We appreciate very much of the comments. In the following, the original comments are marked green followed by our reply with no color. Following our response is the full paper with tracked changes.

Comments to the Author:

Page 19, lines 493-494: Author cite Friedlingstein 2019 for the most recent GCB but their summary in these lines misses two source terms of the global carbon budget. Technically I think we would call annual growth in atmospheric CO2 concentrations the 'net' of sources and sinks, not the 'sum'.

In the revision, we revised the sentence to “The annual atmospheric CO_2 growth rate, which is the net difference between fossil fuel emissions and total annual sink over land and ocean…”

Page 20, lines 505-506: Authors change units here (from GtC to %) but they have not quoted GCB correctly. For decade 2009-2018, GCB has ocean sink at 2.5 +/- 0.6 GtC yr-1 and land sink at 3.2 +/- 0.6 GtC yr-1. Land significantly greater than ocean in GCB while these authors have ocean greater than land. Something wrong somewhere. Reader can not accept (line 507) that these numbers fit within uncertainty of GCB numbers.

This comment arises from the misunderstanding of the NBE definition. The land sink at 3.2 ± 0.6 GtC from GCB does not include land use changes and residual imbalance, while the NBE we report here includes all land fluxes except fossil fuel emissions. We define the term “NBE” in the introduction as: “The net biosphere exchange (NBE), which is the net carbon flux of all the land-atmosphere exchange processes except fossil fuel emissions …”.

In section 4.1, we further clarify how we calculate NBE from GCB – 2019 reported fluxes: “The GCB does not report NBE directly, we calculate NBE from GCB-2019 as the residual differences between fossil fuel, ocean net carbon sink, and atmospheric CO_2 growth rate. It is also equivalent to (S_{LAND} + B_{IM} - E_{LUC}) reported by Freidlingstein et al., 2019, where S_{LAND} is terrestrial sink, B_{IM} is a budget imbalance, and E_{LUC} is land use change.”

Authors here refer to GCP (Global Carbon Project?)? Friedlingstein 2019 refers to GCB: global carbon budget. Neither Friedlingstein 2019 nor Friedlingstein 2020 make any mention of NBE. This section (4.1) needs complete rewrite: use correct terms, adopt consistent units (GtC or %) but not both, line 507 conflicts with line 516-517. NBE as defined here does not match terms from GCB. What happened to cement? Do these authors adopt the same +/- 1 sigma as GCB? ESSD readers who might take an interest in this product will very likely know GCB in good detail to detect deficiencies here.

See our response above. Even though Friedlingstein et al. (2019, 2020) do not report the term NBE, they calculate (S_{LAND} + B_{IM} - E_{LUC}) when comparing to fluxes from top-down atmospheric flux inversions (Figure 8 in Friedlingstein et al. ,2019), which is equivalent to NBE we report here.

In the revision, we have added the fluxes in GtC in section 4.1. Cement is counted in the fossil fuel emissions.

In section 2.4, we detailed the uncertainty quantification method.

Line 552 - TER not defined. Not defined until line 712.
TER is defined in the first sentence of section 2.5.1.

Line 733: Authors refer to GCP when they mean GCB. Fix all of these errors! GCP 2019 not a valid citation, Friedlingstein 2019 is.
In the revision, we have removed the GCP and replaced it with GCB-2019.

Line 738 to 746, illogical. Continental US (CONUS) and state-level of CONUS have very good observational data (ground and aircraft). Therefore, observational basis of CO2 emission for these particular regions (authors do NOT go to state level) will likely always prove more reliable (less uncertain) than satellite xCO2. Unreliable estimates of NBE, no matter how well distributed over CONUS, will never prove more reliable than obs here. But validation here can add credibility to xCO2 inversions elsewhere? Authors current paragraph implies that because NBE varies a lot regionally or seasonally, it will prove useful here. Not likely. 1.5 C target (hopeful) comes from UNFCCC CoP21 Paris Agreement, not from IPCC AR6. IPCC AR6 never cited here.

What we want to convey in that paragraph is that it is important to monitor the changes of NBE since the regional contributions to atmospheric CO2 growth rate is the sum of NBE and fossil fuel emissions. It seems that the US example generates confusion. In the revision, we deleted the sentence: "Even over the continental US, where fossil fuel emissions are ~1.5 GtC/year, the changes of regional NBE in the future can significantly modify regional contributions to the changes of atmospheric CO_2 (Liu et al., 2018)."

The 1.5 C target is discussed in IPCC special report, 2018. We revised the citation in the revision.

Supplement consists entirely of figures, with some redundancy to main text. Because Copernicus does not archive supplements, this supplement needs to go on the JPL site or these 11 figures need to go in the Appendix.
I am perplexed here, since I do see ESSD papers with supplement materials published online. Here are a few examples:
Yu, Q., You, L., Wood-Sichra, U., Ru, Y., Joglekar, A. K. B., Fritz, S., Xiong, W., Lu, M., Wu, W., and Yang, P.: A cultivated planet in 2010 – Part 2: The global gridded agricultural-production maps, Earth Syst. Sci. Data, 12, 3545–3572, https://doi.org/10.5194/essd-12-3545-2020, 2020.

McDuffie, E. E., Smith, S. J., O'Rourke, P., Tibrewal, K., Venkataraman, C., Marais, E. A., Zheng, B., Crippa, M., Brauer, M., and Martin, R. V.: A global anthropogenic emission inventory of atmospheric pollutants from sector- and fuel-specific sources (1970–2017): an application of the Community Emissions Data System (CEDS), Earth Syst. Sci. Data, 12, 3413–3442, https://doi.org/10.5194/essd-12-3413-2020, 2020.

If it is still possible, we prefer to have supplement instead of having figures in the Appendix. But to accelerate the publication of the paper, in the revision, we have put the supplement figures in the Appendix B.

Carbon Monitoring System Flux Net Biosphere Exchange 2020 (CMS-Flux NBE 2020)

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Abstract. Here we present a global and regionally-resolved terrestrial net biosphere exchange (NBE) dataset with corresponding uncertainties between 2010–2018: CMS-Flux NBE 2020. It is estimated using the NASA Carbon Monitoring System Flux (CMS-Flux) top-down flux inversion system that assimilates column CO_2 observations from the Greenhouse gases Observing SATellite (GOSAT) and NASA's Observing Carbon Observatory -2 (OCO-2). The regional monthly fluxes are readily accessible as tabular files, and the gridded fluxes are available in NetCDF format. The fluxes and their uncertainties are evaluated by extensively comparing the posterior CO_2 mole fractions with CO_2 observations from aircraft and the NOAA marine boundary layer reference sites. We describe the characteristics of the dataset as global total, regional climatological mean, and regional annual fluxes and seasonal cycles. We find that the global total fluxes of the dataset agree with atmospheric CO_2 growth observed by the surface-observation network within uncertainty. Averaged between 2010 and 2018, the tropical regions range from close-to neutral in tropical South America to a net source in Africa; these contrast with the extra-tropics, which are a net sink of 2.5 ± 0.3 gigaton carbon per year. The regional satellite-constrained NBE estimates provide a unique perspective for understanding the terrestrial biosphere carbon dynamics and monitoring changes in regional contributions to the changes of atmospheric CO_2 growth rate. The gridded and regional aggregated dataset can be accessed at: https://doi.org/10.25966/4v02-c391 (Liu et al., 2020).

1 Introduction

New "top-down" inversion frameworks that harness satellite observations provide an important complement to global aggregated fluxes (e.g., Global Carbon Budget (GCB), Friedlingstein et al., 2019) and inversions based on surface CO_2 observations (e.g., Chevallier et al., 2010), especially over the tropics and the Southern Hemisphere (SH) where conventional surface CO_2 observations are sparse. The net biosphere exchange (NBE), which is the net carbon flux of all the land-atmosphere exchange processes except fossil fuel emissions, is far more variable and uncertainty than ocean fluxes (Lovenduski and Bonan, 2017) or fossil fuel emissions (Yin et al, 2019), and is thus the focus of this dataset estimated from a top-down atmospheric CO_2 inversion of satellite column CO_2 dry-air mole fraction (X_{CO2}). Here, we present the global and regional NBE as a series of maps, time series and tables, and disseminate it as a public dataset for further analysis and comparison to other sources of flux information. The gridded NBE dataset and its uncertainty, air-sea fluxes, and fossil fuel emissions are also available, so that users can calculate carbon budget from regional to global scale. Finally, we provide a comprehensive evaluation of both mean and uncertainty estimates against the CO_2 observations from independent airborne datasets and the NOAA marine boundary layer (MBL) reference sites (Conway et al., 1994).

Global top-down atmospheric CO_2 flux inversions have been historically used to estimate regional terrestrial NBE. They make uses of the spatiotemporal variability of atmospheric CO_2, which is dominated by NBE, to infer net carbon exchange at the surface (Chevallier et al., 2005; Baker et al., 2006; Liu et al., 2014). The accuracy of the NBE from top-down flux inversions is determined

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Deleted: , which is the net carbon flux of all the land-atmosphere exchange processes except fossil fuel emissions by the density and accuracy of the CO_2 observations, the accuracy of modeled atmospheric transport, and knowledge of the prior uncertainties of the flux inventories.

For CO_2 flux inversions based on high precision *in situ* and flask observations, the measurement error is low (<0.2 parts per million (ppm)) and not a significant source of error; however, these observations are limited spatially, and are concentrating primarily over North America (NA) and Europe (Crowell et al., 2019). Satellite X_{CO2} from CO_2-dedicated satellites, such as the Greenhouse Gases Observing Satellite (GOSAT) (launched in July 2009) and the Observing Carbon Observatory 2 (OCO-2) (Crisp et al., 2017) have much broader spatial coverage (O'Dell et al., 2018), which fill the observational gaps of conventional surface CO_2 observations, but they have up to an order of magnitude higher single-sounding uncertainty and potential systematic errors compared to the *in situ* and flask CO_2 observations. Recent progress in instrument error characterization, spectroscopy, and retrieval methods have significantly improved the accuracy and precision of the X_{CO2} retrievals (O'Dell et al., 2018; Kiel et al., 2019). The single sounding random error of X_{CO2} from OCO-2 is ~1.0 ppm (Kulawik et al., 2019). A recent study by Byrne et al. (2020) shows less than a 0.5 ppm difference between posterior X_{CO2} constrained by a recent data set, ACOS-GOSAT b7 X_{CO2} retrievals, and those constrained by conventional surface CO_2 observations. Chevallier et al. (2019) also showed that an OCO-2 based flux inversion had similar performance to surface CO_2 based flux inversions when comparing posterior CO_2 mole fractions to aircraft CO_2 in the free troposphere. Results from these studies show that systematic uncertainties in CO_2 retrievals from satellites are comparable to, or smaller than, other uncertainty sources in atmospheric inversions (e.g. transport).

A newly-developed biogeochemical model-data fusion system, CARDAMOM, made progress in producing NBE uncertainties, along with mean values that are consistent with a variety of observations assimilated through a Markov Chain Monte Carlo (MCMC) method (Bloom et al., 2016; 2020). Transport model errors in general have also been reduced relative to earlier transport model intercomparison efforts, such as TransCom 3 (Gurney et al., 2004; Gaubert et al., 2019). Advancements in satellite retrieval, transport, and prior terrestrial biosphere modeling have led to more mature inversions constrained by satellite X_{CO2} observations.

Two satellites, GOSAT and OCO-2, have now produced more than 10 years of observations. Here we harness the CMS-Flux inversion framework (Liu et al., 2014; 2017; 2018; Bowman et al., 2017) to generate an NBE product: CMS-Flux NBE 2020, by assimilating both GOSAT and OCO-2 from 2010–2018. The dataset is the longest satellite-constrained NBE product so far. The CMS-Flux framework exploits globally available X_{CO2} to infer spatially-resolved total surface-atmosphere exchange. In combination with constituent fluxes, e.g., Gross Primary Production (GPP), NBE from CMS-Flux framework have been used to assess the impacts of El Niño on terrestrial biosphere fluxes (Bowman et al, 2017; Liu et al, 2017) and the role of droughts in the North American carbon balance (Liu et al, 2018). These fluxes have furthermore been ingested into land-surface data assimilation systems to quantify heterotrophic respiration (Konings et al., 2019), evaluate structural and parametric uncertainty in carbon-climate models (Quetin et al., 2020), and inform climate dynamics (Bloom et al., 2020). We present the regional NBE and its uncertainty based on three types of regional masks: (1) latitude and continent, 2) distribution of biome types (defined by plant functional types) and continent, and 3) TransCom regions (Gurney et al., 2004).

The outline of the paper is as follows: Section 2 describes methods, and Sections 3 and 4 describe the dataset and the major NBE characteristics, respectively. We extensively evaluate the posterior fluxes and uncertainties by comparing the posterior CO_2 mole fractions against aircraft observations and the NOAA MBL reference CO_2, and a gross primary production (GPP) product (section 5). In Section 6, we discuss the strength and weakness, and potential usage of the data. A summary is provided in Section 7, and Section 8 describes the dataset availability and future plan.

