Glacier shrinkage in the Alps continues unabated as revealed by a new glacier inventory from Sentinel-2

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Abstract

The on-going glacier shrinkage in the Alps requires frequent updates of glacier outlines to provide an accurate database for monitoring, modeling purposes (e.g. determination of run-off, mass balance, or future glacier extent) and other applications. With the launch of the first Sentinel-2 (S2) satellite in 2015, it became possible to create a consistent, Alpine-wide glacier inventory with an unprecedented spatial resolution of 10 m. Already the first S2 images from August 2015 provided excellent mapping conditions for most glacierised regions in the Alps and were used as a base for the compilation of a new Alpine-wide glacier inventory in a collaborative team effort. In all countries, glacier outlines from the latest national inventories have been used as a guide to compile an update consistent with the respective previous interpretation. The automated mapping of clean glacier ice was straightforward using the band ratio method, but the numerous debris-covered glaciers required intense manual editing. Cloud cover over many glaciers in Italy required including also S2 scenes from 2016. The outline uncertainty was determined with multiple digitising of 14 glaciers by all participants. Topographic information for all glaciers was obtained from the ALOS AW3D30 DEM. Overall, we derived a total glacier area of 1806 ±60 km² when considering 4395 glaciers >0.01 km². This is 14% (-1.2%/a) less than the 2100 km² derived from Landsat in 2003 and indicating an unabated continuation of glacier shrinkage in the Alps since the mid-1980s. It is a lower bound estimate, as due to the higher spatial resolution of S2 many small glaciers were additionally mapped or they increased in size compared to 2003. Median elevations peak around 3000 m a.s.l. with a high variability that depends on location and aspect. The uncertainty assessment revealed locally strong differences in interpretation of debris-covered glaciers, resulting in limitations for change assessment when using glacier extents digitised by different analysts. The inventory is available at: doi.pangaea.de/10.1594/PANGAEA.909133 (Paul et al., 2019).
1. Introduction

Information on glacier extents is required for numerous glaciological and hydrological calculations, ranging from the determination of glacier volume, surface mass balance and future glacier evolution to run-off, hydro-power production, and sea-level rise (e.g., Marzeion et al., 2017). For these and several other applications glacier outlines spatially constrain all calculations thus providing an important baseline dataset. In response to the on-going atmospheric warming, glaciers retreat, shrink and lose mass in most regions of the world (e.g., Gardner et al. 2013, Wouters et al. 2019, Zemp et al. 2019). Accordingly, a frequent update of glacier inventories is required to reduce uncertainties in subsequent calculations. With relative area loss rates of about 1% per year in many regions globally (Vaughan et al. 2013), glaciers lose about 10% of their area within a decade and a decadal update frequency seems sensible. In regions with stronger glacier shrinkage such as the tropical Andes (e.g. Rabatel et al. 2013, 2018) or the European Alps (e.g. Gardent et al. 2014) an even higher update frequency is likely required. However, apart from the high workload required to digitise or manually correct glacier outlines (e.g. Racoviteanu et al. 2009), it is often not possible to obtain satellite images in a desired period of the year with appropriate mapping conditions, i.e. without seasonal snow and clouds hiding glaciers. Hence, glacier inventories are often compiled from images acquired over several years resulting in a temporarily inhomogeneous dataset. Fortunately, a 3-year period of acquisition is still acceptable in error terms, as area changes of about ±3% are within the typical area uncertainty of about 3 to 5% (e.g. Paul et al. 2013).

The last glacier inventory covering the entire Alps with a common and homogeneous date was compiled from Landsat Thematic Mapper (TM) images acquired within six weeks in the summer of 2003 (Paul et al. 2011). Although this dataset has its caveats (e.g. missing small glaciers in Italy and some debris-covered ice), it is methodologically and temporarily consistent and represents glacier outlines of the Alps in the Randolph Glacier Inventory (RGI). A few years later, high quality glacier inventories were compiled from better resolved datasets (aerial photography, airborne laser scanning) on a national level in all four countries of the Alps with substantial glacier coverage (Austria, France, Italy, Switzerland). These more recent inventories refer to the periods 2008-2011 for Switzerland (Fischer et al. 2014), 2004-2011 for Austria (Fischer et al. 2015), 2006-2009 for France (Gardent et al. 2014), and 2005-2011 for Italy (Smiraglia et al. 2015). As an 8-year period is rather long, consistent and comparable change assessment is challenging. However, for the first version of the World Glacier Inventory (WGI) the temporal spread was even larger, ranging from 1959 to about 1983 (Zemp et al. 2008). Another problem for change assessment is the inhomogenous interpretation of glacier extents that occurs in part to be compliant with the interpretation in earlier national inventories. Hence, calculations over the entire Alps that require a consistent time stamp are difficult to perform and rates of glacier change are difficult to compare across regions (e.g. Gardent et al. 2014).
Considering the on-going strong glacier shrinkage in the Alps over the past decades and the above shortcomings of existing datasets, there is a high demand to compile a (1) new, (2) precise and (3) consistent glacier inventory for the entire Alps, with data acquired under (4) good mapping conditions in (5) a single year. Although it might be difficult to satisfy all five criteria at the same time, at least some of them seem achievable by means of recently available satellite data. With the 10 m resolution data from Sentinel-2 (S2) and its 290 km swath width it is possible (a) to improve the quality of the derived glacier outlines (compared to Landsat TM) substantially (Paul et al. 2016) and (b) to cover a region such as the Alps with a few scenes acquired within a few weeks or even days, satisfying criteria (2) and (5). Good mapping conditions, however, only occur by chance after a comparably warm summer when all seasonal snow off glaciers has melted and largely cloud free conditions persist over an extended time span in August or September.

We here present a new glacier inventory for the European Alps that has been compiled from S2 data that were mostly acquired within two weeks of August 2015 (during the commissioning phase). However, due to glaciers (mostly in Italy) being partly cloud-covered, also scenes from 2016 (and very few from 2017) were used. Hence, criterion (5) could not be fully satisfied. In order to satisfy point (3), we decided to perform the mapping of clean ice with an identical method (band ratio), and distribute the raw outlines to the national experts for editing of wrongly classified regions (e.g. adding missing ice in shadow and under local clouds or debris cover, removing lakes and other water surfaces). As a guide for the interpretation the analysts used the latest high-resolution inventory in each country. All corrected datasets were merged into one dataset and topographic information for each glacier was derived from the ALOS AW3D30 DEM. For uncertainty assessment all five participants corrected the extents of 14 glaciers independently four times.

