

Anonymous Referee #1

General comments:

1. The authors used the glacier boundaries and region divisions from RGI dataset 5.0. We know that both have been updated to the 6th version in 2017. Despite the small differences between the two versions in glacier divisions, the authors should carefully examine the differences in glacier boundaries between the two versions and use the latest version as a basis because it is important for an up-to-date glacial lake inventory. Also, the authors merged some subregions in this study, which is different with the original region divisions in RGI dataset 5.0. I suggest the authors use the region divisions provided by RGI dataset 6.0 directly, if not, the authors should give the reasons for such mergers.

Based on your suggestion, the RGI dataset 6.0 has been used to recalculate the buffer zone of 10 km from modern glacier terminals in the revised version. We have carefully reexamined and updated the glacial lake inventory of HMA based on the buffer zone of 10 km from modern glacier terminals of RGI dataset 6.0. All the descriptions and results based on RGI 5.0 in the previous version have been updated simultaneously. In all, the total area of buffer zone increased from $1.19 \times 10^6 \text{ km}^2$ to $1.25 \times 10^6 \text{ km}^2$, and new Landsat images have been collected to fill the gaps between the two buffer zones calculated by RGI dataset 5.0 and 6.0 respectively. 1117 and 1169 glacier lakes with a total area of 113.77 km^2 and of 124.22 km^2 in 1990 and 2018 have been newly recorded in a 10 km buffer area of glacier terminals of RGI 6.0 respectively compared with in a 10 km buffer area of glacier terminals of RGI 5.0. The updated data is shared at <http://www.crensed.ac.cn/portal/metadata/706ce17f-1684-4e8d-bf5e-7d517e03693c>.

There are 16 subregions in HMA region according to RGI dataset 6.0. However, relatively fewer glacier lakes in 2018 survived in some subregions such as 235 and 572 glacial lakes with an area of 13.94 and of 24.91 km^2 in Qilian Shan and E Kun Lun, 264 and 706 lakes with an area of 44.97 and of 47.29 km^2 in W Kun Lun Shan and Karakoram, 200 and 1624 lakes with an area of 11.01 and of 89.99 km^2 in Hissar Alay and W Tien Shan. Characteristics presented by too small sample lakes in these subregions appeared incongruous. Thus, E Kun Lun and Qilian Shan, Hissar Alay and W Tien Shan, Karakoram and W Kun Lun were merged respectively according to geomorphology and their climatic background characteristics. The rest of 10 subregions are the same as RGI dataset 6.0. Finally, we get 13 subregions in this study.

2. The authors got the total uncertainty of the lake area for the entire study region or subregions only by adding the uncertainty of each lake area. It would be wrong because the accumulation of errors should be based on error propagation theory rather than simple addition. I suggest the authors to download the document from the link: http://ipl.physics.harvard.edu/wpuploads/2013/03/PS3_Error_Propagation_sp13.pdf, which include the detailed introductions on how errors are propagated.

Yes, error propagation wasn't considered in the previous version. A single lake area uncertainty may be either overestimated or underestimated by the formula (2) of the manuscript. Thus, it was wrong to calculate the total uncertainty only by adding the uncertainty of each lake area. We have recalculated uncertainty of the lake area for the entire study region or subregions by the following formula according to the suggested document (link: http://ipl.physics.harvard.edu/wpuploads/2013/03/PS3_Error_Propagation_sp13.pdf):

$$E_T = \sqrt{\sum_{i=1}^n a_i^2}$$

where " E_T " is the area error of the entire study region or subregions, " i " is number of the lake in the entire study region or subregions, and " a " is the error area of a single lake. We have updated the uncertainty contexts in line of P15 L1–5 in the revised manuscript.

3. For the High Mountain Asia, there are a lot of regional or river basin-based studies have been made on dynamics and evolutions of glacial lakes, and their potential hazard and risk assessments also primarily based on satellite images and GIS technology. Based on this, many glacial lake datasets have been produced, hence I suggest the authors add a sub-section at the end to collect and compare these regional or basin-based datasets with the dataset produced by the authors. It is important for data paper and will improve this manuscript.

This is a very precious suggestion. We have accordingly collected the available documents or datasets investigating the glacial lake in HMA and have excerpted a Supplementary Table S1. The description about dataset comparisons has been added in a sub-section of "**comparison and limitation**"(P19 L11-P20 L21).

There are at least 34 published reports or datasets on the regional extent of glacial lakes in the HMA area, which are based on various lake boundary extraction methods and different data sources (see Supplementary Table S1). The previous research work examined glacial lakes from as early as 1962 up until 2017. However, it is difficult to evaluate any discrepancy comprehensively because glacial lake distribution was examined in different extents and thresholds of minimum lake area were used inconsistently. Therefore, glacial lake inventory data of the Third Pole region in 1990 (Zhang et al., 2015) and of the HMA (Chen et al., 2020) in 2017 have been used for comparison because both recorded glacial lakes in the same buffer zone (i.e., within 10 km of the modern glacier extent) and over similar periods. For the comparison, same thresholds and regions have been adopted for the inventory data. Marked discrepancies have been found to exist between the different datasets in terms of both the number and the area of the glacial lakes. In 1990, only 4601 glacial lakes ($\geq 0.0054\text{km}^2$) with a total area of 554.33km^2 were recorded by Zhang et al. (2015), whereas 20,410 glacial lakes with a total area of 1376.23 km^2 have been catalogued in the Third Pole region in this study. In 2017, 14,477 glacial lakes with a total area of 1635.94 km^2 were recorded by Chen et al. (2020), whereas, we have recorded 22,727 glacial lakes ($\geq 0.0081\text{ km}^2$) with a total area of 1726.41 km^2 in 2018 in HMA (excluding Altai and Sayan). We consider the discrepancies attributable to three primary factors. (1) The buffer zone within 10 km of the modern glacier extent is inconsistent between the data sets because different glacier inventories have been used. (2) Different operatives have catalogued the glacial lakes using different remote sensing data covering different periods. (3) Many glacial lakes were possibly missed because of comparatively less manual vectorization effort involved in the work of Zhang et al. (2015) and Chen et al. (2020). Overall, our glacial lake inventory has catalogued glacial lakes throughout the entire HMA more comprehensively and with more careful error assessment compared with available glacial lake data sets from regional or river-basin-based studies.

Table 1. The comparison of glacial lake amount from the documents of Zhang et al. (2015) and Chen et al. (2020) with that from this manuscript

Region	Year	Numbers	Area (km ²)	Minimum Area (km ²)	Reference
The Third Pole region	1990	4601	554.33	0.0054	Zhang et al., 2015
	1990	20410	1376.23		Wang et al., 2020
HMA (Altai mountains excluded)	2017	14477	1635.94	0.0081	Chen et al., 2020
	2018	22727	1726.41		Wang et al., 2020

4. The language of this paper still needs further polishing due to some inappropriate sentence's constructions. I had trouble in understanding and following some sentences, and suggest seeking a professional editor before publication.

The language of the revised manuscript has been polished by a professional language retouching company.

Specific comments:

P1 L1: Please rephrase the title so that it can contain a more explicit time information because the present title seems to be a long time series dataset, but the authors only provided two periods of dataset.

The title of manuscript has been changed into "Glacial lake inventory of High Mountain Asia in 1990 and 2018 derived from Landsat images"

P3 L28: : : : are shown in Figure 1.

It has been revised.

P3 L29: It would be better to include the specific latitude and longitude ranges.

It has been revised as "This region (26°–54°N, 67°–104°E)"

P4 L7: Add the reference(s) for the annual average glacier meltwater.

We are sorry to say that we cannot find the source of "an average meltwater volume of 110–150 km³ a⁻¹". For this reason, we have used a new data of glacier negative mass balance of -150±110 kg m⁻² a⁻¹ in HAM to replace it. This information is sourced from "Hock, R., Rasul G., Adler C., Cáceres B., Gruber S., Hirabayashi Y., Jackson M., Kääb A., Kang S., Kutuzov S., Milner A., Molau U., Morin S., Orlove B., and Steltzer H. 2019. High Mountain Areas. In: IPCC Special Report on the Ocean and Cryosphere in a Changing Climate [Pörtner H.-O., Roberts D.C., Masson-Delmotte V., Zhai P., Tignor M., Poloczanska E., Mintenbeck K., Alegría A., Nicolai M., Okem A., Petzold J., Rama B., Weyer N.M. (eds.)]".

P6 L15: 4.2

It has been revised.

P7 L5: Landsat TM/ETM+.

It has been revised.

P7 L11-13: Provide more information about this step, i.e., how to determine the thresholds for

different regions?

In the present study, we have determined the optimal thresholds in each region or image. By considering the edge effects according to the mixed pixels, this study firstly selects a lower optimal threshold (approx. -0.1) for specific images to obtain the maximum water body. Then, higher thresholds are tested for visual water extraction before a suitable threshold (varied in the range of -0.10 to 0.20) is selected. It has been explained in line P7 L12–19.

P7 L14: For the High Mountain Asia, a lot of regional or basin-based studies on glacial lakes have been made during the past decades. Hence, it is a better choice that the authors can collect these published glacial lake data to help identify and locate the glacial lakes except for the method the authors used because we know the water bodies automatically extracted contains many errors because of mountain shadows and snow cover.

This is a valuable suggestion. We have accordingly collected and used the available glacial lake data in HMA (see Supplementary Table S1) to identify and locate the glacial lakes when the glacial lake inventory of HMA was reexamined and updated.

P9 L3: Please check this reference format: Weicai et al., 2014

It has been revised as “Wang et al., 2014”.

P10 L25-27: Please provide more details on how to use these ancillary data to distinguish the glacial lake types because it is important as a guide for similar studies in the future and in fact, we know that the subsurface channels are ubiquitous. Have the authors considered this problem and how to solve it?

We have distinguished the glacial lake types of glacier-fed from the non-glacier-fed by whether or not a glacial lake can possibly receive surface meltwater from the modern glacier (Fig. 1). We have recorded a glacier-fed lake based on the following facts: (1) a lake has a lower elevation than modern glacier (mother glacier); (2) the mother glacier(s) melting water can visually flow into lake through surface flow route assisted by 3D digital terrain imagery from Google Earth; (3) all the glacial lakes were visually examined one by one.

Theoretically, the boundary of glacial lake basin and melting water surface flow route can be calculated based on DEM data which undoubtedly would contribute to distinguishing the glacier-fed lake from the non-glacier-fed lake. Practically, we tried but failed to do this at the present stage for no appropriate DEM data with satisfactory resolution was obtained since so many small glacial lakes survived in HMA. Nevertheless, we will further focus on this in the future work.

The glacial lake fed by melting water through subsurface channels is a common phenomenon and little field-surveyed work about this has been reported. We choose to ignore this issue as it is difficult to survey the subsurface channels of glacial lakes from remote sensing data. In addition, lake type is distinguished from topographic features of the lake basin and modern glaciers. In most cases, the lake can be possibly fed by melting water both from subsurface channels and surface route.

We have added the explanation in line P11 L1–9.

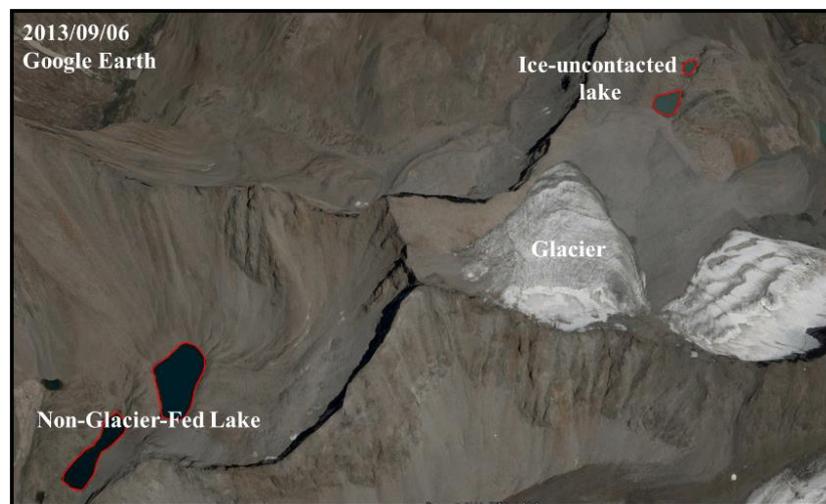
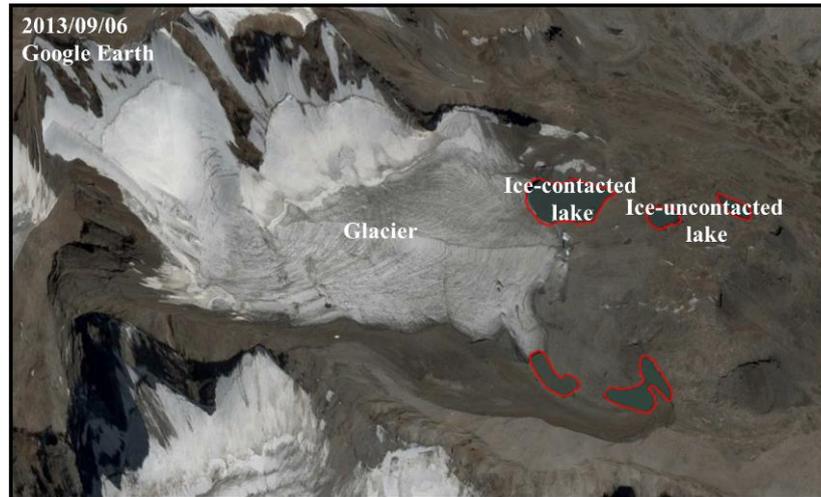


Fig. 1 Different types of glacial lakes distinguished from 3D digital terrain imagery from Google Earth

P16 L3-4: This description is the opposite of that in the legend in Figure 6. In fact, it can be removed because it is already clear in the legend.

