



1	Facility scale inventory of dairy methane emissions in California: Implications for mitigation
2	
3	Alison R. Marklein <sup>1</sup> , Deanne Meyer <sup>2</sup> , Marc L. Fischer <sup>3</sup> , Seongeun Jeong <sup>3</sup> , Talha Rafiq <sup>1</sup> , Michelle Carr <sup>1</sup> ,
4	Francesca M. Hopkins <sup>1</sup>
5	Target Journal: ESSD, https://www.earth-system-science-data.net/index.html
6	
7	<sup>1</sup> University of California Riverside, Department of Environmental Science, Riverside, CA 94611, USA
8	<sup>2</sup> University of California Davis, Department of Animal Science, One Shields Avenue, Davis, CA 95616,
9	USA
10	<sup>3</sup> Lawrence Berkeley National Laboratory, Energy Technologies Area, 1 Cyclotron Road, Berkeley, CA
11	94720, USA
12	
13	
14	Corresponding Author: Alison R. Marklein, 513-479-8926, amarklein@ucr.edu
15	
16	Abstract
17	
18	Dairies emit roughly half of total methane ( $CH_4$ ) emissions in California, generating $CH_4$ from both
19	enteric fermentation by ruminant gut microbes and anaerobic decomposition of manure. Representation
20	of these emission processes is essential for management and mitigation of $CH_4$ emissions, and is
21	typically done using standardized emission factors applied at large spatial scales (e.g., state level).
22	However, $CH_4$ -emitting activities and management decisions vary across facilities, and current inventories
23	do not have sufficiently high spatial resolution to capture changes at this scale. Here, we develop a
24	spatially-explicit database of dairies in California, with information from operating permits and
25	California-specific reports detailing herd demographics and manure management at the facility scale. We
26	calculated manure management and enteric fermentation $CH_4$ emissions using two previously published





2

27 bottom-up approaches and a new farm-specific calculation developed in this work. We also estimate the effect of mitigation strategies - the use of mechanical separators and installation of anaerobic digesters -28 on CH<sub>4</sub> emissions. We predict that implementation of digesters at the 109 dairies that are existing or 29 planned in California will reduce manure CH₄ emissions from those facilities by an average of 35%, and 30 total state CH<sub>4</sub> emissions by 6% (or ~ 47.3 Gg CH<sub>4</sub>/yr). In addition to serving as a planning tool for 31 mitigation, this database is useful as a prior for atmospheric observation-based emissions estimates, 32 attribution of emissions to a specific facility, and to validate CH<sub>4</sub> emissions reductions from management 33 changes. Raster files of the datasets and associated metadata are available from the Oak Ridge National 34 Laboratory Distributed Active Archive Center for Biogeochemical Dynamics (ORNL DAAC; Marklein et al., 35 2020; https://doi.org/10.3334/ORNLDAAC/1814) 36

37

## 38 1. Introduction

39

Methane ( $CH_4$ ) is a greenhouse gas with a large influence on the rate of short-term warming due 40 to its high global warming potential, roughly 85 times that of CO<sub>2</sub> on a 20-year time frame (Dlugokencky et 41 al., 2011). Climate mitigation policy in California targets a reduction in CH<sub>4</sub> emissions by 40% below 2013 42 inventory levels by 2030 (State of California, 2016). Dairies provide a major opportunity for CH₄ reduction, 43 as roughly half of state-total CH<sub>4</sub> emissions come from nearly equal contributions of enteric fermentation 44 by ruminant gut microbes and anaerobic decomposition of dairy manure (Charrier, 2016). The primary 45 method by which California currently plans to reduce dairy CH<sub>4</sub> emissions is through installation of 46 anaerobic digesters, which capture manure CH<sub>4</sub> emissions for subsequent use as a renewable biofuel 47 (State of California, 2016). However, facility-level measurements of both the magnitude of total emissions 48 and relative contributions of enteric fermentation versus manure management is only available for a few 49 dairies in the state (Arndt et al., 2018). Indeed, uncertainty in CH₄ emissions from the dairy industry in 50 California and globally makes it difficult to optimize mitigation actions at the spatial scales relevant to 51 52 policy and to establish an emissions baseline against which mitigation efforts can be measured.





3

CH<sub>4</sub> emissions are often estimated by bottom-up (calculated activity-based) or top-down 53 (atmospheric observation-based) methods (National Academies of Sciences, Engineering, and Medicine, 54 2018). Bottom-up inventories, including those used by the U.S. Environmental Protection Agency (US 55 EPA, 2017) and the California Air Resources Board (Charrier, 2016) estimate dairy emission rates at the 56 state level based on the total number of cows and herd demographics, and on the average statewide 57 manure management approach, CH<sub>4</sub> emissions factor, and climate. However, livestock emissions, 58 especially from dairies, remain one of the largest uncertainties in these inventories (Maasakkers et al., 59 2016), as there is no comprehensive information source for the number of cows or manure management 60 strategies. In addition, the lack of spatial and temporal detail in these inventories makes it difficult to verify 61 their accuracy with observational data, particularly given high levels of spatial variability observed for CH4 62 emissions (NASEM, 2018). 63

Top-down estimates of emissions measure atmospheric  $CH_4$  enhancements at farm to regional scales using one or a combination of ground, aircraft, and satellite observations (Arndt et al., 2018; Cui et al., 2017; Wecht et al., 2014). Top-down studies often report  $CH_4$  emissions for dairies up to two times higher than bottom-up measurements (Cui et al., 2017; Jeong et al., 2016; Miller et al., 2013; National Academies of Sciences, Engineering, and Medicine, 2018; Trousdell et al., 2016; Wolf et al., 2017). However, these comparisons are complicated by uncertainties in source attribution, atmospheric transport models, and the spatial and temporal mismatch that commonly exists between top-down estimates and bottom-up inventories.

Previous bottom-up inventories have estimated national (e.g. US EPA 2017 Greenhouse Gas
Inventory, US EPA, 2017), and state-wide (e.g. CARB Greenhouse Gas Inventory, Charrier, 2016))
emissions based on the number of cows at the state, and county levels, respectively. These inventories
have been downscaled to 0.1 x 0.1° gridded inventories of CH<sub>4</sub> emissions using a combination of
California Regional Water Quality Control Board data of dairy-specific herd size and county level livestock
data in the CALGEM inventory (Jeong et al., 2016; 2012) or county level dairy cow counts from the U.S.
Environmental Protection Agency (EPA) Inventory of U.S. Greenhouse Gas Emissions and Sinks alone





4

(Maasakkers et al., 2016; USEPA 2017). While these gridded products provide finer spatial detail than 79 state-wide inventories, there are limitations to the livestock maps that distribute dairies within a county. 80 For example, some gridded products estimate CH<sub>4</sub> production from dairies in the Sierra Nevada range 81 (Maasakkers et al., 2016), while in reality these animals exist further west in the Central Valley. In another 82 example, although regional-scale top-down studies (Cui et al., 2017; Jeong et al., 2016) suggest 83 bottom-up inventories underestimate dairy CH<sub>4</sub> emissions, a comparison of bottom-up and top-down CH<sub>4</sub> 84 emissions at the facility scale (two dairies) was much more comparable (Arndt et al., 2018). This 85 facility-scale comparison suggests the discrepancy might be due to spatial scale. Dairy-level inventories 86 of CH<sub>4</sub> emissions are also needed to be relevant to management and mitigation actions that are 87 implemented at the facility level. 88 To improve the spatial distribution of CH<sub>4</sub> emissions from dairies, we describe a new, farm-level 89 database called Vista-California (CA) Dairies. In this analysis, we disaggregate the CARB inventory to the 90 facility level by 1) developing a spatially-explicit map of dairy locations, 2) applying facility-level 91 information from regulatory permit data and county-level animal inventories to estimate herd sizes; and 3) 92 estimating enteric and manure CH<sub>4</sub> emissions from dairy facilities based on manure management from 93 permit data and regional norms. Vista-CA Dairies, is hence the first spatially-explicit inventory at the scale 94 at which management and mitigation decisions are made. Compared to previous inventories, we 95 significantly improve (1) spatial resolution of dairy CH<sub>4</sub> emissions using more accurate farm-level herd 96 demographics and (2) spatial variation in partitioning of emissions between enteric and manure sources 97 by incorporating information on manure management practices at a finer scale than used in typical 98 inventories. These improvements are critical for accurately attributing local to regional scale CH<sub>4</sub> 99 emissions to their sources, identifying high-priority areas for mitigation management, and assessing 100 progress towards achieving mitigation goals (e.g. (State of California, 2016)). 101 102 To demonstrate the utility of this facility-scale product in monitoring mitigation outcomes, we apply 103 the inventory to address the effectiveness of mechanical separators and anaerobic digesters - two climate 104 mitigation strategies that the state is pursuing - in reducing manure methane emissions (CDFA 2020a;





