T and RH, IGRA, RHARM and ERA5 show the same upward trend of 0.6 K/decade in the 813 tropics at 300 hPa, where also the agreement of the temperature anomalies is very good. Trends at 814 other latitudes are very close. In the NH (Figure 14a), at 300 hPa, a trend of 2.0 K/decade is 815 estimated from the observations while a trend of 0.5 K/decade is estimated from ERA5. Similar 816 results are obtained considering European stations only (Madonna, 2020). In the period 2007-2010, 817 the observations show negative anomalies like ERA5 but larger in absolute value. After 2015, 818 observed anomalies are positive and exhibit a greater upward trend than ERA5. RHARM values 819 slightly reduce the difference with ERA5. In Antarctica at 300 hPa (Figure 15c), ERA5 exhibits an 820 upward trend of 0.5 K/decade, which is in conflict with the almost zero-trend estimated for the 821 observations, although there is a good agreement between ERA5 and the observed anomalies after 822 2015.

823 For RH in the NH at 300 hPa (Figure 14b), the three datasets show a downward trend with a 824 maximum difference of 1.2%/decade. Similar results are obtained considering the European domain 825 only (Madonna, 2020). The RHARM anomaly is more positive than IGRA until 2012. In the tropics, 826 trends for RH show differences between observations (IGRA and RHARM) and reanalysis of up to 827 4%/decade. In the entire time series, differences in the anomalies are observed, the most prominent 828 after 2015 when, after a strong dry anomaly observed in 2013-2014 (-9% RH), an increasing positive 829 anomaly is observed ranging from 2% RH in 2015 to 6% RH in 2018. This observed positive anomaly 830 differs from the ERA5 values, which oscillate around zero in the same period, and it is independent 831 of the longitude (additional analysis not shown). Also in this case, RHARM adjustments tend to 832 slightly reduce the gap between IGRA and ERA5: the anomaly reduction is due to large adjustments 833 from RHARM applied in the period 2006-2008 enabling the removal of systematic effects on the 834 IGRA radiosounding profiles. This translates to a smaller trend in the period 2006-2018 for RHARM 835 RH time series than for IGRA. The strong positive humidity anomalies observed in the tropics appear 836 to be correlated with significant positive anomalies of the bi-monthly multivariate El Niño/Southern 837 Oscillation (ENSO) index "MEI.v"2" (Hu and Fedorov, 2017) available at 838 https://www.esrl.noaa.gov/psd/enso/mei) which start in January 2015 and reaches within the same year values larger than 2.0. Boosted by an El Niño event, the year 2015 was the first of five 839 840 consecutive years among the six warmest years in the 140-year observational record (see 841 https://www.ncdc.noaa.gov/sotc/global) which may be related to the observed strong positive 842 anomalies of relative humidity at the tropics and in the SH. A possible positive trend in upper-843 tropospheric humidity has been already claimed in previous work (e.g. Dessler and Davis, 2010).

In the SH, the situation is similar to the tropics with the following differences: the temperature
anomalies have a good agreement and show the same trends, although the values of the observed
extremes are much larger than in ERA5. For RH, a very similar scenario to the tropics is shown with
positive anomalies which starts in 2015 with values up 6% RH, also in this case not detected in ERA5.
For both the tropics and the SH, monthly anomalies at 500 hPa show a similar scenario to 300 hPa
(not shown), although with smaller differences among the datasets.





- 850 Finally, for both the Arctic and Antarctic (Figure 15), IGRA and RHARM show upward trends,
- differently from ERA5, with a discrepancy smaller than 5%/decade in the Arctic and of 3%/decade
 in the Antarctic.

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Monthly anomalies Aug. 2008 - July 2018





Figure 15: same as Figure 14 but panels a and b are for the Arctic, panel c and d for Antarctica.

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T trends (K/da)	IGRA trend	IGRA MAD	RHARM trend	RHARM MAD	ERA5 trend	ERA5 MAD
NH	2.04	0.49	1.99	0.49	0.45	0.55
Tropics	0.60	0.32	0.56	0.32	0.57	0.38
SH	0.61	0.51	0.58	0.51	0.28	0.51
Antarctic	-0.05	0.72	-0.05	0.72	0.49	0.62
Arctic	0.43	0.93	0.41	0.93	0.58	0.42

Table 7: decadal trends of temperature (K/da) estimated using a robust least absolute deviation method for five zonal

regions using IGRA, RHARM, ERA5 data. For each dataset, two columns are reported in the table, one with the estimated

decadal trend and the other with the median absolute deviation (MAD) from the fitted linear trends.

