

1 **A Multi-Scale Daily SPEI Dataset for Drought ~~Monitoring~~characterizing at**
2 **Observation Stations over the Mainland China from 1961 to 2018**

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22 **Highlights:**

23 ~~• The SPEI has been widely used to monitor and assess the drought characteristics.~~

24 • A multi-scale daily SPEI dataset was developed across the mainland China from
25 1961 to 2018.

26 • The daily SPEI dataset can [be used to](#) identify the start and end day of the drought
27 event.

28 • The [developed](#) daily SPEI dataset ~~developed in this study~~ is free, open and
29 persistent publicly available ~~from this study~~.

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46 **Abstract:**

47 The monthly Standardized Precipitation Evapotranspiration Index (SPEI) can [be used](#)
48 [to](#) monitor and assess drought characteristics with one month or longer drought duration.

49 Based on data from 1961 to 2018 at 427 meteorological stations across the mainland

50 China, we developed a daily SPEI dataset to overcome the shortcoming of coarse

51 temporal scale of monthly SPEI. Our dataset not only [can be used to](#) identify the start

52 and end dates of drought events, but also can be used to investigate the meteorological,

53 agricultural, hydrological and socioeconomic droughts with different time scales. In the

54 present study, the SPEI data with 3-month [\(about 90 days\)](#) scale were taken as a

55 demonstration example to analyze spatial distribution and temporal changes in drought

56 conditions for the mainland China. The SPEI data with 3-month [\(about 90 days\)](#) scale

57 showed no obvious intensifying trends in terms of severity, duration, and frequency of

58 drought events from 1961 to 2018. Our drought dataset serves as a unique resource with

59 daily resolution to a variety of research communities including meteorology, geography,

60 and natural hazard studies. The daily SPEI dataset developed is free, open and persistent

61 publicly available from this study. The dataset [with daily SPEI](#) is publicly available via

62 the figshare portal (Wang et al, [2020;2020c](#)), with

63 <https://doi.org/10.6084/m9.figshare.12568280>.

64 **Key words:**

65 **SPEI, mainland China, drought, spatial-temporal, [Multi-scale](#), meteorological**

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66 **data**

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69 **1. Introduction**

70 Drought is one of the most destructive natural hazards worldwide. It can lead to
71 adverse effects [onto](#) the ecological system, industrial production, agricultural
72 [practicespractices](#), drinking water availability, hydrological processes and water quality
73 (Bussi and Whitehead, 2020; Lai et al., 2019; Vicente-Serrano et al., 2012; Wang et al.,
74 2014; Wang et al., 2017). Drought has brought about ca. 221 billion dollars loss during
75 1960 to 2016 reported by the International Disaster Database (EM-DAT), and the
76 drought events in South Asia have influenced over 60 million residents from 1998 to
77 2001 (Agrawala et al., 2001). Unfortunately, the drought is expected to increase in
78 frequency and intensity due to the future warming air temperature (Trenberth et al.,
79 2014; Zambrano et al., 2018). The exacerbated drought conditions have promoted some
80 national legislation (such as drought preparedness and plan) to carry out the risk
81 management and adaptive strategy for drought disasters (Garrick et al., 2017).

82 The various drought types result in the difficulty of drought
83 [monitoringcharacterizing](#) and assessment. Drought definition is not unique. Some
84 proposed defining drought according to the water deficit (Wilhite and Glantz, 1985),
85 while others defined drought based on the period of abnormal arid conditions (Eslamian
86 et al., 2017). The popular drought can be classified into four types including (1)

87 meteorological, (2) agricultural, (3) hydrological, and (4) socioeconomic droughts
88 (Mishra and Singh, 2010). The meteorological drought results from precipitation deficit
89 or evaporation increases (McKee et al., 1993). The meteorological drought can
90 propagate into the agricultural drought with the lower soil moisture availability, and it
91 also can lead to hydrological drought with lower streamflow and socioeconomic
92 drought with lower water availability (Barella-Ortiz and Quintana-Seguí, 2019; Gevaert
93 et al., 2018). In general, drought indices are normally used to monitor and assess the
94 condition or spatial-temporal characteristic of drought.

95 Many drought indices have been developed for the drought
96 [monitoring characterizing](#) and assessment, such as the Palmer drought severity index
97 (PDSI) (Dai et al., 2004), standardized precipitation index (SPI) (McKee et al., 1993),
98 vegetation water supply index (VWSI) (Carlson et al., 1994), vegetation health index
99 (VHI) (Kogan, 2002), vegetation temperature condition index (VTCI) (Wan et al.,
100 2004), and other drought indices (Men-xin and Hou-quan, 2016; Wang et al., 2015;
101 Wang et al., 2017). PDSI and SPI are the most popular drought studies worldwide (Dai
102 et al., 2004; McKee et al., 1993), however, they have some [limitation limitations](#). PDSI
103 is only suitable to the agricultural drought through characterizing the soil water deficit,
104 and it cannot identify the meteorological, hydrological, and socioeconomic droughts
105 (Feng and Su, 2019). In addition, PDSI limits the spatial comparability of drought due
106 to the fact that it is heavily depending on data calibration (Sheffield et al., 2009; Yu et
107 al., 2014). Although the SPI can [be used to](#) monitor and assess different drought types
108 by multiple spatial scales at the monthly time step, it only considers the precipitation

109 factor and neglects effects of evaporation stemmed from temperature and other
110 meteorological factors (Wang et al., 2014; Wang et al., 2017; Yang et al., 2018). To
111 solve the above problems, the Standardized Precipitation Evapotranspiration Index
112 (SPEI), which considers the advantage of both PDSI and SPI, was developed to monitor
113 and assess droughts (Vicente-Serrano et al., 2010). It not only accounts for the effect of
114 evaporation on drought, but also have the capability of spatial comparability and
115 characterizing different drought types with multiple time scales (Feng and Su, 2019;
116 Wang et al., 2015). SPEI ~~has been widely~~can be used to delineate ~~drought~~ spatial-
117 temporal evolution of drought, drought characteristics, and impacts of drought at the
118 regional and global scales (Mallya et al., 2016; Wang et al., 2014).

119 However, the commonly used SPEI fails to identify droughts with less than one-
120 month duration (Van der Schrier et al., 2011; Vicente-Serrano et al., 2010). With the
121 future climate change, flash droughts have been recently categorized as a type of
122 extreme climate events. Flash droughts occur along with sudden onset, rapid
123 aggravation, and sudden end of drought ~~leading~~could lead to severe
124 ~~influences~~consequences (Pendergrass et al., 2020). It is imperative for
125 ~~monitoring~~characterizing the flash droughts with the short-term duration (e.g., several
126 days). To use the sub-month resolution drought index, we have developed the daily
127 SPEI for the first time, and our daily SPEI has been used to assess the drought and its
128 impacts in previous studies (Wang et al., 2015; Wang et al., 2017). The new SPEI can
129 not only identify the drought with one-month and more than one-month duration, but
130 also monitor the drought with several days duration. In addition, our new daily SPEI

131 has filled the gap in the capability to monitor the onset and duration of droughts. Our
132 daily SPEI has similar principles with the commonly used month SPEI in terms of time
133 accumulation effects (Vicente-Serrano et al., 2010; Wang et al., 2015; Yu et al., 2014).
134 The daily SPEI data with different time scales can also meet the requirement of
135 [monitoring characterizing](#) and assessing of different drought types (meteorological
136 drought, agricultural drought and hydrological drought) at multi-time scales (Wang et
137 al., 2014).

