# **Response to Referee 1**

# Jin Ma, Ji Zhou, Frank-Michael Göttsche, Shunlin Liang, Shaofei Wang, Mingsong Li

Correspondence to: J. Zhou (jzhou233@uestc.edu.cn)

We would like to thank Referee 1 for her/his careful review of the manuscript and her/his constructive criticism and valuable comments. Comments by the referee are colored in black, our replies or comments are colored in blue.

This is an interesting manuscript described a processed AVHRR LST dataset that is very useful for long-term study of land surface temperature variation. Specifically, the dataset can be referred as a base for the climatological study of surface temperature since 2000: variation analyses between the LSTs derived from recent/current and the AVHRR LSTs can be a solid evidence of the global climate change. The method described in the manuscript can also be applied for producing long-term

- LST data record from other satellite missions, such as EOS MODIS and JPSS VIIRS. The manuscript provides details of the LST algorithms being applied, multiple datasets being used, which are all good for readers to use the data, or to process their own long-term record of LST data.
- 15 Thank you for the positive evaluation and for stressing the usefulness of the data record.

Improvements suggested:

How the in-situ LST is estimated? Quality control/noise reduction in the process?

In this study, the *in-situ* LST were collected from SURFRAD sites and NDBC. The NDBC data consisted of water surface temperatures measured directly by buoys: since these are highly accurate and quality controlled by NDBC (https://www.ndbc.noaa.gov/qc.shtml), we used the water temperatures as they were distributed.

The SURFRAD *in-situ* LST were calculated from measured broadband hemispherical upwelling radiance  $(L_u)$  and atmospheric downwelling radiance  $(L_d)$  using Stefan-Boltzmann's law:

$$T_{\rm s} = \sqrt[4]{\frac{L_{\rm u} - (1 - \varepsilon)L_{\rm d}}{\varepsilon\sigma}}$$

25

10

where broadband emissivity  $\varepsilon$  is obtained from AVHRR LSE in channels 4 and 5 via the empirical relationship  $\varepsilon$ =0.2489+0.2386 $\varepsilon$ <sub>4</sub>+0.4998 $\varepsilon$ <sub>5</sub> (Liang, 2005) and  $\sigma$  (=5.67×10-8 W/(m<sup>2</sup>K<sup>4</sup>)) is the Stefan-Boltzmann constant.

Quality control is an integral part of the design and operation of the SURFRAD network, which results in datasets of high quality and well-defined measurement uncertainties (https://www.esrl.noaa.gov/gmd/grad/surfrad/). SURFRAD data have been directly used in satellite retrieved LST validation (Liu et al., 2019; Martin et al., 2019; Wang and Liang, 2009); therefore, we did not perform additional quality control or noise reduction. However, the *in-situ* LST and the satellite retrieved LST may still contain outliers, e.g. samples contaminated by undetected clouds. Therefore, three-sigma ( $3\sigma$ ) filtering was employed to

30

remove such possible outliers from the match-ups(Göttsche et al., 2016; Pearson, 2002). Please see section 3.5 for the corresponding description for the *in-situ* LST estimation and validation, and section 2.4 for the description of quality control in the revised manuscript.

#### 35 **Reference:**

40

Göttsche, F.-M., Olesen, F.-S., Trigo, I., Bork-Unkelbach, A. and Martin, M.: Long Term Validation of Land Surface Temperature Retrieved from MSG/SEVIRI with Continuous in-Situ Measurements in Africa, Remote Sens., 8(5), 410, doi:10.3390/rs8050410, 2016.

Liang, S.: Estimation of Surface Radiation Budget: I. Broadband Albedo, in Quantitative Remote Sensing of Land Surfaces, pp. 310–344. John Wiley & Sons. Inc., Hoboken, NJ, USA., 2005.

Liu, X., Tang, B. H., Yan, G., Li, Z. L. and Liang, S.: Retrieval of global orbit drift corrected land surface temperature from long-term AVHRR data, Remote Sens., 11(23), 2843, doi:10.3390/rs11232843, 2019a. Martin, M., Ghent, D., Pires, A., Göttsche, F.-M., Cermak, J. and Remedios, J.: Comprehensive In Situ Validation of Five Satellite Land Surface Temperature Data Sets over Multiple Stations and Years, Remote Sens., 11(5), 479,

#### 45 doi:10.3390/rs11050479, 2019.

Wang, K. and Liang, S.: Evaluation of ASTER and MODIS land surface temperature and emissivity products using long-term surface longwave radiation observations at SURFRAD sites, Remote Sens. Environ., 113(7), 1556-1565. doi:10.1016/j.rse.2009.03.009, 2009.

50

### Cloud pixel exclusion (how cloud information was provided in the original data?) process?

Thanks for your comment. In this study, we used the LTDR AVHRR dataset as the source data to produce the LST products. The dataset provides quality control (QC) flags for each pixel and contains information on clouds as well as other conditions,

55 e.g. cloud shadow, water, etc. When generating the LST products, we used the QC flags to identify pixel containing cloud and cloud shadow and excluded them from the processing. Please see line 316-317 in the revised manuscript.

The final 0.05 deg resolution data – Is this the resolution from the original AVHRR dataset? If not how about the compositing/aggregation process applied?

60

65

In this study, the LTDR AVHRR dataset served as the source data of the LST product. The spatial resolution of the LTDR AVHRR dataset is  $0.05^{\circ} \times 0.05^{\circ}$  (already processed from AVHRR's native resolution; please see Table 1). In order to clarify this, we added the following sentence to the revised manuscript (line 123-125):

"In this study, the AVHRR datasets from Long-Term Datasets Records (Pedelty et al., 2007) (LTDR, https://ltdr.modaps.eosdis.nasa.gov/) are used, including AVH02C1 and AVH13C1, for which spatial resolution has been processed to  $0.05^{\circ} \times 0.05^{\circ}$  (Table 1)."

# **Reference:**

Pedelty, J., Devadiga, S., Masuoka, E., Brown, M., Pinzon, J., Tucker, C., Vermote, E., Prince, S., Nagol, J., Justice, C., Roy, D., Ju, J., Schaaf, C., Liu, J., Privette, J. and Pinheiro, A.: Generating a long-term land data record from the AVHRR and

Pearson, R. K.: Outliers in process modeling and identification, IEEE Trans. Control Syst. Technol., 10(1), 55-63, doi:10.1109/87.974338, 2002.

70

75

80

#### How the monthly average data set is generated? Details about the compositing/aggregation process?

The monthly average data are obtained from daily orbital drift corrected LST as follows: the program first searches the date labels of the daily LST data files to identify the data within the month to be processed. Then the sum of all valid LST within this month is calculated and divided by the number of valid LST. We added some text explaining this and other LST processing steps in a new section (section 3.5) of the revised manuscript:

"3.5 Generation of LST products

The product generation executable (PGE) code includes four Modules. Module I is for generating the multi-LST with the selected SWAs. Three different types of input data enter this module: (i) the satellite data: BTs from AVH02C1, NDVI from AVH13C1, bare soil emissivity (see section 3.3), and AVHRR LCTs from UMD; (ii) look-up tables; coefficients of the SWAs (see section 3.1), emissivities of vegetation, water, and built-up areas (see Table 7); and (iii) ancillary data: NSAT and CWVC from MERRA and land-sea mask. The QC flags in AVHR02C1 are also used to identify cloudy pixel. If a pixel contains cloud or cloud shadow, its LST is not calculated. Therefore, the output of Module I is multi-LST under clear sky conditions.

Module II is for integrating the multi-LST with the trained RF ensemble model. The inputs include the multi-LST from Module I and the RF ensemble model; the output is the ensemble LST, which is termed RF-SWA LST. Module III is for 85 normalizing the LST affected by orbital drift to 14:30 solar time. In this Module, the input datasets include the RF-SWA LST and NDVI; the latter is used for calculating the fraction of vegetation. The output of Module III is orbital drift corrected LST, which is termed OCD LST. Module IV is for generating monthly average LST: the module first groups ODC LST by month, sums the valid LST in each month up, and divides them by the respective number of valid LST. The output from this Module is monthly averaged ODC LST. All LST data are stored in standard HDF-EOS format. Table 8 shows the variables provided in the three types of LST data files."

90

# **Response to Referee 2**

# Jin Ma, Ji Zhou, Frank-Michael Göttsche, Shunlin Liang, Shaofei Wang, Mingsong Li

Correspondence to: J. Zhou (jzhou233@uestc.edu.cn)

We would like to thank Referee 2 for her/his careful review of the manuscript and her/his constructive criticism and 5 valuable comments. Comments by the referee are colored in black, our replies or comments are colored in blue.

This manuscript reports a global land surface temperature dataset derived from the historical NOAA AVHRR data. According to my understanding, the most important contribution is that this global dataset was built over a long period of time from 1981 to 2000, and is needed by the scientific community in the field of geoscience. The second contribution is that the authors also conducted orbit-drift correction for the land surface temperature. With this dataset, I believe that the scientific community in the field of geoscience can better address the issues associated with climate change, hydrology, environment, etc. Therefore, this manuscript is definitely within the scope of ESSD. Additionally, this manuscript is well organized and written. Nevertheless, I suggest that the authors consider the following comments and then improve the manuscript.

#### 15 Thank you for the positive evaluation and for stressing the usefulness of the data record.

1. Line 38: the authors claim that the coarse resolution and high penetration depth are two main problems, which affect the accuracy of surface temperature from passive microwave remote sensing. However, the authors should keep in mind that the surface emissivity, as well as other physical mechanisms beyond our understanding, are also the main reasons. The authors need to clearly mention these points here.

20

10

The uncertainty associated with land surface emissivity is indeed a problem in the LST retrieval from passive microwave data. We also agree that some physical mechanisms related to retrieving LST from passive microwaves are still beyond our understanding. However, uncertainty in thermal sampling depth is one of the largest sources of uncertainty in LST retrieval from passive microwaves. In fact, the physical definitions of land surface temperature (i.e. TIR LST) and the so-called retrieved

25 temperature from passive microwave differ (Zhou et al., 2017). In the revised manuscript (line 37-39), we now additional mention possible uncertainties due to emissivity by writing ": compared to TIR remote sensing, it is limited by factors such as coarser spatial resolution, higher thermal sampling depth, and higher uncertainty in emissivity, which results in a lower retrieval accuracy (Zhou et al., 2017).".

#### **Reference:**

30 Zhou, J., Zhang, X., Zhan, W., Göttsche, F.M., Liu, S., Olesen, F.S., Hu, W., Dai, F., 2017. A Thermal Sampling Depth Correction Method for Land Surface Temperature Estimation From Satellite Passive Microwave Observation Over Barren Land. IEEE Trans. Geosci. Remote Sens. 55, 4743-4756. https://doi.org/10.1109/TGRS.2017.2698828

2. Line 43-44: according to my experience, I also think that the algorithm selection depends on the availability of the required

#### 35 input parameters. I suggest revising here.

We agree with you. We now state in the revised manuscript that "Selecting a suitable algorithm for retrieving LST depends on the sensor's number of TIR channels and their spectral specifications, as well as the available auxiliary input data.". Please see line 45 in the revised manuscript.

40 3. Line 46: There are many satellite sensors with both the 11 and 12 microns. I suggest mentioning the NOAA AVHRR and ENVISAT AATSR before SLSTR.

Thanks for your suggestion. We have changed the text in the revised manuscript (line 47) to "The SWA is a good choice for retrieving LST from sensors with two or more TIR channels centred at 11 µm and 12 µm, e.g. Terra/Aqua MODIS, NOAA AVHRR, ENVISAT AATSR, and Sentinel-3 SLSTR.".

45

4. Line 57: The authors state 'no single SWA performs the best under all conditions'. How can you obtain such a conclusion? Please explain here and add supporting references here.

Thanks for your suggestion. The conclusion is based on previous research, e.g. Yu et al. (2009), Zhou et al. (2019), Yang et al. (2020). The SWA is the simplification of the radiative transfer model, which always depends on the available input

50 parameters. Yu et al. (2009) and Zhou et al. (2019) compared seventeen SWAs developed by the scientific community in recent decades. The results show that some SWAs achieve lower training accuracies and some are more sensitive to the input parameters. In other words, an SWA's performance depends on the application conditions, e.g. atmosphere conditions. Therefore, we concluded that no single SWA performs the best under all conditions. In the revised manuscript, we add the references, please see line 59.

# 55 **Reference:**

Yang, J., Zhou, J., Göttsche, F.-M., Long, Z., Ma, J. and Luo, R.: Investigation and validation of algorithms for estimating land surface temperature from Sentinel-3 SLSTR data, Int J Appl Earth Obs Geoinf., 91(April), 102136, doi:10.1016/j.jag.2020.102136, 2020.

Yu, Y., Tarpley, D., Privette, J. L., Goldberg, M. D., Rama Varma Raja, M. K., Vinnikov, K. Y. and Hui Xu: Developing
 Algorithm for Operational GOES-R Land Surface Temperature Product, IEEE Trans. Geosci. Remote Sens., 47(3), 936–951, doi:10.1109/TGRS.2008.2006180, 2009.

Zhou, J., Liang, S., Cheng, J., Wang, Y. and Ma, J.: The GLASS Land Surface Temperature Product, IEEE J. Sel. Top. Appl. Earth Obs. Remote Sens., 12(2), 493–507, doi:10.1109/JSTARS.2018.2870130, 2019b.

5. Line 58-59: The products cannot be retrieved. Also, cite the following references for the MODIS LST products: Wan, 2002, RSE; Wan, 2008, RSE.

Thanks for your comments. We changed the expression and added the suggested references for MODIS LST and now write "Currently, several LST products derived from satellite TIR remote sensing are available. Global LST products for Terra/Aqua MODIS are available since 2000, e.g. MOD11/MYD11 (Wan, 2008, 2014; Wan et al., 2002) and MOD21/MYD21

70 (Hulley and Hook, 2011).". Please see line 60-60 in the manuscript

#### **Reference:**

Hulley, G. C. and Hook, S. J.: Generating consistent land surface temperature and emissivity products between ASTER and MODIS data for earth science research, IEEE Trans. Geosci. Remote Sens., 49(4), 1304–1315, doi:10.1109/TGRS.2010.2063034, 2011.

75 Wan, Z.: New refinements and validation of the MODIS Land-Surface Temperature/Emissivity products, Remote Sens. Environ., 112(1), 59–74, doi:10.1016/j.rse.2006.06.026, 2008.

Wan, Z.: New refinements and validation of the collection-6 MODIS land-surface temperature/emissivity product, Remote Sens. Environ., 140, 36–45, doi:10.1016/j.rse.2013.08.027, 2014.

Wan, Z., Zhang, Y., Zhang, Q. and Li, Z-L: Validation of the land-surface temperature products retrieved from terra moderate
resolution imaging spectroradiometer data, Remote Sens. Environ., 83(1–2), 163–180, doi:10.1016/S0034-4257(02)00093-7, 2002.

#### 6. Line 65: check the status of Sentinel-3C and make any necessary revision.

Sentinel-3C is still unavailable, i.e. a revision is currently not required.

#### 85

7. Line 82: I'm confused by 'cover progressively smaller areas'.

With this sentence, we wanted to express that most glaciers on the Tibetan Plateau are in retreat and the areas covered by them are getting smaller and smaller. We changed the sentence to "…, e.g. most glaciers on the Tibetan Plateau are in retreat and the areas covered by them are getting smaller and smaller (Yao et al., 2012)." Please line 84-85 in the revised manuscript.

# 90 **Reference:**

Yao, T., Thompson, L., Yang, W., Yu, W., Gao, Y., Guo, X., Yang, X., Duan, K., Zhao, H., Xu, B., Pu, J., Lu, A., Xiang, Y., Kattel, D. B. and Joswiak, D.: Different glacier status with atmospheric circulations in Tibetan Plateau and surroundings, Nat. Clim. Chang., 2(9), 663–667, doi:10.1038/nclimate1580, 2012.

8. Line 97: I would suggest deleting the last sentence. It may appear in the wrong place. It should be in the methodology section instead of the introduction.

The respective sentence has been deleted.

#### 9. Line 117: Add references for the SST.

100 Thanks for your suggestion: we added a reference for SST in the revised manuscript (line 122).

10. Line 139: How did you obtain these 48 land surface emissivities? Please explain. Such information is important for the authors.

In this study, channel-effective emissivity was obtained from the Johns Hopkins University (JHU) spectral emissivity

- 105 library by convolving emissivity spectra with the spectral response functions of NOAA-07/09/11/14 AVHRR, please see lines 146-148 in the revised manuscript.
  - 11. Line 165: many studies use the SURFRAD data to validate the surface temperature derived from satellite data. I would

suggest adding more references.