5

105 CDFA 2020b). Mechanical separators separate out larger-sized solid particles from the liquid manure 106 pathway, reducing the amount of manure entering lagoon treatment systems that are the major source of 107 manure methane (CDFA 2020a). Digesters, as described above, promote the production of methane from liquid manure waste through anaerobic conditions, but capture it for use as a fuel. First, we perform a 108 sensitivity analysis on the efficiency of mechanical separators in removing solids, and quantify the 109 uncertainty in their reduction in emissions. Second, we quantify the projected effect of anaerobic digesters 110 on total  $CH_4$  emissions and on the ratio of enteric  $CH_4$  to manure  $CH_4$  at the farm and regional scale. 111 Since 2015, cap and trade funds have supported 109 anaerobic digesters in an effort to reduce manure 112 CH<sub>4</sub> emissions (CDFA 2020b). This dataset provides the facility-level inventory of methane emissions, 113 114 critical for attributing methane plumes to dairy sources and for monitoring methane reduction strategies. 115 116 2. Methods 117 118 We determined the locations of dairy farms in California and estimated the herd numbers for each 119 farm. We estimated the enteric and manure  $CH_4$  emissions in 3 different ways each, and the uncertainty 120 in each parameter affecting emission estimates at the facility and state scales. These data were compiled 121 in the database Vista-CA, and compared to other methane emission maps in the same domain. Finally, 122 we evaluated the efficacy of two manure management CH<sub>4</sub> mitigation strategies that are currently being

123 implemented in California: mechanical separators and anaerobic digesters (Meyer 2019).

124

125 2.1 Dairy Locations

126

We used Google Earth satellite imagery to determine the locations of 1,727 dairy farms in California, by identifying metal-topped shelters alongside manure lagoons and corrals (further details given in Duren et al., 2019). These dairy locations are publicly available as part of the Vista-CA methane mapping project on the Oak Ridge National Laboratory Distributed Active Archive Center for





6

131 Biogeochemical Dynamics (<u>https://daac.ornl.gov/cgi-bin/dsviewer.pl?ds\_id=1726</u>). We validated the 132 number of currently operational dairies using lists of permitted dairies from three sources: the California Integrated Water Quality System Project (CIWQS, California Integrated Water Quality System, 2019), the 133 San Joaquin Valley Air Pollution Control District (SJVAPCD, Roth, 2009; Zhang, 2017), and Regional 134 Water Quality Control Boards (RWQCB). CIWQS provides the facility name, addresses, and coordinates, 135 for all active, permitted dairies in California under the U.S. Clean Water Act (CIWQS Regulated Facility 136 Reports, 2017). Air permits include the maximum herd sizes for dairies with more than ~1954 cows that 137 are located in the San Joaquin Valley under California Senate Bill 700 (State of California 2003; 138 SJVAPCD 2004) . Finally, we used reports for the year 2015 from RWQCBs in regions (5: Central Valley, 139 and 8: Santa Ana) where herd numbers and nutrient management data for individual farms > 500 cows 140 are collected (California Regional Water Quality Control Board, 2007; 2013; Roth, 2009; Zhang, 2017; 141 Carranza et al. 2018; Duren et al. 2019). Of the 1,727 dairy locations determined by satellite imagery, 842 142 143 have RWQCB reports, 927 have SJVAPCD permits for a total of 1,107 permitted dairies, with 620 dairies having both permit types (Table S2). We used addresses to determine the approximate location of each 144 dairy, and manually adjusted the location to the center of a dairy farm using satellite imagery in Google 145 Earth (Duren et al. 2019; Rafig et al. submitted). 146

We grouped dairies into three geographic categories by county: North Coast (180 dairies), Central Valley (1493 dairies), and Southern California (54 dairies) to account for differences in climate, animal housing and primary manure management styles among these three regions (Meyer, 2019). The North Coast includes Del Norte, Humboldt, Lassen, Marin, Mendocino, Modoc, Monterey, San Luis Obispo, San Mateo, Santa Barbara, Siskiyou, and Sonoma counties; Central Valley includes the counties Butte, Colusa, Fresno, Glenn, Imperial, Kern, Kings, Madera, Placer, Sacramento, San Joaquin, Solano, Stanislaus, Sutter, Tehama, Tulare, Yolo, and Yuba; Southern California includes Imperial, Los Angeles, Riverside, San Diego, San Bernardino, and Santa Ana counties. Counties not listed did not have dairies in the Vista-CA database.





7

We assumed that all permitted dairies are currently operational. While this assumption is untrue, it is not possible to determine which dairies are functioning and which are not since dairy closures are not currently tracked by any agency. Milk production statistics show that there are roughly 1400 commercial dairies in CA, including 162 dairies in Northern California (CDFA 2018).

160

161

- 162 2.2 Herd Populations and Demographics
- 163

We used data from three sources to estimate herd numbers and demographic categories at each 164 dairy. First, the RWQCB reports provide the number of milk cows, dry cows, heifers, and calves for dairies 165 in the Central Valley and Southern California for the year 2005 (California Regional Water Quality Control 166 Board, 2013). Second, SJVAPCD permits include the maximum number of cattle in each class at a given 167 facility, based on facility housing in 2011, rather than the number of animals. Third, the 2017 United 168 States Department of Agriculture (USDA) National Agricultural Statistics Survey (USDA NASS, 2017) 169 provides the number of farms and the number of cows in different dairy size classes in each county. 170 though the NASS Census data include farms that are not commercial dairies. These data represent our 171 172 best estimates, but they represent specific points in time that are not consistent between data sources. 173 With these permits, we can account for the location of ~1,321,000 lactating cows (Table S2) in California. 174 Based on milk shipments, we know that at the time of this publication, there are roughly 1.7M lactating 175 cows in California (Ross, 2019). 176 For dairies with RWQCB reports, we use the number of milk cows, dry cows, heifers, and calves 177 as the number of cattle in each class. Given that we are calculating an annual  $CH_4$  emission rate for each 178 farm, we assume the population and demographics of each farm are constant in time, though in reality 179 these fluctuate as cattle are sold or born. The Central Valley RWQCB assumes the population size of the

180 lactating and dry cows varies by 15% or less (California Regional Water Quality Control Board, 2013).





8

For the 307 dairies with only SJVAPCD permits, we converted the reported available housing to cattle populations using a scaling factor representing percent fullness of cattle housing facilities. We developed this factor from dairies with both water board and air quality reports (Table S1).

184 For the (generally smaller) dairies without SJVAPCD permits or RWQCB reports described above (n=620), we estimate the number of cows in each dairy  $(n_i)$  in the county based on the number of cows 185 reported by the USDA Census ( $n_{\text{USDA}}$ ). We subtract the number of cows from farms in each county with a 186 water board (n<sub>WB</sub>) or air quality (n<sub>AO</sub>) permit from the total number of farms reported in the NASS census 187 to estimate the number of farms without permits. We also subtract the total number of cows accounted for 188 in each of the farms with water board or air quality reports from the total number of cows reported for the 189 county in the census to get the number of cows on farms without permits. We then divide the cows on 190 farms without permits by the number of farms without permits to estimate the average number of cows 191 192 per farm for each county (Equation (1)).

193

$$194 \quad n_i = \frac{n_{USDA}, i - n_{AQJ} - n_{WBJ}}{(n_f arms_{USDAJ} - n_f arms_{AQJ} - n_f arms_{WBJ})}$$
(1)

195

196 In addition to large commercial operations, the USDA Census data include small operations with as few197 as one lactating cow.

For counties without cattle reported in the USDA Census data, but for which the Vista-CA database includes dairies, we assume that the average of all available data was representative of that county (Supplemental Methods S1.1) using RWQCB reports, SJVAPCD permits, and the county-wide California NASS from 2017 (USDA NASS, 2017).

We also estimate the populations of non-lactating animals, though these data are less reliable than data for lactating animals. The RWQCB reports provide the number of dry cows, bred heifers, heifers, calves 0-3 months, and calves 4-6 months (California Regional Water Quality Control Board, 2013). From this data, we determine the median ratio of dry cows to the number of milk cows to estimate the number of dry cows for dairies without RWQCB reports. Calf and heifer populations are less reliable





9

207 than mature cow populations (lactating + dry cows), as these replacement animals may or may not be at 208 the same facility as the animals they will replace. We assume that replacement animal populations are 10% higher than the mature cow populations (Deanne Meyer, personal communication, February 7, 209 2020), and are evenly distributed among the 0-23 month old animals. For this analysis, we assume that 210 the replacements are on the same dairies as the lactating cows in order to not double count the heifer 211 ranches; these animals do exist, but may not be present on the dairies. We also estimated the effect of 212 this assumption on overall emissions. Enteric fermentation emissions equations also distinguish between 213 replacement heifers <500 lbs and replacement heifers >500 lbs, and calves 0-6 months and calves 6-12 214 months. We assume the populations are split equally between the size classes. We also assume the 215 216 same number of calves aged 0-6 months as for calves aged 7-12 months.