138 [The SPEI can be calculated by the difference between daily precipitation and daily](#)
139 [potential evapotranspiration \(PET\) \(Vicente-Serrano et al., 2012\). Precipitation general](#)
140 [can be directly obtained by the meteorological observation stations \(Wang et al., 2015\).](#)
141 [But PET can be only estimate by driver of meteorological data or remote sensing data](#)
142 [\(Wang et al., 2018; Wang et al., 2017\). Although there are at least 50 methods to](#)
143 [calculate the PET potential evapotranspiration, the methods estimate the inconsistent](#)
144 [and different values due to diverse assumptions, data inputs and climatic regions](#)
145 [\(Grismer et al., 2002; Lu et al., 2005\). PET plays an important role in understanding](#)
146 [fluxes of the heat and mass of atmospheric system at the local and global scale \(Thomas,](#)
147 [2000\). Thus, it is necessary to choose the suitable method to estimate PET. The choice](#)
148 [of candidate probability distributions for SPEI calculation is also very important](#)
149 [\(Vicente-Serrano et al., 2010; Vicente-Serrano et al., 2012\), the chosen distribution for](#)
150 [SPEI generally need a location parameter because climatic water balance may have the](#)
151 [negative values \(when \$PET >\$ precipitation in certain a periods\) \(Wang et al., 2015;](#)
152 [Wang et al., 2017\). Distributions for SPEI normalization have generalized logistic](#)

153 [distribution, Pearson Type III distribution, normal distribution, generalized extreme](#)
154 [value \(GEV\) distribution \(Stagge et al., 2015\). The four candidate SPEI distributions](#)
155 [have the best good-ness of fitting the accumulated climatic water balance \(Stagge et al.,](#)
156 [2015; Wang et al., 2015; Wang et al., 2017\). However, The GEV distribution has the](#)
157 [best performance among all four probability distributions across the whole Continental](#)
158 [Europe, because of the lower rejection frequencies of GEV by using several tests](#)
159 [\(Kolmogorov–Smirnov \(K–S\), Anderson–Darling \(A–D\), and Shapiro–Wilk \(S–W\)\)](#)
160 [\(Stagge et al., 2015\), therefore, we choose the GEV distribution fitting he accumulated](#)
161 [climatic water balance to calculate SPEI. The SPEI are suited to investigate the effect](#)
162 [of climate change and global warming on drought severity. SPEI has been widely used](#)
163 [in diverse studies on drought variability and impact, and drought monitoring systems](#)
164 [\(Boroneant et al., 2011; Fuchs et al., 2012; Potop et al., 2014; Sohn et al., 2013\).](#)

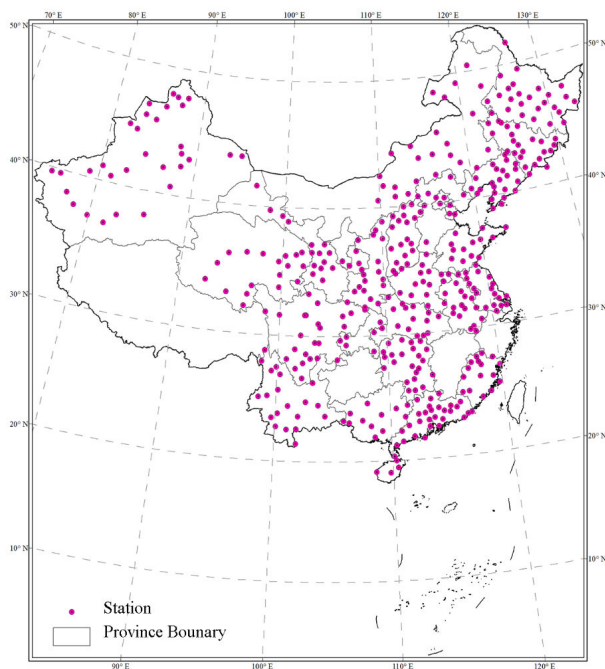
165 The aim of this study, therefore, is to produce a long record (1961-2018) daily
166 drought index dataset for the whole mainland China. Specifically, we used the new daily
167 SPEI algorithm to produce the multi-time scale drought dataset at a daily time
168 resolution. Meteorological data with 427 stations including multi-factor (daily
169 precipitation, daily average air temperature, daily minimum air temperature, daily
170 maximum air temperature and sunshine) are used. The developed drought dataset at the
171 national scale has the potential to be [suedused](#) to monitor and assess droughts and their
172 impacts for the ~~different~~ sectors [including agricultural sector, forest sector, hydrological](#)
173 [sector, ecological sector, environmental sector and so on.](#)

174 2. Data Sources and Methods

175 **2.1 Data Sources**

176 Daily meteorological data from 1960 to 2018 were collected from the National
177 Meteorological Science Data Sharing Service Platform (<http://data.cma.cn/>). The data,
178 which have gone through quality controlling, have been used in many studies on
179 drought (Li et al., 2019; Wang et al., 2019). In total, there are 839 stations with public
180 data. To ensure continuous and complete data records, ~~we selected~~ 427 meteorological
181 stations ~~data~~ are chose for our study by removing stations with missing data exceeding
182 30 days over the whole period. Meteorological variables include the minimum and
183 maximum air temperature (°C), precipitation (mm) and sunshine duration (h). The
184 sunshine duration was converted to solar radiation based on the Ångström function
185 (Chen et al., 2010; Wang et al., 2015). The station location is shown in Figure 1.

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187 **Figure 1.** The location of meteorological stations across the mainland China.

188 2.2 Daily SPEI Calculation

189 The daily SPEI can be calculated by the difference between daily precipitation and
190 daily potential evapotranspiration. Because air temperature and solar radiation
191 explained at least 80% of evapotranspiration variability (Martí et al., 2015; Priestley
192 and Taylor, 1972)(Martí et al., 2015; Priestley and Taylor, 1972), the Hargreaves model
193 based on temperature and solar radiation can be used to estimate the daily potential
194 evapotranspiration (Hargreaves and Samani, 1982; Mendicino and Senatore, 2013;
195 Wang et al., 2015). The daily potential evapotranspiration can be obtained by the
196 following formula:

$$197 \quad PET = 0.0023 * (T_{mean} + 17.8) * \sqrt{(T_{max} - T_{min})} * R_a \quad (1)$$

198 where, T_{mean} is the daily average air temperature ($^{\circ}\text{C}$); T_{max} and T_{min} are the daily
199 maximum and minimum air temperatures ($^{\circ}\text{C}$), respectively; and R_a is the daily net
200 radiation on the land surface ($\text{MJ m}^{-2} \text{d}^{-1}$).

201 SPEI calculation depends on the accumulating deficit or surplus (D_i) of water
202 balance at different time scales. D_i can be determined based on precipitations (P) and
203 PET ~~for a~~ formula given day i:

$$204 \quad D_i = P_i - PET_i \quad (2)$$

205 The obtained D_i values are summed at different time scales, following the same
206 procedure as that for the commonly used SPEI. The $D_{i,j}^k$ in a given day j and year i
207 depends on the chosen time scale k (days). For example, the accumulated difference for

208 1 day in a particular year i with a 30-day (or other time scales) time scale is calculated
 209 using:

$$\begin{aligned}
 X_{i,j}^k &= \sum_{l=31-k+j}^{30} D_{i-1,l} + \sum_{l=1}^j D_{i,l}, \quad \text{if } j < k \text{ and} \\
 X_{i,j}^k &= \sum_{l=j-k+1}^j D_{i,l}, \quad \text{if } j \geq k
 \end{aligned}
 \tag{3}$$

211 We also need to normalize the water balance into a probability distribution to get
 212 the SPEI index series. The best distribution for SPEI calculation is the generalized
 213 extreme value (GEV) distribution (Stagge et al., 2015), which can overcome the
 214 limitation of original SPEI through generalized logistic distribution for short
 215 accumulation (1–2 months) periods (Stagge et al., 2015; Vicente-Serrano et al., 2010).
 216 Therefore, we adopted the GEV distribution to standardize the D series into SPEI data
 217 series (Monish and Rehana, 2020). The GEV probability density function is:

$$f(x) = \begin{cases} \left(\frac{1}{\sigma}\right) \left[(1 + \xi z(x))^{-1/\xi} \right]^{\xi+1} e^{-[(1 + \xi z(x))^{-1/\xi}]}, & \xi \neq 0, \quad 1 + \xi z(x) > 0 \\ \left(\frac{1}{\sigma}\right) e^{-z(x) - e^{-z(x)}}, & \xi = 0, \quad -\infty < x < \infty \end{cases}
 \tag{4}$$

219 where,

$$z(x) = \frac{x - \mu}{\sigma}
 \tag{5}$$

222 where, ξ , σ , and μ are the shape, scale, and location parameters respectively.