# 110 Thanks for your suggestion; we added more references in the revised manuscript (lines 173-174).

- 12. Line 180: you used the random forest to integrate multiple algorithms. Please explain why you selected this method? Thanks for your question. In line 180 we only mention the general method for integrating multiple algorithms, i.e. the random forest (RF) method, to inform the reader about the basic concepts used in this study. The RF method is then briefly
- 115 discussed in section 3.2. It has several advantages, including the ability to process large databases with high efficiency, unbiased estimation, and especially minimizing the risk of overfitting in explaining complicated nonlinear relationships when compared with detailed analytic expressions (Hutengs and Vohland, 2016), and it has been used in many studies, e.g land cover classification (Rodriguez-Galiano et al., 2012), land surface parameter downscaling (Zhao et al., 2018), and estimating vegetation cover parameters (Mutanga et al., 2012). Please see lines 221-226 in the revised manuscript.

#### 120 **Reference:**

Hutengs, C. and Vohland, M.: Downscaling land surface temperatures at regional scales with random forest regression, Remote Sens. Environ., 178, 127–141, doi:10.1016/j.rse.2016.03.006, 2016.

Mutanga, O., Adam, E. and Cho, M. A.: High density biomass estimation for wetland vegetation using worldview-2 imagery and random forest regression algorithm, Int. J. Appl. Earth Obs. Geoinf., 18(1), 399–406, doi:10.1016/j.jag.2012.03.012, 2012.

125 Rodriguez-Galiano, V. F., Ghimire, B., Rogan, J., Chica-Olmo, M. and Rigol-Sanchez, J. P.: An assessment of the effectiveness of a random forest classifier for land-cover classification, ISPRS J. Photogramm. Remote Sens., 67(1), 93–104, doi:10.1016/j.isprsjprs.2011.11.002, 2012.

Zhao, W., Sánchez, N., Lu, H. and Li, A.: A spatial downscaling approach for the SMAP passive surface soil moisture product using random forest regression, J. Hydrol., 563(June), 1009–1024, doi:10.1016/j.jhydrol.2018.06.081, 2018.

130

# 13. Line 185: how do you conduct the monthly averaging?

The monthly data set is simply averaged from the daily orbital drift corrected LST. In detail, the program first searches the date labels of the daily LST data files to identify the data within the month to be processed. Then the sum of all valid LST within this month is calculated and divided by the number of valid LST. Please see lines 323-325 in the revised manuscript.

135

#### 14. Line 192: please give the reason why you use 0.12 K?

The design goals for the AVHRR thermal infrared channels were a NE $\Delta$ T of 0.12K (@ 300K). Therefore, we added a Gaussian-distributed noise with a NE $\Delta$ T of 0.12 K to simulate BTs measured by satellites more realistically.

140 15. Line 209-210: Please note that here is the method part, so you have not conducted the integration yet. Therefore, from the logical sequence, you don't know whether this method can get stable and robust results.

Thanks for your comment. We agree with you. In the study, we want to develop a more stable method to generate global LST. We want to integrate multiple single SWAs to reduce the random error in LST retrieval, which is primarily due to uncertainty in the input parameters. The respective sentence in the revised manuscript has been changed accordingly. Please

145 see line 219.

16. Line 243: as for the NDVI threshold method, I suggest citing Sobrino et al. (2008).

Please check this reference.

Thanks for your suggestion. The NDVI threshold method in Sobrino et al. (2008) uses the square of normalized NDVI to calculate the fraction of vegetation. However, in this study, we only use the normalized NDVI instead of its square, which

150 refers to method proposed in Carlson and Ripley (1997).

#### **Reference:**

Carlson, T. N. and Ripley, D. A.: On the relation between NDVI, fractional vegetation cover, and leaf area index, Remote Sens. Environ., 62(3), 241–252, doi:10.1016/S0034-4257(97)00104-1, 1997

Sobrino, J. A., Jiménez-Muñoz, J. C., Sòria, G., Romaguera, M., Guanter, L., Moreno, J., Plaza, A. and Martínez, P.: Land
 surface emissivity retrieval from different VNIR and TIR sensors, IEEE Trans. Geosci. Remote Sens., 46(2), 316–327,doi:10.1109/TGRS.2007.904834, 2008

## 17. Line 315: please simply give the results for NOAA-7 and NOAA-11 AVHRR here or somewhere.

Thanks for your suggestion. The results for NOAA-7 and NOAA-11 AVHRR are very similar to those obtained for
 NOAA-9 and NOAA-14. Therefore, we did not provide the respective results for NOAA-7 and NOAA-11. However, in the original manuscript we stated that "Generally, the SWA training results for the four sensors are consistent with each other.". In the revised manuscript, we also added a sentence explaining that the results for NOAA-14 AVHRR are a good example for the other sensors, please see line 345.

#### 165 18. Line 335: why the accuracy depends on the land cover type?

There are two main reasons: on the one hand, the SWAs perform differently over different land covers, i.e. they show different training accuracies over the same land cover, even for the same input data. On the other hand, the nine SWAs were selected because they are relatively insensitive to the main input parameters, i.e. LSE and CWVC; however, the nine SWAs still differ in their sensitivity to uncertainty in the input parameters. In the validation with the simulation data, the LSE was set

170 according to the land cover type over which the atmospheric profile was located. Therefore, the accuracy of each SWA depends on land cover type. The findings from the simulation data motivated us to look for a more suitable optimization method, e.g. random forests, in order to reduce dependence on input parameter uncertainty.

## 19. Line 397: what are the WMO requirements? Please explain.

175 Thanks for your suggestion. The WMO provides requirements for LST in several related fields, e.g. the uncertainty requirement is 2.0 K for Agricultural Meteorology and 1.0 K for Climate Monitoring. In the revised manuscript we added the sentence "Furthermore, the validation results meet WMO's requirements for applications of LST/LSWT in different fields, e.g. an uncertainty of 2.0 K for Agricultural Meteorology and of 1.0 K for Climate Monitoring (WMO, 2020)." Please see lines 427-428.

# 180 **Reference:**

WMO: Requirements defined for Land surface temperature, [online] Available from: https://www.wmo-sat.info/oscar/variables/view/96 (Accessed 29 July 2020), 2020.

# **Response to Andreas Baumann**

Jin Ma, Ji Zhou, Frank-Michael Göttsche, Shunlin Liang, Shaofei Wang, Mingsong Li

Correspondence to: J. Zhou (jzhou233@uestc.edu.cn)

We would like to thank Andreas Baumann for his careful review of the manuscript and his constructive criticism and valuable comments. Comments by the referee are colored in black, our replies or comments are colored in blue.

Dear sir or madam,

thanks for the interesting paper. I have some question regarding your various uncertainty sources described on line 204f:

(1.) Why do you group them in two levels and what is the meaning/advantages of these levels? (2.) What is the explanation of

10 e11, e12, and CWVC? Do they have any physical meaning? (3.) I assume you derived the thresholds for the uncertainty level in the paper Zhou et al. (2019b)?

Thank you and best regards, Andreas Baumann

Dear Dr. Andreas Baumann,

15 Thank you very much for your comments and questions.

 $\max \le 0.04$ ,  $|\delta \varepsilon 12|_{\max} \le 0.04$ , and  $|\delta CWVC|_{\max} \le 1.0$  g/cm<sup>2</sup>.

Sorry for the missing explanation of  $\varepsilon_{11}$ ,  $\varepsilon_{12}$ , and CWVC. In our context, they are the Land Surface Emissivitys (LSE) of NOAA AVHRR band centered at 11µm and 12µm, and column water vapour content, respectively. The study is a continuation of Zhou et al. (2019b) and, in order to be consistent with our previous study, the uncertainty thresholds were chosen to be similar to Zhou et al. (2019b).

LSEs and CWVC are the main input parameters of the Split-window Algorithm. Therefore, we analyzed the sensitivity of the candidate SWAs to these input parameters. We used the NDVI-threshold method to determine the LSEs of a given pixel. A method comparison performed by Sobrino et al. (2001) yielded root-mean-square errors of 0.020 between the NDVI-threshold method and the Temperature-Independent Spectral Indices method and of 0.025 between the NDVI-threshold method the Thermal Infrared Radiance Ratio Model. Therefore, we increased the uncertainty of the NDVI-threshold method and the maximum uncertainty of LSE to 0.020 for level 1 (L1) and 0.040 for level 2 (L2). CWVC was derived from the Modern-Era Retrospective Analysis for Research and Applications (MERRA) dataset. Validation indicates that CWVC is biased by 0.24 g·cm<sup>-2</sup> in the tropical zone (Rienecker et al., 2011). Considering the typical inhomogeneity within a MERRA grid, we increased maximum CWVC uncertainty to 1.0 g·cm<sup>-2</sup>. This results in two combinations of maximum LSE uncertainty and CWVC uncertainty, which we grouped into two levels: (i) L1: |δε<sub>11</sub>|<sub>max</sub>≤ 0.02, |δε<sub>12</sub>|<sub>max</sub>≤ 0.02, and |δCWVC|<sub>max</sub>≤1.0 g/cm<sup>-2</sup>; (ii) L2: |δε<sub>11</sub>|

30

# **References:**

Sobrino, J. A., Raissouni, N. and Li, Z. L.: A comparative study of land surface emissivity retrieval from NOAA data, Remote Sens. Environ., 75(2), 256–266, doi:10.1016/S0034-4257(00)00171-1, 2001.

35 Rienecker, M. M., Suarez, M. J., Gelaro, R., Todling, R., Bacmeister, J., Liu, E., Bosilovich, M. G., Schubert, S. D., Takacs, L., Kim, G. K., Bloom, S., Chen, J., Collins, D., Conaty, A., Da Silva, A., Gu, W., Joiner, J., Koster, R. D., Lucchesi, R., Molod, A., Owens, T., Pawson, S., Pegion, P., Redder, C. R., Reichle, R., Robertson, F. R., Ruddick, A. G., Sienkiewicz, M. and Woollen, J.: MERRA: NASA's modern-era retrospective analysis for research and applications, J. Clim., 24(14), 3624–3648, doi:10.1175/JCLI-D-11-00015.1, 2011.

40

Thank you again and Best Regards, Jin Ma and co-authors.

# A global long-term (1981-2000) land surface temperature product for NOAA AVHRR

Jin Ma<sup>1, 2</sup>, Ji Zhou<sup>1\*</sup>, Frank-Michael Göttsche<sup>2</sup>, Shunlin Liang<sup>3</sup>, Shaofei Wang<sup>1</sup>, Mingsong Li<sup>1</sup>

<sup>1</sup>School of Resources and Environment, Center for Information Geoscience, University of Electronic Science and Technology of China, Chengdu 611731, China

<sup>2</sup>Institute of Meteorology and Climate Research, Karlsruhe Institute of Technology, Karlsruhe 76344, Germany <sup>3</sup>Department of Geographical Sciences, University of Maryland, College Park 20742, USA

Correspondence to: J. Zhou (jzhou233@uestc.edu.cn)

5

Abstract, Land Surface Temperature (LST) plays an important role in the research of climate change and various land surface 10 processes. Before 2000, global LST products with relatively high temporal and spatial resolutions are scarce, despite of a variety of operational satellite LST products. In this study, a global  $0.05^{\circ} \times 0.05^{\circ}$  historical LST product is generated from NOAA AVHRR data (1981-2000), which includes three data layers: (1) instantaneous LST, a product generated by integrating several Split-Window Algorithms with a Random Forest (RF-SWA); (2) orbital drift corrected (ODC) LST, a drift corrected version of RF-SWA LST; (3) monthly averages of ODC LST. For an assumed maximum uncertainty in emissivity and column 15 water vapour content of 0.04 and 1.0 g/cm<sup>2</sup>, respectively and evaluated against the simulation data set, the RF-SWA method has a Mean Bias Error (MBE) of less than 0.10 K and a Standard Deviation (STD) of 1.10 K. To compensate the influence of orbital drift on LST, the retrieved RF-SWA LST was normalized with an improved ODC method. The RF-SWA LST were validated with in-situ LST from Surface Radiation Budget (SURFRAD) sites and water temperatures obtained from the National Data Buoy Center (NDBC). Against the in-situ LST, the RF-SWA LST has a MBE of 0.03 K with a range of -1.59 20 K = 2.71 K and STD is 1.18 K with a range of 0.84 K = 2.76 K. Since water temperature only changes slowly, the validation of ODC LST was limited to SURFRAD sites, for which the MBE is 0.54 K with a range of -1.05 K to 3.01 K and STD is 3.57 K with a range of 2.34 K to 3.69 K, indicating a good product accuracy. As global historical datasets, the new AVHRR LST products are useful for filling the gaps in long-term LST data. Furthermore, the new LST products can be used as input to related land surface models and environmental applications. Furthermore, in support of the scientific research community, the 25 datasets are freely available at https://doi.org/10.5281/zenodo.3934354 for RF-SWA LST (Ma et al., 2020a);

https://doi.org/10.5281/zenodo.3936627 for ODC LST (Ma et al., 2020c); https://doi.org/10.5281/zenodo.3936641 for monthly averaged LST (Ma et al., 2020b).

#### 1. Introduction

Land surface temperature (LST) is an important parameter for energy exchange between Earth's surface and the atmosphere 30 and, thus, an important indicator for global climate change. Therefore, LST has been widely used in research and applications of land surface processes and models, e.g. climate and meteorology, hydrology, and disaster monitoring (Anderson et al., 2011; Jin and Dickinson, 2002; Van Der Werf et al., 2017). Compared to traditional ground observations, retrieving LST from remote sensing is an effective way of taking advantage of the spatio-temporal coverage offered by satellites. Since the 1970s, the accurate retrieval of LST from satellite has been an active area of research in quantitative remote sensing. The main sources 35 for retrieving LST from satellite data are thermal-infrared (TIR) remote sensing and passive microwave (MW) remote sensing (Holmes et al., 2009; Li et al., 2013a), which both are effective means for obtaining the radiance emitted by Earth's surface. Although MW remote sensing is less affected by cloud and fog, compared to TIR remote sensing, it is limited by factors such as its coarser spatial resolution, <u>and its higher thermal sampling depth</u>, and higher uncertainty in emissivity compared to TIR remote sensing, which results in a lower retrieval accuracy (Zhou et al., 2017). Therefore, retrieving LST from TIR remote 40 sensing is still the dominant approach, since it offers a better physical definition and higher retrieval accuracy. LST retrieval from satellite TIR remote sensing is based on the simplification of the radiative transfer model. A variety of algorithms have been proposed for retrieving LST from TIR data, e.g. Split-Windows Algorithms (SWA), Mono-window Algorithms/Single Channel Algorithms and Temperature-Emissivity separation algorithms (TES) (Gillespie et al., 1998; Li et al., 2013a; Wan and Dozier, 1996). Selecting a suitable algorithm for retrieving LST depends on the sensor's number of TIR channels and their spectral specifications, as well as the available auxiliary input data.

