

# SoilKsatDB: global database of soil saturated hydraulic conductivity measurements for geoscience applications

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**Abstract.** The saturated soil hydraulic conductivity (Ksat) is a key parameter in many hydrological and climate models. Ksat values are primarily determined from soil basic properties and may vary over several orders of magnitude. Despite the availability of Ksat datasets in the literature, significant efforts are required to combine the data before it can be used for specific applications. In this work, a total of 13,267 Ksat measurements from 1,910 sites were assembled from the published literature and other sources, standardized (i.e., units made identical), and quality-checked in order to obtain a global database of soil saturated hydraulic conductivity (SoilKsatDB). The SoilKsatDB covers most regions across the globe, with the highest number of Ksat measurements from North America, followed by Europe, Asia, South America, Africa, and Australia. In addition to Ksat, other soil variables such as soil texture (11,591 measurements), bulk density (11,269 measurements), soil organic carbon (9,787 measurements), moisture content at field capacity (7,389) and wilting point (7,418) are also included in the dataset. To show an application of SoilKsatDB, we derived Ksat pedotransfer functions (PTFs) for temperate regions and laboratory-based soil properties (sand and clay content, bulk density). Accurate models can be fitted using a Random Forest machine learning algorithm (best concordance correlation coefficient (CCC) = 0.70 and CCC = 0.73 for measurements from temperate areas and all laboratory measurements, respectively). However, when these Ksat PTFs are applied to soil samples obtained from tropical climates and field measurements, respectively, the model performance is significantly lower (CCC = 0.52 for tropical and CCC = 0.10 for field samples). These results indicate that there are significant differences between Ksat data collected in temperate and tropical regions and Ksat measured in the lab or the field. The SoilKsatDB dataset is available at <https://doi.org/10.5281/zenodo.3752721> (Gupta et al., 2020) and the code used to extract the data from the literature, for the quality control and the applied random forest machine learning approach are publicly available under an open data license.

## 1 Introduction

The soil saturated hydraulic conductivity (Ksat) describes the rate of water movement through water saturated soils and is defined as the ratio between water flux and hydraulic gradient (Amoozegar and Warrick, 1986). It is a key variable in a number

of hydrological, geomorphological, and climatological applications, such as rainfall partitioning into infiltration and runoff (Vereecken et al., 2010), optimal irrigation design (Hu et al., 2015), as well as the prediction of natural hazards including catastrophic floods and landslides (Batjes, 1996; Gliński et al., 2000; Zhang et al., 2018). Accurate measurements of Ksat in the laboratory and field are laborious and time consuming and are often scale dependent (Youngs, 1991). Using infiltrometer measurements in the field enables the measurement of Ksat also in forest and other types of structured soils; however, so far Ksat values have been measured mainly for agricultural soils (Romano and Palladino, 2002).

Efforts to produce reliable and spatially refined datasets of hydraulic properties date back to the 1970's with the proliferation of distributed hydrologic and climatic modeling. These early notable works also provided basic databases (some of which are used in this study) for Australia (McKenzie et al., 2008; Forrest et al., 1985), Belgium (Vereecken et al., 2017; Cornelis et al., 2001), Brazil (Tomasella et al., 2000, 2003; Ottoni et al., 2018), France (Bruand et al., 2004), Germany (Horn et al., 1991; Krahmer et al., 1995), Hungary (Nemes, 2002), the Netherlands (Wösten et al., 2001), Poland (Gliński et al., 1991), and USA (Rawls et al., 1982). Nemes (2011) discussed the available datasets on Ksat and other hydro-physical properties in detail. Collaborative efforts have resulted in the compilation of multiple databases, including the Unsaturated Soil Hydraulic Database (UNSODA) (Nemes et al., 2001), the Grenoble Catalogue of Soils (GRIZZLY) (Haverkamp et al., 1998), and the Mualem catalogue (Mualem, 1976). These databases however, focused on soil types and not on the spatial context of Ksat mapping. In an effort to provide spatial context, Jarvis et al. (2013), and Rahmati et al. (2018) published global databases for soil hydraulic and soil physical properties. Likewise, the European soil data center also started projects such as SPADE (Hiederer et al., 2006) and HYPRES (Wösten et al., 2000), for generating spatially referenced soil databases for several countries. Since HYPRES only includes western European countries, Weynants et al. (2013) gathered data from 18 countries and developed the European Hydropedological Data Inventory (EU-HYDI) database. This dataset is, however, not publicly available and was not included in this compilation. The datasets mentioned above cover almost all climatic zones except tropical regions, where Ksat values can be significantly different due to the strong local weathering processes and different clay mineralogy (Hodnett and Tomasella, 2002). Recently, Ottoni et al. (2018) published a dataset named HYBRAS (Hydrophysical Database for Brazilian Soils) improving the coverage of South American tropical regions. In addition, Rahmati et al. (2018) recently published the Soil Water Infiltration Global database (SWIG) with information on Ksat for the whole globe. In SWIG database, some Ksat values were extracted from the literature and other Ksat values were deduced from infiltration time series. In contrast to lab measurements that determine Ksat as the ratio of flux density to gradient, infiltration-based methods determine Ksat by fitting infiltration dynamics to parametric models of the infiltration process (for a review on analytical models characterizing the infiltration process see Kutilek et al. (1988); Youngs (1991); Vereecken et al. (2019))

The increasing demand for highly resolved descriptions of surface processes requires commensurate advances in representation of Ksat in modern Earth System Model (ESM) applications. Several existing Ksat datasets miss either coordinates or these are provided with an unknown accuracy thus limiting their applications for spatial modeling. For example, the SWIG dataset misses information on soil depth and assigns entire watersheds to a single coordinate. Similarly, the UNSODA dataset does not provide coordinates and soil texture information for all samples. For a few locations, HYBRAS uses a different coor-

dinate system. Taken together, these limitations imply that, to prepare spatially referenced global Ksat datasets for large scale applications, it requires serious effort to compile, standardize and quality check all (publicly available) literature.

The objective of the work here is to provide a new global standardized Ksat database (SoilKsatDB) that can be used for geoscience applications. To do so, a total of 13,267 Ksat measurements were compiled, standardized, and cross-checked to produce a harmonized compilation which is analysis-ready (i.e., it can directly be used to test various Machine Learning algorithms for spatial analysis). We compiled data from existing datasets and, to improve the spatial coverage in regions with sparse data, we conducted a literature search to include Ksat measurements in geographic areas that were not yet included in other existing databases. In the manuscript, we first describe the data compilation process and then describe methodological steps used to spatially reference, filter, and standardize the existing datasets. As an illustrative application of the dataset, we derive pedotransfer functions (PTFs) for different regions and measurement methods and discuss their transferability to other regions/measurement methodologies. We fully document all importing, standardization and binding steps using the R environment for statistical computing (R Core Team, 2013), so that we can collect feedback from other researchers and increase the speed of further updates and improvements. The newly created data set (SoilKsatDB) can be accessed via <https://doi.org/10.5281/zenodo.3752721>.

## 2 Methods and materials

### 2.1 Data sources

To locate and obtain all compatible datasets, a literature search was conducted using different search engines, including Science Direct (<https://www.sciencedirect.com/>), Google Scholar (<https://scholar.google.com/>) and Scopus (<https://www.scopus.com>). We searched soil hydraulic conductivity datasets using keywords like “*saturated hydraulic conductivity database*”, “*Ksat*”, and “*hydraulic conductivity curves*”. The collected datasets are listed in Table 1 together with the number of Ksat observations for each study. They can be classified into three main categories, namely: i) existing datasets (in form of tables) published and archived with a DOI in peer-reviewed publications, ii) legacy datasets in paper/document format (e.g., legacy reports, PhD theses, and scientific studies) and iii) on-line materials.