223 The cumulative distribution function $F(x)$ of GEV can be calculated by the following
 224 equation:

$$F(x) = e^{-t(x)}
 \tag{6}$$

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where,

$$228 \quad t(x) = \begin{cases} \left(1 + \xi \left(\frac{x - \mu}{\sigma}\right)\right)^{\frac{-1}{\xi}}, & \text{if } \xi \neq 0 \\ e^{-(x - \mu)/\sigma}, & \text{if } \xi = 0 \end{cases} \quad (7)$$

229 Thus, the probability distribution function of the D series is given by:

$$230 \quad F(x) = \left[1 + \left(\frac{\alpha}{x - \gamma}\right)^\beta\right]^{-1} \quad (8)$$

231 With $F(x)$, the SPEI can easily be obtained as the standardized values of $F(x)$.

232 Following the classical approximation of Abramowitz and Stegun (1965):

$$233 \quad SPEI = W - \frac{C_0 + C_1W + C_2W^2}{1 + d_1W + d_2W^2 + d_3W^3} \quad (9)$$

234 where, $W = \sqrt{-2\ln(P)}$ for $P \leq 0.5$ and P is the probability of exceeding a
235 determined D value, $P = 1 - F(x)$. If $P > 0.5$, then P is replaced by $1 - P$ and the sign
236 of the resultant SPEI is reversed. The constants are $C_0 = 2.515517$, $C_1 = 0.802853$, C_2
237 $= 0.010328$, $d_1 = 1.432788$, $d_2 = 0.189269$, and $d_3 = 0.001308$.

238 2.3 Drought Analysis Method

239 The daily SPEI dataset were calculated [at multi-time scales \(1-month, 3-months, 6-](#)
240 [months, 9 months and 12 months\) using the daily meteorological data from 1960-2018](#)
241 [at 427 station locations in five accumulating periods \(30 days, 90 days, 180 days](#)
242 [months, 360 days, 720 days\) based on the water balance \(difference between](#)
243 [precipitation and PET\)](#). The classifications for the SPEI drought classes are presented
244 in Table 1.

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[Table 1 Categorization of drought and wet grade according to the SPEI.](#)
[Table 1 Categorization of drought and wet grade according to the SPEI\(Wang et al., 2014\).](#)

Categorization	SPEI values
Extremely Wet	$SPEI \geq 2$
Severe Wet	$1.5 \leq SPEI < 2$
Moderate Wet	$1 \leq SPEI < 1.5$
Mild Wet	$0.5 < SPEI < 1$
Normal	$-0.5 \leq SPEI \leq 0.5$
Mild Drought	$-1 < SPEI < -0.5$
Moderate Drought	$-1.5 < SPEI \leq -1$
Severe Drought	$-2 < SPEI \leq -1.5$
Extremely Drought	$SPEI \leq -2$

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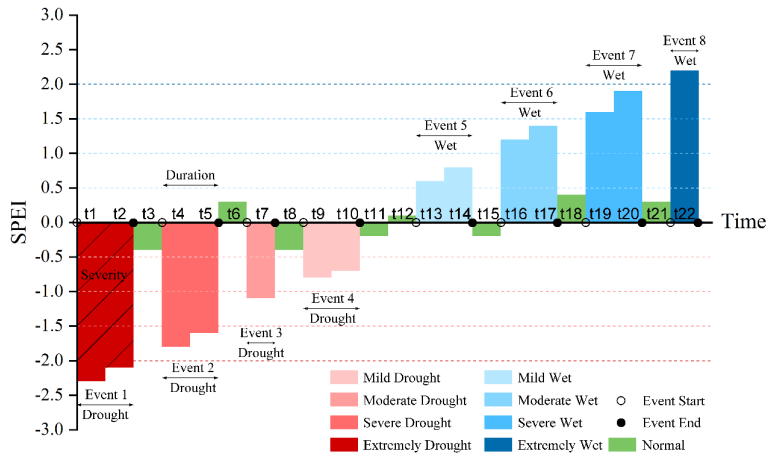
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We used the method described by Yevjevich (1967) to define the drought characteristics (severity, duration, and intensity). A drought event can be firstly determined by drought start and end dates, and its duration and severity were then assigned. Thus, we accounted for the continuity of drought propagation. The continuous days with SPEI values less than the threshold (such as -0.5,-1.0,-1.5,-2) are defined as the duration of a drought event. The severity is the integral area between absolute value of the SPEI with value < -0.5 and the horizontal axis ($SPEI = 0$) from the drought start day to the drought end day. The drought frequency is the total number of drought events in a period. The drought event and its characteristics (severity, duration, and intensity) can be demonstrated in Figure 2.



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262 **Figure 2.** Schematic diagram of drought and wet events (the red shaded area
 263 denotes the drought events; the blue shaded area denotes the wet events).

264

265 The SPEI data based on 90-day (3-month) time scales can be used to identify soil
 266 moisture or agriculture droughts (Wang et al., 2014). Due to its important applications,
 267 we selected the SPEI data with the 90-day time scales as the example data for analyzing
 268 in the present study. To investigate the spatial-temporal characteristics of the example
 269 data, we defined three variables including Annual Total Drought Severity (ATDS),
 270 Annual Total Drought Duration (ATDD), and Annual Total Drought Frequency (ATDF).
 271 The three variables were obtained by summing the severity, duration, and frequency of
 272 all the drought events in each year at 427 stations.

273 We also used the non-parametric Mann–Kendall (MK) test to detect monotonic
 274 trends (Kendall, 1948; Mann, 1945), [and because MK test does not require data](#)
 275 [normality \(Mann, 1945; Wang et al., 2020a; Wang et al., 2020b\).](#) We computed slopes
 276 for ATDS, ATDD and ADF using the Sen’s method (Sen, 1968). These statistical

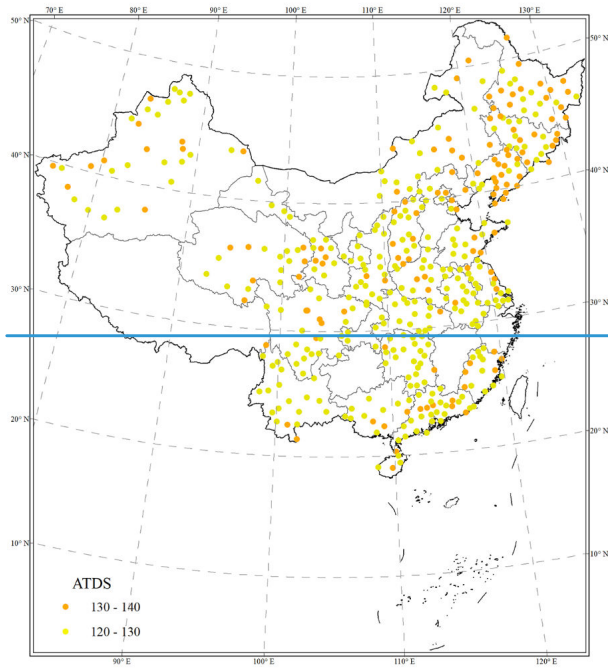
277 methods are commonly used in analyses of water resources, climate, and ecology data.

278 For the MK test, the global trend for the entire series is significant when P-value < 0.05.

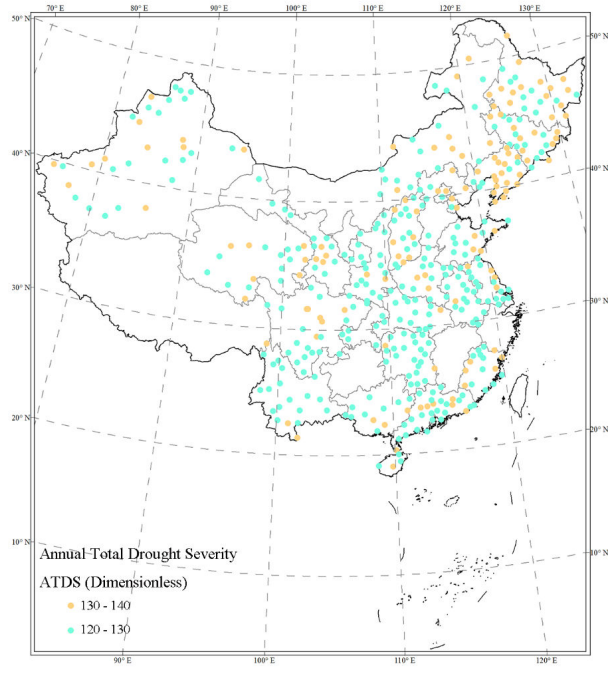
279 **3 Analysis Results**

280 **3.1 Spatial Distribution of Drought Characteristics**

281 The ATDS can be used to identify hot spots with ~~severe~~more severe drought
282 conditions. Figure 3 shows the calculated ATDS values across the mainland China. We
283 categorized ATDS values into two main groups with higher ATDS values indicated
284 more severe drought conditions. The distribution of ATDS values shows that, in general,
285 northeastern parts of China had more severe drought conditions than southern parts.
286 However, our results also indicate that the humid climate zone in the south also
287 experienced severe drought conditions, though not as much as for northern parts of
288 China (Figure 3).