2. Study region

The Alps are a largely west-east (south-north in the West) oriented mountain range in the centre of Europe (roughly from 2° to 18° E and 43° to 49° N) with peaks reaching 4808 m a.s.l. in the West at Mt. Blanc/Monte Bianco and elevations above 3000 m a.s.l. in most regions. In Fig. 1 we show the region covered by glaciers along with footprints of the tiles used for data processing. The Alps act thus as a topographic barrier for air masses coming from the North and South (Auer et al. 2007) as well as from the West in the western part. This results in enhanced orographic precipitation and a high regional variability of precipitation amounts in specific years as well as in the long-term mean (e.g. Frei et al. 2003). On the other hand, temperatures are horizontally rather uniform (e.g. Böhm et al. 2001) but vary strongly with height according to the atmospheric lapse rate (e.g. Frei 2014). Snow accumulation is mostly due to winter precipitation, but some snowfall can also occur in summer at higher elevations, reducing ablation for a few days.
There is no significant long-term trend in precipitation over the last 100+ years (Casty et al. 2005), but summer temperatures in the Alps have increased sharply (by about 1 °C) in the mid-1980s (e.g. Beins-ton 1997, Reid et al. 2016). In consequence, winter snow cover barely survives the summer even at high elevations and / or when strong positive deviations in temperature occurred. Glacier mass balances in the Alps were thus pre-dominantly negative over the past three decades (e.g. Zemp et al. 2015) and the related mass loss resulted in widespread glacier shrinkage and disintegration over the past decades (e.g. Gardent et al. 2014, Paul et al. 2004). An order of magnitude estimate with a rounded total area of about 2000 km² in 2003 and a mean annual specific mass loss of 1 m w.e. per year (e.g. Zemp et al. 2015), gives a loss of about 2 Gt of ice per year in the Alps.

Most glaciers in the Alps are of cirque, mountain and valley type and the two largest ones (Aletsch and Gorner glaciers) have an area of about 80 km² and 60 km², respectively. Some glaciers reach down to 1300 m a.s.l., and the overall mean elevation is around 3000 m a.s.l., a unique value compared to other regions of the RGI (e.g. Pfeffer et al. 2014). Due to the surrounding often ice-free rock walls of considerable height, many glaciers in the Alps are heavily debris-covered. Whereas this allowed the tongues of several large valley glaciers to survive at comparably low elevations (Mölg et al. 2019), many glaciers - large and small - become hidden under increasing amounts of debris. Combined with the on-going down-wasting and disintegration, precisely mapping their extents is increasingly challenging.

Figure 1

3. Datasets

3.1 Satellite data

We processed 17 different S2 tiles from a total of eight different dates to cover the study region with cloud free images (Figure 1 and Table 1). These are split among the 4 countries resulting in 29 independently processed image footprints. Of these, 15 were acquired in 2015, 11 in 2016 and 3 in 2017. Convective clouds in Italy (mostly along the Alpine main divide) required extending the main acquisition period over two years. All glaciers in France were mapped from four tiles acquired on 29.8.2015. This date covers also most glaciers mapped in Switzerland (five tiles) apart from the south-east tile 32TNS (ID: 11) that was acquired three days earlier (26.8.2015). Two tiles from that date (32TNT/TPT) are used to map glaciers in western-Austria and three tiles (32TQT/TQS and 33TUN) from 27.8.2016 for the eastern part of Austria. Twelve tiles cover the glaciers in Italy, seven from 2016 and in total five from 2015 and 2017 (Fig. 1). However, those from 2017 only cover very few and small glaciers so that collectively the northern (Switzerland / Austria) and western (France) parts of the inventory are from 2015 whereas the southern (Italy) and eastern (Austria) parts are from 2016. All tiles were downloaded from remotepixel.ca (only the required bands, this is no longer possible),
earthexplorer.usgs.gov or the Copernicus Hub.

Table 1

From all tiles, bands 2, 3, 4, 8, and 11 (blue, green, red, Near Infra-Red / NIR, Short Wave Infra-Red / SWIR) of the sensor Multi Spectral Imager (MSI) were downloaded and colour composites were created from the 10 m visible and NIR (VNIR) bands. The 20 m SWIR band 11 was bilinearly resampled to 10 m resolution to obtain glacier outlines at this resolution. The 10 m resolution VNIR bands allowed for a much better identification of glacier extents (e.g. correcting debris-covered parts) than possible with Landsat (Paul et al. 2016), resulting in a higher quality of the outlines. Apart from the resampling, all image bands are used as they are except for Austria, where further pre-processing has been applied (see Section 4.2.1). The August 2015 scenes from the S2 commissioning phase had reflectance values stretched from 1 to 1000 (12 bit) instead of the later 16 bit (allowing values up to 65536), but this linear rescaling had no impact on the threshold value for the band ratio (see Section 4.1).

3.2 Digital elevation models (DEMs)

We originally intended using the new TanDEM-X (TDX) DEM to derive topographic information for all glaciers, as it covers the entire Alps and was acquired closest (around 2013) to the satellite images used to create the inventory. However, closer inspection revealed that it had data voids and suffered from artefacts (Fig. 2). Although these are mostly located in the steep terrain outside of glaciers, many smaller glaciers are severely impacted, resulting in wrong topographic information. As an alternative we investigated the ALOS AW3D30 DEM that was compiled from ALOS tri-stereo scenes (Takaku et al. 2014) and acquired about five years before the TDX DEM (around 2008). The AW3D30 DEM has a less good temporal match but no data voids and comparably few artefacts (Fig. 2). The individual tiles were merged into one 30 m dataset in UTM 32N projection with WGS84 datum. For the pre-processing of satellite bands in Austria, a national DEM with 10 m resolution derived from laser scanning was used (Open Data Österreich: data.gv.at).