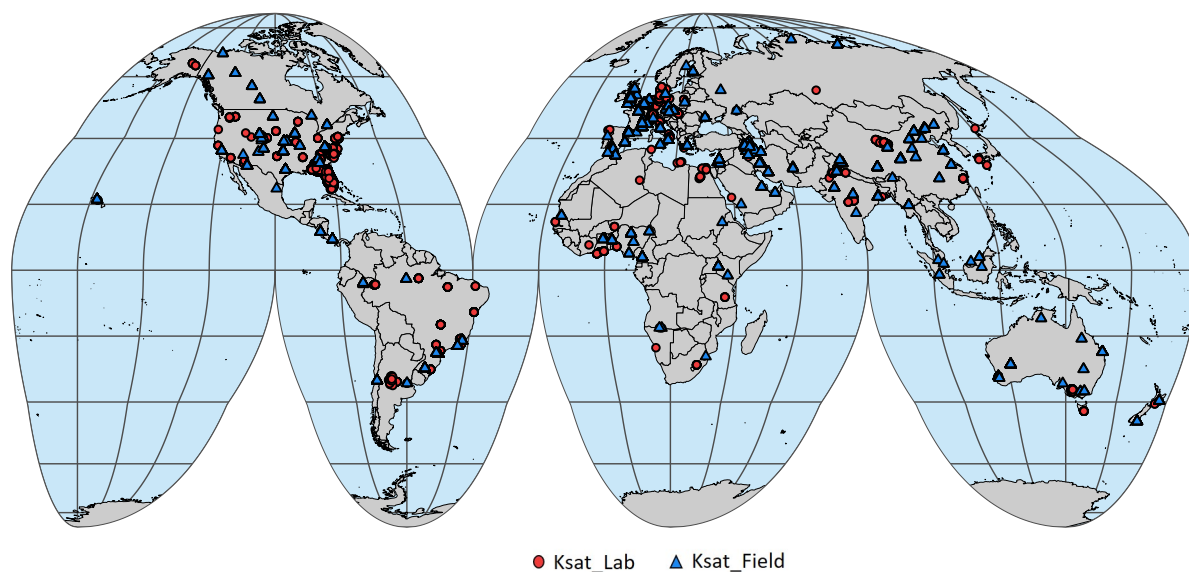
Existing datasets include published datasets such as HYBRAS (Ottoni et al., 2018), UNSODA (Nemes et al., 2001), SWIG (Rahmati et al., 2018), and the soil hydraulic properties over the Tibetan Plateau (Zhao et al., 2018), from which we extracted the required information as described in Table 2a. The major challenge with making the existing datasets compatible for binding (standardization, removing redundancy), was to obtain the locations for a particular sample as well as the corresponding measurement depths. For instance, the UNSODA database does not provide information on the geographical locations. To fill the gaps and make the data suitable also for spatial analysis, we used Google Earth to find the coordinates based on the given location (generally an address or a location name). Moreover, all datasets were cross-checked to avoid redundancy. For example, the UNSODA data includes the data of Vereecken et al. (2017) and Richard and Lüscher (1983/87) and the SWIG database includes the measurements of Zhao et al. (2018). Hence we removed these from UNSODA and SWIG database and used the original sources.

**Table 1.** List of articles and digitized Ksat datasets, and number of points (N) per data set used to generate the SoilKsatDB.

Reference	<i>N</i>	Reference	<i>N</i>	Reference	<i>N</i>
Rycroft et al. (1975)	1	Abagandura et al. (2017)	3	Jabro (1992)	18
Waddington and Roulet (1997)	1	Habel (2013)	3	Greenwood and Buttle (2014)	18
Takahashi (1997)	1	Nyman et al. (2011)	3	Wang et al. (2008)	19
Katimon and Hassan (1997)	1	Bhattacharyya et al. (2006)	4	Deshmukh et al. (2014)	19
El-Shafei et al. (1994)	1	Lopes et al. (2020)	4	Price et al. (2010)	20
Lopez et al. (2015)	1	Yasin and Yulnafatmawita (2018)	4	Bonsu and Masopeh (1996)	24
Kramarenko et al. (2019)	1	Daniel et al. (2017)	6	Bambra (2016)	24
Zakaria (1992)	1	Anapalli et al. (2005)	7	Verburg et al. (2001)	26
Ramli (1999)	1	Arend (1941)	7	Southard and Buol (1988)	27
Singh et al. (2011)	1	Helbig et al. (2013)	7	Chang (2010)	30
Campbell et al. (1977)	1	Gwenzi et al. (2011)	7	Yao et al. (2013)	33
Chief et al. (2008)	1	Päivänen et al. (1973)	9	Becker et al. (2018)	34
Conedera et al. (2003)	1	Mahapatra and Jha (2019)	9	Baird et al. (2017)	50
Ebel et al. (2012)	1	Amer et al. (2009)	9	Keisling (1974)	56
Ferreira et al. (2005)	1	Radcliffe et al. (1990)	10	Rahimy (2011)	56
Imeson et al. (1992)	1	Vogeler et al. (2019)	10	Hao et al. (2019)	57
Johansen et al. (2001)	1	Singh et al. (2006)	10	Kanemasu (1994)	60
Lamara and Derriche (2008)	1	Kelly et al. (2014)	10	Tete-Mensah (1993)	60
Parks and Cundy (1989)	1	Elnaggar (2017)	11	Zhao et al. (2018)	65
Ravi et al. (2017)	1	Ganiyu et al. (2018)	12	Hinton (2016)	77
Smettem and Ross (1992)	1	Cisneros et al. (1999)	12	Vieira and Fernandes (2004)	86
Helbig et al. (2013)	2	Niemeyer et al. (2014)	12	Houghton (2011)	88
Boike et al. (1998)	2	Sharratt (1990)	14	Tian et al. (2017)	91
Andrade (1971)	2	Habecker et al. (1990)	14	Li et al. (2017)	118
Beyer et al. (2015)	2	Nielsen et al. (1973)	14	Forrest et al. (1985)	118
Blake et al. (2010)	2	Robbins (1977)	15	Richard and Lüscher (1983/87)	121
Bonell and Williams (1986)	2	Sonneveld et al. (2005)	15	Sanzeni et al. (2013)	127
Kutiél et al. (1995)	2	Quinton et al. (2008)	16	Vereecken et al. (2017)	145
Martin and Moody (2001)	2	Simmons (2014)	16	Coelho (1974)	176
Mott et al. (1979)	2	Ouattara (1977)	17	Kool et al. (1986)	240
Rab (1996)	2	Hardie et al. (2011)	17	Nemes et al. (2001)	283
Soracco et al. (2010)	2	Baird (1997)	17	Ottoni et al. (2018)	326
Varela et al. (2015)	2	Kirby et al. (2001)	17	Rahmati et al. (2018)	3637
Sayok et al. (2007)	3	Yoon (2009)	17	Grunwald (2020)	6532

In the case of legacy datasets (non-digital tabular format, non-peer-reviewed data), we invested a significant effort to digitize, clean and cross-check the data to extract Ksat values. Two datasets were also collected directly from project websites such as the NASA project based on hydraulic and thermal conductivity (retrieved from [https://daac.ornl.gov/FIFE/guides/Soil\\_Hydraulic\\_Conductivity\\_Data.html](https://daac.ornl.gov/FIFE/guides/Soil_Hydraulic_Conductivity_Data.html) and described in Kanemasu (1994)) and the Florida database from Grunwald (2020).

