

Dear editor and reviewers:

Thank you for the careful handling of the manuscript and all constructive comments that substantially increased the quality of our manuscript. Based on the critical comments and thoughtful suggestion of reviewers, we have made carefully revisions and include all changes in the revised version of the manuscript.

Best regards,

Zhao Zhang

Response to Topical Editor:

Thanks for your careful reviewing and all constructive comments on our manuscript. We have taken all your comments into account and responded positively to qualify our manuscript for a potential publication in the journal. The editor's comments are marked in black color, while our detailed responses in blue.

Formal Requirements

In general

I) please spell out all abbreviations, when abbreviations appear i) in the abstract, e.g. L11 Global Land Surface Satellite (GLASS) ii) when they first appear in the manuscript text, e.g., RMSE, CYCLOPES, Ensemble of Satellites provide information on it and links and or references, same for HJ-1 A/B CCD, GEOV1

Response: Thank you a lot for the careful check. We have spelt out all abbreviations in the revised manuscript.

1) Line 14: we replace “GLASS LAI” by “Global Land Surface Satellite (GLASS) Leaf Area Index (LAI)”;

2) Line 37: we replace “HJ-1 A/B CCD” by “Environment and Disaster Monitoring and Prediction

Satellite Constellation A/B (HJ-1 A/B) charge coupled device (CCD) sensor”;

3) Line 84-85: we replace “CYCLOPES” by “Carbon cYcle and Change in Land Observational Products from an Ensemble of Satellites (CYCLOPES)”;

3) Line 85-86: we replace “BELMANIP” by “BENchmark Land Multisite ANalysis and Intercomparison of Products (BELMANIP)”;

4) Line 87: we replace “RMSE” by “Root Mean Square Error (RMSE)”;

replace “R²” by “determination coefficients (R²)”;

5) Line 89: we replace “MOD15” by “MODIS LAI product (MOD15)”;

replace “GEOV1” by “Geoland2/BioPar version 1 (GEOV1)”.

II) please use formal writing L30 can't -> cannot, LL236 wasn't, L261 didn't ...

Response: Thank you a lot for the careful check. We have modified them in the revised paper.

1) Line 32: can't -> cannot

2) Line 199: it's -> it is

3) Line 256: wasn't -> was not

4) Line 282: didn't -> did not

Please consider: As Earth System Science Data (ESSD) is the journal for the publication on data products your manuscript would require in some chapters, specifically in abstract and conclusions and in some titles more the focus on the data set then on a study describing a method

e.g., in Abstract and conclusions

‘In this study, we proposed a method to retrieve 1km-grid crop phenological dataset for three main crops from 2000 to 2015 based on GLASS LAI products...

...Finally, we established a high resolution gridded-phenology product for three staple crops in China during 2000-2015, named as ChinaCropPhen1km’

Please change accordingly starting with your data product and the focus on the data product ‘In this study, we produced a high resolution gridded-phenology product for three staple crops in China during 2000-2015 based on GLASS MODIS LAI products..., named as ChinaCropPhen1km’ and similar

Response: Thanks very much for your constructive comment. We have followed your advice and modified it in the revised paper.

- 1) Line 13-15: we have modified the sentence to “In this study, we produced a 1km-grid crop phenological dataset for three main crops from 2000 to 2015 based on Global Land Surface Satellite (GLASS) Leaf Area Index (LAI) products, named as ChinaCropPhen1km.”, and deleted “, named as ChinaCropPhen1km” in Line 19.
- 2) Line 221: we have changed the title of section 3.2 “Validation of the phenological data” to “Validation of ChinaCropPhen1km”
- 3) Line 335-336: We have modified the sentence to “In the present study, we generated 1km-grid crop phenological dataset for three main crops from 2000 to 2015 based on GLASS LAI products, named as ChinaCropPhen1km.”, and deleted “, i.e., ChinaCropPhen1km” in Line 341.