217

## 218 2.3 Enteric Fermentation Emissions

219

We estimated enteric fermentation in three ways, which have previously been used to estimate emissions at the state or national levels: (1) according to the method used in California's greenhouse gas emission inventory (E1; Charrier, 2016), (2) a method used for estimating emissions for the continental U.S. (E2; Hristov et al. 2017), and (3) a method suggested by recent research done in California (E3; Appuhamy et al. 2019). These three methods increase in their complexity: method E1 is based solely on the population and a state-wide emissions factor; E2 is based on a statewide emission factor and diets; and E3 is based on diet as well as the quality of milk provided. We performed each of these calculations with lactating cows only (subscript I) and total cattle, including calves, replacement heifers, and dry cows (subscript t).

The first method, E1, is based on the calculations used by CARB for the official statewide greenhouse gas emission inventory (Charrier, 2016). For this method, we estimate total enteric emissions ( $CH_{4,e1}$ ) based on the number of cattle (n) and a standard emission factor for each cattle type (Eq. (2)). Method E1 assumes enteric fermentation emissions (ef<sub>1</sub>) are 114.61 kg CH<sub>4</sub> per lactating dairy cow (ef<sub>1</sub>).





10

CH<sub>4,e1l</sub> =  $ef_{1l} * n_l$  (2) For all cattle, the total enteric emissions are the sum of the product of the number of cattle (n) and the emission factor (Eq. (3)). Method E1 assumes that the emissions factors are 11.63 kg CH<sub>4</sub> per dairy calf (ef1<sub>c</sub>); 43.53 kg CH<sub>4</sub> per replacement heifer aged 7-12 mo; and 65.71 kg CH<sub>4</sub> per replacement heifer aged 12-24 months. We use a weighted mean of 58.32 kg CH<sub>4</sub> per replacement heifer (ef1<sub>h</sub>). Here i represents the classes of cattle, including milk cows, calves, and replacement heifers. The CARB inventory does not

241 provide an emission factor for dry cows, so we exclude those from this analysis (Charrier, 2016).

242

$$243 \quad CH_{4\,e1t} = \sum e_{f_{1i}} * n_i \tag{3}$$

244

The second method, E2, is based off of calculations in Hristov et al. (2017). For this method, we estimate the total enteric emissions ( $CH_{4,e2}$ ) as the product of the number of cattle (n), a dry matter intake (DMI), and an emission factor (ef2; Eq. (6))(Hristov et al., 2017). Method E2 assumes DMI are 22.9 kg/day for lactating cows, 12.7 for dry cows, 8.5 for dairy replacement heifers, and 3.7 for calves, and emission factors are 436, 280, 161, and 70 g/head/day for lactating cows, dry cows; dairy replacement heifers, and calves, respectively.

251 
$$CH_{4,e2l} = n_l * DMI_l * ef_{2e,l}$$
 (4)

252

253 
$$CH_{4,e2t} = \sum n_i * DMI_i * ef_{2e,i}$$
 (5)

254

The third method, E3, is based on calculations by Appuhamy et al. (2019). For this method, we estimate the total enteric emissions including the number of cattle (n), a dry matter intake (DMI), neutral detergent fiber (NDF) in the diet, milkfat (mf)(Appuhamy, 2018). We also include factors for DMI ( $f_{DMI}$ ),





11

258 NDF ( $f_{NDF}$ ) and milkfat ( $f_{mf}$ ). Here, emissions are the sum of emissions due to DMI, neutral detergent, and

260 
$$CH_{4,e3l} = n_l * (f_{DMIJ} * DMI_l + f_{NDFJ} * NDF_l + f_{mf} * mf))$$
 (6)

261

Note that Appuhamy et al. (2019) consider mature cows to be dry cows for 60 days of the year (16.4%) and lactating cows the remainder of the year, while we count the dry and lactating cows separately. For the other cattle classes (i, including dry cattle, replacement heifers, and calves), the E3 emissions are the product of DMI and an factor ( $f_{DMI}$ ), as in E2 (Eq. (7)).

266

267 
$$CH_{4,e3i} = n_l * \left[ \left( f_{DMI,l} * DMI_l + f_{dNDF,l} * NDF_l + f_{mf} * mf \right) * 365 \right] + \sum (n_i * f_{DMI,i} * DMI_i) * 365$$
(7)

268

269

## 270 2.4 Manure Management Emissions

271

We estimated manure emissions for each dairy three ways: (1) according to the method used in California's greenhouse gas emission inventory (Charrier 2016), (2) a method used for estimating emissions for the continental U.S. (Hristov et al. 2017), and (3) a method suggested by recent manure management research done in California (Meyer et al. 2019; Figure 1). Methods M1 and M2 are based on average statewide manure management, while method M3 is based on facility-level or regional manure management. We perform each of these calculations first with milk cows only and then including calves, dry cows, and heifers. All three methods follow the same general equation, though have differences in the specific variables used in Eq. (8).

280

281 
$$CH_{4,m,l} = n_l * \rho_{CH4} * V S_{prod} * B_o * \Sigma[MCF_{system} * f_{system}]$$
 (8)

282 In this equation, n is the number of cows,  $\rho_{CH4}$  is the density of CH<sub>4</sub>, which is a constant 0.662 (g/cm3), 283 VS<sub>prod</sub> is the total amount of volatile solids (VS) produced per animal, B<sub>o</sub> is the maximum methane





12

production capacity, and MCF is the methane conversion factor for each system, and f<sub>system</sub> is the fraction of manure going into each manure management system. The different systems include pasture, daily spread, solids, liquid/slurry, lagoon, and dry lot. Pasture is manure deposited while grazing; daily spread is collection of manure that is spread onto field or pasture within 24 hours of deposition; solids are dried manure stored in unconfined stacks; liquid/slurry is manure stored with some water added, with a typical residence time of less than 1 year; a lagoon is a designed storage system for stabilizing waste; and dry lot is an open confined area, where manure may be removed occasionally (IPCC 2006).

291 The first method, M1, is based on the method used in the CARB greenhouse gas inventory. For 292 M1, methane emissions from manure management are calculated for each dairy facility based on the fraction of manure in each management system, the total VS production, the CH<sub>4</sub> density, B<sub>5</sub>, and the 293 methane conversion factor for each system (CARB 2014; Dong et al., 2006; US EPA, 2017). For method 294 M1, we assume that a constant proportion of manure is in each management type on each dairy 295 according to statewide proportions (CARB 2014). These percentages are 0.7% for pasture, 10.6% for 296 daily spread, 9.1% for solids, 20.2% for liquid slurry, and 58.2% for lagoon, and 1.2% for anaerobic 297 digester. For heifers, the state assumes 87.4% of manure is managed ais drylot, 10.8% as daily spread, 298 0.9% as liquid, and 0.9% as pasture. Volatile solid production and Bo are constant among management 299 300 types, and the methane conversion factor for each system is 0.015 for pasture, 0.005 for daily spread, 0.04 for solid storage, 0.323 for liquid/slurry and 0.731 for anaerobic lagoon (Charrier, 2016). 301

The second method, M2, is based on the methodology used by Hristov and colleagues (Hristov et al., 2017). These are the product of the VS excreted, the methane generation potential, the waste management system distribution in the state, the methane conversion factor (MCF) for the state, and the methane density (Eq. (7)). The percentages of waste entering daily spread, solid storage, liquid slurry, and anaerobic lagoon are 10%, 9%, 20%, and 60% respectively, for dairy cows, with corresponding MCFs for cows are 0.005, 0.04, 0.323, and 0.748, respectively. For replacement heifers, the percentages are 11%, 88%, 1%, and 1% for daily spread, dry lot, liquid/slurry, and pasture, respectively, with corresponding MCFs for heifer are 0.005, 0.015, 0.39, and and 0.015, respectively. The VS excreted are





13

2799 kg/day for dairy cows, 1251 for dairy heifers and 370 for calves.  $B_0$  is 0.24, 0.17, and 0.17 for dairy cows, replacement heifers, and calves, respectively. The MCF for calves is 0.015.