- 5 There are many biomes and climatic regions, such as desert dunes, peatlands and frozen soils, for which very few data of Ksat were publicly available. We have intensively searched for additional data for these areas and found several smaller studies (that each contains less than 5 Ksat measurements) to cover these regions. We thus digitized Ksat values from these studies (shown either in bar charts or line plots), georeferenced the maps where necessary, and then converted the data into tabular form. In some cases, we also contacted colleagues that worked in these regions to ask for data.



**Figure 1.** Spatial distribution of Ksat measurements (red and blue for laboratory and field measurements, respectively) in the SoilKsatDB. A total of 1,910 locations are on this map.

## 10 2.2 Georeferencing Ksat values and completeness assessment

Georeferencing of Ksat measurements is important for using the data for local, regional or global hydrological and land surface models. Although many studies provided information on the geographical location of the measurements, the studies conducted in the 70's and 80's only provided the name of the locations and approximate distance from the reference location. Therefore, we extracted the latitude and longitude of the location using Google maps for some datasets (which did not provide the spatial locations). To describe position accuracy of each dataset, we assigned each Ksat value to one of seven 'accuracy classes' ranging from highest (0–100 m) to lowest accuracy (more than 10000 m or non available information (NA)). For example, Forrest et al. (1985), Zhao et al. (2018) and Ottoni et al. (2018) provided exact coordinates of the locations, thus we assigned a

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location accuracy of 0–100 m (i.e., highly accurate; see Table 3 for more details). For other references, we digitized provided maps or sketches with locations of the points. We first georeferenced these maps using ESRI ArcGIS software (v10.3) and then digitized the coordinates from georeferenced images. Some of the documents we digitized (e.g. Nemes et al. (2001)) provided the names specific locations, and hence we used Google Earth to obtain the coordinates. We estimate that the spatial location accuracy of these points is roughly between 0 to 5 km. Similarly, spatial maps in jpg format (e.g. Becker et al. (2018)) were geo-referenced with 100–500 m location accuracy. In contrast, few studies (e.g. Yoon (2009)) provided the exact location of the sampling with assumed location accuracy of 10–20 m. In some datasets, the coordinates were missing or reported in a diverse coordinate systems. For example, in the HYBRAS database, the locations are given in UTM. In the SWIG database, the information related to location (coordinates for each point), soil depth and measurement method (laboratory or field) was missing, so we went through each publication referenced in Rahmati et al. (2018) (except the unpublished literature) and added coordinates and applied the necessary conversions.

### 2.3 Standardization

The database was cleaned to remove about 700 unrealistic low Ksat values (less than  $10^{-14}$  m/day deduced from infiltration time series in SWIG database). Moreover, in the SWIG database, soil depth information was not available, so we assumed that infiltration experiments were conducted in the topsoil and assigned a depth of 0–20 cm. Furthermore, we separated the UNSODA data based on laboratory and field measurements and computed sand, silt and clay contents 0–2  $\mu\text{m}$  (clay), 2–50  $\mu\text{m}$  (silt), and  $>50$   $\mu\text{m}$  (sand) based on the available particle-size data, assuming a log-normal distribution, as described in Nemes et al. (2001).

After data extraction from literature, geo-referencing and standardization (conversion of all to the same units), all information was collected in tabulated form in the new database SoilKsatDB (<https://doi.org/10.5281/zenodo.3752721>). The database consists of 23 columns (various sample properties) and 13,268 rows (a header and 13,267 samples). An excerpt of the database with all 23 columns is shown in Table 2b.

### 2.4 Statistical modeling of Ksat

To show a possible application of the database, we computed various pedotransfer functions (PTFs). The PTF models were fitted using a random forest (RF) machine learning algorithm (Breiman, 2001) in the R environment for statistical computing (R Core Team, 2013). We tested fitting the RF model for log-transformed ( $\log_{10}$ ) Ksat values as a function of primary soil properties. In this application, PTFs for Ksat were built on bulk density and sand and clay content. Organic carbon (OC) was not used to build the PTFs because (i) this information was missing for 15% of measurements and (ii) the correlation between OC and Ksat was poor (i.e. 0.005, Pearson's correlation coefficient). The observed correlation between these primary soil properties and Ksat motivates their use as key variables for the estimation of PTFs. We derived two PTFs for Ksat:

1. *PTFs for temperate regions*: the map of Ksat locations were overlaid on the Köppen-Geiger climate zone map (Rubel and Kottek, 2010; Hamel et al., 2017) and then divided based on climatic regions (temperate, tropical, boreal, and arid) to

**Table 2a.** Description and units of some key variables listed in the database. The complete list can be found in the readme-file in link to the database (<https://doi.org/10.5281/zenodo.3752721>). We used the same codes in the National Cooperative Soil Survey (NCSS) Soil Characterization Database (National Cooperative Soil Survey, 2016).

Headers	Description	Units
ID	Unique ID	—
site_key	Data set identifier	—
longitude_decimal_degrees	Ranges up to +180 degrees down to -180 degrees	Decimal degree
latitude_decimal_degrees	Ranges up to +90 degrees down to -90 degrees	Decimal degree
location_accuracy_min	Minimum value of location accuracy	m
location_accuracy_max	Maximum value of location accuracy	m
hzn_top	Top of soil sample	cm
hzn_bot	Bottom of soil sample	cm
hzn_desgn	Designation of soil horizon	—
db	Bulk density	$\text{g cm}^{-3}$
w3cld	Soil water content at 33 kPa (field capacity)	vol %
w15l2	Soil water content at 1500 kPa (wilting point)	vol %
tex_psa	Soil texture classes based on USDA	—
clay_tot_psa	Mass of soil particles, < 0.002 mm	%
silt_tot_psa	Mass of soil particles, > 0.002 and < 0.05 mm	%
sand_tot_psa	Mass of soil particle, > 0.05 and < 2 mm	%
oc_v	Soil organic carbon content	%
ph_h2o_v	Soil acidity	—
Ksat_lab	Soil saturated hydraulic conductivity from lab	$\text{cm day}^{-1}$
Ksat_field	Soil saturated hydraulic conductivity from field	$\text{cm day}^{-1}$
source_db	Source of the data	—
location_id	Combination of latitude and longitude	—
hzn_depth	Mean depth of soil horizon	—

account for differences in climate and related weathering processes (Hodnett and Tomasella, 2002). A total of 8,296 Ksat values for measurements in temperate-climate that contain information on sand, clay, and bulk density were available, were used to develop a PTF. The data set was randomly divided into a training (6,637 samples, 80%) and testing dataset (1,659 samples, 20%). PTFs for temperate regions include all depths of Ksat measurements (40% Ksat values from top soil 0-20 cm). In a validation step, we applied the PTF determined for temperate regions to tropical regions. Compared to temperate regions, the tropics are affected by different soil formation processes resulting in different clay mineral types. With such validation, we intend to discuss the transferability of PTFs across different regions.

**Table 2b.** Example of Ksat database structure with key variables (from left to right: reference, longitude and latitude (decimal degree), minimum and maximum accuracy (m), top and bottom of soil sample (cm), horizon designation, bulk density ( $\text{g cm}^{-3}$ ), soil textural class, clay, silt and sand content (%), organic carbon content (%), soil acidity, saturated hydraulic conductivity measured in lab or field ( $\text{cm day}^{-1}$ )), source of the data, location id and mean soil depth. NA is ‘no value’. Column names are explained in Table 2a.