Introduction

L46 It is urgently required to acquire the gridded phenological datasets -> remains unclear – please reword the sentence

Response: Thanks very much for your careful check. We have reworded the sentence to “To implement a large-scale agricultural system simulation for multiple crops, there is an urgent need to acquire the gridded phenological dataset for each crop at a national or global scale.” (Line 49-50).

Chapter 2 Data and Methods

L66 The study areas are across the mainland of China, possessing of complex environments
please reword the sentence, e.g. a.. areas .. are characterised by different ...

Response: Thanks very much for your careful check. We have reworded the sentence to “The study areas across the mainland of China are characterized by complex environments and crop planting structures, diverse cropping intensity and cultivation habits.” (Line 70-71).

Here is also the right place to introduce the subregions used later in results and discussions

Please include a figure also in manuscript text showing the provinces I, II, III, IV, V -now in supplement S1 only, figure similar as in supplement S1, please edit the unit of the scale bar: change miles to km

Response: Thank you a lot for the insightful suggestion. We have added two sentences to introduce the subregions (Line 73-74) and Table S1-S3 in Supplement to provide the details of the subregions for each crop. Meanwhile, we have changed the Fig. 1 to show the divided subregions for each crop. Finally, we have edited the unit of the scale bar for Fig. 6 and Fig. S1-S4.

2.2.1 change title to ChinaCropPhen Input data

Put in here The GLASS LAI satellite product data used as input for phenology

An improved MODIS-based LAI dataset (GLASS LAI) from 2000 to 2015 was from Liang et al. (2013)

And we suggest also to put in this subchapter the National Land Cover Dataset (NLCD) that is used as a mask for ‘labelling’ / assigning the crop type as it belongs to the production of the data product

Can you go in detail in methods how NLCD is used for the masking

Response: Thanks very much for your constructive comment. We have followed your advice and modified it in the revised paper (Line 81-97). We added the sentence “In addition, the cultivated-land layer derived from the 1-km National Land Cover Dataset (NLCD) of China was used as cropland masks. Specifically, we detected the key phenological dates for dryland crops (i.e., maize and wheat) and paddy rice, which were restricted on the dry land and paddy field layer derived from the NLCD, respectively.” to provide the detail in methods how NLCD is used for masking.

2.2.2 ChinaCrop Phen validation data

Response: Thanks very much for your insightful comment. We have changed the title as your advice suggests.

2.3. Methods chosen to smooth LAI products -> ChinaCropPhen LAI smoothing methods

Three popular methods -> change to three commonly used methods

Response: Thanks very much for your constructive suggestion. We have followed your advice and

modified it in the revised paper (Line 120-124).

3.4 The changes in three key phenological dates and growth periods from 2000 to 2015

2.3.5 Retrieving the phenological information at 1-km pixel across China -> ChinaCropPhen

L168 ... OFP approach and regarded the grids that the three key phenological stages (mentioned in 2.3.3) could be simultaneously ... - remains unclear, please rephrase

Response: Thanks very much for your constructive suggestion and careful check. We have changed the title of section 2.3.5 to “Generating ChinaCropPhen1km dataset”. Moreover, we have modified the sentence and added an example to explain clearly (Line 185-189).

chapter 3.5 discussion on uncertainty

please check the English throughout this chapter, e.g.

Response: Thanks a lot for your careful check. We have reorganized the structure of this chapter carefully (Line 315-329). We summarize the sources of uncertainties in ChinaCropPhen1km to two aspects. One is the quality of GLASS LAI products (Line 315-322). The other is the mixed pixel issue (Line 323-329). The former can be divided into two secondary aspects, i.e., the noise of original GLASS LAI time-series (Line 316-319) and deficiency in GLASS LAI retrieval algorithm (Line 320-322). Similarly, the later was resulted from the coarse spatial resolution of 1 km (Line 325-326) and the inclusion of several crop types in the dryland layer of NLCD (Line 326-327).

