



SoilKsatDB: global soil saturated hydraulic conductivity measurements for geoscience applications

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Abstract. Saturated soil hydraulic conductivity (Ksat) is a key parameter in many hydrological and climatic modeling applications, as it controls the partitioning between precipitation, infiltration and runoff. Ksat values are primarily determined from soil textural properties and soil forming processes, and may vary over several orders of magnitude. Despite availability of Ksat datasets at catchment or regional scale, significant efforts are required to import and bind the data before it could be

- 5 used for modeling. In this work, a total of 1,910 sites with 13,267 Ksat measurements were assembled from published literature and other sources, standardized, and quality-checked in order to provide a global database of soil saturated hydraulic conductivity (SoilKsatDB). The SoilKsatDB covers most global regions, with the highest data density from the USA, followed by Europe, Asia, South America, Africa, and Australia. In addition to Ksat, other soil variables such as soil texture (11,667 measurements), bulk density (11,151 measurements), soil organic carbon (9,787 measurements), field capacity (7,389) and
- 10 wilting point (7,418) are also included in the dataset. The results of using the SoilKsatDB to fit Ksat pedotransfer functions (PTFs) for temperate climatic regions and laboratory based soil samples based on soil properties (sand and clay content, bulk density) show that reasonably accurate models can be fitted using Random Forest (best CCC = 0.70 and CCC = 0.73 for temperate and lab based measurements, respectively). However when temperate and laboratory based Ksat PTFs are applied to soil samples from tropical climates and field measurements, respectively, the model performance is significantly lower (CCC = 0.72).
- 15 0.51 for tropical and CCC = 0.13 for field samples). PTFs derived for temperate soils and laboratory measurements might not be suitable for estimating Ksat for tropical regions or field measurements, respectively. The SoilKsatDB dataset is available at https://doi.org/10.5281/zenodo.3752721 (Gupta et al., 2020) and the code used to produce the compilation is publicly available under an open data license.

1 Introduction

20 Soil saturated hydraulic conductivity (Ksat) describes the water movement through water saturated soils and is defined as ratio between water flux and hydraulic gradient (Amoozegar and Warrick, 1986). It is a key variable in a number of hydrological, geomorphological, and climatological applications, such as rainfall partitioning into infiltration and runoff (Vereecken et al., 2010), optimal irrigation design (Hu et al., 2015), as well as the prediction of natural hazards including catastrophic floods and





landslides (Batjes, 1996; Gliński et al., 2000; Zhang et al., 2018). Accurate measurements of Ksat in the laboratory and field are laborious and time consuming and most samples are taken from agricultural soils (Romano and Palladino, 2002).

Efforts to produce reliable and spatially refined datasets of hydraulic properties date back to the 1970's with the proliferation of distributed hydrologic and climatic modeling. Some of these early notable works also provided some the basic databases

- 5 (some of which are used in this study) for Australia (McKenzie et al., 2008; Forrest et al., 1985), Belgium (Vereecken et al., 2017; Cornelis et al., 2001), Brazil (Tomasella et al., 2000, 2003; Ottoni et al., 2018), France (Bruand et al., 2004), Germany (Horn et al., 1991; Krahmer et al., 1995), Hungary (Nemes, 2002), the Netherlands (Wösten et al., 2001), Poland (Glinski et al., 1991), and USA (Rawls et al., 1982). Nemes (2011) discussed the available datasets on Ksat and hydro-physical properties in detail. Collaborative efforts have resulted in the compilation of multiple databases, including the Unsaturated Soil
- 10 Hydraulic Database (UNSODA) (Nemes et al., 2001), the Grenoble Catalogue of Soils (GRIZZLY) (Haverkamp et al., 1998), and the Mualem cataloge (Mualem, 1976) these however focused on soil types and not on spatially context mapping of Ksat. In an effort to provide spatial context, Jarvis et al. (2013), Rahmati et al. (2018) and Schindler and Müller (2017) published global databases for soil hydraulic and soil physical properties. Likewise, the European soil data center also started projects for generating spatially referenced databases for several countries such as SPADE (Hiederer et al., 2006) and HYPRES (Wösten
- 15 et al., 2000). Since HYPRES represents only western European countries, Weynants et al. (2013) gathered the data from 18 countries and developed the European Hydropedological Data Inventory (EU-HYDI) database this dataset is, however, not publicly available and was not included in this compilation. The datasets mentioned above cover almost all climatic zones except tropical regions, where Ksat values could be significantly different due to the strong local weathering processes (Hodnett and Tomasella, 2002). Recently, Ottoni et al. (2018) published a dataset named HYBRAS (Hydrophysical Database for Brazil-
- 20 ian Soils) improving the coverage of South American tropical regions. In addition, Rahmati et al. (2018) recently published the Soil Water Infiltration Global database (SWIG) collecting information on Ksat for the whole globe as deduced from infiltration experiments.