For the third method, M3, we estimate manure management based on data from the SJVAPCD air quality permits and regional differences in manure management as follows below (Eq. (9)) and shown in Figure (1). CH<sub>4</sub> emissions for each manure management system were determined according to CARB emission factors described above and summed for each farm. As described previously, only dairies in the San Joaquin Valley with >500 cows in 2011 have SJVAPCD permits. For these dairies, we estimate manure emissions based on the reported dairy management practices documented in permits, though this information represents facilities inconsistently. These permits report the presence of corrals or freestalls as housing types; flush, scrape, or vacuum systems for manure collection; and mechanical separator, settling basin, or weeping wall as solid-liquid separator systems (Table S1). Housing type typically determines the fraction of manure that is processed by the manure handling system, which can be guantified as the percentage of time cows spend on concrete. For dairies with corrals or freestalls present, we assume time on concrete to be 70% (Meyer, 2019). For dairies without freestalls, we assume time on concrete to be 30% (Mever, 2019). We assume that time in the milking parlor is 12.5% of total time, which is almost always flushed or hosed out into a liquid manure handling system (i.e., liquid/slurry or lagoon). For the remainder of the time on concrete, we assume that for facilities with scrape or vacuum systems reported, the manure is stored as solids; for facilities with only flush systems reported, we assume that this manure is flushed into lagoons. We assume that the remaining manure (time not spent in housing) is not collected, and remains as solids in the open lot or pasture. For dairies with solid-liquid separator systems reported, manure that is flushed to lagoon is diverted to solid storage based on the mechanical separator efficiency (0.05 for mechanical separator; 0.225 for settling basin; 0.25 for weeping wall). We also estimate the effect of using manure solids as bedding. The majority of manure solids are used as bedding, as it is a cost-effective and easily available option to keep the animals comfortable, though some solids are land applied or removed off farm (Chang et al., 2004). Previous research suggests that solid manure loses roughly 33% of it's C as CO<sub>2</sub> in the first month (Ahn et al. 2011); we





14

assume that on dairies with lagoons, solid manure remains in the manure pile for at least one month to
dry out, and that half of the remaining 67% of the manure C returns to the housing facility and ultimately
ends up in the lagoon. The fraction of manure entering the lagoon, f<sub>bed</sub>, is therefore 33%. We assume that
all heifer manure is scraped, though in reality some heifer lanes may be flushed.

314 
$$CH_{4,m3,l} = n_l * \rho_{CH4} * VS_{prod} * B_o * [(f_{lagoon} + f_{solid} * f_{bed}) * MCF_{lagoon} + f_{solid} * (1 - f_{bed}) * MCF_{solid} +$$
  
315  $f_{liquid} * MCF_{liquid} + f_{pasture} * MCF_{pasture}]$ 
(9)

316 Given that air district data only exist for the San Joaquin Valley, we made assumptions about 317 housing and manure management in the other regions in California for method M3. For the remaining Central Valley dairies without air quality permits, we used the mean partitioning of solid vs. liquids from 318 permitted dairies in each county. In the Southern California dairies, open lot style farms are predominant 319 320 (personal communication, Deanne Meyer, February 7, 2020), and most do not even flush the feedlane. On these dairies, we assume that only the milking parlor is flushed, at 12.5% of the time, and the rest of 321 322 the manure is either dry scraped or remains in the open lot. In the North Coast, pasture dairies are prevalent, though many dairies have some housing for cows. Here, we assume time on concrete is 39%: 323 on average 2 months inside in the winter, and 30% of the rest of the year. During the winter months, the 324 manure is scraped into pits. In the summer, the manure is dried and stacked. In the North Coast, we 325 326 assumed that only the milking parlor was flushed (12.5%).

327

328

# 329 2.5 Uncertainty and Sensitivity Analysis

330

We estimated facility-level uncertainty in the number of cows as 20%, as suggested by the IPCC (Dong et al., 2006, Supplemental Methods). We estimated facility-scale uncertainty for enteric fermentation emissions for each of the three methods (Table 2, Supplemental Methods). The methods for calculating the standard errors of each variable are shown in the Supplemental Methods section. For E1, we calculated the standard error in ef<sub>1</sub> and n. For method E2, we calculated the standard error in DMI, n,





15

336 and ef<sub>2</sub>. For E3, we calculated the standard error in DMI, NDF, milkfat, f<sub>DMI</sub>, f<sub>NDF</sub>, and f<sub>mf</sub> for lactating cows, and DMI only for nonlactating animals. We propagated the standard error of each variable through the 337 emissions calculations equations, assuming the errors were uncorrelated (Supplemental Methods S1.2). 338 339 We estimate the facility-scale uncertainty in manure management emissions by propagating uncertainty in the terms  $n_{cows}$ , fraction of time on concrete, VS<sub>prod</sub>, methane conversion factor (MCF), and 340  $f_{hed}$ . We did not address uncertainty in maximum methane production (B<sub>0</sub>) and CH<sub>4</sub> density as these are 341 considered to be constants (US EPA 2017). Uncertainty for time on concrete was determined from 342 variance observed in a recent study (Meyer, 2019) that describes four Central Valley dairies: two with 343 freestalls and two without freestalls. We assume for our analysis that the time on concrete is equal to the 344 fraction of manure produced that passes through the lagoon (flacoon). We also assume that the remainder 345 of the manure (1-f<sub>lagoon</sub>) is stored as a solid in the Central Valley, in pasture in the North Coast, drylot in the 346 Southern Dairies. We assumed that the North Coast dairies had freestall or loafing barns for the winter, 347 348 and the Southern dairies had no barn housing; however, there are exceptions to these generalizations we did not consider as we have little systematic data on dairies outside of the Central Valley apart from 349 expert knowledge. We estimated the uncertainty in the VS production rate based on the variability 350 reported for lactating cattle and heifers over 13 years (2000-2012) in the CARB inventory (CARB 2014). 351 We calculated the mean and standard error for VS production for each of these two populations. We 352 estimated the uncertainty of the MCFs using data reported by Owen and Silver (Owen and Silver, 2014). 353 We estimated the error uncertainty of f<sub>bed</sub> to be 100%, as this value may range from including no manure 354 355 as bedding to including all solid manure as bedding. To propagate the errors in total for the manure 356 management system, we rearranged Eq. (8) with two factors to be as follows, where MCF<sub>x</sub> is the MCF for 357 either solids, pasture, or drylot, and given that  $f_{lagoon} + f_x = 1$ .

358

359 
$$CH_{4,m} = n_l * VS_{prod} * B_o * density_{CH4} * (f_{lagoon} * MCF_{lagoon} - f_{lagoon} * MCF_x + MCF_x)$$
 (10)





16

We used the sum of the squared partial derivatives of each variable times the variance of that variable to propagate the uncertainty in facility-scale manure emissions (Supplemental Methods S1.2). To determine the relative effect of manure and enteric emissions from E3 and M3 on facility-level emissions, we propagated the uncertainty associated with the two emissions in guadrature.

Due to the large number of dairies, propagating the facility-level uncertainty to the state-level using standard methods produces unrealistically low state-wide uncertainty estimates (<1%). This suggests that the uncertainties at the facility level are not independent. Therefore, we used previously published estimates for state-scale uncertainties for each of the 6 methods, from the EPA (E1, M1 (US EPA, 2017)), Hristov et al. 2017 (E2, M2 (Hristov et al., 2017)), and the IPCC (E3, M3, (Dong et al., 2006)).

We performed a sensitivity analysis on each of the methods. We calculate sensitivity  $(\delta(x|y))$  of emissions (x) to each parameter (y) as

$$\delta(x|y) = \frac{\partial x}{\partial y} * \sigma_y \tag{11}$$

where  $\frac{\partial x}{\partial y}$  is the partial derivative of emissions (x) with respect to each variable (y) in the emissions equation and  $\sigma_y$  is the uncertainty in each parameter y (i.e., fractional uncertainty \* value). We calculate fractional uncertainty as each uncertainty divided by the sum of all uncertainties, as in Eq. (12).

$$\delta = \frac{\delta(x|y)}{\sum \delta(x|y)} \tag{12}$$

We also determined the relative sensitivity of total emissions to manure and enteric emissions.

# 2.6 Spatial patterns of CH<sub>4</sub> emissions and comparison with existing spatial inventories

We converted the Vista-CA dairy database into a raster image using R (R Core Team 2013). We then convert the image to a 0.1° x 0.1° grid in WGS84 to match CALGEM (Jeong et al., 2012) and the Spatial EPA (Maasakkers et al., 2016) inventories. We subtract the values from the CALGEM, Hristov, and





361 Maasakkers emission inventories from the Vista-CA map to observe spatial variations between 362 inventories. 363 364 2.7 Alternative Manure Management Strategy Assessment 365 366 2.7.1 Solid Separators 367 Solid separators, including mechanical separators, weeping walls, and settling basins, are an 368 alternative methane mitigation manure management practice in California (CDFA 2020a). Separating out solids from liquid manure reduces CH<sub>4</sub> emissions by removing a fraction of the carbon content by aerobic 369 decomposition prior to entering anaerobic storage. Mechanical separators, settling basins, and weeping 370 371 walls remove approximately 5%, 22.5%, and 25% of volatile solids, respectively (Meyer et al. 2011). 372 373 2.7.2 Anaerobic Digesters We determined the 109 dairies that have installed or are planning to install anaerobic digesters 374 375 from reports from the CDFA Dairy Digester Reports in 2017-2019 (CDFA 2020b). We used our database  $_{376}$  to estimate the effects of anaerobic digesters on CH<sub>4</sub> emissions from these 109 dairies in the Central 377 Valley. We assumed a 75% efficiency of CH₄ capture in anaerobic digesters (Charrier, 2016; US EPA, 378 2017). 379 380 3. Results and Discussion 381 382 3.1 Herd Populations and Demographics 383 384 The 2017 USDA Dairy Census reports the number of milk cows in California to be 1,750,329 in 385 2017. We report a total of 1,749,812 milk cows in VISTA-CA distributed across 1,727 dairy farms. We also 386 report a total of 261,473 dry cows, 1,659,274 heifers, and 514,499 calves. 75% of milk cows, 80% of dry





18

cows, 72% of heifers, and 85% of calves were reported in permits Table (1). We assume a 20% error in
our uncertainty in the number of cattle, as recommended by the IPCC (2006).