It has been deleted.

Anonymous Referee #2

General comments:

1. Currently, the authors give a wrong link to download the sharing data that is unavailable or need a registered account to download the data, it is not convenient. Actually, I find it is okay to directly download without any registration at <http://www.crsned.ac.cn/portal/metadata/706ce17f-1684-4e8d-bf5e-7d517e03693c>, so, why not replace with this link in the text?

It has been replaced by the new link.

2. There are many reference errors in the current text that have to be corrected carefully, I did not find all of them out, the authors have to go through. Such as, Yang et al., 2019 in the text but not listed in the reference section, P19-L24, Chaohai L, wrong surname, the same to P20-L1, L4, P21-

L20, P23-L10.

We have carefully rechecked all the references in the text and reference list and corrected the errors and mistakes.

3. A technical question, how do you distinguish non-glacial lakes within 10-km of reference glaciers with defined glacial lake? For example, the 2010 Ataabad landslide dammed lake. In the alpine area of HMA, there are many landslide-dammed lakes that have no relationship with glaciology but are mainly supplied by glacier meltwater. Could you provide more detailed information about this?

The lakes related to glaciers or glaciation in the alpine cryosphere (defined within 10 km-buffer area of glacier terminals) have all been recorded as glacial lakes. Operationally, all the lakes located at the 10 km-buffer area of glacier extents have been recorded as glacial lakes in this manuscript. Some lakes which have no relation to glaciers or glaciation (i.e., non-glacial lakes) in the alpine cryosphere were possibly cataloged. We arbitrarily think the number of non-glacial lakes is relatively small and recorded all the lakes within 10 km-buffer area of glacier extents as (1) it is difficult to distinguish these non-glacial lakes from glacial lakes based on remote sensing data and (2) recoding all the lakes is conducive to evaluating the entire water source in the alpine cryosphere. Thus, the non-glacial lakes are usually not distinguished if located near the modern glaciers when glacial lake inventory is carried out in most documents.

We have tried to exclude the lake formed by man-made dam and/or long and narrow water body on river which could be river flood or wetland distributed along river. The Ataabad lake dammed by landslide in Huza river has been fed by glacier melting water since it was formed in Jan., 2010. By definition, the naturally formed Ataabad lake is located within 10 km-buffer area of glacier extent and received melting water should be recorded as glacial lake. Unfortunately, Ataabad lake was falsely catalogued in the previous inventory due to its long and narrow shape (~23km long). We have added the Ataabad lake in our updated glacial lake inventory of HMA. Furthermore, the long and narrow water bodies (usually from several kilometers long to tens) developed along river have been reexamined in the updated glacial lake inventory.

The technical comments have been discussed in the adding section of “7 comparison and limitation”.

4. About the minimum mapping unit, how do you consider selecting 0.0054 km² as a threshold value? Currently written as “the minimum glacial lake area recorded was set at 0.0054 km² (e.g., 3–4 pure lake water body pixels with approximately 12 mixed boundary pixels) because a lake area covering fewer than three pure lake water pixels could possibly have an error of >100 %”, I am confused by this writing. My understanding is that it is difficult to digitize 3-4 pixels by manual interpretation. Otherwise, it is 6 pixels, equaling to 0.0054 km² using Landsat images.

I am sorry to make mistakes about the expressions. The manual delineation was required for approximately 1/2, 1/8, or 7/8 of the peripheral mixed pixels surrounding pure lake water body pixels. For example, in Fig. 1A, three pure lake water body pixels were possibly surrounded by maximum twelve peripheral mixed pixels. Theoretically, the ratio of pure lake water body pixels area to mixed peripheral mixed pixels area can be:

$$\frac{\text{Area of peripheral mixed pixels}}{\text{Area of three pure lake water body pixels}} \times 100\% = \frac{6 \times \frac{1}{2} + 5 \times \frac{1}{8} + 1 \times \frac{7}{8}}{3} \times 100\% = 150\%.$$

Similarly, in Fig. 1B, four pure lake water body pixels were also possibly surrounded by maximum twelve peripheral mixed pixels, and the ratio of pure lake water body pixels area to mixed peripheral

mixed pixels area can be:

$$\frac{\text{Area of peripheral mixed pixels}}{\text{Area of four pure lake water body pixels}} \times 100\% = \frac{8 \times \frac{1}{2} + 4 \times \frac{1}{8}}{4} \times 100\% = 112.5\%.$$

Then when area error within one standard deviation (1σ) (Hanshaw and Bookhagen, 2014) was considered (i.e., the error adjusted coefficient of 0.6872 was used), the error (1σ) of lake area with three pure lake water body pixels and four lake water body pixels can be expressed as:

$$E = \frac{\text{Area of peripheral mixed pixels} \times 0.6872}{\text{Area of three pure lake water body pixels}} \times 100\% = 1.5 \times 0.6872 \times 100\% = 103.1\%.$$

And

$$E = \frac{\text{Area of peripheral mixed pixels} \times 0.6872}{\text{Area of four pure lake water body pixels}} \times 100\% = 1.125 \times 0.6872 \times 100\% = 77.3\%.$$

So, in the Landsat images, 3–4 pure lake water pixels could possibly be surrounded by approximately 12 mixed boundary pixels with the total area equivalent to about 3 peripheral mixed pixels, i.e., 6 pixels area (equals to 0.0054 km²) or more in total lake water body. When the threshold of 3–4 pure lake water pixels is set as minimum recorded lake water body, the uncertainty could theoretically amount to about 100%, and a lake area covering fewer than three pure lake water pixels could possibly have a relative error of >100 %.

We have further rewritten the arduous expressions (P12–L16–30) and Figure 4. has also been reedited. (P13–L1–8)

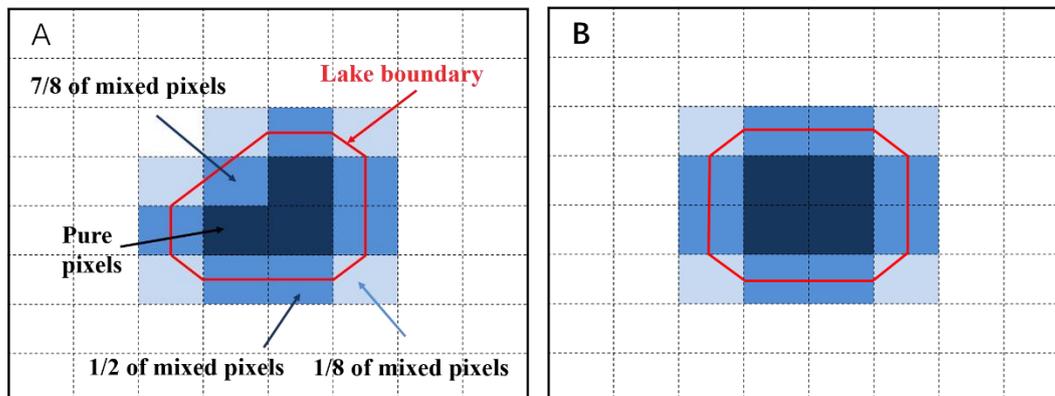


Fig. 1 Sketch maps showing the 3–4 pure lake water body pixels with approximately 12 mixed boundary pixels

Reference:

Hanshaw, M. N., and Bookhagen, B.: Glacial areas, lake areas, and snow lines from 1975 to 2012: status of the Cordillera Vilcanota, including the Quelccaya Ice Cap, northern central Andes, Peru, *The Cryosphere*, 8, 359-376, doi:10.5194/tc-8-359-2014, 2014.

5.I suggest writing a further revision plan in the end of this draft to point out the shortage of current glacial lake data. Actually, the data have been published in a data sharing platform, and some errors exist inevitably in terms of two times manually vectorization for the same lake, for example, induced by wrongly digitizing, maybe operated by different operatives. Do you have any plan to update the data? Once the data updated where to share? In what kind of ways?

Several limitations deserve proper consideration when using the glacial lake inventory data. First, a degree of uncertainty has resulted from using Landsat image data that covered different periods, i.e., both interannually and seasonally. Although images acquired in summer or autumn (June–November) have been set as optimal choices, the selected images covered most seasons of the year, e.g., the images selected in June–November accounted for only 72.3 and 88.8 % of the total number in 2018 and 1990, respectively. Interannually, images were selected from a span of 10 years (1986–1995) and 4 years (2016–2019) to obtain sufficient high-quality images of the HMA area. Second, this study has recorded all lakes located within the 10 km buffer area of glacier extent as glacial lakes. Therefore, certain lakes that have no relation to glaciers or glaciation (i.e., non-glacial lakes) in the alpine cryosphere were potentially catalogued in error because of the difficulty in distinguishing non-glacial lakes from glacial lakes based on remote sensing data. Third, we have identified water bodies related to glaciers or glaciation in the alpine cryosphere as glacial lakes. However, in many cases, it was difficult to determine whether such bodies should be recorded as glacial lakes, e.g., cases of long narrow water bodies on rivers and cases where the number of pure water body pixels was small. Thus, some errors and inconsistencies were inevitable because the lake boundary vectorization and inspection were performed by different operatives. In the future, this glacial lake inventory will be updated and shared on the National Special Environment and Function of Observation and Research Stations Shared Service Platform (China), and further water source evaluation and hazards assessment would be carried out in our next research schedule.

The main limitations of current glacial lake inventory data have been added in line (P20–L5–21).

6. I also suggest authors to polish the language once again, and some sentences are arduous to follow.

The language of the revised manuscript has been polished by a professional language retouching company.

Specific comments:

P1-L26, update the link

It has been updated.

P2-L25, recognizing→revealing

It has been modified.

P3-L6, Yang et al., 2019, not listing in the reference; L18, a Landsat imagery series?

The reference of Yang et al., 2019 has been added; “a Landsat imagery series” has been modified as “Landsat images”

P4-L5, only Antarctic? What about Arctic? L8 “the primary source of both lake basin formation”, I think no relationship.

L5: It has been modified as “The HMA area has the largest surviving glaciers of any region other than the polar regions”

L8: It has been modified as “which was the primary source of water supply for the development of glacial lakes”

P5-L13, suggest revise as circa 1990 and circa 2018.

It has been revised.

P6-L19, 20, what scale do you keep while an operator did computer screen vectorization of mixed pixels?

The viewing scale of 1 : 10000 has been kept and it has been modified as “e.g., viewing scale of 1:10,000 on a computer screen vectorization of mixed pixels”.

P7-L12, -0.1 of NDWI to extract lake extent? Generally, this value is greater than 0.

Liu et al. (2016) and Du et al. (2014) suggested that it might be preferable to set the optimized threshold of $NDWI_{GREEN/NIR}$ of Landsat OLI images to -0.05 . By considering the edge effects according to the mixed pixels, this study has initially selected a lower optimal threshold (approx. -0.1) for specific images to obtain the maximum water body (Fig. 2). Then, higher thresholds have been tested for visual water extraction before selecting the suitable threshold (varied in the range of -0.10 to 0.20).