3.3 Previous glacier inventories

Outlines from previous national glacier inventories were used to guide the delineation. They have been mostly compiled from aerial photography with a spatial resolution better than 1 m and should thus provide the highest possible quality. This allowed considering very small and otherwise unnoticed glaciers and helped to identify glacier zones that are debris covered. The substantial glacier retreat that took place between the two inventories was well visible in most cases and did not hamper the interpretation. However, a larger number of mostly very small glaciers were either not mapped in 2003 and have now been added or they were smaller in 2003 and have now larger extents. A large issue with
respect to additional work load is the compilation of ice divides. They can be derived semi-
automatically from watershed analysis of a DEM using a range of methods (e.g. Kienholz et al. 2013),
but in general many manual corrections have still to be applied. To have consistency with previous
national inventories, we decided to use the drainage divides from these inventories to separate glacier
complexes into entities. However, due to the locally poor geolocation of S2 scenes in steep terrain
(Kääb et al. 2016, Stumpf et al. 2018) some ice divides of the former inventories overlapped with gla-
cier extents (by up to 50 m) and were manually adjusted.

4. Methods

4.1 Mapping of clean ice in all regions

Automated mapping of clean to slightly dirty glacier ice is straightforward using a red or NIR to
SWIR band ratio and a (manually selected) threshold (e.g. Paul et al. 2002). Also other methods such
as the normalised difference snow index (NDSI) work well (e.g. Racoviteanu et al. 2009) as both uti-
lise the strong difference in reflectance from the VNIR to the SWIR for snow and ice (e.g. Dozier
1989). As the latter are bright in the VNIR bands (high reflectance) but very dark (low reflectance) in
the SWIR, dividing a VNIR band by a SWIR band gives high values over glacier ice and snow and
very low ones over all other terrain as this is often much brighter in the SWIR than the VNIR. The
manual selection of a threshold for each scene (or S2 tile) has the advantage to include a regional ad-
justment of the threshold to local atmospheric conditions. We followed the recommendation to select
the threshold in a way that good mapping results in regions with shadow are achieved. By lowering the
threshold, more and more rock in shadow is included, creating a noisy result. It has been shown by
Paul et al. (2016) that glacier mapping with S2 (using a red / SWIR ratio) requires an additional
threshold in the blue band to remove misclassified rock in shadow (that can have the same ratio value
as ice in shadow but is darker in the blue band). Hence, for this inventory glaciers have been first au-
tomatically identified following the equation:

\[(\text{red} / \text{SWIR}) > \text{th}_1 \text{ and blue} > \text{th}_2\]

with the empirically derived thresholds \(\text{th}_1\) and \(\text{th}_2\). As mentioned above, the SWIR band was bilinear-
ly resampled from 20 to 10 m spatial resolution before computing the ratio. No filter for image
smoothing was applied to retain fine spatial details, such as rock outcrops. Figure 3 shows for a test
site in the Mt. Blanc region (Leschaux Glacier) the impact of the threshold selection. Figure 3a depicts
the (contrast stretched) red / SWIR ratio image, Fig. 3b the impact of \(\text{th}_1\) on the mapped area, Fig. 3c
the impact of \(\text{th}_2\), and Fig. 3d the resulting outlines after raster-vector conversion. As can be seen in
Fig. 3b, there is very little impact on the mapped glacier area when increasing \(\text{th}_1\) in steps of 0.2. For
this region we used 3.0 as \(\text{th}_1\) resulting in the blue and yellow areas as the mapped glacier. Wrongly
mapped rock in shadow is then reduced back with \(\text{th}_2\) (Fig. 3c) that is selected by visual analysis and
expert judgment. In this case a value of 860 was selected for \( th_2 \), i.e. only the blue area in Fig. 3c is considered. This removed rock in shadow from the glacier mask for the region to the right of the white arrow but, on the other hand, correctly mapped ice in shadow is removed at the same time in the region above the green arrow (Figs. 3c and d). Hence, threshold selection is always a compromise as it is in general not possible to map everything correctly with one set of thresholds. In the resulting binary glacier maps the ‘non-glacier’ class is set to ‘no data’ before they were converted to a shape file using raster-vector conversion. In the resulting shape file internal rocks are thus data voids.

All pre-processed scenes were provided in their original geometry for correction by the national experts. As shown in Fig. 3c, it was sometimes not possible to include dark bare ice and at the same time exclude bare rock in shadow. Such wrongly classified regions were corrected by the analysts together with data gaps for debris cover and clouds (omission errors), wrongly mapped water bodies (e.g. turbid lakes and rivers) and shadow regions (commission errors). By setting the minimum glaciers size to 0.01 km\(^2\), most of the often very small snow patches (i.e. <0.01 km\(^2\)) were removed (cf. Leigh et al. 2019).

![Figure 3](image-url)

### 4.2 Corrections in the different countries

#### 4.2.1 Austria

The satellite scenes for Austria were further pre-processed by G. Schwaizer (cf. Paul et al. 2016) to remove water surfaces and improve classification of glacier ice in cast shadow, before manual corrections were applied. The latter work was mainly performed by one person (J. Nemec). Two previous Austrian glacier inventories (Lambrecht and Kuhn 2007, Fischer et al. 2015) were used to support the interpretation of small glaciers, debris covered glacier parts, and the boundary across common accumulation areas. Further, an internal independent quality control of the generated glacier outlines was made by a second person (G. Schwaizer), using orthophotos (30 cm resolution) acquired in late August 2015 for most Austrian glaciers for overall accuracy checks and to assure the correct delineation of debris covered glacier areas. In Fig. 4a we illustrate the strong glacier shrinkage from 1998 (yellow lines) to 2016 (red) as well as the manual corrections applied, extending the bright filled areas of the raw classification to the red extents.

#### 4.2.2 France

The raw glacier outlines from S2 were corrected by one person (A. Rabatel). The glacier outlines from the previous inventory by Gardent et al. (2014) were used for the interpretation, in particular in shadow regions and for glaciers under debris cover. It is noteworthy that the previous inventory was made on the basis of aerial photographs (2006-2009) with field campaigns for the debris-covered glacier tongues to clarify the outline delineation. As a consequence, this previous inventory constitutes a highly valuable reference. In addition, because even on debris-covered glaciers the changes between 2006-
09 and 2015 are visible (Fig. 4b), Pléiades images from 2015-2016 acquired within the KALIDEOS-Alpes / CNES program were use as a guideline, mostly for the heavily debris-covered glacier tongues.