First of all, the uncertainties of the GLASS LAI products have a relatively greater impact on ChinaCropPhen1km – please rephrase wording – greater impact than what?

Response: Thanks a lot for your careful check. We have reworded this sentence to “On the one hand, GLASS LAI products might lead to some uncertainties in ChinaCropPhen1km.”.

the unavailable crop-specific map ? not clear

Response: Thanks a lot for your careful check. We have changed the phrase to “the crop-specific map”.

conclusions

In conclusion please include more characteristics and results on the data set,

1 km , evaluated –better than 10 days

Response: Thanks very much for your constructive suggestion. We have added the evaluated accuracy of ChinaCropPhen1km in Line 343.

Figures

figure 2 this work flow is a good idea and intuitive and well understandable by the readers. However, you can optimize the figure

suggestions

- land use products -> you could name it NLCD
- can you indicate how NLCD is used for the masking, e.g by placing a box with ‘mask’ on the line leading to NLCD
- please rephrase in a more technical way ‘the most suitable smoothing method’

in the figure caption provide description of short names

Response: Thanks very much for your constructive suggestion. We have followed your advice and optimized the Figure 2.

Fig 4

Exchange raw data with

Response: Thanks very much for your careful check. We have replaced the “raw data” in Fig. 4 by “Original GLASS LAI”.

Figure 5 x – axis add recorded AMS dates

Response: Thanks very much for your constructive suggestion. We have followed your advice and modified the title of x-axis.

Table 1: heading of left column region ? or province/subprovince?

Response: Thanks very much for your careful check. We have added the “province” to the heading of left column.

Data publication on figshare

ChinaCropPhen1km geotiffs are well readable and contain good meta data

Please provide the information on the projection and format already in the figshare abstract

Description that the pixel value is the Julian Day of the Year DOY

You can also consider to change the zero value to a No data value.

Response: Thanks very much for your careful check and constructive suggestion. We have updated the abstract of figshare and the dataset as your advice suggests.

Response to Reviewer #1:

Thanks for your careful reviewing and all constructive comments on our manuscript. We have taken all your comments into account and responded positively to qualify our manuscript for a potential publication in the journal. The reviewer’s comments are marked in black color, while our detailed responses in blue. Accurate crop phenological dataset at the large regional scale is of great importance to various agricultural applications. This paper established the ChinaCropPhen1km, which consists of high-resolution gridded-phenology product for three major staple crops of China, i.e., wheat, maize, rice. Several comments for this paper are listed as follows.

1) As the authors pointed out, the study area of this study (i.e., the China mainland) possesses complex environments and crop planting patterns, diverse cropping intensity and cultivation habits. Therefore, according to previous studies, I suggest the practice of separating the whole study area into sub agro-climatic zones, and establishing model for each crop type from each zone, might further improve the model’s performance and dataset accuracy.

Response: Thank you a lot for the insightful suggestion. We greatly agree with you that the studied area division is vital for detecting accurately crop phenology owing to the complex environments and crop planting patterns, diverse cropping intensity and cultivation habits in the study area. Actually, we separated the whole studied area into different provincial administrative units, which is more specific than

agricultural zones. Especially, for provinces with large heterogeneity in crop planting patterns, such as Shaanxi, Shanxi and Hebei province where spring-sown crops are planted in the north and summer-sown crops in the south due to the different agroclimatic characteristics, we divided them into two parts based on agroclimatic regionalization to detect phenology for each crop type (as shown in Fig.1). Given the large spatial extent of the study area, we believe that the method of studied area division used in our study is more specific and reasonable than what you have suggested.

Reference:

Zhao, J., Yang, X., & Sun, S. (2018). Constraints on maize yield and yield stability in the main cropping regions in China. *European Journal of Agronomy*, 106-115.