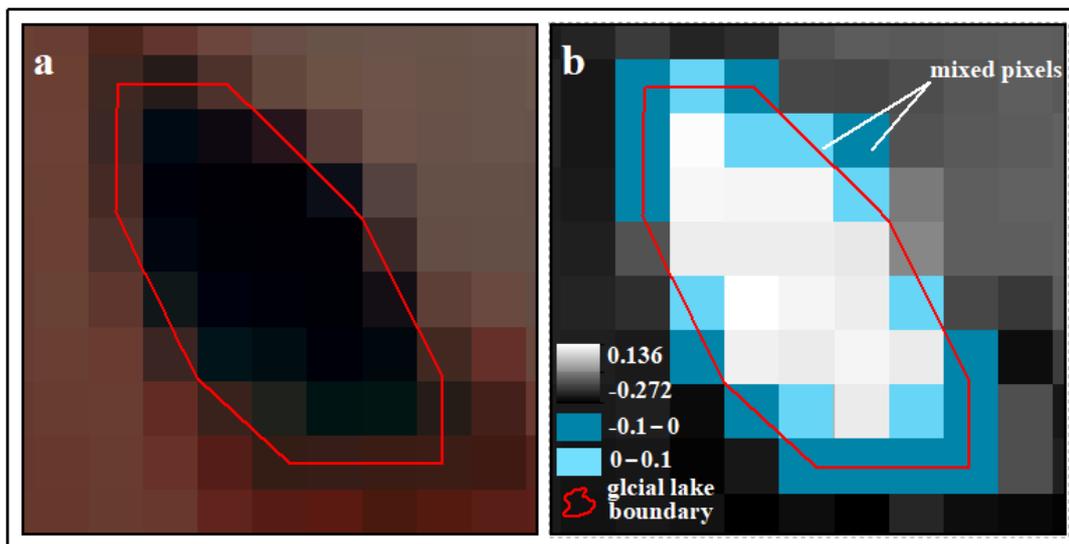


Fig. 2 An example showing the optimal thresholds for $NDWI_{GREEN/NIR}$. (a) original OLI image taken in 2018; (b) the $NDWI_{GREEN/NIR}$ image

Reference:

Du, Z., Li, W., Zhou, D., Tian, L., Ling, F., Wang, H., Gui, Y., and Sun, B.: Analysis of Landsat-8 OLI imagery for land surface water mapping, *Remote Sens. Lett.*, 5, 672-681, doi:10.1080/2150704x.2014.960606, 2014.

Liu, Z., Yao, Z., and Wang, R.: Assessing methods of identifying open water bodies using Landsat 8 OLI imagery, *Environ. Earth Sci.*, 75, doi:10.1007/s12665-016-5686-2, 2016.

P9-L3, Weicai et al.,?

It has been revised as “Wang et al., 2014”.

P10-L3, 10 km from modern glacier terminals? Or glacier extent?

The “glacier extent” may be more exact and the relative expressions has been revised.

P10-L12-15, given a reasonable classification of lakes, why did not you take this?

The classification schema by Yao et al. (2018) is difficult to carry out based on available remote

sensing data for so large area as HMA. For it is a little difficult to distinguish glacial lake type in terms of material properties, topographic features, and phase of lake formation using remote sensing imagery.

P10-L19-22, it is not clear what your point is? “because of the lack of sufficient amounts of remote sensing data with appropriate resolution.”

It has been revised as “because of the lack of remote sensing data with satisfied spatial resolution.”

P10-L23, two types: glacier-fed lakes and non-glacier-fed lakes? The significance becomes very limited by too simple classification system. Maybe more types, such as pro-connected lake and supraglacial lake, be cataloged. But being cautious, once you modified the data, meaning that you have to update the sharing data on the platform online.

The glacier-fed lakes were further subdivided into three sub-classes: supraglacial lakes (lakes developed on glacier surface), ice-contacted lakes (lakes contacting the glacier terminal or margin), and ice-uncontacted lakes (lakes not contacting the glacier but fed directly by glacial meltwater). We have updated attribute items in the datasets and resubmitted them on the platform online (<http://www.crsned.ac.cn/portal/metadata/706ce17f-1684-4e8d-bf5e-7d517e03693c>).

It has been added in P10–L24-27.

P13-L14,15, why did not you record the date of used images? Only recorded the month and year?

The date of used images has been input in the attribute item of Lake time phase.

P14-L21, Narrate the accuracy of Trimble GeoXH6000 for a better understanding about the validation.

The accuracy of Trimble GeoXH6000 is in decimeter and has been added in line of P15–L18, L19.

P16, Figure 6, suggest adding a scale bar for each subset.

It has been added.

P16-L13 The HMA glacial lakes are located within the elevation range of 1600–6300m. while, P17-L10, L11, maximum distribution elevation of 6078 m in 1990 rising to 6247 m in 2018. Maybe use the relatively accurate value of elevation.

The accurate elevation value of 1357–6247m has been used to replace the elevation range of 1600–6300m in P17–L13.

P17, Back up to previous error, in Figure 7, the maximum X axis value is 6000 m, so you miss your lakes with maximum elevation.

I am sorry to miss the maximum X axis value and it has been updated to 6300m.

P18, L1, L2, How to prove that no observable trends were discovered in Karakoram and Western Kun Lun, Western Himalaya?

I am sorry that we presented an arduous expression. The sentence has been revised as “The expansion rate varied markedly and no observable trend in the rate of increase or decrease with elevation were discovered in Karakoram and Western Kun Lun, Western Himalaya, and Inner Tibet”.

P18, suggest adding a section about the shortage and updating plan for this data, putting before Data availability

A section about the comparison, shortage and updating plan for this data has been added.

P18, replacing the existing link

It has been replaced.

P18, rewrite the sentences in L23-26, it is unclear. “Lake area expanded most in the higher elevation bands during 1990–2018. The data set has been developed as basic data for cryosphere hydrology research; however, it is expected that it could support practical utilization and management of water resources and assessment of glacier-related hazards in the HMA region”

It has been rewritten as “The data set is expected to provide basic data to support the cryosphere hydrology research, water resources utilization and management, and assessment of glacier-related hazards in the HMA region.”

1 **Glacial lake inventory of High Mountain Asia in 1990 and** 2 **2018 (1990 and 2018) derived from Landsat images**

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13 **Abstract.** There is currently no glacial lake inventory data set for the entire High Mountain Asia (HMA)
14 area. The definition and classification of glacial lakes remain controversial, presenting certain obstacles
15 to extensive utilization of glacial lake inventory data. This study integrated glacier inventory data and
16 ~~426-668~~ Landsat TM/ETM+/OLI images, and adopted manual visual interpretation to extract glacial lake
17 boundaries within a 10-km buffer from glacier ~~terminals-extents~~ using ArcGIS and ENVI software,
18 normalized difference water index maps, and Google Earth images. The theoretical and methodological
19 basis for all processing steps including glacial lake definition and classification, lake boundary
20 delineation, and ~~error-uncertainty~~ assessment are discussed comprehensively in the paper. Moreover,
21 detailed information regarding the coding, location, perimeter and area, area error, type, time phase,
22 source image information, and sub-regions of the located lakes is presented. It was established that
23 ~~26,08927,205~~ and ~~28,95330,121~~ glacial lakes (~~size: 0.0054–6.46 km²~~) in HMA, ~~with sizes of 0.0054–~~
24 ~~5.83 km²~~, covered a combined area of ~~1692.74 ± 231.44~~ ~~1806.47 ± 2.11~~ and ~~1955.94 ± 259.68~~ ~~2080.12 ±~~
25 ~~2.28~~ km² in 1990 and 2018, respectively. The data set ~~is~~ now ~~is~~ available ~~from~~ the National Special
26 Environment and Function of Observation and Research Stations Shared Service Platform (China) ~~at:~~
27 ~~<http://dx.doi.org/10.12072/casnw.064.2019.db>~~
28 ~~<http://www.crensed.ac.cn/portal/metadata/706ce17f-1684-4e8d-bf5e-7d517e03693c>~~ ~~(Wang et al.,~~
29 ~~2019a).~~

1 **1 Introduction**

2 Under the background of climate warming and the consequent widespread mass loss of glaciers in
3 alpine regions, increasing volumes of glacial meltwater are being released. This results in glacial lake
4 expansion and extended areas of low-lying terrain (e.g., depressions and troughs) left behind by
5 retreating glaciers in which water can accumulate and new glacial lakes can form (Clague and Evans,
6 2000; Mool et al., 2001; Song et al., 2016). As both a water resource and a source of flash flood/debris
7 flow hazards, glacial lakes participate in several natural processes, e.g., regional energy and water cycles
8 (Slemmons et al., 2013), act as both indicators and containers of environmental information (Wang et al.,
9 2016, 2019b; [Zhang et al., 2019](#)), and drive hillslope erosion and landscape evolution (Cook et al., 2018)
10 in the alpine cryosphere. On the one hand, glacial lakes act as temporary storage for the meltwater
11 resource because a considerable amount of meltwater is retained by glacial lake expansion, e.g.,
12 approximately 0.2 % a⁻¹ of the total glacial meltwater was reserved in ~~the~~ glacial lakes from 1990 to
13 2010 in the Tien Shan Mountains in Central Asia (Wang et al., 2013). On the other hand, given the
14 worldwide expansion in lake area in recent decades, the potential will increase for glacial lakes to
15 develop into glacial lake outburst floods and related debris flows that could threaten downstream
16 residents, infrastructure, and regional ecological and environmental security (Huggel et al., 2002;
17 ICMOD, 2011; Bolch et al., 2012; Haebeli et al., 2016). Thus, glacial lakes perform important roles
18 both in the meltwater cycle and in glacier hazard evolution in the cryosphere.

19 Following the rapid development of remote sensing technology and computer science, remote
20 sensing imagery acquired by various satellites and sensors has been used widely in glacial lake research.
21 In particular, Landsat imagery has become the most important data source for dynamic investigation of
22 glacial lakes because of its wide coverage, continuous and long-term temporal sequence, and
23 accessibility. Based on remote sensing data, both the distribution and the characteristics of change of
24 glacial lakes in the mountains and watersheds in the High Mountain Asia (HMA) region have been
25 widely reported ([Supplementary Table S1](#)). For example, multi-source remote sensing imagery has
26 been used to compile glacial lake inventories for regions of the Tibetan Plateau (Zhang et al., 2015),
27 Tien Shan Mountains (Wang et al., 2013), Himalaya (Gardelle et al., 2011; Nie et al., 2017), Hengduan
28 Mountains (Wang et al., 2017), Uzbekistan (Petrov et al., 2017), Pakistan (Senese et al., 2018), and
29 HMA, excluding Altai and Sayan (Chen et al., 2020). These inventories have proved an important
30 data resource both for revealing~~recognizing~~ the spatiotemporal characteristics of glacial lakes and for
31 understanding the response of glacial lakes to the effects of climate change in these regions.

1 Automatic and semi-automatic glacial lake boundary vectorization approaches have been used
2 most widely in regional glacial lake investigations because of their higher efficiency and objectivity in
3 comparison with manual visual vectorization. In such research, water bodies are usually determined
4 based on the characteristics of different remote sensing bands and computer-dependent algorithms, e.g.,
5 the normalized difference water index (NDWI), band ratio, support vector machine, decision tree,
6 spectral transformation, object-oriented classification, global–local iterative scheme, active contour
7 model, and random forest (Gardelle et al., 2011, Huggel et al., 2002, Li et al., 2011; Veh et al., 2018,
8 Zhang et al., 2018). However, manual post-processing is often required to calibrate the uncertainties
9 that could easily be produced by the above approaches. Furthermore, the labour costs associated with
10 rectification of lake boundary errors increase sharply with increasing complexity of study area terrain
11 (Yang et al., 2019). With consideration of the accuracy, efficiency, and time overheads associated with
12 the various vectorization approaches, a manual vectorization approach was adopted for investigation of
13 the glacial lakes on the Tibetan Plateau (Zhang et al., 2015) despite the labour requirements and [the](#)
14 anticipated additional errors produced by individual subjectivity (Nie et al., 2017; Yang et al., 2019;
15 Song et al., 2014).