389

# 390 3.2 Enteric Fermentation

391

Total enteric emissions for all cattle are 355.8 + 26.3 Gg CH<sub>4</sub>/year for method E1; 415.6 + 38.7 Gg CH<sub>4</sub>/year for method E2, and 426.6 + 85.3 Gg CH<sub>4</sub>/year for method E3. We did not find statistically significant differences between the three methods of calculations of enteric CH<sub>4</sub> emissions for either milk cows or all cattle in the state (Table 2, Figure 2a). Statewide enteric emissions for milk cows only are 253.0 + 18.7 Gg CH<sub>4</sub>/year for method E1, 277.9 + 23.1 Gg CH<sub>4</sub>/year for method E2, and 258.9 + 51.8 Gg CH<sub>4</sub>/year for method E3. We found relatively consistent proportions of enteric fermentation CH<sub>4</sub> emissions of milk cows to total cattle. Milk cows account for 71%, 67%, and 61% of total enteric emissions based on methods E1, E2, and E3, respectively.

400

# 401 3.3 Manure Management Emissions

402

Total manure management emissions for all cattle are 378.1 + -36.7 Gg CH<sub>4</sub>/year based on M1, and 407.8 + -133.4 Gg CH<sub>4</sub>/year based on M2, and 436.8 + -131.0 Gg CH<sub>4</sub>/year based on M3, the farm-specific method. We did not find statistically significant differences in manure management emissions between the methods of calculations for either milk cows or all cattle (Table 2, Figure 2b). Total manure management emissions for milk cows only are 373.9 + -373.9 Gg CH<sub>4</sub>/year based on M1, 402.7 +/- 131.7 Gg CH<sub>4</sub>/year based on M2, and 441.3 +/- 132.4 Gg CH<sub>4</sub>/year based on M3. The fraction of manure emissions that comes from the milk cows is greater than 98% for all three methods. This is because the manure of non-milk cows is primarily managed in ways with very low methane emissions, including daily spread, on dry lots, or on pasture. The difference between the emissions from milk cows alone and emissions from the total dairy herd are smaller than the uncertainties in manure emissions.





413

#### 414 3.4 Sensitivity Analysis

415

Total uncertainty in CH₄ emissions at the facility scale (E3+M3) is 14.4%; 84.1% of the uncertainty 416 is due to uncertainty in manure emissions, while 15.9% of the uncertainty is due to enteric emissions. We 417 report the statewide uncertainty in enteric emissions to be 7.4%, 8.3%, and 20% for E1, E2, and E3, 418 respectively (Table 2). The facility-level standard errors for enteric fermentation we calculated are 21.3% 419 for E1, 33.5% for E2, and 35.6% for E3. We find that sensitivities in enteric fermentation differ between 420 the three methods (Table 3). E1 is most sensitive to the number of cows (n) at a facility. E2 is equally 421 sensitive to n and ef<sub>2</sub>, followed by the DMI of lactating cows. E3 is most sensitive to DMI, followed by n. 422 We report the statewide uncertainty in manure emissions to be 9.7%, 32.7%, and 30% for M1, 423 M2, and M3, respectively (Table 4). The facility-level standard errors for manure emissions we calculated 424 425 are 49.6% for M1, 50.5% for M2, and 55.4% for M3. Here, all three methods are most sensitive to the lagoon MCF (74.5% - 82.1%), followed by ncows (12.1% - 16.2%) (Table 4). Method M3 is also very 426 sensitive to the fraction of manure allocated to bedding (12.3%). Our data on MCF for lagoons is only 427 based on 9 observational studies from outside California (Owen and Silver, 2014), so more 428 429 measurements are needed to reduce this uncertainty. Further, there is little information on the amount of 430 manure used for bedding. Overall, our uncertainty analysis is based on limited data from very few dairies. 431

#### 432 3.5 Spatial patterns of CH<sub>4</sub> emissions

Using the farm-specific method, the two largest sources of  $CH_4$  from California dairy farms are enteric fermentation (38.2%) and manure emissions from lagoons (51.0%) statewide. Of manure management  $CH_4$  emissions, 97.7% came from lagoons statewide, 1.6% from solid storage, 0.6% from liquid/slurry, and 0.0% from dry lot, pasture, and solid spread. Of the three geographic regions, the majority of manure management  $CH_4$  emissions came from the Central Valley (96.1%), with only 2.3% of manure emissions were from the North Coast, and 1.6% from Southern California. Per cow manure





20

management emissions were also highest in the Central Valley (0.25 Tg CH<sub>4</sub>/milk cow/year) due to the predominance of lagoons as manure management practice, compared to the North Coast (1.6 Tg CH<sub>4</sub>/milk cow/year) and Southern regions (0.12 Tg CH<sub>4</sub>/milk cow/year). In the 180 North Coast dairies, the 79,974 cows encompassed 1.6% of calculated manure emissions and 2.2% of calculated enteric emissions. The 54 dairies with a total of 42,377 cows in the Southern dairies, made up 2.3% of calculated manure emissions and 1.2% of calculated enteric emissions.

With these emissions data, we also calculated enteric:manure ratios, which can be useful for 445 methane mitigation planning. Mitigation strategies for dairy methane generally target either enteric or 446 manure emissions, affecting this ratio. Manure management emissions per cow are much more variable 447 than enteric emissions regionally, as manure practices vary more than feeding regimes Therefore, 448 differences in enteric:manure are likely due to differences in manure management. The enteric:manure 449 ratio of CH<sub>4</sub> emissions in the North Coast is the highest, at 1.9; the enteric:manure ratio in the Southern 450 dairies is 1.5, and in the Central Valley is 0.94 (Figure 4). These differences are primarily due to the 451 differences in manure management and cow housing type across regions: the Central Valley primarily 452 uses flush systems, storing a large percentage of manure in lagoons, while North Coast and Southern 453 California dairies tend to have scrape systems and dry lots, respectively. Because lagoons have the 454 highest MCF, the Central Valley has the highest per-cow emissions and lowest enteric:manure CH<sub>4</sub> ratios. 455 The CARB inventory also shows a statewide enteric:manure ratio of 1.08, which is primarily influenced by 456 the large number of dairies in the Central Valley (CARB 2014). The enteric:manure ratio also has 457 implications for verifying mitigation effectiveness, as strategies that reduce either enteric or manure 458 emissions should alter this ratio. If emission signatures of enteric fermentation differ from those of manure 459 management, such as the <sup>13</sup>C-CH<sub>4</sub> isotopic signature, it may be possible to use downwind or regional 460 measurements of these signatures and their changes with mitigation to guantify enteric:manure ratios. 461 462

## 463 3.6 Comparison with existing spatial inventories





21

465 We compare this spatially-explicit facility-level database with three other existing bottom-up spatial inventories, the spatially-explicit EPA model (Maasakkers et al. 2017; comparable to E1+M1), the 466 Hristov model (Hristov et al. 2017, comparable to E2+M2), and the CALGEM model (Jeong et al. 2012; 467 Jeong et al. 2016), by aggregating these estimates to 0.1° x 0.1° resolution to match the spatial scale of 468 these other products (Figure 4). The EPA model and the Hristov model were both developed for the 469 contiguous United States, while CALGEM was developed for California only. First, we note that there are 470 no significant differences in the statewide total methane emissions or methane emissions on a per cow 471 basis amongst the three products. However, there are differences in how manure is treated. CARB 472 estimates that 76% of manure is stored as a liquid, either in lagoon or liquid/slurry, while Hristov assumes 473 that all manure is in lagoon or liquid/slurry, which are the manure treatments with the two highest 474 emissions factors (Hristov et al., 2017). Thus the Hristov estimates are consistently higher than those of 475 CARB and this farm-scale estimates. 476 477 We determined Pearson's correlation coefficients using R to test differences in spatial patterns between inventories. CALGEM is the closest to VISTA-CA emissions (E3+M3), with a Pearson's 478 correlation coefficient of 0.79. Hristov et al. is the second closest, with a Pearson's correlation coefficient 479 of 0.56, but tends to overestimate emissions in the Central Valley, including hotspots of methane 480 481 emissions. Maasakkers et al. matches the least, with a Pearson's correlation coefficient of 0.30, and tends to underestimate the hotspots of methane emissions in the Central Valley. The other models also 482 have emissions in areas where VISTA-CA does not have dairies (shown in gray in Figure 4). Hristov et al. 483 484 (2017) includes the largest emissions area where VISTA-CA does not show dairies, mostly in the lower Central Valley and Southern Regions, though also in the North Coast. Maasakkers et al. (2017) follows, 485 with additional emitting areas primarily in the lower Central Valley. CALGEM has the fewest areas that are 486 487 not in VISTA-CA, mostly in the North Coast and Southern regions of California. 488