ID	site_key	longitude_ decimal_ degrees	latitude_ decimal_ degrees	location_ accuracy_ min	location_ accuracy_ max	hzn_ top	hzn_ bot	hzn_ desgn	db	w3cld	w1512	tex_ psda	clay_ tot_ psa	silt_ tot_ psa	sand_ tot_ psa	oc_ v	ph_ h20_ v	ksat_ lab	ksat_ field	source_ db	location_id	hzn_ depth
1	Saseendran_2005	-103.15	40.15	0	100	15	30	NA	1.33	22.4	9.2	Loam	23.4	44.3	32.3	NA	NA	232.08	NA	ETH_literature	ID_-103.15_40.15	7.5
2	Saseendran_2005	-103.15	40.15	0	100	30	60	NA	1.32	23	9.8	Loam	22.3	40.7	37.0	NA	NA	232.08	NA	ETH_literature	ID_-103.15_40.15	45
3	Saseendran_2005	-103.15	40.15	0	100	60	90	NA	1.36	22.1	8.9	Loam	17.6	36.7	45.7	NA	NA	337.92	NA	ETH_literature	ID_-103.15_40.15	75
4	Saseendran_2005	-103.15	40.15	0	100	90	120	1.40	NA	21.5	8.4	Loam	12.0	42.3	45.7	NA	NA	284.88	NA	ETH_literature	ID_-103.15_40.15	105
5	Saseendran_2005	-103.15	40.15	0	100	120	150	NA	1.42	21.2	8.1	Loam	10.0	41.7	48.3	NA	NA	259.20	NA	ETH_literature	ID_-103.15_40.15	135
6	Saseendran_2005	-103.15	40.15	0	100	150	180	NA	1.42	21.2	8.1	Loam	10.0	41.7	48.3	NA	NA	259.20	NA	ETH_literature	ID_-103.15_40.15	165
7	Becker_2018	-110.13	31.73	0	100	0	15	NA	NA	NA	NA	Sandy loam	NA	NA	NA	NA	NA	26.40	NA	ETH_literature	ID_-110.13_31.73	7.5
8	Becker_2018	-110.09	31.72	0	100	0	15	NA	NA	NA	NA	Sandy loam	NA	NA	NA	NA	NA	27.84	ETH_literature	ID_-110.09_31.72	7.5	
9	Becker_2018	-110.09	31.69	0	100	0	15	NA	NA	NA	NA	Sandy loam	NA	NA	NA	NA	NA	21.60	ETH_literature	ID_-110.09_31.69	7.5	
10	Becker_2018	-110.05	31.74	0	100	0	15	NA	NA	NA	NA	Loam	NA	NA	NA	NA	NA	23.76	ETH_literature	ID_-110.05_31.74	7.5	
11	Becker_2018	-110.04	31.72	0	100	0	15	NA	NA	NA	NA	Sandy loam	NA	NA	NA	NA	NA	39.12	ETH_literature	ID_-110.04_31.72	7.5	
12	Becker_2018	-110.04	31.69	0	100	0	15	NA	NA	NA	NA	Sand	NA	NA	NA	NA	NA	102.96	ETH_literature	ID_-110.04_31.69	7.5	

**Table 3.** Number of samples (N) assigned to each spatial accuracy class. NA are samples without information on spatial accuracy.

Minimum location error	Maximum location error	N
0 m	100 m	9937
100 m	250 m	1422
250 m	500 m	959
500 m	1000 m	516
1000 m	5000 m	163
5000 m	10000 m	128
10000 m	NA	142
<b>Total</b>		<b>13,267</b>

2. *PTFs for laboratory-based Ksat values:* in a second application, the dataset (total 13,267) was divided into laboratory and field based Ksat values. Total 9,162 Ksat measurements belong to laboratory and 4,133 Ksat measurements belong to field. The laboratory dataset (8,498 Ksat measurements with information on soil texture and bulk density information) was used for training (6,798) and testing (1,700) following the same method as used for the PTF for the temperate climate (i.e., 80% for training and 20% for testing). Lab-based PTFs include all depths of Ksat measurements (30% Ksat values from top soil 0-20 cm). Similar to the application of PTF from temperate region for the tropics, we apply the PTF deduced from lab data for prediction of Ksat measured in the field. We expect differences because field measurements scan larger soil volumes that may contain soil structural pores.

The ‘*ranger*’ package version 0.12.1 (Wright and Ziegler, 2015) was used to build the PTFs. The PTFs developed for temperate regions and for laboratory data were then applied to test their ability to predict the result for the measurements in tropical climate (1,111 Ksat measurements) and for field measurements (1,998 Ksat measurements with information on soil texture and



**Table 4.** Instruments and methods used to estimate Ksat. A key reference with further details is given for all methods. In some cases, 'ponding' or 'permeameter' methods were listed in original studies without specification (18 measurements in total).

Lab Ksat methods	<i>N</i>	Field Ksat methods	<i>N</i>
Constant head method (Klute and Dirksen, 1986)	8056	Mini Disc infiltrometer (Naik et al., 2019)	771
Falling head method (Klute, 1965)	766	Tension infiltrometer (Reynolds et al., 2000)	705
Triaxial cell (ASTM D 5084) (Purdy and Suryasmita, 2006)	99	Double ring infiltrometer (Bodhinayake et al., 2004)	625
Pressure plate (Sharratt, 1990)	14	Disc infiltrometer (Soracco et al., 2010)	584
Oedometer test (ASTM D2435-96) (Sutejo et al., 2019)	12	Single ring (Bagarello and Sgroi, 2004)	467
Oedometer test (UNI CEN ISO/TS 17892-5) (Terzaghi, 2004)	9	Guelph Permeameter (Reynolds and Elrick, 1985)	156
		BEST method (Bagarello and Sgroi, 2004)	147
		Aardvark permeameter (Hinton, 2016)	142
		Guelph Infiltrometer (Gupta et al., 1993)	87
		Piezometer slug test (Baird et al., 2017)	72
		Tensiometers (Nielsen et al., 1973)	70
		Rainfall simulator (Gupta et al., 1993)	55
		Hood infiltrometer (Schwärzel and Punzel, 2007)	40
		Micro-infiltrometer (Sepehrnia et al., 2016)	35
		Disc permeameter (Mohanty et al., 1994)	27
		Constant head permeameter (Amoozegar, 1989)	22
		Steady infiltration (Scotter et al., 1982)	16
		Permeameter	10
		Ponding	8
		Philip–Dunne permeameter (Muñoz-Carpena et al., 2002)	6
		Auger method (Mohsenipour and Shahid, 2016)	5
Unknown	206	Unknown	83
<b>Total</b>	<b>9162</b>		<b>4133</b>

**Table 5.** Mean values of soil hydro-physical properties for each soil textural class. The number of samples (N) is given in parenthesis under each soil variable for each soil texture classes. *N* values marked with \* correspond to undefined soil texture class. BD = bulk density (g/cm<sup>3</sup>), OC = organic carbon content (%), FC = moisture content at field capacity (% vol), WP = moisture content at wilting point (% vol), Ksat<sub>l</sub>, Ksat<sub>f</sub> = laboratory and field Ksat (cm/day). For Ksat the geometric mean is reported (due to the sensitivity to a few extreme values). For all other properties the arithmetic mean is provided.