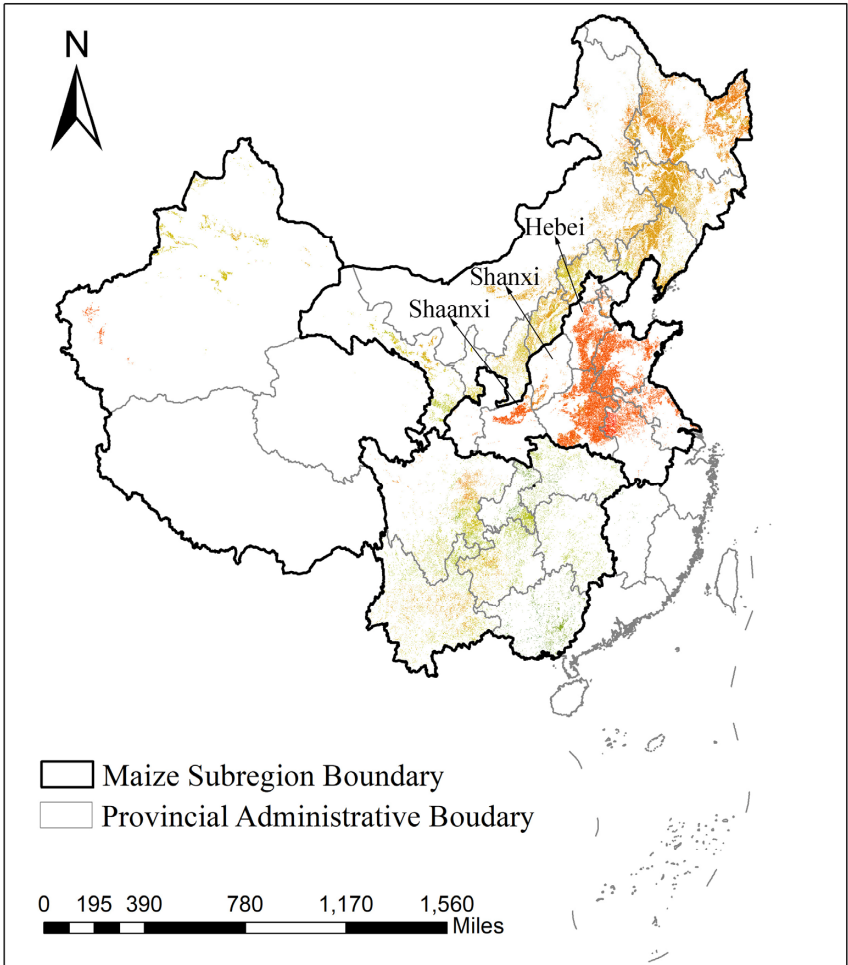


Fig.1: Spatial patterns of annual averages of three key phenological dates during 2000~2015 for rice (a),

wheat (b), and maize (c).

2) Another issue is that how the authors determine the spatial distribution of each crop type. Any crop mask have been used in your study?

Response: Yes, we did use crop mask for identifying the spatial distribution of each crop type. In this study, we first selected the cultivated-land layer derived from the 1-km National Land Cover Dataset (NLCD) of China as cropland masks. Then, we identified the inflection and maximum points of LAI time-series for each cropland grid as indicators of corresponding key phenological stages (as mentioned in Section 2.3.3) for each crop within the restricted time windows based on the observations around the nearest AMS. Finally, we regarded the grids with three indicators during the time windows as crop-cultivated grids for each crop. Specifically, we detected the key phenological dates for dryland crops (i.e., maize and wheat) and paddy rice, which were restricted on the dry land and paddy field layer derived from the NLCD, respectively.

Reference:

Chen, Y., Zhang, Z., & Tao, F. (2018). Improving regional winter wheat yield estimation through assimilation of phenology and leaf area index from remote sensing data. *European Journal of Agronomy*, 163-173.