2 Methods

2.1 CMS-Flux inversion system

The CMS-Flux framework is summarized in Figure 1. The center of the system is the CMS-Flux inversion system, which optimizes NBE and air-sea net carbon exchanges with a 4D-Var inversion system (Liu et al., 2014). In the current system, we assume no uncertainty in fossil fuel emissions, which is a widely adopted assumption in global flux inversion systems (e.g., Crowell et al., 2019), since the uncertainty in fossil fuel emissions at regional scales is substantially less than the NBE uncertainties. The 4D-Var minimizes a cost function that includes two terms:

$$J(\mathbf{x}) = (\mathbf{x}-\mathbf{x}_b)^T \mathbf{B}^{-1} (\mathbf{x}-\mathbf{x}^b) + (\mathbf{y}-h(\mathbf{x}))^T \mathbf{R}^{-1} (\mathbf{y}-h(\mathbf{x})) \quad (1)$$

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The first term measures the differences between the optimized fluxes and the prior fluxes normalized by the prior flux error covariance **B**. The second term measures the differences between observations ($\mathbf{y}$) and the corresponding model simulations ($h(\mathbf{x})$) normalized by the observation error covariance **R**. The term $h(\cdot)$ is the observation operator that calculates observation-equivalent model-simulated X_{CO2}. The 4D-Var uses the adjoint (i.e., the backward integration of the transport model) (Henze et al., 2004) of the GEOS-Chem transport model to calculate the sensitivity of the observations to surface fluxes. The configurations of the inversion system are

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summarized in Table 1. We run both the forward and adjoint at 4° x 5° spatial resolution, and optimize monthly NBE and air-sea carbon fluxes at each grid point from January 2010 to December 2018. Inputs for the system include prior carbon fluxes, meteorological drivers, and the satellite X_{CO2} (Figure 1). Section 2.2 (Table 2) describes the prior flux and its uncertainties, and section 2.3 (Table 3) describes the observations and the corresponding uncertainties.

2.2 The prior CO_2 fluxes and uncertainties

The prior CO_2 fluxes include NBE, air-sea carbon exchange, and fossil fuel emissions (see Table 2). The data sources for the prior fluxes are listed in Table 7 and provided in the gridded fluxes. Methods to generate prior ocean carbon fluxes and fossil fuel emissions are documented in Brix et al., (2015), Caroll et al. (2020), and Oda et al. (2018). The focus of this dataset is optimized terrestrial biosphere fluxes, so we briefly describe the prior terrestrial biosphere fluxes and their uncertainties.

We construct the NBE prior using the CARDAMOM framework (Bloom et al., 2016). The CARDAMOM data assimilation system explicitly represents the time-resolved uncertainties in the NBE. The prior estimates are already constrained with multiple data streams accounting for measurement uncertainties following a Bayesian approach similar to that used in the 4D-variational approach. We use the CARDAMOM setup as described by Bloom et al. (2016, 2020) resolved at monthly timescales; data constraints include GOME-2 solar-induced fluorescence (Joiner et al., 2013), MODIS Leaf Area Index (LAI), and biomass and soil carbon (details on the data assimilation are provided in Bloom et al. (2020)). In addition, mean GPP and fire carbon emissions from 2010 - 2017 are constrained by FLUXCOM RS+METEO version 1 GPP

(Tramontana et al., 2016; Jung et al., 2017) and GFEDv4.1s (Randerson et al., 2018), respectively, both assimilated with an uncertainty of 20%. We use the Olsen and Randerson (2001) approach to downscale monthly GPP and respiration fluxes to 3-hourly timescales, based on ERA-interim re-analysis of global radiation and surface temperature. Fire fluxes are downscaled using the GFEDv4.1 daily and diurnal scale factors on monthly emissions (Giglio et al., 2013). Posterior CARDAMOM NBE estimates are then summarized as NBE mean and standard deviation values.

The NBE from CARDAMOM shows net carbon uptake of 2.3 GtC/year over the tropics and close to neutral in the extratropics (Figure B1). The year-to-year variability (i.e., interannual variability, IAV) estimated from CARDAMOM from 2010 –2017 is generally less than 0.1 gC/m^2/day outside of the tropics (Figure B1). Because of the weak interannual variability estimated by CARDAMOM, we use the same 2017 NBE prior for 2018.

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CARDAMOM generates uncertainty along with the mean state. The relative uncertainty over the tropics is generally larger than 100%, and the magnitude is between 50% and 100% over the extra-tropics (Figure B2). We assume no correlation in the prior flux errors in either space or time. The temporal and spatial error correlation estimates can in principle be computed by CARDAMOM. We anticipate incorporating these error correlations in subsequent versions of this dataset.

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2.3 Column CO_2 observations from GOSAT and OCO-2

We use the satellite-column CO_2 retrievals from Atmospheric Carbon Observations from Space (ACOS) team for both GOSAT (version 7.3) and OCO-2 (version 9) (Table 3). The use of the same retrieval algorithm and validation strategy adopted by the ACOS team to process both

GOSAT and OCO-2 spectra maximizes the consistency between these two datasets. Both GOSAT and OCO-2 satellites carry high-resolution spectrometers optimized to return high precision measurements of reflected sunlight within CO_2 and O_2 absorption bands in the shortwave infrared (Crisp et al., 2012). Both satellites fly in a sun-synchronous orbit. GOSAT has a 13:00 ± 0.15 hours local passing time and a three-day ground track repeat cycle. The footprint of GOSAT is ~10.5 km in diameter in sun-nadir view (Crisp et al., 2012). The daily number of soundings processed by the ACOS-GOSAT retrieval algorithm is between a few hundreds to ~2000. Further quality control and filtering reduce the ACOS-GOSAT X_{CO2} retrievals to ~100 – 300 daily (Figure B5 in Liu et al., 2017). We only assimilate ACOS-GOSAT land nadir observations flagged as being good quality, which are the retrievals with quality flag equal to zero.

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OCO-2 has a 13:30 local passing time and 16-day ground track repeat cycle. The nominal footprints of the OCO-2 are 1.25 km wide and ~2.4 km along the orbit. Because of their small footprints and sampling strategy, OCO-2 has many more X_{CO2} retrievals than ACOS-GOSAT. To reduce the sampling error due to the resolution differences between the transport model and OCO-2 observations, we generate super observations by aggregating the observations within ~100 km (along the same orbit) (Liu et al., 2017). The super-obing strategy was first proposed in numerical weather prediction (NWP) to assimilate dense observations (Lorenc, 1981), and is still broadly used in NWP (e.g., Liu and Rabier, 2003). More detailed information about OCO-2 super observations can be found in Liu et al. (2017). OCO-2 has four observing modes: land nadir, land glint, ocean glint, and target. Following Liu et al. (2017), we only use land nadir observations. The super observations have more uniform spatial coverage and are more comparable to the spatial representation of ACOS-GOSAT observations and the transport model (see Figure B5 in Liu et al., 2017).

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We directly use observational uncertainty provided with ACOS-GOSAT b7.3 to represent the observation error statistics, **R**, in Eq 1. The uncertainty of the OCO-2 super observations is the sum of the variability of X_{CO2} used to generate each individual super observation and the mean uncertainty provided in the original OCO-2 retrievals. Kulawik et al. (2019) showed that both OCO-2 and ACOS-GOSAT bias-corrected retrievals have a mean bias of -0.1 ppm when compared with X_{CO2} from Total Carbon Column Observing Network (TCCON) (Wunch et al., 2011), indicating consistency between ACOS-GOSAT and OCO-2 retrievals. O'Dell et al. (2018) showed that the OCO-2 X_{CO2} land nadir retrievals has RMS error of ~1.1 ppm when compared to TCCON retrievals; the differences between OCO-2 X_{CO2} retrievals and surface CO_2 constrained model simulations are well within 1.0 ppm over most of the locations in the Northern Hemisphere (NH), where most of the surface CO_2 observations are located.

The magnitude of observation errors used in **R** is generally above 1.0 ppm, larger than the sum of random error and biases in the observations. The ACOS-GOSAT b7.3 observations from July 2009–June 2015 are used to optimize fluxes between 2010 and 2014, and the OCO-2 X_{CO2} observations from Sep 2014–June 2019 are used to optimize fluxes between 2015 and 2018.

The observational coverage of ACOS-GOSAT and OCO-2 is spatiotemporally dependent, with more coverage during summer than winter over the NH, and more observations over mid-latitudes than over the tropics (Figure B3). The variability (i.e., standard deviation) of annual total number

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of observations from 2010–2014 is within 4% of the annual mean number for ACOS-GOSAT. Except for a data gap in 2017 caused by a malfunction of the OCO-2 instrument, the variability of the annual total number of observations between 2015 and 2018 is within 8% of the annual mean number for OCO-2.

2.4 Uncertainty quantification

The posterior flux error covariance is the inverse Hessian, which incorporates the transport, measurement, and background errors at the 4D-Var solution (Eq. 13 in Bowman et al, 2017). Posterior flux uncertainty projected to regions can be estimated analytically based on the methods described in Fisher and Courtier (1995) and Meirink et al. (2008), using either flux singular vectors or flux increments obtained during the iterative optimization (e.g., Niwa and Fujii, 2020). In this study, we rely on a Monte Carlo approach to quantify posterior flux uncertainties following Chevallier et al. (2010) and Liu et al. (2014), which is simpler and widely used. In this approach, an ensemble of flux inversions is carried out with an ensemble of priors and simulated observations to sample the uncertainties of prior fluxes (i.e., **B** in eq. 1) and observations (**R** in Eq. 1), respectively. The magnitude of posterior flux uncertainties is a function of assumed uncertainties in prior fluxes and observations, as well as the density of observations. Since the density of GOSAT and OCO-2 observations are stable (section 2.3) within their respective data record, we characterize the posterior flux uncertainties for 2010 and 2015 only, and assume the flux uncertainties for 2011–2014 are the same as 2010 and flux uncertainties for 2016–2018 are the same as 2015.

2.5 Evaluation of posterior fluxes

Direct NBE estimates from flux towers only provide a spatial representation of roughly 1 – 3 kilometers (Running et al., 1999), not appropriate to evaluate regional NBE from top-down flux inversions. Thus, we use two methods to indirectly evaluate the posterior NBE and its uncertainties. One is to compare annual NBE anomalies and seasonal cycle to a gross primary production (GPP) product. The other is to compare posterior CO_2 mole fractions to independent (i.e., not assimilated in the inversion) aircraft and the NOAA MBL reference observations. The second method has been broadly used to indirectly evaluate posterior fluxes from top-down flux inversions (e.g., Stephens et al., 2007; Liu and Bowman, 2016; Chevallier et al., 2019; Crowell et al., 2019). In addition to these two methods, we also compare the NBE seasonal cycles to three publicly available top-down NBE estimates that are constrained by surface CO_2 observations (Tables 3 and 7).

2.5.1 Evaluation against independent gross primary production (GPP) product

NBE is a small residual difference between two large terms: total ecosystem respiration (TER) and GPP, plus fire. A positive NBE anomaly (i.e., less uptake from the atmosphere) has been shown to correspond to reduced GPP caused by climate anomalies (e.g., Bastos et al., 2018), and the magnitude of net uptake is proportional to GPP in most biomes observed by flux tower observations (e.g., Falk et al., 2008). Since NBE is related not only to GPP, the comparison to GPP only serves as a qualitative measure of the NBE quality. For example, we would expect that the posterior NBE seasonality to be anti-correlated with GPP in the temperate and high latitudes. In this study, we use FLUXSAT GPP (Joiner et al., 2018), which is an upscaled GPP product based on flux tower GPP observations and satellite-based geometry adjusted reflectance from the MODerate-resolution Imaging Spectroradiometer (MODIS) and solar-induced chlorophyll fluorescence observations from Global Ozone Monitoring Experiment – 2 (GOME-2) (Joiner et al., 2013). Joiner et al. (2018) show that the agreement between FLUXSAT-GPP and GPP from flux towers is better than other available upscaled GPP products.

2.5.2 Evaluation against aircraft and the NOAA marine boundary layer (MBL) reference CO_2 observations

The aircraft observations used in this study include those published in OCO-2 MIP ObsPack August 2019 (CarbonTracker team, 2019), which include regular vertical profiles from flask samples collected on light aircraft by NOAA (Sweeney et al., 2015) and other laboratories, regular (two to four weekly) vertical profiles from the Instituto de Pesquisas Espaciais (INPE) over tropical South America (SA) (Gatti et al., 2014), and from the Atmospheric Tomography (ATom, Wofsy et al., 2018), HIAPER Pole-to-Pole (HIPPO, Wofsy et al., 2011), the O_2/N_2 Ratio and CO_2 airborne Southern Ocean Study (ORCAS) (Stephens et al., 2017), and Atmospheric Carbon and Transport - America (ACT-America, Davis et al., 2018) aircraft campaigns (Table 3). Figure 2 shows the aircraft observation coverage and density between 2010 and 2018. Most of the aircraft observations are concentrated over NA. ATom had four (1–4) campaigns between August 2016 to May 2018, spanning four seasons over the Pacific and Atlantic Ocean. HIPPO had five (1–5) campaigns over the Pacific, but only HIPPO 3–5 occurred between 2010 and 2011. HIPPO 1–2 occurred in 2009. Based on the spatial distribution of aircraft observations, we divide the comparison into nine regions: Alaska, mid-latitude NA, Europe, East Asia, South Asia, Africa, Australia, Southern Ocean, and South America (Table 4 and Figure 2).