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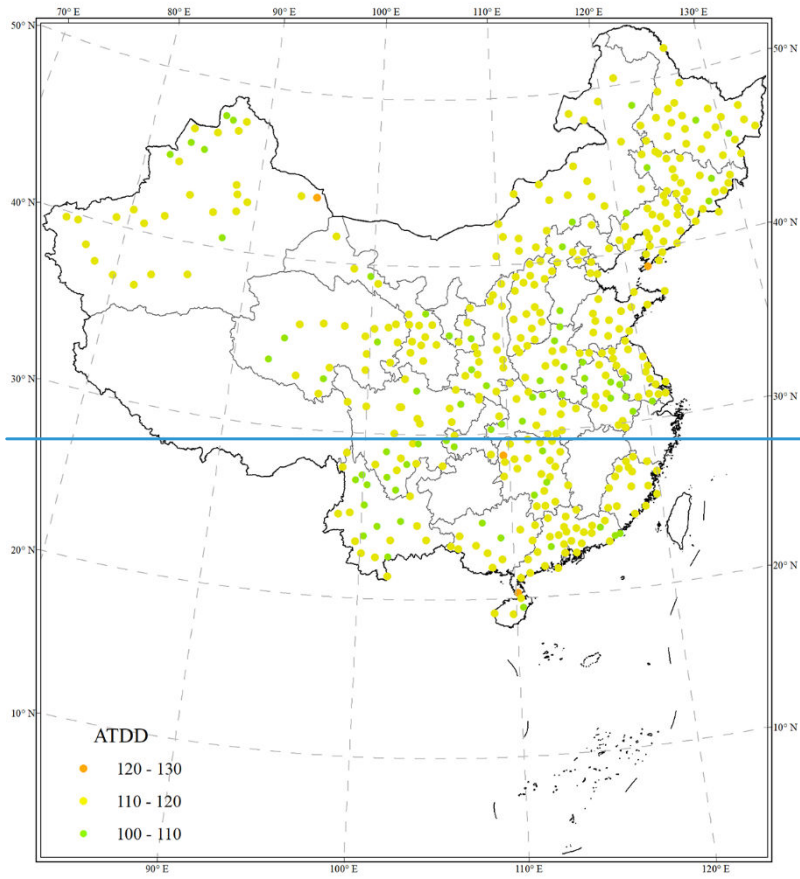


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291 **Figure 3.** The spatial distribution of ATDS across the mainland China.

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293 Figure 4 shows that ATDD values ranged from 100 to 110 days for most stations
294 across the mainland China. This indicates that there was near one-third of a year when
295 most stations were experiencing drought conditions. More stations with ATDD values
296 ranging from 100 to 110 were found compared with stations with ATDD values of 120-
297 130 (Fig. 4). For drought years, the duration days of drought events are expected to be
298 were longer. The ATDD had similar spatial distribution characteristics with the ATDS,
299 indicating that droughts also occurred in the humid climate zone.



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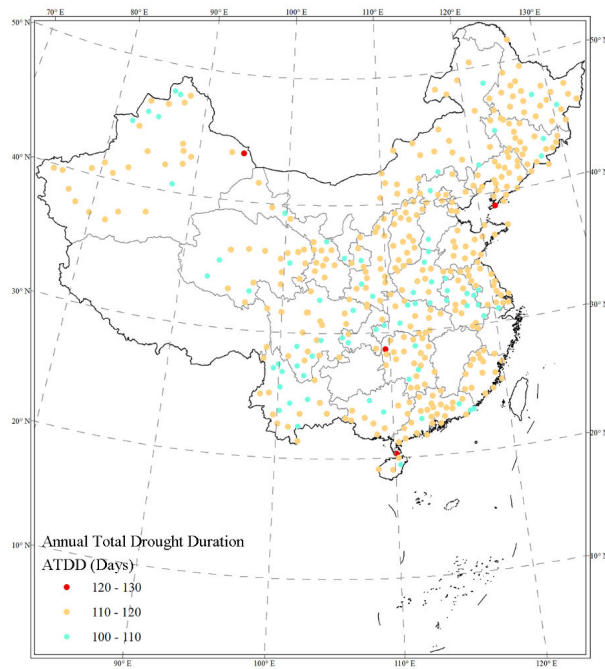


Figure 4. The spatial distribution of ATDD across the mainland China.

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304 Figure 5 shows the spatial distribution of ATDF values across the mainland China.

305 In general, most stations had 4-6 annual drought events. There were fewer stations with

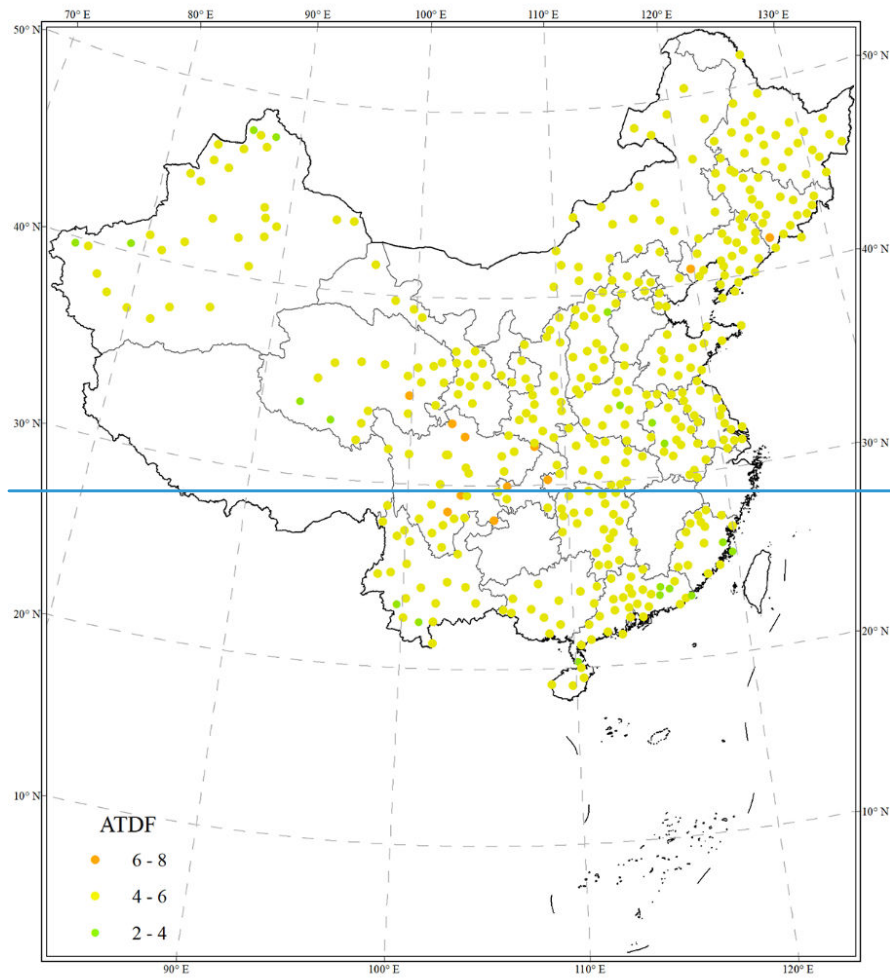
306 6-8 annual drought events compared with stations with 2-4 annual drought events. We

307 also detected that drought events could be occurring in both arid and humid regions

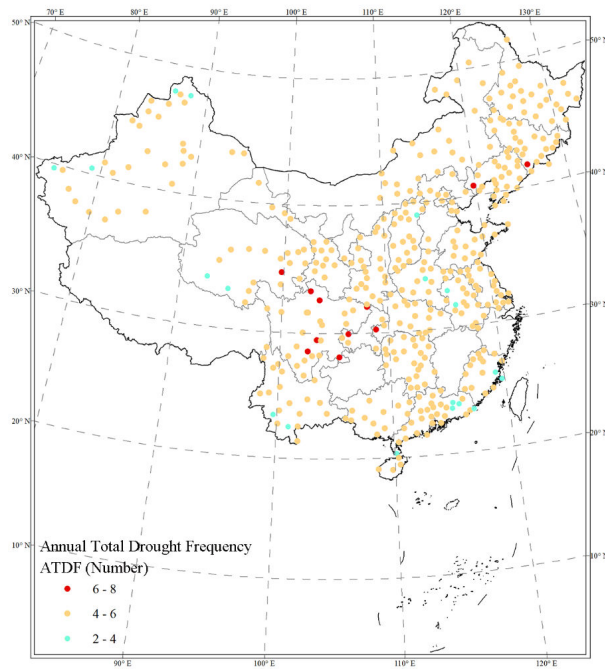
308 based on spatial distributions of ATDF values (Figure 5). Since the ATDF indicated

309 only the annual average drought events, we could expect that for the severer drought

310 years the ATDF would have greater values for different stations.