45

The SWA is a good choice for retrieving LST from sensors with two or more TIR channels centred at 11 um and 12 um. e.g. Terra/Aqua MODIS, NOAA AVHRR, ENVISAT AATSR, and Sentinel-3 SLSTR. Based on the idea that the atmospheric absorption in the thermal band can be related to the brightness temperature (BT) difference between two adjacent channels. McMillin (1975) initially proposed the SWA for retrieving sea surface temperature (SST) from NOAA/AVHRR. SWAs for

- 50 retrieving SST from various sensors were developed, which were based on different assumptions (Llewellyn-Jones et al., 1984; Niclòs et al., 2007). Inspired by the success of the SST algorithm, the first SWA for retrieving LST was proposed by Price (1984). However, in contrast to nearly homogeneous and isothermal water bodies, LST is affected by multiple additional factors, e.g. land cover type (LCT), material dependent emissivity, terrain, and viewing geometry. Therefore, one or more terms were added to the basic SWA to describe these effects, e.g. land surface emissivity (Wan, 2014), vegetation cover fraction (Prata, 2002), view zenith angle (Becker and Li, 1990a), and water vapour (Sobrino et al., 1991). Nevertheless, there are still limitations in LST retrieval with SWAs (Li et al., 2013a), e.g. the requirement for a priori knowledge of emissivity and a dependence of LST retrieval accuracy on SW coefficients, which in turn depend on observation and atmospheric conditions. Furthermore, due to the variation of land surface and atmospheric conditions, no single SWA performs the best under all conditions (Yang et al., 2020; Yu et al., 2009; Zhou et al., 2019b).
- 60 Currently, several LST products retrieved-derived from satellite TIR remote sensing are available. Global LST products for Terra/Aqua MODIS are available since 2000, e.g. <u>M\*D11/M\*D21</u>MOD11/MYD11 (Wan, 2008, 2014; Wan et al., 2002) and MOD21/MYD21 (Hulley and Hook, 2011)(Hulley and Hook, 2011; Wan, 2014). Similarly, a JPSS-VIIRS LST product is available since 2012 (Guillevic et al., 2014) and China FengYun-VIRR LST is available since 2009 (Dong et al., 2012). The aforementioned sensors observe Earth's surface twice per day with a spatial resolution of  $\sim 1$  km at nadir. For the user's 65 convenience, some LST products are processed into different temporal and spatial resolutions, e.g. daily / monthly and 1 km×1 km / 0.05°×0.05°. The operational LST product retrieved from the (A)ATSR series between 1995 and 2012 is a typical SWA LST product (Prata, 2002). AATSR's nadir spatial resolution onboard ENVISAT was approximately 1 km and its temporal resolution 3 days. Since 2016, its successor, SLSTR onboard Sentinel-3 A and B, provides daily temporal resolution and a consistent spatial resolution (Ghent et al., 2017). Global LSTs retrieved from satellite TIR also include Landsat LST (Parastatidis et al., 2017) and ASTER LST (Hulley and Hook, 2011), which have significantly higher spatial resolutions (e.g. 70 about 100 m), but considerably lower temporal resolutions (e.g. every 16 days). LST products from geostationary satellites are generated at lower spatial resolution (3-5 km) but considerably higher temporal resolution (10-60 min), e.g. GOES-ABI LST for the Americas and Africa (Yu et al., 2009), MSG-MVIRI/SEVIRI LST for Europe, Africa, and the Atlantic Ocean (Duguay-
  - Tetzlaff et al., 2015; Trigo et al., 2008), FY- SVISSR/AGRI LST and Himawari-AHI LST for the Asian-Pacific region (Choi

75 and Suh, 2018; Jiang and Liu, 2014). Dech et al. (1998) and Pinheiro et al. (2006) provide African and European LST for NOAA-14 AVHRR, Zhou et al. (2019a) provide an all-weather LST product retrieved from combined TIR and MW/Reanalysis data over the Tibetan plateau from 2003 to 2018. There are also a few LST products from MW (e.g. for SSM/I and AMSR-E) (Aires et al., 2001; Jiménez et al., 2017), and LST for land surface models (e.g. ECMWF and GLDAS) (Fang et al., 2009; Viterbo and Beljaars, 1995); however, these temperature-LST products have lower spatial resolutions and slightly different 80 meanings than TIR LST. In summary, from 1991 onwards, many global and regional satellite LST products are available, but higher spatio-temporal resolutions (e.g. 1 km - daily) are only available after 2000. At the same time, many climate applications urgently need higher spatial-temporal resolution LST products for the time before 2000. It has been reported that 1983-2012 were the warmest 30 years for nearly 1400 years (IPCC, 2014). The warm climate change trend has also caused changes in many land surface processes, e.g. most glaciers on the Tibetan Plateau are in retreat (Yao et al., 2012) and the areas covered 85 by them are getting smaller and smaller (Yao et al., 2012), cover progressively smaller areas. The LST around glaciers is a highly useful indicator of this phenomenon and allows predicting trends in glacier status (Steiner et al., 2008). Similar demands for LST data also exist in global drought monitoring (Sánchez et al., 2018), studies of species distribution (Lembrechts et al., 2019), and land surface modelling (Bechtel, 2012; Ghent et al., 2017; Reichle et al., 2010). Therefore, it is meaningful to extend the global LST time series with a relatively high spatio-temporal resolution (i.e. 5 km - daily) to the historical NOAA



A major factor limiting applications of AVHRR LST is orbital drift, which over the lifespan of the NOAA satellites leads to shifts to later overpass times and, therefore, affects temporal comparability. Two main approaches were developed to remove the effect of orbital drift. On the one hand, based on the regular diurnal temperature variation typically observed under clearsky, several researchers corrected orbital drift by fitting a diurnal temperature cycle (DTC) model to reanalysis or geostationary

95 datasets (Jin and Treadon, 2003; Parton and Logan, 1981) and then normalizing LST to a given time. On the other hand, a relationship between LST anomaly and solar zenith angle was used for correcting LST to a given solar zenith angle (Gleason et al., 2002; Gutman, 1999; Julien and Sobrino, 2012). Various applications made use of the two types of orbital drift correction methods for AVHRR LST, but a general method for global application is still missing, i.e. the former method suffers from the low spatial resolution of its input datasets, while latter leads to inconsistent times. Liu et al. (2019a) proposed another method

100 for correcting AHVRR LST orbital drift, which fits a DTC model to component temperatures of neighbourhood pixels and was reported to achieve good accuracy. This approach is used here to generate a time-consistent AVHRR LST product.

As a part of the Global LAnd Surface Satellite (GLASS) product suite (Liang et al., 2020), The-the objective of this study is to develop a long-term global LST product (1981-2000) from historical NOAA AVHRR datasets. Section 2 describes the simulation datasets used for developing consistent SWAs, the input datasets for LST product generation, and the *in-situ* datasets for validating the retrieved LST. In section 3, a practical approach for generating a single optimized LST product is proposed, which integrates several well-established SWAs through the Random Forest, which is termed RF-SWA. Finally, the retrieved

RF-SWA LST is normalized with an improved orbital drift correction method. Furthermore, emissivity estimation for bare soil is improved by using ASTER Global Emissivity Dataset (GED) and yields more accurate estimates of land surface emissivity in section 3.3. Section 4 describes the results and provides implementation details of the LST retrieval method, LST validation, and give an example of the LST product. Data availability shows in section 5. Conclusions and outlooks are provided in section

6.

105

## 2. Datasets

#### 2.1 Satellite remote sensing datasets

#### 2.1.1 AVHRR datasets

- The advanced very-high-resolution radiometer (AVHRR) is a sensor onboard NOAA polar-orbiting satellite series. The orbital period is 101.4 minutes and designed over-pass time at the equator is between 13:30 and 14:30 (solar time) depending on the satellite. The second AVHRR version (AVHRR/2) has five spectral channels, including a visible band (0.55-0.68 µm), a near-infrared band (0.75-1.1 µm), a middle-infrared band (3.55-3.93 µm), and two thermal bands (10.5-11.3 µm and 11.5-12.5µm). Figure 1 shows the spectral responses of the two AVHRR thermal channels of NOAA-07/09/11/14. Nadir spatial resolution of
- 120 the TIR channels is 1.1 km×1.1 km, and scan angles range between -55° and 55°. AVHRR covers the Earth's surface twice daily and has been widely used to generate various local or global land/sea surface parameters, e.g. the normalized difference vegetation index (NDVI) and SST (Casey et al., 2010). In this study, the AVHRR datasets from Long-Term Datasets Records

(Pedelty et al., 2007) (LTDR, https://ltdr.modaps.eosdis.nasa.gov/) are used, including AVH02C1 and AVH13C1, for which spatial resolution has been processed to  $0.05^{\circ} \times 0.05^{\circ}$  (Table 1). Those two datasets include the top-of-atmosphere BT of the

125 TIR channels, NDVI, view zenith angle (VZA), view time, and quality control (QC) flags, which provide a reference for distinguishing pure and cloudy pixels.

#### 2.1.2 ASTER Global Emissivity Dataset (GED)

130

ASTER onboard the Terra satellite and has five TIR channels (Fig. 1). The ASTER GED used in this study was generated from clear sky ASTER TIR data between 2000 and 2008 with the TES algorithm and the water vapour scaling atmosphere correction method (Hulley et al., 2015). The products are output at 3" (~100 m) and 30" (~1 km) spatial resolution on 1°×1° tiles. Channel temporal mean emissivity, LST, and NDVI, as well as their standard deviation, global DEM, and land-sea mask, are part of the GED. In this study, the ASTER GEDv3 with a 1-km spatial resolution was used to determine the global background emissivity of bare land.

#### 2.2 Atmospheric profiles and forward simulation datasets

Global forward simulation datasets with good representativeness are necessary for developing and evaluating LST retrieval algorithms. This requires a reliable atmospheric profile dataset as input. In this study, the well-established SeeBor V5.0 (Borbas et al., 2005) and TIGR2000 V1.2 (Chedin et al., 1985) atmospheric profiles were used to construct the forward simulation datasets. Zhou et al. (2019b) derived a global atmospheric profile dataset (GAPD) by screening the SeeBor V5.0 atmospheric profiles and removing cloud-contaminated and redundant profiles. The GAPD dataset has been used for developing the LST retrieval algorithm for NOAA-20/VIIRS and Sentinel-3/SLSTR (Liu et al., 2019b; Yang et al., 2020): it contains 549 global profiles with a column water vapour content (CWVC) range of 0.014 – 7.939 g/cm<sup>2</sup> and near-surface air temperature (NSAT) range of 224.25 K – 309.05 K. Here, the GAPD was used to generate a training dataset (TRA-G): globally representative observation conditions were simulated by varying the viewing geometry and land surface characteristics over a realistic range for a limited profile dataset (Zhou et al,2019b), i.e. for each profile 10 surface temperatures (*T<sub>s</sub>*), 15 view zenith angles (VZA), and 48 land surface emissivities (LSE, ε) were set. Specifically, *T<sub>s</sub>* was set relative to NSAT with the difference (*T<sub>s</sub>*-NSAT)

covering the range of -16 K to +20 K at an interval of 4 K; VZA was set to values from 0° to 70° at an interval of 5°; emissivity was obtained from Johns Hopkins University (JHU) spectral emissivity library by convolving the emissivity spectra with the spectral response functions of NOAA-07/09/11/14 AVHRR (Fig. 1); the corresponding emissivity ranges are provided in Table 2. For the remaining 4761 SeeBor clear-sky profiles (ATP-S) and 506 TIGR clear-sky profiles (ATP-T), the corresponding simulations were performed and used as evaluation datasets VAL-S and VAL-T, respectively. In contrast to GAPD, for each profile in ATP-S (ATP-T), we randomly set 10 (10) VZAs between 0° and 70°. The corresponding LSE has been assigned according to the LCT over which a profile is located (Snyder et al., 1998) and  $T_s$  for VAL-S and VAL-T was set to the corresponding NSAT. Table 3 summarizes the three profile datasets and the corresponding simulation datasets. More details can be found in Zhou et al. (2019b) and Yang et al. (2020).

#### 155 2.3 Ancillary data used for LST retrieval

150

Four ancillary datasets were used for LST retrieval: NSAT, CWVC, LCT, and soil type. The MERRA-2 reanalysis dataset (M2T1NXINT) provides NSAT and CWVC (variables in datasets: T2M and TQV, respectively) with 0.5°×0.625° spatial resolution and hourly temporal resolution; nearest neighbour sampling was used to match up with AVHRR pixel and over-pass time. AVHRR LCTs were obtained from the University of Maryland (UMD) dataset (Defries and Hansen, 2010), which provides 14 LCTs (0:Water; 1:Evergreen Needleleaf Forest; 2:Evergreen Broadleaf Forest; 3:Deciduous Needleleaf Forest; 4:Deciduous Broadleaf Forest; 5:Mixed Forest; 6:Woodland; 7:Wooded Grassland; 8:Closed Shrubland; 9:Open Shrubland; 10:Grassland; 11:Cropland; 12:Bare Ground; 13:Urban and Built). The spatial resolution of the UMD LCT dataset is 1 km × 1 km. To adapt its resolution of AVHRR, the dominant LCT within each 0.05° grid was used as the LCT for AVHRR. The soil type dataset employed for estimating AVHRR LSE is provided by the United States Department of Agriculture, which is mainly based on the world soil map of FAO-UNESCO. Its spatial resolution is 2' (~ 0.03°) and the soil type of each AVHRR

pixel was also set to the dominant type.

#### 2.4 In-situ datasets

In-situ measurements from the Surface Radiation Budget (SURFRAD) network and the National Data Buoy Center (NDBC)

were used to validate the retrieved AVHRR LST. The details and geographical distribution of the selected *in-situ* sites are 170 provided in Table 4 and Fig. 2. SURFRAD was established in 1993 and focuses on validating Earth's radiation budget. Quality control is an integral part of the design and operation of the SURFRAD network, which results in datasets of high quality and well-defined measurement uncertainties (https://www.esrl.noaa.gov/gmd/grad/surfrad/). Therefore, -but-SURFRAD data also have been widely used for validating satellite-retrieved LST products (Guillevic et al., 2014; Liu et al., 2019b; Martin et al., 2019; Wang and Liang, 2009) and other fields. Six sites providing *in-situ* data between 1995 and 2000 were selected. At these 175 sites, upwelling and downwelling longwave radiances are measured with highly accurate Eppley Precision Infrared Radiometers (PIR: wavelength: 4-50  $\mu$ m) at an observation interval of 3 minutes. The PIRs were set up ~10 m above the ground, giving them a field of view (FOV) covering approximately 70×70 m<sup>2</sup> (Guillevic et al., 2014). Historical data from the NDBC (https://www.ndbc.noaa.gov/historical data.shtml) provide hourly samples of bulk water temperature, which it is measured with electronic thermistors and highly accurate quality controlled **NDBC** and bv 180 (https://www.ndbc.noaa.gov/qc.shtml). Considering the thermal homogeneity of the water surface, buoy temperatures are usually representative of the satellite pixel scale, even if it covers large areas. To avoid mixed land-water pixels, only buoys at

least 20 km from the coastline were selected.

#### 3. Methodology

LST retrieval algorithm from TIR remote sensing, especially with SWAs, is a well established and validated method. However, no single algorithm performs best under all conditions, even if it generally achieves good accuracy (Yu et al., 2009). This suggests that a more stable and robust LST retrieval algorithm may be obtained by integrating various individual LST retrieval algorithms. In this study, the Random Forest (RF) ensemble method (Breiman, 2001) was utilized for integrating multi-LSTs (mLSTs) obtained with several-SWAs into a global AVHRR LST product. First, widely used candidate SWAs were trained and evaluated; these SWAs have been studied in previous work (Yang et al., 2020; Zhou et al., 2019b), and are shown in Table 5 for readers' convenience. Second, estimates of land surface emissivity were improved by combining the NDVI threshold method and ASTER GED. Third, the LSTs from the trained candidate SWAs were integrated with the RF method: thus, the approach is termed RF-SWA. Then, the instantaneous RF-SWA LST was normalized to 14:30 (solar time) using an improved orbital drift correction (ODC) method and the RF-SWA LST and ODC LST products were validated against *in-situ* LST. Finally, for the user's convenience of users, a monthly averaged LST was also generated from the ODC LST with a sample averaging procedure.

#### 3.1 Refining the Candidate Algorithms

195

200

Forward radiative transfer simulations with PMODTRAN (Berk et al., 2005; Huang et al., 2016) were performed on a highperformance computing platform (2\*Intel @Xeon E5-2650 2.00GHz (8Cores), 64GB 1600MHz) for the datasets GAPD, ATP-S, and ATP-T described in section 2.2; the corresponding simulated datasets were labelled as TRA-G, VAL-S, and VAL-T. Each forward simulation yields channel-specific top-of-atmosphere radiances and BTs in dependence of NSAT, CWVC, and VZA. To simulate BTs measured by satellites more realistically, Gaussian-distributed noise with a noise equivalent differential temperature (NEAPT) of 0.12 K, of which is the design goals for the AVHRR TIR channels, was added to the simulated BTs. More details on the simulations are provided in Zhou et al. (2019b).