We calculate several quantities to evaluate the posterior fluxes and their uncertainty with aircraft observations. One is the monthly mean differences between posterior and aircraft CO_2 mole

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fractions. The second is the monthly root mean square errors (RMSE) over each of nine sub-regions, which is defined as:

$$RMSE = \left(\frac{1}{n}\sum_{i=1}^{n}(y^{o}_{aircraft} - y^{b}_{aircraft})_i^2\right)^{\frac{1}{2}} \quad (2)$$

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where $y^{o}_{aircraft}$ is the i^{th} aircraft observation, $y^{b}_{aircraft}$ is the corresponding posterior CO_2 mole fraction sampled at the i^{th} aircraft location, and n is the number of aircraft observations over each region. The RMSE is computed over the n aircraft observations within one of the nine sub-regions. The mean differences indicate the magnitude of the mean posterior CO_2 bias, while the RMSE includes both random and systematic errors in posterior CO_2. The bias and RMSE could be due to errors in posterior fluxes, transport, and initial CO_2 concentrations. When errors in transport and initial CO_2 concentrations are smaller than the errors in the posterior fluxes, the magnitude of biases and *RMSE* indicates the accuracy of the posterior fluxes.

To evaluate the magnitude of posterior flux uncertainty estimates, we compare *RMSE* against the standard deviation of ensemble simulated aircraft observations (equation 3) from the Monte Carlo method (*RMSE_{MC}*). The quantity $RMSE_{MC}$ can be written as:

$$RMSE_{MC} = \left[\frac{1}{nens}\sum_{iens=1}^{nens}\left((y^{b(MC)}_{aircraft})_{iens} - \bar{y}^{b(MC)}_{aircraft}\right)^2\right]^{\frac{1}{2}} \quad (3)$$

The variable $(y^{b(MC)}_{aircraft})_{iens}$ is the i^{th} ensemble member of simulated aircraft observations from Monte Carlo ensemble simulations, $\bar{y}^{b(MC)}_{aircraft}$ is the mean, and *nens* is the total number of ensemble members. For simplicity, in equation (3), we drop the indices for the aircraft observations used in equation (2). In the absence of errors in transport and initial CO_2 concentrations, when the estimated posterior flux uncertainty reflects the "*true*" posterior flux uncertainty, we show in the *Appendix* that:

$$RMSE^2 = \frac{1}{n}\sum_{i=1}^{n} R_{i,i} + RMSE_{MC}^2 \qquad (4)$$

where $R_{aircraft}$ is the aircraft observation error variance, which could be neglected on regional scale.

We further calculate the ratio *r* between *RMSE* and $RMSE_{MC}$:

$$r = \frac{RMSE}{RMSE_{MC}} \qquad (5)$$

A ratio close to one indicates that the posterior flux uncertainty reflects the true uncertainty in the posterior fluxes when the transport errors are small.

The presence of transport errors will make the comparison between *RMSE* and $RMSE_{MC}$ potentially difficult to interpret. Even when $RMSE_{MC}$ represents the actual uncertainty in posterior fluxes, the *RMSE* could be larger than $RMSE_{MC}$, since the differences between aircraft observations and model simulated posterior mole fractions *RMSE* could be due to errors in both transport and the posterior fluxes, while $RMSE_{MC}$ only reflects the impact of posterior flux uncertainty on simulated aircraft observations. In this study, we assume the primary sources of *RMSE* come from errors in posterior fluxes.

The *RMSE* and $RMSE_{MC}$ comparison only shows differences in CO_2 space. We further calculate the sensitivity of the *RMSE* to the posterior flux using the GEOS-Chem adjoint. We first define a cost function *J* as:

$$J = RMSE^2 \qquad (6)$$

The sensitivity of the mean-square error to a flux, *x*, at location *i* and month *j* is

$$w_{i,j} = \frac{\partial J}{\partial x_{i,j}} \times x_{i,j} \quad (7)$$

This sensitivity is normalized by the flux magnitude. Equation 7 can be interpreted as the sensitivity of the *RMSE*^{2} to a fractional change in the fluxes. We can estimate the time-integrated magnitude of the sensitivity over the entire assimilation window by calculating:

$$S_i = \frac{\sum_{j=1}^{M} |w_{i,j}|}{\sum_{k=1}^{P} \sum_{j=1}^{M} |w_{k,j}|} \quad (8)$$

where P is the total number of grid points and M is the total number of months from the time of the aircraft data to the beginning of the inversion. The numerator of equation (8) quantifies the absolute total sensitivity of the *RMSE*^{2} to the fluxes at the i^{th} grid. Normalized by the total absolute sensitivity across the globe, the quantity S_i indicates the relative sensitivity of *RMSE*^{2} to fluxes at the i^{th} grid point. Note that S_i is unitless, and it only quantifies sensitivity, not the contribution of fluxes at each grid to *RMSE*^{2}.

We use the NOAA MBL reference dataset (Table 7) to evaluate the CO_2 seasonal cycle over four latitude bands: 90ºN-60ºN, 60ºN-20ºN, 20ºN-20ºS, and 20ºS-90ºS. The MBL reference is based on a subset of sites from the NOAA Cooperative Global Air Sampling Network. Only measurements that are representative of a large volume air over a broad region are considered. In the comparison, we first remove the global mean CO_2 (https://www.esrl.noaa.gov/gmd/ccgg/trends/global.html) from both the NOAA MBL reference and the posterior CO_2.

2.6 Regional masks

We provide posterior NBE from 2010 – 2018 using three sets of regional masks (Figure 3), in addition to the gridded product. The regional mask in Figure 3A is based on a combination of seven plant function types condensed from MODIS IGBP and the TransCom -3 regions (Gurney et al., 2004), which is referred as Region Mask 1 (RM1) in later description. There are 28 regions in Figure 3A: six in NA, four in SA, five in Eurasia (north of 40˚N), three in tropical Asia, three in Australia, and seven in Africa. The regional mask in Figure 3B is based on latitude and continents with 13 regions in total, which is referred as Region Mask 2 (RM2) in later description. Figure 3C is the TransCom regional mask with 11 regions on land.

3 Dataset description

We present the fluxes as globally, latitudinally, and regionally aggregated time series. We show the nine-year average fluxes aggregated into RM1, RM2, and TransCom regions (Figure 3). The aggregations are geographic (latitude and continent) and bio-climatic (biome by continent). For each region in the geographic and biome aggregations, we show nine-year mean annual net fluxes and uncertainties, and then the annual fluxes for each region as a set of time-series plots. The month-by-month fluxes and uncertainties are available in tabular format, so the actual aggregated fluxes may be readily compared to bottom-up extrapolated fluxes and Earth System models. Users can also aggregate the gridded fluxes and uncertainties based on their own defined regional masks. Table 5 provides a complete list of all data products available in the dataset. In section 4, we describe the major characteristics of the dataset.

4 Characteristics of the dataset

4.1 Global fluxes

The annual atmospheric CO_2 growth rate, which is the net difference between fossil fuel emissions and total annual sink over land and ocean, is well-observed by the NOAA surface CO_2 observing network (https://www.esrl.noaa.gov/gmd/ccgg/ggrn.php). We compare the global total flux estimates constrained by GOSAT and OCO-2 with the NOAA CO_2 growth rate from 2010–2018, and discuss the mean carbon sink over land and ocean. Over these nine years, the satellite-constrained atmospheric CO_2 growth rate agrees with the NOAA observed CO_2 growth rate within the uncertainty of the posterior fluxes (Figure 4). The mean annual global surface CO_2 fluxes (in Gt C/yr) are derived from the NOAA observed CO_2 growth rate (in ppm/yr) using a conversion factor of 2.124 GtC/ppm (Le Quéré et al., 2018). The estimated growth rate has the largest discrepancy with the NOAA observed growth rate in 2014, which may be due to a failure of one of the two solar paddles of GOSAT in May 2014 (Kuze et al., 2016). Over the nine years, the estimated total accumulated carbon in the atmosphere is 41.5 ± 2.4 GtC, which is slightly lower than the accumulated carbon based on the NOAA CO_2 growth rate (45.2 ± 0.4 GtC). On average, we estimate that the NBE is 2.0 ± 0.7 GtC, ~20 ± 8% of fossil fuel emissions, and the ocean sink is 3.0 ± 0.1 GtC, ~ 30 ± 1% of fossil fuel emissions (Figure 4). These numbers are within the ranges of the corresponding GCB estimates from Freidlingstein et al., 2019 (referred as GCB-2019 hereafter). The mean NBE and ocean sink from GCB-2019 are 2.0 ± 1.0 GtC and 2.5 ± 0.5 GtC respectively, which are 21 ± 10% and 26 ± 5% of fossil fuel emissions respectively between 2010–2018. The GCB does not report NBE directly, we calculate NBE from GCB-2019 as the residual differences between fossil fuel, ocean net carbon sink, and atmospheric CO_2 growth rate. It is also equivalent to (S_{LAND} + B_{IM} - E_{LUC}) reported by Freidlingstein et al., 2019, where S_{LAND} is terrestrial sink, B_{IM} is a budget imbalance, and E_{LUC} is land use change. Over these nine years, we estimate that NBE ranges from 3.6 GtC (~37% of fossil fuel emissions) in 2011 (a La Niña year), to only

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0.5 GtC, (~5% of fossil fuel emissions) in 2015 (an El Niño year), consistent with 3.3 GtC (35% of fossil fuel) in 2011 to 0.9 GtC (7% of fossil fuel) in 2015 estimated from GCB-2019. We estimate that the ocean sinks range from 3.5 GtC in 2015 to 2.3 GtC in 2012, larger than the estimated ocean flux ranges of 2.7 in 2016 to 2.5 in 2012 reported by Freidlingstein et al. (2019).

4.2 Mean regional fluxes and uncertainties

Figure 5 shows the nine-year mean regional annual fluxes, uncertainty, and its variability between 2010–2018. Table 6 shows an example of the dataset corresponding to Figure 5 A, D, and G. It shows that large net carbon uptake occurs over Eurasia, NA, and the Southern Hemisphere (SH) mid-latitudes. The largest net carbon uptake is over the eastern US (-0.4 ± 0.1 GtC (1σ uncertainty)) and high latitude Eurasia (-0.5 ± 0.1 GtC) (Figure 5A, B). We estimate a net land carbon sink of 2.5 ± 0.3 GtC/year between 2010–2013 over the NH mid to high latitudes, which agrees with 2.4 ± 0.6 GtC estimates over the same time periods based on a two-box model (Ciais et al., 2019). Net uptake in the tropics ranges from close-to-neutral in tropical South America (0.1 ± 0.1 GtC) to a net source in northern Africa (0.6 ± 0.2 GtC) (Figure 5A, B). The tropics exhibit both large uncertainty and large variability. The NBE interannual variability over northern Africa and tropical SA are 0.5 GtC and 0.3 GtC respectively, larger than the 0.2 GtC and 0.1 GtC uncertainty (Figure 5D, E). We also find collocation of regions with large NBE and FLUXSAT-GPP interannual variability (Figure B4). The availability of flux estimates over the broadly used TransCom regions make it easy to compare to previous studies. For example, we estimate that the annual net carbon uptake over North America is 0.7 ± 0.1 GtC/year with 0.2 GtC variability between 2010 and 2018, which agrees with 0.7 ± 0.5 GtC/year estimates based on surface CO_2 observations between 1996-2007 (Peylin et al., 2013).

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4.3 Interannual variabilities and uncertainties

Here we present hemispheric and regional NBE interannual variabilities and corresponding uncertainties (Figures 6 and 7, and corresponding tabular data files). In Figure 6, we further divide the globe into three large latitude bands: tropics (20°S–20°N), NH extra-tropics (20°N–85°N), and SH extra-tropics (60°S–20°S). The tropical NBE contributes 90% to the global NBE interannual variability (IAV). The IAV of NBE over the extra-tropics is only about one-third of that over the tropics. The dominant role of tropical NBE in the global IAV of NBE agrees with Figure 4 in Sellers et al. (2018). The top-down global annual NBE anomaly is within the 1.0 GtC/yr uncertainty of residual NBE (i.e., fossil fuel – atmospheric growth – ocean sink) calculated from GCB-2019 (Friedlinston et al., 2019) (Figure 6).

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Figure 7 shows the annual NBE anomalies and uncertainties over a few selected regions based on RM1. Positive NBE indicates reduced net uptake relative to the 2010–2018 mean, and vice versa. Also shown in Figure 7 are GPP anomalies estimated from FLUXSAT. Positive GPP indicates increased productivity, and vice versa. GPP drives NBE in years where anomalies are inversely correlated (e.g., positive NBE and negative GPP), and TER drives NBE in years where anomalies of GPP and NBE have the same sign or are weakly correlated. Over tropical SA evergreen broadleaf forest, the largest positive NBE anomalies occur during the 2015–2016 El Niño, corresponding to large reductions in productively, consistent with Liu et al. (2017). In 2017, the region sees increased net uptake and increased productivity, implying a recovery from the 2015–2016 El Niño event. The variability in GPP explains 80% of NBE variability over this region over the nine-year period. In Australian shrubland, our inversion captures the increased net uptake in 2010 and 2011 due to increased precipitation (Poulter et al., 2014) and increased productivity. The variability in GPP explains 70% of the interannual variability in NBE. Over tropical south America savanna, the NBE interannual variability also shows strong negative correlations with GPP, with GPP explaining 40% of NBE interannual variability. Over the mid-latitude regions where the IAV is small, the R^2 between GPP and NBE is also small (0.0–0.5) as expected. But the increased net uptake generally corresponds to increased productivity. We also do not expect perfect negative correlation between NBE anomalies and GPP anomalies, as discussed in section 2.5. The comparison between NBE and GPP provides insight into when and where net fluxes are likely dominated by productivity.

