

## ***Interactive comment on “A cultivated planet in 2010: 2. the global gridded agricultural production maps” by Qiangyi Yu et al.***

**Qiangyi Yu et al.**

qiangyi.yu@gmail.com

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Dear Referee,

Thank you for the comments concerning our Discussion paper entitled “A cultivated planet in 2010: 2. the global gridded agricultural production maps” (Ref. essd-2020-11). These comments were very helpful for revising and improving our paper. To make the reply more readable, we list the comments and corresponding responses one by one in the Authors’ Response (AC). The detailed revisions are embedded in the manuscript with the line numbers indicated in the AC.

\*\*\*\*\* General comments

This paper presents the latest update of the SPAM global gridded crop maps for 2010.

Overall, this is a very valuable effort. Yet, in its current form, I have several general remarks:

1/ The method is insufficiently explained and unclear in some places. A series of expert judgments are used along the way, and although this is acknowledged in the description of the methods, this seems insufficiently acknowledged in the Abstract and Introduction. Overall, this raises concerns about the transparency and reproducibility of the work, but also makes it very unclear what is the same and what is changed compared to previous versions, thereby justifying a new paper.

Authors' Response: Thanks for the general comment. You pointed out three problems, which indeed have not been clearly stated in the previous manuscript. We have carefully considered the suggestions and have made the changes accordingly. Please see the detailed responses, the revised manuscript, and the much-expanded Supplementary Information, through which we have improved: (1) the flow of method (comment#2-4 and #6) (2) the explanation on model parameter (comment#8) (3) the acknowledgement of expert knowledge (comment#9) (4) and the differences between SPAM2010 and the previous products (comment#1 and #5). Please see the detailed responses below.

2/ Validation: This is a model (mixing reproducible rules and expert judgments), and as such, one would expect more rigorous and transparent validation efforts. Here it appears very thin and, in the words of the Authors themselves, the uncertainty assessment "is not a scientific, rigorous" one.

Authors' Response: Thanks for the comment. Indeed, validation is critical, and we have improved quite a lot in this revision, which include: (1) Cross-checking the national and subnational level statistics (comment#11) (2) Cross-checking with the paddy area maps in China and India (comment#12) (3) Cross-checking with EARTHSTAT (comment#12) (4) Cross-checking with Siebert and Doll (2010) (comment#12) (5) Providing more supporting evidence on the transparent validation process (comment#14-17) (6) and rephrasing the explanation and justification of the validation process

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(comment#18-21) Please see the detailed responses below.

3/ Beyond operational uses for agencies focusing on crop production, the paper does not discuss how these efforts serve more broadly scientific agendas regarding an improved understanding of the role of land management in global environmental change, earth system dynamics and other global sustainability issues (e.g., see Erb et al. 2016 in GCB for a discussion)? This would be useful to make the paper more valuable in itself beyond "just" presenting the dataset (no offence here, this is of course a great achievement!).

Authors' Response: Thanks for the very good comments. We have added a discussion in the final paragraph, which highlights the contribution of our dataset for better understanding land management in facing with the global change challenges. Please see Line 835.

I return to these main comments below. \*\*\*\*\* Methods: \*\*\*\*\* Comment#1. If this is an update with just purely the same methodology, it should not be an extra scientific paper. If there are substantial changes (improvements) in the methodology, then previous validations should not be taken for granted. Here, it is not totally clear what is new and should be validated, versus what is standard.

Authors' Response: Thanks for the comment. The model has been substantially improved comparing to the original version, i.e. SPAM2000. While SPAM2010 still keeps the cross-entropy approach, the notable changes/improvements include: (1) update the base year from 2000 to 2010 (2) double the crops included (from 20 crops to 42+ crops) and (3) apply the latest hybrid cropland input with an uncertainty associated with cropland estimate. Considering the huge amount of input data and multi-year effort, such an update is not trivial. As crop type maps change much more dramatically from year to year than, say, cropland map, such an update and improvement is critical for the user community. We agree previous validations should not be taken for granted. In the revised manuscript, we have added a lot of additional validation works which are

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elaborated in detail below. The methodological and data improvements are highlighted in the Abstract and Lines 123-126.

Comment#2. Overall, the explanation of the method is unclear in many places. Being familiar with many of these gridded products, but not very much with the previous versions of SPAM in particular, I really have a hard time understanding the approach here. I am dubious that a reader that has not read the previous methods papers can understand what the Authors have done here.

Authors' Response: Thanks a lot. We have carefully considered the comment and revised the method section thoroughly. Firstly, we combined some of the descriptions on data into the description of method, see the updated description on the "disaggregation module". Secondly, we adjusted the flow of the optimization module by first introducing the optimization objective, followed by detailed introduction on each parameter. Please see the revised Section 3.