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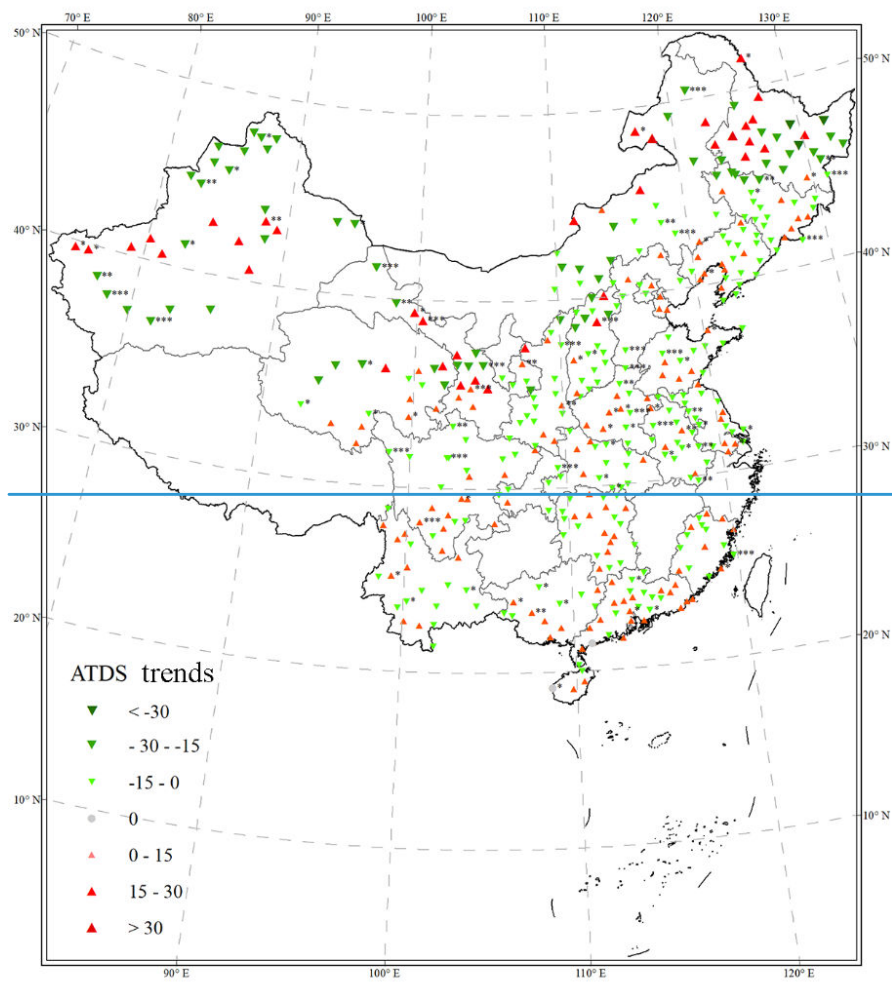
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313 **Figure 5.** The spatial distribution of ATDF across the mainland China.

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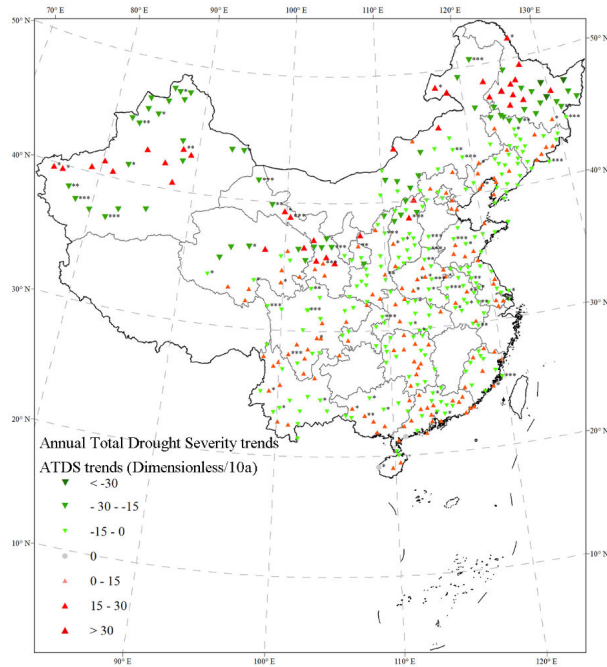
315 **3.2 Trends in Drought Characteristics**

316 The changing trends of ATDS can be used to detect whether drought severity is
 317 weakening or intensifying with time, Figure 6 shows that the spatial distribution of
 318 changing trends of ATDS from 1961 to 2018 across the mainland China. In general,
 319 there were more stations with weakening trends in drought severity than those with
 320 intensifying trends across all stations (Figure 6). It seems that both weakening and
 321 intensifying absolute values were largest in the northeast, northwest, and central China
 322 compared with other parts. However, after scrutiny, we found that drought severity
 323 tended to weaken in the northeast, northwest, and center China with more stations

324 having significant weakening trends by statistical test ($P\text{-value} < 0.05$; Figure 6). For
325 southern China, most stations had no significant trends in either weakening or
326 intensifying of drought severity ($P\text{-value} > 0.05$; Figure 6).



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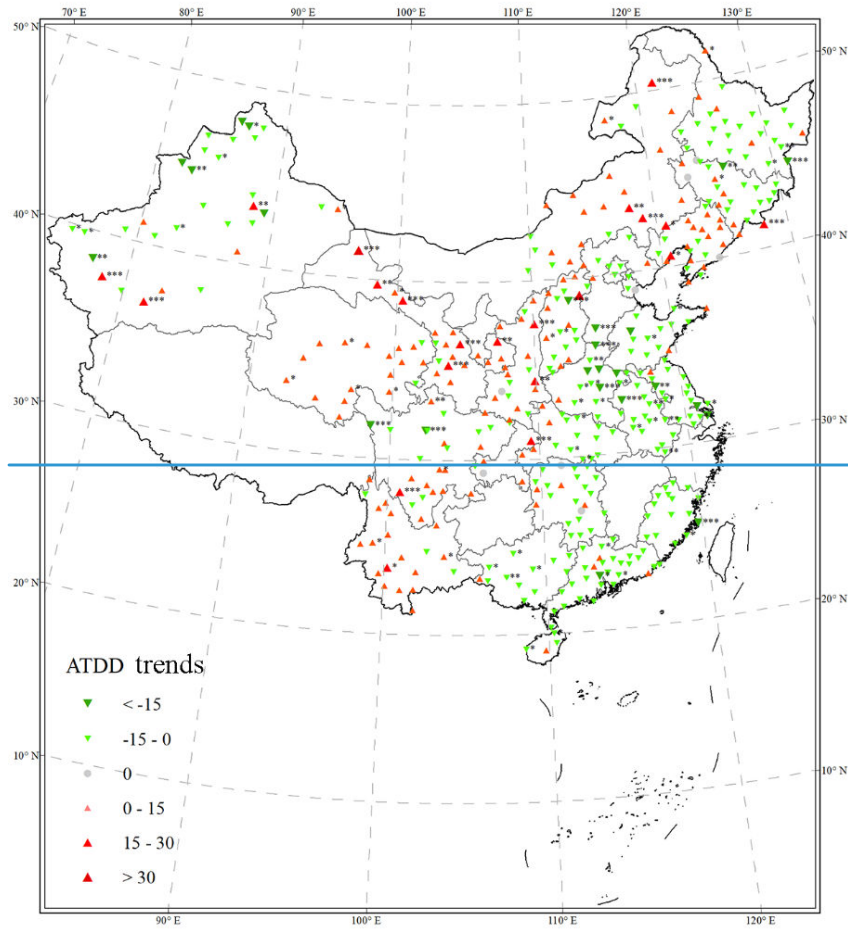
329 **Figure 6.** The spatial distribution of the changing trends of ATDS (the red and green
 330 triangular indicate increasing and decreasing trends, respectively. “***” denotes P-
 331 value < 0.001, “**” denotes P-value < 0.01, and “*” denotes P-value < 0.05).