3) Besides, the uncertainty of the developed dataset should be further discussed. As the proposed dataset are based on the GLASS LAI, it is suggested that the accuracy of GLASS LAI should also be provided. And the authors had better analyze the impact of the uncertainties of GLASS LAI on ChinaCropPhen1km.

Response: Thanks very much for your constructive comment. We have followed you to insert relevant contents into our manuscript (highlighted in “Track Changes” as suggested in the revised manuscript).

1. In the Data and methods (Section 2.2.1), the accuracy of GLASS LAI has been provided from Line 86 to 89 in the revised manuscript.
2. In the Results and Discussion (Section 3.5), we added one paragraph (from Line 315 to 329 in the revised manuscript) for discussing the uncertainties in the study.

Response to Reviewer #2:

Thanks for your careful review and constructive comments on our manuscript, and they really inspire us to improve the paper's quality. We have taken all your comments into account and responded positively to qualify our manuscript for a potential publication in the journal. The reviewer's comments are marked in black, while our detailed responses in blue.

General Comments:

This study provides a long-term phenology dataset for three staple crops in China at the 1km spatial resolution (ChinaCropPhen1km). Such dataset with high resolution and accuracy is very useful to the researchers focused on crop model, yield estimation, food security, impact evaluation from climate change, and etc.. Meanwhile, the method proposed is robust and repeatable, and the authors' study provide a potential tool to apply into other regions and other crop systems. The manuscript is generally well-structured and well-written, and many findings are very interesting and very attractive to many potential readers. The manuscript falls well within the scope of the journal and provides a suitable contribution to ESSD. Therefore, I recommend it can be acceptable for publication with minor revision.

The following specific comments should be noticed:

1) Line 39: "estimate" should be "estimated".

Response: Many thanks for your careful check and valuable comment. We have followed your advice and modified it in the revised paper.

2) Line 53: Add "the" before "essential".

Response: Thanks for your careful review. We have modified it in the revised paper (Line 57).

3) Line 69: "the single cropping system of spring maize in Northeast China" is not an example of "multi-cropped".

Response: Thanks for your careful review. We have deleted "the single cropping system of spring maize in Northeast China" in Line 76.

4) Line 91: Replace “including” with “i.e.”.

Response: Thanks for your careful review. We have modified it in the revised paper (Line 97).

5) Line 95: Replace “smooth” with “smoothing”.

Response: Many thanks for your careful check. We have corrected this mistake in the new edition. (Line 113).

6) Line 169: Singularize “crop pixels”.

Response: Thank you so much for your comments. We have followed your advice and revised it in the revised paper (Line 191).

7) Line 170: Add “using” before “RMSE”.

Response: Thank you for your comments. We have modified in the revised paper (Line 192).

8) Line 189: Revise “the median columns of Fig.3” to detailed icon of specified figure

Response: Thank you for your careful comments. We have specified the corresponding icon of figure (i.e., Fig. 3-b, f) in the revised paper (Line 211).

9) Line 196: In terms of “the uncertainty of GLASS LAI data”, how such uncertainties may affect the generated dataset?

Response: Thank you a lot for the insightful suggestion. We have followed your suggestion and added relevant contents into our manuscript (highlighted in “Track Changes” as suggested in the revised manuscript). In the Results and Discussion (Section 3.5), we added one paragraph (from Line 315 to 329 in the revised manuscript) for discussing the uncertainties in the study.

10) Line 212: Delete “degrees”.

Response: Thank you for your careful comments. We have modified in the revised paper (Line 234).

11) Line 217: Add “be” before “ignored”.

Response: Many thanks for your careful check. We have corrected this mistake in the new edition. (Line 239).

12) Line 274: V3 is not the key phenological stage for wheat, delete "V3" in parenthesis.

Response: Thanks a lot for your careful comments. We have modified it in the revised paper (Line 296).

13) Line 282: Add "for" before "wheat".