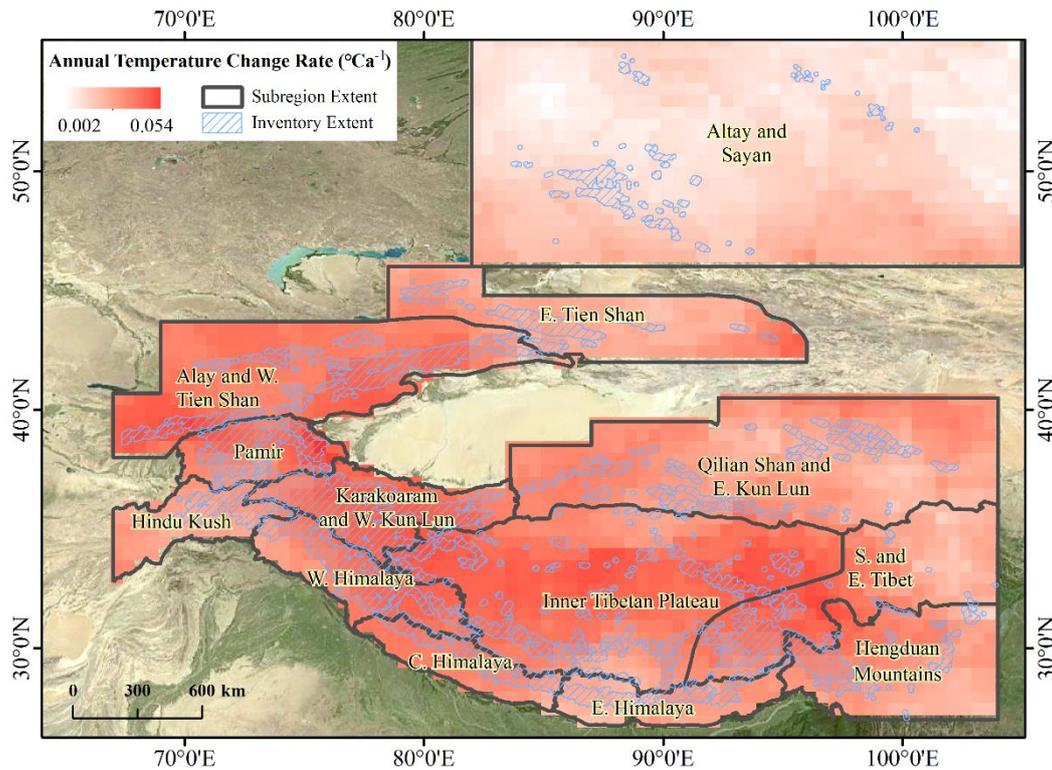
16 Controversies and knowledge gaps remain regarding available glacial lake inventories for different
17 alpine cryosphere regions, which present certain obstacles to extensive utilization of glacial lake
18 inventory data. The main problems relate to regional differences in lake development, inconsistent
19 specifications of lake definition, and the adoption of various approaches [regarding](#) lake interpretation
20 (Yao et al., 2018). There is no existing comprehensive glacial lake inventory for the entire HMA and
21 knowledge regarding the spatiotemporal characteristics of glacial lakes in this region [remains](#)
22 incomplete. The objectives of this study were to fill this knowledge gap by producing a glacial lake
23 inventory data set for HMA derived from [a-Landsat images imagery series](#), and to provide fundamental
24 data for water resource evaluation, assessment of glacial lake outburst floods, and glacier hydrology
25 research in the mountain cryosphere region.

26 **2 Study area**

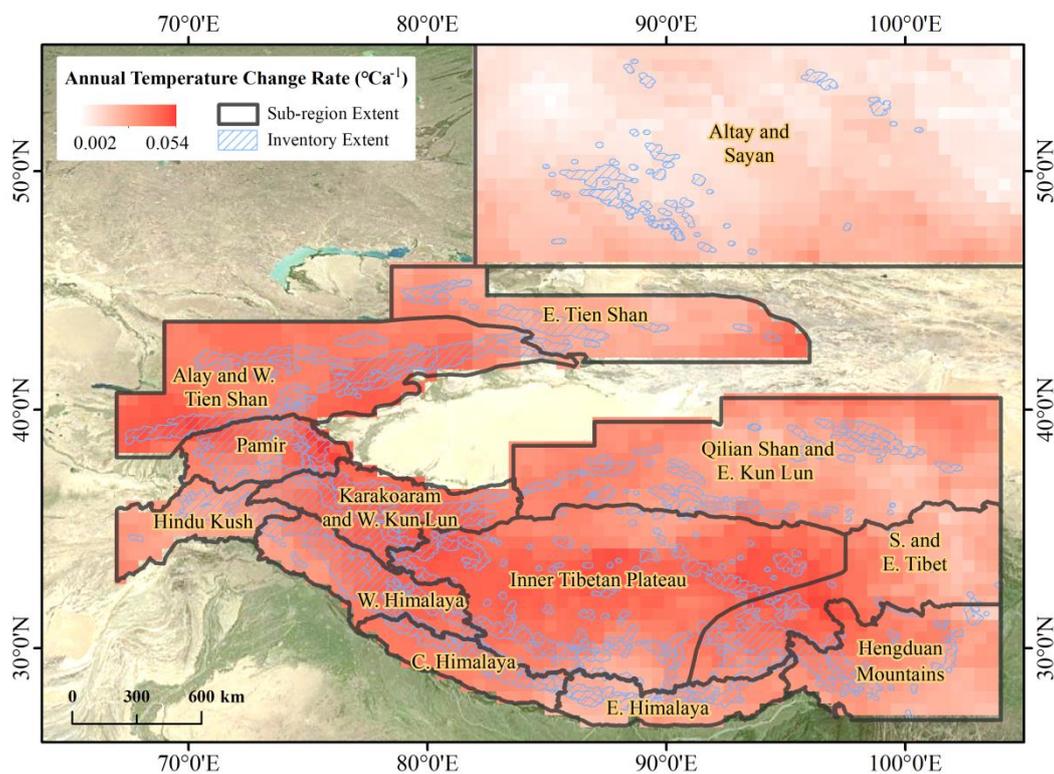
27 The HMA area mainly comprises the Tibetan Plateau and surrounding alpine ranges. The area is
28 divided into 13 sub-regions in version 5 of the Randolph Glacier Inventory (RGI [56.0](#)), i.e., the
29 Himalaya area (Western Himalaya, Central Himalaya, and Eastern Himalaya), Hengduan Mountains,
30 Southern and Eastern Tibet, Inner Tibetan Plateau, Karakoram and Western Kun Lun, Qilian Shan and
31 Eastern Kun Lun, Hindu Kush, Pamir, Alay and Western Tien Shan, Eastern Tien Shan, and Altay and

1 Sayan (Arendt et al., 2014; Pfeffer et al., 2014). The boundaries of the 13 sub-regions and outlines
 2 of the glaciers in HMA derived from RGI 56.0 are shown in Figure Figure Fig-1. This region covers an
 3 area that 40°–67°–104° in longitude and (26°–54°N, 67°–104°E)–27° in latitude, which is characterized
 4 by tremendously complex topographic conditions with widespread distribution of mountain glaciers.
 5 According to the Climatic Research Unit Time Series v4.02 data set
 6 (http://data.ceda.ac.uk/badc/cru/data/cru_ts/cru_ts_4.02/), the air temperature of the different
 7 sub-regions in HMA increased at an average annual rate of 0.002–0.054 °C a⁻¹ during 1990–2018 (Fig.
 8 1). The annual rate of change of precipitation in HMA during 1990–2018 varied from –9.9 to 4.2 mm
 9 a⁻¹ with a small average rate of increase of 0.3 mm a⁻¹.

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1
 2 Figure 1. Location of sub-regions, rate of change of air temperature (1990–2018), and buffer area within
 3 10 km of glacier ~~terminals extents~~ for glacial lake inventory of High Mountain Asia.

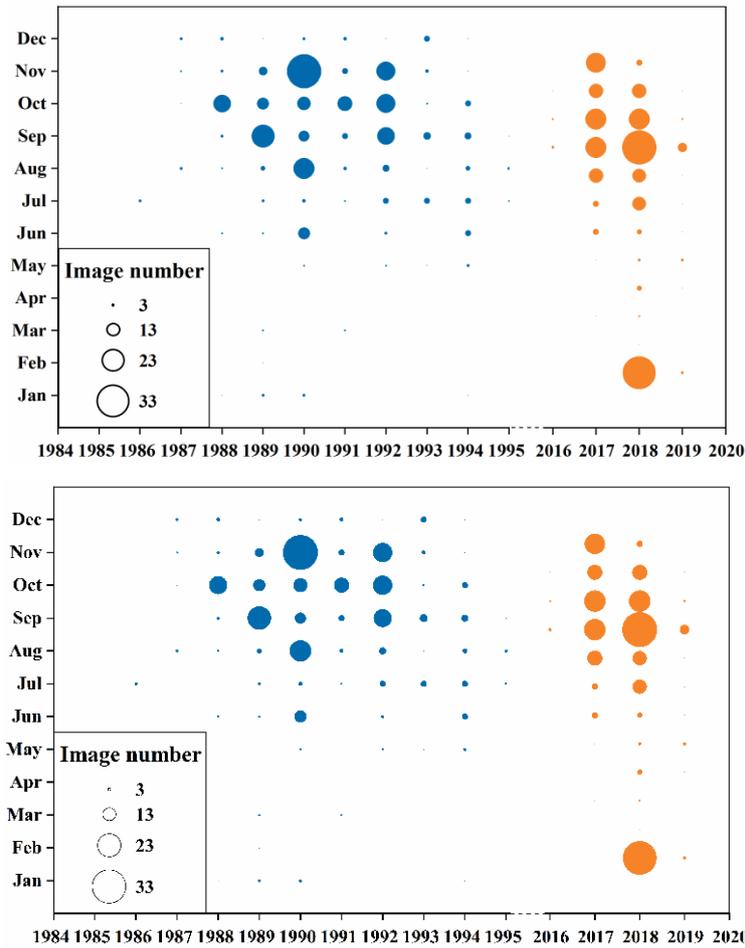
4
 5 The HMA area has the largest surviving glaciers of any region other than [the polar](#)
 6 [regions](#) ~~Antarctica~~. As reported in RGI [56.0](#), there were 97,974 modern glaciers in our study area,
 7 covering a total area of approximately 98,768.86 km². Together, these glaciers produced [an average](#)
 8 [negative mass balance of \$-150 \pm 110 \text{ kg m}^{-2} \text{ a}^{-1}\$](#) (Hock, et al., 2019) ~~—an average meltwater volume~~
 9 ~~of $110\text{--}150 \text{ km}^3 \text{ a}^{-1}$~~ , which was the primary source of ~~both lake basin formation and~~ water supply for
 10 the development of glacial lakes. Over recent decades, glaciers in most areas of HMA appear to have
 11 experienced widespread mass wastage and area shrinkage (Bolch et al., 2012; Yao et al., 2012; Käab, et
 12 al., 2012; Brun, et al., 2017). However, the so-called “Karakoram Anomaly” refers to a region that is a

1 prominent exception, which is characterized by glaciers with stable or positive mass balance (Hewitt,
2 2005; [GardnerGardelle](#) et al., 2013; [Kääb](#), et al., 2015).

3 **3 Data source**

4 We developed our glacial lake inventory of HMA based on [426-668](#) high-quality images selected
5 from more than 1800 Landsat images with 30-m spatial resolution derived from the websites of the
6 United States Geological Survey (<https://www.usgs.gov/>) and Geospatial Data Cloud
7 (<http://www.gscloud.cn/>). To ensure the accuracy of glacial lake boundary extraction, the following
8 criteria were applied to imagery selection. First, the cloud coverage in an image had to be <10 %.
9 Second, for areas with no eligible or only low-quality imagery (because of snow or shadows) in the
10 given year, acceptable images from years closest to the given year were chosen as replacements (Fig. 2).
11 Third, images acquired in summer or autumn (~~July~~[June](#)–November), when lake areas were believed
12 near or at their maximal extent, were set as optimal choices to minimize the impact produced by
13 seasonal area changes of the glacial lakes (Fig. 2). Based on the above criteria, [204-394](#) and [222-274](#)
14 Landsat images were selected to represent [circa](#) 1990 and [circa](#) 2018, respectively, which completely
15 covered the buffer area within 10 km of ~~the~~ [glaciers terminals extent](#) acquired from the Second Chinese
16 Glacier Inventory (<http://westdc.westgis.ac.cn>) and RGI [56.0](#)
17 (https://www.glims.org/RGI/rgi50rgi60_dl.html). Among the selected images, those acquired during
18 ~~July~~ [summer and autumn \(June–November\)](#) accounted for [91%](#) [82.0 %](#) of the total number of selected
19 images, while those acquired during [autumn \(September–November\)](#) ~~October~~ accounted for [87%](#) [56.9 %](#)
20 of the total number. In addition, a Shuttle Radar Topography Mission digital elevation model with
21 spatial resolution of 1" (<http://imagico.de/map/demsearch.php>) was used to derive the elevation of the
22 glacial lakes.

23



1

2

3 Figure 2. Time phases of remote sensing images selected for High Mountain Asia glacial lake
 4 inventory.

5

6 4 Glacial lake inventory methods

7 4.1 Outline of workflow

8 The methods and workflow adopted in this study to produce [thea](#) glacial lake inventory mainly
 9 included collation of knowledge and formulation of the specifications of the glacial lake inventory, data
 10 pre-processing, manual vectorization of glacial lakes, interactive checking and error controlling, and
 11 attribute database assignment (Fig. 3).

1 (1) Collation of available knowledge regarding glacial lake inventories. As much literature as
2 possible relevant to the investigation and recording of glacial lakes was collected. The various
3 definitions and classifications of glacial lakes, as well as the methods adopted previously for glacial
4 lake boundary extraction and assessment of the extent of glacial lake distributions, were summarized
5 and normative rules formulated for the HMA glacial lake inventory, as explained further in Sect. 34.2.

6 (2) Formulation of the specifications of lake identification. First, a working group of four leading
7 experts in the field was founded in 2014 to discuss and formulate the specifications of the glacial lake
8 inventory. Current knowledge regarding identification of lakes from Landsat imagery (e.g., pixel colour,
9 lake shape, and lake background features) and specifications of vectorization (e.g., viewing scale of
10 1÷:10,000 on a computer screen vectorization of mixed pixels) was discussed and unified operating
11 criteria were compiled to guide the glacial lake inventory operatives. Novice vectorization operatives
12 were trained until their vectorization results met the pre-specifications of the inventory.