## 489 3.8 Alternative Manure Management Strategy Assessment





22

491 We found that existing solid separators reduce state-wide manure CH<sub>4</sub> emissions by 96.7 492 Gg/year, (22.9%). This estimate assumes that half of all separated solids are used as bedding, and one third of the C of separated solids are emitted as CO<sub>2</sub>, rather than CH<sub>4</sub>, as with other solids. However, 493 there is inconsistency in the applicability of separators as a methane emission strategy (CDFA 2020a, 494 CDFA 2020b): on the one hand the AMMP funds separators to reduce methane emissions, but in the 495 digester program projects include separators prior to their digesters. 496 We estimated the effects of anaerobic digesters on CH<sub>4</sub> emissions at 109 dairies in the Central 497 Valley that have or are scheduled to have anaerobic digesters in 2017-2019 (CDFA 2020b, Figure 5). 498 Following the USEPA, we assume a 75% efficiency in anaerobic digesters (Lory et al., 2010; Charrier 499 2016). We predict a total reduction of  $CH_4$  emissions by 54.5 Gg  $CH_4$ /year. This represents a 73.2% 500 decrease in manure emissions and a 38.2% reduction in total (manure + enteric) emissions from dairies 501 with these digesters, resulting in a 12.9% decrease in statewide manure emissions and a 6.5% decrease 502 503 in total (enteric + manure) statewide dairy emissions. However, limited data exist on farm-scale emissions before and after digesters, or on the efficiency of digesters. 504 505 Our estimate provides a baseline against which the effectiveness of digester systems to reduce  $CH_4$  emissions can be assessed. Current top-down measurements of  $CH_4$  emissions in California are 506 associated with large uncertainty, and are not likely to capture signals of this magnitude. Jeong et al. 507 (2016) inversion modeling posteriors suggest a 25% error in CH<sub>4</sub> emissions in the California Central 508 Valley, but pixel-by pixel error is much higher. The 95% confidence intervals for the Central Valley are 509 510 1020-1740 Gg CH₄/year (Jeong et al. 2016), which is an order of magnitude larger than the reduction we expect to see from the digesters. 511

512

# 513 4. Data Availability

514

Raster files at 0.1° resolution of methane emissions from the Vista-CA Dairy dataset and
 associated metadata are open access and are available in the Oak Ridge National Laboratory Distributed





23

- 517 Active Archive Center for Biogeochemical Dynamics (ORNL DAAC) (Marklein et al., 2020;
- 518 https://doi.org/10.3334/ORNLDAAC/1814).
- 519

## 520 5. Conclusions

521

The farm-specific Vista-CA Dairies emission product is the first spatially-explicit database of CH<sub>4</sub> 522 emissions from dairy at the farm scale. By separately mapping enteric fermentation emissions and 523 manure management emissions, our product is valuable for source attribution and for determining the 524 effects of changes to management on greenhouse gas budgets. State or county-level assumptions by 525 EPA and CARB often do not match on-farm reality (Arndt et al., 2018), particularly given that they use 526 statewide average emissions factors that cannot capture regional differences in climate or management 527 within the state. At the state level, manure and enteric fermentation CH<sub>4</sub> emissions from the farm-specific 528 method were not significantly different than previous analyses (Appuhamy, 2018; CARB, 2014; Hristov et 529 al., 2017; Maasakkers et al., 2016), which supports the validity of the farm-specific methodology. 530 Furthermore, by limiting emissions to locations with confirmed dairies, our facility-level database 531 consolidates emissions estimates to point sources rather than regional estimates. For example, using 532 county-level data, dairy emissions are predicted to be evenly spread throughout the county or through 533 areas of active farmland (Maasakkers et al., 2016), but this approach can miss CH<sub>4</sub> hotspots and predict 534 535 emissions in areas without dairies. Hotspots are particularly important to predict and monitor, as 536 prevention and mitigation efforts occur at the facility scale. 537 The farm-specific data also explicitly include manure management practices, which can vary with climate, geography, and regional policy. The spatial differences in per cow emissions are particularly 538 pronounced because of regional patterns in manure management strategies. When manure is managed 539 as a liquid, including in lagoons, CH<sub>4</sub> emissions are higher than for manure managed as solids. The 540

- 541 Central Valley primarily uses flush systems, storing a large percentage of manure in lagoons, while North
- 542 Coast and Southern California dairies tend to have scrape systems and open lots, respectively, that emit





24

far less  $CH_4$ . Thus, the Central Valley has higher per-cow emissions and lower enteric:manure  $CH_4$  ratios (Figure 3).

Major uncertainties exist in both bottom up and top down estimates of CH<sub>4</sub> emissions from 545 dairies. These include methane conversion factors, the number of cows, the amount of manure entering 546 different waste streams, the time on concrete for the cattle, the functionality and efficiency of 547 solid-separator systems, and the amount of manure solids used as bedding. We are most confident in the 548 estimates in the San Joaquin Valley region, where air quality permits and water board reports exist, 549 providing facility-level information on the herd sizes and manure management practices. However, 550 manure management strategies were not defined consistently in the reports, so permit information may 551 not be directly comparable between dairies. Further, even with accurate accounting, the different climatic, 552 animal housing, manure management, and biogeochemical factors in each dairy affect the actual CH<sub>4</sub> 553 emissions at any given time (Hamilton et al. 2006). 554 Nevertheless, this dataset is the first comprehensive, facility-scale inventory of CH<sub>4</sub> emissions, 555 and can be easily updated as more data become available. This includes addition or removal of dairies, 556 updated information on herd demographics, and information on manure management. We can also 557 update the database with new estimates for CH<sub>4</sub> emissions as more data emerge and models become 558 more accurate. More facility-scale information could be gained through either policy initiatives that require 559 more detailed reports or thorough data mining of spatial images. For example, including an accounting of 560 different types of feed will improve enteric fermentation emission predictions (NRC report 2018 24987-2). 561 Mitigation activities including digesters, diet changes, and manure management are implemented at the 562 563 facility scale. With emissions detail at the facility and process level, the Vista-CA database is therefore 564 useful for predicting and verifying the effects of mitigation activities.





25

# 567 Tables and Figures

568

569

570 Table 1. Total number of animals in each demographic class, and number of cows accounted for in permit

571 data. Note that rows 2 and 3 contain some repeated data where farms have both types of permits. \*Note

572 that we assume that the population of replacement heifers is 10% greater than the population of milk

573 cows.

574

	Farms	Milk cows	Drv cows	Replacement	Calves*
				Heifers*	
Total	1,727	1,749,812	261,473	1,659,274	514,499
Water board permit	842	1,030,948	155,397	1,134,085	262,742
Air quality report	927	1,184,109	178,830	1,302,551	288,855
Air quality + water board	662	894,187	136,037	983,638	236,729
No permit data	620	428,942	63,283	471,911	77,082

575

576 Table 2. Enteric and manure CH4 emissions and standard error at the facility and statewide scales.

	Mean per dairy	Facility	Statewide estimate	Statewide SE	Statewide estimate
	(milk cows) kg	level SE	(milk cows) Gg	(milk cows)	(all cattle) Gg
	CH₄/year		CH₄/year		CH₄/year
CH <sub>4,E1</sub>	146.5	21.3%	253.0	7.4%	355.8
CH <sub>4,E2</sub>	160.9	33.5%	277.9	8.3%	415.6
CH <sub>4,E3</sub>	149.9	35.6%	258.9	20%	426.6
CH <sub>4,M1</sub>	210.9	49.6%	373.8	9.7%	378.1
CH <sub>4,M2</sub>	234.0	50.5%	402.7	32.7%	407.8
CH <sub>4,M3</sub>	252.9	55.8%	436.8	30%	441.3

577

578





26

- 580 Table 3. Estimated input variables and standard error as a % of the mean for each of the methods to
- 581 calculate enteric fermentation at the farm scale, along with sensitivity to each input variable. \*Description
- 582 of SE calculations are provided in the supplemental methods.
- 583

	variable		Mean value (%SE*)	sensitivity	Source
E1 (eq. 2)	n	lactating cows	1125 cows (20%)	88.0%	
	ef <sub>1</sub>	lactating cows	144.61 kg CH4/cow /	12.0%	CARB 2017,
			year (7.4%)		US EPA 2017
E2 (eq. 4)	n	lactating cows	1125 cows (20%)	35.6%	
	DMI	Lactating cows	22.9 kg/day (18%)	28.9%	Hristov 2017
		heifers	8.5 kg/day (15%)		
		calves	3.7 kg/day (15%)		
	ef <sub>2</sub>	Lactating cows	19 g/kgDMI (20%)	35.6%	
		heifers			
		calves			
E3 (eq. 6)	n	lactating cows	1125 cows (20%)	29.1%	
	DMI	lactating cows	22.9 kg/day (38.2%)	32.4%	Appuhamy
		dry cows	13.5 kg/day (30.5%)	37.1%	2018
	dNDF	Lactating cows	15.1 % DM (35.6%)	0.5%	
	mf	Lactating cows	3.6 % (6.0%)	0.2%	
	f <sub>DMI</sub>	Lactating cows	22.1 (3.5%)	0.3%	
	f <sub>NDF</sub>	Lactating cows	2.18 (36.7%)	0.5%	
	f <sub>mf</sub>	Lactating cows	32.2 (13.0%)	0.8%	

