



1	A data set of distributed global water withdrawal from 1960 to 2017
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11	Abstract
12	More and more high-resolution data sets are simulated worldwide and used in various research. However,
13	in addition to the improvement in accuracy, the practical significance of the spatial distribution of data must also
14	be considered. Considering that the most accurate water withdrawal data are mainly provided by the state, water
15	is mainly concentrated on artificial surface and cultivated land. Whenever possible, using data published by
16	regional or national governments and interpolating and extending them to specific land uses will maximize data
17	accuracy. Based on this, we provide a set of water withdrawal intensity products from 1960 to 2017 distributed
18	to the administrative units or the corresponding regions. The data set fills the gaps in the multi-year data set of
19	the accurate intensity of water withdrawal. The datasets described in this article are publicly and freely available
20	through the FigShare. The DOI for the data is <u>https://doi.org/10.6084/m9.figshare.10012559.v1</u> (Yan et al., 2019).
21	
22	Introduction
23	The requirement and utilization of water resources vary with time and geographical changes (Snow, 2005).
24	According to the United Nations World Water Development Report 2018, with the rapid growth of the global
25	population, the demand for water is expected to increase by nearly one third by 2050 (Houngbo, 2018). The
26	increase in water demand makes it difficult to make decisions about water allocation, and has affected the





27 development of industries that are critical to sustainable development, especially food and energy production. 28 The demand competition of water withdrawal further exacerbates the risk of regional conflicts. According to the 29 United Nations World Water Development Report 2018, about 1.5 billion people in 80 countries and regions, 30 which account for 40% of the world's total population, are under-resourced, and about 300 million people in 26 31 countries are extremely short of water (Peter, 2018). Studying the changes in world water withdrawal patterns, 32 accurately analyzing the water withdrawal intensity structure, can reveal the regional characteristics of the water withdrawal and the regularity of water space distribution, and has important practical significance in promoting 33 34 the harmonious and sustainable development of economy and resources of the world.

35 Water withdrawal intensity is the main forms of water consumption and the main indicator of regional 36 development differences (Nouri, 2015). Water withdrawal intensity refers to the water consumption in the global, 37 continental, national and even smaller administrative regions, economic zones and other land areas within a 38 specific geographical area. The traditional water withdrawal evaluation usually takes the country as the minimum 39 administrative unit with low spatial resolution, and the data usually reflects the summary or average value within 40 a statistical unit, failing to reveal the spatial distribution differences of socio-economic data within the statistical unit (Balk et al., 2006; Doxsey et al., 2015). With the continuous development of high-resolution grid data, 41 42 higher requirements are put forward for global grid data, and subnational data also have more application 43 potential. Most of the sources of water withdrawal data released by the world bank, FAO and other international 44 organizations are based on national governments or statistical departments. However, these data may deviate 45 from the real water withdrawal data of a country or region due to statistical caliber, and there is almost no continuous long series of water withdrawal data globally. Moreover, most of the data released by international 46 47 organizations is based on national scale and lacks finer regional data. Therefore, appropriate methods are needed 48 to modify the data and distribute them to the corresponding spatial location.

49 This data set includes a set of water withdrawal intensity products distributed to the administrative units, a 50 set of water withdrawal intensity products distributed to artificial surface and cultivated land, and an EXCEL 51 file for the total amount of water withdrawal of different countries.

52 Data cover almost all regions of the world. Water withdrawal data include 214 national units and 616 53 national or sub-national units. Because of the difficulties in obtaining regional data in some countries, sub-





national data are replaced by national data. However, there may be some errors in the statistics and collection of the original water withdrawal data, which may lead to deviations between the data set and the real water withdrawal data. With the reference of the official data available, the accuracy of the data set is sufficient to meet the current research. This water withdrawal data set fills the blank of complete water withdrawal sequence data and provides products that can reflect the spatial and temporal changes of water withdrawal in the world. Therefore, it can be used as the basic information for the study of global climate change, environmental resources, regional economy and political decision-making. With the improvement of the accuracy of the original data

61 acquisition in the future, the data set can be amended and supplemented.