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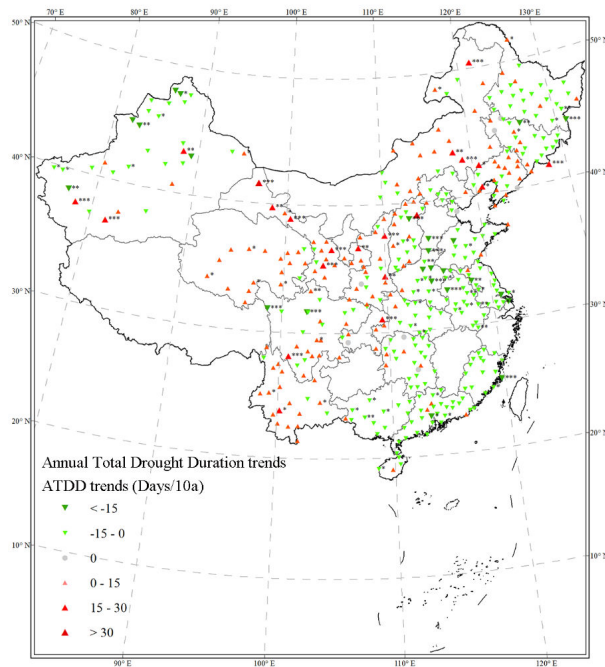
333 The changing trends of ATDD can be used to detect whether drought duration is
 334 getting shorter or longer. Figure 7 shows the spatial distribution of changing trends for
 335 the ATDD across all stations. In general, stations in the southeast demonstrated
 336 downward trends with shortening drought duration, while stations in the northwest had
 337 upward trends for the ATDD with increasing drought duration (Figure 7). Note that the
 338 increasing or decreasing trends for ATDD were significant (P value < 0.05) for stations
 339 across the central China indicating that the central China regions were suffering

340 dramatic changes of drought conditions.

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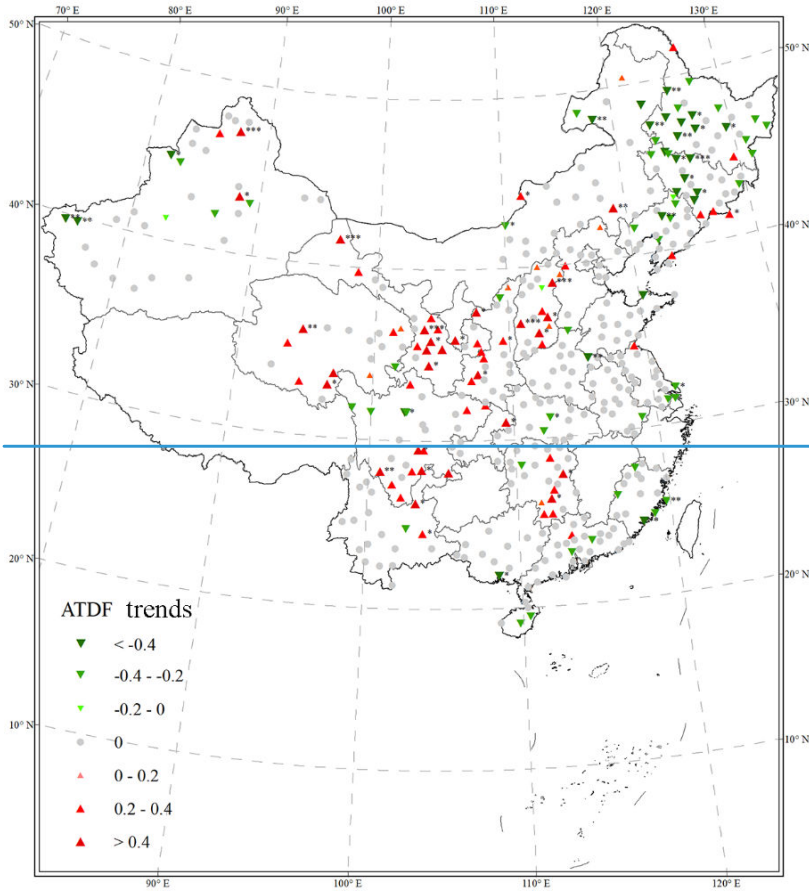
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344 **Figure 7.** The spatial distribution of the changing trends of ATDD (the red and green
 345 triangular indicate increasing and decreasing trends, respectively. “****” denotes P-
 346 value < 0.001, “***” denotes P-value < 0.01, and “**” denotes P-value < 0.05).

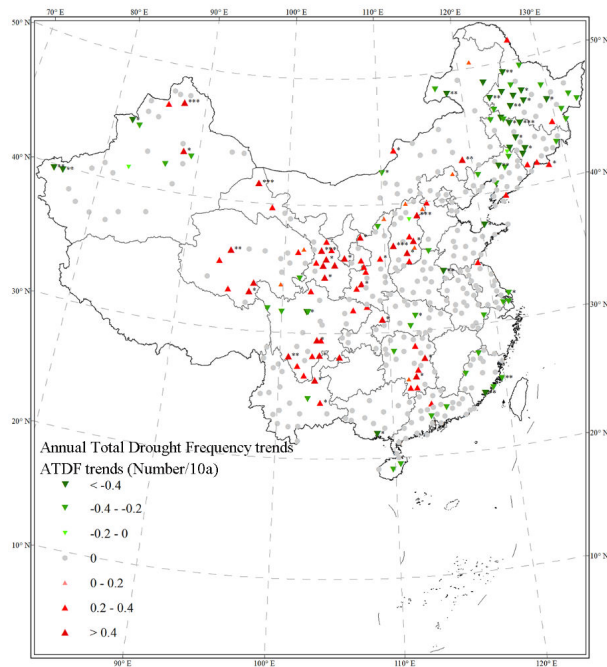
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348 The changing trends of ATDF can be used to detect whether the frequency of
 349 drought events is increasing or decreasing with time. Figure 8 shows the spatial
 350 distribution of changing trends of ATDF across all stations. Most stations demonstrated
 351 no significant trend in the frequency of drought events, except for dozens of stations in
 352 western China having significant upward trends (P-value < 0.05) with increasing
 353 frequency in drought events, and stations in northeastern China demonstrated
 354 significant downward trends (P-value < 0.05) with decreasing frequency of drought

355 events.



356



357

358 **Figure 8.** The spatial distribution of the changing trends of ATDF (the red and green
 359 triangular indicate increasing and decreasing trends, respectively. “***” denotes P-
 360 value < 0.001, “**” denotes P-value < 0.01, and “*” denotes P-value < 0.05).

361

362

363 4. Discussion

364 The reason for selecting [90 days \(3-month\)](#) scale to assess spatial and temporal
 365 characteristics of drought conditions across the mainland China is because the SPEI
 366 with the [90 days \(3-month\)](#) scale can indicate the agricultural drought (or soil
 367 moisture) (Van der Schrier et al., 2011; Wang et al., 2014; Wang et al., 2017), and its

368 results are comparable with the PDSI (Dai et al., 2004; Van der Schrier et al., 2011) and
369 other drought indices including Surface Water Supply Index (SWSI) and Moisture
370 Adequacy Index (MAI) (Doesken and Garen, 1991; McGUIRE and Palmer, 1957) (MAI
371 (Doesken and Garen, 1991; McGUIRE and Palmer, 1957)). The commonly used
372 monthly SPEI have been used to assess drought characteristics and their impacts
373 worldwide from the regional scale to the global scale (Stagge et al., 2015; Vicente-
374 Serrano et al., 2010; Wang et al., 2014). The SPEI with different time scales is relevant
375 for meteorological drought (1-month timescale), agricultural drought (3-6-month
376 timescale about 90-180 days), hydrological drought (12-month timescale about 360
377 days), and socioeconomic drought (24-month timescale about 720 days), respectively
378 (Homdee et al., 2016; Potop et al., 2014; Tirivarombo et al., 2018; Vicente-Serrano et
379 al., 2010).