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RH trends (%/da)	IGRA trend	IGRA MAD	RHARM trend	RHARM MAD	ERA5 trend	ERA5 MAD
NH	-0.3	2.74	-1.5	2.92	-0.6	5.05
Tropics	4.7	5.02	3.9	5.26	0.7	7.24
SH	3.9	4.29	2.9	4.62	-0.1	5.66
Antarctic	2.5	6.39	1.5	6.87	-0.4	9.13
Arctic	2.0	7.45	0.7	7.79	-2.7	5.69

Table 8: same as Table 7 for RH.

869 5. Uncertainties: consistency with GRUAN and independent validation

A unique value of the RHARM dataset is that, for the first time, an estimation of the uncertainty is provided for each single observation (i.e. at each pressure level). In this section, a statistical analysis of the uncertainty values is provided. The differences between the RHARM approach and the GDP, described in section 4.1, may also affect the quantification of the uncertainty budget, where the unavailability of the raw radiosounding data forces the RHARM algorithm to use average statistics to quantify a few uncertainty contributions instead of a point-by-point evaluation.

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To investigate the consistency of the estimated values of the uncertainty by RHARM, Figure 16 undertakes a comparison between RHARM and GRUAN uncertainties for temperature and relative humidity. The plots are based on the pdfs of the uncertainty estimated by using the data available at sites reported in Table 1 and the corresponding observations from RHARM. Uncertainty for RHARM is generally larger than the uncertainties obtainable using the GDP as expected given the methodological considerations outlined in section 3. That is to say that the RHARM assumptions increase, on average, the uncertainty compare to an ideally corresponding GDP.

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885 In particular, for temperature (Figure 16, left panel), the median value of the GRUAN pdf is of 0.16 886 K versus a value of 0.22 K for RHARM (median values are considered for the analysis given the shape 887 of the pdf). The interguartile range (IQR) for GRUAN is 0.20 K while for RHARM is 0.26 K. These 888 numbers confirm that on average the uncertainty estimation obtained for RHARM overestimates 889 the GRUAN uncertainty. Nevertheless, due to the static nature of the assumptions made within 890 RHARM it might happen that the RHARM uncertainty may occasionally underestimate the GRUAN 891 uncertainty as occurs for a portion of values the below 0.1 K which increase the RHARM pdf 892 compared to GRUAN (Figure 16, left panel). These values are mainly related to night time 893 measurements.

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For RH, the median value of the GRUAN pdf is of 1.1% versus a value of 3.6% for RHARM with an
IQR for GRUAN is 0.1%, while for RHARM is 3.0%. Maximum values observed with GRUAN are less
than 8% while RHARM shows also values larger than 10% and very few values larger than 20%.

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Finally, for the uncertainties of wind speed and direction, calculated using Eqs. 8 and 9, these are based on the uncertainties calculated for u and v which, on their turn, are estimated as the addition in quadrature of two constant terms, in opposition to GRUAN where the random uncertainty is quantified at each measurement vertical level using a low-pass digital filter. The resulting typical uncertainties for RHARM wind speed is 0.3-1.9 m s⁻¹ while for GRUAN is 0.1-1.3 m s⁻¹. For wind direction, the typical values of the uncertainties are similar for both RHARM and GRUAN and in the order of 1°.





- 907 The investigation of the pdf for the RHARM uncertainties as a function of latitudes for temperature 908 and RH (not shown) shows very similar shapes with small difference revealing, therefore, how the
- 909 uncertainties estimated by the RHARM approach are not latitude-dependent.
- 910



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Figure 16: Comparison of pdfs of the uncertainty calculated using the GRUAN data processing (GDP) and the RHARM
 approach at the six stations shown in Table 1. Pdfs are relative to temperature (panel a) and relative humidity (panel b)
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915 To ascertain the quality of the estimated uncertainties, validation is an indispensable practice which 916 should be applied on every observational dataset. Validation of uncertainties means that these must 917 be "evaluated by independent means to establish quantitative realism and the credibility of the 918 uncertainty estimates" (Merchant et al., 2019). In order to provide a validation of the uncertainty 919 estimated by the RHARM approach, the methodology described in Merchant et al. (2019) has been 920 applied. This is based on the study of the probability density function of the ratio:

921
922
$$\frac{x_{RHARM} - x_{ref}}{\sqrt{u_{RHARM}^2 + u_{ref}^2 + u_{mis}^2}}$$
[Eq. 16],

924 where x_{RHARM} is the measured estimate of the measurand, x_{ref} indicates the estimate of the 925 measurand in the reference dataset used for the validation, u denotes the uncertainty and u_{mis} is 926 the geophysical variability arising from temporal, spatial, and definitional mismatch between the 927 RHARM and reference data. A correct quantification of uncertainties and variability should be 928 reflected in a normal distribution of the ratio in Eq. 16, with a standard deviation equal to unity.