Name	Acquisition Year	Source	Spatial Resolution	Format/ Pixel Type & Depth	Spatial Reference	Spatial Coverage
Globeland 30	2000/2010	National Geomatics Center of China (NGCC)	1" (~30m)	@	GCS WGS 1984	Global
National boundaries	2015	National Geomatics Center of China (NGCC)	1km	ESRI polygon shapefile	GCS WGS 1984	Global
Subnational boundaries	2015	National Geomatics Center of China (NGCC)	1km	ESRI polygon shapefile	GCS WGS 1984	Global
Population/Water Withdrawal	1960-2017	World Bank	@	Excel	@	Global
Water Withdrawal	1960-2017	Food and Agricultural Orga nization	@	Excel	@	Global
Water Withdrawal	1960-2017	UN data	@	Excel	@	Global
Population/Water Withdrawal	@	Government or statistical bureau	@	Excel	@	Nation

62

Table 1. Input datasets, used to produce the global water withdrawal products

Name	Temporal extent	Spatial Resolution	Format/ pixel Type & Depth	Spatial Coverage
*_widyear_1km	1960~2017	1000 m	TIFF/flt32	Continent
*_winyear_sr	1960~2017	1000 m	TIFF/flt32	Continent
*_Coutry_W_Data	1960~2017	@	Excel	Continent
*_lu10	2000/2010	1000 m	TIFF/flt32	Continent
*_lu80	2000/2010	1000m	TIFF/flt32	Continent

### 63

 Table 2. The global water withdrawal products

# 64 Methods

65 In this chapter, we describe in detail the method of dataset generation, including data collection, data

66 modification and interpolation extension, and grid data generation.

67 First, the collection of water withdrawal data. Collect as much as possible of the national and sub-national

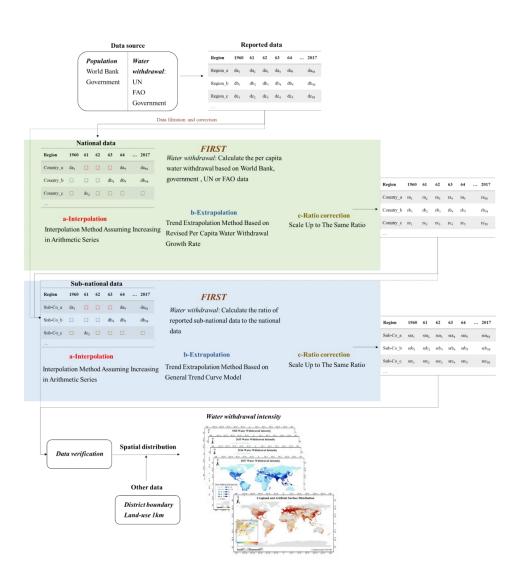




- 68 permanent population and water withdrawal data released by governments and institutions on a global scale, and
- 69 then obtain the per capita water withdrawal data.
- 70 Second, establish a national defect data interpolation model. Based on the shape of the sample data scatter
- 71 plot, determine the most appropriate curve model. Simulation modeling is implemented using EXCEL or
- 72 MATLAB.
- 73 Third, establish a sub-national defect data interpolation model. After completing the national data
- repolation, determine the best interpolated or extended model for the simulation of defect sub-national data.
- 75 Simulation modeling is implemented using EXCEL.
- Fourth, create spatial distribution grids. Spread the water withdrawal intensity to the administrative unit,
- 77 and, artificial surface and cultivated land.
- 78 Fifth, data verification. We divide the measured data into calibration and verification period, re-interpolate
- rough the data using the data of calibration period, and then verify the simulation accuracy by using the data of
- 80 verification period and the simulation.







- 81
- 82 Figure 1. Schematic outline to produce the global water withdrawal products
- 83 Data collection and pretreatment

The data sources include government population data for xx nation and xx sub-nation, government water withdrawal data for xx nations and xx sub-nations, national population and water withdrawal data from the World Bank, and national population and water withdrawal data from FAO, water withdrawal data from the United Nation, national population and water withdrawal data from Eurostat, and Globeland30 data for 2000 and 2010. Globally, it is believed that the accuracy rate of census results obtained by counting the population of various administrative units in the country is the highest at present when a large amount of manpower and