- Multiple regression was performed on the simulated training datasets, TRA-G, to determine the coefficients of the
  candidate SWAs in Table 5. The TRA-G dataset was divided into 480 groups based on NSAT, CWVC, VZA, and *T<sub>s</sub>*-NSAT as
  follows: (i) the atmospheres were divided into Cold-ATM and Warm-ATM with a NSAT threshold of 280 K; (ii) the data were
  divided into CWVC classes with an interval of 0.5 g/cm<sup>2</sup>. This resulted in 3 subgroups of Cold-ATM and 13 subgroups of
  Warm-ATM; (iii) the VZAs were divided into intervals of 5°; (iv) based on *T<sub>s</sub>*-NSAT, the data were divided into two subgroups
  e.g. [-16,4] K and [-4, 20] K, approximately representing daytime and nighttime cases, respectively. Based on regression
  against these training datasets, look-up tables (LUT) with coefficients for each candidate SWA were established. The candidate
  algorithms were then analyzed w.r.t. the standard error of the estimate (SEE) and coefficient of determination (*R*<sup>2</sup>) and a
  sensitivity analysis was performed for the main input parameters, e.g. LSE and CWVC, to test the stability and accuracy of
  the trained SWAs. Being consistent with the uncertainty level in Zhou et al. (2019b), the various uncertainty sources were
  grouped into 2 levels: (i) L1: |*δ*<sub>E11</sub>|<sub>max</sub>≤ 0.02, |*δ*<sub>E12</sub>|<sub>max</sub>≤ 0.02, and |*δ*CWVC |<sub>max</sub>≤1.0 g/cm<sup>2</sup>. These uncertainties will be added to ε<sub>11</sub>, ε<sub>12</sub>, and CWVC as random noises. Datasets without
  - added uncertainty were labelled as L0. All trained candidate algorithms were evaluated against the simulation datasets VAL-S

and VAL-T.

230

#### 3.2 Multi-LSTs ensemble

Based on the training and evaluation results (see section 4.1), a multi-LST ensemble method is proposed, which hopefully
achieves a more stable retrieval by integrating the most robust and stable SWAs. The method used to integrate the selected
SWAs is the Random Forest (RF) method proposed by Breiman (2001). Compared to detailed analytic expressions for
explaining complicated nonlinear relationships, the RF method has several advantagesoutstanding characteristics, including
the ability to process large databases with high efficiency, unbiased estimation, and especially minimizing the risk of overfitting
(Hutengs and Vohland, 2016). Therefore, the RF method has been widely used in remote sensing applications, e.g. land cover
classification (Rodriguez-Galiano et al., 2012), land surface parameter downscaling (Zhao et al., 2018), and estimating
vegetation cover parameters (Mutanga et al., 2012).

The RF method utilizes an ensemble of many decision trees. In the implementation of the RF ensemble method, a random vector  $\Theta_k$  is selected from the input training datasets (mLSTs, LST) with the Bootstrap sampling method. Here, *k* is the number of samplings; mLSTs are the LSTs retrieved with the individual SWAs, i.e. the predictors; LST, i.e. the target variable is known from the forward simulations. The sample size of each sampling is two-thirds of the observations; for each sampling, a tree is grown using the training set and  $\Theta_k$ , which results in a tree predictor  $T(\Theta_k)$ . Finally, the LST predicted with the RF is formed by averaging over the *k* trees (Eq. 1),

$$g = \frac{1}{k} \sum_{i=1}^{k} T(\theta_k) \tag{1}$$

Along with the predicted LST, the importance of each variable can be calculated using the residual sum of squares (RSS), which usually has larger values for more influential mLSTs. Additionally, the simple average (SA) method and Bayesian Model Averaging (BMA) method are implemented for comparison. To cover the real natural variability as much as possible, datasets TRA-G (L0, L1, and L2), VAL-S (L0), and VAL-T (L0) are used as training datasets for the LST ensemble model. The remaining datasets VAL-S and VAL-T at uncertainty levels L1 and L2 are used for evaluating the ensemble model. For the later generation of global LST products, only mLSTs from the selected SWAs are needed.

#### 240 **3.3 Estimating LSE**

LSE is a key parameter in retrieving LST from TIR remote sensing data. Depending on the spectral channels of the sensor and the available temporal sampling, there are various LSE estimation methods, e.g. the NDVI-threshold method (Sobrino et al., 2008), land cover-based (LC-based) method (Snyder et al., 1998; Wan, 2014), TES method (Gillespie et al., 1998), day-night method (Becker and Li, 1990b), and Kalman filter method (Li et al., 2013b; Masiello et al., 2015). The NDVI-threshold and

- LC-based methods are widely used in retrieving LST (Sobrino et al., 2008; Wan, 2014). However, those methods require that the emissivity of the land cover or the vegetation and bare (background) soil is known. In the TIR, emissivity spectra of dense vegetation are relatively similar and, therefore, can be taken from spectral libraries; these spectra can then be convolved with the sensor's spectral response functions to obtain channel effective emissivities. In contrast, the emissivity of bare soil varies considerably, mainly due to variations of its components, roughness, water content, and surface structure. Therefore, this study
- employs a practical and robust method that combines the ASTER GED and the NDVI-threshold method to determine LSE.

First, the land surface is classified into pure bare soil, mixture of vegetation and bare soil, and pure vegetation. The emissivity in mixed areas ( $\varepsilon_{\lambda}$ ) is obtained as the weighted sum of vegetation emissivity ( $\varepsilon_{\lambda,v}$ ) and bare soil emissivity ( $\varepsilon_{\lambda,s}$ ), where the fraction of vegetation cover ( $f_v$ ) determines the weights (Carlson and Ripley, 1997; Hulley et al., 2015):

$$\varepsilon_{\lambda} = \varepsilon_{\lambda,v} f_v + \varepsilon_{\lambda,s} (1 - f_v) \tag{2}$$

255 here, the  $f_v$  can be calculated as Eq. (3),

$$f_{\rm v} = \begin{cases} 0, & NDVI \le \rm NDVI_{min} \\ 1 - \frac{\rm NDVI_{max} - NDVI}{\rm NDVI_{max} - NDVI_{min}}, & \rm NDVI_{min} < NDVI_{max} \\ 1, & NDVI \ge \rm NDVI_{max} \end{cases}$$
(3)

where NDVI<sub>max</sub> and NDVI<sub>min</sub> are the thresholds for separating into vegetation areas, mixed areas, and bare soil areas. In order to obtain globally consistent  $f_v$  values, NDVI<sub>max</sub> and NDVI<sub>min</sub> were set to 0.5 and 0.2 (Sobrino et al., 2001), respectively.

According to Eqs. (2) and (3), the ASTER thermal channel emissivity of bare soil can be calculated as,

$$260 \qquad \varepsilon_{j,s}^{\text{AST}} = \frac{\varepsilon_{j,v}^{\text{AST}} - \varepsilon_{j,v}^{\text{AST}} f_v}{1 - f_v} \tag{4}$$

where  $\varepsilon_j^{\text{AST}}, \varepsilon_{\lambda,v}^{\text{AST}}$  and  $\varepsilon_{\lambda,s}^{\text{AST}}$  are the ASTER emissivity for the observation, dense vegetation, and bare soil in channel *j* (*j*=10,...,14), respectively. The ASTER thermal channel emissivities for dense vegetation is given in Meng et al. (2016).

In order to convert bare soil emissivities from ASTER spectral channels to AVHRR spectral channels, the following linear relationship is fitted to channel emissivities obtained from the JHU bare soil spectral library (Salisbury, 1991):

265 
$$\varepsilon_{i,s}^{AVH} = a_0 + a_1 \varepsilon_{10,s}^{AST} + a_2 \varepsilon_{11,s}^{AST} + a_3 \varepsilon_{12,s}^{AST} + a_4 \varepsilon_{13,s}^{AST} + a_5 \varepsilon_{14,s}^{AST}$$
 (5)  
where  $\varepsilon_{i,s}^{AVH}$  (*i*=411, 512) is the AVHRR bare soil emissivity in channel centred at *i* and  $a_k$  (*k*=0,...,5) are coefficients (Table 6).

Figure 3 illustrates LSE estimation, which consists of two main parts:

- Part I describes how static bare soil emissivity is obtained. After preparing the ASTER GED datasets, global mean NDVI and channel emissivity maps are obtained and mean  $f_v$  is calculated via Eq. (3). In combination with the LUT for ASTER vegetation emissivity from Meng et al. (2016), an initial global ASTER bare soil emissivity map is obtained via Eq. (4). However, due to regions with persistent cloud cover and over areas with dense vegetation (i.e. no visible bare soil fraction), the obtained global emissivity maps for bare soil still have considerable data gaps. These missing values in the bare soil emissivity maps are filled with the average emissivity of the same soil type within the 3×3 neighbourhood pixels. If there is no neighbour valid pixel for averaging, the neighbourhood is enlarged until all data gaps are filled.
  - Part II describes the estimation of the daily dynamic emissivity. Firstly, the global ASTER background bare soil spectral channel emissivities are converted to AVHRR spectral channels via Eq. (5). Then, AVHRR channel emissivities are obtained via Eq. (2) with NDVI values from the AVH13C1 dataset. Vegetation emissivities are taken from a look-up table (Table 7), which is based on AVHRR LCTs and vegetation emissivities from Pinheiro et al. (2006). Furthermore, emissivities of built-up areas and water are used for separating these areas from other non-vegetated areas.

#### 3.4 Orbital Drift Correction

280

The orbital drift of the NOAA-series satellites is a serious limitation for applications of AVHRR LST. Therefore, an orbital drift correction (ODC) would be highly useful and beneficial for many users. The actual overpass times of the NOAA-series afternoon satellites are between 13:00-17:30. In order to include the four-afternoon satellites (Table 1), the target time for ODC

285 is set to 14:30 (solar time). According to the ODC method proposed by Liu et al. (2019a), the LST relationship between overpass time and ODC target time (14:30) can be written as:

$$T_{\rm s}(t) = T_{\rm s}(14.5) + T_{\rm a} \left\{ \cos\left(\frac{\pi}{\omega}(t - t_{\rm m})\right) - \cos\left(\frac{\pi}{\omega}(14.5 - t_{\rm m})\right) \right\}$$
(6)

where *t* is the time of the day in hours;  $T_a$  is the diurnal amplitude of LST in K;  $\omega$  is the length of daytime and  $t_m$  is the time of maximum LST in hours (Göttsche and Olesen, 2001). Here,  $\omega$  is determined by the duration of daytime:  $\omega = \frac{2}{15}\cos^{-1}\left(\frac{\cos 85^{\circ}}{\cos \phi \cos \delta} - \tan \phi \tan \delta\right)$ , where  $\phi$  is the latitude of the pixel in degree and  $\delta$  is the solar declination.  $\delta$  can be expressed as a function of the day of the year (DOY):  $\delta = 23.45 \sin\left(\frac{360^{\circ}}{365}(284 + \text{DOY})\right)$  (Elagib et al., 1998).

Similar to the component emissivity in Eq. (2), LST can be approximated as the weighted sum of the component temperatures of the vegetation and bare soil areas (Quan et al., 2018):

$$T \approx f_{\rm v} T_{\rm veg} + (1 - f_{\rm v}) T_{\rm soil} \tag{7}$$

295 where  $T_{\text{veg}}$  and  $T_{\text{soil}}$  are the component temperatures of vegetation and bare soil, respectively.

290

300

305

Starting with the approach by Liu et al. (2019a), we further divide the diurnal temperature amplitude  $T_a$  into two components (i.e., vegetation and soil). Thus, Eq. (6) can then be rewritten as:

$$T_{s}(t) = f_{v}T_{s,veg}(14.5) + (1 - f_{v})T_{s,soil}(14.5) + \left(f_{v}T_{a,veg} + (1 - f_{v})T_{a,soil}\right) \left\{ \cos\left(\frac{\pi}{\omega}(t - t_{m})\right) - \cos\left(\frac{\pi}{\omega}(14.5 - t_{m})\right) \right\}$$
(8)

where  $T_{s,veg}(14.5)$ ,  $T_{s,soil}(14.5)$  are the component temperatures of vegetation and bare soil at target time 14:30, respectively;  $T_{a,veg}$ ,  $T_{a,soil}$  are the component of diurnal temperature amplitude  $T_a$ , respectively.

In Eq. (8), the parameters  $T_s$ ,  $f_v$ , and t are available for each pixel. To obtain the other five parameters  $T_{s,veg}(14.5)$ ,  $T_{s,soil}(14.5)$ ,  $T_{a,veg}$ ,  $T_{a,soil}$ , and  $t_m$ , it is assumed that the component temperatures and the shape of the diurnal temperature cycle are approximately the same in a 3×3 pixel neighbourhood. With this assumption, there are nine equations to solve for the five unknown parameters. To constrain the solution, boundaries are set for each parameter. The boundaries for  $T_{s,veg}(14.5)$  and  $T_{s,soil}(14.5)$  are [ $T_{center}$ -10,  $T_{center}$ +15] K, and  $T_{centre}$  is the LST for the centre pixel in the 3×3 neighbourhood. The boundaries for  $T_{a,veg}$  and  $T_{a,soil}$  are [5, 40] K and  $T_{a,soil}$  must be larger than  $T_{a,veg}$ . The boundary for  $t_m$  is [12, 15] in hours. In order to obtain more stable parameters, the pixel's ODC parameters obtained with an averaged value from the neighbourhood when Eq. (8) cannot be fitted, e.g.  $f_v$  are similar to each other (e.g.  $f_v=0$ , 1) in the 3×3 pixel neighbourhood. If there is no neighbour valid pixel for averaging, the neighbourhood area is enlarged from 3×3 to 9×9. Once the parameters are determined, the LST at

310 14:30 can be calculated via Eq. (9).

315

$$T_{\rm s}(14.5) = T_{\rm s}(t) + \left(f_{\rm v}T_{\rm a,veg} + (1 - f_{\rm v})T_{\rm a,soil}\right) \left\{\cos\left(\frac{\pi}{\omega}(14.5 - t_{\rm m})\right) - \cos\left(\frac{\pi}{\omega}(t - t_{\rm m})\right)\right\}$$
(9)

#### **3.5 Generation of LST products**

The product generation executable (PGE) code includes four Modules. Module I is for generating the multi-LST with the selected SWAs. Three different types of input data enter this module: (i) the satellite data: BTs from AVH02C1, NDVI from AVH13C1, bare soil emissivity (see section 3.3), and AVHRR LCTs from UMD; (ii) look-up tables: coefficients of the SWAs (see section 3.1), emissivities of vegetation, water, and built-up areas (see Table 7); and (iii) ancillary data: NSAT and CWVC from MERRA and land-sea mask. The QC flags in AVHR02C1 are also used to identify cloudy pixel. If a pixel contains cloud or cloud shadow, its LST is not calculated. Therefore, the output of Module I is multi-LST under clear sky conditions.

Module II is for integrating the multi-LST with the trained RF ensemble model. The inputs include the multi-LST from

320 Module I and the RF ensemble model; the output is the ensemble LST, which is termed RF-SWA LST. Module III is for normalizing the LST affected by orbital drift to 14:30 solar time. In this Module, the input datasets include the RF-SWA LST and NDVI; the latter is used for calculating the fraction of vegetation. The output of Module III is orbital drift corrected LST, which is termed OCD LST. Module IV is for generating monthly average LST: the module first groups ODC LST by month, sums the valid LST in each month up, and divides them by the respective number of valid LST. The output from this Module 325 is monthly averaged ODC LST. All LST data are stored in standard HDF-EOS format. Table 8 shows the variables provided in the three types of LST data files.

#### 3.5-6 LST product validation based on *in-situ* LST

At the surface, *in-situ* LST can be estimated from measurements of broadband hemispherical upwelling radiance ( $L_u$ ) and atmospheric downwelling radiance ( $L_d$ ) using Stefan-Boltzmann's law<del>as</del>:

$$330 T_{s} = \sqrt[4]{\frac{L_{u} - (1 - \varepsilon)L_{d}}{\varepsilon\sigma}} (10)$$

where  $T_s$  is *in-situ* LST;  $\varepsilon$  is the broadband emissivity  $\varepsilon$  is obtained from AVHRR LSE in, which is calculated from AVHRR LSE for channel 4 and 5 centred at 11µm and 12µm via the empirical relationship  $\varepsilon$ =0.2489+0.2386 $\varepsilon_{114}$ +0.4998 $\varepsilon_{125}$  (Liang, 2005);  $\sigma$  (=5.67×10<sup>-8</sup> W/(m<sup>2</sup>K<sup>4</sup>)) is the Stefan-Boltzmann constant.

Before the validation was performed, *in-situ* LST and AVHRR LST were accurately matched up in terms of geolocation and acquisition time (nearest-neighbour interpolation and, depending on the site, time differences of less than 3 min, 15 min or 30 min). Furthermore, VZAs were limited to less than 40°. Additionally, three-sigma ( $3\sigma$ ) filtering (Eq. 11) was employed to remove the samples contaminated by undetected clouds (Göttsche et al., 2016; Pearson, 2002).

$$S = 1.4826 * median\{|x_k - x^{med}|\}$$
(11)

where  $x_k$  are the LST differences between the retrieved and *in-situ* values;  $x^{med}$  is the median of the residuals. Matchups with residuals greater than  $x^{med} + 3S$  or less than  $x^{med} - 3S$  are regarded as outliners.