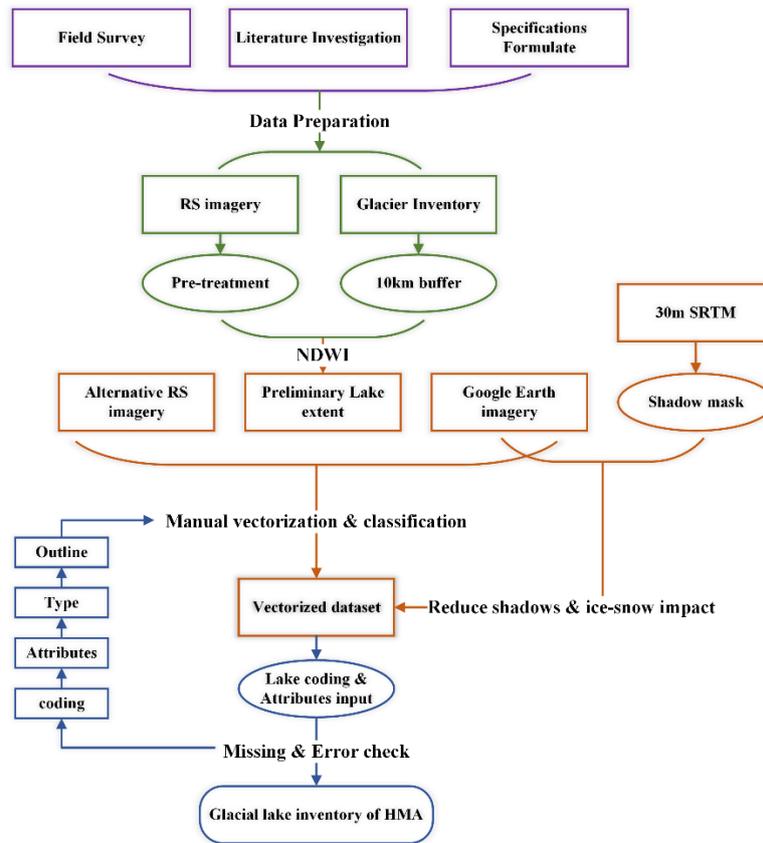
13 (3) Pre-processing of remote sensing data. Pre-processing of the Landsat imagery included false
14 colour compositing and calculation of NDWI maps. The false colour composite images were based on
15 combinations of the operating bands of 7, 5, and 2 or 4, 3, and 2 for Landsat TM/~~ETM~~/ETM+ images
16 and 5, 4, and 3 for Landsat OLI images. The preliminary lake extent was extracted automatically from
17 each image over the entire HMA area using the NDWI based on the near infrared band (NIR) and green
18 band (GREEN), which represent the minimum and maximum water reflectance, respectively
19 (McFeeters, 1996; Zhai et al., 2015; Li et al., 2016; Zhang et al., 2018):

20
$$\text{NDWI} = \frac{B_{\text{GREEN}} - B_{\text{NIR}}}{B_{\text{GREEN}} + B_{\text{NIR}}} \quad (1)$$

21 where B_i is the spectral band of Landsat imagery. The NDWI maps were calculated for each selected
22 Landsat image using different region-specific thresholds, ~~which typically were in the range -0.10 to~~
23 ~~0.20 for lake surfaces.~~ Liu et al. (2016) and Du et al. (2014) suggested that it might be preferable to set
24 the optimized threshold of $\text{NDWI}_{\text{GREEN/NIR}}$ of Landsat OLI images to -0.05. By considering the edge
25 effects according to the mixed pixels, this study initially selected a lower optimal threshold (approx. -
26 -0.1) -for specific images to obtain the maximum water body. Then, higher thresholds were tested for
27 visual water extraction before a suitable threshold (varied in the range of -0.10 to 0.20) was selected
28 for a given image to obtain the NDWI map. When manual vectorization was performed on a false
29 colour composite image, the NDWI maps of potential glacial lakes were overlaid to assist in glacial
30 lake identification.

1 (4) Manual vectorization and entering of attribute data. The inventory work was performed during
2 2014–2019. Seven groups were formed to conduct lake boundary vectorization of the 13 HMA
3 sub-regions. After vectorization of a glacial lake, it was required that manual attribute items (e.g., data
4 source and lake type) be input concurrently.

5 (5) Interactive checking and accuracy control. First, glacial lakes were discerned via human–
6 computer interaction, i.e., potential glacial lakes were revealed by the NDWI maps or identified
7 visually from the false colour composite images. Second, glacial lake boundary vectorization results
8 were checked interactively by another vectorization operative to eliminate misclassified areas of
9 shadow and ice and to add areas of glacial lakes evidently omitted in the boundary extraction process.
10 This checking process also minimized the subjective judgment errors of the operatives. Third, attribute
11 items such as glacial lake classification, new/disappeared lakes, and separated/coalesced lakes were
12 checked interactively. In this process, Google Earth imagery was used as an important auxiliary
13 reference data source for error examination.



1
2

Figure 3. Flow chart of HMA glacial lake inventory.

3 4.2 Illustration of key methods

4 4.2.1 Definition of glacial lakes

5 The definition of a glacial lake determines the type of cryosphere water body that will be recorded
 6 as a glacial lake. There are multiple definitions of a glacial lake based on different perspectives (Mool
 7 et al., 2001; Yao et al., 2018). When glacial lake inventories are undertaken, most emphasize the
 8 elementary role of glaciation in the formation of glacial lakes (Clague and Evans, 2000; [Qin et al., 2016](#);
 9 Mool et al., 2001). The remarkable difference is whether the period of glaciation or the supply source
 10 of glacial lakes is given greatest attention. Some studies that focused on the former proposed that a
 11 glacial lake is a natural water body formed by alpine glacier movement since the Last Glacial

1 Maximum, i.e., ancient or modern glaciers (Liu et al., 1988; Costa and Schuster, 1988). However, other
2 studies emphasized the relation of glacial lakes to meltwater in glaciated areas (Wang et al., 2013;
3 [Weicai-Wang](#) et al., 2014; Zhang et al., 2015). The glacial lake inventory data compiled in this study
4 are intended for use both in water source evaluation and in assessment of environmental change in the
5 alpine cryosphere. Thus, lakes related to glaciers or to glaciation in the alpine cryosphere were all
6 recorded as glacial lakes.

7 Most Quaternary glaciers have disappeared and the remaining relics are incomplete, which makes
8 it difficult to recover a continuous and complete glaciation range in alpine regions. Thus, it is of great
9 importance to ensure the range of glaciation in an alpine region when conducting a glacial lake
10 inventory based on remote sensing data. The most practical approach might be to specify an indicator
11 threshold to define the glaciation extent according to relevant findings of existing glacier relics in a
12 typical region. On the one hand, the glaciation frontier can usually be indicated by a specified lowest
13 elevation threshold, which is generally closely related to the regional climatic context caused by the
14 elevation effect. However, the lowest elevation threshold might vary enormously with respect to
15 different regions because regional climatic settings differ. For instance, the lowest elevations of 1700 m
16 in Austria (Buckel et al., 2018), 2000 m in Pakistan (Senese et al., 2018), 3000 m in Nepal and Bhutan
17 (Mool et al., 2001), and 3500 m in Peru (Hanshaw and Bookhagen, 2014) were used as specified
18 elevation thresholds to record glacial lakes. On the other hand, defining glaciation extent within a
19 specific distance from [modern](#) glacier terminals could be more suitable for the establishment of a
20 glacial lake inventory in relatively large-scale regions with complex regional climate, because the
21 differing climate within large-scale regions can be indicated approximately by the lowest elevation of
22 individual glacier terminals. Some studies adopted distances of 2, 3, or 10 km from modern glacier
23 terminals as thresholds with which to define areas of glacial lakes (Petrov et al., 2017; Veh et al., 2018;
24 Wang et al., 2012, 2013). Distances of 2, 5, 10, and 20 km were considered by Zhang et al. (2015). They
25 found that a distance of 10 km from a modern glacier terminal might be a reasonable guide to glaciation
26 extent and a threshold suitable for a glacial lake inventory of the Tibetan Plateau. This was supported by
27 the finding that the most distant glacierized boundary of the Little Ice Age was up to 10 km from the
28 modern glaciers in the Himalaya area (Wang et al., 2012, Nie et al., 2017). Additionally, to record
29 glacial lakes more precisely, combined distance and elevation thresholds have been used simultaneously
30 to define areas of glacial lakes in special small regions, e.g., lakes at elevations above 1500 m and within
31 2 km of modern glaciers were recorded as glacial lakes in Uzbekistan (Petrov et al., 2017). In this study,

1 given the large scale of the HMA region with its complex climatic context and extremely varied terrain,
2 the data set compiled included glacial lakes within a buffer zone of 10 km from modern glacier ~~terminals~~
3 ~~extents~~, which covered an area of approximately ~~4,191.25~~ $\times 10^6$ km² according to the Second Glacier
4 Inventory of China and RGI ~~56.0~~ (Fig. 1).

5 **4.2.2 Classification of glacial lakes**

6 In glaciation regions, the characteristics of glacial lakes, which include the phase of lake formation,
7 lake basin topography, dam material constituents, geometrical relationship with modern glaciers, and
8 source of water supply (or combinations thereof), have been employed as the basis for glacial lake
9 classification systems (Huggel et al., 2002; Liu et al., 1988; Mool et al., 2001; Yao et al., 2018). For
10 instance, based on lake basin topography, lakes in an inventory of the Hindu Kush–Himalaya region
11 were classified as erosion lakes, valley trough lakes, cirque lakes, blocked lakes, lateral and end
12 moraine-dammed lakes, and supraglacial lakes (Liu et al., 1988; Mool et al., 2001). Recently, Yao et al.
13 (2018) presented a ~~reasonably~~ complete classification schema for glacial lake inventory and study of
14 glacial lake hazards that included six classes and eight sub-classes based mainly on the mechanism of
15 glacial lake formation, lake basin topography, and the geometrical relationship with modern glaciers.

16 Generally, it is a little difficult to distinguish glacial lake type in terms of material properties,
17 topographic features, and phase of lake formation using remote sensing imagery. Moreover, most of the
18 standards mentioned above were found inapplicable in previous studies of glacial lake classification in
19 large-scale regions such as HMA because of the lack of enough remote sensing data with satisfactory
20 ~~spatial resolution~~ ~~sufficient amounts of remote sensing data with appropriate resolution~~. In this study,
21 the hydrologic relationship between glacial lakes and modern glaciers was adopted as a classification
22 criterion because the present data set is intended to provide fundamental data for water resource
23 evaluation and glacier hazard assessment. Consequently, glacial lakes were divided into just two types:
24 glacier-fed lakes and non-glacier-fed lakes. The glacier-fed lakes were further divided into three
25 sub-classes: supraglacial lakes (lakes developed on glacier surface), ice-contacted lakes (lakes
26 contacting the glacier terminal or margin), and ice-uncontacted lakes (lakes not contacting the glacier
27 but fed directly by glacial meltwater). This classification was based on whether the surface
28 hydrological flow of the modern glacier and topographic features of the lake basin allowed a lake to
29 receive meltwater from the modern glacier. To achieve reliable classification results, glacial lakes were
30 distinguished with the assistance of 3D digital terrain imagery from Google Earth, a Shuttle Radar

1 Topography Mission digital elevation model, and glacier outlines from RGI 56.0. Based on visual
2 inspection of the satellite images and with reference to 3D digital terrain imagery from Google Earth,
3 we –recorded a glacier-fed lake when (1) a lake had lower elevation than the modern glacier (mother
4 glacier) and (2) the mother glacier(s) meltwater could flow into the lake via surface channel. It is
5 common for glacial lakes to be fed by meltwater through subsurface channels; however, we ignored
6 this because it is difficult to survey the subsurface channels of glacial lakes using remote sensing data.
7 In addition, lake type was distinguished based on the topographic features of the lake basin and the
8 modern glaciers; in most cases, it is possible that the lakes were fed by meltwater flowing through both
9 sub-surface and surface channels.

10 **4.2.3 Extraction of lake boundary**

11 This study adopted automatic glacial lake extraction and manual glacial lake boundary
12 vectorization to determine glacial lake boundaries. In the NDWI-based automatic lake boundary
13 extraction approach, two bands were selected to facilitate a ratio calculation to maximize the difference
14 between water and non-water objects in the remote sensing imagery based on a given threshold. The
15 given threshold was determined subjectively with consideration of how much detailed information of
16 the lake water bodies was captured precisely. The given threshold was varied to account for various
17 factors such as the differences in Landsat sensors (i.e., TM, ~~ETM~~/ETM+, and OLI), time phase of
18 images, quality of images, and complexity of surface features. To achieve the optimal threshold for
19 lake water body recognition, the candidate threshold was debugged iteratively for each image. In
20 practice, because the area of the glacial lakes was usually small (see next paragraph) and the spectral
21 features of the lake water bodies were varied, the threshold had to be set to allow capture of the greatest
22 number of water body pixels, which consequently resulted in simultaneous acquisition of more
23 non-lake-water-body noise information. It also resulted in more effort in the subsequent manual
24 modification to reduce noise information using methods such as algorithms to eliminate mountain
25 shadows (Gardelle et al., 2011).