- 90 material resources are spent by the country itself (Honjo et al., 2015). In addition to the census conducted every 91 certain year, the statistical department gets high accuracy rate by calculating the overall figures according to the 92 sample survey of population changes and the random sample survey of fertility rate in some areas and some 93 units. To sum up, we believe that the data released by the government on the statistical official website is the 94 most reliable.
- 95 Secondly, when national population data are missing, it is generally believed that the data of the World 96 Bank and FAO are authoritative. When the data of World Bank and FAO are complete, the World Bank data 97 prevails as reference population data. When the length of World Bank data is shorter that of than FAO, the FAO 98 data is used as reference population data (Kumar et al., 2019).
- 99 For water withdrawal data, FAO and UN data are generally considered authoritative when government 100 water withdrawal data is missing. When the FAO and UN data are both complete, the FAO data is used as a
- 101 reference for water withdrawal data.
- 102 Interpolation and extrapolation of national water withdrawal data

The total amount of water withdrawal in various countries varies greatly, but the per capita water withdrawal of the country generally remains within a certain range. Therefore, we first calculate the per capita water withdrawal of the reference data, and then interpolate and extrapolate the missing per capita water withdrawal data. The methods of can be summarized as the following five categories (Deichmann et al., 2001; Balk et al., 2006; Tobler et al., 1997).

108 (1) Interpolation Method Assuming Increasing in Arithmetic Series

If discontinuities exist in per capita water withdrawal data, and the number of data increases in arithmetic series according to the judgement, then linear interpolation method can be used based on linear model of arithmetic series growth. This method is suitable for interval data interpolation with short interruption time and relatively uniform data growth scale. The interpolation model is as follows:

113  $P_{Nk} = \left[\frac{I(j) - I(i)}{j - i} \cdot (k - i) + I(i)\right] \cdot P_{Wk}$ (1)

114 Where,  $P_{NK}$  is the per capita water withdrawal data for the k year,  $i \le k \le j$ , I(j) and I(i) are the ratios of government

115 data to reference data for the *j* year and *i* year, respectively.





#### 116 (2) Trend Extrapolation Method Based on Revised Per Capita Water Withdrawal Growth Rate

If there are continuous points in the data, we assume that the per capita water withdrawal versus time curve is consistent with the S curve, that is, the per capita water withdrawal shows only a slow change in the first years and the last years. We first calculate the growth rate of per capita water withdrawal in the last two years or the first two years, adjust the final growth rate proportionally to reflect the subsequent changes, and adjust the first growth rate proportionally to reflect the previous changes. Equation (2) represents a method of extrapolating the previous missing value data, and Equation (3) represents a method of extrapolating the subsequent missing value data.

124 
$$\begin{cases} s_i = \frac{w_i - w_{i+1}}{w_{i+1}} \\ s_{i-1} = s_i \cdot (1 - \theta) \\ w_{i-1} = w_i \cdot (1 + s_{i-1}) \end{cases}$$
(2)

125 
$$\begin{cases} s_{j} = \frac{w_{j} - w_{j-1}}{w_{j-1}} \\ s_{j+1} = s_{j} \cdot (1 - \theta) \\ w_{j+1} = w_{j} \cdot (1 + s_{j+1}) \end{cases}$$
(3)

126 Where  $w_{i-1}$  is the missing per capita water withdrawal value for time step *i*-1,  $s_{i-1}$  is the missing reverse order 127 growth rate value for time step *i*-1,  $w_i$  and  $w_{i+1}$  are the first two known per capita water withdrawal value for 128 time step i and i+1, and  $s_{i+1}$  is the known reverse order growth rate value for time step i-1. For equation (3),  $w_{i+1}$ 129 is the missing per capita water withdrawal value for time step j+1,  $s_{j+1}$  is the missing growth rate value for time step j+1,  $w_{j-1}$  and  $w_j$  are the last two known per capita water withdrawal value for time step j and j-1, and  $s_j$  is the 130 131 known growth rate value for time step j. In order to ensure that the per capita water withdrawal in the front of 132 the sequence or in the latter part of the sequence does not change too fast, the equation introduces  $\theta$  to represent 133 the correction coefficient for the growth rate, which is generally in the range of 0.1 to 0.2.

134 (3) Scale Up to The Same Ratio

135 If there is only one data collected, the per capita water withdrawal of that year will be used for all years.

136 (4) MATLAB Smoothing Spline Fitting

For water withdrawal data with long time spans and more data but many intervals, we use MATLAB smoothing spline to provide smooth interpolation over time, taking into account the equilibrium of per capita water withdrawal fluctuations.