4.4 Seasonal cycle

We provide the regional mean NBE seasonal cycle, its variability, and uncertainty based on the three regional masks (Table 5). Here we briefly describe the characteristics of the NBE seasonal cycle over the 11 TransCom regions, and its comparison to three independent top-down inversion results based on surface CO_2, which are CT-Europe (e.g., van der Laan-Luijkx et al., 2017) CAMS (Chevallier et al., 2005), and Jena CarbonScope (Rödenbeck et al., 2003). CMS-Flux-NBE differs the most from surface-CO_2 based inversions over the South American Tropical, Northern Africa, tropical Asia, and NH boreal regions. The CMS-Flux NBE has a larger seasonal cycle amplitude over tropical Asia and Northern Africa, where the surface CO_2 constraint is weak, while it has a smaller seasonal cycle amplitude over the boreal region; this may be due to the sparse satellite observations over the high latitudes and weaker seasonal amplitude of the prior CARDAMOM fluxes. The comparison to FluxSat GPP can only qualitatively evaluate the NBE seasonal cycle, but cannot differentiate among different estimates. In general, the months that have larger productivity corresponds to months with a net uptake of carbon from the atmosphere, especially over the NH (Figure 8). More research is still needed to understand the seasonal cycles of NBE, including its phase (i.e., transition from source to sink) and amplitude (peak-to-trough difference), and its relationships with GPP and respiration.

5 Evaluation against independent aircraft CO_2 observations

5.1 Comparison to aircraft observations over nine sub-regions

In this section, we evaluate posterior CO_2 against aircraft observations over the nine sub-regions listed in Table 4 and Figure 2. We compare the posterior CO_2 to aircraft CO_2 mole fractions above the planetary boundary layer and up to mid troposphere (1–5 km) at the locations and time of aircraft observations, and then calculate the monthly mean error statistics between 1–5 km. The aircraft observations between 1–5 km are more sensitive to regional fluxes (Liu et al., 2015; Liu and Bowman, 2016). Scatter plots in the left column of Figure 9 show regional monthly mean de-trended aircraft CO_2 observations (x-axis) versus the simulated detrended posterior CO_2 (y-axis). We used the NOAA global CO_2 trend to detrend both the observations and model simulated mole fractions (ftp://aftp.cmdl.noaa.gov/products/trends/co2/co2_trend_gl.txt). Over the NH regions (A, B, C, D) and Africa (F), the R^2 is greater than or equal to 0.9, which indicates that the posterior CO_2 captures the observed seasonality. The low R^2 (0.7) value in South Asia is caused by one outlier. Over the Southern Ocean, Australia, and SA, the R^2 is between 0.2 and 0.4, reflecting weaker CO_2 seasonality over these regions and possible bias in ocean flux estimates (see discussions later).

The right panel of Figure 9 shows the monthly mean differences between posterior CO_2 and aircraft observations (black), *RMSE* (equation 2) (blue line), and $RMSE_{MC}$ (equation 3) (red line). The magnitude of the mean differences between the posterior CO_2 and aircraft observations is less than 0.5 ppm except over the Southern Ocean, which has a -0.8 ppm bias. The mean differences between posterior CO_2 and aircraft observations are primarily caused by errors in transport and biases in assimilated satellite observations, while $RMSE_{MC}$ is 'internal flux error' projected into mole fraction space. With the exception of the Southern Ocean, for all regions mean bias is significantly less than $RMSE_{MC}$, which suggests that transport and data bias in satellite observations may be much smaller than the internal flux errors. Note that $RMSE_{MC}$ is smaller than $RMSE$ over the first ~six months of simulation, which may indicate a dominant impact of errors in transport and initial CO_2 concentration on posterior CO_2 $RMSE$.

As demonstrated in section 2.5, comparing $RMSE$ and $RMSE_{MC}$ is a test of the accuracy of posterior flux uncertainty estimate. Over all the regions, the differences between $RMSE$ and $RMSE_{MC}$ are smaller than 0.3 ppm, which indicates a comparable magnitude between empirical posterior flux uncertainty estimates from the Monte Carlo method and the actual posterior flux uncertainty over the regions that these aircraft observations are sensitive to. These aircraft observations are sensitive to NBE over a broad region as shown in Figure B5. Note, Figure B5 and Figures B8-B10 are calculated using equation (8).

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5.2 Comparison to aircraft observations from ATom and HIPPO aircraft campaigns

Figures 10 and 11 show comparisons to aircraft CO_2 from ATom 1–4 campaigns spanning four seasons, and HIPPO 3–5 over the Pacific Ocean between 1–5 km. The vertical curtain comparisons are shown in Figure B6 and B7. The mean differences between posterior CO_2 and aircraft CO_2 are quite uniform (within 0.5 ppm) throughout the column except over the Atlantic Ocean during

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ATom 1–2 and the Southern Ocean during ATom 1 (Figures S6 and S7). Also shown in Figures 10 and 11 are *RMSE* of each aircraft campaign (middle column) and the ratio between *RMSE* and $RMSE_{MC}$ (right column). A ratio larger than one between *RMSE* and $RMSE_{MC}$ indicates errors in either transport or underestimation of the posterior flux uncertainty (section 2.5).

Over most of the flight tracks during ATom 1–4, the posterior CO_2 errors are between -0.5 and 0.5 ppm, the *RMSE* is smaller than 0.5 ppm, and the ratio between *RMSE* and $RMSE_{MC}$ is smaller than or equal to 1. However, off the coast of Africa during ATOM -1 and -2 and over the Southern Ocean during ATOM-1, the mean differences between posterior CO_2 and aircraft observations are larger than 0.5 ppm. During ATOM-1 (29 July – 23 Aug 2016), the mean differences between posterior CO_2 and aircraft CO_2 show large negative biases, while during ATOM-2 (26 Jan 2017–21 Feb 2017), it has large positive biases off the coast of Africa. The ratio between *RMSE* and $RMSE_{MC}$ is significantly larger than one over these regions, which indicates an underestimation of posterior flux uncertainty or large magnitude of transport errors during that time period.

We further run adjoint sensitivity analyses over the three regions with ratios significantly larger than one to identify the posterior fluxes that could contribute to the large differences between posterior CO_2 and aircraft observations during ATOM 1–2. We run the adjoint model backward for three months from the observation time and calculate S_i as defined in equation (7). The adjoint sensitivity analysis indicates that the large mismatch between aircraft observations and model simulations during ATOM-1 and -2 off the coast of Africa could be potentially driven by errors in posterior fluxes over tropical Africa (Figure B8). The large posterior CO_2 errors and large ratio between *RMSE* and $RMSE_{MC}$ over the Southern Ocean during ATOM-1 are driven by flux errors

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in oceanic fluxes around 30°S and over Australia (Figure B9), which also contribute to the large errors in comparison to aircraft observations over the Southern Ocean shown in Figure 9 H.

During the HIPPO aircraft campaigns, the absolute errors in posterior CO_2 across the Pacific are less than 0.5 ppm except over the Arctic Ocean and over Alaska in summer (Figure 11), consistent with Figure 10A. The large errors over the Arctic Ocean may be related to both transport errors and the accuracy of high latitude fluxes. Byrne et al. (2020) provide a brief summary of the challenges in simulating CO_2 over high latitudes using a transport model with 4° x 5° resolution. Increasing the resolution of the transport model may reduce transport errors over high latitudes.

We run adjoint sensitivity analysis over the high-latitude regions where the differences between posterior CO_2 and aircraft observations are large (Figure 11). The adjoint sensitivity analysis (Figure B10) shows that the large errors over these regions could be driven by errors in fluxes over Alaska as well as broad NH mid-latitude regions.

5.3 Comparison to MBL reference sites

Since MBL reference sites sample air over broad regions, the comparison to detrended MBL observations indirectly evaluates the NBE over large regions. Figure 12 shows the comparison over four latitude bands. The uncertainty of posterior CO_2 concentration is from the MC method. Except over 90°S-20°S, the differences between observations and posterior CO_2 are within posterior CO_2 uncertainty estimates. The posterior CO_2 concentrations have the smallest bias and random errors over the tropical latitude band. The R^2 is above 0.9 over NH mid to high latitudes, consistent with Figure 9. Over 90°S-20°S, the posterior CO_2 has positive bias in 2013 and 2014

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and negative bias and much weaker seasonality between Jan 2015 – Dec 2018 compared to observations, which indicates possible biases in Southern Ocean flux estimates (Figure B11). The low bias over the Southern Ocean is consistent with aircraft comparison during OCO-2 period (Figures 9-10, Figure B9). The changes of performance after 2013 over 90°S-20°S is most likely due to the prior ocean carbon fluxes. Evaluation of ocean carbon fluxes is out of scope of this study. Note, since we only assimilate land-nadir X_{CO2} observations in this study due to known issues with the OCO-2 v9 ocean glint observations (O'Dell et all., 2018), the constraint of top-down inversion on air-sea CO_2 exchanges is weak (not shown). The ocean glint observations of OCO-2 v10 observations have been improved compared to v9 (Osterman et al., 2020). We expect to have better estimate of ocean carbon fluxes over the Southern Ocean when assimilating both land and ocean X_{CO2} observations from GOSAT and OCO-2 in the future.

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6 Discussion

Evaluation of posterior flux uncertainty estimates by comparing posterior CO_2 error statistics (*RMSE,* Equation 2) with the standard deviation of ensemble simulated CO_2 from Monte Carlo uncertainty quantification method ($RMSE_{MC}$, equation 3) has its limitations. A comparable *RMSE* and $RMSE_{MC}$ indicates a small magnitude of transport errors and reasonable posterior uncertainty estimates. A much larger *RMSE* than $RMSE_{MC}$ could be due to errors in either transport or underestimation of the posterior flux uncertainty or both. The presence of transport errors makes the interpretation of the *RMSE* and $RMSE_{MC}$ complex. A better, independent quantification of transport errors is needed in the future in order to rigorously use the comparison statistics between aircraft observations and posterior CO_2 to diagnose flux errors.

Comparison to aircraft observations shows regionally-dependent accuracy in posterior fluxes. ATom observations show seasonally-dependent biases over the Atlantic, implying possible seasonally dependent errors in posterior fluxes over northern to central Africa. Therefore, we recommend combining NBE with other ancillary variables, e.g., GPP, to better understand carbon dynamics. Combining NBE with component carbon fluxes can shed light on the processes controlling the changes of NBE (e.g., Bowman et al, 2017; Liu et al., 2017). NBE can be written as:

NBE= TER + fire - GPP (8)

where TER is total ecosystem respiration (TER) (Figure 1). Satellite carbon monoxide (CO) observations provide constraints on fire emissions (Arellano et al, 2006, van der Werf, 2008; Jones et al, 2009; Jiang et al., 2015, Bowman et al, 2017; Liu et al., 2017). In addition to the FLUXSAT-GPP product used here, solar induced chlorophyll fluorescence (SIF) can be directly used as a proxy for GPP (e.g., Parazoo et al, 2014). Once NBE, fire, and GPP carbon fluxes are quantified, TER can be calculated as a residual (e.g., Bowman et al, 2017; Liu et al., 2017, 2018).

Because of the diffusive manner of atmospheric transport and the limited observation coverage, the gridded flux values are not independent from each other. The errors and uncertainties of the fluxes at each individual grid point are larger than regional aggregated fluxes. Interpreting NBE at each individual grid point requires caution. But at the same time, satellite CO_2 constrained NBE can potentially resolve fluxes at spatial scales smaller than the traditional TransCom regions. Here, we provide regional fluxes at two predefined regions in addition to TransCom. We encourage data users to use the data at appropriate regional scales.

The variability and changes are more robust than the mean NBE fluxes from top-down flux inversions in general (Baker et al., 2006b). The errors in transport and potential biases in observations are mostly stable in time, so biases in the mean fluxes tend to cancel out when computing interannual variability and year-to-year changes (Schuh et al., 2019; Crowell et al., 2019).

The global fossil fuel emissions have ~5% uncertainty (GCB-2019). However, they are regionally inhomogeneous. We neglect the uncertainties in fossil fuel emissions, which will introduce additional error in regions of rapid fossil fuel growth or in areas with noisier statistics (Yin et al., 2019). In the future, we will account for uncertainties in fossil fuel emissions.

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The posterior NBE includes all types of land fluxes except fossil fuel emissions, which is equivalent to the sum of land use change fluxes, land sinks, and residual imbalance published by the GCB-2019. The sum of regional NBE and fossil fuel emissions is an index of the contribution of any specific region to the changes of the atmospheric CO_2 growth rate. Since the predicted changes of NBE in the future have large uncertainties (Lovenduski and Bonan, 2017), quantifying regional NBE is critical to monitoring regional contributions to atmospheric CO_2 growth rate, and ultimately to guide mitigation to limit warming to 1.5°C above pre-industrial levels (IPCC, 2018).