#### 4. Results and discussion

#### 4.1 Training results and selection of SWAs

For NOAA-07/11 AVHRR, the candidate SWAs in Table 5 were already trained and evaluated by Zhou et al. (2019b). Here, the SWAs are additionally trained and evaluated for NOAA-09/14 AVHRR. Generally, the SWA training results for the four
sensors are consistent with each other. Therefore, only the result for NOAA-14 AVHRR is listed here. The candidate algorithms OV1992, FO1996, and FOW 1996 show the worst regression accuracy regardless of atmospheric conditions with standard errors of the estimate (SEE) higher than 1.49 K, 1.48 K, and 1.32 K, respectively. For Warm-ATM, the SEE of PP1991 increases rapidly with increasing CWVC, while it shows good accuracy for Cold-ATM with SEE between 0.33 K and 0.75 K. The SEE values for UC1985 and MT2002 were larger than those of most other SWAs, even though they are still lower than for OV1992, FO1996, FOW1996, and PP1991: therefore, these six SWAs were disregarded in the further analysis. For the remaining 11 SWAs, a sensitivity analysis was performed for the TRA-G simulation dataset with uncertainties levels L1 and L2. The results showed that SO1991 and CO1994 are sensitive to uncertainties in LSE and CWVC. Consequently, these two SWAs were also excluded from the candidate algorithm list. More details on the training and sensitivity analysis are provided in Zhou et al.

355 The nine remaining SWAs for NOAA-09/14 AVHRR were then tested with the simulation datasets VAL-S and VAL-T. For completeness, Fig. 4 shows these results together with those obtained for NOAA-07/11. It can be seen that the retained nine SWAs have low RMSE values, which range between 0.38 K and 0.49 K for VAL-S and between 0.47 K and 0.68 K for VAL-T. Since the atmospheric profiles used to generate VAL-S and VAL-T are globally distributed, we conclude that these nine SWAs should perform well globally. The results for VAL-S in Fig. 4 reveal that BL-WD and WA2014 show the highest 360 overall accuracy, followed by BL1995, PR1984, and VI1991. The RMSE values of these four SWAs are ~0.48 K. For VAL-T, BL1995 and BL WD show the highest accuracy, followed by WA2014, VI1991, and PR1984; in this case, the RMSE of these four SWAs is ~0.60 K. For all nine SWAs, the accuracy decreases as the VZA increases. While BL-WD achieves the highest accuracy, no obvious differences between the other eight SWAs are observed. From the 17 LCTs over which the atmospheric profiles are located, taking VAL-T for NOAA-14 AVHRR as an example, BL1995 BL-WD performs best for six-nine LCTs, 365 and BL WDV11991 and BL1995 for three LCTs. In contrast, there is no LC type over which PR1984, VI1991 SR2000, GA2008, and UL1994 perform best. It is because those SWAs show different sensitivity to the emissivity, of which was set by the LCTs of profiles located. When assessing the effect of different atmospheric conditions, in Cold-ATM the highest accuracy is found for BL1995 and BL-WD. In Warm-ATM, when  $T_s$ -NSAT is within [-4, 20] K, BL-WD performs the best for CWVC below 2.5 g/cm<sup>2</sup>, while PR1984 performs the best when CWVC exceeds 4.5 g/cm<sup>2</sup>. When  $T_s$ -NSAT is within [-16,4] K, 370 WA2014 shows the best performance for CWVC below 3.5 g/cm<sup>2</sup>; with increasing CWVC, BL1995 and BL-WD show the highest accuracy. Overall, it is found that no single SWA achieves the highest accuracy under all conditions.

#### 4.2 Multi-LSTs ensemble

The nine SWAs were integrated with the RF ensemble method. For comparison, the simple averaging (SA) method and Bayesian Model Averaging (BMA) method were also employed. In contrast to Zhou et al. (2019b), here we used LST retrieved

375 with SWAs trained with TRA-G (L0, L1, and L2) and VAL-S/T (L0) to simulate a more realistic situation with uncertainty. Generally, the MBE of the RF ensemble method and the BMA model method is negligible (of the order of 10<sup>-4</sup> K or less), while the MBE of SA method and single SWA is larger (of the order of 0.1 K). It can be concluded that the two ensemble methods (i.e. RF and BMA) similarly reduce systematic error. In terms of training accuracy, the RF model shows obvious advantages with a STD of less than 0.50 K for the four NOAA AVHRR sensors while the STD of SA and BMA is larger and

- 380 varies between 1.27 and 1.35 K. Figure 5 highlights the importance of variance for forming the RF ensemble: the most important SWA is BL1995 with an importance value of 0.67, 0.64, 0.68, and 0.83 for NOAA-07, 09, 11, and 14, respectively. The second most important SWA for NOAA-07. 09, and 11 is ULW1994, while WA2014 is the second most important SWA for NOAA-14. SR2000 is also of some importance for the ensemble process. Figure 5 confirms that the most important SWA, i.e. BL1995, is consistent with the most accurate SWA under different atmospheric conditions.
- 385
- Figure 6 shows the SEEs of the three ensemble methods for different CWVC zones and VZA subranges for NOAA-14 AVHRR. Compared to the BMA and SA models for all atmospheric conditions and VZAs, the RF ensemble model achieves an obvious improvement in LST accuracy. For Cold-ATM, the SEE of RF increases slowly with increasing CWVC and VZA and varies from 0.21 K to 0.45 K. In contrast, the SEEs of BMA and SA show larger variations for both, increasing CWVC and VZA, and range from 0.72 K to 1.23 K. For Warm-ATM and CWVC less than 3.0 g/cm<sup>2</sup>, there is no obvious increase in 390 SEE with increasing CWVC or VZA. However, SEE increases noticeably with increasing VZA when CWVC exceeds 3.0 g/cm<sup>2</sup>, especially at VZA larger than 35°. However, the SEE of RF is always smaller than that of BMA and SA; RF SEE only exceeds 1.0 K when CWVC is larger than 5.0 g/cm<sup>2</sup> and VZA exceeds 60°. Under the same conditions, the SEE of BMA and SA is larger than 2.0 K. Therefore, it is concluded that the RF ensemble method achieves a higher training accuracy than the
- 395 To assess the stability and sensitivity of the RF model, the LST estimated with RF, BMA, and SA method were evaluated against the VAL-T and VAL-S datasets at uncertainty levels L1 and L2. Figure 7 shows the evaluation of the three methods for NOAA-14 AVHRR. For VAL-S at L1, STD and RMSE of about 0.7 K are found for all three methods; however, the biases of RF (MBE=-0.04 K) and BMA (MBE=-0.03 K) are smaller than that of SA (MBE=-0.11 K) and negligible (i.e. less than ±0.1 K). For VAL-S at L2, the bias for all three methods is negligible. However, considerable improvements are obtained with the 400 RF method in terms of STD/RMSE, which is about 0.25K lower than for BMA and SA. For VAL-T at L1, the RF method has a slightly larger bias (MBE=-0.1 K) than the SA/BMA methods; however, its STD/RMSE is smaller. For VAL-T at L2, the three methods have a similar bias. However, RF has a significantly smaller STD/RMSE of 1.02/1.03 K than SA (1.41/1.42 K)

BMA and SA methods, with a RF training accuracy of less than 1.0 K under most conditions.

#### 4.3 Validation of RF-SWA LST against in-situ LST

- 405 First, the generated RF-SWA LST was validated against *in-situ* LST from SURFRAD sites. Figure 8 shows a scatterplot between RF-SWA LST and SURFRAD *in-situ* LST and some statistic indicators, i.e. MBE, RMSE, STD, R<sup>2</sup>, and N (i.e. sample size). High correlations are found between RF-SWA LST and *in-situ* LST with a R<sup>2</sup> range of 0.91-0.96. MBE varies between -1.59 K and 2.71 K and RMSE between 2.25 K and 3.86 K. Compared to LST products for MODIS, AATSR, and VIIRS, which were also validated against SURFRAD *in-situ* LST (Duan et al., 2019; Liu et al., 2019b; Martin et al., 2019), RF-SWA
- 410 LST shows a similar accuracy and precision. It should be noted that the large MBE at BND, GWN, and TBL are probably due to a lack of *in-situ* LST representativeness at the satellite scale, e.g. BND, a seasonal bias variation is observed. During the dormancy season, the surface within the ground radiometer's FOV and the corresponding AVHRR pixel are both fairly homogeneously covered by bare soil and grassland, which leads to smaller LST differences. In contrast, during the growing season, most of the area within the AVHRR pixel is covered by cropland and the fraction of vegetation cover depends on the
- 415 crop's growth stage: especially in the early growing and harvesting season, there are many bare areas between crop rows, which causes larger LST differences. If only BND matchups during the dormancy season are considered, the corresponding MBE and RMSE between RF-SWA LST and *in-situ* LST reduce to 1.56 K and 2.58 K, respectively. At GWN, the land cover within the ground radiometer's FOV is also grassland; however, the corresponding AVHRR pixel includes several nearby forest areas. Therefore, the daytime LST observed on the pixel scale tends to lower than the *in-situ* LST. At TBL, RF-SWA
- 420 LST is lower than *in-situ* LST for *in-situ* LST larger than 300 K: this may be explained by a larger vegetation area southeast of the site, which is included in the AVHRR pixel, while the ground radiometer's entire FOV is covered by bare soil.

Figure 9 shows scatterplots between RF-SWA LST and NDBC lake surface water temperature (LSWT) and some statistic indicators for buoys in the East Pacific, the Big Lakes, Gulf of Mexico, and western Atlantic. As shown in Table 4, the number of buoys for each area differs. The RF-SWA LST shows a good correlation with *in-situ* LSWT with  $R^2$  ranging from 0.94 to 0.98. The plots also show low systematic errors and high precision, e.g. MBEs are less than 0.26 K and RMSE ranges from

425 0.98. The plots also show low systematic errors and high precision, e.g. MBEs are less than 0.26 K and RMSE ranges from 0.77 K to 0.89 K. Overall, the validation results resemble those obtained for the simulation datasets in section 4.2. Furthermore, the validation results meet WMO's requirements for applications of LST/LSWT in different fields, e.g. an uncertainty of 2.0 K for Agricultural Meteorology and of 1.0 K for Climate Monitoring (WMO, 2020).

#### 4.4 Orbital Drift Correction

430 The retrieved RF-SWA LST were normalized for the orbital drift of the NOAA-series satellites using the orbital drift correction method described in section 3.4. Since water surface temperature varies relatively slowly, only the retrieved surface temperatures over land were normalized. The orbital drift corrected LST (ODC LST) was then validated against the same *in*situ data as in section 4.3. Figure 10 shows a boxplot of the residuals ( $T_{AVHRR}$ - $T_{in-situ}$ ) for the ODC LST and RF-SWA LST. The plot shows that the bias of the ODC LST over the 6 sites is similar to that of the uncorrected RF-SWA LST. From the six 435 SURFRAD sites, BND has the highest positive bias, while GWN and TBL show negative biases. Following the explanation in section 4.3, this is probably due to less representative *in-situ* measurements. The standard deviations (STD) of the ODC LST residuals at the six SURFRAD sites are 3.62 K (BND), 2.34 K (DRA), 3.38 K (FPK), 3.45 K (GWN), 2.57 K (PSU), and 3.69 K (TBL). The STD variations (ODC LST – RF-SWA LST) ranges from 0.06 K to 1.15 K. This indicates that the ODC LST maintains the good accuracy of RF-SWA LST and its performance primarily depends on surface conditions. This is 440 understandable because the improved ODC method uses adjacent pixels to compensate for the lack of temporal information. Nevertheless, the improved ODC method provides a practical way to correct the effect of orbital drift on LST retrieved from NOAA AVHRR data.

#### 4.5 Global ODC LST product examples

Figure 11 shows monthly averaged ODC LST for March, June, September, and December 1999 normalized to 14:30 solar time.
The LST show an obvious annual variation as seasons change with Earth's revolution around the Sun. In March and September
(Fig. 11 a and c), the Sun is overhead near the equator, which then receives most of the solar energy. However, the highest LST are observed north and south of the equator, i.e. over the Sahara and Australia. This is due to the equatorial regions' dense coverage with tropical rainforests, e.g. in the Amazon and Congo basins. The lowest LST are observed in the northern hemisphere around 45°N and around the Qinghai-Tibet Plateau. In June (Fig. 11 b), the Sun is more overhead in the northern

450 hemisphere and the area with the highest LST is located around 30°N, e.g. over the Sahara Desert, the Arabian Peninsula, and the Iran-Pamir Plateau. At the same time, LST also increases north of 45°N. In December (Fig. 11 d), the area with the highest LST is mainly located over Oceania and parts of South America. It should be noted that the large areas with invalid data, which are mainly observed at latitudes larger than 45°, are caused by the strict cloud filtering algorithms, which frequently recognize snow and ice as cloud, and polar night when no visible data are available to calculate NDVI (related to LSE). Furthermore, in

June there are many invalid pixels over southern and southwestern China, which is regularly affected by cloudy weather.

In order to demonstrate the temporal consistency between satellites, Figure 12 shows time series of monthly averaged ODC LST from 1981-2000 for the Amazon basin, the Arctic pole, and the Tibetan plateau (areas are shown in Fig. 1): no significant orbital drift or inconsistencies can be seen, indicating that the ODC method adequately normalized the retrieved AVHRR LST. The larger annual variations over the North pole (Fig. 12 b) are related to the specific variation of solar radiation in high latitude areas, i.e. polar day and polar night. For the Amazon basin (Fig. 12 a), the North Arctic pole (Fig. 12 b), and the Tibetan plateau (Fig. 12 c), the linear regressions (blue lines) show different trends with rates of 0.048±0.024 K/year (*p*-value=0.046), 0.087±0.221 K/year (*p*-value=0.695), and 0.081±0.103 K/year (*p*-value=0.433), respectively. However, these rates may be affected by averaging over large areas and by the frequently missing data due to clouds. Therefore, more in-depth analyses, especially with *in-situ* observations and reanalysis data, are needed (Liu et al., 2008; Rigor et al., 2000; Schneider and Hook, 2010; Wu et al., 2013).

#### 5. Data availability

Global LST products retrieved from NOAA/AVHRR data between 1981 to 2000 are freely available at https://doi.org/10.5281/zenodo.3934354 for RF-SWA LST (Ma et al., 2020a); https://doi.org/10.5281/zenodo.3936627 for ODC LST (Ma et al., 2020c); https://doi.org/10.5281/zenodo.3936641 for monthly averaged LST (Ma et al., 2020b). The dataset is also available at the National Earth System Science Data Center, National Science & Technology Infrastructure of

470

455

#### 6. Conclusion and Outlook

480

495

Three global LST products with a spatial resolution of 0.05°×0.05° have been generated from historical NOAA-7/9/11/14 AVHRR data (1981-2000). These LST products are obtained in four steps: (1) training and evaluation of 17 AVHRR SWAs,

475 (2) integrating nine selected SWAs with the Random Forest method (RF-SWA), (3) correcting the effect of orbital drift by normalising RF-SWA LST to 14:30 solar time, and (4) validating the retrieved LST against *in-situ* LST data.

The 17 trained candidate SWAs generally showed consistent results for the four sensors. The candidate algorithms OV1992, FO1996, FOW1996, PP1991, UC1985, and MT2002 had larger SEE than the other SWAs while SO1991 and CO1994 were sensitive to uncertainties in LSE and CWVC. Therefore, these SWAs were rejected. The nine remaining SWAs were evaluated based on the simulation datasets VAL-S and VAL-T. The results show that the trained nine SWAs have RMSE ranging between 0.38 K and 0.55 K for VAL-S and between 0.53 K and 0.69 K for VAL-T. Since the atmospheric profiles used to simulate and evaluate were chosen to be globally representative, we conclude that these nine SWAs should perform well globally.

The RF ensemble method was then been applied to the nine selected SWAs. Compared to individual SWAs, sample averaging, and the BMA ensemble method, the RF ensemble method showed the best accuracy when evaluated against the simulation datasets. The RF ensemble algorithm yielded an accuracy better than 0.8 K for a maximum LSE uncertainty of 0.02 and a maximum CWVC uncertainty of 1.0 g/cm<sup>-2</sup>; the accuracy was still better than 1.10 K when the maximum LSE uncertainty increased to 0.04. Based on these results, the algorithm theoretically satisfies the target accuracy requirement of WMO, i.e. an accuracy better than 1.0 K at a spatial resolution of 5 km. Furthermore, it is concluded that the RF method outperforms the SA and BMA methods and has the greatest potential for improving LST retrieval accuracy.