Comment#3. The method is insufficiently explained: 3.1: The 4 farming systems are explained, but not how the disaggregation between these 4 is done. The answer seems to be actually in Section 4.1.3, but here the answer is essentially "we do it, based on multiple information and stuff, trust us". Figure 2 is supposed to present an "illustration" ("We present an illustration for obtaining the farming system shares by crop j and administrative unit k (Percent jlk ) in Figure 2"), but Figure 2 doesn't give any information on how this disaggregation is done.

Authors' Response: Indeed, the explanation on "how the disaggregation is done" is presented in the "data preparation section" and some details are even presented in the supplementary information. We follow your suggestion by moving and reorganizing some of these content into section 3.1, which looks better and clearer. Please see Lines 156-183. Figure 2 is a conceptual framework that shows how these sub-modules are connected rather than a technique flowchart. We noticed that the text description of Figure 2 is misleading and then removed it accordingly.

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Comment#4. Then Section 3.2 explains the optimization but honestly, I understand the equations but it doesn't allow me to understand the process itself.

Authors' Response: We have carefully considered the comment and we admit that the flow is a bit confusing. To make a clearer explanation, we have reorganized section 3.2 by firstly elaborating the objective of optimization, followed by the explanation on how the optimization is processed. Please see the detailed response to comment#2 and Lines 185-250 in the revised manuscript.

Comment#5. Section 3 does not clarify explicitly what methodological aspects are the same as in previous versions, versus those that have been modified or are new.

Authors' Response: Please see the detailed response to comment#1. We have now specified the differences between SPAM2010 and the previous SPAM models, which mainly include the update of base year, the expansion of sub-national administrative unit coverage, the extension of crop list, and the substitution of the latest hybrid crop-land map as the basic allocation layer. Please see the Abstract and Lines 123-126 in the revised manuscript.

Comment#6. 4.1.3 Crop statistics disaggregated by farming systems: → This seems to be a mix of various approaches. Can you at least clarify the share of cropland disaggregation achieved based on statistics versus some expert knowledge or assumptions?

Authors' Response: This is relevant to comment#3. We have specified the process of disaggregation module in Section 3.1. For example, we extended the explanation on how statistics, existing data and reports, and expert knowledge are applied in disaggregation. Please see line 156-183. Now Section 4.1.3. is more focused on data preparation.

Comment#7. p.5: "The rainfed subsistence farming system (S), which is also low input as well, and is introduced to account for situations where cropland and suitable areas do not exist, but farmland is still present in some way." → This is very unclear.

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Authors' Response: We have now revised the sentence as: "The rainfed subsistence farming system (S) is introduced to account for situations where cropland and suitable areas do not exist, but farmland is still present in some way. Production is mostly for own consumption, which is also low input as well." Please see Line 153-155.

Comment#8. Accessibility: This comes in Eq. 1 in Section 3.2, and then is detailed in 4.2.5, but I don't understand what is the rationale for creating / using an "accessibility" to market dataset based only on rural population? Is there an assumption that urban populations are fed from anywhere on the planet through global supply chains without this creating any particular incentive for farmers in surroundings (so that only rural population create a revenue incentive as per Section 4.2.6)? This would be quite a strong assumption. What is the rationale behind?

Authors' Response: We have carefully considered the comment, then we find that the original text in the manuscript is indeed misleading. In the current SPAM, market accessibility is used to calculate the gross revenue of crop production which is then used to estimate a prior for the crop area (Equation (10) in the revised manuscript). Yet, it does not mean the accessibility of getting food. Moreover, the aim of introducing the variable AggRurPopi is to estimate the market accessibility and to account for subsistence production, rather than aiming to distinguish rural area from urban area. As this crop-specific revenue is divided by the total revenue within a pixel in equation (3), the prior is not affected by market accessibility if it is not crop-specific. In other words, crop-specific market accessibility is preferable for the current SPAM model. Such accessibility doesn't exist now. We have further revised the text too make a clearer introduction of this variable. Please see Lines 419-425.

Comment#9. Overall, there is a lot of expert judgment and wiggling with the data (see S4, S1315 etc) (e.g., Section 4.3.1 "Under these circumstances, we set several options to "force" a solution, including adjusting the entropy conditions, and adjusting the data harmonization rules. We elaborate on the details for adjusting areas (Section S13), entropy conditions (SectionS14) and harmonization rules (SectionS15) respectively in

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the SI."). So this is far from resulting from a clean and reproducible algorithm based on simple economic rules. I don't want to distrust the work done by the Authors, but given this large amount of expert-driven decisions, this should be very clearly stated in the abstract and main results / Conclusion, so that the reader understands clearly that this is largely an expert-driven process, with multiple human decisions and assumptions, more than a simply reproducible algorithmic work that produces a transparent output.