380 Our new SPEI dataset with multi-time scales were developed and compiled using
381 the daily SPEI algorithm in the previous study (Wang et al., 2015). The daily SPEI has
382 been used in drought monitoringcharacterizing and assessment, and was validated by
383 drought monitoringcharacterizing and assessment (Jevšenak, 2019; Jia et al., 2018;
384 Salvador et al., 2019; Wang et al., 2015; Wang et al., 2017). The global SPEI database
385 with monthly temporal resolution and 0.5 degree spatial resolution is available
386 (<https://spei.csic.es/database.html>). The database covers the period between January 1901
387 and December 2018. Although the database can be used effectively for the
388 meteorological, agricultural, hydrological, and socioeconomic droughts, it cannot
389 identify and detect the flash drought with less than one-month duration. In addition, the

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390 [monthly](#) database can only detect the start month and end month of drought events, and
391 therefore it fails to determine the start and end dates of a drought event, ~~the monthly~~
392 [SPEI](#) (Kassaye et al., 2020; Vicente-Serrano et al., 2010; Wang et al., 2014). Our newly
393 developed daily SPEI can compensate the shortcomings of monthly SPEI in drought
394 ~~monitoringcharacterizing~~ and assessment. In addition, we used the well-received GEV
395 probability distribution for the SPEI calculation for our dataset (Stagge et al., 2015).

396 Although the daily SPEI has better performance in drought
397 ~~monitoringcharacterizing~~ and assessment (~~Jevšenak, 2019; Wang et al., 2017~~)(~~Jevšenak,~~
398 ~~2019; Wang et al., 2017~~), the uncertainty of daily SPEI still needs to be evaluated in
399 future works. Our daily SPEI dataset used the simple Hargreaves model based on
400 temperature and solar radiation to estimate daily potential evapotranspiration
401 (~~Hargreaves and Samani, 1982; Wang et al., 2017~~)(~~Hargreaves and Samani, 1982;~~
402 ~~Wang et al., 2017~~). We will further investigate effects of various evapotranspiration
403 models (such as CRAE model, Penman algorithm, Thornthwait algorithm, Makkink
404 algorithm, and Priestley–Taylor algorithm) on the calculation of SPEI (Makkink, 1957;
405 Morton, 1983; Penman, 1948; Priestley and Taylor, 1972; Thornthwaite, 1944). We
406 only chose SPEI based on the [90 days \(3-month timescale\)](#) as an example to analyze
407 drought characteristics, and the results demonstrated that there was no obvious
408 intensifying trends for drought across the mainland China which is consistent with other
409 studies (Han et al., 2020). Meanwhile, our newly developed daily SPEI will be [validated](#)
410 ~~in other regions of the world; further validated in other regions of the world. In addition,~~
411 [SPEI values at different time scales can be used as a proxy for other type of droughts](#)

412 [but it lacks the complete picture \(no soil moisture condition, streamflow, etc.\) \(Zargar](#)
413 [et al., 2011\).](#)

414 Our long-term daily SPEI dataset has contributed significantly to our understanding
415 of drought evolution, especially flash drought. The dataset can be used to monitor and
416 assess different drought types (meteorological drought, agricultural drought, and
417 hydrological drought) through different timescale data. It also can identify the start and
418 end dates for drought. ~~Our daily SPEI dataset not only have the capability of monitoring~~
419 ~~and assessing droughts, but also can be used to evaluate the impact of droughts on~~
420 ~~ecological system and natural resources.~~The dataset is valuable to meteorological
421 research and natural hazards communities for various purposes such as assessment of
422 extreme climate or drought effect evaluation.

423 **5. Data Availability**

424 All daily SPEI dataset including data and their description at 427 observed
425 meteorological stations, the data is also provided as open access via figshare (Wang et
426 al, [20202020c](#)), available at ~~doi:~~ doi.org/10.6084/m9.figshare.12568280. This depository
427 includes the five files directory of the daily SPEI data with five scales ([30 days about 1](#)
428 [month, 90 days about 3](#) month, [180 days months about 6](#) month, [360 days about 12](#)
429 [month, 720 days about 24](#) month) and station information for 427 meteorological
430 stations.

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433 **6. Summary**

434 In the present study, we have produced a daily SPEI dataset from 1960 to 2018 at
435 427 meteorological stations across the mainland China. Our open-access dataset is an
436 important contribution to drought assessment, and it can overcome the disadvantages
437 of the commonly used monthly SPEI database. Our daily dataset can help monitor and
438 assess the spatial and temporal characteristics of droughts. It can be used to assess the
439 impacts of droughts on ecological system, hydrological processes, and other natural
440 resources. Our multi-time scale daily SPEI dataset can be widely used in studies on
441 meteorological drought (1-month timescale), agricultural drought (3-6-month
442 timescale), hydrological drought (~~12-month~~[360 days](#) timescale), and socioeconomic
443 drought (24-month timescale). The dataset will reduce the time spent on research and
444 avoid the duplication of efforts, which will be highly attractive to meteorological,
445 geographical, natural hazard researchers and searchers from other areas.

446

447 **Author contributions.** QFW led the study, developed the method, and wrote the
448 manuscript with input from all the authors. JYQ and XSZ discussed the results and
449 revised the manuscript. All the authors contributed to the final manuscript. QFW, JYZ,
450 RRZ, XPW, and XZZ collected and analysed data over time, providing statistics and
451 material (graphs and tables) for the paper.

452

453 **Competing interests.** The authors declare that they have no conflict of interest.

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457 Council. The authors sincerely thank James Howard Stage for his help on the codes
458 and calculation of SPEI. Special thanks go to the meteorological data provider from
459 China Meteorological Administration (<http://cdc.cma.gov.cn/>).

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Referee #1:

This is an interesting data description and sharing type of article. The developed daily SPEI dataset will be very useful to monitor and assess meteorological, agricultural, and hydrological droughts through different time scales. In addition, this unique daily dataset can help determine the flash droughts and the start and end date of the drought event. The manuscript is well written in general, and it is easy to follow. In this case, I just made some minor edits in the attached document. Please also note the supplement to this comment: <https://essd.copernicus.org/preprints/essd-2020-172/essd-2020-172-RC1-supplement.pdf>

Response: thank you for your suggestion and positive comments, we have revised our manuscript in updated version according to your suggestion.

Referee #2:

This manuscript demonstrated an interesting large spatial and temporal scale study by introducing the SPEI index and generating a daily SPEI dataset to assess and monitor the drought condition in Mainland China. This is one of the pioneering studies as far as I know that generated the long-term daily SPEI index at a national scale to monitor and assess drought conditions. The daily dataset can be broadly applied in many areas such as identify the flash drought and the long drought, evaluate the impact of drought on terrestrial/aquatic ecosystems, water resources, agricultural production, and social development. Therefore, my recommendation to this manuscript is to accept with minor revision. Please see below for detailed comments:

Response: thank you for your suggestion and positive comments, we have updated our manuscript.

(1) Title: 1. please consider change “over the Mainland China” to “across Mainland China”.

Response: thank you, we have updated our manuscript according to your suggestion.

(2) Highlights: 1. “The SPEI has been widely used to monitor and assess the drought characteristics” is considered as common knowledge. It is recommended to remove this from highlights. 2. “The daily

SPEI dataset can identify...” to “The daily SPEI dataset can be used to identify: : :” 3. “The daily SPEI dataset developed is free, open and persistent publicly available from this study” to “The daily SPEI dataset developed in this study is free, open and publicly available” Abstract: Line 46: “...can monitor...” please consider using “can be used to...”. Please consider making corrections accordingly for the rest of the manuscript. Line 63: do you mean “spatial-temporal scale”?

Response: thank you, we have revised them according to your suggestion. And spatial-temporal and scale are two keywords, we revised scale into multi-scale.

(3) Introduction: 1. It is suggested to introduce the calculation methods of PET and SPEI and the application of these indices. 2. Line 68: please consider change “on the ecological system” to “to the ecosystems: : :”. 3. Line 113: please consider change “delineate drought spatial-temporal evolution” to “delineate the spatial-temporal evolution of drought: : :” 4. Line 120L please consider change “leading to” to “could lead to”; “influences” to “consequences”. 5. Line 140: please consider change “has the potential to be used...” to “potentially can be used to...” 6. Line 141: please specify “different sectors”.