The increased observation of various surface properties using satellite based imaging capability as well as the ever increasing demand for highly resolved description of surface processes require commensurate advances in Ksat representation for modern

- 25 Earth System Model (ESM) applications. Despite availability of datasets at catchment or regional scale, to be able to use the various soil datasets listed above for global modeling, a significant amount of time is required to import and bind data. In addition, several existing Ksat datasets miss either coordinates of points or these have been recorded with unknown accuracy thus limiting their applications for spatial modeling. For example the SWIG dataset misses information on soil depth and assigns a single coordinate for entire watersheds. Similarly, UNSODA dataset does not provide coordinates and soil texture
- 30 information for all samples. For a few locations, HYBRAS uses a different coordinate system. Taken together, these limitations highlight that, to prepare spatially referenced global Ksat datasets for large scale applications, a serious effort to compile, standardize and quality check all literature (available publicly) is often required.

The objective of the work here is to provide a new global standardized Ksat database (SoilKsatDB) that can be used for geoscience applications. To do so, a total of 13,267 Ksat measurements have been collected, standardized, and cross-checked

35 to produce a harmonized compilation which is analysis-ready (i.e., it can directly be used for model fitting and spatial analy-





sis). We collected data from existing datasets and, to improve the spatial coverage in regions with sparse data, we have further conducted a literature search to include Ksat measurements in geographic areas that were not yet covered in other existing databases. In the manuscript, we first describe the data collection process and then describe methodological steps used to spatially reference, filter, and standardize existing datasets. As an illustrative application of the dataset we derive pedotransfer functions (PTFs) for different regions and measurement methods and discuss their transferability to other regions and measurement methods.

5 functions (PTFs) for different regions and measurement methods and discuss their transferability to other regions and measurement methodologies. We fully document all importing, standardization and binding steps using R environment for statistical computing (R Core Team, 2013), so that we can collect feedback from other researchers and increase the speed of further updates and improvements. The newly created data set (SoilKsatDB) can be accessed via https://doi.org/10.5281/zenodo.3752721 and directly used to test various Machine Learning algorithms (Casalicchio et al., 2017).

10 2 Methods and materials

2.1 Data sources

To locate and obtain all compatible datasets for compilation, a literature search was conducted using different search engines, including Science Direct (https://www.sciencedirect.com/), Google Scholar (https://scholar.google.com/) and Scopus (https:// www.scopus.com). We searched soil hydraulic conductivity datasets using keywords such as "*saturated hydraulic conductivity*

- 15 database", "Ksat", and similar. The collected datasets are listed in Table 1 together with number of Ksat observations for each study, and can be classified into three main categories, namely: i) Existing datasets (in forms of tables) published and archived with a DOI in a peer-review publication; ii) legacy datasets in paper/document format (e.g.,legacy reports, PhD theses, and scientific studies), iii) on-line materials.
- Existing datasets include published datasets such as HYBRAS (Ottoni et al., 2018), UNSODA (Nemes et al., 2001), SWIG
 (Rahmati et al., 2018), and the soil hydraulic properties over the Tibetan Plateau (Zhao et al., 2018), from which we extracted the required information as described in Table 2a. The major challenge with making the existing datasets compatible for binding (standardization, removing redundancy), was to obtain the locations for a particular sample as well as the corresponding measurement depths. For instance, the UNSODA database completely lacks geographical locations. To fill the gaps and make the data suitable also for spatial analysis, we used Google Earth to find the coordinates based on the given location (generally
- an address or a location name). We separated the data based on laboratory and field measurements and we computed sand, silt and clay contents based on the algorithm described in Nemes et al. (2001). We further note that, in some datasets, the coordinates were missing or reported in diverse coordinate systems. For example, in the HYBRAS database, the locations needed to be converted from UTM to a decimal degrees. In the SWIG database, the information related to location (coordinates for each point), soil depth and measurement method (laboratory or field) was completely missing, so we went through each
- 30 publication referenced in Rahmati et al. (2018) (except the unpublished literature) and added coordinates and applied the necessary conversions.

In the case of legacy datasets (paper or document format, data from journals, theses, and legacy reports with and without peer-reviewed publications), we invested a significant effort to digitize tabular data, clean it and make it analysis-ready. In some





Table 1. List of reference articles and digitized Ksat datasets, and number of points (N) per data set used to generate the new SoilKsatDB product.