Authors' Response: Thanks for the comment. First we want to say that such "expert judgment and wiggling" is quite rare and is small part of the overall cases running of the model (less than 1%). These small cases happen to those difficult countries such as Somali and Nigeria where reliable data is not available or different input data just conflict each other. For example, only one crop (i.e. millet) area for a district is already larger than the total cropland area, yet we know there are still five more crops growing in this district. In these cases, we have to adjust the conflicting data, using expert judgment, to make the model solvable. Second, we have made every effort to collect official or published data and we only rely on expert judgments as the last resort when we simply could not find other sources. For example, no country publishes official statistics on crop yield ratio (yield conversion factor) between irrigated vs rainfed crop (e.g. rice). We surveyed published papers, personal communication with FAO's Agriculture to 2030 team, and gray literature to collect such data. While indeed a series of expert judgments are used, the scope (e.g. crops and regions) is quite limited in the overall input data. We have a long documentation of such instances in the supplementary information (SI) file. Following your advice, we have included more discussion on the application of expert knowledge in Section 7.3 (Lines 770-785). In addition, we provided more supporting evidence on how expert judgments were applied for validating our data product. Please see Section 7.1 (Lines 595-610) and the newly-added Section S16 in the SI file, The SI file is much enhanced and expanded, taking advantage of no limit on the length of the SI file. The SPAM model is a reproducible work despite that it occasionally relies on the expert judgment to get a solution. In fact. we are building a SPAM model on the cloud where we let any user to supply his/her

own input data and run SPAM on his/her own under the Github platform. This SPAM on the cloud will be published and communicated to SPAM user community once it is ready. Please see the discussion in Section 7.2 (Lines 705-710).

\*\*\*\*\* Validation: \*\*\*\*\* Comment#10. Same as above and general comment: First, this is a model; and thus it should be validated properly as far as possible. I understand of course that by the nature of the work done, there is no simple, global, adequate validation data ready to be used. But still, (i) there are ways to do more & better, and (ii) the current efforts are reported in an unclear manner.

Authors' Response: Thanks for the comment. We have now enhanced the validation works, which include: (1) Cross-checking the national and subnational level statistics (comment#11) (2) Cross-checking with the paddy area maps in China and India (comment#12) Moreover, we have reorganized the entire section, in particular, we have rewritten the qualitative validation part (comment#14-17) and rephrased the explanation and justification of the validation process (comment#18-21) to make the description clearer. Please see the detailed responses elaborated below and the revised text in the entire Sections 7.1 and 7.2.

Comment#11. If, as you explain, you run most countries with data at ADM0 level, but you do have incomplete data at finer administrative levels, then you can at least validate against these incomplete subnational data. This is explored in Figure 5 but given the breadth of the map, just one example is not sufficient.

Authors' Response: Thanks for the constructive comment. Actually, the validation by cross-checking national and subnational level statistics has been applied for SPAM2000 (e.g. Brazil). Following your comment, we have re-applied the approach for the current SPAM2010 for a few selected countries such as Brazil, Bangladesh, Benin, Senegal, Tanzania. We find that the performance has generally improved comparing to the performance of SPAM2000 though this varies from country to country, and from crop to crop. We add Figure 6 and Figure 7 and the relevant description of the vali-

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dation process in the revised manuscript. We believe this newly added comparison, along with additional validation works (as described in the response to comment#12), expands the breadth of validation and thus substantially improve the reliability of the SPAM2010 product.

Comment#12. Partial validation could also be achieved through a sampling of points, with visual interpretation of high-resolution imagery to at least identify irrigated systems versus non-irrigated intermediate categories versus the subsistence category. Even some specific crops could be assessed, at least some perennial crops like oil palm, banana, or others.

Authors' Response: Thanks for the very good comment. As suggested, we have undergone three additional analysis: (1) Zhang et al. (2017) have provided annual paddy area time series maps from 2000 to 2010 based on satellite remote sensing for China and India. We have compared these remote-sensing derived paddy maps with the rice area estimated by SPAM2010. In addition, we compare the  $\Delta$ Rice (difference between the rice map in 2005 and 2010) between  $\Delta$ SPAMrice (difference between SPAM2005 and 2010). (Figure 10 and Figure 15) (2) We collect the "Harvested Area and Yield for 4 Crops (1995-2005)" from an independent dataset at: <http://www.earthstat.org/>. Then we compare the yields for specific farming systems of SPAM2005 by referring to EARTHSTAT\_2005. (Figure 12) (3) We collect the average irrigated and rainfed yields for the 1998–2002 period at the global scale (Siebert and Doll, 2010). Then we compare the irrigated and rainfed yields between SPAM2000 and Siebert and Doll (2010). (Figure 11) In one of our previous papers, we have compared the difference farming systems based on the global datasets around 2000 (Anderson et al., 2015). We find and admit that some of these comparison results can not be used directly to support the latest SPAM2010. Unfortunately, we do not find any global maps (e.g. farming system, perennial crops) available for the year 2010. However, these comparisons will provide implications to integrate tools and standardize approaches across various ongoing projects that develop gridded information on land-use dynamics for applications