The RF-SWA LST and ODC LST are validated against *in-situ* LST from SURFRAD sites and NDBC buoys. Against SURFRAD LST, the MBE of RF-SWA LST varies from -1.59 K to 2.71 K and its STD varies from 2.26 K to 2.76 K, which is similar to LST products retrieved from other sensors, e.g. MODIS. Against NDBC data from 1981-2000, RF-SWA LST also shows good accuracy and precision: with its small MBE (less than 0.10 K) and a STD ranging from 0.84 to 1.05 K, its performance against *in-situ* water temperature is similar to that for the simulated datasets. When validated against the same SURFRAD LST, the MBE of ODC LST ranges from -1.05 K to 3.01 K, which is similar to the MBE obtained for RF-SWA

31

LST; its STD increases and ranges from 2.34 K to 3.69 K. Overall, it is concluded that both RF-SWA LST and ODC LST achieve similar accuracy.

The generated global AVHRR LST is well suited to meet the needs of many applications and studies, e.g. global climate change, radiation budget, and energy balance, mapping of land cover change. However, further research should address the following points: first, the developed LST products were validated against *in-situ* LST data from North America, while they need to be validated globally, e.g. against AsiaFlux measurements, historical air temperature, reanalysis data, etc. Second, ODC LST is obtained at a single overpass time, which required using prior knowledge on temporal parameters. If additional information on LST would be available, e.g. from modelling datasets, geostationary satellite datasets, and AVHRR nighttime datasets. Third, over some areas, there are many invalid values, e.g. southwest China, which frequently experiences cloudy and rainy weather. It is expected that future work can utilize recent progress in generating global all-weather LST products (Martins et al., 2019; Zhang et al., 20192020) to help integrating multi-source data, e.g. passive microwave brightness temperature and reanalysis data.

#### Author contributions

510 Jin Ma and Ji Zhou designed the research, and Jin Ma implemented the research and completed the original manuscript; Ji Zhou and Frank-Michael Göttsche supervised the research; Shunlin Liang founded and improved the research; Shaofei Wang and Mingsong Li assisted in generating the RF-SWA LST and ODC LST, respectively. All co-authors revised the manuscript and contributed to the writing.

### **Competing interests**

515 The authors declare that they have no conflict of interest.

# Acknowledgements

This work was supported by the National Key Research and Development Program of China (Grant 2018YKF1505205),

the National Natural Science Foundation of China (Grant 41871241), and by the Fundamental Research Funds of the Central Universities of China (Grant ZYGX2019J069). Jin Ma also thanks China Scholarship Council (Grant 201906070077) for his

520 stay in Karlsruhe Institute of Technology, Germany. The NOAA AVHRR data were downloaded from Level-1 and Atmosphere Archive & Distribution System Distributed Active Archive Center (<u>https://ladsweb.modaps.eosdis.nasa.gov/</u>), SURFRAD data were downloaded from <u>ftp://aftp.cmdl.noaa.gov/data/radiation/surfrad/</u>, BUOYS data were downloaded from National Data Buoy Center (https://www.ndbc.noaa.gov/).

#### Reference

- Aires, F., Prigent, C., Rossow, W. B. and Rothstein, M.: A new neural network approach including first guess for retrieval of atmospheric water vapor, cloud liquid water path, surface temperature, and emissivities over land from satellite microwave observations, J. Geophys. Res. Atmos., 106(D14), 14887–14907, doi:10.1029/2001JD900085, 2001.
  Anderson, M. C., Kustas, W. P., Norman, J. M., Hain, C. R., Mecikalski, J. R., Schultz, L., González-Dugo, M. P., Cammalleri, C., D'Urso, G., Pimstein, A. and Gao, F.: Mapping daily evapotranspiration at field to continental scales using
- geostationary and polar orbiting satellite imagery, Hydrol. Earth Syst. Sci., 15(1), 223–239, doi:10.5194/hess-15-223-2011,
  2011.

Bechtel, B.: Robustness of annual cycle parameters to characterize the urban thermal landscapes, IEEE Geosci. Remote Sens. Lett., 9(5), 876–880, doi:10.1109/LGRS.2012.2185034, 2012.

Becker, F. and Li, Z.-L.: Towards a local split window method over land surfaces, Int. J. Remote Sens., 11(3), 369–393,

535 doi:10.1080/01431169008955028, 1990a.

Becker, F. and Li, Z.-L.: Surface temperature and emissivity at various scales: definition, measurement and related problems, Remote Sens. Rev., 12(3–4), 225–253, doi:10.1080/02757259509532286, 1995.

Becker, F. and Li, Z. L.: Temperature-independent spectral indices in thermal infrared bands, Remote Sens. Environ., 32(1), 17–33, doi:10.1016/0034-4257(90)90095-4, 1990b.

Berk, A., Anderson, G. P., Acharya, P. K., Bernstein, L. S., Muratov, L., Lee, J., Fox, M., Adler-Golden, S. M., Chetwynd, J.
H., Hoke, M. L., Lockwood, R. B., Gardner, J. A., Cooley, T. W., Borel, C. C. and Lewis, P. E.: MODTRAN 5: a

reformulated atmospheric band model with auxiliary species and practical multiple scattering options: update, in Proc.SPIE, vol. 5806., 2005.

Borbas, E., Seemann, S. W., Huang, H.-L., Li, J. and Menzel, W. P.: Global profile training database for satellite regression

- retrievals with estimates of skin temperature and emissivity, Int. ATOVS Study Conf., 763–770, 2005.
  Breiman, L.: Random forests, Mach. Learn., 45, 5–32, 2001.
  Carlson, T. N. and Ripley, D. A.: On the relation between NDVI, fractional vegetation cover, and leaf area index, Remote Sens. Environ., 62(3), 241–252, doi:10.1016/S0034-4257(97)00104-1, 1997.
  Casey, K. S., Brandon, T. B., Cornillon, P. and Evans, R.: The Past, Present, and Future of the AVHRR Pathfinder SST
- Program BT Oceanography from Space: Revisited, edited by V. Barale, J. F. R. Gower, and L. Alberotanza, pp. 273–287,
   Springer Netherlands, Dordrecht., 2010.

Chedin, A., Scott, N. A., Wahiche, C. and Moulinier, P.: The Improved Initialization Inversion Method: A High Resolution Physical Method for Temperature Retrievals from Satellites of the TIROS-N Series, J. Clim. Appl. Meteorol., 24(2), 128– 143, doi:10.1175/1520-0450(1985)024<0128:TIIIMA>2.0.CO;2, 1985.

- Choi, Y.-Y. and Suh, M.-S.: Development of Himawari-8/Advanced Himawari Imager (AHI) Land Surface Temperature Retrieval Algorithm, Remote Sens., 10(12), 2013, doi:10.3390/rs10122013, 2018.
  Coll, C., Caselles, V., Sobrino, J. A. and Valor, E.: On the atmospheric dependence of the split-window equation for land surface temperature, Int. J. Remote Sens., 15(1), 105–122, doi:10.1080/01431169408954054, 1994.
  Dech, S. ., Tungalagsaikhan, P., Preusser, C. and Meisner, R. .: Operational value-adding to AVHRR data over Europe:
- methods, results, and prospects, Aerosp. Sci. Technol., 2(5), 335–346, doi:10.1016/S1270-9638(98)80009-6, 1998.
   Defries, R. S. and Hansen, M. C.: ISLSCP II University of Maryland Global Land Cover Classifications, 1992-1993, , doi:10.3334/ORNLDAAC/969, 2010.

Dong, L., Yang, H., Zhang, P., Tang, S. and Lu, Q.: Retrieval of Land Surface Temperature and Dynamic Monitoring of a High Temperature Weather Process Based on FY-3A/VIRR Data, Journal Appl. Meteorol. Sci., 23(2), 214–222, 2012.

565 Duan, S.-B., Li, Z.-L., Li, H., Göttsche, F.-M., Wu, H., Zhao, W., Leng, P., Zhang, X. and Coll, C.: Validation of Collection 6 MODIS land surface temperature product using in situ measurements, Remote Sens. Environ., 225(February), 16–29, doi:10.1016/j.rse.2019.02.020, 2019.

570

Duguay-Tetzlaff, A., Bento, V. A., Göttsche, F. M., Stöckli, R., Martins, J. P. A., Trigo, I., Olesen, F., Bojanowski, J. S., da Camara, C. and Kunz, H.: Meteosat land surface temperature climate data record: Achievable accuracy and potential

Elagib, N. A., Alvi, S. H. and Mansell, M. G.: Day-length and extraterrestrial radiation for Sudan: A comparative study, Int. J. Sol. Energy, 20(2), 93–109, doi:10.1080/01425919908914348, 1998.

uncertainties, Remote Sens., 7(10), 13139–13156, doi:10.3390/rs71013139, 2015.

- Fang, H., Beaudoing, H. K., Rodell, M., Teng, W. L. and Vollmer, B. E.: Global Land Data Assimilation System (GLDAS) products, services and application from NASA Hydrology Data and Information Services Center (HDISC), Am. Soc.
- 575 Photogramm. Remote Sens. Annu. Conf. 2009, ASPRS 2009, 1, 151–159, 2009.
  Francois, C. and Ottle, C.: Atmospheric corrections in the thermal infrared: global and water vapor dependent split-window algorithms-applications to ATSR and AVHRR data, IEEE Trans. Geosci. Remote Sens., 34(2), 457–470, doi:10.1109/36.485123, 1996.

Galve, J. M., Coll, C., Caselles, V. and Valor, E.: An Atmospheric Radiosounding Database for Generating Land Surface

- Temperature Algorithms, IEEE Trans. Geosci. Remote Sens., 46(5), 1547–1557, doi:10.1109/TGRS.2008.916084, 2008.
  Ghent, D. J., Corlett, G. K., Göttsche, F. M. and Remedios, J. J.: Global Land Surface Temperature From the Along-Track Scanning Radiometers, J. Geophys. Res. Atmos., 122(22), 12,167-12,193, doi:10.1002/2017JD027161, 2017.
  Gillespie, A., Rokugawa, S., Matsunaga, T., Cothern, J. S., Hook, S. and Kahle, A. B.: A temperature and emissivity separation algorithm for Advanced Spaceborne Thermal Emission and Reflection Radiometer (ASTER) images, IEEE Trans.
- Geosci. Remote Sens., 36(4), 1113–1126, doi:10.1109/36.700995, 1998.
  Gleason, A. C. R., Prince, S. D., Goetz, S. J. and Small, J.: Effects of orbital drift on land surface temperature measured by AVHRR thermal sensors, Remote Sens. Environ., 79(2–3), 147–165, doi:10.1016/S0034-4257(01)00269-3, 2002.
  Göttsche, F.-M., Olesen, F.-S., Trigo, I., Bork-Unkelbach, A. and Martin, M.: Long Term Validation of Land Surface Temperature Retrieved from MSG/SEVIRI with Continuous in-Situ Measurements in Africa, Remote Sens., 8(5), 410, doi:10.3390/rs8050410, 2016.

Göttsche, F. M. and Olesen, F. S.: Modelling of diurnal cycles of brightness temperature extracted from meteosat data,

Remote Sens. Environ., 76(3), 337-348, doi:10.1016/S0034-4257(00)00214-5, 2001.

Guillevic, P. C., Biard, J. C., Hulley, G. C., Privette, J. L., Hook, S. J., Olioso, A., Göttsche, F. M., Radocinski, R., Román, M. O., Yu, Y. and Csiszar, I.: Validation of Land Surface Temperature products derived from the Visible Infrared Imaging

595 Radiometer Suite (VIIRS) using ground-based and heritage satellite measurements, Remote Sens. Environ., 154, 19–37, doi:10.1016/j.rse.2014.08.013, 2014.

Gutman, G. G.: On the monitoring of land surface temperatures with the NOAA/AVHRR: Removing the effect of satellite orbit drift, Int. J. Remote Sens., 20(17), 3407–3413, doi:10.1080/014311699211435, 1999.

Holmes, T. R. H., De Jeu, R. A. M., Owe, M. and Dolman, A. J.: Land surface temperature from Ka band (37 GHz) passive

microwave observations, J. Geophys. Res. Atmos., 114(4), 1–15, doi:10.1029/2008JD010257, 2009.
 Huang, F., Zhou, J., Tao, J., Tan, X., Liang, S. and Cheng, J.: PMODTRAN: a parallel implementation based on MODTRAN for massive remote sensing data processing, Int. J. Digit. Earth, 9(9), 819–834, doi:10.1080/17538947.2016.1144800, 2016.

Hulley, G. C. and Hook, S. J.: Generating consistent land surface temperature and emissivity products between ASTER and

605 MODIS data for earth science research, IEEE Trans. Geosci. Remote Sens., 49(4), 1304–1315, doi:10.1109/TGRS.2010.2063034, 2011.

Hulley, G. C., Hook, S. J., Abbott, E., Malakar, N., Islam, T. and Abrams, M.: The ASTER Global Emissivity Dataset (ASTER GED): Mapping Earth's emissivity at 100 meter spatial scale, Geophys. Res. Lett., 42(19), 7966–7976, doi:10.1002/2015GL065564, 2015.

- Hutengs, C. and Vohland, M.: Downscaling land surface temperatures at regional scales with random forest regression, Remote Sens. Environ., 178, 127–141, doi:10.1016/j.rse.2016.03.006, 2016.
  IPCC: Climate Change 2014: Synthesis Report. Contribution of Working Groups I, II and III to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change., 2014.
  Jiang, G. M. and Liu, R.: Retrieval of sea and land surface temperature from SVISSR/FY-2C/D/E measurements, IEEE
- Trans. Geosci. Remote Sens., 52(10), 6132–6140, doi:10.1109/TGRS.2013.2295260, 2014.
   Jiménez, C., Prigent, C., Ermida, S. L. and Moncet, J.-L.: Inversion of AMSR-E observations for land surface temperature

estimation: 1. Methodology and evaluation with station temperature, J. Geophys. Res. Atmos., 122(6), 3330–3347, doi:10.1002/2016JD026144, 2017.

Jin, M. and Dickinson, R. E.: New observational evidence for global warming from satellite, Geophys. Res. Lett., 29(10), 39-1-39-4, doi:10.1029/2001GL013833, 2002.

620

Jin, M. and Treadon, R. E.: Correcting the orbit drift effect on AVHRR land surface skin temperature measurements, Int. J. Remote Sens., 24(22), 4543–4558, doi:10.1080/0143116031000095943, 2003.

Julien, Y. and Sobrino, J. A.: Correcting AVHRR Long Term Data Record V3 estimated LST from orbital drift effects, Remote Sens. Environ., 123, 207–219, doi:10.1016/j.rse.2012.03.016, 2012.

- Lembrechts, J. J., Lenoir, J., Roth, N., Hattab, T., Milbau, A., Haider, S., Pellissier, L., Pauchard, A., Ratier Backes, A., Dimarco, R. D., Nuñez, M. A., Aalto, J. and Nijs, I.: Comparing temperature data sources for use in species distribution models: From in-situ logging to remote sensing, Glob. Ecol. Biogeogr., 28(11), 1578–1596, doi:10.1111/geb.12974, 2019. Li, Z.-L., Tang, B.-H., Wu, H., Ren, H., Yan, G., Wan, Z., Trigo, I. F. and Sobrino, J. A.: Satellite-derived land surface temperature: Current status and perspectives, Remote Sens. Environ., 131, 14–37, doi:10.1016/j.rse.2012.12.008, 2013a.
- Li, Z. L., Wu, H., Wang, N., Qiu, S., Sobrino, J. A., Wan, Z., Tang, B. H. and Yan, G.: Land surface emissivity retrieval from satellite data, Int. J. Remote Sens., 34(9–10), 3084–3127, doi:10.1080/01431161.2012.716540, 2013b.
  Liang, S.: Estimation of Surface Radiation Budget: I. Broadband Albedo, in Quantitative Remote Sensing of Land Surfaces, pp. 310–344, John Wiley & Sons, Inc., Hoboken, NJ, USA., 2005.
  Liang, S., Cheng, J., Jia, K., Jiang, B., Liu, Q., Xiao, Z., Yao, Y., Yuan, W., Zhang, X., Zhao, X. and Zhou, J.: The Global
- LAnd Surface Satellite (GLASS) product suite, Bull. Am. Meteorol. Soc., 1–37, doi:10.1175/BAMS-D-18-0341.1, 2020.
  Liu, X., Tang, B. H., Yan, G., Li, Z. L. and Liang, S.: Retrieval of global orbit drift corrected land surface temperature from long-term AVHRR data, Remote Sens., 11(23), 2843, doi:10.3390/rs11232843, 2019a.
  Liu, Y., Key, J. R. and Wang, X.: The influence of changes in cloud cover on recent surface temperature trends in the Arctic, J. Clim., 21(4), 705–715, doi:10.1175/2007JCLI1681.1, 2008.
- Liu, Y., Yu, Y., Yu, P., Wang, H. and Rao, Y.: Enterprise LST Algorithm Development and Its Evaluation with NOAA 20
   Data, Remote Sens., 11(17), 2003, doi:10.3390/rs11172003, 2019b.