929

930 Acknowledging that the ideal solution for the validation must be based on independent reference 931 measurements (Thorne et al., 2017) of the same measurand, GRUAN data would be the ideal 932 candidate. However, RHARM has used information from and mimics part of the GDP meaning that 933 circularity considerations preclude its use for such a purpose. An alternative solution is adopted in 934 this paper which is to use the ERA5 background (6-hours forecast) as a reference value. Whilst this 935 background is a reliable estimation of the atmospheric state, it is not a real reference measurement 936 in that it is not itself an SI traceable measurement nor does it have comprehensive uncertainty 937 estimates. Observation minus Background departures have been already used as a diagnostic tool for different latitude belts (Ingleby et al., 2017) because they can be considered, to a first 938 939 approximation, relatively homogenous. They also form the basis for the RAOBCORE / RICH family of 940 dataset approaches (Haimberger et al., 2012). Therefore, the use of the ERA5 background as a 941 reference for the test described in Eq. 16 appears to be a viable solution to infer quantitative





942 information for the validation of uncertainties. Other datasets may be used, such a GNSS-RO;
943 nevertheless, GNSS-RO are a valuable solution for dry temperatures in the UTLS, while for the mid
944 and lower troposphere the deconvolution of temperature and RH in the retrieval is dependent on a
945 first guess model. Furthermore, GNSS-RO rarely can provide profile information all the way down
946 to the surface.

947

948 Using the background as the reference dataset in Eq. 16, u_{ref} has been estimated applying the Leave-949 One-Out Cross validation method, LOOCV (Stone, 1974), to the background while u_{mis} is evaluated 950 as the standard deviation of the O-B climatology at each station. In Figure 17, the ratio of Eq. 16 is 951 shown for O-B temperature and RH values for all the stations in the NH and in the tropics, 952 respectively, at 300 hPa. Each panel of Figure 17 also shows the best fitted normal distribution to 953 the data. O-B mean values are instead representative of O-B discrepancy. In the NH, the ratio for 954 temperature has a mean value of 0.1, while the standard deviation is 0.73 indicating that the 955 uncertainty at 300 hPa for temperature is overestimated of about 27%. The overestimated values 956 of the uncertainty increase the value of the pdf, compared to the fitted curve, in the middle of the 957 distribution while decreasing the pdf at the tails. In the tropics, a mean value of the ratio of -0.8 and 958 a standard deviation of 1.0 indicate that the uncertainty is well estimated with a small number of 959 overestimated values. For the RH, both in the NH and at the tropics, the uncertainty is 960 overestimated. For the NH, the mean value of the ratio is -1.3 and the standard deviation is 0.71 961 while in the tropics the mean value is -0.6 with a standard deviation of 0.84 and larger number of 962 overestimated values than in the NH. For RH uncertainties, the pdfs for both the NH and the tropics 963 are negatively skewed with a shape similar to the normal distribution except for an interval of values 964 on the right of the mean value. This might be related to systematic effects affecting the O-B 965 comparison, possibly due to inhomogeneities in the O-B departures within an entire latitude belt, 966 which can broaden the data O-B distribution and influence the value of the validation using the 967 model forecast as a reference.

968

969 In general, the RHARM uncertainties appears to be a good estimate or an overestimation of the 970 theoretical standard deviation. This can be considered a good result for the RHARM dataset 971 considering that dangerous underestimations of the uncertainties for temperature and RH values 972 can be considered a rare even. Nevertheless, the next version of the RHARM dataset will be 973 investigated to check whether the uncertainty overestimation, where occurring, could be reduced. 974 Future uncertainty assessments will be also oriented to the implementation of more sophisticated 975 models, using techniques like the kriging or modelling Gaussian processes to improve the capability 976 to estimate uref and umis to improve the characterization of the uncertainties in the RHARM 977 dataset. 978







981

982 Figure 17: pdfs of the ratio reported in Eq. 16 calculated using O-B data (RHARM-minus-Background) in the NH (left 983 panels) and in the tropics (bottom panels) at 300 hPa for temperature (top panels) and for RH (bottom panels) to 984 validate the uncertainties estimated using the RHARM approach. Background data are from the ERA5 6-hours forecast 985 model. For comparison with ideal uncertainty estimates, the best fitted normal distribution to each dataset (blue line) 986 is also shown. In an ideal case where uncertainty would be properly estimated with the RHARM algorithm, the 987 distribution should have a standard deviation equal to unity. Deviations from zero are due to the O-B discrepancy.

988 6. Conclusions and discussion

989 The work presented in this paper introduces the first metrologically-based component of the 990 RHARM approach. RHARM is able to adjust a subset of historical radiosonde observations for which 991 adequate metadata exist, and to quantify their uncertainties through a post processing chain based 992 upon a combination of reference measurements provided by GRUAN and comparative performance 993 measurements collected during the 2010 WMO/CIMO campaign.