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in food security, climate change, biodiversity, and other related issue area. There is an ongoing consortium called The Land Use Change Knowledge Integration Network (LUCKiNet, [www.luckinet.org](http://www.luckinet.org)), which aims at this integration, and SPAM team is part of this consortium. Not only LUCKiNet aims to create crop maps comparable over time, we also want to have these maps consistent across land uses such as cropland, grass-land, forest. The modelling techniques would consider the spatiotemporal dynamics of different land use forms in an integrative framework. We have revised the manuscript by adding these additional works along with more clarifications to support the current SPAM2010 products. Please see the revisions in the entire Section 7, including Figure 10, 11, 12, 14, 15.

Comment#13. You can't just say (I.539): "As the coverage, quality and spatial precision of data input are much better for SPAM2010 than for its predecessors (see Section 4), the reliability of the data product is believed to improve as well."

Authors' Response: We agree that the existing description is inappropriate, therefore we have removed the statement accordingly. Now it is more objective and could be partly supported by the comparison between national and subnational statistics. This cross-validation work has been newly added in the revised manuscript as well. Please see Section 7.1.

Comment#14. I.548: "Firstly, we evaluate the results by sending the crop maps to collaborators and users alike for comments or assessment. For example, the CGIAR..." Åž I don't understand how this is an "example". Either you did it and you report the results, or you explicitly state that this is something that you have not done but could do.

Authors' Response: Thanks for the comment. we did the assessments and we have the reports. These reports were collected from collaborators and users alike, mostly crop by crop, and country by country. We admit that the statement is not clear enough. We have revised the text and provided more supporting evidence in the Supplementary

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Comment#15. "We took advantage of their vast network of field offices and local expertise to help us to validate the SPAM results. Many researchers from these institutes have been involved in the production of SPAM2010, which increases the reliability of the results." ↗ If this has been done, then you should report in more details the outcome of this process, the validation data collected...

Authors' Response: This is a similar comment above. We did such validation. Please see the revisions in Section 7.1 and more supporting evidence in the SI.

Comment#16. "The validating information could either be collected by" ↗ "Could be", or it has been done? If the former, then it's not useful. If the latter, then provide the results.

Authors' Response: This is a similar comment above. We did such a validation, and we have changed the tense accordingly.

Comment#17. "We take these feedbacks and re-run SPAM model and release updated versions of SPAM. The complete validation process could take a great deal of effort and time, but these users' feedbacks are quite important and valuable." ↗ Same, not clear, is this something you plan to do, or something you have done and can provide data about? The use of present tense makes it confusing.

Authors' Response: This is a similar comment above. We did such a validation, and we have changed the tense accordingly.

Comment#18. "The current product, i.e., SPAM2010v1.1, is also expected to have major updates" ↗ Then is it the right time to release it? Wouldn't it be better to have this round of validation – improvement first?

Authors' Response: This statement is indeed confusing. We mean that when additional information is available, SPAM is open and ready to update. Nevertheless, the current version has been validated extensively so far and therefore could be released. We

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have revised the text accordingly. Please see Line 613.

Comment#19. "Secondly we do a regional validation in case that the third-party independent crop maps are available," Åž Same, present time: Does that mean you have done it? Or does that mean this is an aspirational goal that at some point you hope you can do it? Here, as you provide the comparison with US data in Figure 5, it appears that this is something that you have actually done. But (i) we have to guess it, and (ii) it's not clear for all the above.

Authors' Response: This has actually been done. We have corrected all these to avoid confusions. Please see the revisions in Lines 657-683. Thanks a lot!

Comment#20. Figure 8: Differences are huge. I understand that this mixes real changes on the ground and changes in the methods. But over - nominally - 5 years, this appears to be predominantly dues to changes in the methods. Please elaborate further (note, this is in relation to the above point on Methods, as it is not fully clear what is stable and what has changed in the Methods).