Reference	N	Reference	N	Reference	N
Rycroft et al. (1975)	1	Abagandura et al. (2017)	3	Jabro (1992)	18
Waddington and Roulet (1997)	1	Habel (2013)		Greenwood and Buttle (2014)	18
Takahashi (1997)	1	Nyman et al. (2011)	3	Wang et al. (2008)	19
Katimon and Hassan (1997)	1	Habel (2013)	3	Deshmukh et al. (2014)	19
El-Shafei et al. (1994)	1	Bhattacharyya et al. (2006)	4	Price et al. (2010)	20
Lopez et al. (2015)	1	Lopes et al. (2020)	4	Bonsu and Masopeh (1996)	24
Kramarenko et al. (2019)	1	Yasin and Yulnafatmawita (2018)	4	Bambra (2016)	24
Zakaria (1992)	1	Daniel et al. (2017)	6	Verburg et al. (2001)	26
Ramli (1999)	1	Anapalli et al. (2005)	7	Southard and Buol (1988)	27
Singh et al. (2011)	1	Arend (1941)	7	Chang (2010)	30
Campbell et al. (1977)	1	Helbig et al. (2013)	7	Yao et al. (2013)	33
Chief et al. (2008)	1	Gwenzi et al. (2011)	7	Becker et al. (2018)	34
Conedera et al. (2003)	1	Päivänen et al. (1973)	9	Baird et al. (2017)	50
Ebel et al. (2012)	1	Mahapatra and Jha (2019)	9	Keisling (1974)	56
Ferreira et al. (2005)	1	Amer et al. (2009)	9	Rahimy (2011)	56
Imeson et al. (1992)	1	Vogeler et al. (2019)	10	Hao et al. (2019)	57
Johansen et al. (2001)	1	Singh et al. (2006)	10	Kanemasu (1994)	60
Lamara and Derriche (2008)	1	Kelly et al. (2014)	10	Tete-Mensah (1993)	60
Parks and Cundy (1989)	1	Elnaggar (2017)	11	Zhao et al. (2018)	65
Ravi et al. (2017)	1	Ganiyu et al. (2018)	12	Hinton (2016)	77
Smettem and Ross (1992)	1	Cisneros et al. (1999)	12	Vieira and Fernandes (2004)	86
Helbig et al. (2013)	2	Niemeyer et al. (2014)	12	Houghton (2011)	88
Boike et al. (1998)	2	Sharratt (1990)	14	Tian et al. (2017)	91
Andrade (1971)	2	Habecker et al. (1990)	14	Li et al. (2017)	108
Beyer et al. (2015)	2	Nielsen et al. (1973)	14	Forrest et al. (1985)	120
Blake et al. (2010)	2	Robbins (1977)	15	Richard and Luescher (1987)	121
Bonell and Williams (1986)	2	Sonneveld et al. (2005)	15	Sanzeni et al. (2013)	127
Kutiel et al. (1995)	2	Quinton et al. (2008)	16	Vereecken et al. (2017)	145
Martin and Moody (2001)	2	Simmons (2014)	16	Coelho (1974)	177
Mott et al. (1979)	2	Ouattara (1977)	17	Kool et al. (1986)	240
Rab (1996)	2	Hardie et al. (2011)	17	Nemes et al. (2001)	283
Soracco et al. (2010)	2	Baird (1997)	17	Ottoni et al. (2018)	326
Varela et al. (2015)	2	Kirby et al. (2001)	17	Rahmati et al. (2018)	3637
Sayok et al. (2007)	3	Yoon (2009)	18	Grunwald (2020)	6532





cases we had to convert PDF documents to Microsoft Word files, after that to tabular data. Some documents had to be digitized manually due to the low resolution of PDFs. After the digitization process, all data values were cross-checked one more time with the original PDFs to avoid any artifacts or gross error in the final database.

Two datasets were also collected directly from project websites that might be peer reviewed such as the NASA project based on hydraulic and thermal conductivity (retrieved from https://daac.ornl.gov/FIFE/guides/Soil_Hydraulic_Conductivity_Data. html and described in Kanemasu (1994)) and the Florida database from Grunwald (2020).

Besides these, there are many locations, such as desert dunes, peatlands, frozen soils, and similar, in the world, where very few data of Ksat were available publicly. Because it is essential for global modeling to provide some values or range to reduce the uncertainty in the spatial maps, we have also intensively searched for these areas and found several minor studies providing

10 Ksat values in these locations. We then digitized the Ksat values from these studies (shown either in bar charts and line plots), georeferenced the maps where necessary, and then converted the data into tabular form. All these datasets are also listed in Table 1.



Figure 1. Spatial distribution of Ksat points (red and blue for field and laboratoratory measurements, respectively) in the SoilKsatDB. A total of 1,910 spatial locations are on this map.

2.2 Georeferencing Ksat values

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Georeferencing of Ksat measurements is important for using data for local, regional or global spatial modeling. Once georeferenced, points can be directly used in hydrological and land surface models. Although many studies provided the information of spatial locations, however, the studies conducted in the 70's and 80's only provided the name of the locations and approximate distance from the exact location. Therefore, we extracted the latitude and longitude of the location using Google maps for some





datasets (which did not provide the spatial locations). Most of the studies we digitized provide maps or sketches with locations of the points. We first georeferenced these maps using ESRI ArcGIS software (v10.3) and then digitized the coordinates from georeferenced images. Some of the documents we digitized (e.g. Nemes et al. (2001)) provided the names of the places, and hence we used Google Earth to obtain the coordinates. We estimate that the spatial location accuracy of these points is roughly between 0 to 5 km. Similarly, spatial maps in jpg format (e.g. Becker et al. (2018)) were geo-referenced with 100–500 m location accuracy. In contrast, few studies (e.g. Yoon (2009)) provided the extract location of the sampling with assumed location accuracy of 10–20 m.

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In 2a. Description and units of some key variables listed in the database. The complete list can be found in the link to the data base (https://doi.org/10.5281/zenodo.3752721) in the readme-file. We used the same codes adopted in the National Cooperative Soil Survey (NCSS) Soil Characterization Database (National Cooperative Soil Survey, 2016).