Llewellyn-Jones, D. T., Minnett, P. J., Saunders, R. W. and Zavody, A. M.: Satellite multichannel infrared measurements of sea surface temperature of the N.E. Atlantic Ocean using AVHRR/2, Q. J. R. Meteorol. Soc., 110(465), 613–631, doi:10.1002/qj.49711046504, 1984.

Ma, J., Zhou, J., Göttsche, F.-M., Liang, S. and Wang, S.: GLASS Land Surface Temperature product (1981-2000): instantaneous LST, doi:10.5281/zenodo.3934354, 2020a.
Ma, J., Zhou, J. and Liang, S.: GLASS Land Surface Temperature product (1981-2000): monthly averaged LST, doi:10.5281/zenodo.3936641, 2020b.

Ma, J., Zhou, J., Liang, S. and Li, M.: GLASS Land Surface Temperature product (1981-2000): Oribtal Drift Corrected LST, doi:10.5281/zenodo.3936627, 2020c.

Ma, Y. and Tsukamoto, O.: Combining Satellite Remote Sensing With Field Observations for Land Surface Heat Fluxes Over Inhomogeneous Landscape, China Meteorol, China Meteorological Press, Beijing., 2002.

Martin, M., Ghent, D., Pires, A., Göttsche, F.-M., Cermak, J. and Remedios, J.: Comprehensive In Situ Validation of Five Satellite Land Surface Temperature Data Sets over Multiple Stations and Years, Remote Sens., 11(5), 479,

655 doi:10.3390/rs11050479, 2019.

650

Martins, J. P. A., Trigo, I. F., Ghilain, N., Jimenez, C., Göttsche, F. M., Ermida, S. L., Olesen, F. S., Gellens-Meulenberghs,
F. and Arboleda, A.: An all-weather land surface temperature product based on MSG/SEVIRI observations, Remote Sens.,
11(24), doi:10.3390/rs11243044, 2019.

Masiello, G., Serio, C., Venafra, S., Liuzzi, G., Göttsche, F., Trigo, I. F. and Watts, P.: Kalman filter physical retrieval of

surface emissivity and temperature from SEVIRI infrared channels: A validation and intercomparison study, Atmos. Meas.
 Tech., 8(7), 2981–2997, doi:10.5194/amt-8-2981-2015, 2015.

McMillin, L. M.: Estimation of sea surface temperatures from two infrared window measurements with different absorption, J. Geophys. Res., 80(36), 5113–5117, doi:10.1029/jc080i036p05113, 1975.

Meng, X., Li, H., Du, Y., Cao, B., Liu, Q., Sun, L. and Zhu, J.: Estimating land surface emissivity from ASTER GED

665 products, J. Remote Sens., 4619, 382–396, 2016.

Mutanga, O., Adam, E. and Cho, M. A.: High density biomass estimation for wetland vegetation using worldview-2 imagery

and random forest regression algorithm, Int. J. Appl. Earth Obs. Geoinf., 18(1), 399–406, doi:10.1016/j.jag.2012.03.012, 2012.

Niclòs, R., Caselles, V., Coll, C. and Valor, E.: Determination of sea surface temperature at large observation angles using an angular and emissivity-dependent split-window equation, Remote Sens. Environ., 111(1), 107–121,

doi:10.1016/j.rse.2007.03.014, 2007.

670

675

Ottlé, C. and Vidal-Madjar, D.: Estimation of land surface temperature with NOAA9 data, Remote Sens. Environ., 40(1), 27–41, doi:10.1016/0034-4257(92)90124-3, 1992.

Parastatidis, D., Mitraka, Z., Chrysoulakis, N. and Abrams, M.: Online global land surface temperature estimation from landsat, Remote Sens., 9(12), 1–16, doi:10.3390/rs9121208, 2017.

Parton, W. J. and Logan, J. A.: A model for diurnal variation in soil and air temperature, Agric. Meteorol., 23(C), 205–216, doi:10.1016/0002-1571(81)90105-9, 1981.

Pearson, R. K.: Outliers in process modeling and identification, IEEE Trans. Control Syst. Technol., 10(1), 55–63, doi:10.1109/87.974338, 2002.

- Pedelty, J., Devadiga, S., Masuoka, E., Brown, M., Pinzon, J., Tucker, C., Vermote, E., Prince, S., Nagol, J., Justice, C., Roy, D., Ju, J., Schaaf, C., Liu, J., Privette, J. and Pinheiro, A.: Generating a long-term land data record from the AVHRR and MODIS instruments, Int. Geosci. Remote Sens. Symp., (May), 1021–1024, doi:10.1109/IGARSS.2007.4422974, 2007. Pinheiro, A. C. T., Mahoney, R., Privette, J. L. and Tucker, C. J.: Development of a daily long term record of NOAA-14 AVHRR land surface temperature over Africa, 103, 153–164, doi:10.1016/j.rse.2006.03.009, 2006.
- Prata, A. J. and Platt, C. M. R.: Land surface temperature measurements from the AVHRR, in 5th AVHRR Data Users' Meeting, pp. 433–438, EUMETSAT, Tromso, Norway., 1991.

Prata, F.: Land Surface Temperature Measurement from Space: AATSR Algorithm Theoretical Basis Document, Contract Rep. to ESA, CSIRO Atmos. Res. Aspendale, Victoria, Aust., 2002, 1–34, 2002.

Price, J. C.: Land surface temperature measurements from the split window channels of the NOAA 7 Advanced Very High

Resolution Radiometer, J. Geophys. Res. Atmos., 89(D5), 7231–7237, doi:10.1029/JD089iD05p07231, 1984.
Quan, J., Zhan, W., Ma, T., Du, Y., Guo, Z. and Qin, B.: An integrated model for generating hourly Landsat-like land

surface temperatures over heterogeneous landscapes, Remote Sens. Environ., 206(March 2018), 403–423, doi:10.1016/j.rse.2017.12.003, 2018.

Reichle, R. H., Kumar, S. V., Mahanama, S. P. P., Koster, R. D. and Liu, Q.: Assimilation of satellite-derived skin

temperature observations into land surface models, J. Hydrometeorol., 11(5), 1103–1122, doi:10.1175/2010JHM1262.1,
2010.

Rigor, I. G., Colony, R. L. and Martin, S.: Variations in surface air temperature observations in the Arctic, 1979-97, J. Clim., 13(5), 896–914, doi:10.1175/1520-0442(2000)013<0896:VISATO>2.0.CO;2, 2000.

Rodriguez-Galiano, V. F., Ghimire, B., Rogan, J., Chica-Olmo, M. and Rigol-Sanchez, J. P.: An assessment of the

effectiveness of a random forest classifier for land-cover classification, ISPRS J. Photogramm. Remote Sens., 67(1), 93–104,
 doi:10.1016/j.isprsjprs.2011.11.002, 2012.

Salisbury, J. W.: Infrared (2.1–25 micrometers) Spectra of Minerals, 294 Johns Hopkins University Press, Balt. MD, 1991. Sánchez, N., González-Zamora, Á., Martínez-Fernández, J., Piles, M. and Pablos, M.: Integrated remote sensing approach to global agricultural drought monitoring, Agric. For. Meteorol., 259(July 2017), 141–153,

705 doi:10.1016/j.agrformet.2018.04.022, 2018.

Schneider, P. and Hook, S. J.: Space observations of inland water bodies show rapid surface warming since 1985, Geophys. Res. Lett., 37(22), 1–5, doi:10.1029/2010GL045059, 2010.

Snyder, W. C., Wan, Z., Zhang, Y. and Feng, Y. Z.: Classification-based emissivity for land surface temperature measurement from space, Int. J. Remote Sens., 19(14), 2753–2774, doi:10.1080/014311698214497, 1998.

Sobrino, J., Coll, C. and Caselles, V.: Atmospheric correction for land surface temperature using NOAA-11 AVHRR channels 4 and 5, Remote Sens. Environ., 38(1), 19–34, doi:https://doi.org/10.1016/0034-4257(91)90069-I, 1991.
Sobrino, J. A. and Raissouni, N.: Toward remote sensing methods for land cover dynamic monitoring: Application to Morocco, Int. J. Remote Sens., 21(2), 353–366, doi:10.1080/014311600210876, 2000.
Sobrino, J. A., Raissouni, N. and Li, Z. L.: A comparative study of land surface emissivity retrieval from NOAA data,

Remote Sens. Environ., 75(2), 256–266, doi:10.1016/S0034-4257(00)00171-1, 2001.
 Sobrino, J. A., Jiménez-Muñoz, J. C., Sòria, G., Romaguera, M., Guanter, L., Moreno, J., Plaza, A. and Martínez, P.: Land

surface emissivity retrieval from different VNIR and TIR sensors, IEEE Trans. Geosci. Remote Sens., 46(2), 316–327, doi:10.1109/TGRS.2007.904834, 2008.

Steiner, D., Pauling, A., Nussbaumer, S. U., Nesje, A., Luterbacher, J., Wanner, H. and Zumbühl, H. J.: Sensitivity of

European glaciers to precipitation and temperature - Two case studies, Clim. Change, 90(4), 413–441, doi:10.1007/s10584-008-9393-1, 2008.

Trigo, I. F., Peres, L. F., DaCamara, C. C. and Freitas, S. C.: Thermal land surface emissivity retrieved from
SEVIRI/Meteosat, IEEE Trans. Geosci. Remote Sens., 46(2), 307–315, doi:10.1109/TGRS.2007.905197, 2008.
Ulivieri, C. and Cannizzaro, G.: Land surface temperature retrievals from satellite measurements, Acta Astronaut., 12(12),

725 977–985, doi:https://doi.org/10.1016/0094-5765(85)90026-8, 1985.

Ulivieri, C., Castronuovo, M. M., Francioni, R. and Cardillo, A.: A split window algorithm for estimating land surface temperature from satellites, Adv. Sp. Res., 14(3), 59–65, doi:10.1016/0273-1177(94)90193-7, 1994. Vidal, A.: Atmospheric and emissivity correction of land surface temperature measured from satellite using ground

measurements or satellite data, Int. J. Remote Sens., 12(12), 2449–2460, doi:10.1080/01431169108955279, 1991.

- Viterbo, P. and Beljaars, A. C. M.: An improved land surface parameterization scheme in the ECMWF model and its validation, J. Clim., 8(11), 2716–2748, doi:10.1175/1520-0442(1995)008<2716:AILSPS>2.0.CO;2, 1995.
  Van Der Werf, G. R., Randerson, J. T., Giglio, L., Van Leeuwen, T. T., Chen, Y., Rogers, B. M., Mu, M., Van Marle, M. J. E., Morton, D. C., Collatz, G. J., Yokelson, R. J. and Kasibhatla, P. S.: Global fire emissions estimates during 1997-2016, Earth Syst. Sci. Data, 9(2), 697–720, doi:10.5194/essd-9-697-2017, 2017.
- Wan, Z.: New refinements and validation of the MODIS Land-Surface Temperature/Emissivity products, Remote Sens.
   Environ., 112(1), 59–74, doi:10.1016/j.rse.2006.06.026, 2008.

Wan, Z.: New refinements and validation of the collection-6 MODIS land-surface temperature/emissivity product, Remote Sens. Environ., 140, 36–45, doi:10.1016/j.rse.2013.08.027, 2014.

Wan, Z., Zhang, Y., Zhang, Q. and Li, Z. liang: Validation of the land-surface temperature products retrieved from terra

740 moderate resolution imaging spectroradiometer data, Remote Sens. Environ., 83(1–2), 163–180, doi:10.1016/S0034-4257(02)00093-7, 2002. Wan, Z. and Dozier, J.: A generalized split-window algorithm for retrieving land-surface temperature from space, IEEE Trans. Geosci. Remote Sens., 34(4), 892–905, doi:10.1109/36.508406, 1996.

Wang, K. and Liang, S.: Evaluation of ASTER and MODIS land surface temperature and emissivity products using long-

term surface longwave radiation observations at SURFRAD sites, Remote Sens. Environ., 113(7), 1556–1565,
 doi:10.1016/j.rse.2009.03.009, 2009.

WMO: Requirements defined for Land surface temperature, [online] Available from: https://www.wmo-sat.info/oscar/variables/view/96 (Accessed 29 July 2020), 2020.

Wu, T., Zhao, L., Li, R., Wang, Q., Xie, C. and Pang, Q.: Recent ground surface warming and its effects on permafrost on

the central Qinghai-Tibet Plateau, Int. J. Climatol., 33(4), 920–930, doi:10.1002/joc.3479, 2013.
Yang, J., Zhou, J., Göttsche, F.-M., Long, Z., Ma, J. and Luo, R.: Investigation and validation of algorithms for estimating land surface temperature from Sentinel-3 SLSTR data, Int J Appl Earth Obs Geoinf., 91(April), 102136, doi:10.1016/j.jag.2020.102136, 2020.

Yao, T., Thompson, L., Yang, W., Yu, W., Gao, Y., Guo, X., Yang, X., Duan, K., Zhao, H., Xu, B., Pu, J., Lu, A., Xiang, Y.,

- Kattel, D. B. and Joswiak, D.: Different glacier status with atmospheric circulations in Tibetan Plateau and surroundings,
  Nat. Clim. Chang., 2(9), 663–667, doi:10.1038/nclimate1580, 2012.
  Yu, Y., Tarpley, D., Privette, J. L., Goldberg, M. D., Rama Varma Raja, M. K., Vinnikov, K. Y. and Hui Xu: Developing
  Algorithm for Operational GOES-R Land Surface Temperature Product, IEEE Trans. Geosci. Remote Sens., 47(3), 936–951,
  doi:10.1109/TGRS.2008.2006180, 2009.
- Zhang, X., Zhou, J., Liang, S., Chai, L., Wang, D. and Liu, J.: Estimation of 1-km all-weather remotely sensed land surface temperature based on reconstructed spatial-seamless satellite passive microwave brightness temperature and thermal infrared data, ISPRS J. Photogramm. Remote Sens., 167, 321–344, doi:https://doi.org/10.1016/j.isprsjprs.2020.07.014, 2020.
   Zhang, X., Zhou, J., Gottsche, F. M., Zhan, W., Liu, S. and Cao, R.: A Method Based on Temporal Component-Decomposition for Estimating 1-km All-Weather Land Surface Temperature by Merging Satellite Thermal Infrared and-
- Passive Microwave Observations, IEEE Trans. Geosci. Remote Sens., 57(7), 4670–4691, doi:10.1109/TGRS.2019.2892417, 2019.

Zhao, W., Sánchez, N., Lu, H. and Li, A.: A spatial downscaling approach for the SMAP passive surface soil moisture product using random forest regression, J. Hydrol., 563(June), 1009–1024, doi:10.1016/j.jhydrol.2018.06.081, 2018. Zhou, J., Zhang, X., Zhan, W., Göttsche, F. M., Liu, S., Olesen, F. S., Hu, W. and Dai, F.: A Thermal Sampling Depth

- Correction Method for Land Surface Temperature Estimation From Satellite Passive Microwave Observation Over Barren Land, IEEE Trans. Geosci. Remote Sens., 55(8), 4743–4756, doi:10.1109/TGRS.2017.2698828, 2017.
   Zhou, J., Zhang, X. and Liu, S.: Daily 1-km all-weather land surface temperature dataset for Western China (2003-2018) v1, edited by National Tibetan Plateau Data Center, Natl. Tibet. Plateau Data Cent. [online] Available from: https://data.tpdc.ac.cn/en/data/d09ebfe3-a8c9-49b5-aa22-1fd484751d70/, 2019a.
- Zhou, J., Liang, S., Cheng, J., Wang, Y. and Ma, J.: The GLASS Land Surface Temperature Product, IEEE J. Sel. Top.
   Appl. Earth Obs. Remote Sens., 12(2), 493–507, doi:10.1109/JSTARS.2018.2870130, 2019b.