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7 Summary

Terrestrial biosphere carbon fluxes are the largest contributor to the interannual variability of the atmospheric CO_2 growth rate. Therefore, monitoring its change at regional scales is essential for understanding how it responds to CO_2, climate and land use. Here, we present the longest terrestrial flux estimates and their uncertainties constrained by X_{CO2} from 2010–2018 on self-consistent global and regional scales (CMS-Flux NBE 2020). We qualitatively evaluate the NBE estimates by comparing its variability with GPP variability, and provide comprehensive evaluation of posterior fluxes and the uncertainties by comparing posterior CO_2 with independent CO_2 observations from aircraft and the NOAA MBL reference sites. This dataset can be used in understanding controls on regional NBE interannual variability, evaluating biogeochemical models, and supporting the monitoring of regional contributions to changes in atmospheric CO_2.

8 Data availability and future update

The CMS-Flux NBE 2020 data are available at: https://doi.org/10.25966/4v02-c391 (Liu et al., 2020). The regional aggregated fluxes are provided as *csv* files with file size ~10MB, and the gridded data is provided in NetCDF format with file size ~1.4 GB. The full ensemble of posterior fluxes used to estimate posterior flux uncertainties are provided in NetCDF format with file size ~30MB. Table 7 lists the sources of the data used in producing and evaluating the CMS-Flux NBE 2020 data product.

The quality of X_{CO2} from satellite observations is continually improving. The OCO-2 v10 X_{CO2} has been released in June 2020 along with the full GOSAT record (June 2009–Jan 2020) processed by the same retrieval algorithm as OCO-2. Continuing to improving the quality of satellite observations and extending the NBE estimates beyond 2018 in the future will help us better understand interactions between terrestrial biosphere carbon cycle and climate and provide support in monitoring the regional contributions to the changes of atmospheric CO_2. Thus, we plan a future update of the dataset on an annual basis, with a goal to support current scientific research and policy making.

9 Author contributions

JL designed the study and led the writing of the paper in close collaboration with KB and DS. LB helped generate the plots and created all the data files. AAB provided the prior of the terrestrial biosphere carbon fluxes. NP helped interpret the GPP evaluation. DM and DC generated the prior ocean carbon fluxes. TO generated the ODIAC fossil fuel emissions. JJ provided the FLUXSAT GPP product. BD and SW provided and contributed to the interpretation of HIPPO aircraft CO_2 observation comparisons. BS, KM, and CS provided ORCAS aircraft CO_2 observations and contributed interpretation of aircraft CO_2 observation comparisons. LVG and JM provided INPE aircraft CO_2 observations and contributed interpretation of aircraft CO_2 observation comparisons. CS and KM provided ATom and the NOAA aircraft CO2 observations and contributed interpretation of aircraft CO_2 observation comparisons. We furthermore acknowledge funding from the EU for the ERC project "ASICA" (grant number 649087) to Wouter Peters (Groningen University) and EU and NERC (UK) funding to Emanuel Gloor (University of Leeds), which contributed to the INPE Amazon greenhouse sampling program. All authors contributed to the writing, and have reviewed and approved the paper.

10 Competing interest

The authors declare that they have no conflict of interest.

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System (CMS), and Making Earth Science Data Records for Use in Research Environments (MEaSUREs) programs. Tomohiro Oda is supported by the NASA Carbon Cycle Science program (grant no. NNX14AM76G). We acknowledge EU and NERC (UK) funding to Emanuel Gloor, University of Leeds which substantially contributed to the INPE Amazon greenhouse sampling program. CarbonTracker Europe results provided by Wageningen University in collaboration with the ObsPack partners (http://www.carbontracker.eu). Part of the research was carried out at Jet Propulsion Laboratory, Caltech.

Appendix A

As shown in Kalnay (2003):

$$RMSE^2 = \frac{1}{n}\sum_{i=1}^{n}(R_{i,i} + (HP^aH^T)_{i,i}) \quad \text{(A.1)}$$

where $R_{i,i}$ is the i^{th} aircraft observation error variance, and P^a is the posterior flux error covariance. The H is linearized observation operator, which transfers posterior flux errors to aircraft observation space, and H^T is its adjoint. In the Monte Carlo method, the posterior flux error covariance P^a is approximated by:

$$P^a = \frac{1}{nens} X^a X^{aT} \text{ (A.2)}$$

where X^a is the ensemble perturbations written as:

$$X^a = x^a - x^a \text{ (A.3)}$$

where x^a is the ensemble posterior fluxes from Monte Carlo, and x^a is the mean.

Therefore, HP^aH^T can be written as:

$$HP^aH^T = \frac{1}{nens}[h(x^a) - h(x^a)][h(x^a) - h(x^a)]^T \text{ (A.4)}$$

The sum of diagonal elements in the right-hand side of A.4 is the same as the definition of $RMSE_{MC}$ in the main text.

Therefore, when the posterior flux uncertainty estimated by Monte Carlo method represents the actual uncertainty in posterior fluxes, equation (A.1) can be written as:

$$RMSE^2 = \frac{1}{n}\sum_{i=1}^{n} R_{i,i} + RMSE_{MC}^2 \quad \text{(A.5).}$$

It is the same as equation (4) in the main text.

Appendix B

In this Appendix, we include figures to support the main text.

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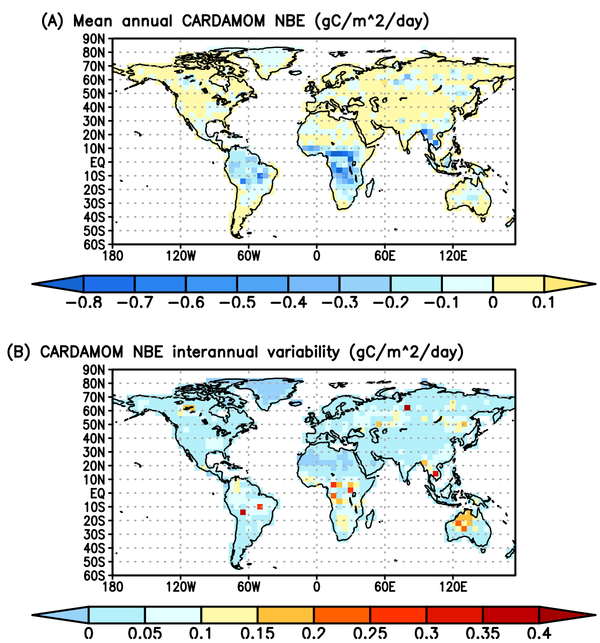

Figure B1 Annual mean net biosphere exchanges from CARDAMOM (A) and its interannual variability between 2010 and 2017 (B).

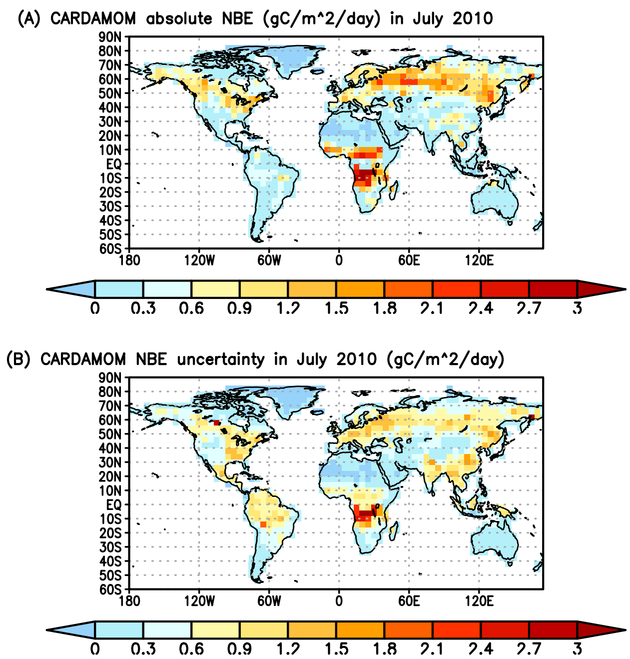

Figure B2 An example of absolute mean NBE (A) and its uncertainty (B) simulated by CARDAMOM. This is for July 2010.

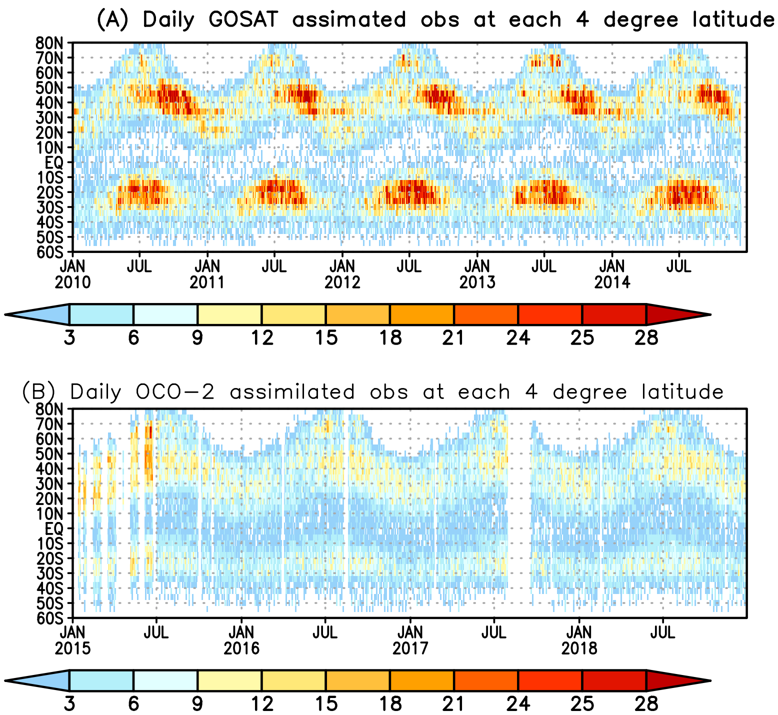

Figure B3 Daily number of ACOS-GOSAT b7.3 (A) and OCO-2 super observations (B) assimilated in the top-down inversions.

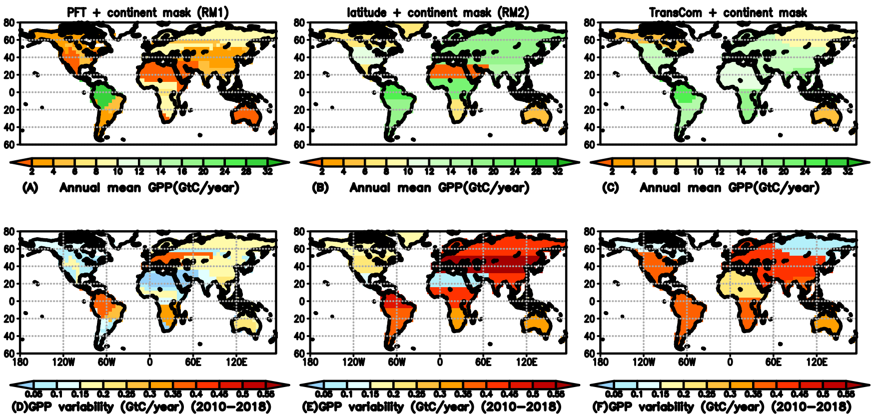

Figure B4 Regional mean FlUXSAT GPP and its variability between 2010 –2018. (A, B, and C) Regional mean GPP aggregated with the three regional masks; (D, E, and F) GPP variability between 2010 –2018. Unit: GtC/year.

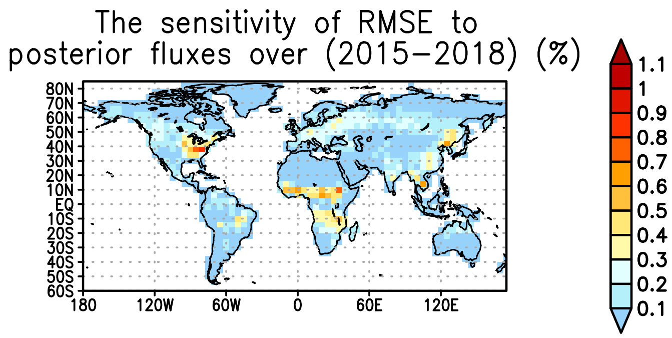

Figure B5 The relative sensitivity of root mean square errors (RMSE) of posterior CO_2 (Figure 9 in the main text) relative to NBE at every grid point. The adjoint model is carried out over Sep 2014–Dec 2018.