- 140 (5) Proximity of Adjacent Region
- 141 If no national water withdrawal data is collected, based on the country's level of development and
- 142 geographic location, the per capita water withdrawal of adjacent countries with similar development levels is
- selected as an approximate value for the country's per capita water withdrawal value.
- 144 Interpolation and extrapolation of sub-national water withdrawal data
- 145 First, the ratio of the sub-national data to the national data of the known year is calculated, and then the
- 146 interpolation and extrapolation methods are used to calculate the ratio of the missing values, and finally sub-
- 147 national data is obtained by the national data and the ratio. The methods can be summarized as the following
- 148 four categories.

154

149 (1) Interpolation Method Assuming Increasing in Arithmetic Series

150 If there is a discontinuity in the sub-national data, we believe that the change in the ratio of the sub-national 151 to national data is basically increased by the arithmetic progression. Similar to the country, then linear 152 interpolation method can be used based on linear model of arithmetic series growth. The interpolation model is 153 as follows:

$$I(j) = \frac{P_{Rj}}{P_{Nj}}, I(k) = \frac{I(j) - I(i)}{j - i} \cdot (k - i) + I(i)$$
(4)

Where,  $P_{Rj}$  and  $P_{Nj}$  are sub-national data and national data for j year, respectively, I(j) and I(i) are the known ratios of sub-national data to national data for the *j* year and *i* year, and I(k) is the ratio of the sub-nationa data to the national data of the interpolated year.

158 (2) Trend Extrapolation Method Based on General Trend Curve Model

159 If there are continuous points in sub-national data, then the interpolation can be obtained by building a 160 general trend curve model based on the ratio of sub-national data to national data. General trend curve models 161 used in interpolation are usually conic, cubic and exponential curves, and sometimes other trend line models are 162 also used. Which trend line model is more suitable can be judged and selected through multiple simulation results. 163 For the poor fitting effect, the extrapolated year directly selects the adjacent I(i) value with the known data year. 164  $P_{Gk} = F(k) \cdot P_{Rk}$  (5)

165 Where,  $P_{Gk}$  is the sub-national data for k year, and F(k) is the curve model for the ratio of sub-national data to



- 166 national data in the k year.
- 167 (3) Scale Up to The Same Ratio
- 168 If there is only one year of sub-national data, then the sub-national data will be scaled up to the same ratio
- 169 according to the ratio of sub-national data to the national data of the corresponding year.
- 170  $I = \frac{P_G}{P_P}, \ P_{Gi} = I \cdot P_{Ri}$ (6)
- 171 Where, *I* is the ratio of sub-national data to national data.
- 172 (4) Based Entirely on Government Data
- 173 If there is a complete government data, the government data is used as the final sub-national result
- 174 Spatial distribution

175 Using remote sensing data, including Landsat 5 Thematic Mapper, Landsat 7 Enhanced Thematic Mapper 176 Plus (ETM+), image data of HJ-1 and local BJ-1, the Chinese Government carried out a comprehensive method 177 based on pixel classification, object extraction and knowledge checking in 2000 and 2010. Ten first-level remote 178 sensing mappings of land surface waters, wetlands, woodlands, grasslands, shrubs, artificial land surface, arable 179 land, glaciers and permanent snow, tundra, bare land, etc. were made in the two base years (www.globeland30.com). Among them, artificial surface and cultivated land are the place where the water 180 181 withdrawal is mainly concentrated. Therefore, this paper fully considers the indicative role of specific land use 182 types. In the spatial distribution, it is assumed that the water is only used on artificial surface and cultivated land. 183 Spatial distribution, which means that the data is distributed to a meaningful area. First, based on ArgGIS 184 Desktop 10.2, convert the global 853 scenes land use grid into a vector format, and then extract the global 185 artificial surface and cultivated land. The water withdrawal intensity on the grid are expressed as follows 186 (Salvatore et al., 2005):

187

$$WU_R = \frac{U_R}{A_R}, WU_{RJ} = \frac{U_R}{A_{RG}}$$
(7)

Where,  $WU_R$  is the water withdrawal intensity of an administrative unit, respectively,  $WU_{RJ}$  is the water withdrawal intensity on the artificial surface and cultivated land of an administrative unit,  $U_R$  is the water withdrawal of an administrative unit, and  $A_R$  and  $A_{RG}$  are the area of an administrative unit, and the area of artificial surface and cultivated land of an administrative unit.