4.2.3 Italy

As mentioned above, clouds covered the southern Alpine sector on the S2 scenes from August 2015. Hence, most of the inventory was compiled based on images from 2016 and three scenes from 2017 (see Table 1) were used to map glaciers under clouds or with adverse mapping conditions, i.e. excessive snow cover or shadows in the other scenes. Images acquired in August 2016 had little residual seasonal snow and a high solar elevation at the time of acquisition, which minimised shadow areas creating very good mapping conditions. In September 2016 and October 2017, more snow was present on high mountain cirques and glacier tongues, but comparatively few snow patches were found outside glaciers. However, the lower solar elevation compared to August caused a few north-facing glaciers and glacier accumulation areas to be under shadows. The raw glacier outlines from S2 were corrected by two analysts (D. Fugazza, R.S. Azzoni). The outlines were separated into regions based on the administrative division of Italy, following the previous Italian glacier inventory (Smiraglia et al. 2015).

Seasonal snow and rocks in shadow that were wrongly identified as clean ice were manually deleted by the analysts, as well as lakes and large rivers. In shadow regions, and for glaciers with large debris cover, the outlines from the previous Italian inventory by Smiraglia et al. (2015) were particularly valuable as a guide. Where some small glaciers were entirely under shadows, the outlines from the previous inventory were copied without changes, while in case of partial shadow coverage they were edited in their visible portions. Due to the comparably small area changes of such glaciers over time, the former outlines are likely more precise than a new digitization under such conditions (cf. Fischer et al. 2014).

Glaciers in the Orobie Alps (ID 12 in Fig. 1), Dolomites and Julian Alps (ID 18) posed significant challenges for glacier mapping. The three regions host very small niche glaciers and glacierets: in the Orobie and Julian Alps, their survival is granted by abundant snow-falls, northerly aspect and accumulation from avalanches, with debris cover also playing an important role. In the Dolomites, debris cover is often complete (Smiraglia and Diolaiuti 2015), while the steep rock walls provide shadow and further complicate mapping. For glaciers in the Orobie Alps, an aerial orthophoto acquired by Regione Lombardia (geoportale.regione.lombardia.it) in 2015 was used to aid the interpretation in view of its finer spatial resolution (e.g. Fischer et al. 2014, Leigh et al. 2019), although the image also shows evidence of seasonal snow. Here, manual delineation of the glacier outlines was required as the band ratio approach could only detect small snow patches (see Fig. 4c). In the other two regions, outlines from the previous inventory, derived from aerial orthophotos acquired in 2011, were copied and only corrected where evidence of glacier retreat was found. Whereas the uncertainty in the outlines of the latter
glaciers can be large (some of them are marked as ‘extinct’ in the first Italian inventory from 1959 to
1962), the combined glacier area from the three regions is just above 1% (1.35 km$^2$) of the total area of
Italian glaciers. For several of these very small, partly hidden entities one can certainly discuss if they
should be kept at all. In this inventory, they have been included for consistency with the last national
inventory.

4.2.4 Switzerland

The raw glacier outlines from S2 were corrected by three persons (R. LeBris, F. Paul, P. Rastner) each
of them being responsible for a different main region (south of Rhone, north of Rhone/Rhine, south of
Rhine). The glacier outlines from the previous inventory by Fischer et al. (2014) were highly valuable
for the interpretation, in particular in shadow regions and for glaciers under debris cover. In the hot
summer of 2015 most seasonal snow had disappeared by the end of August so that mapping conditions
with a comparably high solar elevation (limited regions in shadow) were very good. Some glaciers that
could not be identified in the (contrast-stretched) S2 images were either copied from the previous in-
ventory (if located in shadow) or assumed to have disappeared (if sun-lit). Wrongly mapped (turbid)
lakes and rivers (Rhone, Aare) were manually removed.

In a few cases (mostly debris-covered glaciers) we had to deviate from the interpretation of the previ-
ous inventories. As shown in Fig. 4d, very high-resolution satellite imagery or aerial photography (as
available in Google Earth or from map servers) do not always help for a ‘correct’ interpretation of
glacier extents, as the rules applied for identification of ice under debris cover might differ (see Figs.
S1, S2 and S3 in the Supplement). In this case it seems that the debris-covered region was not correct-
ed in the 2003 and 2008 inventories, but is now included (one can still discuss the boundaries). The
interpreted glacier area has thus strongly grown since 2003 due to the better visibility of debris cover
with S2.

**Figure 4**

4.3. Drainage divides and topographic information

Drainage divides between glaciers were copied from previous national inventories but were locally
adjusted along national boundaries. In part this was required because different DEMs had been used in
each country to determine the location of the divide. Additionally, some glaciers are divided by na-
tional boundaries rather than flow divides. This can result in an arbitrary part of the glacier (e.g. its
accumulation zone) being located in one country and the other part (e.g. its ablation zone) in another
country. As this makes no sense from a glaciological (and hydrological) point of view, such glaciers
(e.g. Hochjochferner in the Ötztal Alps) have been corrected in a way that they belong to the country
where the terminus is located. There are thus a few inconsistencies in this inventory compared to the
national ones.
After digital intersection of glacier outlines with drainage divides, topographic information for each glacier entity is calculated from both DEMs (ALOS and TDX) following Paul et al. (2009). The calculation is fully automated and applies the concept of zone statistics introduced by Paul et al. (2002). Each region with a common ID (this includes regenerated glaciers consisting of two polygons) is interpreted as a zone over which statistical information (e.g. minimum / maximum / mean elevation) is derived from an underlying value grid (e.g. a DEM or a DEM-derived slope and aspect grid). Apart from glacier area (in km²) all glaciers have information about mean, median, maximum and minimum elevations, mean slope and aspect (both in degrees) and aspect sector (eight cardinal directions) using letters and numbers (N=1, NE=2, etc.). Further information appended to each glacier in the attribute table of the shape file is the satellite tile used, the acquisition date, the analyst and the funding source. This information is applied automatically by digital intersection (‘spatial join’) to all glaciers from a manually corrected scene footprint shape file (see Fig. 1). The various attributes have then been used for displaying key characteristics of the datasets in bar graphs, scatter plots and maps (see Section 5.1).