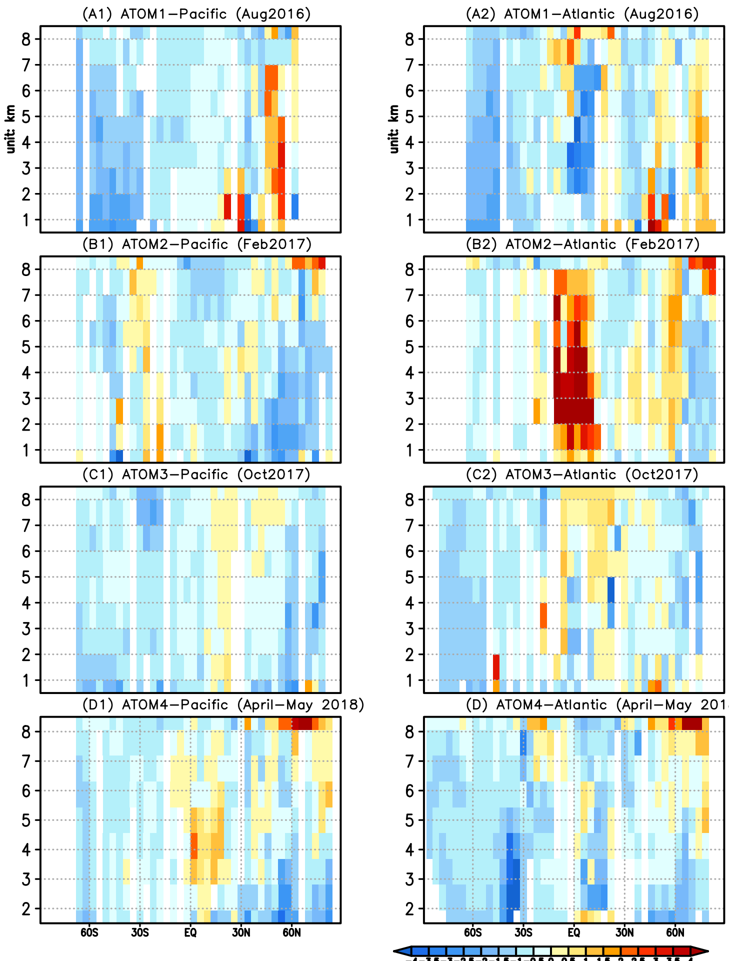

Figure B6 Differences between posterior CO_2 and ATOM 1-4 aircraft CO_2 observations over the Pacific (A1-D1) and Atlantic Ocean (A2-D2) as a function of latitude and altitude (unit: km). Unit: ppm.

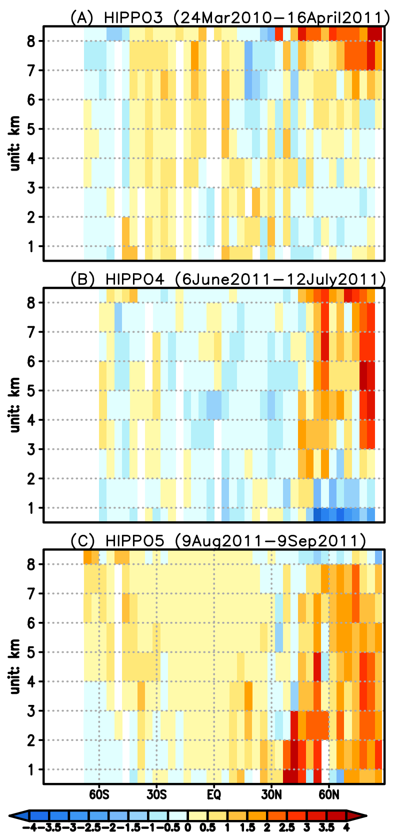

Figure B7 Differences between posterior CO_2 and HIPPO 3-5 aircraft CO_2 observations over the Pacific (A-C) as a function of latitude and altitude. Unit: ppm.

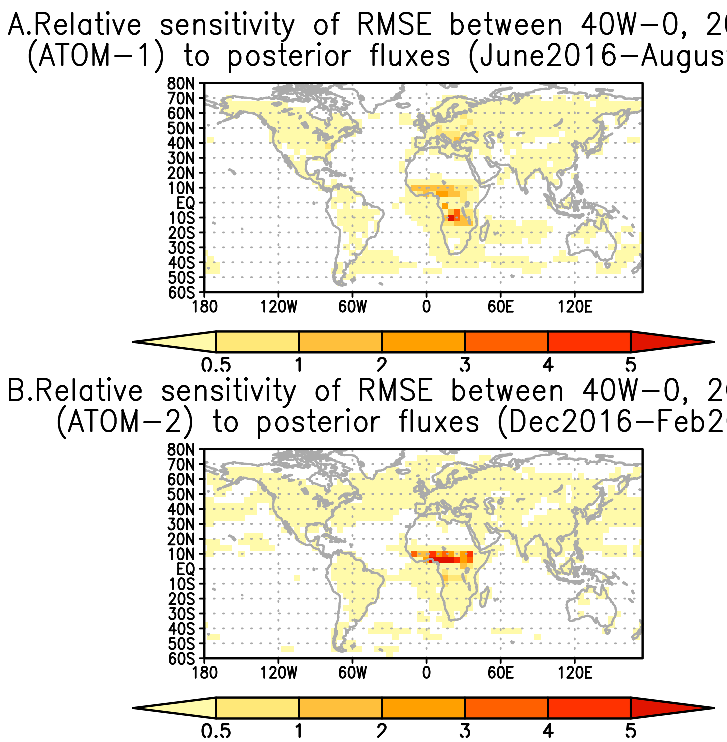

Figure B8 The relative sensitivity of RMSE of posterior CO_2 to NBE over land and air-sea net carbon exchange over ocean at every grid point. The RMSE is calculated against aircraft CO_2 observations from ATom-1 (A) and ATom-2 (B) between 40°W-0°, 20°S-20°N. The adjoint model is carried out over June – August 2016 (A) and Dec 2016 – Feb 2017 (B). Unit: %.

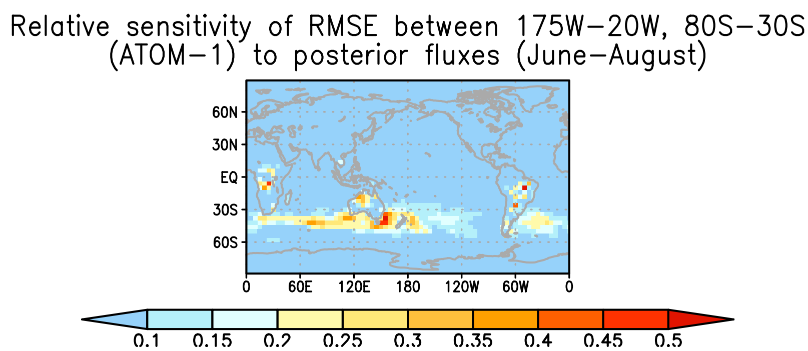

Figure B9 The relative sensitivity of RMSE of posterior to NBE over land and air-sea net carbon exchange over ocean at every grid point. The RMSE is calculated against aircraft CO_2 observations from ATom-1 between 175°W-20°W, 80°S-30°S. The adjoint model is carried out over June – August 2016. Unit: %.

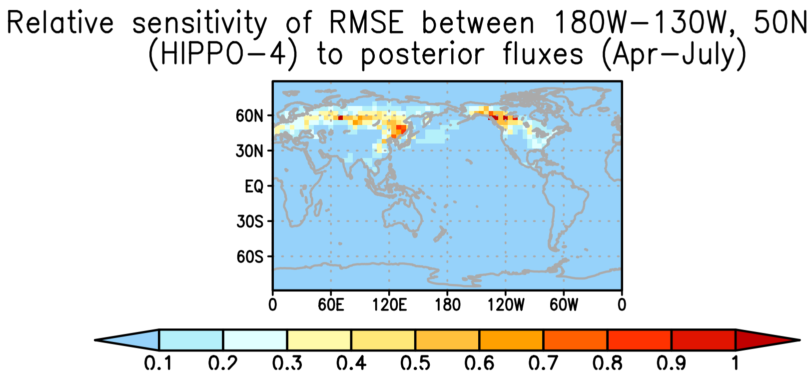

Figure B10 The relative sensitivity of RMSE of posterior to NBE over land and air-sea net carbon exchange over ocean at every grid point. The RMSE is calculated against aircraft CO_2 observations from HIPPO-4 between 180°W-130°W, 50°N-90°N. The adjoint model is carried out over April – July 2011. Unit: %.

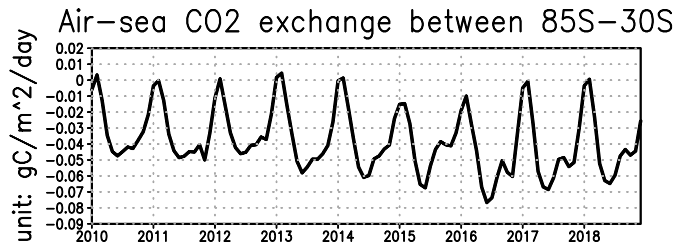

Figure B11 Monthly posterior air-sea CO_2 exchanges between 85°S-30°S. (unit: $gC/m^2/day$)

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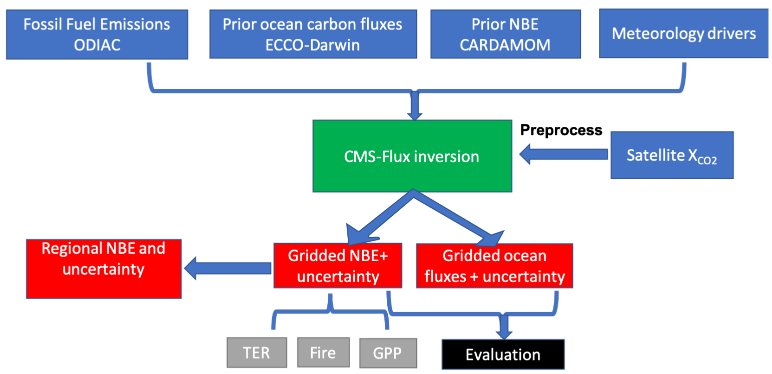

Figure: 1 Data flow diagram with the main processing steps to generate regional net biosphere change (NBE). TER: total ecosystem respiration; GPP: gross primary production. The green box is the inversion system. The blue boxes are the inputs for the inversion system. The red boxes are the data outputs from the system. The black box is the evaluation step, and the grey boxes are the future additions to the product.

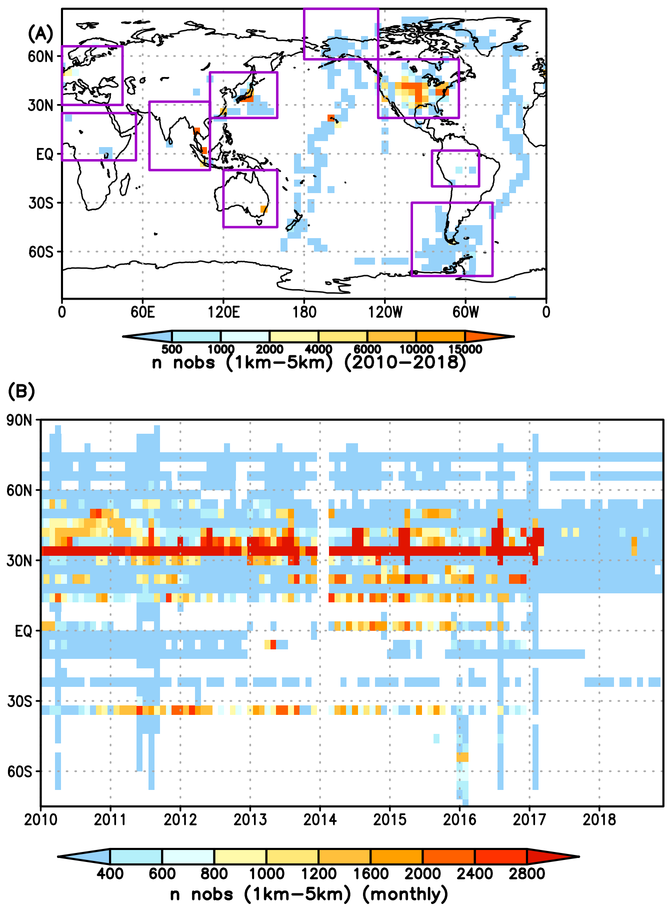

Figure: 2 The spatial and temporal distributions of aircraft observations used in evaluation of posterior NBE. (A) The total number of aircraft observations between 1–5 km between 2010–2018 at each 4° x 5°grid point. The rectangle boxes show the range of the nine sub regions. (B) The total number of monthly aircraft observations at each longitude as a function of time.

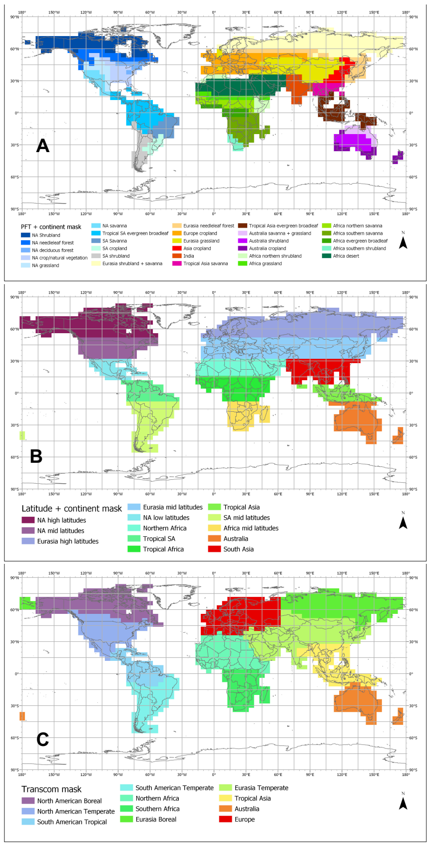

Figure: 3 Three types of regional masks used in calculating regional fluxes. A: the mask is based on a combination of condensed seven MODIS IGBP plant functional types, TRANCOM-3 regions (Gurney et al., 2004), and continents. B: the mask is based on latitude and continents. C: the TransCom region mask.