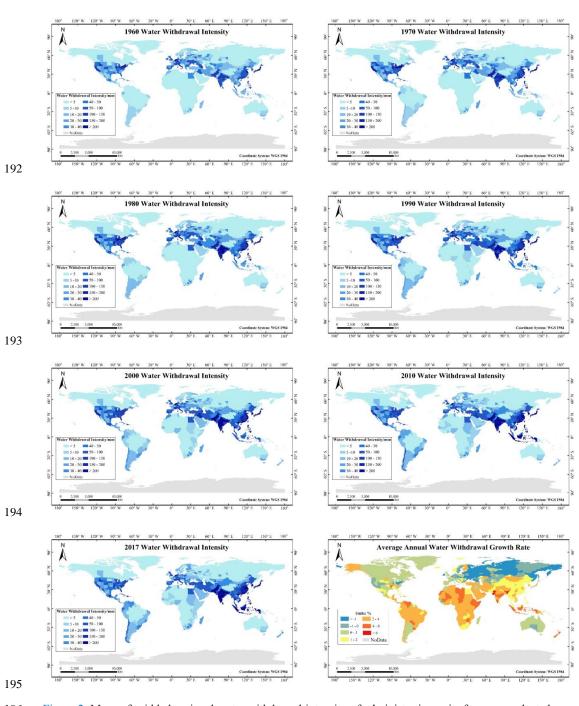
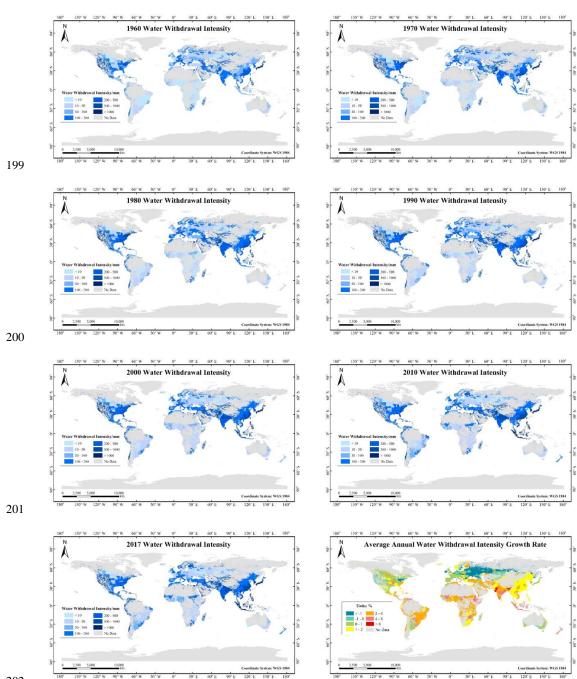


Figure 2. Maps of gridded regional water withdrawal intensity of administrative units for seven selected years
and the average annual water withdrawal growth rate over the study period of 1960–2017. Derived from a







198 combination of sub-national and national data.

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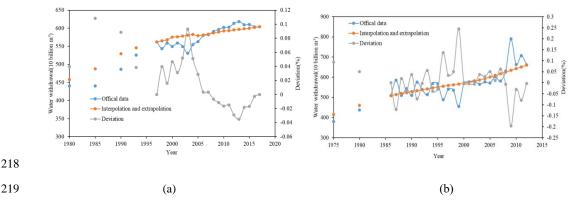
203 Figure 3. Maps of gridded regional water withdrawal intensity of the artificial surface and cultivated land for

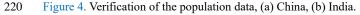




- seven selected years and the average annual water withdrawal intensity growth rate over the study period of
- 205 1960–2017. Derived from a combination of sub-national and national data.
- 206 Technical Validation

207 To make the dataset more transparent, we have compiled detailed data sources for water withdrawal for 208 each country, and the data is available as a separate Excel spreadsheet. These data sources, including the World 209 Bank, FAO, the United Nations, and officially released data, are relatively accurate. Since some of the dataset 210 was obtained by the interpolation and extrapolation, we performed verification for the reliability of the dataset. 211 For the water withdrawal data, we selected several countries with more official data, and verified the 212 interpolation and extrapolation method, that is, selected some measured data for interpolation and extrapolation, 213 and compared with other measured data. China and India have a large proportion of water withdrawals in the 214 world and more official data, so we verified these two countries. The results show that the deviation of the data 215 is mostly within  $\pm 10\%$ , and the reason for the large deviation of some points is due to the large annual fluctuation of official data. Therefore, it can be considered that the dataset derived from the existing water withdrawal data 216 217 is accurate.