Headers	Description	Dimension
site_key	Data set identifier	_
longitude_decimal_degrees	Ranges up to +180 degrees down to -180 degrees	Decimal degree
latitude_decimal_degrees	Ranges up to +90 degrees down to -90 degrees	Decimal degree
hzn_top	Top of soil sample	cm
hzn_bot	Bottom of soil sample	cm
db_od	Bulk density	${ m g~cm^{-3}}$
w6clod	Soil water content at 6 kPa	vol %
w10clod	Soil water content at 10 kPa	vol %
w3cld	Soil water content at 33 kPa (field capacity)	vol %
w1512	Soil water content at 1500 kPa (wilting point)	vol %
tex_psda	Soil texture classes based on USDA	
clay_tot_psa	Mass of soil particles, < 0.002 mm	%
silt_tot_psa	Mass of soil particles, > 0.002 and < 0.05 mm	%
sand_tot_psa	Mass of soil particle, > 0.05 and < 2 mm	%
oc	Soil organic carbon content	%
ph_h2o	Soil acidity	—
Ksat_lab	Soil saturated hydraulic conductivity from lab	${ m cm}~{ m day}^{-1}$
Ksat_field	Soil saturated hydraulic conductivity from field	${ m cm}~{ m day}^{-1}$
source_db	Sources of the datasets	_
confidence_degree	Reliability on the data set based on spatial locations	_
location_id	Combination of latitude and logitude	_



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Table 2b. Example of Ksat database structure with key variables (from left to right: reference, longitudinal and latitudinal coordinates (decimal degree), top and bottom of soil sample (cm), bulk density (g cm⁻³), soil textural class, clay, silt and sand content (%) and saturated hydraulic conductivity measured in lab or field (cm day⁻¹). NA is 'no value'). Note that the titles of the columns are explained in Table 2a.

sito kov	longitude_	latitude_	hzn_	hzn_	db_	tex_	clay_	silt_	sand_	ksat_	ksat_
decimal	decimal_	decimal_	top	bot	od	psda	tot_	tot_	tot_	lab	field
	degrees	degrees					psa	psa	psa		
Saseendran_2005	-103.15	40.15	15	30	1.33	Loam	23.4	44.3	32.3	232.08	NA
Saseendran_2005	-103.15	40.15	30	60	1.32	Loam	22.3	40.7	37.0	232.08	NA
Saseendran_2005	-103.15	40.15	60	90	1.36	Loam	17.6	36.7	45.7	337.92	NA
Saseendran_2005	-103.15	40.15	90	120	1.40	Loam	12.0	42.3	45.7	284.88	NA
Saseendran_2005	-103.15	40.15	120	150	1.42	Loam	10.0	41.7	48.3	259.20	NA
Saseendran_2005	-103.15	40.15	150	180	1.42	Loam	10.0	41.7	48.3	259.20	NA
Becker_2018	-110.13	31.73	0	15	NA	Sandy loam	NA	NA	NA	NA	26.40
Becker_2018	-110.09	31.72	0	15	NA	Sandy loam	NA	NA	NA	NA	27.84
Becker_2018	-110.09	31.69	0	15	NA	Sandy loam	NA	NA	NA	NA	21.60
Becker_2018	-110.05	31.74	0	15	NA	Loam	NA	NA	NA	NA	23.76
Becker_2018	-110.04	31.72	0	15	NA	Sandy loam	NA	NA	NA	NA	39.12
Becker_2018	-110.04	31.69	0	15	NA	Sand	NA	NA	NA	NA	102.96

Table 3. Confidence weights provided to each sample based on location accuracy and method used: LM = laboratory method, FM = field method.

Location	Confidence	Location	Confidence	
errors (LM)	index	errors (FM)	index	
0 – 100 m	1	0 – 100 m	3	
100 – 250 m	3	100 – 250 m	6	
250 – 500 m	5	250 – 500 m	9	
0.5 – 1 km	7	0.5 – 1 km	12	
1 – 5 km	9	1 – 5 km	15	
5 – 10 km	20	5 – 10 km	30	
>10 km	40	>10 km	40	

2.3 Standardization and quality assignment

The database was cleaned on the basis of highest and lowest values of saturated hydraulic conductivity. In SWIG database, some values of Ksat were less than 10^{-14} m/day, that seem unreasonable, so they were not included in the database. All datasets were cross-checked to avoid redundancy. For example, UNSODA data consist of Vereecken et al. (2017) and Richard





Table 4. Mean values of soil hydro-physical properties for each soil texture class. The number of samples (N) is given in parenthesis under each soil variable for each soil texture classes. N values marked with * correspond to undefined soil texture classes. BD = bulk density (g/cm³), OC = organic carbon (%), FC = field capacity (% vol), WP = wilting point (% vol), Ksat_l, Ksat_f = laboratory and field Ksat (cm/day). For Ksat the geometric mean is reported (due to the sensitivity on few extreme values). For all other properties the arithmetic mean is provided.