Response: Thanks for your careful check. We have followed your advice and revised it in the revised paper (Line 304).

ChinaCropPhen1km: A high-resolution crop phenological dataset for three staple crops in China during 2000-2015 based on LAI products

Yuchuan Luo¹, Zhao Zhang^{1*}, Yi Chen², Ziyue Li¹, Fulu Tao^{2,3,*}

¹State Key Laboratory of Earth Surface Processes and Resource Ecology, ~~/MEM&MoE~~ Key Laboratory of Environmental Change and Natural Hazards, Faculty of Geographical Science, Beijing Normal University, Beijing 100875, China

²Key Laboratory of Land Surface Pattern and Simulation, Institute of Geographical Sciences and Natural Resources Research, Chinese Academy of Sciences, Beijing 100101, China

³College of Resources and Environment, University of Chinese Academy of Sciences, Beijing 100049, China

Correspondence to: Zhao Zhang (sunny_zhang@bnu.edu.cn)

Abstract. Crop phenology provides essential information for land surface phenology dynamics monitoring and modelling, and crop management and production. Most previous studies mainly investigated crop phenology at site scale, however, land surface phenology dynamics monitoring and modelling at a large-scale need a high-resolution spatially explicit information on crop phenology dynamics. In this study, we ~~produced a 1km-grid crop phenological dataset~~ ~~proposed a method to retrieve 1km-grid crop phenological dataset~~ for three main crops from 2000 to 2015 based on Global Land Surface Satellite (GLASS) Leaf Area Index (LAI) products, named as ChinaCropPhen1km. First, we compared three common smoothing methods and chose the most suitable ~~methods-one~~ for different crops and regions. Then, we developed an optimal filter-based phenology detection (OFP) approach which combined both inflexion- and threshold-based method and detected the key phenological stages of three staple crops at 1km spatial resolution across China. Finally, we established a high resolution gridded-phenology product for three staple crops in China during 2000-2015, ~~named as ChinaCropPhen1km~~. Compared with the intensive phenological observations from the Agricultural Meteorological Stations (AMS) of China Meteorological Administration (CMA), the dataset had a high accuracy with errors of retrieved phenological date less than 10 days, and represented the spatiotemporal patterns of the observed phenological dynamics at site scale fairly well. The well-validated dataset can be applied for many purposes including improving agricultural system or earth system modelling over a large area.

DOI of the referenced dataset: <https://doi.org/10.6084/m9.figshare.8313530> (Luo et al., 2019).

25 1 Introduction

Phenology is a key indicator of vegetation growth and development and plays an important role in vegetation monitoring (Qiu et al., 2015; Tao et al., 2017; Zhong et al., 2016). Accurate information on the timing of key crop phenological stages is critical for determining the optimal timing of agronomic management options, reliable simulations of crop growth and yield, and analyzing the plant response to climate change (Bolton and Friedl, 2013; Brown et al., 2012; Chen et al., 2018a; Sakamoto et al., 2013; Sakamoto et al., 2010; Wang et al., 2015; Zhang and Tao, 2013).