4.4 Change assessment

Glacier area changes have only been calculated with respect to the inventory from 2003, as the dates for the previous national inventories were too diverse for a meaningful assessment (see Introduction). To obtain consistent changes, only glaciers that are also mapped in the 2003 inventory are used for a direct comparison (automatically selected via a ‘point in polygon’ check). However, after realising that a glacier-specific comparison is not possible due to differences in interpretation (caused by the higher resolution of S2 and the different national rules) and changes in topology (e.g. inclusion of tributaries that were separated in 2003), we decided to only compare the total glacier area of the previous and new inventory.

4.5 Uncertainty assessment

As several analysts have digitised the new inventory, we decided performing multiple digitising of a pre-selected set of glaciers to determine internal variability in interpretation per participant and across participants as a measure of the uncertainty of the generated dataset. For this purpose, all participants used the same raw outlines from S2 tile 32TLR to manually correct 14 glaciers (sizes from 0.1 to 10 km²) to the south of Lac des Dix around Mt. Blanc de Cheilon (3870 m a.s.l.) for debris cover. All glaciers were digitised 4 times by 5 participants giving a nominal total of 280 outlines for comparison. Results were analysed using an overlay of outlines to identify the general deviations in interpretation and through a glacier-by-glacier comparison of glacier sizes. For the latter all datasets were intersected with the same drainage divides and glacier-specific areas were calculated. For each glacier and the entire region, mean area values and standard deviations are calculated per glacier, per participant and for the total sample. The participants were asked to only use the S2 image and the 2003 outlines as a guide for interpretation in the first two digitisation rounds and consider interpretation of very high-
resolution imagery as provided by Google Earth for the second two rounds. At a minimum, one day should have passed between each digitisation round and it was not allowed to show any of the former outlines. On average, each digitisation round took about 2 hours.

Additionally, we applied the buffer method (e.g. Paul et al. 2017) to obtain a statistical uncertainty value for the entire sample. This method gives a minimum and maximum area and was used to determine a relative area difference. This value multiplied by 0.68 gives the standard deviation (assuming normally distributed deviations from the correct outline) that is used as a further measure of area uncertainty (Paul et al. 2017). The selected buffer is based on an earlier multiple digitising experiment for a couple of glaciers (Paul et al. 2013) showing that the variability in the positioning is within one pixel (or about ±10 m in the current case) to both sides of the ‘true’ vector line. Strictly, a larger buffer should be used for the debris-covered glacier parts, as their uncertainty is higher. However, we have not implemented this here, as the related calculations are computationally expensive (cf. Mölg et al. 2018) and would still not reflect the real problem in debris identification as shown in Fig. 4d. Instead, we additionally applied a ±2 pixels buffer to all glaciers. For the majority of the debris-covered glaciers (i.e. those where debris can at least be identified) this gives an upper bound value of the uncertainty. Depending on the degree of debris cover along the perimeter, the uncertainty is between the two values derived from the two buffers.

5. Results

5.1 The new glacier inventory

In total, we identified 4395 glaciers larger than 0.01 km² covering a total area of 1805.9 km², of which 361.5 km² (20%) is found in Austria and 227.1 (12.6%), 325.3 (18%), and 892.1 km² (49.4%) in France, Italy, and Switzerland, respectively. The size class distribution by area and count is depicted in Fig. 5a and also listed in Table 2. In total, 62.5% (92%) of all glaciers are smaller than 0.1 km² (1.0 km²) covering 5.5% (28%) of the glacierised area, whereas 1.6% are larger than 5 km² and cover 40%. Thereby, glaciers in the size class 1 to 5 km² alone cover one third (31.5%) of the area but only 6.4% of the total number. This biased size class distribution is typical for alpine glaciers where a few large glaciers are surrounded by numerous much smaller ones. The distribution of glacier number and area by aspect sector displayed in Fig. 5b shows the dominance, both in number and coverage area, of northerly exposed glaciers compared to all other sectors. About 60% of all glaciers (covering 60% of the area) are exposed to the NW, N, or NE whereas only 21% of all glaciers are found in the sectors SE, S, and SW. This distribution of glacier aspects is typical for regions where radiation plays a larger role in glacier existence compared to factors such as precipitation (Evans and Cox, 2005). The larger area coverage for glaciers facing SE is mostly due to the large Aletsch and Fiescher glaciers.

Figure 5, Table 2
A plot of glacier surface area vs. minimum and maximum elevations (Fig. 6a) reveals that glaciers smaller than 1 km$^2$ cover nearly the full range of possible elevations, indicating that their mean elevation is also impacted by factors other than climate (i.e. they can also exist at low elevations when they are located in a well protected environment). Glaciers larger than 1 km$^2$ on the other hand have clearly distinguished maximum and minimum elevations, i.e. they arrange around a climatically driven mean elevation which is around 3000 m a.s.l. Plotting glacier area vs. elevation range (Fig. 6b) shows that the largest glaciers are not those with the highest elevation range (the maximum of 3140 m is for Glacier des Bossons in the Mont-Blanc massif with a size of 10 km$^2$) and that for the majority of glaciers the elevation range increases with glacier size. This is typical for regions dominated by mountain and valley glaciers as these follow the given topography. The ca. 7 km$^2$ large Plaine Morte Glacier is a plateau glacier with an elevation range of only 350 m and represents an exception from the rule that larger glaciers have generally a larger elevation range.

*Figure 6*

The median elevation of a glacier is largely driven by temperature, precipitation and radiation receipt (that depends on topography). As temperature is rather similar at the same elevation over large regions (e.g. Zemp et al. 2007) and topography (aspect / shading) has a strong local impact on radiation receipt, the large-scale variability of median (or mean) elevation of a glacier has a high correlation with precipitation (e.g. Ohmura et al. 1992, Oerlemans 2005, Rastner et al. 2012, Sakai et al. 2015). The spatial distribution of glacier median elevations in the Alps (Fig. 7) thus also reflects the general pattern of annual precipitation amounts (e.g. Frei et al. 2003). When focusing on glaciers larger than 0.5 km$^2$ (that are less impacted by local topographic conditions), clearly lower median elevations (around 2400 m a.s.l.) are found for glaciers along the northern margin of the Alps and major mountain passes than in the inner Alpine valleys (around 3700 m a.s.l.) that are well shielded from precipitation. On top of this variability comes the variability due to a different aspect (Fig. 7, inset): On average, glaciers that are exposed to the south have median elevations that are about 250 m higher (mean 3125 m a.s.l.) than north-facing glaciers (mean 2875 m a.s.l.). However, the scatter is high and for each aspect the elevation variability is about 1500 m.