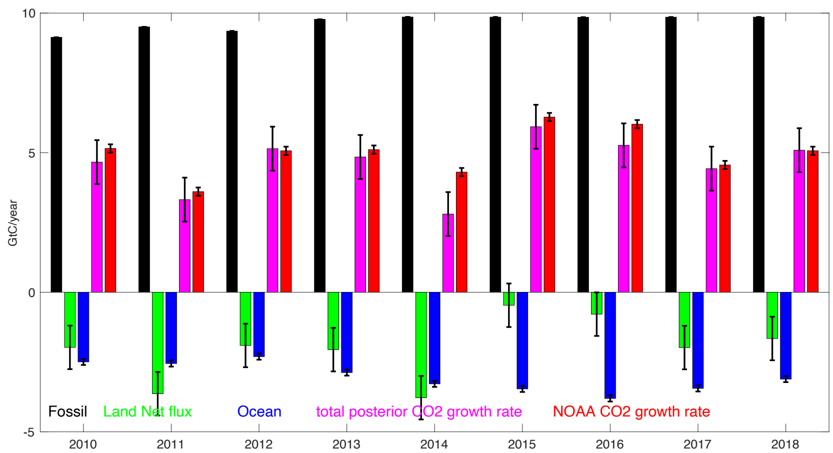

Figure: 4 Global flux estimation and uncertainties from 2010 –2018 (black: fossil fuel; green: posterior land fluxes; blue: ocean fluxes; magenta: estimated CO_2 growth rate; red: the NOAA CO_2 growth rate).

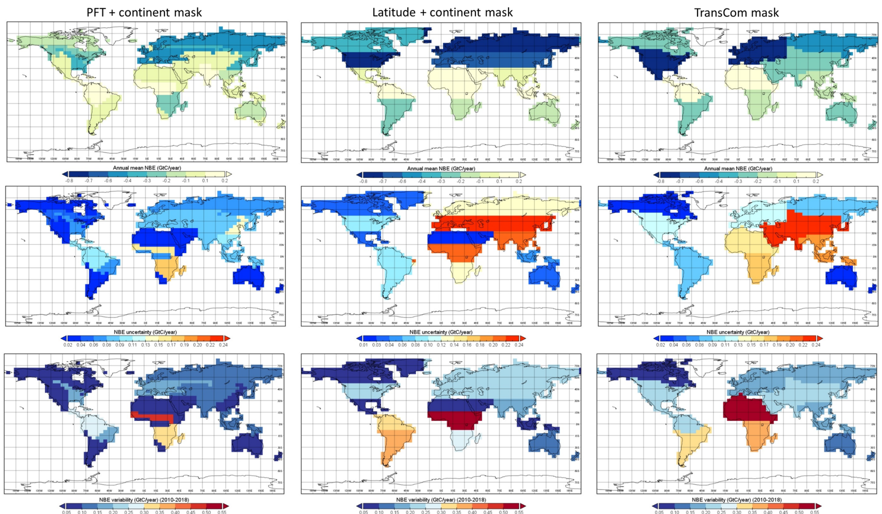

Figure: 5 Mean annual regional NBE (A, B, and C), uncertainty (D, E, and F), and variability between 2010–2018 (G, H, and I) with the three types of regional masks (Figure 3). The first column uses a region mask based on PFT and continents (RM1). The second column uses a region mask based latitude and continents (RM2), and the third column uses TransCom mask.

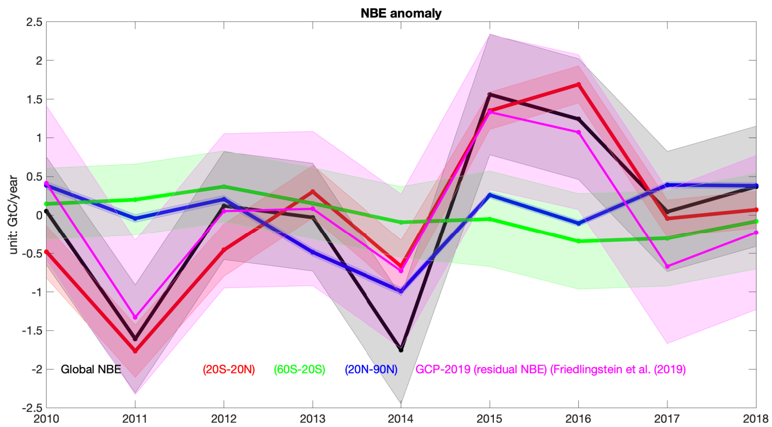

Figure: 6 The NBE interannual variability over the globe (black), the tropics (20°S–20°N), SH mid-latitudes (60°S–20°S), and NH mid-latitudes (20°N–9°0N). For reference, the residual net land carbon sink from GCB-2019 (Friedlingstein et al., 2019) and its uncertainty is also shown (magenta).

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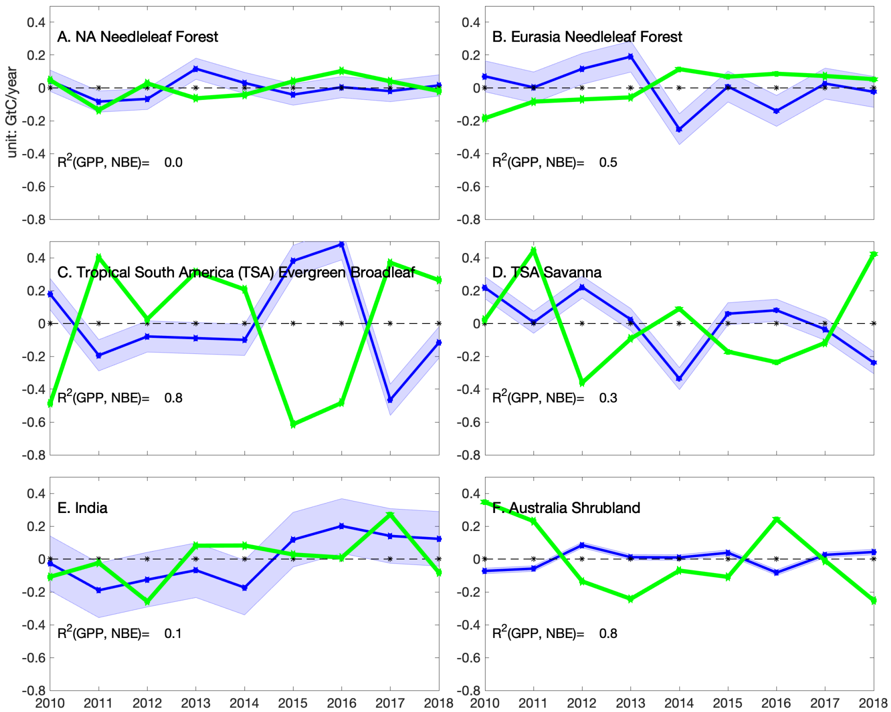

Figure: 7 The NBE interannual variability over six selected regions. Blue: annual NBE anomaly and its uncertainties. Green: annual GPP anomaly based on FLUXSAT.

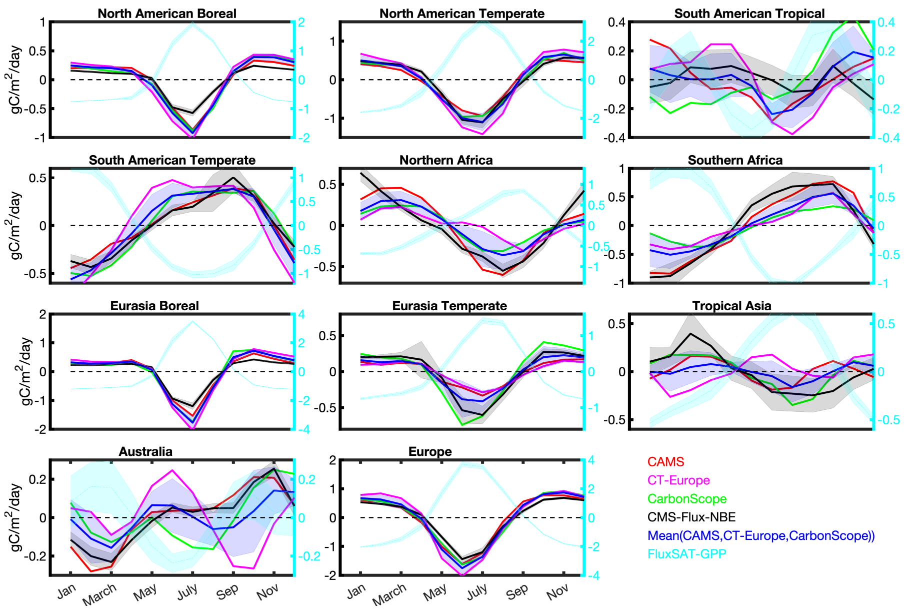

Figure: 8 The NBE climatological seasonality over TransCom regions. The seasonal cycle is calculated over 2010-2017 since CT-Europe only covers till 2017. Black: CMS-Flux-NBE and its uncertainty; blue shaded: mean NBE seasonality based on surface CO_2 inversion results from CAMS, CT-Europe, and Jena CarbonScope; red: CAMS; magenta: CT-Europe; green: Jena CarbonScope. The names of each region are shown on individual subplots.

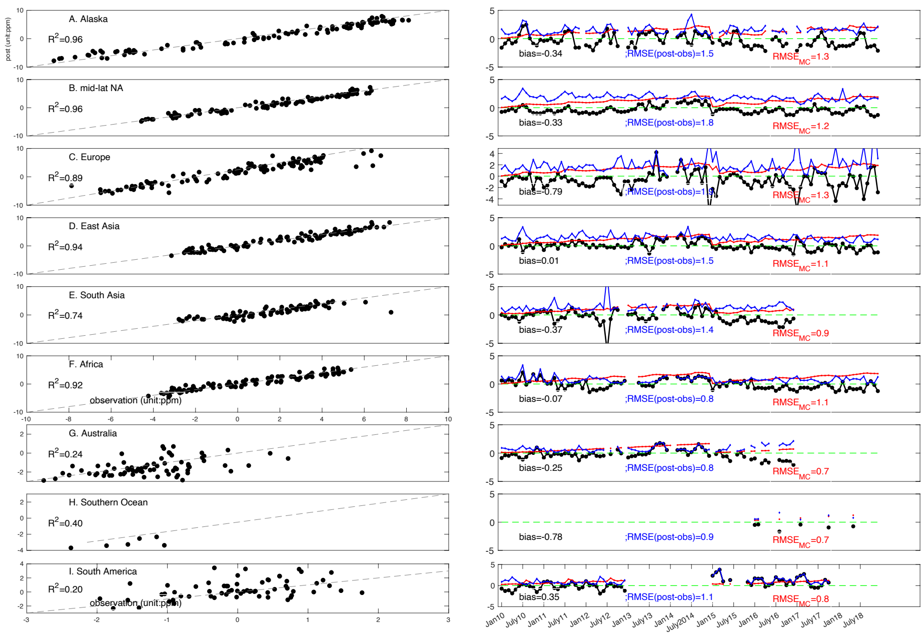

Figure: 9 Comparison between posterior CO_2 mole fraction and aircraft observations. Left panel: detrended posterior CO_2 (y-axis) vs. detrended aircraft CO_2 (x-axis) over nine regions. The dashed line is 1:1 line; right panel: black: the differences between posterior CO_2 and aircraft CO_2 as a function of time; blue: RMSE (unit: ppm); red: $RMSE_{MC}$.

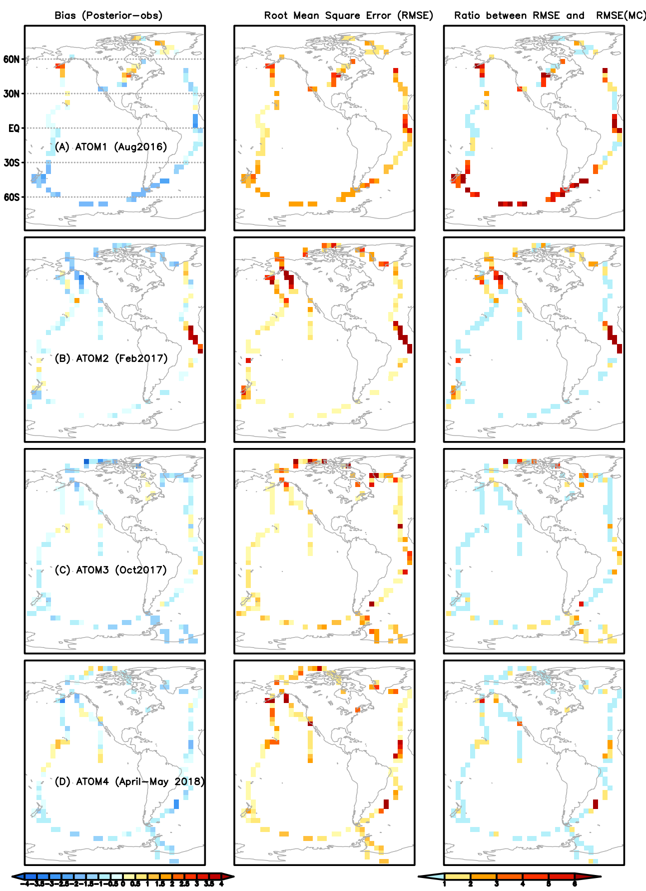

Figure: 10 Left column: the mean differences between posterior CO_2 and aircraft observations from ATOM 1–4 aircraft campaigns between 1–5 km (A–D). Middle column: the Root Mean Square Errors (RMSE) between aircraft observations and posterior CO_2 between 1–5 km. The color bar is the same as the left column. Right column: the ratio between RMSE and $RMSE_{MC}$ based on ensemble CO_2 from the Monte Carlo uncertainty estimation method.