Field phenological observations are time- and money-consuming. And the observational stations are limited and distributed sparsely. Therefore, the field phenological observations ~~cannot~~ meet the requirements of many purposes such as vegetation monitoring for remote areas with sparse observations and the grid-based earth system simulations. The satellite-based observations with a wide spatial coverage and short revisit times have become a powerful method to monitor vegetation growth and obtain vegetation information at regional and global scales. Previous studies have mainly used a vegetation index (VI) to extract crop phenology. For examples, Pan et al. (2015) presented a method to construct Normalized Difference Vegetation Index (NDVI) time-series dataset derived from Environment and Disaster Monitoring and Prediction Satellite Constellation A/B (HJ-1 A/B) charge coupled device (CCD) sensor and extract phenology parameters. Zeng et al. (2016) detected corn and soybeans phenology with Moderate Resolution Imaging Spectroradiometer (MODIS) 250-m Wide Dynamic Range Vegetation Index (WDRVI) time-series data. Cao et al. (2015) developed an adaptive local iterative logistic fitting method to fit time-series of Enhanced Vegetation Index (EVI) derived from MODIS and estimated green-up date of spring vegetation. Sakamoto (2018) refined the Shape Model Fitting method to estimate the timing of 36 crop-development stages of major U.S. crops from MODIS WDRVI time-series data. Crop phenology detected by these studies is relatively accurate. Nevertheless, it cannot be ignored that the VI are overly dependent on the band characteristics of sensors (Atzberger et al., 2014). By contrast, the Leaf Area Index (LAI) is more robust across diverse sensors and more sensitive than VI to large amounts of vegetation (Verger et al., 2016). In addition, previous studies focused on only very limited areas or very few crops due to the high diversity and complexity of agricultural planting structures (Liao et al., 2019;Liu et al., 2017;Xu et al., 2017;Wang et al., 2012).

To implement a large-scale agricultural system simulation for multiple crops, there is an urgent need to acquire the gridded phenological dataset for each crop at a national or global scale~~It is urgently required to acquire the gridded phenological datasets over a long term period at a national scale as it is the basis for a large scale agricultural system or earth system simulation.~~ For example, crop model can simulate crop growth, development and predict crop yields. However, its applications to a large area are limited by the lack of accurate and spatially heterogeneous crop growth information (Curnel et al., 2011;Dorigo et al., 2007;Tao et al., 2009;Jin et al., 2018). According to some previous studies, it could improve the accuracy of model estimation at large scale by assimilating reliable remote sensing data into crop growth models (Bolten et al., 2010;Nearing et al., 2012;Ines et al., 2013;Chen et al., 2018a;Huang et al., 2015;Zhou et al., 2019;de Wit and van Diepen, 2007). Among the state variables used in the assimilation, phenology is one of the essential variables because of its critical roles in affecting dry matter accumulation and distribution during the growing stages and reflecting crop periodic biological changes influenced by various environmental conditions (e.g., climate) (Jin et al., 2018;Zheng et al., 2016).

In this study, using a remotely sensed Global Land Surface Satellite (GLASS) LAI product (2000-2015) (Xiao et al., 2014), we aim to 1) choose the most suitable smoothing method to reduce the noise of the LAI time-series for different crops and regions; 2) detect the phenological information of three staple crops (i.e., maize, rice and wheat) at 1-km spatial resolution across China, and evaluate its accuracy by comparing with the observed data at Agricultural Meteorological Stations (AMS) of China Meteorological Administration (CMA); 3) explore the spatial patterns of different phenological stages. The resultant

65 remote sensing LAI-based crop phenology dataset with 1-km spatial resolution across China (ChinaCropPhen1km) will benefit
to understand crop phenological dynamics, climate change impacts and adaptations, and agricultural system modelling over a
large area, temporally and spatially (Luo et al., 2019).

2 Data and methods

2.1 Study area

70 ~~The study areas across the mainland of China are characterized by complex environments and crop planting structures, diverse~~
~~cropping intensity and cultivation habits. The study areas are across the mainland of China, possessing of complex~~
~~environments and crop planting structures, diverse cropping intensity and cultivation habits~~ (Fig. 1-a) (Piao et al., 2010; Zhang
et al., 2014a). Additionally, we divided the whole study areas into different subregions for each crop based on the cropping
system and growth environment (Fig. 1-b, c, d). More details of the subregions for each crop were shown in Table S1-S3. Rice,
75 wheat and maize are the three staple crops in China, together accounting for 59% of the total planting area and 92% of the
grain yield in 2017. Roughly half of the cropland in China is multi-cropped, such as ~~the single cropping system of spring~~
~~maize in Northeast China,~~ the double cropping system of wheat-maize in the North China Plain, and the rotation system
between early-rice and late rice in Southern China (Frolking et al., 2002).