Response: thank you, we have revised them in updated version according to your suggestion.

(4) Data sources and methods: 1. Line 144: do you mean “1961”? 2. Line 148: “we selected 427 stations data”, please rephrase the sentence. 3. Line 208-209: please rephrase the sentence. 4. Figure. 3, 4, 5, it is suggested to use high contrasting colors for different ATDS/ATDD/ATDF types.

Response: thank you, we to calculate 1961-2018 SPEI by using the 1960 -2018 data, and we have revised according to your suggestion.

(5) Discussion: 1. Line 363: do you mean the approach/method or the dataset itself can be validated in other regions of the world?

Response: yes, you are right. Because our approach for daily SPEI is developed from monthly SPEI, it has the same principle with monthly SPEI, for drought event with long time, both monthly and daily SPEI can get the same results over the same period. There are 1254 publication in research on SPEI in recent 5 years, SPEI are widely used to characterize drought events and investigate impact of drought impact. In addition, drought is chronic natural hazard, there are no daily record events for validating daily SPEI.

(6) 2. Delete “Our daily SPEI dataset not only have the 369 capability of monitoring and assessing droughts” as you described this in the previous sentence.

Response: thank you, we have revised them in updated version according to your suggestion.

Referee #3:

“ A Multi-Scale Daily SPEI Dataset for Drought Monitoring at Observation Stations over the Mainland China from 1961 to 2018” the authors not only produce an daily drought index dataset”, but also analyze spatial-temporal characteristics over mainland China based on the observation data. I think it is a novel study and the datasets are useful. The study improved our understanding on drought chrematistics from 1961 to 2018, and the dataset can used to investigate the relationship between drought index and ecological issues(such as vegetation, land surface water, crop growth, crop yield, hy-drological variables and so on). In summary, this manuscript benefited the readers a lot. I just have several minor suggestions for your consideration before publication.

Response: thank you for your suggestion and positive comments.

(1) Line 115, I suggest that authors should add the fields of application for SPEI, it will increase the much more attention from the inter-discipline scientists.

Response: thank you, we have added them in last paragraph of the introduction section version according to your suggestion.

(2) Line 140, “to be sued to” should be revised into “to be used to”.

Response: thank you, we have revised them in updated version according to your suggestion.

(3) Line 170. “fora” should be revised into “formula”.

Response: thank you, we have revised them in updated version according to your suggestion.

(4) Line 214, “too” should be revised into “to”.

Response: thank you, we have revised them in updated version according to your suggestion.

(5) Line 219, “duration of a drought event..” should be revised into “duration of a drought event.”

Response: thank you, we have revised them in updated version according to your suggestion.

(5) Line 237 to 238, Please the authors add the explanation the reason for choosing the trend test method (Mann–Kendall test).

Response: thank you, we have added the explanation in updated version according to your suggestion.

(6) Author should add the citation for ‘Categorization of drought and wet grade according to the SPEI’ in the table 1.

Response: thank you, we have revised them in updated version according to your suggestion.

Referee #4:

This dataset presents the computation of the Standardized Precipitation Evapotranspiration Index (SPEI) over Mainland China using an ensemble of 427 meteorological stations from 1961 to 2018. The SPEI values are computed over different time scales (1-, 3-, 6-, 12-, and 24-month). The dataset is available via ftp. It consists in one csv file for each station (427) and each time scale (5). That is a total of 2135 files (in addition to station lookup table and readme files).

Response: thank you, we have re-organized the csv for sharing SPEI dataset in updated version according to your suggestion.

The paper is comprehensive and presents examples of metrics that can be derived from the SPEI values computed such as the Annual Total Drought Severity (ATDS), the Annual Total Drought Duration (ATDD), and the Annual Total Drought Frequency. (ATDF). This could be a useful dataset for further analyzing droughts over Mainland China.

Response: thank you for your suggestion and positive comments.

General comments

[1] The different types of droughts: SPEI is by essence a metric for meteorological drought. It represents a deficit in precipitation. This deficit in precipitation, doesn't necessarily translate into agricultural, hydrological, and socio-economical droughts. SPEI (and SPI) values at different time scales can be used as a proxy for other type of droughts but it lacks the complete picture (no soil moisture condition, streamflow, etc.). This point should be emphasized in the manuscript. I suggest to refer to Zargar et al. (2011) for a review and extensive description of the different indices and types of droughts. Ref: Zargar, A., R. Sadiq, B. Naser, and F. I. Khan. A review of drought indices, Environ. Rev. 19: 333–349 (2011).

Response: thank you for good suggestion, we have added them in discussion section according to your suggestion.

[2] The different time scales: This is a daily product, but the time scales are defined in months. I would suggest to replace the different time scales 1-, 3-, 6-, 12-, and 24-month by 30-, 90-, 180-, 360-, and 720-day to reflect that this is a daily product.

Response: thank you for good suggestion, we have replaced them in our updated manuscript

according to your suggestion.

[3] Drought monitoring: The dataset covers the years 1961 to 2018. Monitoring droughts would imply that the dataset is constantly updated (daily in this case). It is not clear if there is a plan to routinely update the dataset (and how often the product will be updated). If this is intended to be a static product, I believe that "characterizing droughts" would be more appropriate than "monitoring droughts". Could the authors explain the process in maintaining the dataset up to date, and if there is such a plan ?

Response: good suggestion, we have replace it for the whole manuscript. we plan to update the dataset every three year.

[4] Possible dataset applications: The SPEI dataset is presented only at the station level (427 stations). The station distribution is very uneven throughout the country. Therefore, its application is limited to the direct vicinity of each station. The dataset doesn't provide the global coverage that could be provided by a satellite for instance. In addition, the scientific community tends to use gridded products for characterization and monitoring. Are there any future plans to propose a gridded product ?

Response: yes, this manuscript focus on the station level due to data limitation, we plan to produce the grid SPEI dataset at the large scale in the future months.

[5] Link to the dataset: The link to the dataset (P24, L376) needs to be corrected. Please change the name of the datafile from "muliti-scale" to "multi-scale"

Response: thank you, we have revised it for the link to the dataset.

[6] Handling the dataset: There is a total of 2135 csv files which could become delicate to handle. I believe that one csv file by station that would include all the time scale would be easier to manipulate.

Response: thank you, we have added dataset csv file including all the time scale to share. for investigating multi-type drought

[7] Proofreading: There are a few mistakes throughout the text. Please correct typos and errors (see examples below). Specific comments:

[1] There are typos at several locations in the manuscript (examples: P7, L140: we read "sued" instead of "used"; P13, L244: we read "severer" instead of "more severe"; P32, L150: we read "muliti" instead of "multi"). I suggest the authors to proofread the manuscript thoroughly before publication.

Response: thank you, we have revised them in updated version according to your suggestion.

[2] P32, L349 : "In addition, we used the well-received GEV probability distribution for the SPEI". Not sure what you mean by "well received". Please explain.

Response: thank you, we have added explanation in updated version according to your suggestion.

[3] Figures 3, 4, 5, 6, and 7 : Add units on figures, and possibly the definition of the terms ATDS, ATDD, and ATDF in the legends.

Response: thank you, we have re-drawn the figures in updated version according to your suggestion.

ESSD production chief editor:

1) There is no further info on the stations, despite coordinates (which are rather coarse) and id.

Response: thank you, we have added the further info including station name, province and elevation for each station.

2) All data have a daily record, yet derived from different temporal averages, according to the authors, suitable for different purposes. Could it be helpful to document this at the repository site as well?

Response: thank you, to meet need in investigating single drought type and several drought type, we have added dataset csv file including all the time scale to share.

3) Much of the paper deals with the 3 month average, yet the dataset covers other scales as well, which have yet to be validated it seems (lines 362-363).

Response: yes, you are right. Because our approach for daily SPEI is developed from monthly SPEI, it has the same principle with monthly SPEI, for drought event with long time, both monthly and daily SPEI can get the same results over the same period. There are 1254 publication in research on SPEI in recent 5 years, SPEI are widely used to characterize drought events and investigate impact of drought impact. In addition, drought is chronic natural hazard, there are no daily record events for validating daily SPEI.