Texture Classes	Clay (N)	Silt (N)	Sand (N)	BD (N)	OC (N)	FC (N)	WP (N)	Ksat <sub>l</sub> (N)	Ksat <sub>f</sub> (N)
Clay	56.3 (830)	23.6 (830)	20.0 (830)	1.27 (639)	2.00 (448)	43.2 (447)	30.0 (449)	8.22 (499)	110.07 (331)
Silty Clay	45.2 (181)	45.1 (181)	9.6 (181)	1.18 (175)	3.83 (116)	49.9 (46)	30.2 (46)	3.63 (85)	196.65 (96)
Sandy Clay	39.3 (176)	8.1 (176)	52.5 (176)	1.52 (172)	0.23 (140)	34.7 (158)	23.4 (158)	14.16 (172)	— (4)
Clay Loam	31.4 (544)	38.6 (544)	29.9 (544)	1.27 (382)	2.49 (360)	37.2 (76)	22.1 (76)	13.34 (127)	60.56 (417)
Silty Clay loam	33.1 (335)	57.1 (335)	9.7 (335)	1.24 (283)	2.67 (227)	46.2 (57)	23.9 (56)	1.57 (113)	48.45 (222)
Sandy Clay Loam	26.3 (1148)	12.1 (1148)	61.6 (1148)	1.53 (966)	1.26 (950)	28.7 (805)	17.1 (759)	19.43 (876)	14.23 (272)
Silt	7.7 (25)	84.6 (25)	7.6 (25)	1.16 (19)	1.65 (11)	51.4 (12)	7.5 (11)	13.27 (25)	—
Silt Loam	15.2 (810)	66.8 (810)	17.9 (810)	1.34 (618)	3.65 (498)	35.2 (148)	15.6 (138)	5.87 (447)	44.63 (364)
Loam	19.0 (692)	39.1 (692)	41.7 (692)	1.29 (600)	2.16 (561)	32.07 (101)	14.2 (104)	45.62 (226)	34.21 (466)
Sandy Loam	13.5 (1601)	16.8 (1601)	69.7 (1601)	1.49 (1492)	1.33 (1337)	24.2 (806)	11.0 (792)	39.71 (1078)	74.57 (523)
Loamy Sand	7.3 (736)	8.5 (736)	84.0 (736)	1.55 (711)	1.13 (674)	17.3 (582)	6.5 (586)	95.37 (637)	132.33 (99)
Sand	2.2 (4513)	3.1 (4513)	94.6 (4513)	1.51 (4437)	0.62 (4179)	8.2 (4063)	2.5 (4062)	488.46 (4409)	209.55 (106)
<b>Total</b>	<b>11,591</b> (17*)	<b>11,591</b>	<b>11,591</b> (38*)	<b>10,494</b> (775*)	<b>9,501</b> (286*)	<b>7,301</b> (88*)	<b>7,236</b> (182*)	<b>8,694</b> (468*)	<b>2,900</b> (1,233*)

bulk density information), respectively. The code for generating and testing the PTFs is provided in the supplementary infor-

mation. The significance was also tested for each soil texture class using a post-hoc Anova test (Hilton and Armstrong, 2006) and results are presented in the supplementary file. This test shows the statistical significance between different soil texture classes.

The relative importance of the covariates for modeling Ksat was assessed by the node impurity, which, for RF regression problems, is computed as the decrease of residual sum of squares (RSS) when a particular covariate splits the data at the nodes of a tree (Hastie et al., 2009, sections 10.13.1, 15.3.2). The variable that provides maximum decline in RSS (and consequently increase in node purity) is considered as the most important variable; the variable with the second largest RSS decrease is considered the second most important variable, and so on. Furthermore, the accuracy of the predictions was evaluated using bias, root mean square error (RMSE, in log-transformed Ksat measurement) and concordance correlation coefficient (CCC) (Lawrence and Lin, 1989).

Bias and RMSE are defined as:

$$bias = \sum_{i=1}^n \frac{(\hat{y}_i - y_i)}{n} \quad (1)$$

$$RMSE = \sqrt{\sum_{i=1}^n \frac{(\hat{y}_i - y_i)^2}{n}} \quad (2)$$

where  $y$  and  $\hat{y}$  are observed and predicted Ksat values, respectively, and  $n$  is the total number of cross-validation points.

In addition, Concordance Correlation Coefficient (CCC) (as measure of the agreement between observed and predicted Ksat values) of cross validation (Lawrence and Lin, 1989) is defined as:

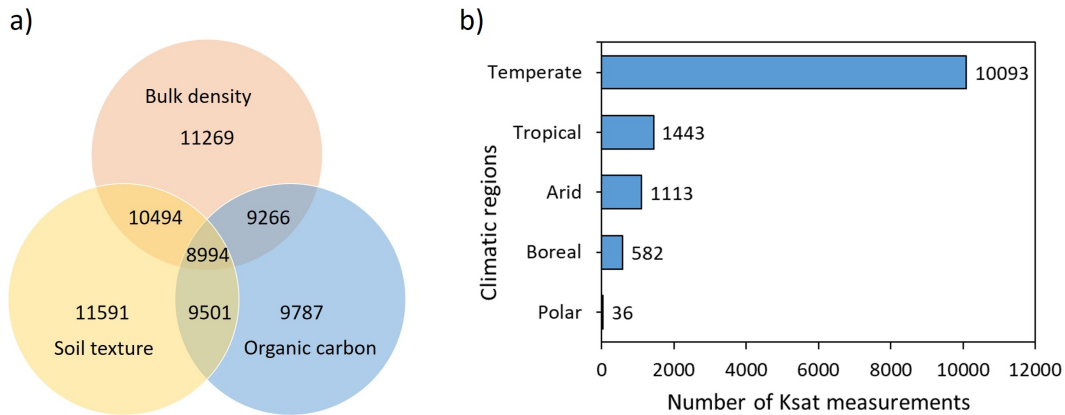
$$CCC = \frac{2 \cdot \rho \cdot \sigma_{\hat{y}} \cdot \sigma_y}{\sigma_{\hat{y}}^2 + \sigma_y^2 + (\mu_{\hat{y}} - \mu_y)^2} \quad (3)$$

where  $\mu_{\hat{y}}$  and  $\mu_y$  are predicted and observed means,  $\sigma_{\hat{y}}$  and  $\sigma_y$  are predicted and observed variances and  $\rho$  is the Pearson correlation coefficient between predicted and observed values. CCC is equal to 1 for a perfect model.

## 20 3 Results

### 3.1 Data coverage of SoilKsatDB

Based on the literature search and data compilation, we have assembled a total of 13,267 values of Ksat from 1,910 locations (one location is equal to one 'location\_id') across the globe. Moreover, the database contains total of 13,295 Ksat values because few studies have reported both field and lab measurements for the same location. Figure 1 shows the global distribution of the sites used in this study. Most data originate from North America, followed by Europe, Asia, South America, Africa, and Australia. With respect to climatic regions, 10,093 Ksat measurements were taken in the temperate region (8,296



**Figure 2.** Description of Ksat measurements. (a) Venn diagram illustrating the number of Ksat measurements in the SoilKsatDB for which bulk density, soil texture, and organic carbon data were also available. Note that the size of the intersecting areas does not represent the correct fractions (otherwise the intersection with 8,994 would be much bigger). (b) Distribution of measurements among climatic regions.

contained texture and bulk density information and were used to build PTF) and 1,443, 1,113, 582, and 36 in tropical, arid, boreal, and polar regions, respectively as shown in Figure 2b. The points are often spatially clustered with the biggest cluster of points (1,103 locations with 6,532 Ksat measurements) in Florida (Grunwald, 2020). The Ksat database includes 4,133 values from field measurement and 9,162 values from laboratory measurements. In particular, different types of infiltrometers (e.g., Mini-infiltrometer, Tension infiltrometer, double ring infiltrometer) and permeaters (e.g., Guelf permeameter, Aardwark permeameter) were used for the field measurements, whereas constant or falling head methods were mainly used in laboratory analyses (Table 4).