221 Data availability

The output datasets described in this article are publicly and freely available through the figshare website (https://figshare.com/s/c360fd4227f5cb5f4873) (Yan et al., 2019). The dataset includes 4 sets of raster data and 1 sets of Excel spreadsheet data, which are published separately on each continent. Abbreviations for each





225	continent are as follows: NA-North America, SA-South America, EU-Europe, AS-Asia, AF-Africa, OC-Oceania.
226	The data includes the following:
227	*_winyear_sr: water withdrawal intensity grid datasets distributed on the national or sub-national
228	administrative units, and the unit is mm.
229	*_widyear_1k: water withdrawal intensity grid datasets distributed on the artificial surface and cultivated
230	land grids, and the unit is mm.
231	*_lu10: the cultivated land grid datasets and the resolution is 1km.
232	*_lu80: the artificial surface grid datasets and the resolution is 1km.
233	*_Coutry_W_Data.xlsx: original documents of national water withdrawal data, including year, World Bank
234	data, FAO data, UN data, government published data, and revised water withdrawal data, with a unit of 10,000m <sup>3</sup> .
235	* indicates AF, AS, EU, NA, OC and SA.
236	Conclusions
237	The product set is designed to fill the blanks of complete water withdrawal sequence data, enhance the
238	accuracy and spatial variability of water withdrawal data, and can reflect the space-apace changes of water
239	withdrawal. The data products reveal the changes in the pattern of water withdrawal. In particular, it is of great
240	significance to master the scale of water withdrawal in regions where data are difficult to access to.
241	The product offers the water withdrawal products of the minimum administrative units and the artificial
242	surface-cultivated land products, and a set of EXCEL files of the revised total water consumption in different
243	countries. The data can be edited to meet the needs of various users.
244	The trend interpolation and extrapolation in the product are conducted under the assumption that the water
245	withdrawal maintains a certain natural growth and they cannot timely reflect the sudden changes in water
246	withdrawal caused by major disasters (i.e. extreme floods and earthquakes), wars and large-scale migration.
247	Given the mobility and flexibility of human activities, there may be some errors in the above data in many
248	countries/regions. Therefore, users are encouraged to make up for the error by using recently updated data or
249	data from specific sources.
250	At present, we have not collected related data of a few countries/regions, such as Mauritania, Madeira Island,
251	St. Helena, Christmas Island, British Indian Ocean territory, the Vatican, Svalbard Island and Jan Mayen Island,





- 252 Guadeloupe (France), St Pierre et Miquelon, Na Varsa Island, Anguilla, Montserrat, Martinique, Clipperton
- 253 Island (France), Midway Islands, Virgin Islands (British), Netherlands Antilles, United States Miscellaneous
- 254 Islands, Pitcairn Islands, Norfolk Island, Heard-und McDonald- Island, Bouvet Island, South Georgia and South
- 255 Sandwich Island, Cocos (Keeling) Islands, Prince Edward Island, Wake Island (America), French Territory in
- 256 The South, Falkland Islands, etc. Most of these areas are uninhabited or sparsely populated, so there are few
- 257 records of water withdrawal data. In the data set, they are treated as no-value areas. We intend to add more data
- sets to the product in the future so as to further improve its spatial and temporal coverage.

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- 263 Author contributions
- 264 D.Y., B.W., T.Q. and H.W. designed the study and provided guidance. X.L. and Y.Y. drafted the manuscript.
- 265 X.L., Y.Y., K.W., Z.L., J.W. and M.L. undertook data processing and assembly. S.H., F.L., S.L., W.B., T.X., X.S.,
- 266 Z.M., C.S., M.L., M.W., Y.H., H.L., Y.N., B.D., M.G., A.G., and A.A. were involved in data collection.
- 267 Competing interests
- 268 The authors declare no competing interests.

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