26 Manual visual vectorization distinguishes lake boundaries by identifying the unique texture,
27 colour, and other characteristics of glacial lakes in false colour composite images based on available
28 professional knowledge and accumulated experience in vectorization operations. Even though it was
29 regarded a time-consuming and labour-intensive process, it was also considered an attractive approach
30 because of its consistency, high level of quality control, and reasonably simple operational procedure,

1 given the varied quality of Landsat images [available](#) for the large-scale HMA region. In this study, the
 2 manual visual vectorization process was generally found more suitable in terms of effort and precision
 3 for generating a glacial lake inventory data set of the HMA region in comparison with automatic glacial
 4 lake extraction. Therefore, manual visual vectorization in conjunction with NDWI maps was the main
 5 method adopted to extract glacial lake boundaries to minimize the deficiencies produced by individual
 6 subjectivity of the operatives.

7 The minimum number of pixels used to extract a glacial lake water body was found inconsistent in
 8 the available literature. For example, arbitrary threshold areas of 0.0027 km² (three lake water body
 9 pixels) (Zhang et al., 2015) and 0.0081 km² (nine lake water body pixels) (Nie et al., 2017) have been
 10 used in earlier glacial lake investigations. Moreover, minimum threshold areas of 0.01 km²
 11 (approximately 10 lake water body pixels), 0.02 km² (approximately 22 lake water body pixels), and
 12 0.1km² (approximately ~111 lake water body pixels) have also been set to evaluate the level of risk of
 13 glacial lake outburst floods in [the](#) Himalaya and ~~the~~ Tien Shan Mountains (Petrov et al., 2017, Wang et
 14 al., 2013; Worni et al., 2013; Bolch et al., 2011; Allen et al., 2019). Theoretically, one pure pixel of a
 15 lake water body could be recorded as a glacial lake. However, a glacial lake is generally not
 16 represented by one pure pixel unless [it is aligned](#) perfectly ~~aligned~~ with the raster grid; usually, it would
 17 be surrounded partly or fully by 1–8 mixed lake water body pixels (Fig. 4a, b). Consequently, manual
 18 delineation was required for approximately 1/2, 1/4, or 3/4 of the peripheral mixed pixels
 19 surrounding pure lake water body pixels (Fig. 4e, d, e). [If 3 or 4 pure lake water body pixels exist in a](#)
 20 [Landsat image, the maximum number of peripheral mixed pixels is 12](#) (Fig. 4d, e). Usually, for three pure
 21 [lake water body pixels, the ratio of the area of pure lake water body pixels to the area of peripheral mixed](#)
 22 [pixels can be expressed as follows:](#)

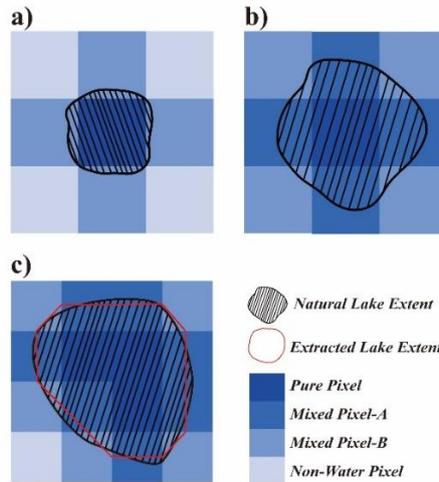
$$23 \quad \frac{\text{Area of peripheral mixed pixels}}{\text{Area of three pure lake water body pixels}} \times 100\% = \frac{6 \times \frac{1}{2} + 5 \times \frac{1}{8} + 1 \times \frac{7}{8}}{3} \times 100\% = 150\%. \quad (2)$$

24 [For four pure lake water body pixels, the ratio of the area of pure lake water body pixels to the area of](#)
 25 [peripheral mixed pixels is:](#)

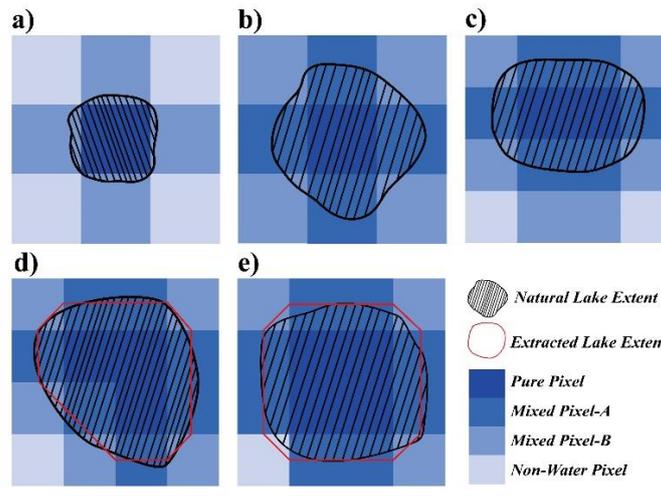
$$26 \quad \frac{\text{Area of peripheral mixed pixels}}{\text{Area of four pure lake water body pixels}} \times 100\% = \frac{8 \times \frac{1}{2} + 4 \times \frac{1}{8}}{4} \times 100\% = 112.5\%. \quad (3)$$

27 [Thus, in](#) this study, the minimum glacial lake area recorded was set at 0.0054 km² (e.g., 3–4 pure lake
 28 water body pixels with approximately 12 [peripheral](#) mixed pixels, [which equate to approximately 6 full](#)
 29 [lake water body pixels](#)) because a lake area covering fewer than three pure lake water pixels could

1 possibly have an error of >100 % (Fig. 4b, -c) despite the revised coefficient of one standard deviation
 2 (0.6872) involved -(see Sect. 5).



3



4

5 Figure 4. Sketches showing a-the relationships of pure water body pixels and surrounding potential
 6 mixed water body pixels: (a) a pure water body pixel surrounded by four potential mixed water body
 7 pixels, (b) a pure water body pixel surrounded by eight potential mixed water body pixels, (c) two
 8 pure water body pixels surrounded by 10 potential mixed water body pixels, and (ed) three-3 pure water
 9 body pixels with seven-twelve12 surrounding potential mixed water body pixels, and (e) four4 pure
 10 water body pixels with twelve12 surrounding potential mixed water body pixels.

1 4.2.4 Input of attribute items

2 Eight attribute items were input into the HMA glacial lake inventory: lake coding, location
3 (longitude, latitude, and elevation), perimeter, area, type, area error, time phase, source image
4 information, and sub-region of located lake. (1) We encoded each glacial lake based on its central
5 location using the same coding format [as](#) used by the National Snow and Ice Data Centre to encode
6 glaciers. The code can be expressed as “GLmmmmmmEnnnnnN”, where m and n represent the results
7 of the longitude and latitude of each glacial lake centroid multiplied by 1000, respectively, GL is the
8 abbreviation of glacial lake, and E and N represent eastings and northings, respectively. (2) The
9 location information of each glacial lake was labelled as the geographic coordinates of the centroid of
10 the shape of each glacial lake, calculated using ArcMap software. The lake elevation was defined as the
11 average elevation of a buffer zone of 30_-m radius centred on the glacial lake centroid, which was
12 derived from the Shuttle Radar Topography Mission digital elevation model. (3) The area and
13 perimeter of each lake were calculated using ArcMap based on the unified geography coordinate
14 system of GCS_WGS_1984 and the Asia_North_Albers_Equal_Area_Conic projection system,
15 respectively, to avoid errors caused by projection deformation. (4) The error of lake area was calculated
16 using Eqs. (24) and (35) (Sect. 5). (5) Lake type, which was input manually, was defined as either
17 ~~glacier fed lake~~ supraglacial lake, ice-contacted lake, ice-uncontacted lake, or non-glacier-fed lake (see
18 Sect. 4.2.2). (6) Lake time phase was the acquisition date of the original Landsat image, which was
19 recorded as the ~~month and year~~ time phase for each lake. (7) Source image information referred to the
20 image number of the Landsat images used to extract the glacial lake boundary. (8) The sub-region to
21 which each lake belonged identified the regional location within the HMA area. Each lake was
22 assigned based on shp. file data of the boundaries of the 13 HMA sub-regions, obtained from the
23 National Snow and Ice Data Centre, using the ArcMap spatial analysis tool.

24 5 Error assessment

25 The errors associated with glacial lake extraction from remote sensing imagery using manual visual
26 delineation are generally related to components of the quality of the images (e.g., spatiotemporal
27 resolution, cloud coverage, and mountain shadows), experience, operative subjectivity, and the threshold
28 area of the inventory (Gardelle et al., 2011; Hall et al., 2003; Paul et al., 2004; Salerno et al., 2012; Zhang
29 et al., 2015). It has been reported that the area error of glacial lake boundary extraction based on remote
30 sensing images can be approximately ± 0.5 pixels depending on the quality of the imagery (Fujita et al.,

1 2009; Salerno et al., 2012). Furthermore, the area error of glacial lake delineation attributable to manual
 2 delineation can be assumed to follow a Gaussian distribution (Hanshaw and Bookhagen, 2014). Hence,
 3 the theoretical maximum area error of glacial lake boundary extraction is the half-area of the edge pixels
 4 because pure lake water body pixels are usually surrounded by mixed pixels (Fig. 4b,e). The lake area
 5 error of a single glacial lake within one standard deviation (1σ) can be expressed as follows (Hanshaw
 6 and Bookhagen, 2014):

$$7 \quad \text{Error}(1\sigma) = \frac{P}{G} \times \frac{G^2}{2} \times 0.6872 \quad (24)$$

$$8 \quad E = \frac{\text{Error}(1\sigma)}{A} \times 100 \% \quad (35)$$

9 where P is the perimeter of the glacial lake (m), G is the spatial resolution of the remote sensing
 10 imagery (30 m in this data set), 0.6872 is the revised coefficient under 1σ (i.e., approximately 69 % of
 11 peripheral pixels are subjected to errors), E is the relative error of the glacial lake, and A is the total
 12 area of the glacial lake. Then the accumulation of errors of all the lake areas for the entire study region or
 13 subregions can be calculated using the following formula based on error propagation theory:

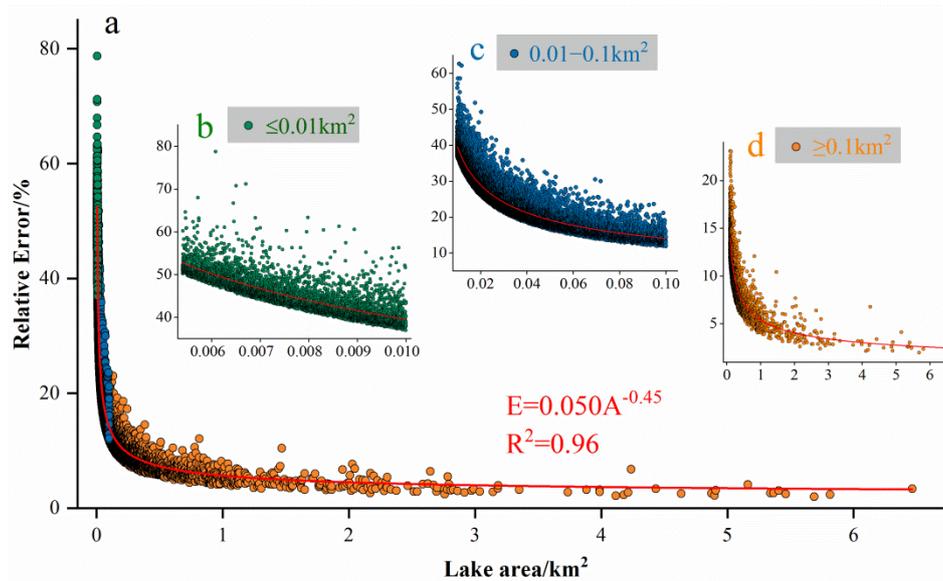
$$14 \quad E_T = \sqrt{\sum_{i=1}^n a_i^2} \quad (6)$$

15 where E_T is the area error of the entire study region or subregions, i is the lake of No. i in the entire study
 16 region or sub-regions, and a is the error area of a single lake.