Out of the 13,267 Ksat measurements, 11,591, 11,269, 9,787, 7,389 and 7,418 points had information on soil texture, bulk density, organic carbon, field capacity and wilting point, respectively, while for 8,994 measurements information for all soil basic properties (bulk density, soil texture and organic carbon) was available (Figure 2a).

The methods used to compute these soil properties (as much as we could extract from the literature and existing databases) are listed in the supplementary CSV file `sol_ksat.pnts_metadata.csv` available at <https://doi.org/10.5281/zenodo.3752721>. Note that in addition to 11,591 soil texture values, 75 measurements have soil texture information with total (sand+silt+clay) less than 98% or greater than 102%. We did not use these values in the PTF development but included it in the database as “Error” class in soil texture column.

### 3.2 Statistical characteristics of SoilKsatDB

The distribution of measurements based on soil texture classes is shown on the USDA soil texture triangle in Figure 3a. The database covers all textural classes, with a high clustering in sandy soils due to the numerous samples from Florida (Grunwald, 2020), but only few measurements belong to the silt textural class. The increase in Ksat values in clayey and loamy soils for

field methods (compared to lab methods) is likely due to the effect of soil structure. A post-hoc ANOVA test showed that the mean values for all broad soil texture classes are significantly different from each other, except clayey soils field Ksat values and sandy soils field Ksat values (see Table ST2). The violin distribution plot in Figure 3c shows the range of Ksat values for the different databases. Most of the datasets report Ksat values between  $10^{-2}$  and  $10^{2.5}$  cm/day, with a wider range of Ksat values observed in measurements from theses and reports (including studies with extreme values from sandy desert soils and low conductive clay soils) and from the SWIG database (databases 9 and 6 in Figure 3c, respectively). Likewise, Figure 3d shows the violin distribution of Ksat based on soil texture classes. The arithmetic mean of Ksat was highest for the sand and loamy sand soils (i.e., 2.68 and 1.99, respectively in  $\log_{10}$  cm/day), while the lowest mean values were found for silt and silty loam (i.e., 1.12 and 1.15, respectively in  $\log_{10}$  cm/day). Table ST1 shows that the Ksat values under sand and loamy sand soil texture class are significantly different from all other soil texture classes, however, silt, silty clay, and silty clay loam class are not significantly different from clay, sandy clay, and sandy clay loam Ksat values.

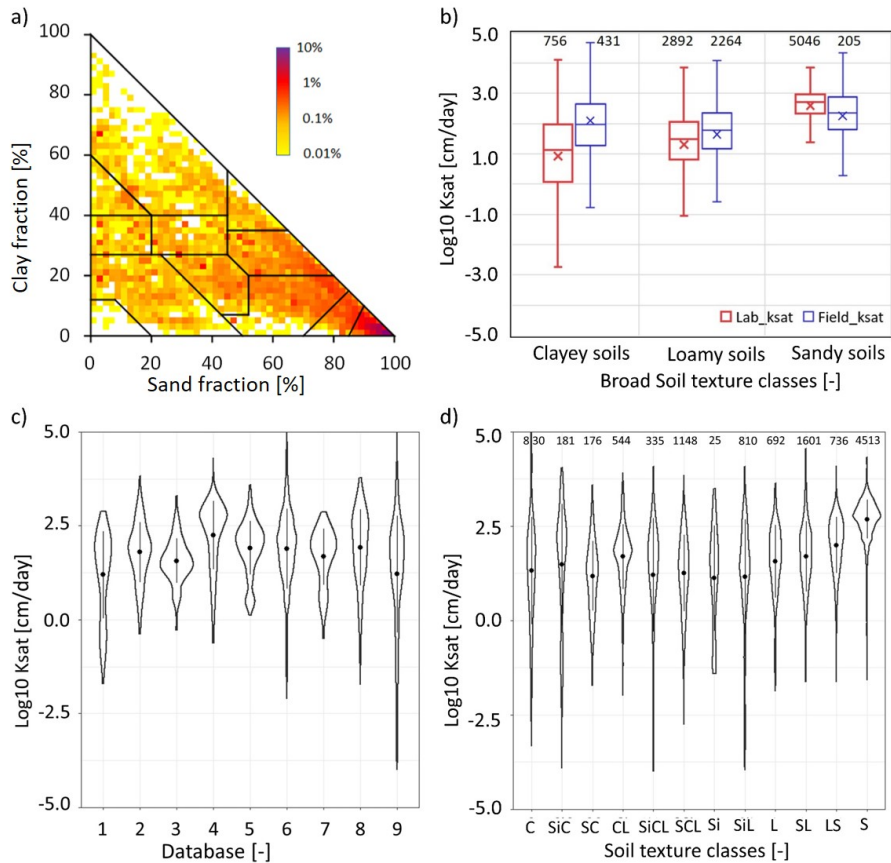
Average values of Ksat and other hydro-physical properties are shown in Table 5. Higher average organic carbon and bulk density values were observed in clayey and loamy soils compared to sandy soils. Ksat values obtained from field measurements were on average higher than those obtained from laboratory Ksat values. Particularly, for the clay texture class much lower Ksat values were observed for laboratory (mean Ksat  $\approx$  8 cm/day) compared to field (mean Ksat  $\approx$  110 cm/day) measurements (Table 5). Figure 3b further illustrates the higher range of Ksat values obtained for finer texture soils (clay and loam) compared to coarser soils (sand).

### 3.3 Ksat PTFs derivation

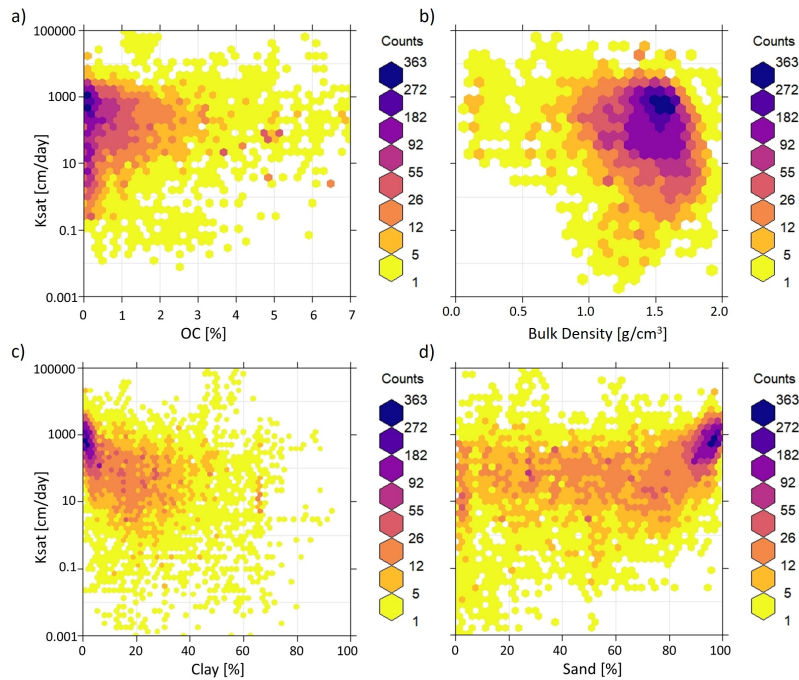
As a test application of SoilKsatDB, two PTFs were derived for Ksat (i.e., for measurements taken in temperate regions and based on laboratory measurements) using basic soil properties as covariates. General trends between Ksat and soil properties are shown in partial correlation plots in Figure 4. The figure indicates that Ksat decreases with clay content and bulk density, and increases with sand content.