Texture Classes	Clay	Silt	Sand	BD	OC	FC	WP	Ksat _l	$Ksat_f$
	(N)	(N)							
Clay	56.3	23.8	19.9	1.27	1.98	45.0	30.9	8.17	110.33
	(835)	(835)	(835)	(609)	(454)	(452)	(454)	(507)	(331)
Clay Loam	31.4	38.6	30.0	1.27	2.49	39.7	24.1	12.25	59.96
	(543)	(543)	(543)	(382)	(360)	(76)	(76)	(139)	(423)
Loam	19.1	39.3	41.6	1.28	2.16	32.6	14.0	43.49	35.59
	(699)	(699)	(699)	(607)	(561)	(102)	(106)	(206)	(504)
Loamy Sand	7.5	8.5	84.0	1.55	1.14	17.5	6.6	96.49	127.06
	(742)	(742)	(742)	(712)	(680)	(558)	(592)	(633)	(100)
Sand	2.2	3.1	94.7	1.51	0.62	8.2	2.5	501.08	252.31
	(4526)	(4526)	(4526)	(4450)	(4193)	(4077)	(4074)	(4218)	(320)
Sandy Clay	39.3	8.1	52.6	1.53	0.23	34.7	23.4	14.02	
	(179)	(179)	(179)	(166)	(143)	(161)	(161)	(175)	(4)
Sandy Clay Loam	26.3	12.2	61.5	1.54	1.25	28.9	17.3	19.28	14.23
	(1149)	(1149)	(1149)	(941)	(959)	(806)	(760)	(869)	(288)
Sandy Loam	13.5	16.7	69.8	1.50	1.33	24.2	11.0	34.53	85.31
	(1610)	(1610)	(1610)	(1488)	(1352)	(815)	(801)	(999)	(636)
Silt	7.5	84.7	7.8	1.17	1.65	51.43	7.5	13.27	
	(25)	(25)	(25)	(19)	(11)	(11)	(751)	(25)	
Silt Loam	15.2	67.0	17.8	1.34	3.65	35.3	15.6	5.76	43.64
	(813)	(813)	(813)	(633)	(500)	(148)	(138)	(444)	(383)
Silty Clay	45.5	45.5	10.0	1.18	3.83	49.9	30.2	1.22	217.60
	(181)	(181)	(181)	(175)	(116)	(46)	(46)	(69)	
Silty Clay loam	33.1	57.2	9.7	1.24	2.67	46.2	23.9	1.45	49.10
	(333)	(333)	(333)	(282)	(226)	(57)	(56)	(110)	(232)
Total	11,635	11,635	11,635	10,464	9,555	7,340	7,275	8,394	3,333
	(32*)	(32*)	(32*)	(687*)	(232*)	(49*)	(143*)	(413*)	(1,154*)

and Luescher (1987) datasets and SWIG database used Zhao et al. (2018). Hence we removed these datasets from UNSODA





and SWIG database and used the original source datasets. Moreover, in the SWIG database, soil depth information was not available, so we assumed that data were obtained from field measurements and assumed it was obtained at a depth of 0–20 cm.

To describe the accuracy and reliability of each dataset, a quality flag (or confidence degree) was assigned to each data set based on (a) positional accuracy of the site, and (b) methodology used (i.e. only differentiating between field and laboratory

- 5 measurements, not accounting for different laboratory and field methods) for measuring Ksat. Here, we separated each study based on the measurement of Ksat and subjectively selected a range from 1 to 50 (i.e., 1 = highly accurate, 50 = least accurate) to describe the level of accuracy of each dataset. Table 3 shows the allocation of different weights for laboratory and field methods. Here, we assigned a slightly higher confidence to laboratory methods (compared to field ones) because the analyzed soil depth is well defined in lab samples but unclear in field infiltration measurements. In contrast, field methods are representative of
- 10 larger areas. The other main difference is the entrance of atmospheric air into the soil. It is, in fact, more difficult in field methods to reach a saturated state because of the interference of atmospheric air and fast infiltration velocities at beginning of the process (Faybishenko, 1997). In addition, a higher confidence was assigned to measurements with higher spatial accuracy. For example, laboratory measurements at high spatial accuracy were given the highest confidence degree. Among these, Forrest et al. (1985) and/or Ottoni et al. (2018) measured Ksat in the laboratory and provided detailed site coordinates, thus we assigned
- 15 a confidence degree of 1 (i.e., highly accurate). Zhao et al. (2018) measured Ksat using field methods and provided the exact locations of the field sites thus we assigned 3 as a confidence degree. If the spatial accuracy was be between 100–250 m, then we would have assigned a value of 6 (see Table 3 for more details). After data extraction from literature (and data bases), geo-referencing and standardization, all information was collected in tabulated form in the new data base SoilKsatDB (https://doi.org/10.5281/zenodo.3752721). The database consists of a 38 columns (various sample properties) and 13,268 rows
- 20 (for column titles and 13,267 samples). An excerpt of the data base with some key properties is shown in Table 2b.