Authors' Response: Thanks for the comment. We have noticed that in some cases the changes are huge. However, the overall pattern is acceptable. In fact, it is inappropriate to compare SPAM products across time stages. Because the changes not only mix real changes on the ground and changes in the methods, but also largely depend on the input data such as statistics and cropland layer. This is inevitable as we should not apply the cropland layer in 2005 for SPAM2010. However, we do not evaluate the continuity of this input data, which is almost impossible and is beyond the purpose of SPAM. Therefore, it is suggested to use the SPAM products with acknowledgement to the corresponding cropland layer. We have submitted the cropland layer dataset as a sister paper to support the current paper, please refer to Lu et al, (A cultivated planet in 2010: 1.). This problem exists in other gridded land use datasets as well. As we responded to comment#12, there is an ongoing consortium, LUCKiNet, which aims to integrate tools and standardize approaches across various existing products,

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and the SPAM team is part of this consortium. We hope the problems of systematic inconsistency across datasets will be quantified through large amount of integrative efforts under the consortium. We added this explanation in the revised manuscript. Please see Sections 7.2 (Lines 730-760) and 7.3 (Lines 811-823).

Comment#21. I.604: "In addition, we collect feedback and comments from users, local experts and collaborators as discussed above. They are sporadic but very useful. We combine all the information together to give a subjective rating on how confidence we, SPAM team, think of our final crop maps (both area and yield). This is the uncertainty rating we provided here. It is not a scientific, rigorous rating and so we put it only into 1 to 5 categories (1 represents the lowest uncertainty, 5 the highest)." → If this is not a "scientific" rating does it belong to a "scientific" paper?

Authors' Response: Thanks for the comment. The subjective rating was just one example among many validating works. We admit that it is not vigorous, but the result is convincing and such a rating is highly demanded and explicitly requested by users. Therefore, we believe it is appropriate to add this result into the main text. In the revised manuscript, we have carefully explained how the uncertainty rating was performed and why this is useful. Please see Lines 616-629.

\*\*\*\*\* Minor comments: \*\*\*\*\* Comment#22. Abstract: I don't understand this sentence: "but also dedicates as platform providing archived global agricultural production maps for better targeting the Sustainable Development Goals by making proper agricultural and rural development policies and investments"

Authors' Response: This is now revised as: "but also dedicates as platform providing archived global agricultural production maps for better targeting the Sustainable Development Goals." Please see Line 23.

Comment#23. Overall the writing is good, but there's a series of weird words, typos and stuff like I. 363: "protected areas. But if the "or I.371" rural population density"(just to give examples, there's plenty of these). Please triple-check through.

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Authors' Response: Thanks for the comment. The sentence has been revised as: "During the initial allocation process SPAM allows for crop allocation in protected areas to allow for this reality, but if the model does not solve, one option is to increase the area designated as cropland, suitable land or irrigated land." (Line 408) and the word "pu-lation" (Line 416) has been corrected. Moreover, we have triple-checked the language and corrected a few minor mistakes. The revised manuscript has been proof-read by all coauthors before resubmission.

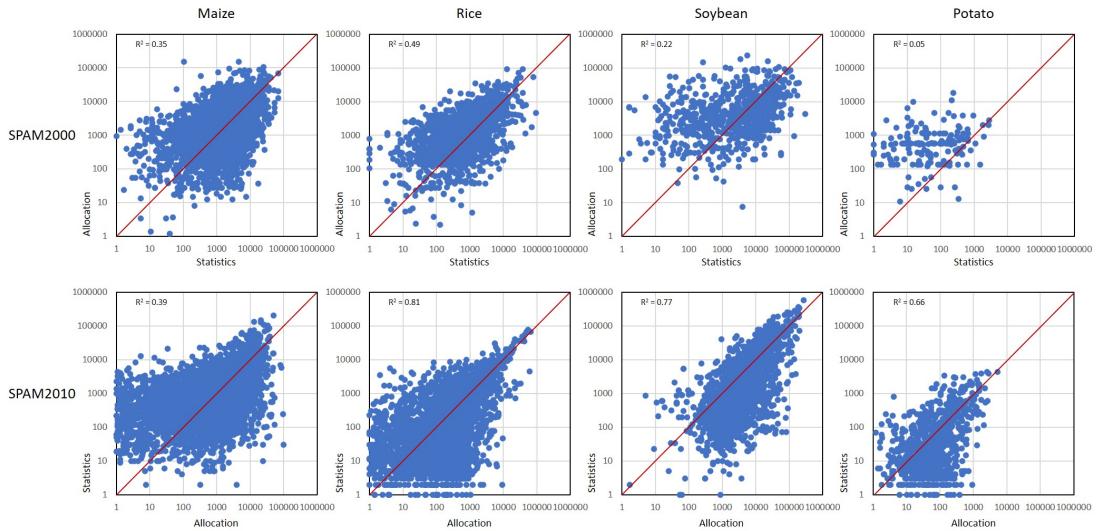
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Interactive comment on Earth Syst. Sci. Data Discuss., <https://doi.org/10.5194/essd-2020-11>, 2020.