994 The RHARM dataset provides one homogenization option, complementary to existing datasets of homogenized radiosounding temperature measurements and to the handful of existing products 995 996 for RH and wind. RHARM differs from these previous efforts due to the use of "Reference 997 measurements" to calculate and adjust for systematic effects instead of using background 998 information provided by reanalysis, autoregressive models or neighboring stations. In addition, each 999 harmonized data series is provided with an estimation of the uncertainty. The different approach, 1000 upon which RHARM is based, enables a more comprehensive exploration of structural uncertainties 1001 in historical records.

1002 In an ideal world, we would have access to the raw radiosounding data and be able to reprocess all 1003 the data consistently to metrologically traceable standards. In the real world, save for GRUAN sites 1004 and intercomparison campaigns, we do not have such an option. There is an action currently under 1005 discussion by GCOS in its most recent Implementation Plan to explore the possibility to collect and 1006 reprocess data from those sites who usually hold the original raw count data locally, although the 1007 timeline and the resources to start the action are still uncertain.

1008 The adjustments presented herein must be considered as the best solution/compromise between 1009 the heterogeneity of the investigated manufacturer processed data profiles arising from IGRA and 1010 the need to be coherent among the different adjustments calculated from the comparison with 1011 different sources (e.g. GRUAN, ID2010).

1012 The final goal of RHARM is to calculate average adjustments which should result in an improved 1013 estimation of the climatological variability for temperature, humidity and wind profiles. This means 1014 that on an individual station basis, the benefit of applying the proposed adjustment could be limited 1015 or could even increase the difference with the "true" value or not properly estimate the uncertainty. 1016 This is different from the solar radiation correction discussed in Section 3 which, though not exactly 1017 the same as GDP, adjusts the data distribution, being applied as post-processing of the data and not 1018 only as an average correction.

1019 It is also very important to clarify that daytime corrections are representative of an average between
1020 launches performed during the day at various local solar launch times and latitudes and, therefore,
1021 various solar elevation angles. This can induce additional error sources which cannot be easily
1022 quantified but which shall be considered and harmonized using statistical methods or inferred by
1023 future radiosonde intercomparisons.

1024 The RHARM algorithm also aims to show the importance of the availability of Reference data from 1025 GRUAN and from the periodical WMO/CIMO radiosonde intercomparison data, as well as from 1026 other experiments carried out according to the highest international best practices. These are 1027 fundamental sources to quantify the uncertainties in the characterization of present and historical 1028 radiosounding datasets. The collection and preservation of raw data by all radiosounding stations 1029 would improve the basis to build the highest possible quality dataset of radiosounding 1030 measurements. The future availability of new WMO/CIMO intercomparison data will enhance the 1031 capability of the RHARM approach to improve the quality of both near-real time and historical 1032 radiosoundings data. Moreover, the availability of the enhanced BUFR data reports (BTEM/BTEF 1033 files replacing TEMP and previous BUFR version), for radiosounding measurement submitted to the 1034 WIS, foster the reporting of high resolution vertical profiles with improved metadata, making the 1035 gap between files reported by reference and baseline networks smaller. These files are made 1036 available upon request by ECMWF (P.I. Bruce Ingleby) and will be processed and incorporated from 1037 global observations shortly in the updated version of RHARM. The availability of metadata from 1038 2016 on, when enhanced BUFR files start to be available, will also improve near real-time data 1039 availability. In addition, the availability of new GRUAN data products, such as for the Meisei iMS-





1040 100 sonde (Kobayashi et al., 2019), will be incorporated into subsequent versions of RHARM. These 1041 innovations will further improve RHARM. The disagreement between the ERA5 reanalysis and the 1042 observations anomalies, discussed in Section 4.2, are an example of the need to increase the 1043 number and the quality of the observations available at the global scale with the estimation of the 1044 related uncertainties.

1045 In a follow-up paper, under preparation, an extension of the RHARM dataset to the historical 1046 radiosounding time series will be presented. This extension starts from the RHARM post-processed 1047 data, shown in this paper, used as an anchor point to homogenize radiosounding time series before 1048 2004, at each single station, using statistical methods. In particular, the identification of change-1049 points in the time series is obtained applying a CUmulative SUMming (CUSUM) test while the 1050 adjustments of instrumental effects are obtained adjusting iteratively the trend of the time series, 1051 from the most recent data to the past (Madonna, 2020).

1052 In conclusion, RHARM may initiate a new generation of homogenization techniques which fully1053 exploit the real value of reference measurements and of intercomparison datasets.

1054 **7. Data availability**

A copy of the RHARM dataset is stored in the Copernicus Climate Data Store (CDS) although not
 publicly available yet. For review purposes only, a subset has been made available at
 http://doi.org/10.5281/zenodo.3973353 (Madonna et al., 2020a).

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signed by CNR-IMAA and WMO on 27/07/2017.

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