2.4 Statistical modeling

The PTF results were fitted using multivariate polynomial regression (MPR) and random forest (RF) in the R environment for statistical computing (R Core Team, 2013) be tested fitting the MPR model for Ksat values as function of primary soil properties. For 15% of samples with information on bulk density and soil texture, the value of organic content (OC) was not reported. Therefore, we expressed the PTF for Ksat as function of bulk density, clay and sand content (without OC properties if PTFs for different climatic regions or measurement types are different, we have split fitting the PTF using (1) temperateclimate soil samples (including both laboratory and field measurements), and (2) laboratory based measured samples (including ul climates). To develop PTFs with temperate climate soil samples, the dataset (total 13,267 points) was divided based on climatic regions (temperate, tropical, boreal, and arid) to account for differences in climate and related weathering processes

30 (Hodnett and Tomasella, 2002). A total of 8,333 temperate-climate soil samples were used that contain information on sand, clay, and bulk density. The data set was randomly divided into training (6,666 samples, 80%) and testing dataset (1,667 samples, 20%). Likewise, MPR was also applied to develop a PTF for laboratory measurements. In a second application, the dataset (total 13,267) was divided into laboratory and field based soil Ksat samples. The laboratory dataset (8,055 soil samples) was



used for training (6,444) and testing (1,611) following the same method as used for the temperate climate PTF (i.e., 80% for training and 20% for testing).

The following equation was fitted using MPR:

$$\log(\text{Ksat}) = b_0 + b_1 \cdot \text{BD} + b_2 \cdot \text{BD}^2 + b_3 \cdot \text{CL} + b_4 \cdot \text{BD} \cdot \text{CL} + b_5 \cdot \text{CL}^2 + b_6 \cdot \text{SA} + b_7 \cdot \text{BD} \cdot \text{SA} + b_8 \cdot \text{CL} \cdot \text{SA} + b_9 \cdot \text{SA}^2$$
(1)

5 where Ksat is in cm/day, clay (CL) and sand (SA) are expressed in % and bulk density (BD) is in g/cm³.

Likewise, PTFs were also developed using a RF algorithm both for temperate-climate and laboratory based soil samples. The same soil variables (sand, clay and, bulk density) were fitted with Ksat values and we used the same number of points as for MPR for training and testing the models (i.e., 80% and 20%, respectively). The '*ranger*' package (Wright and Ziegler, 2015) was implemented to process the large data. The PTFs developed for temperate regions and for laboratory data were then applied to estimate Ksat in tropical climate (1,122 samples) or field measurements (2,396 samples), respectively. Root mean square error (RMSE) and concordance correlation coefficient (CCC) (Lawrence and Lin, 1989) were computed to assess the accuracy of the models.

3 Results

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3.1 Data coverage

15 Based on the intensive literature search and data <u>collection</u> we have assembled a total of 13,267 values of Ksat from 1,910 sites across the globe. Figure 1 shows the global distribution of the sites locations used in this study. Most data originate from the USA, followed by Europe, Asia, South America, Africa, and Australia. The points are often spatially clustered with the biggest cluster of points (1,103 site locations with 6,532 Ksat values) in Florida (Grunwald, 2020). Ksat data include 4,460 values from field measurement and 8,807 values from laboratory measurements. In particular, different types of infiltrometers were used

20 for Ksat field measurements, whereas constant or falling head methods were predominantly used in laboratory analyses.

Out of the 13,267 Ksat measurements, 11,667, 11,151, 9,787, 7,389 and 7,418 points had information on soil texture, bulk density, organic carbon, field capacity and wilting point, respectively, and 8,947 samples had information for all soil basic properties (bulk density, soil texture and organic carbon) as shown in Figure 2,

3.2 Statistical properties

The distribution of soil samples based on soil texture classes is shown on the USDA soil texture triangle in Figure 3a. The database covers all textural classes, with a high clustering in sandy soils due to the numerous samples from Florida. The violin distribution plot in Figure 3 shows the range of Ksat values for the different databases. Most of the datasets showed Ksat values between $\approx 10^{-2}$ and $10^{2.5}$ cm/day, with a wider range of Ksat values observed in measurements from theses and reports







Figure 2. Venn diagram illustrating the number of samples containing information on bulk density, soil texture, and organic carbon. Out of 13,267 samples, 11,151, 11,667 and 9,787 samples have values of bulk density, soil texture and organic carbon, respectively. Furthermore, 10,452, 9,150 and 9,570 samples have information of bulk density and soil texture, bulk density and organic carbon and soil texture and organic carbon, respectively. 8,947 samples have information of all three soil properties



Figure 3. Distribution of collected Ksat values: (a) distribution of soil samples on the USDA soil texture triangle. The bulk of the samples were from Florida (cluster of sandy soil samples). The Ksat values covers all soil textural classes and only few samples belong to the silt textural class. The histogram plot (b) represents the range of Ksat values spanned by each data source. The dot represents the mean value, and the line represents the standard deviation for each data set. The numbers 1–9 refer to different sources and databases: 1 = Australia (Forrest et al., 1985), 2 = Belgium (Vereecken et al., 2017), 3 = China (Tian et al., 2017; Li et al., 2017), 4 = extracted from thesis and reports (see Table 1), 5 = Florida (Grunwald, 2020), 6 = HYBRAS (Ottoni et al., 2018), 7 = SWIG (Rahmati et al., 2018), 8 = Tibetan Plateau (Zhao et al., 2018), 9 = UNSODA (Nemes et al., 2001).







Figure 4. Partial correlation between Ksat and a) organic carbon (%), b) bulk density (g/cm³), c) clay (%) and d) sand (%).

(including studies with extreme values from sandy desert soils and low conductive clay soils) and from the SWIG database (databases 4 and 7 in Figure 3b, respectively).