*Figure 7*

The graph in Fig. 8 shows the hypsometry of glacier area in the four countries and for the total area in relative terms. On average, the highest area share is found around the mean elevation of 3000 m a.s.l. By referring for each country to the total area as 100%, differences among them can be seen. Most notable is the smaller elevation range and larger peak of glaciers in Austria, the broader vertical distribution in Switzerland (with the lowest peak value), and the slightly higher peak of the distribution in Italy (at 3100 m a.s.l). The hypsometry of glaciers in France is closest to the curve for the entire Alps.

*Figure 8*
5.2 Area changes

For a selection of 2873 comparable polygon entities present in both inventories, total glacier area shrank from 2060 km² in 2003 to 1783 km² in 2015/16 or by -13.2% (-1.1%/a). Considering the assumed missing area in the 2003 inventory of about 40 km² (glaciers with area gain are 29.4 km² larger in 2015/16 than in 2003), a more realistic area loss is -15% or -1.3%/a. This is about the same pace as reported earlier by Paul et al. (2004) for the Swiss Alps from 1985 to 1998/99 (-1.4%/a). An example of the strong glacier shrinkage in Austria is depicted in Fig. 9. Closer inspection of this image also reveals a small shift (about up to 50 m to the SE) of the S2 scenes compared to the earlier Landsat TM scenes.

Figure 9

The comparison of glacier outlines in Fig. 10 illustrate for the region around Sonnblickkees in Austria why we do not provide a scatterplot of relative area changes vs. glacier size or country specific area change values (cf. also Fig. 4d for Gavirolas Glacier in Switzerland). Due to the different interpretations in the new inventory, 125 mostly very small glaciers are 100% to 630% larger than in 2003 and a large number (557) is 0% to 100% larger. For example, the 4 km² Suldenferner has increased in size by 550% as a small tributary (that holds the ID for the glacier) was disconnected in 2003 but is now connected to the entire glacier. Although such cases can be manually adjusted, it would not solve the general problem of the different interpretation when using data sources with differing spatial resolution (cf. Fischer et al. 2014, Leigh et al. 2019). For example, the glacier in Fig. 4d has increased its size from 2003 to 2015 by 56% due to the new interpretation. On the other hand, Careser glacier, which fragmented in six ice bodies from 2003 to 2015, lost 55% of its area when summing up all parts as opposed to 63% when considering the largest glacier only. In consequence, the possible area reduction due to melting is partly compensated by the more generous interpretation of glacier extents and thus with a limited meaning on the basis of individual glaciers. Overall, glacier extents in the 2015/16 inventory might be somewhat larger than in reality due to the inclusion of seasonal/perennial snow in some regions. The -15% area loss mentioned above can thus be seen as a lower bound estimate.

Figure 10

5.3 Uncertainties

5.3.1 Glacier outlines

The multiple digitising experiment revealed several interesting albeit well-known results. Overall, the area uncertainty (one standard deviation, STD) is 3.3% across all participants for the total of the digitised area (Table 3). As two glaciers (11 and 13) were not mapped by one participant, the missing values are replaced with the mean value from the other participants. Across all glaciers but for individual participants the uncertainty (comparing the values from the four digitisation rounds) is considerably lower (1% to 2.7%), indicating that the digitising is more consistent when performed by the same per-
son. The area values of participant 1 (P1) are systematically higher than for the other participants, about 6% for the total area. A detailed analysis (close-ups and only showing individual datasets) of the digitised outlines (Fig. 11) revealed that the differences are mostly due to the more generous inclusion of debris-covered glacier ice for two of the larger glaciers (Nr. 1 and 5). When excluding P1, the STD across the other participants is three times smaller (1.1%). The uncertainty also slightly depends on glacier size, showing values between 1% and 6% for glaciers larger than 1 km² and between 2% and 20% for glacier <1 km². The smallest glacier in the sample is smaller than 0.1 km² and shows variations in STD between 8% and 44%, in the latter case also due to a reinterpretation of its extent when using very high-resolution imagery. For such small glaciers related changes can thus result in considerably different extents.

Table 3, Figure 11

Moreover, for P1 and most of the other participants the digitised glacier extents increased by several per cent after consultation of very high resolution satellite images as available in Google Earth and from the swisstopo map server (Supplement, Fig. S1). The generally very flat and debris-covered regions were barely visible on the S2 images and have been digitised differently in each of the four rounds. Hence, the possibility for a re-interpretation of the outlines within the same experiment resulted in higher standard deviations. If such regions have to be included in a glacier inventory or not can be discussed, as the transition to ice-cored medial or lateral moraines is often gradual and including these features in a glacier inventory or not is a (personal) methodological decision. The Figs. S2 and S3 in the Supplement provide examples of the difficulties in interpreting such regions. Even at this high spatial resolution the exact boundary of the two glaciers is not fully clear so that a large interpretation spread can be expected at lower resolution. However, in general it seems that the area of glaciers with debris-covered margins is still slightly underestimated at 10 m resolution. This confirms earlier recommendations to double-check all digitised glacier extents with such very high-resolution sensors, at least for the difficult cases (e.g. Fischer et al. 2014).

The uncertainty (one STD) obtained with the buffer method is ±5% (10%) when using a 10 m (20 m) buffer. Considering that the former buffer might be a realistic uncertainty bound for clean ice and the latter for debris-covered ice, the ‘true’ uncertainty value would be between 5 and 10% and for individual glaciers largely depend on the difficulties in identifying ice under debris. This is in line with the uncertainties derived from the multiple digitising and numerous previous studies.