584 585





27

- 587 Table 4. Estimated input variables and standard error as a % of the mean for each of the methods to
- calculate enteric fermentation at the farm scale, along with sensitivity to each input variable. \*Descriptionof SE calculations are provided in the supplemental methods.
- 590

	Variable		Mean value (%SE*)	sensitivity	Source
M1 n lactating		lactating cows	1125 cows (20%)	16.2%	IPCC
	VS <sub>prod</sub> lactating cows		2654 (1.4%)	0.1%	CARB 2017
	nonlactating cows		1219 (0.9%)		
	MCF Pasture		0.15 (245%)	1.0%	CARB, Owen
	Daily spread		0.005 (245%)	0.0%	and Silver 2014
		Solid storage	0.04 (86.2%)	0.0%	
		Liquid/slurry	0.323 (47.1%)	1.6%	
		Lagoon	0.748 (52.3%)	82.1%	
		Dry lot	0.04 (86.2%)		
M2	n	lactating cows	1125 cows (20%)	15.7%	IPCC
	VSprod	Lactating cows	2799 (1.4%)	2.7%	Hristov et al.
		Heifer	1251 (0.9%)		2017, CARB data
		calves	370 (0.9%)		
	MCF	Pasture	0.15 (245%)		CARB, Owen
		Daily spread	0.005 (245%)	0.0%	and Silver 2014
		Solid storage	0.04 (86.2%)	0.0%	
		Liquid/slurry	0.323 (47.1%)	1.4%	
		Lagoon	0.748 (52.3%)	80.2%	
		Dry lot	0.04 (86.2%)		
M3	n	1720 cows per dairy	1125 cows 20%	12.1%	IPCC
	VS <sub>prod</sub>	Lactating cows	2654 (1.4%)	0.0%	CARB data
		Nonlactating cows	1219 (0.9%)		
TOC (f <sub>lagoon</sub> )		Freestall	74% (5.7%)	0.0%	Meyer 2019
Ŭ		Nonfreestall	34% (8.8%)		
		nonlactating	26% (12.3%)		
	MCF	Pasture	0.15 (245%)	0.3%	CARB, Owen
		Daily spread	0.005 (245%)		and Silver 2014
		Solid storage	0.04 (86.2%)	0.1%	
		Liquid/slurry	0.323 (47.1%)	0.6%	
		Lagoon	0.748 (52.3%)	74.5%	
		Dry lot	0.04 (86.2%)		
	f <sub>bed</sub>	fraction bedding	0.33 (100%)	12.3%	Ahn et al. 2011







Figure 1. Diagram of manure flows on a dairy farm. Dashed lines indicate North Coast dairies only.Modified from Owen and Silver (2014) and Meyer et al. (2011).









- Figure 2. Map of the ratio of (a) total methane emissions and (b) ratio of enteric fermentation emissions to manure emissions. In panel (a), red indicates high total methane emissions and blue indicates low total methane emissions. In panel (b), red indicates relatively high enteric fermentation emissions, while blue
- 601 indicates relatively high manure management emissions.





30



603



604

 $_{605}$  Figure 3. Total state (a) enteric and (b) manure CH<sub>4</sub> emissions for each of the three calculations. Dark

606 bars include all cattle, while light bars include only milk cows. The lack of significant difference between

 $607\;$  the three methods supports the validity of the farm-scale method.







611

Figure 4. Map of the difference between facility-scale (M3) measurements and (a) M1 (Masakkers et al.

613 2017), (b) M2 (Hristov et al. 2017), and (c) CALGEM (Jeong et al. 2016). Positive (red) numbers indicate 614 M1, M2, or CALGEM are higher than M3 measurements, while negative (blue) values indicate M3 is

615 higher than M1, M2, or CALGEM. Grey values show where M1, M2, and M3 show dairy emissions but M3

616 does not.



618 619



32



621

622 Figure 5. Total methane emissions of California San Joaquin Valley (a,b) before and (c) after installation

623 of anaerobic digesters. Darker red shows higher emissions. The box in panel (a) is expanded in panels 624 (b) and (c).

- 625

626

627

Author Contribution: FH conceived of the presented idea. AM developed the methods and analyzed the data with input from FH, DM, SJ and MF. AM SJ and MF performed the statistics. MC and TF compiled the data. DM provided guidance on the methods and all other aspects of the manuscript. AM prepared the manuscript with contributions from all authors. FH supervised the project.

632

633 Competing interests: The authors declare that they have no conflict of interest.

634

635 Acknowledgements: The authors acknowledge the dairy farmers who provided information to the

636 permits and reports, as well as the San Joaquin Valley and Santa Ana Air Quality Control Boards,

637 California Integrated Water Quality System, and Regional Water Quality Control Boards. The project was

638 funded by the UCOP Grant LFR-18-548581 and NASA's Advancing Collaborative Connections for Earth

639 System Science (ACCESS) Methane Source Finder project proposal 15-ACCESS15-0034.

640

641 .

642





33

644 References

Ahn, H.K., Mulbry, W., White, J.W., Konrad, S.L.: Pile mixing increases greenhouse gas emissions during
 composting of dairy manure, Bioresource Technology 102, 2904-2909, doi:10.1016/j.biotech.2010.10.142,
 2011.

Appuhamy, R.: Characterizing California-specific cattle feed rations and improved modeling of enteric fermentation for California's greenhouse gas inventory 2018, 1–41, 2018.

- 650 Arndt, C., Leytem, A. B., Hristov, A. N., Zavala-Araiza, D., Cativiela, J. P., Conley, S., Daube, C., Faloona,
- 651 I. and Herndon, S. C.: Short-term methane emissions from 2 dairy farms in California estimated by
- 652 different measurement techniques and US Environmental Protection Agency inventory methodology: A
- 653 case study, Journal of Dairy Science, 101(12), 11461–11479, doi:10.3168/jds.2017-13881, 2018.
- 654 California Air Resources Board.: 2014 Edition California's 2000-2012 Greenhouse Gas Emissions
- Inventory Technical Support Document State of California Air Resources Board Air Quality Planning and Science Division, 1–168, 2014.
- 657 California Integrated Water Quality System: California Integrated Water Quality System Regulated Facility
- 658 Reports. [online] Available from:
- 659 https://ciwqs.waterboards.ca.gov/ciwqs/readOnly/CiwqsReportServlet?inCommand=reset&r
- 660 eportName=RegulatedFacility), 2019.
- 661 California Department of Food and Agriculture. Alternative Manure Management Program, [online] 662 Available from: https://www.cdfa.ca.gov/oefi/AMMP/ (Accessed 12 March 2020), 2020a.
- 663 California Department of Food and Agriculture. Annual Statistics Report 2017-2018, [online] Available
- 664 from https://www.cdfa.ca.gov/statistics/PDFs/2017-18AgReport.pdf (Accessed 12 March 2020), 2018.
- 665 California Department of Food and Agriculture. Dairy Digester Research and Development Program, 666 [online] Available from: <u>https://www.cdfa.ca.gov/oefi/ddrdp/</u> (Accessed 12 March 2020), 2020b.
- 667 California Integrated Water Quality System Regulated Facility Reports,
- 668 <u>https://ciwqs.waterboards.ca.gov/ciwqs/readOnly/CiwqsReportServlet?inCommand=reset&reportName=R</u> 669 egulatedFacility. 2017.

- 671 California Regional Water Quality Control Board: California Regional Water Quality Control Board Central 672 Valley Region, 1–125, 2007.
- 673 California Regional Water Quality Control Board: Reissued waste discharge requirements general order
- 674 for existing milk cow dairies, 1–167, 2013.
- 675 Carranza, V., Rafiq, T., Frausto-Vicencio, I., Hopkins, F.M., Verhulst, K.M., Rao, P., Duren, R.M., Miller, 676 C.E.: Vista-LA: Mapping methane-emitting infrastructure in the Los Angeles megacity. Earth System
- 677 Science Data, 10(1), 653 676, <u>https://doi.org/10.5194/essd-10-653-2018</u>, 2018.
- 678 Chang, A., Harter, T., Letey, J., Meyer, D., Meyer, R. D., Mastthews, M. C., Mitloehner, F., Pettygrove, S., 679 Robinson, P. and Zhang, R.: Managing Dairy Manure in the Central Valley of California. 2004.
- 680 Charrier, J.: 2016 Edition California's 2000-2014 Greenhouse Gas Emission Inventory Technical Support
- Bocument State of California Air Resources Board Air Quality Planning and Science Division September
   2016, 1–174, 2016.