Average values of Ksat and other hydro-physical properties are shown in Table 4. Higher average organic carbon and bulk density values were observed in clayey and loamy soils compared to sandy soils. Ksat values obtained from field measurements were on average higher (depending on the type of instrument used) than those obtained from laboratory samples. Particularly, for the clay texture class much lower Ksat values were observed for laboratory (mean Ksat \approx 8 cm/day) compared to field (mean Ksat ≈ 110 cm/day) measurements.

3.3 PTFs derivation

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As a test application of SoilKsatDB, PTFs were derived for temperate climate region and laboratory based samples using basic soil properties as covariates. Such basic soil properties (i.e., clay and sand fraction, organic carbon, and bulk density) are plotted 10 against Ksat in Figure 4, showing that Ksat decreases with increasing clay content and bulk density, and increases with sand content. The observed correlation between these soil properties and Ksat motivates their use as key variables for the estimation of PTFs. Due to limiting data availability (15% of samples without OC information) and the poor correlation between OC and Ksat (Figure 4), we built the PTF for Ksat using bulk density, clay and sand content (without OC).





Coefficient	Value (temp.)	Value (lab.)
b_0	2.17	1.44
b_1	0.9387	2.053
b_2	-0.8026	-1.256
b_3	0.0037	-0.0533
b_4	-0.017	-0.000051
b_5	0.000015	0.00055
b_6	0.0025	0.0079
b_7	0.00086	-0.00080
b_8	-0.00025	0.000043
b_9	0.000073	0.000052

Table 5. Pedotransfer function (Eq. (1)) coefficients obtained for temperate and laboratory soil measurements,

Coefficients of Eq. (1) were fitted to values obtained from i) temperate sites and from ii) laboratory measurements. The fitted model coefficients are listed in Table 5. The fitting procedure provided R^2 of 0.47 and 0.53 for temperate and laboratory values, respectively. Validation of the fitted equations against the testing data set provided CCC and RMSE for the temperate and laboratory based predictions equal to 0.64 (CCC, temperate), 0.71 (RMSE, temperate) and 0.70 (CCC, lab), and 0.67

5 (RMSE, lab), respectively.

Results obtained from RF modeling using the same number of data points and the same independent variables (sand, clay, and bulk density) show a better accuracy. Specifically, the RF model performance based on CCC and RMSE was 0.69 (CCC, temperate region) and 0.70 (RMSE, temperate region), 0.73 (CCC, lab measurements), and 0.66 (RMSE, lab measurements), respectively.

10

Figure 5 (b and d) and Figure 6 (b and d) indicates that both models underestimated Ksat for both tropical and field measured il samples. In fact, for the RF model we obtained CCC and RMSE values equal to 0.51 and 0.90 for tropical and 0.13 and 1.1 for field measured samples, whereas CCC and RMSE values obtained from MPR were equal to 0.53 and 0.83, and 0.16 and 1.0 for tropical and field measurements, respectively.

4 Discussion

15 4.1 Laboratory vs field estimated Ksat: effect of soil structure

Results showed that Ksat values were, on average, higher for samples measured using field methods compared to laboratory methods for most soil texture classes (Table 4). Figure further illustrates the higher range of Ksat values obtained for finer texture soils (clay and loam) compared to coarser soils (sand). The difference in laboratory and field based Ksat values and higher range of Ksat values in fine textured soil is probably related to the effect of biologically-induced soil structure that

20 might be neglected in laboratory measurements. In other words, variability in the Ksat values depends on the consideration of







Figure 5. Correlation between observed and predicted Ksat values obtained from (a, b) multivariate polynomial regression (MPR) and (c, d) random forest (RF) models. Models were obtained by fitting 6,666 temperate-climate training points and tested on temperate (1,667 samples, panels a, c) and tropical testing points (1,122 samples, panels b, d). The density of point pairs for Ksat is shown in logarithmic scale. CCC is the concordance correlation coefficient. PTFs showed reasonable agreement for both MPR (CCC = 0.64) and RF (CCC = 0.69) algorithms with temperate soil samples, while lower CCC values were obtained for tropical soil samples (0.53 and 0.51 for MPR and RF, respectively). PTFs determined for temperate regions cannot be easily transferred to tropical regions due to different soil forming processes.

soil macropores by the measurement methods. Soil macropores change the pore size distribution and subsequently affect Ksat values (Tuller and Or, 2002). Such an effect is likely to be neglected more in laboratory measurements compared to field ones. Mohanty et al. (1994), for example, compared the three field methods and one laboratory method and found that the sample size affects the measurement of Ksat and maximum variability observed in the Ksat values at shallow depth might be due to the presence and absence of open-ended pores. Likewise, Braud et al. (2017) used three field methods for Ksat measurements and found significant variation between these methods of measurements.

5

As shown in Figure 6 Ksat values measured in the field were underestimated by PTFs derived from laboratory measurements. The omission of soil structures in many laboratory samples limits the possibility to properly reproduce field observations that are likely to be more affected by the presence of biopores (Fatichi et al., 2020).