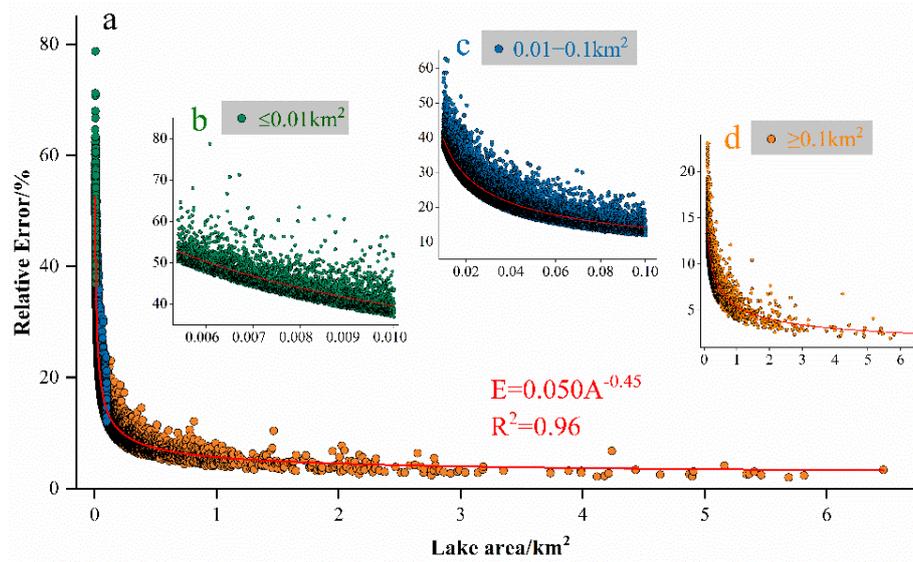
17 The resulting calculated error indicated that the total absolute area error of HMA glacial lakes was
 18 approximately ~~$\pm 2.11 \pm 231.44$~~ and ~~$\pm 2.28 \pm 259.68$~~ km² and the average relative error was ~~$\pm 13.5 \pm 13.8$~~
 19 ~~$\pm 13.2 \pm 13.3$~~ % in 1990 and 2018, respectively. The relative area errors of each lake varied from ~~2–851–~~
 20 ~~79~~ %, and a significant power exponential relationship was found between the relative area error and
 21 the sizes of the glacial lakes ($E = 0.050A^{-0.45}$, $R^2 = 0.96$, $\alpha < 0.001$) (Fig. 5a). Small-sized lakes
 22 (i.e., area ≤ 0.01 km², which accounted for 2 % of the total lake area in HMA) had the largest average
 23 relative area error of 44.6 % (Fig. 5b). Medium-sized lakes (i.e., area of 0.01–0.1 km², which accounted
 24 for 34 % of the total lake area in HMA) had an average relative area error of ~~22.1 %~~ 22.0% (Fig. 5c).
 25 Large-sized lakes (i.e., area ≥ 0.1 km², which accounted for 64 % of the total lake area in HMA) had
 26 the smallest average relative area error of ~~4.1 %~~ 7.6% (Fig. 5d). In summary, smaller glacial lakes in the
 27 HMA region had larger relative area errors, and vice versa.

28 To further verify the accuracy of the manual delineation of glacial lake boundaries, nine lakes
 29 located within the HMA region were surveyed using a portable GPS device (Trimble GeoXH6000)
 30 with decimetre accuracy during July–August 2018 (Fig. 6). The lakes selected for field survey covered

1 areas of 0.01–2.97 km². The field-based lake boundaries were compared with those obtained via
2 manual delineation (i.e., derived from Landsat OLI imagery acquired during 2018). It was found that
3 the area error (i.e., the percentage difference of the absolute area encircled by the manually delineated
4 lake boundary and that derived by the GPS survey) varied from 5.5–25.5 %. Moreover, it was
5 determined that the average horizontal distance deviation between the two types of boundary varied
6 from 4.5–33.5 m (Table 1). Overall, the horizontal deviations were largely confined to one pixel, and
7 the average accuracy of the delineation of glacial lake boundaries was within ±0.5 pixels (±15 m).



8



1

2 Figure 5. Relationships of relative area error against size of glacial lakes in HMA: (a) relationship for
 3 glacial lakes of all sizes and (b)–(d) relationships for glacial lakes of specific size.

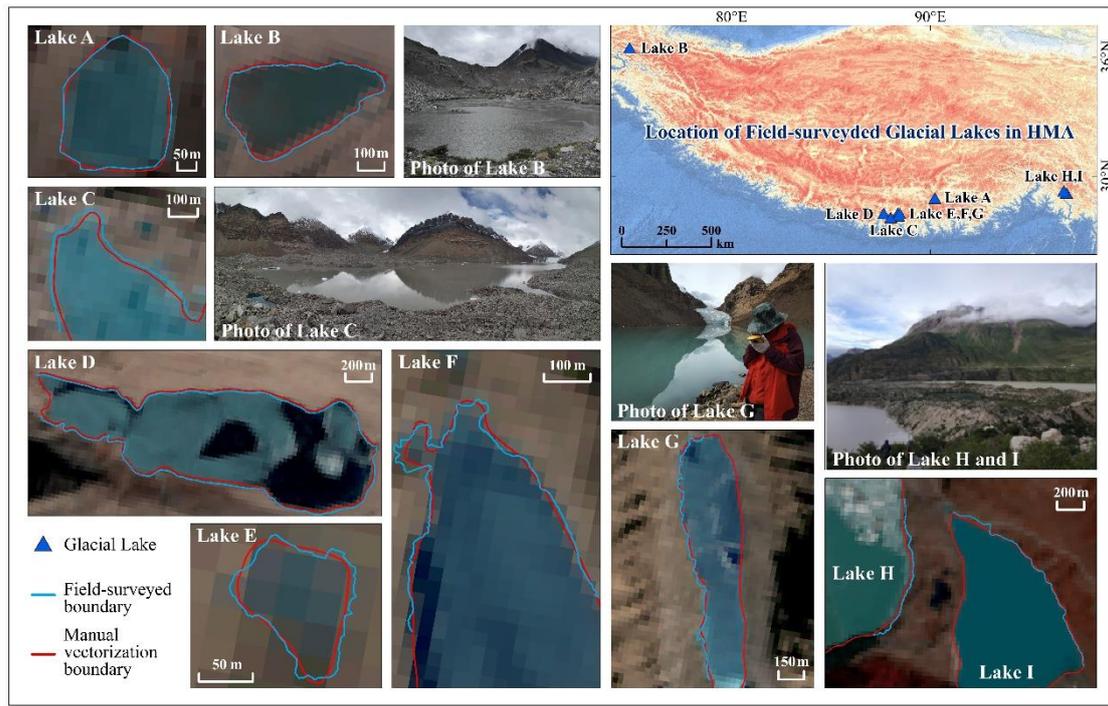
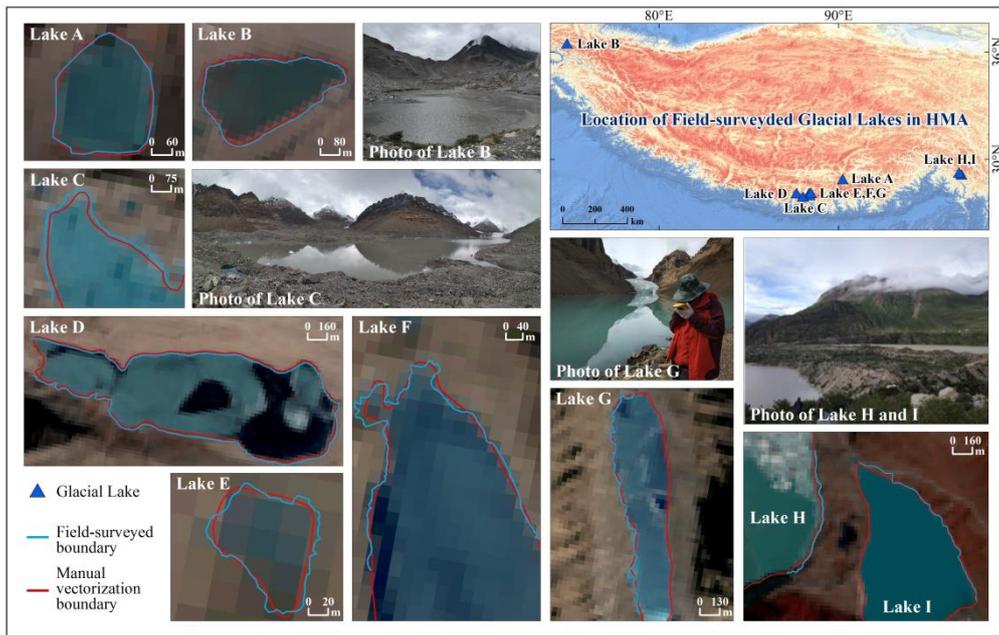
4

5 Table 1. Horizontal deviations between lake boundaries obtained by manual delineation and field
 6 survey using a portable GPS device (Trimble GeoXH6000)

Name (labelled in Figure 6)	Lake ID	Lake size (km ²)	Horizontal deviations of delineation boundary (m)			Area error(%)
			minimum	maximum	average	
Qiongyong Cuo (A)	GL090225E28890N	0.08	-7.6	9.1	4.5	5.5
Passu Lake (B)	GL074878E36457N	0.15	-10.9	12.0	6.0	6.8
Longbasa Lake (C)	GL088071E27950N	1.49	-22.7	-8.4	12.4	/
Zongge Cuo (D)	GL087654E28113N	1.48	-26.8	24.9	13.5	6.1
Unnamed (E)	GL088151E28010N	0.01	-4.7	4.8	3.2	16.3
Unnamed (F)	GL088257E28011N	0.58	-12.9	12.4	4.6	/
Unnamed (G)	GL088240E28005N	0.40	-20.8	15.9	7.1	/
Large Laigu Lake (H)	GL096818E29298N	2.97	-36.7	-6.4	15.3	/
Small laigu Lake (I)	GL096832E29294N	1.02	-32.8	17.6	9.8	/