5.3.2 Topographic information

The comparison of topographic parameters (minimum, maximum and mean elevation, mean slope and aspect) revealed larger differences when derived from either the TDX or AW3D30 DEM, in particular towards smaller glaciers. These are more likely to be impacted by artifacts as they share a larger percentage of their total area (Fig. 2). Differences in mean slope and aspect are generally small but in-
crease towards larger slope values for the former. This is in agreement with the general observations that DEM quality is reduced at steep slopes. Minimum elevation is slightly higher in the TDX DEM, which can be explained by glacier retreat between the acquisition dates (around 2009 for AW3D30 vs. around 2013 for TDX). However, a clearly lower mean elevation due an overall surface lowering of the glaciers could not be observed, indicating that the differences are in the uncertainty range. Apart from artefacts, the uncorrected radar penetration of the TDX DEM into snow and firn might play a role here as well.

6. Discussion

The derived size class distribution (Fig. 5) and topographic information are typical for glaciers in mid-latitude mountain ranges with numerous smaller glaciers surrounding a few larger ones (e.g. Pfeffer et al. 2014). Only 349 out of 4395 glaciers (8%) are larger than 1 km² and nearly one half (46%) is smaller than 0.05 km² covering 2.7% of the area. It might be well possible that many of the latter are no longer glaciers but just perennial snow and firn patches. However, for consistency with earlier national glacier inventories they have been included. Mean elevation values do not depend on size for such ‘glaciers’, indicating that they can survive at different elevations and precipitation amounts have a limited impact on their occurrence (e.g. if fed by avalanche snow). If they are well protected from solar radiation (e.g. by shadow or debris cover) such glaciers might persist for some time despite increasing air temperatures. Glacier mean elevation does not depend on glacier size but on glacier location with respect to precipitation sources, in particular for larger glaciers (Fig. 7). On top of this dependence is the variability with mean aspect (Fig. 7, inset).

Widespread glacier thinning over the past decades and steep terrain resulted lately in interrupted profiles for several larger valley glaciers. Their lower parts are now no longer nourished by ice from above. These separated parts can thus not be named ‘regenerated glaciers’ but they melt away as dead ice. Strictly speaking, such lower dead ice bodies (that can persist due to debris cover for a very long time) should be excluded from a glacier inventory (Raup and Khalsa 2007). However, for consistency with former inventories and their contribution to run-off we included them here and used the same ID for both parts to obtain topographic information for the combined extent. Calculating this instead for the individual parts would result in related outliers and a more difficult analysis of trends. At best, such separated parts are identified with a flag in the attribute table, for example as a further extension to the ‘Form’ attribute (e.g. ‘4: Separated glacier part’) used in the RGI (RGI consortium 2017). However, the differentiation from a regenerated glacier might sometimes be difficult.

Due to the differences in interpretation (Fig. 10) we have not compared the 2003 extents of individual glaciers directly with those from the new inventory but only the total area of glaciers observed in both inventories. Considering the underestimated glacier area in 2003 (e.g. due to missing debris cover) and
possibly overestimated sizes in 2015 (e.g. due to included snow) the pace of shrinkage (-1.3% /a) has
not changed compared to the earlier mid-1980s to 2003 period. This indicates that most glaciers have
not yet reached a geometry that is compliant with current climate conditions and will thus continue
shrinking in the future. This becomes also clear from the snow cover remaining near the end of the
ablation period on the glaciers, covering barely 20% to 30% of the area (e.g. Figs. 9 and 11). Assuming
a required 60% coverage of their accumulation area, glaciers in the Alps have to lose another 50%
to 70% of their area to reach again balanced mass budgets (Carturan et al. 2013). There are other re-
regions in the world with similar high (or even higher) area loss rates such as the tropical Andes (e.g.
Rabatel et al. 2013), but to a large extent this is also due to the smaller glaciers in this region. A realistic comparison across regions would only be possible when change rates of identical size classes are
compared.

The multiple digitising experiment (Fig. 11) revealed a large variability in the interpretation of debris-
covered glaciers among the analysts but high consistency in the corrections where boundaries are well
visible. Related area uncertainties can be high for very small glaciers (>20%) but are generally <5%.
The here derived area reduction of about -15% since 2003 is thus significant, but for small and/or de-
bris-covered glaciers the area uncertainty can be similar to the change, making it less reliable. Howev-
er, this strongly depends on the specific glacier characteristics and cannot be generalized to all small
glaciers.

The gradual disappearance of ice under debris cover and the separation of low-lying glacier tongues
on steep slopes are major problems for any glacier inventory created these days. We decided to re-
connect disconnected glacier parts by their ID (to multi-part polygons) for consistency with earlier
inventories. However, keeping them separated is another possibility, given that possible dead ice is
clearly marked in the attribute table.

7. Conclusions

We presented the results of a new glacier inventory for the entire Alps derived from Sentinel-2 images
of 2015 and 2016. In total, 4395 glaciers >0.01 km² covering an area of 1806 ±60 km² are mapped.
This is a reduction of about 300 km² or -15% (-1.3%/a) compared to the previous Alpine-wide inven-
tory from 2003. The pace of glacier shrinkage in the Alps remained about the same since the mid-
1980’s, indicating that glaciers will continue to shrink under current climatic conditions. Due to the
differences in interpretation, we have not performed a glacier-by-glacier comparison of area changes.
The on-going glacier decline also results in increasingly difficult glacier identification (under debris
cover) and topologic challenges for a database (when glaciers split). The former is confirmed by the
results of the uncertainty assessment, showing a large variability in the interpretation of glacier extents
when conditions are challenging. Despite the additional workload, we think this is the best way to pro-
vide an uncertainty value for such a highly corrected and merged dataset. In any case, the outlines from the new inventory should be more accurate than for 2003, as we here used the previous, high-quality national inventories as a guide for interpretation, performed corrections by the respective experts, and worked with the higher resolution of Sentinel-2 data that helped in identifying important spatial details.

The clean-ice mapping with the band ratio method is straightforward, but requires well-thought decisions on the two thresholds as they will always be a compromise. They should be tested in regions with ice in cast shadow and selected in a way that the workload for manual corrections is minimised. If a precise DEM is available, the required corrections of wrongly mapped ice in shadow can be reduced as the further pre-processing for glaciers in Austria revealed. However, reduced DEM quality and illumination differences can limit the benefits of a topographic normalisation of the images. Due to the artefacts in the first version of the TanDEM-X DEM, we used the ALOS AW3D30 DEM to derive topographic information for each glacier despite the less good temporal agreement. To conclude, we had datasets with a much higher spatial resolution available for this inventory compared to the 2003 dataset, but for several reasons (e.g. debris cover, clouds, seasonal snow) the creation of glacier inventories from satellite data and a DEM remains a challenging task with high workload and expert knowledge required.