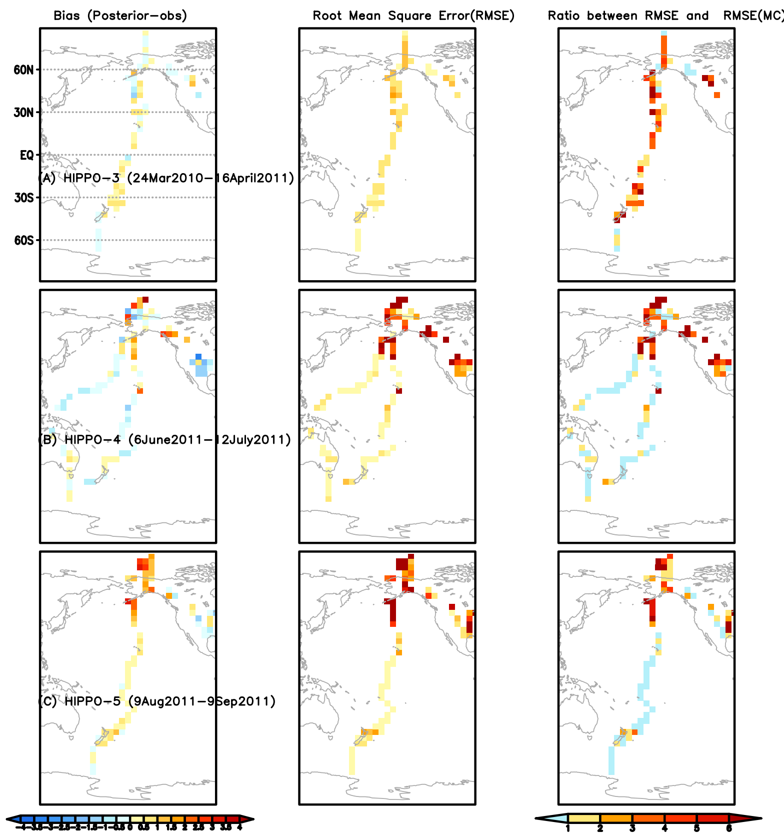

Figure: 11 Left column: the mean differences between posterior CO_2 and aircraft observations from HIPPO 3-5 aircraft campaigns between 1–5 km (A–C) (unit: ppm). (unit: ppm). The time frame of each campaign is in the figure. Middle column: the Root Mean Square Errors (RMSE) between aircraft observations and posterior CO_2 between 1–5 km (unit: ppm). The color bar is the same as the left column. Right column: the ratio between RMSE and $RMSE_{MC}$ based on ensemble CO_2 from the Monte Carlo method.

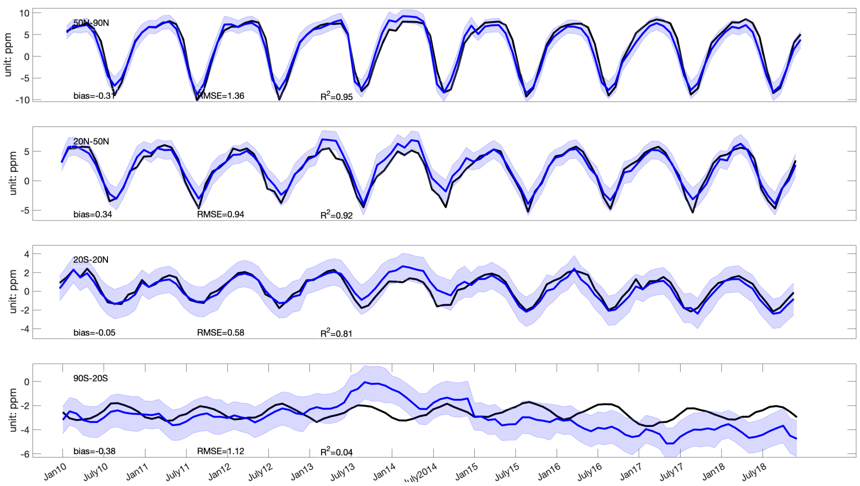

Figure: 12 Comparison between posterior CO_2 and the NOAA marine boundary layer (MBL) reference sites. Black: observations averaged over each latitude bands; blue and shaded area: posterior CO_2 and its uncertainty. The global mean CO_2 (https://www.esrl.noaa.gov/gmd/ccgg/trends/global.html) was subtracted from both the NOAA MBL reference and posterior CO_2 before the comparison.

Table: 1 Configurations of the CMS-Flux atmospheric inversion system

	Model setup	Configuration	Reference
Inversion general setup	Spatial scale	Global	--
	Spatial resolution	4° latitude x 5° longitude	
	Time resolution	monthly	
	Minimizer of cost function	L-BFGS	Byrd et al., 1994; Zhu et al., 1997
	Control vector	Monthly net terrestrial biosphere fluxes and ocean fluxes	
Transport model	Model name	GEOS-Chem and its adjoint	Suntharalingam et al., 2004 Nassar et al., 2010 Henze et al., 2007
	Meteorological forcing	GEOS-5 (2010–2014) and GEOS-FP (2015–2019)	Rienecker et al., 2008

Table: 2 Description of the prior fluxes and assumed uncertainties in the inversion system

Prior fluxes	**Terrestrial biosphere fluxes**	**Ocean fluxes**	**Fossil fuel emissions**
Model name	CARDAMOM-v1	ECCO-Darwin	ODIAC 2018
Spatial resolution	4° x 5°	0.5°	1° x 1°
Frequency	3-hourly	3-hourly	hourly
Uncertainty	Estimated from CARDAMOM	100% same as Liu et al. (2017)	No uncertainty
References	Bloom et al., 2006; 2020	Brix et al, 2015; Carroll et al., 2020	Oda et al., 2016; 2018

Table: 3 Description of observation and evaluation dataset. Data sources are listed in Table 7.

	Dataset name and version	References
Satellite X_{CO2}	ACOS-GOSAT v7.3	O'Dell et al., (2012)
	OCO-2 v9	O'Dell et al., (2018)
Aircraft CO_2 observations	ObsPack OCO-2 MIP	CarbonTracker team (2019)
	HIPPO 3-5	Wofsy et al. (2011)
	ATom 1-4	Wofsy et al. (2018)
	INPE	Gatti et al., (2014)
	ORCAS	Stephens et al. (2017)
	ACT-America	Davis et al. (2018)
NOAA marine boundary layer (MBL) reference	NOAA MBL reference	Conway et al., 1994
GPP	FLUXSAT-GPP	Joiner et al., (2018)
Top-down NBE estimates constrained by surface CO_2	CarbonTracker-Europe	van der Laan-Luijkx et al. (2017) Peters et al., (2010) Peters et al. (2007)
	Jena CarbonScope s10oc_v2020	Rödenbeck et al., 2003
	CAMS v18r1	Chevallier et al., 2005

Table: 4 Latitude and longitude ranges for seven sub regions.

Region	Alaska	Mid-lat NA	Europe	East Asia	South Asia
Longitude range	180°W–125° W	125°W–65°W	5°W–45°E	110°E–160°E	65°E–110°E
Latitude range	58°N–89°N	22°N-58°N	30°N–66°N	22°N–50°N	10°S–32°N
Region	Africa	South America	Australia	Southern Ocean	
Longitude range	5°W–55°E	95°W–50°W	120°E–160°E	110°W–40°E	
Latitude range	2°N–18°N	20°S–2°N	45°S–10°S	80°S–30°S	

Table: 5 List of the data products.

Product	Spatial resolution	Temporal resolution when applicable	Data format	Sample data description in the text
Total fossil fuel, ocean, and land fluxes	Global	Annual	*csv*	Figure 4 (section 4.1)
Climatology mean NBE, variability, and uncertainties	PFT and continents based 28 regions	N/A	*csv*	Figure 5 (section 4.2)
	Geographic-based 13 regions		*csv*	
	TransCom regions		*csv*	
Hemispheric NBE and uncertainties	NH (20°N-90°N), tropics (20°S-20°N), and SH (60°S-20°S)	Annual	*csv*	Figure 6 (section 4.3)
NBE variability and uncertainties	PFT and continents based 28 regions	Annual	*csv*	Figure 7 (section 4.3)
	Geographic -based 13 regions		*csv*	
	TransCom regions		*csv*	
NBE seasonality and its uncertainties	PFT and continents based 28 regions	Monthly	*csv*	Figure 8 (section 4.4)
	Geographic -based 13 regions		*csv*	
	TransCom regions		*csv*	
Monthly NBE and uncertainties	PFT and continents based 28 regions	Monthly	*csv*	N/A
	Geographic -based 13 regions		*csv*	
	TransCom		*csv*	
Gridded posterior NBE, air-sea carbon exchanges, and uncertainties	4° (latitude) x 5° (longitude)	Monthly	*NetCDF*	N/A
Gridded prior NBE and air-sea carbon exchanges	4° (latitude) x 5° (longitude)	Monthly and 3-hourly	*NetCDF*	N/A
Gridded fossil fuel emissions	4° (latitude) x 5° (longitude)	Monthly mean and hourly	*NetCDF*	N/A
Region masks	PFT and continents based 28 regions	N/A	csv	Figure 3 (section 2.4)
	Geographic -based 13 regions			
	TransCom regions			

Table: 6 The nine-year mean regional annual fluxes, uncertainties, and variability. Regions are based on the mask shown in Figure 5A (Figure 5.csv). Unit: GtC/year

Region name (Figure4.csv)	Mean NBE	Uncertainty	Variability
NA shrubland	-0.14	0.02	0.05
NA needleleaf forest	-0.22	0.04	0.06
NA deciduous forest	-0.2	0.04	0.07
NA crop natural vegetation	-0.41	0.06	0.18
NA grassland	-0.04	0.03	0.03
NA savannah	0.03	0.02	0.03
Tropical South America (SA) evergreen broadleaf	0.04	0.1	0.28
SA savannah	-0.09	0.06	0.18
SA cropland	-0.07	0.03	0.07
SA shrubland	-0.03	0.02	0.08
Eurasia shrubland savanna	-0.44	0.07	0.14
Eurasia needleleaf forest	-0.41	0.07	0.12
Europe cropland	-0.46	0.09	0.16
Eurasia grassland	0.02	0.08	0.13
Asia cropland	-0.37	0.13	0.08
India	0.14	0.09	0.14
Tropical Asia savanna	-0.12	0.11	0.08
Tropical Asia evergreen broadleaf	-0.09	0.09	0.12
Australia (Aus) savannah grassland	-0.11	0.02	0.09
Aus shrubland	-0.07	0.01	0.05
Aus cropland	-0.01	0.01	0.03
African (Afr) northern shrubland	0.04	0.02	0.03
Afr grassland	0.03	0.01	0.01
Afr northern savanna	0.54	0.15	0.49
Afr southern savanna	-0.27	0.18	0.33
Afr evergreen broadleaf	0.1	0.07	0.09
Afr southern shrubland	0.01	0.01	0.01
Afr desert	0.06	0.01	0.04

Table: 7 Lists of data sources used in producing and evaluating posterior NBE product.

Data name	Data Source
ECCO-Darwin ocean fluxes	https://doi.org/10.25966/4v02-c391
CARDAMOM NBE and uncertainties	https://doi.org/10.25966/4v02-c391
ODIAC	http://db.cger.nies.go.jp/dataset/ODIAC/DL_odiac2019.html
GOSAT b7.3	https://oco2.gesdisc.eosdis.nasa.gov/data/GOSAT_TANSO_Level2/ACOS_L2S.7.3/
OCO-2 b9	https://disc.gsfc.nasa.gov/datasets?page=1&keywords=OCO-2
ObsPack	https://www.esrl.noaa.gov/gmd/ccgg/obspack/data.php
ATom 1-4	https://daac.ornl.gov/ATOM/guides/ATom_merge.html
HIPPO 3-5	https://www.eol.ucar.edu/field_projects/hippo
INPE	https://www.esrl.noaa.gov/gmd/ccgg/obspack/data.php?id=obspack_co2_1_INPE_RESTRICTED_v2.0_2018-11-13 and
FLUXSAT-GPP	https://gs614-avdc1-pz.gsfc.nasa.gov/pub/tmp/FluxSat_GPP/
NOAA MBL reference	https://www.esrl.noaa.gov/gmd/ccgg/mbl/index.html
CarbonTracker-Europe NBE	https://www.carbontracker.eu/download.shtml
Jena CarbonScope NBE	http://www.bgc-jena.mpg.de/CarboScope/?ID=s
CAMS NBE	https://apps.ecmwf.int/datasets/data/cams-ghg-inversions/?date_month_slider=2009-12,2018-12&param=co2&datatype=ra&version=v17r1&frequency=mm&quantity=surface_flux
Posterior NBE	https://doi.org/10.25966/4v02-c391