## Tables

Table 1 Details of the selected AVHRR datasets

Name	Satellite	Start Date	End Date	Spatial resolution	<b>Temporal resolution</b>	
	NOAA-07	1981/06/24	1985/02/02			
AVH02C1.465	NOAA-09	1985/01/04	1988/11/07	0.0500.050		
AVH13C1.465	NOAA-11	1988/11/07	1994/12/31	0.05°×0.05°	Daily daytime	
	NOAA-14	1995/01/01	2000/10/31			

780

Table 2 Global LSE ranges determined from JHU spectral emissivity library for AVHRR channels 4 and 5 centred at 11µm and 12µm

	LSE at 11 µm	LSE at 12 µm
Satellite and sensor	(channel 4811)	(channel 5812)
NOAA-07 AVHRR	0.674 - 0.996	0.692 - 0.991
NOAA-09 AVHRR	0.665 - 0.996	0.713 - 0.991
NOAA-11 AVHRR	0.670 - 0.996	0.697 - 0.991
NOAA-14 AVHRR	0.672 - 0.994	0.661 - 0.991

Table 3 Atmospheric profile datasets and corresponding simulation datasets

Sources	Name	CWVC (g/cm <sup>2</sup> )	NSAT (K)	Number of profiles	VZA	Ts	LSE	Sample size	Name of dataset
SeeBor V5.0	GAPD	0.014 - 7.939	224.25 - 309.05	549	15	10	48	3952800	TRA-G
	ATP-S	0.005 - 4.999	201.96 - 313.50	4761	10	1	1	47610	VAL-S
TIGR2000 V1.2	ATP-T	0.058 - 8.199	233.85 - 314.16	506	10	1	1	5060	VAL-T

785

I abit ¬	Table 4 SURFRAD sites and ADDC bubys used for EST variation								
ID	Site	Network	Elevation	Latitude	Longitude	Sensor	LC type	Valid period	
BND	Bondville, Illinois	SURFRAD	230	40.0519	-88.3731	Eppley PIR	Cropland	1995.01-2000.10	
DRA	Desert Rock, Nevada	SURFRAD	1007	36.6237	-116.0195	Eppley PIR	Open Shrubland	1998.03-2000.10	
FPK	Fort Peck, Montana	SURFRAD	634	48.3078	-105.1017	Eppley PIR	Grassland	1995.01-2000.09	
GWN	Goodwin Creek, Mississippi	SURFRAD	98	34.2547	-89.8729	Eppley PIR	Wooded Grassland	1995.01-2000.10	
PSU	Penn. State Univ., Pennsylvania	SURFRAD	376	40.7201	-77.9309	Eppley PIR	Deciduous Broadleaf Forest	1998.07-2000.10	
TBL	Table Mountain, Boulder, Colorado	SURFRAD	1689	40.1250	-105.2368	Eppley PIR	Cropland	1995.08-2000.08	
BEP	46025, 46027, 46053, 46054	NDBC	0	33.763 - 60.587	-119.053 - 146.833	thermistors	Water	1982.04-2000.10	
BGL	45001, 45002, 45003, 45004, 45005, 45006, 45007, 45008	NDBC	175	41.677 - 48.061	-82.398 89.793	thermistors	Water	1981.07-2000.10	
BGM	42007, 42020, 42035	NDBC	0	26.968 - 30.09	-88.32 96.693	thermistors	Water	1990.05-2000.10	
BWA	41008, 41009, 44007, 44013,	NDBC	0	28.508 - 43.525	-70.141 80.868	thermistors	Water	1982.02-2000.10	

# Table 4 SURFRAD sites and NDBC buoys used for LST validation

Name	Formula	Reference
OV1992		Ottlé and Vidal-Madjar
	$T_s = A_0 + A_1 T_{11} + A_2 (T_{11} - T_{12})$	(1992)
FO1996	$T_s = A_0 + A_1 T_{11} + A_2 (T_{11} - T_{12}) + A_3 (T_{11} - T_{12})^2$	Francois and Ottle (1996)
PR1984	$T_s = A_0 + A_1 T_{11} + A_2 (T_{11} - T_{12}) + A_3 T_{11} \varepsilon_{11} + A_4 (T_{11} - T_{12}) (1 - \varepsilon_{11}) + A_7 T_{12} \Lambda \varepsilon$	Price (1984)
UC1985	$T_s = A_0 + A_1 T_{11} + A_2 (T_{11} - T_{12}) + A_3 (1 - \varepsilon)$	Ulivieri and Cannizzaro (1985)
BL-WD	$T_{s} = A_{0} + \left(A_{1} + A_{2}\frac{1-\varepsilon}{\varepsilon} + A_{3}\frac{\Delta\varepsilon}{\varepsilon^{2}}\right)(T_{11} + T_{12})$	Becker and Li (1990a)
	$+ \left(A_4 + A_5 \frac{1-\varepsilon}{\varepsilon} + A_6 \frac{\Delta \varepsilon}{\varepsilon^2}\right) (T_{11} - T_{12})$	Wan and Dozier (1996)
PP1991	$T_s = A_0 + A_1 \frac{T_{11} - T_0}{\varepsilon_{11}} + A_2 \frac{T_{12} - T_0}{\varepsilon_{12}} + A_3 \frac{1 - \varepsilon_{11}}{\varepsilon_{11}} + T_0$	Prata and Platt (1991)
VI1991	$T_{s} = A_{0} + A_{1}T_{11} + A_{2}(T_{11} - T_{12}) + A_{3}\frac{1 - \varepsilon}{\varepsilon} + A_{4}\frac{\Delta\varepsilon}{\varepsilon}$	Vidal (1991)
UL1994	$T_s = A_0 + A_1 T_{11} + A_2 (T_{11} - T_{12}) + A_3 (1 - \varepsilon) + A_4 \Delta \varepsilon$	Ulivieri et al. (1994)
WA2014	$T_s = A_0 + \left(A_1 + A_2 \frac{1-\varepsilon}{\varepsilon} + A_3 \frac{\Delta\varepsilon}{\varepsilon^2}\right) (T_{11} + T_{12})$	
	$+ \left(A_4 + A_5 \frac{1 - \varepsilon}{\varepsilon} + A_6 \frac{\Delta \varepsilon}{\varepsilon^2}\right) (T_{11} - T_{12}) + A_7 (T_{11} - T_{12})^2$	Wan (2014)
FOW1996	$T_s = A_0 + (A_1w + A_2w^2 + A_3)T_{11} + (A_4w + A_5w^2 + A_6)T_{12} + A_7w + A_8w^2$	Francois and Ottle (1996)
801991	$I_{s} = A_{0} + A_{1}I_{11} + [A_{2}W + A_{3} + (A_{4}W + A_{5})(1 - \varepsilon_{11}) + (A_{6}W + A_{7})\Delta\varepsilon](I_{11})$	
	$-T_{12}) + \frac{1 - \varepsilon_{11}}{\varepsilon_{11}} T_{11} [A_8 w + A_9 (A_{10} w + A_{11}) \Delta \varepsilon]$	Sobrino et al. (1991)
	$-\frac{1-\varepsilon_{12}}{\varepsilon_{12}}T_{12}[A_{12}w+A_{13}(A_{14}w+A_{15})\Delta\varepsilon]$	
ULW1994	$T_s = A_0 + A_1 T_{11} + (A_2 w + A_3)(T_{11} - T_{12}) + (A_4 w + A_5)(1 - \varepsilon)$	Ulivieri et al. (1994)
001004	$+ (A_6 W + A_7) \Delta \varepsilon$	
CO1994	$I_s = A_0 + A_1 I_{11} + A_2 (I_{11} - I_{12}) + A_3 (I_{11} - I_{12})^2$	$C_{11} + 1_{(1004)}$
	+ $[(A_4W + A_5)I_{11} + (A_6W + A_7)](1 - \varepsilon)$	Coll et al. (1994)
GD 2000	$- [(A_{8}W + A_{9})I_{11} + (A_{10}W + A_{11})]\Delta \mathcal{E}$	Caluring and Delegant
SK2000	$I_{s} = A_{0} + A_{1}I_{11} + A_{2}(I_{11} - I_{12}) + A_{3}(I_{11} - I_{12})^{2} + (A_{4}w + A_{5})(1 - \varepsilon) - (A_{6}w + A_{7})\Delta\varepsilon$	(2000)
MT2002	$T_s = A_0 + A_1 T_{11} + A_2 (T_{11} - T_{12}) + A_3 (T_{11} - T_{12})^2 + (A_4 w + A_5)(1 - \varepsilon)$	Ma and Tsukamoto (2002)

BL1995 
$$T_{s} = A_{0} + A_{1}w + [A_{2} + (A_{3}w\cos\theta + A_{4})(1 - \varepsilon_{11}) - (A_{5}w + A_{6})\Delta\varepsilon](T_{11} + T_{12}) \\ + [A_{7} + A_{8}w + (A_{9} + A_{10}w)(1 - \varepsilon_{11}) - (A_{11}w + A_{12})\Delta\varepsilon](T_{11} - T_{12})$$
  
GA2008 
$$T_{s} = A_{0} + A_{1}T_{11} + A_{2}(T_{11} - T_{12}) + A_{3}(T_{11} - T_{12})^{2} + (A_{4} + A_{5}w + A_{6}w^{2})(1 - \varepsilon) + (A_{7} + A_{8}w)\Delta\varepsilon$$
  
Galve et al. (2008)

Note: subscripts 11 and 12 denote channels centred at approximately 11µm and 12µm, respectively, while  $T_{11}$  and  $T_{12}$  and  $\varepsilon_{11}$ and  $\varepsilon_{12}$  are their associated BTs and LSEs;  $\varepsilon = (\varepsilon_{11}+\varepsilon_{12})/2$ ,  $\Delta \varepsilon = (\varepsilon_{11}-\varepsilon_{12})$ ;  $A_i$  are coefficients;  $T_0$  in PP1991 is 273.15 K; w is CWVC and  $\theta$  is VZA.

795 Table 6 Coefficients for converting bare soil emissivity from ASTER to AVHRR (see section 3.3).

Sensor	Channel	<i>a</i> 0	<i>a</i> 1	<i>a</i> <sub>2</sub>	<i>a</i> 3	<b>a</b> 4	<i>a</i> 5	RMSE	<b>R</b> <sup>2</sup>
	centred at								
	11µm <b>4</b>	0.0000	0.0049	-0.0071	0.0006	0.7749	0.2267	0.0001	0.99
NOAA-07	12µm <del>5</del>	0.3064	-0.1484	0.2676	-0.0657	-0.7622	1.3984	0.0016	0.91
	11µm <b>4</b>	0.0005	0.0041	-0.0085	0.0029	0.8228	0.1781	0.0001	0.99
NOAA-09	12µm5	0.2513	-0.1392	0.2572	-0.0757	-0.7070	1.4102	0.0014	0.94
	11µm <b>4</b>	0.0007	0.0053	-0.0091	0.0020	0.7895	0.2115	0.0001	0.99
NOAA-11	12µm5	0.2944	-0.1473	0.2666	-0.0699	-0.7404	1.3929	0.0016	0.92
	11µm <b>4</b>	0.0013	-0.0083	0.0068	0.0042	0.8045	0.1912	0.0001	0.99
INUAA-14	12µm5	0.3945	-0.1591	0.2756	-0.0467	-0.8340	1.3647	0.0021	0.84

Table 7 Emissivities of different vegetation types, water, and built-up surfaces for AVHRR channel centred at 11µm and 12µm<del>channel 4 and channel 5</del>.

		NOAA-0'	7 AVHRR	NOAA-09	) AVHRR	NOAA-11	AVHRR	NOAA-14	4 AVHRR
LCTs	NO.	11µm <del>eha</del>	12µm <del>cha</del>	11µm <del>cha</del>	12µm <del>cha</del>	11µm <del>eha</del>	12µm <del>eha</del>	11µm <del>cha</del>	12µm <del>cha</del>
		nnel 4	<del>nnel 5</del>	nnel 4	<del>nnel 5</del>	nnel 4	<del>nnel 5</del>	nnel 4	nnel 5
Evergreen forest	1, 2	0.989	0.988	0.990	0.987	0.989	0.988	0.990	0.987
Deciduous forest	3,4	0.974	0.971	0.975	0.970	0.974	0.971	0.975	0.970
Mixed forest	5	0.982	0.979	0.983	0.979	0.982	0.979	0.983	0.979
Woodland	6	0.982	0.979	0.983	0.979	0.982	0.979	0.983	0.979
Wooded grassland	7	0.982	0.979	0.983	0.979	0.982	0.979	0.983	0.979
Closed shrubland	8	0.982	0.979	0.983	0.979	0.982	0.979	0.983	0.979
Open shrubland	9	0.982	0.979	0.983	0.979	0.982	0.979	0.983	0.979
Grassland	10	0.982	0.986	0.983	0.985	0.982	0.986	0.983	0.985
Cropland	11	0.982	0.986	0.983	0.985	0.982	0.986	0.983	0.985
Water	0	0.991	0.987	0.991	0.987	0.991	0.987	0.991	0.987
Built-up surface	12	0.948	0.953	0.948	0.953	0.948	0.953	0.948	0.953

|--|

LST Name	Variable	Description	Unit	Data	Scale	Offset	Dimension
		2000-000	0	type		011500	
	LST	Land surface temperature	Κ	Uint16	0.02	-	3600*7200
RF-SWA (instantaneous) LST	View_time	Time of LST observation (UTC)	hrs	Uint8	0.1	-	3600*7200
	View_angle	View zenith angle	degree	Uint8	-	-	3600*7200
	QA	LST quality flag	-	Uint8	-	-	3600*7200
	Latitude	Latitude	degree	Uint8	-	-	3600*1
	Longitude	Longitude	degree	Uint8	-	-	7200*1
ODC LST	LST	Land surface temperature at 14:30 solar time	K	Uint16	0.02	-	3600*7200
	Latitude	Latitude	degree	Uint8	-	-	3600*1
	Longitude	Longitude	degree	Uint8	-	-	7200*1
monthly averaged LST	LST	Land Surface Temperature averaged monthly at 14:30 solar time	K	Uint16	0.02	-	3600*7200
	Count	the number of available pixels in a month	-	Uint8	-	-	3600*7200
	Latitude	Latitude	degree	Uint8	-	-	3600*1
	Longitude	Longitude	degree	Uint8	-	-	7200*1

# Figures



805 Figure 1 Spectral response functions of NOAA-07/09/11/14 AVHRR and Terra ASTER.



Figure 2 Locations of SURFRAD sites and NDBC buoys, and the three sample areas. Blue squares indicate pyrgeometers; Red circles indicate contact thermistors.

810



Figure 3 Estimation of AVHRR LSE from ASTER GED, JHU spectral emissivity library data, LCT, and vegetation cover fraction.



Figure 4. Performance of the nine selected SWAs for simulation datasets VAL-S and VAL-T

815



Figure 5. Importance of the nine SWAs for the RF ensemble model



Figure 6. SEE values of the three ensemble methods for NOAA-14 AVHRR under different atmospheric and VZA conditions. (a) Cold-ATM, for *T*<sub>s</sub>–NSAT within [–4, 20] K (top) and [–16, 4] K (centre); (b) Warm-ATM, for *T*<sub>s</sub>–NSAT within [–4, 20] K; (c) Warm-ATM, for *T*<sub>s</sub>–NSAT within [–16, 4] K (bottom).



Figure 7. LST retrieved with the three ensemble methods for NOAA-14 against true LST. Results are based on simulation datasets VAL-T and VAL-S with added Gaussian noise (uncertainty levels L1 and L2).



Figure 8. RF-SWA LST against in-situ LST from SURFRAD sites.



830

Figure 9. RF-SWA LST against in-situ LSWT from four NDBC sites (buoy data) and corresponding statistics.



Figure 10. Residuals w.r.t. *in-situ* LST for ODC and RF-SWA LST for six SURFRAD sites (BND, DRA, FPK, GWN, PSU, and TBL). Details on the sites are provided in Table 4.



Figure 11. Monthly averaged ODC LST retrieved from NOAA 14 data for 1999 normalized to 14:30: (a) March; (c) June; (c) September; (d) December.

840

835



Figure 12 Monthly averaged ODC LST time series normalized to 14:30 solar time for 1981-2000 over the Amazon basin (a), the North pole (b), and the Tibet plateau (c).