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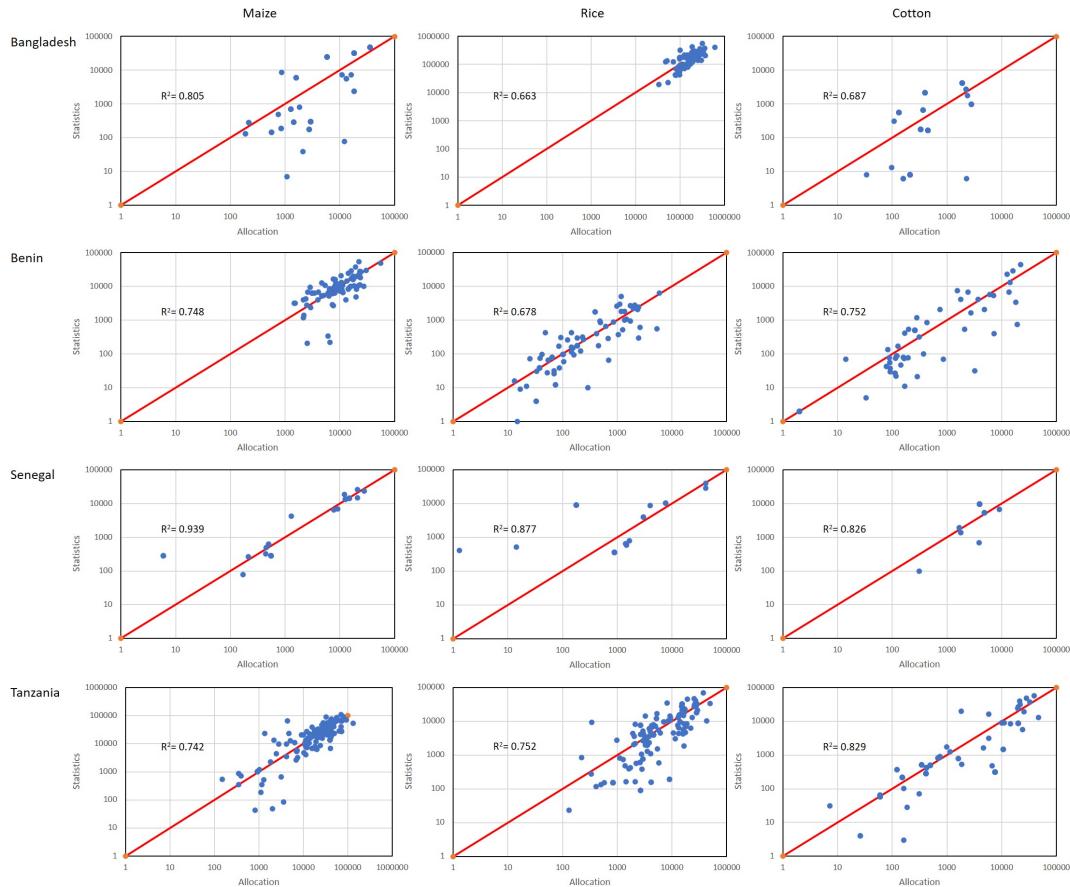


**Fig. 1.** Figure 6: Comparison between the allocated crop area and statistics crop area at the ADM2 level in Brazil (log-log scale plot, unit: ha.). The upper part is for SPAM2000 and the bottom part is for SPA