7 Note: “/” indicates the sample lake boundary was only partly surveyed using the handheld GPS device.

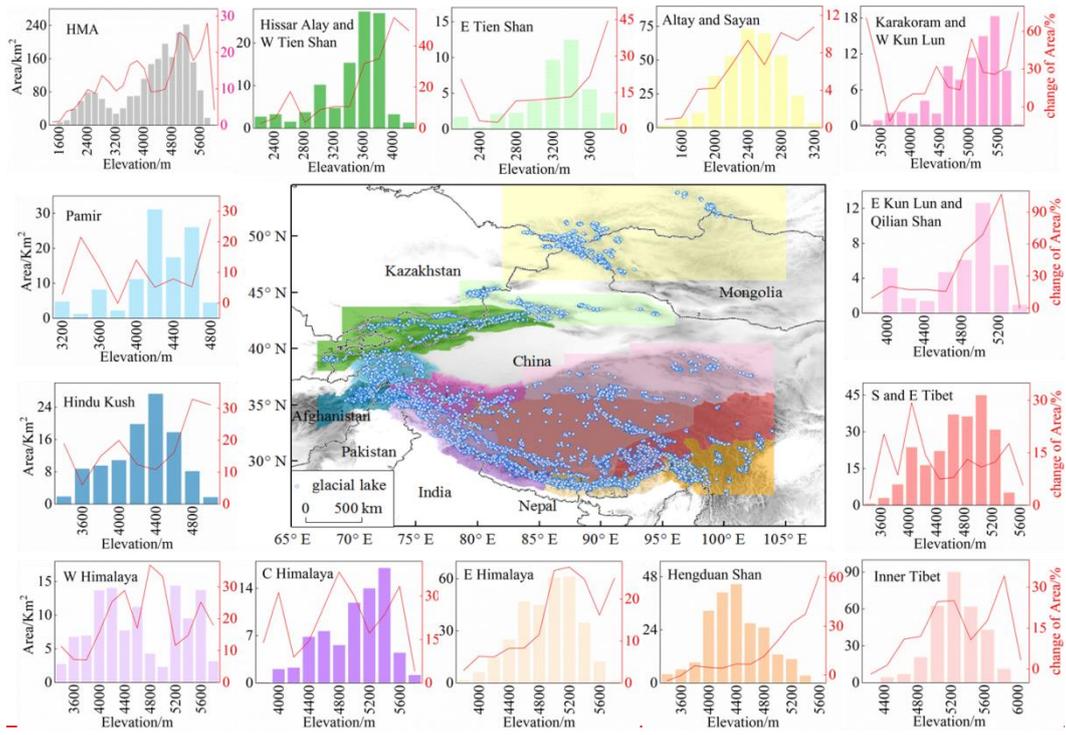
8



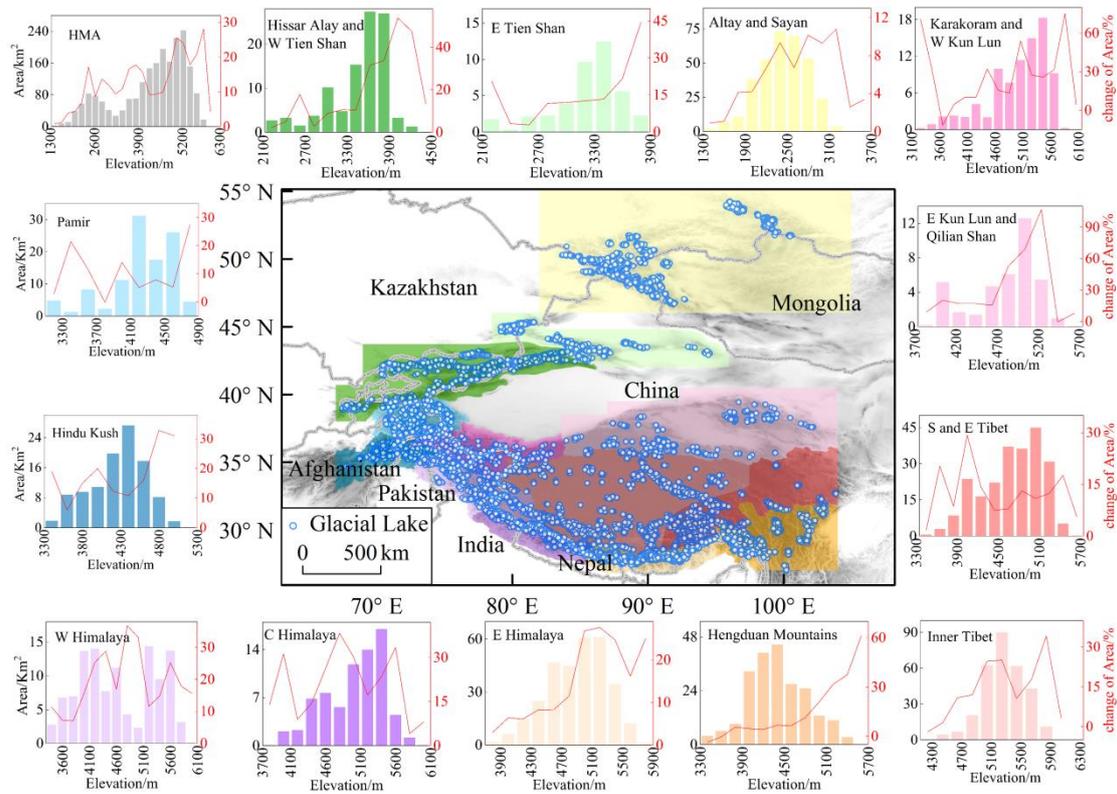
1 Figure 6. Glacial lakes in the HMA region surveyed in summer 2018 (the background ~~s maps of the~~
2 surveyed lakes are ~~the~~ Landsat OLI images; ~~red lines denote lake boundary obtained by field survey; blue~~
3 ~~lines denote outlines derived from satellite imagery~~).

4 **6 Distribution and changes of HMA glacial lakes**

5 As indicated by the achieved HMA glacial lake inventory, ~~28,953~~30,121 (~~1955.94 ±~~
6 ~~259.68~~2080.12 ± 2.28 km²) glacial lakes were identified in 2018 and their distribution had considerable
7 spatial heterogeneity (Fig. 7). The greatest concentration of glacial lakes was in ~~Altay and Sayan~~Eastern
8 Himalaya (~~308.23 ± 37.29~~335.42 ± 0.88 km², which accounted for ~~15.8 %~~16.1 % of the total area of
9 glacial lakes) and ~~Inner Tibet~~Eastern Himalaya (~~299.67 ± 38.75~~310.37 ± 0.89 km², which accounted for
10 ~~15.3 %~~14.9 % of the total area of glacial lakes). Relatively few glacial lakes were found distributed in
11 Eastern Kun Lun and Qilian Shan (~~38.85 ± 6.04~~38.85 ± 0.29 km², which accounted for ~~2.0 %~~1.9 % of the
12 total area of glacial lakes) and Eastern Tien Shan (~~40.55 ± 6.98~~40.55 ± 0.32 km², which accounted for
13 ~~2.1 %~~2.0 % of the total area of glacial lakes). The HMA glacial lakes ~~were~~are located within the
14 elevation range of ~~1600–6300~~1357–6247 m ~~in 2018~~. An approximate normal distribution was presented
15 both for the lakes of the entire HMA region and for the lakes in most sub-regions. More than ~~46 %~~43 % of
16 the HMA lake area has survived within the vertical range of 4500–5400 m, with the peak lake area of
17 ~~256.13 ± 34.25~~241.89 ± 0.80 km² (accounting for ~~13.1 %~~11.6 % of the total area) in the range of ~~5000–~~
18 ~~5200~~5100–5300 m. The elevation band of peak lake area in the different sub-regions varied from ~~2400–~~
19 ~~2600~~2300–2500 m in Altay and Sayan to ~~5200–5400~~5300–5500 m in Central Himalaya, Karakoram, and
20 Western Kun Lun.



1



1

2 Figure 7. Distribution and change of glacial lake area in the entire HMA and its 13 sub-regions from
 3 1990–2018.

4

5 The HMA glacial lakes experienced widespread areal expansion during 1990–2018 with an
 6 average rate of increase in area of 15.5%–15.2% (Fig. 7). The rate of change of area varied widely
 7 between different sub-regions and different 200-m elevation bands. The glacial lakes in Eastern Kun
 8 Lun and Qilian ShanAltay and Sayan experienced the most rapid expansion in area during 1990–2018
 9 with an average rate of increase of 45.6 %, whereas the rate of change was only 7.2%–7.5% in Altay
 10 and SayanPamir. Glacial lakes have tended to develop to higher elevations during recent decades with
 11 the maximum distribution elevation of 6078 m in 1990 rising to 6247 m in 2018. The rate of change of
 12 glacial lakes in the different 200-m elevation bands presented a large average trend against elevation,
 13 rising as a whole during 1990–2018 (Fig. 7). The lake area expanded most from the elevation of

1 approximately 5000 m and the rate of expansion reached approximately ~~35 %~~ 28 % above ~~at~~ in ~~5700–~~
2 ~~5900–6000~~ m in the entire HMA region, although it differed between different sub-regions. Lake
3 area showed a notable rate of increase with elevation in most sub-regions, e.g., Hissar Alay and
4 Western Tien Shan, Hindu Kush, Eastern Himalaya, Hengduan ~~Shan~~ Mountains, Eastern Tien Shan,
5 and Altay and Sayan. ~~Although~~ ~~†~~ ~~The~~ rate of expansion varied markedly, ~~violently~~, and no observable
6 ~~trends in the rate of increase or decrease with elevation trends~~ were discovered in Karakoram and
7 Western Kun Lun, Western Himalaya, and Inner Tibet. The rate of expansion in Central Himalaya and
8 Southern and Eastern Tibet was found to have seemingly decreased with increasing elevation (Fig. 7).

9 7 eComparison and limitations

10 There are at least 34 published reports or data sets on the regional extent of glacial lakes in the
11 HMA area, which are based on various lake boundary extraction methods and different data sources
12 (Supplementary Table S1). This previous research work examined glacial lakes from as early as 1962
13 up until 2017. However, it is difficult to evaluate any discrepancies comprehensively because different
14 extents of glacial lake distribution were examined and inconsistent thresholds of minimum lake area
15 were used. Glacial lake inventory data of the Third Pole region in 1990 (Zhang et al., 2015) and of the
16 HMA (Chen et al., 2020) in 2017 were used for comparison because both recorded glacial lakes in the
17 same buffer zone (i.e., within 10 km of the modern glacier extent) and over similar periods. For the
18 comparison, the same thresholds and regions were adopted for the inventory data. Marked
19 discrepancies were found to exist between the different datasets—both in terms of both the glacial lake
20 number and the area of the glacial lakes. In 1990, only 4601 glacial lakes ($\geq 0.0054\text{km}^2$) with total area
21 of 554.33km^2 were recorded by Zhang et al. (2015), whereas 20,410 glacial lakes with total area of
22 1376.23 km^2 were catalogued in the Third Pole region in this study. In 2017, 14,477 glacial lakes with
23 total area of 1635.94 km^2 were recorded by Chen et al. (2020), whereas, we recorded 22,727 glacial
24 lakes ($\geq 0.0081\text{ km}^2$) with total area of 1726.41 km^2 in 2018 in HMA (excluding Altai and Sayan
25 excluded). We consider the discrepancies attributable to three primary factors. (1) The buffer zone
26 within 10 km of the modern glacier extent was inconsistent between the data sets because different
27 glacier inventories were used. (2) Different operatives catalogued the glacial lakes using different
28 remote sensing data covering in different periods. (3) Many glacial lakes were possibly missed because
29 of the comparatively less manual vectorization effort involved in the work of Zhang et al. (2015) and
30 Chen et al. (2020). Overall, our glacial lake inventory catalogued glacial lakes throughout the entire

1 HMA more comprehensively and with more careful error assessment when compared with available
2 glacial lake data sets from regional or river-basin-based studies.

3 Several limitations deserve proper consideration when using the glacial lake inventory data. First,
4 a degree of uncertainty resulted from using Landsat image data that covered different periods, i.e., both
5 in-~~in~~interannually and seasonally. Although images acquired in summer or autumn (June–November)
6 were set as optimal choices, the selected images covered most seasons of the year, e.g.,– the images
7 selected in June–November accounted for only 72.3 and 88.8 % of the total number in 2018 and 88.8 %
8 in-1990, respectively. Interannually, images were selected from a span of 10 years (1986–1995) and 4
9 years (2016–2019) to obtain sufficient high-quality images of the HMA area. Second, this study
10 recorded all lakes located within the 10 km buffer area of glacier extent as glacial lakes. Therefore,
11 certain lakes that have no relation to glaciers or to glaciation (i.e., non-glacial lakes) in the alpine
12 cryosphere were potentially catalogued in error because of the difficulty in distinguishing non-glacial
13 lakes from glacial lakes based on remote sensing data. Third, we identified water bodies related to
14 glaciers or to glaciation in the alpine cryosphere as glacial lakes. However, in many cases, it was
15 difficult to determine whether such bodies~~st~~ should be recorded as glacial lakes, e.g., cases of long
16 narrow water bodies on rivers and cases where the number of pure water body pixels was~~ef~~ small.
17 Thus, some errors and inconsistencies were inevitable because of having different operatives performing
18 the lake boundary vectorization and inspection. In future, this glacial lake inventory will be updated
19 and shared on the National Special Environment and Function of Observation and Research Stations
20 Shared Service Platform (China).

21 **78 Data availability**

22 The data set developed in this study ~~comprised~~ comprises two .shp file documents containing the
23 glacial lake inventory of the HMA region in 1990 and 2018. The data set can now ~~can~~ be accessed via
24 the website of~~on~~ the National Special Environment and Function of Observation and Research Stations
25 Shared Service Platform (China); at
26 <http://www.crensed.ac.cn/portal/metadata/706ce17f-1684-4e8d-bf5e-7d517e03693c><http://dx.doi.org/10>
27 [.12072/casnw.064.2019.db](https://doi.org/10.12072/casnw.064.2019.db) (Wang et al., 2019a).

28 **89 Conclusions**

29 A glacial lake inventory of the HMA region was realized based on satellite remote sensing data
30 and GIS techniques. Eight attribute items were recorded in the glacial lake inventory data set of the

1 HMA region. Lake area error was assessed carefully with respect to theoretical analysis of lake
2 boundary pixels and actual boundaries derived by GPS field-based surveys. On average, the deviations
3 between the delineation of lake boundaries derived using the two methods were within ± 0.5 pixels (± 15
4 m). The relative area errors of each lake in 2018 varied from ~~2–85 %~~~~1–79 %~~, and the average relative
5 area errors of ~~$\pm 13.2 %$~~ ~~$\pm 13.3 %$~~ in the entire HMA region were characterized by increase in the relative
6 area error with decreasing lake size.

7 Overall, ~~28,953~~~~30,121~~ glacial lakes with a total area of ~~4955.93 \pm 259.68~~~~2080.12 \pm 2.28~~ km² were
8 catalogued in 2018 in the HMA region. Glacial lakes survived in all 13 sub-regions of HMA from the
9 elevation of ~~4600–1357~~ to ~~6300–6247~~ m. Glacial lakes were found concentrated in the sub-regions of
10 ~~Altay and Sayan and Eastern Himalaya~~~~Eastern Himalaya and Inner Tibet~~ and at elevation bands of
11 4500–5400 m. The HMA glacial lakes have experienced widespread expansion ~~in area~~ with an average
12 rate of increase in area of ~~45.5 %~~~~15.2 %~~. Lake area expanded most in the higher elevation bands during
13 1990–2018. The data set ~~has been developed~~~~is expected as to provide~~~~be a~~ basic data ~~to support~~~~for~~
14 cryosphere hydrology research; ~~however, it is expected that it could~~, ~~support practical utilization and~~
15 ~~management of~~ water resources ~~utilization and management~~, and ~~assessment of~~ ~~assessment of~~
16 glacier-related hazards ~~assessment~~ in the HMA region.

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