Figure S1 shows the list of relative importance of the covariates to build PTFs for the measurements from temperate regions and laboratory-based measurements. Clay content was found to be the most important variable followed by sand and bulk density for the temperate climate PTF. On the other hand, sand content was the most important variable followed by clay and bulk density for the laboratory-based Ksat PTF. CCC, bias, and RMSE were respectively equal to 0.70, 0.002, and 0.69, for the temperate region based PTF, and to 0.73, -0.0004, and 0.65 for laboratory-based PTF.

As we will discuss in more detail in the next section, PTF models derived for temperate and laboratory-based Ksat values underestimated Ksat for tropical and field-based Ksat values, respectively (see Figure 6b and Figure 5b). CCC, bias, and RMSE values were respectively equal to 0.52, -0.2, and 0.90 for tropical Ksat values, and to 0.10, -0.23, and 1.2 for field measured Ksat values.



**Figure 3.** Characterization of collected Ksat values: (a) distribution of soil samples on the USDA soil texture triangle, (b) distribution of Ksat values using broad soil texture classes (sandy soils: sand and loamy sand; loamy soils: sandy loam, loam, silt loam, silt, clay loam and sandy clay loam; clayey soils: sandy clay, silty clay and clay) based on laboratory and field measurements (the number of measurements is shown on the top of the figure). Figure (c) shows the range range of Ksat values spanned by each data source. The database numbers 1–9 refer to different sources and databases: 1 = Australia (Forrest et al., 1985), 2 = Belgium (Vereecken et al., 2017), 3 = China (Tian et al., 2017; Li et al., 2017), 4 = Florida (Grunwald, 2020), 5 = HYBRAS (Ottoni et al., 2018), 6 = SWIG (Rahmati et al., 2018), 7 = Tibetan Plateau (Zhao et al., 2018), 8 = UNSODA (Nemes et al., 2001), 9 = all other databases in Table 1. (d) Distribution of Ksat based on soil textural classes with the number of measurements shown on the top of the figure. In the violin diagrams (c and d) the dot represents the mean value, and the line represents the standard deviation for each data set.

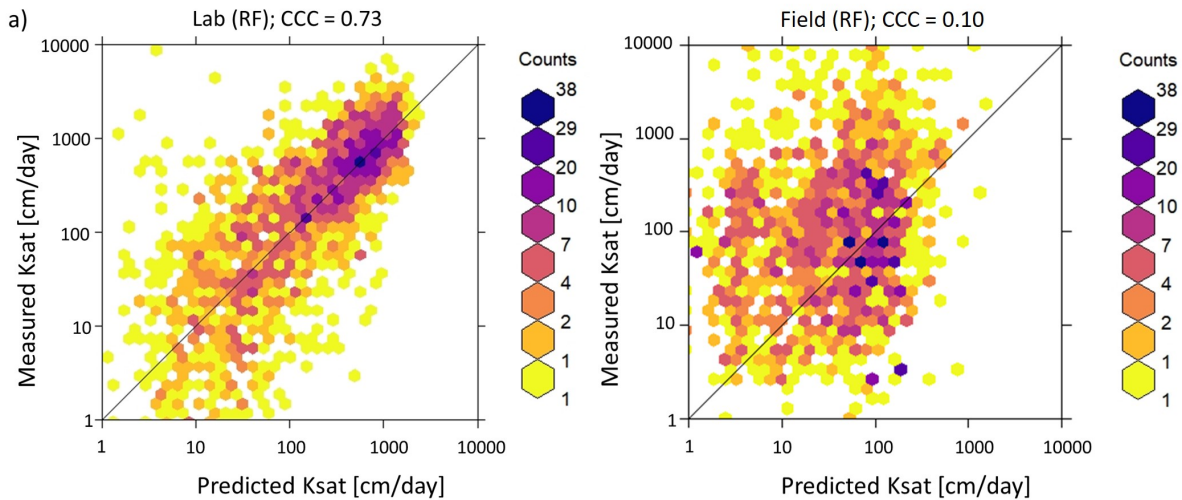


**Figure 4.** Partial correlation between Ksat and a) organic carbon (%), b) bulk density ( $\text{g}/\text{cm}^3$ ), c) clay (%) and d) sand content (%) as heat maps. Ksat decreases with increasing clay content and bulk density, and increases with sand content. The color of each hexagonal cell shows the number of the measurements in each cell.

## 4 Discussion

### 4.1 Laboratory vs field estimated Ksat: effect of soil structure

The Ksat values were, on average, higher for the field measurements compared to laboratory measurements for most soil texture classes (Table 5 and Figures 3b and 5). The difference in laboratory and field based Ksat values and larger range of Ksat values for fine textured soil is probably related to the effect of biologically-induced soil structure that might be neglected in laboratory measurements. The omission of soil structures in many laboratory samples limits the possibility to properly reproduce field observations that are likely to be more affected by the presence of biopores (Fatichi et al., 2020) and other soil structural characteristics, such as cracks. In other words, variability in the Ksat values depends on the consideration (and existence) of soil structure by the measurement methods. Soil structural pores change the pore size distribution and subsequently affect Ksat values (Tuller and Or, 2002). Such an effect is more likely to be neglected in laboratory measurements than for field studies due to the small size of most laboratory samples. Presence or absence of large structural pores depends on the scale of measurements (that is usually larger in the field). Mohanty et al. (1994), for example, compared three field methods and one laboratory method and found that the sample size affects the measurement of Ksat due to the presence and absence of open-ended pores. Similarly, Ghanbarian et al. (2017) showed that the sample dimensions (e.g., internal diameter and height)



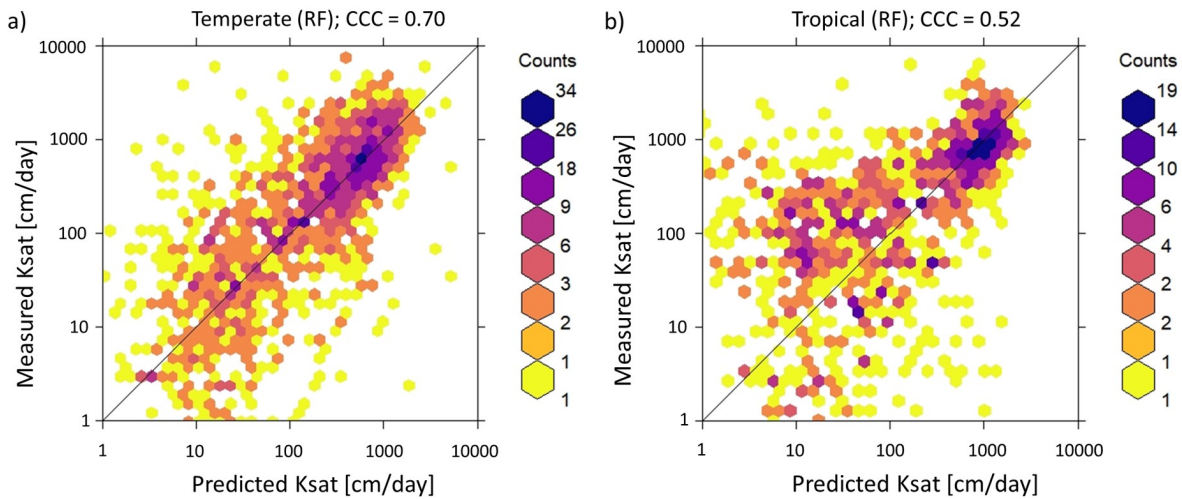
**Figure 5.** The correlation between observed and predicted Ksat values obtained from random forest (RF) models. The RF-based Pedotransfer function (PTF) model was fitted using data for laboratory measurements of Ksat and tested on both laboratory (a) and field (b) measurements. Results showed reasonable agreement (CCC = 0.73) using RF algorithms for laboratory measurements, but low CCC (0.10) for field measurements. PTFs developed based on laboratory measurements do not provide accurate estimates of Ksat measured in the field.