Figure 6. The correlation between observed and predicted Ksat values obtained from (a, b) multivariate polynomial regression (MPR) and (c, d) random forest (RF) models. The model was fitted using laboratory measurements and tested on both laboratory (a, c) and field (b, d) measurements. Results showed reasonable agreement (CCC = 0.70, CCC = 0.73) using both algorithms (RF and MPR) for laboratory measurements, but low CCC (0.16, 0.13) for field measurements. PTFs developed based on laboratory measurements do not provide accurate estimates of Ksat measured in the field.

4.2 Temperate vs tropical soils: effect of clay mineralogy

Results showed that PTFs obtained for temperate soils performed poorly for tropical soils (Figure 5), with Ksat being underestimated by the temperate-based PTFs. This result is in agreement with Tomasella et al. (2000) who derived PTFs using data from tropical Brazilian soils, which did not properly capture observations in temperate soils. We argue that the significant

- 5 differences in the models fitted for tropical and temperate soils are due to the differences in the soil-forming processes defining the clay type and mineralogy. In fact, Oxisols (highly weathered clay minerals in tropical regions) are turned into inactive (non-swelling) clay minerals as a result of high rainfall and temperatures. On the other hand, in the temperate regions, active (smectite) and moderately active clay minerals (illite) are the dominant clay minerals. These swelling clay minerals retain the water within internal structures with very low hydraulic conductivity. Therefore, such a difference in clay mineralogy is likely
- 10 responsible for the underestimation of Ksat in tropical soils from PTFs obtained in temperate ones.







Figure 7. The distribution of Ksat values based on laboratory and field methods. Field measurements gave higher values than laboratory ones in clayey and loamy soils likely due to the effect of s rue ure.

4.3 Limitations of SoilKsatDB

We have put an effort to collect laboratory and field data from all parts of the globe. However, we acknowledge that there are still gaps in some regions such as Russia and higher northern latitudes in general, which may produce uncertainties in Ksat estimations in such regions. The SoilKsatDB could also be of limited use for fine-resolution applications because many data

- 5 points were characterized by limited spatial accuracy and missing soil depth information. Specifically, the spatial accuracy of many points is between tens of meters to several kilometers (see the methodology sections regarding the extraction of the spatial locations using Google Earth). In addition, in the SWIG database the soil depth and measurement method information were not provided, and often one location was used to represent an entire watershed. We tried to revisit each publication and extract the most accurate coordinates of assumed sampling locations and we assumed that most of the samples belonged to the field
- 10 measurements as authors used different infiltrometers to compute Ksat. Hence, there might be few points in our SoilKsatDB that belong to laboratory measurements and that we have incorrectly assigned to field measurements.

For each measurement, a confidence index (1 = highest, 50 = lowest) was assigned based on the sampling location accuracy and measurement technique (laboratory or field), which can be used as a weight or probability argument in Machine Learning. We acknowledge that this was a rather subjective decision and a more objective way to assign weights would be to use the

15 actual measurement and spatial positioning errors. Because these were not available for most of the datasets, we have opted for the definition of a confidence index estimated from the available documentation.





4.4 Further developments

We envisage several further developments of this database. The advancement in remote sensing technology opens the doors to link the hydraulic properties with global environmental features. Using satellite-based maps of environmental properties enables to incorporate local information on vegetation, climate, and topography for specific areas, which are often ignored by basic PTFs. For example, Sharma et al. (2006) developed PTFs using environmental variables such as topography and vegetation and concluded that these attributes, at finer spatial scales, were useful to capture the observed variations within the soil mapping units. Likewise, Szabó et al. (2019) used the random forest machine learning algorithm for mapping soil hydraulic properties and incorporated local environmental variable information.

5 Dat ailability

10 All collected data and related soil characteristics are provided online for reference and are available at https://doi.org/10.5281/ zenodo.3752721 (Gupta et al., 2020).

6 Summary and conclusions

We prepared a comprehensive global compilation of measured Ksat training point data (N = 13, 267) by importing, quality controlling, and standardizing tabular data from existing soil profile databases and legacy reports.

- 15 The produced SoilKsatDB covers a broad range of soil types and climatic regions and hence is **applicable** for global soil modeling. A higher variation in Ksat values was observed in fine-textured soil compared to coarse-textured soils, possibly indicating the effect of soil structure on Ksat. Moreover, Ksat values obtained from field measurements were generally higher than those from laboratory measurements, likely due to impact of macropores at larger scale in field measurements.
- The new database was applied to develop pedotransfer functions (PTFs) for Ksat using temperate and laboratory based soil samples using both MPR and RF algorithms. Both algorithms provided reasonable accuracy. However, PTFs developed for a certain climatic region (temperate) or measurement method (laboratory) could not be satisfactorily applied to estimate Ksat for other regions (tropical) or measurement method (field) due to the role of different soil forming processes (inactive clay minerals in tropical soils and impact of biopores in field measurements).

There are still some gaps in the geographical representation of sampling points, especially in Russia and the higher northern latitudes, that could induce uncertainty in global modeling. Therefore, the data set can be further improved by covering the missing areas and achieve better accuracy in the hydrological applications.

The SoilKsatDB was developed in R software and is available via https://www.openml.org/d/42332 and https://doi.org/10. 5281/zenodo.3752721. We have made code and data publicly available to enable further developments and improvements as a collective effort.



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