8. Data availability

The dataset can be downloaded from: [https://doi.pangaea.de/10.1594/PANGAEA.909133](https://doi.pangaea.de/10.1594/PANGAEA.909133) (Paul et al., 2019).

Author contributions

FP designed the study, prepared raw glacier outlines, performed various calculations and wrote the draft manuscript. PR performed most of the GIS-based calculations and the editing that was required to obtain a complete dataset and change assessment (e.g. DEM mosaicking, dataset merging, drainage divides, topographic attributes, satellite footprints). All authors processed, corrected and checked the created glacier outlines in their country and contributed to the contents and editing of the manuscript. FP, DF, JN, AR, and PR performed the multiple digitising of glacier outlines for uncertainty assessment.

Competing interest

The authors declare that they have no conflict of interests.

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### Tables

**Table 1:** Details about the Sentinel-2 tiles used to create the inventory, C.: Country.

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**Table 2:** Glacier area and count per size class for the entire sample.

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**Table 3:** Results of the multiple digitising experiment, listing for each of the five participants the mean glacier area (in km²) in the columns P1 to P5 along with the standard deviation in per cent (STD%). The last two columns provide the averaged values across all participants for each glacier and the last row gives total areas and their standard deviation across all glaciers and for each participant. The two values marked in blue are mean values derived from the other four participants. Red values mark highest values for glaciers larger and smaller than 1 km². Glacier ID 4 is missing as it was digitised as one glacier (with ID 5) by most participants.

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Figure captions

Fig. 1: Overview of the study region with footprints (colour-coded for acquisition year) of the Sentinel-2 tiles used (see Table 1 for numbers).

Fig. 2: Comparison of hillshade views from a) the AW3D30 DEM and b) the TanDEM-X DEM for a region around the Mt. Blanc/Monte Bianco. Glacier outlines are shown in red, data voids in the TanDEM-X DEM are depicted as constantly grey areas. The yellow circle marks the Mt. Blanc summit, the yellow cross in the lower centre marks the coordinates 45.8° N and 6.9° E. The AW3D30 DEM has been obtained from https://www.eorc.jaxa.jp/ALOS/en/aw3d30/index.htm and is provided by JAXA. The TanDEM-X DEM has been acquired by the TerraSAR-X/TanDEM-X mission and is provided by DLR (DEM_GLAC1823).

Fig. 3: Results of the automated (clean ice) glacier mapping and threshold selection. a) band ratio MSI band 4 / MSI band 11 (red/SWIR). b) Glacier classification results using different thresholds. The lower values add some additional pixels, in particular in shadow regions where the threshold is most sensitive. c) Blue band threshold to remove wrongly classified rock in shadow. The highest value has been used resulting in a good performance in the left part of the image (white arrow) and a bad one to the right (green arrow), where correctly classified ice in shadow is removed. d) Final outlines (light blue) on top of the Sentinel-2 image in natural colours. The yellow cross to the lower right of the centre of panel a) is marking the coordinates 45.87° N and 7.0° E. Sentinel-2 image source: Copernicus Sentinel data (2015).

Fig. 4: Examples of challenging classifications in different countries. a) Debris cover delineation (red) around Grossvenediger (Hohe Tauern) in Austria with raw extents (light grey) and outlines from the previous national inventory (yellow). b) Tré-La-Tête Glacier (Mont-Blanc) with automatically derived glacier extents (green), manually corrected outlines from 2015 (red) and outlines derived from aerial photographs taken in 2008 (yellow). The S2 image from August 2015 is in the background. c) Subset of the Orobie Alps in Italy (S2 image from September 2016), with evidence of topographic shadow and debris covered glaciers. The inset shows an aerial photograph with better glacier visibility but seasonal snow. d) S2 image from 2015 showing differences in interpretation of debris cover for Gavirolas glacier in Switzerland for the inventories from 2003 (yellow), 2008 (green) and 2015 (red). The inset shows a close-up of its lowest debris-covered part obtained from aerial photography for comparison (this image is a screenshot from Google Earth). The yellow crosses in each panel mark the following geographic coordinates: a) 47.12° N, 12.4° E; b) 45.8° N, 6.75° E; c) 46.09° N, 10.07° E; d) 46.86° N, 9.06° E. Source of all Sentinel-2 images shown in the background: Copernicus Sentinel data (2015 and 2016).
Fig. 5: Relative frequency histograms for glacier count and area per a) size class and b) aspect sector for all glaciers.

Fig. 6: Glacier area vs. a) minimum and maximum elevation and b) elevation range for all glaciers.

Fig. 7: Spatial distribution of median elevation (colour coded) for glaciers larger 0.5 km\(^2\). The inset shows a scatterplot depicting glacier aspect (counted from North at 0/360°) vs. median elevation and values averaged for each cardinal direction.

Fig. 8: Normalised glacier hypsometry per country as derived from the AW3D30 DEM.

Fig. 9: Visualisation of the strong glacier area shrinkage between 2003 (yellow) and 2015 (red) for a sub-region of the Zillertal Alps (Austria and Italy). The yellow cross in the middle right is marking the coordinates 47.0° N and 11.88° E. Sentinel-2 image source: Copernicus Sentinel data (2016).

Fig. 10: Overlay of glacier outlines from 2003 (black) and 2016 (yellow) showing the different interpretation of glacier extents for the region around Sonnblickkees (SBK) in Austria. The black cross in the lower right is marking the coordinates 47.12° N and 12.6° E. Sentinel-2 image source: Copernicus Sentinel data (2016).

Fig. 11: Overlay of glacier outlines from the multiple digitising experiment by all participants. Colours refer to the first (yellow), second (red), third (green) and fourth (white) round of digitisation. The white cross in the upper right is marking the coordinates 46.0° N and 7.5° E. Sentinel-2 image source: Copernicus Sentinel data (2015).
Figures

Figure 1

Figure 2
Figure 3

Figure 4
Figure 5

Figure 6:

Figure 7
Figure 8

Figure 9
Figure 10

Figure 11