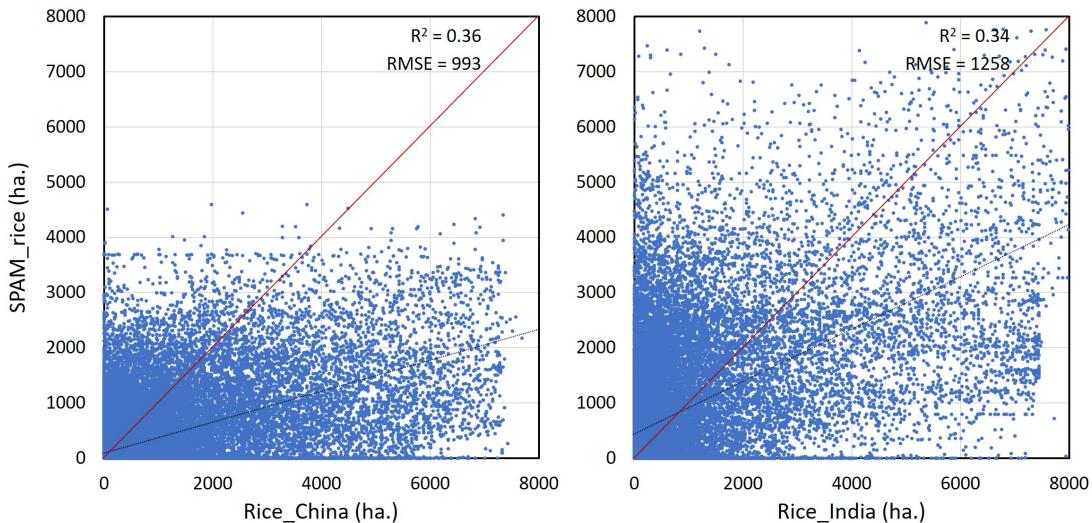
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**Fig. 2.** Figure 7: Comparison between the allocated crop area and statistics crop area at the ADM2 level in Bangladesh, Benin, Senegal and Tanzania for maize, rice and cotton (log-log scale plot, unit: ha.).

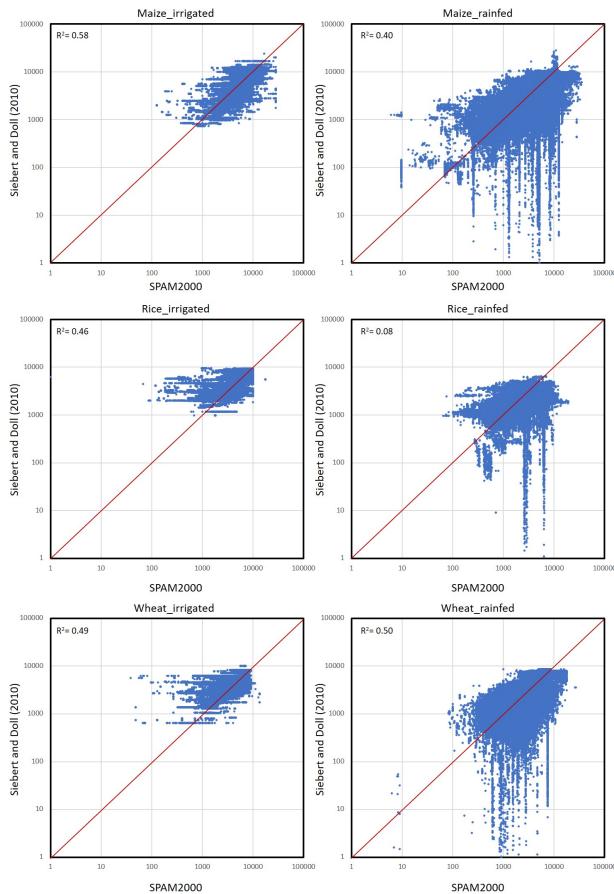


**Fig. 3.** Figure 10: Grid-by-grid comparison between SPAM2010 and Zhang et al. (2017) rice area in China and India.

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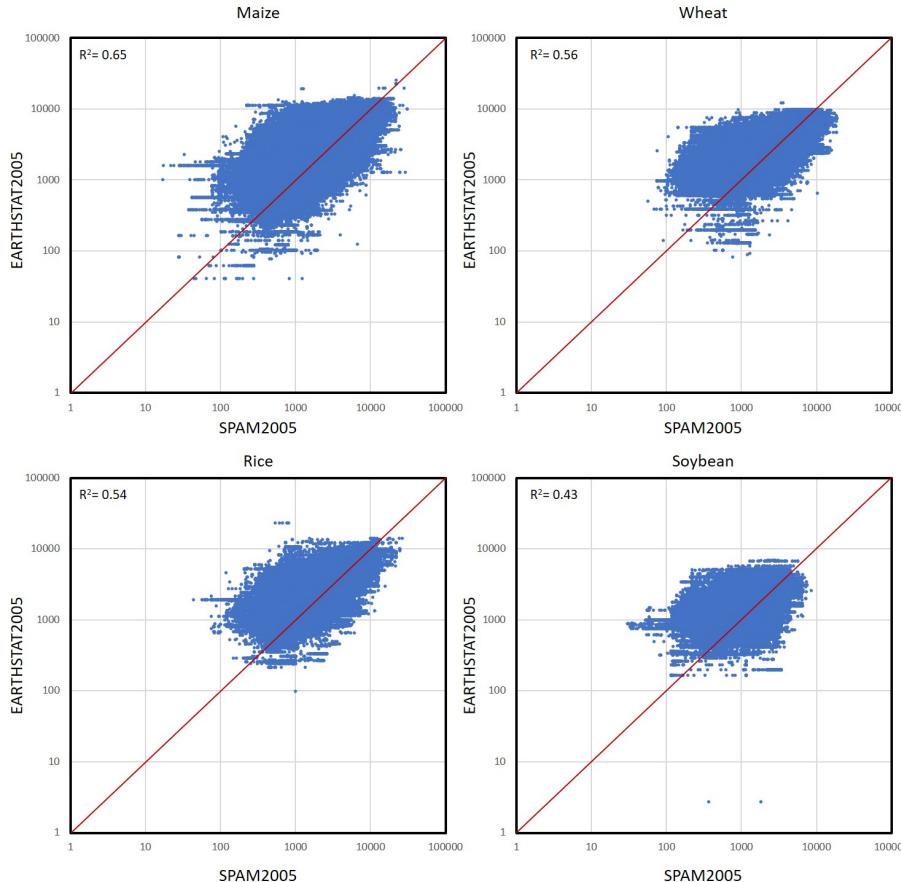