also impact Ksat. The authors further developed a sample dimension-dependent PTF, which performed a better than other available PTFs in the literature. Likewise, Braud et al. (2017) used three field methods for Ksat measurements and found significant variation between these methods of measurements. Davis et al. (1996) also highlighted the necessity to choose the most appropriate scale of measurement for a particular soil when undertaking conductivity measurements. They tested small  
 5 cores (73 mm wide and 63 mm high) and large cores (223 mm wide and 300 mm high) using the constant head method in the laboratory and found a difference of 1 to 3 orders of magnitude.

#### 4.2 Temperate vs tropical soils: effect of soil formation processes

PTFs obtained for temperate soils performed poorly for tropical soils (Figure 6), with Ksat being underestimated by the temperate-based PTFs. This result is in agreement with Tomasella et al. (2000) who derived PTFs using data from tropical  
 10 Brazilian soils, which did not properly capture observations in temperate soils. We argue that the significant differences for tropical and temperate soils are due to the differences in the soil-forming processes that also define the clay type and mineralogy. In fact, Oxisols (highly weathered clay soils as a result of high rainfall and temperatures in tropical regions) are characterised by inactive (non-swelling) clay minerals. In contrast to tropical soils, active (smectite) and moderately active clay minerals (illite) are the dominant clay minerals in temperate regions. These swelling clay minerals retain water within internal  
 15 structures with very low hydraulic conductivity. Therefore, such a difference in clay mineralogy is likely responsible for the underestimation of Ksat in tropical soils from PTFs in based on measurements in temperate areas. In addition, soil structure





**Figure 6.** Correlation between observed and predicted Ksat values obtained from the random forest (RF) model. The RF-based Pedotransfer function (PTF) model was obtained by fitting 6,637 training points obtained in a temperate-climate and tested on (a) data from temperate climate (1,659 measurements) and (b) data from tropical areas (1,111 measurements). PTFs showed good performance (CCC = 0.70) for the measurements taken in the temperate climate (including both laboratory and field measurements), but lower CCC values were obtained for tropical soil measurements (0.52 for RF). PTFs determined for temperate regions cannot be easily transferred to tropical regions because of the different soil forming processes.

formation processes may be different in tropical and temperate regions (perennial activities of vegetation in the tropics) which would also lead to differences between measured Ksat values for the two climatic regions.

### 4.3 Limitations of SoilKsatDB

We put an effort to combine laboratory and field data from across the globe. However, we acknowledge that there are still gaps in some regions, such as Russia and higher northern latitudes in general, which may result in uncertainties in Ksat estimates in such regions. The SoilKsatDB could also be of limited use for fine-resolution applications because many data points were characterized by limited spatial accuracy and missing soil depth information. Specifically, the spatial accuracy of many points is between tens of meters to several kilometers (see the methodology sections regarding the extraction of the spatial locations using Google Earth). Many of the records in the SoilKsatDB come from legacy scientific reports and the original authors can not be traced and contacted, hence we advise to use this data with caution. In addition, in the SWIG database, the soil depth and measurement method information were not provided, and often one location was used to represent an entire watershed. We tried to revisit each publication and extract the most accurate coordinates of assumed sampling locations. In addition, we assumed that most of the samples were obtained from field measurements as authors used different infiltrometers to compute Ksat, so there might be a few points in our SoilKsatDB that belong to laboratory measurements and that we have incorrectly

assigned to field measurements. Moreover, the field measurements in the database are being a mix of many Ksat measurement methods. Therefore, it should be checked before using in another applications.

For each measurement, a location accuracy (0-100 m = highly accurate, >10000 m = least accurate) was assigned based on the sampling location accuracy. The location accuracy can be used as a weight or probability argument in Machine Learning for Ksat mapping. We are aware that this was a rather subjective decision; a more objective way to assign weights would be to use the actual spatial positioning errors. Because these were not available for most of the datasets, we have opted for the definition of a location accuracy estimated from the available documentation.

#### 4.4 Further developments

The advancement in remote sensing technology opens the doors to link the hydraulic properties with global environmental data. Using satellite-based maps of environmental characteristics such as local information on vegetation, climate, and topography for specific areas, which are often ignored by basic PTFs, can be incorporated. For example, Sharma et al. (2006) developed PTFs using environmental variables such as topography and vegetation and concluded that these attributes, at finer spatial scales, were useful to capture the observed variations within the soil mapping units. Likewise, Szabó et al. (2019) used the random forest machine learning algorithm for mapping soil hydraulic properties and incorporated local environmental information such as vegetation, climate, and topography.

#### 5 Data availability

All collected data and related soil characteristics are provided online for reference and are available at <https://doi.org/10.5281/zenodo.3752721> (Gupta et al., 2020).

#### 6 Summary and conclusions

We compiled a comprehensive global dataset of Ksat measurements ( $N = 13,267$ ) by importing, quality controlling, and standardizing tabular data from existing soil profile databases and legacy reports, as well as scientific literature. The SoilKsatDB covers a broad range of soil types and climatic regions and hence is useful in global models. A larger variation in Ksat values was observed for fine-textured soil compared to coarse-textured soils, indicating the effect of soil structure on Ksat. Moreover, Ksat values obtained from field measurements were generally higher than those from laboratory measurements, likely due to impact of soil structural pores at larger scale in field measurements.

The new database was used to develop pedotransfer functions (PTFs) for Ksat using measurements in temperate climates and laboratory measurements using RF algorithms. PTFs developed for a certain climatic region (temperate) or measurement method (laboratory) could not be satisfactorily applied to estimate Ksat for other regions (tropical) or measurement method (field) due to the role of different soil forming processes (inactive clay minerals in tropical soils and impact of biopores in field measurements).

There are still some gaps in the geographical representation of the data, especially in Russia and the higher northern latitudes, that could induce uncertainty in global modeling. Therefore, the data set can be further improved by covering the missing areas and achieve better accuracy in model applications.

The SoilKsatDB was developed in R software and is available via <https://doi.org/10.5281/zenodo.3752721>. We have made  
5 code and data publicly available to enable further developments and improvements.

*Acknowledgements.* The SoilKsatDB is a compilation of numerous existing datasets from which the most significant are: SWIG dataset (Rahmati et al., 2018), UNSODA (Leij et al., 1996; Nemes et al., 2001), and HYBRAS (Ottoni et al., 2018). The study was supported by ETH Zurich (Grant ETH-18 18-1). OpenGeoHub maintains a global repository of Earth System Science datasets at [www.openlandmap.org](http://www.openlandmap.org). We thank Zhongwang Wei for helping in collecting the datasets and for insightful discussions. We acknowledge Samuel Bickel (ETH  
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