34

- 683 Cui, Y. Y., Brioude, J., Angevine, W. M., Peischl, J., McKeen, S. A., Kim, S.-W., Neuman, J. A., Henze, D.
- 684 K., Bousserez, N., Fischer, M. L., Jeong, S., Michelsen, H. A., Bambha, R. P., Liu, Z., Santoni, G. W.,
- Daube, B. C., Kort, E. A., Frost, G. J., Ryerson, T. B., Wofsy, S. C. and Trainer, M.: Top-down estimate of methane emissions in California using a mesoscale inverse modeling technique: The San Joaquin Valley,
- 687 J. Geophys. Res. Atmos., 122(6), 3686–3699, doi:10.1002/2016JD026398, 2017.
- Dong, H., Mangino, J., McAllister, T. A., Hatfiled, J. L., Johnson, D. E., Lassey, K. R., de Lima, M. A. and
   Romanovskaya, A.: 2006 IPCC Guidelines for National Greenhouse Gas Inventories. 2006.
- 690 Dlugokencky, E. J., Nisbet, E. G., Fisher, R., and Lowry, D.: Global atmospheric methane: budget,
- 691 changes and dangers, Philos. Trans. R. Soc. London A Math. Phys. Eng. Sci., 369, 2058–2072,
- 692 https://doi.org/10.1098/rsta.2010.0341, 2011.
- Duren, R. M., Thorpe, A. K., Foster, K. T., Rafiq, T., Hopkins, F. M., Yadav, V., Bue, B. D., Thompson, D.
- R., Conley, S., Colombi, N. K., Frankenberg, C., McCubbin, I. B., Eastwood, M. L., Falk, M., Herner, J. D.,
- 695 Croes, B. E., Green, R. O. and Miller, C. E.: California's methane super-emitters, Nature, 1–6,
- 696 doi:10.1038/s41586-019-1720-3, 2019.

Google (2019). Google Earth. Mountain View, California, USA. URL <u>www.earth.google.com</u>.

- Hristov, A. N., Harper, M., Meinen, R., Day, R., Lopes, J., Ott, T., Venkatesh, A. and Randles, C. A.:
- 700 Discrepancies and Uncertainties in Bottom-up Gridded Inventories of Livestock Methane Emissions for
- 701 the Contiguous United States, Environ. Sci. Technol., 51(23), 13668–13677,
- 702 doi:10.1021/acs.est.7b03332, 2017.
- 703 Jeong, S., Newman, S., Zhang, J., Andrews, A. E., Bianco, L., Bagley, J., Cui, X., Graven, H., Kim, J.,
- 704 Salameh, P., LaFranchi, B. W., Priest, C., Campos-Pineda, M., Novakovskaia, E., Sloop, C. D.,
- 705 Michelsen, H. A., Bambha, R. P., Weiss, R. F., Keeling, R. and Fischer, M. L.: Estimating methane

706 emissions in California's urban and rural regions using multitower observations, J. Geophys. Res. Atmos., 121(21) 12.021 12.021 42.021 12.022 10.022016 ID025404 2016

707 121(21), 13,031–13,049, doi:10.1002/2016JD025404, 2016.

708 Jeong, S., Zhao, C., Andrews, A. E., Bianco, L., Wilczak, J. M. and Fischer, M. L.: Seasonal variation of 709 CH<sub>4</sub> emissions from central California, J. Geophys. Res., 117(D11), doi:10.1029/2011JD016896, 2012.

- 710 Lory, J. A., Massey, R. E. and Zulovich, J. M.: An Evaluation of the USEPA Calculations of Greenhouse
- 711 Gas Emissions from Anaerobic Lagoons, Journal of Environment Quality, 39(3), 776–8,
- 712 doi:10.2134/jeq2009.0319, 2010.
- 713 Maasakkers, J. D., Jacob, D. J., Sulprizio, M. P., Turner, A. J., Weitz, M., Wirth, T., Hight, C.,
- 714 DeFigueiredo, M., Desai, M., Schmeltz, R., Hockstad, L., Bloom, A. A., Bowman, K. W., Jeong, S. and

Fischer, M. L.: Gridded National Inventory of U.S. Methane Emissions, Environ. Sci. Technol., 50(23),
13123–13133, doi:10.1021/acs.est.6b02878, 2016.

710 10120 10100, 0011021/003.030.0002070, 2010.

Marklein, A.R., Hopkins, F.M., 2020. Dairy Sources of Methane Emissions in California. ORNL DAAC,
Oak Ridge, Tennessee, USA.<u>https://doi.org/10.3334/ORNLDAAC/1814</u>

Meyer, D., Price, P.L., Rossow, H.A., Silva-del-Rio, N., Karle, B.M., Robinson, P.H., DePeters, E.J., and
Fadel, J.G. Survey of dairy housing and manure management practices in California. Journal of Dairy
Science 94(9), 4744-4750, doi:10.3168/jds.2010-3761, 2011.

722 Meyer, D.: Characterize Physical and Chemical Properties of Manure in California Dairy Systems to 723 Improve Greenhouse Gas Emission Estimates, 1–70, 2019.





- 724 Meyer, D. Personal Communication. February 7, 2020.
- 725
- 726 Miller, S. M., Wofsy, S. C., Michalak, A. M., Kort, E. A., Andrews, A. E., Biraurd, S. C., Dlugokencky, E. J.,
- 727 Eluszkiewicz, J. and Fischer, M. L.: Anthropogenic emissions of methane in the United States, PNAS,
- 728 doi:10.1073/pnas.1314392110/-/DCSupplemental/pnas.201314392SI.pdf, 2013.
- 729 National Academies of Sciences, Engineering, and Medicine: Improving Characterization of
- Anthropogenic Methane Emissions in the United States, National Academies Press, Washington, D.C.,2018.
- 751 2010.
- 732 Owen, J. J. and Silver, W. L.: Greenhouse gas emissions from dairy manure management: a review of 733 field-based studies, Global Change Biology, 21(2), 550–565, doi:10.1111/gcb.12687, 2014.
- 734 Rafig, Talha, Duren, Riley M., Thorpe, Andrew K., Foster, Kelsey, Patarsuk, Risa, Miller, Charles E.,
- 735 Hopkins, Francesca M. "Attribution of Methane Point Source Emissions using Airborne Imaging
- 736 Spectroscopy and the Vista-California Methane Infrastructure Dataset." Environmental Research Letters, 737 *Submitted* 2020.
- 738 R Core Team. R: A language and environment for statistical computing. R Foundation for Statistical
- 739 Computing, Vienna, Austria. URL http://www.R-project.org/ 2013.
- 740
- 741 Ross, K.: California Agricultural Statistics Review,, 1–121, 2019.
- 742 Roth, L.: RULE 4570, 1-34, 2009.
- San Joaquin Valley Air Pollution Control Board, Best Available Control Technology Dairy Operations,
   https://www.valleyair.org/farmpermits/updates/draft\_dairy\_bact.pdf 2004.
- 745 State of California: Senate Bill 1383. 2016.
- 746 State of California: Senate Bill 700. 2003.
- 747 Trousdell, J. F., Conley, S. A., Post, A. and Faloona, I. C.: Observing entrainment mixing, photochemical
- 748 ozone production, and regional methane emissions by aircraft using a simple mixed-layer framework,
- 749 Atmos. Chem. Phys., 16(24), 15433–15450, doi:10.5194/acp-16-15433-2016, 2016.
- 750 Townsend-Small, A., Tyler, S.C., Pataki, D.E., Xu, X., and Christensen, L.E. Isotopic measurements of
- atmospheric methane in Los Angeles, California, USA: Influence of "fugitive" fossil fuel emissions, Journal of Geophysical Research Letters 117, D07308, doi:10.1029/2011JD01682, 2012.
- 753 US EPA, O. C. C. D.: Inventory of U.S. Greenhouse Gas Emissions and Sinks: 1990-2015 Annexes, 754 1–475, 2017.
- 755 USDA NASS: Census of Agriculture,, 1–20 [online] Available from: www.nass.usda.gov/AgCensus, 2017.
- 756 Wecht, K. J., Jacob, D. J., Sulprizio, M. P., Santoni, G. W., Wofsy, S. C., Parker, R., Bösch, H. and
- 757 Worden, J.: Spatially resolving methane emissions in California: constraints from the CalNex aircraft
- 758 campaign and from present (GOSAT, TES) and future (TROPOMI, geostationary) satellite observations,
- 759 Atmos. Chem. Phys., 14(15), 8173–8184, doi:10.5194/acp-14-8173-2014, 2014.

760 Wolf, J., Asrar, G. R. and West, T. O.: Revised methane emissions factors and spatially distributed annual 761 carbon fluxes for global livestock, Carbon Balance and Management, 1–24,





36

762 doi:10.1186/s13021-017-0084-y, 2017.

Zhang, W.: Costs of a Practice-based Air Quality Regulation: Dairy Farms in the San Joaquin Valley, Am.J. Agr. Econ., 100(3), 762–785, doi:10.1093/ajae/aax085, 2017.