**Fig. 4.** Figure 11: Grid-by-grid comparison between SPAM2000 and Siebert and Doll (2010) in average irrigated and rainfed yields (log-log scale plot, unit: kg/ha.).

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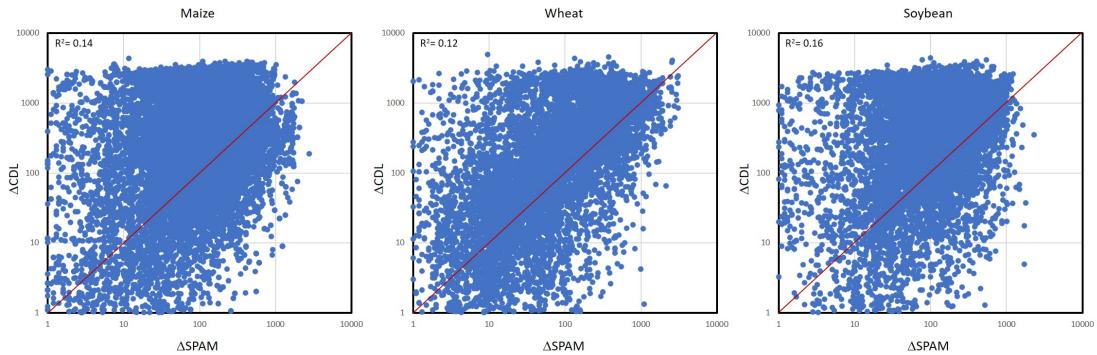


**Fig. 5.** Figure 12: Grid-by-grid comparison between SPAM2005 and EARTHSTAT2005 in crop yields. (log-log scale plot, unit: kg/ha.).

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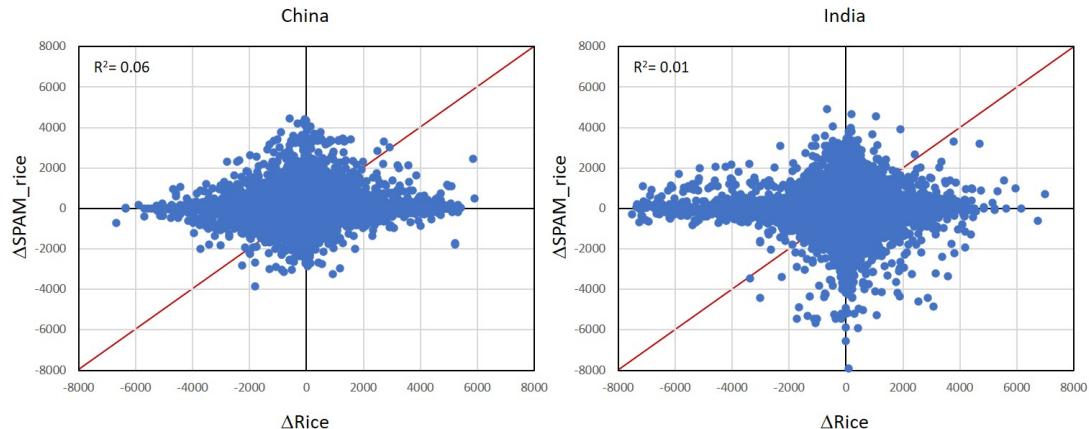


**Fig. 6.** Figure 14: Comparison between SPAM crop area change and CDL crop area change (log-log scale plot, unit: ha.).

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**Fig. 7.** Figure 15: Comparison between SPAM rice area change and Zhang et al. (2017) paddy rice change (unit: ha.).

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