80 2.2 Data

2.2.1 ~~The GLASS LAI data~~ ChinaCropPhen1km input data

An improved MODIS-based LAI dataset (GLASS LAI) from 2000 to 2015 was from Liang et al. (2013) (<http://glass-product.bnu.edu.cn/?pid=3&c=1>). The GLASS LAI product was generated with general regression neural networks (GRNNs)
trained by the fused LAI from MODIS and Carbon cYcle and Change in Land Observational Products from an Ensemble of
85 Satellites (CYCLOPES) LAI products and the reprocessed MODIS reflectance of the Benchmark Land Multisite ANalysis
and Intercomparison of Products (BELMANIP) sites during the period 2001-2003 (Liang et al., 2013). By computing the Root
Mean Square Error (RMSE) and determination coefficients (R^2) between several global LAI products and the high-resolution
LAI reference map, it could be shown that the accuracy of the GLASS LAI (RMSE=0.78, R^2 =0.81) was fairly better than that
of the MODIS LAI product (MOD15) and Geoland2/BioPar version 1 (GEOV1) (Xiao et al., 2016). Moreover, Many studies
90 have the intercomparison have-indicated that GLASS LAI (8-day composites of 1-km spatial resolution) was more temporally
continuous and spatially complete than the other LAI products (Xiao et al., 2014; Xiao et al., 2016). It has been applied to
vegetation monitoring and crop model assimilation (Xiao et al., 2014; Chen et al., 2018a).
In addition, the cultivated-land layer derived from the 1-km National Land Cover Dataset (NLCD) of China was used as
cropland masks. Specifically, we detected the key phenological dates for dryland crops (i.e., maize and wheat) and paddy rice,

95 ~~which were restricted on the dry land and paddy field layer derived from the NLCD, respectively. NLCD was provided by Data Centre for Resources and Environmental Sciences, Chinese Academy of Sciences (<http://www.resdc.cn/Default.aspx>), which also included several epochs of land use datasets, i.e., 2000, 2005, 2010 and 2015 (Liu et al., 2005;Liu et al., 2014).~~

2.2.2 ~~Phenology observation~~ChinaCropPhen1km validation data

100 The crop phenology observation records from 2000 to 2013 of maize, rice, and wheat crops were obtained from AMS, which were governed by CMA (<https://data.cma.cn/>). Such phenology information was observed and recorded by well-trained agricultural technicians in the experimental field, and then checked and managed by the Chinese Agricultural Meteorological Monitoring System (CAMMS). In this study, we selected the agrometeorological stations with more than 10 years of records of key phenological dates, including green-up date, emergence date, transplanting date, V3 stage (i.e., early vegetative stage of maize when the third leaf is fully expanded), heading date, and maturity date, for the three crops. Totally, there were 436
105 stations across main crop-cultivated areas in China (Fig. 1).

~~2.2.3 Other data~~

~~The 1 km National Land Cover Dataset (NLCD) of China was provided by Data Centre for Resources and Environmental Sciences, Chinese Academy of Sciences (<http://www.resdc.cn/Default.aspx>), which also included several epochs of land use datasets including 2000, 2005, 2010 and 2015 (Liu et al., 2005;Liu et al., 2014).~~

110 2.3 Methods

The method to retrieve the phenological information of three staple crops at national scale is presented schematically in Fig. 2. The data processes are as follows: 1) data preprocessing, 2) selecting the cropland sample grid to determine the suitable ~~smoothing smooth~~ method, 3) determining the optimal filter-based phenology detection (OFP) approach, 4) ~~retrieving the phenological information of three crops at 1-km pixel across China generating ChinaCropPhen1km dataset.~~

115 2.3.1 Data preprocessing

Due to the differences among these datasets on projected coordinate system, firstly, we projected or re-projected all raster data to “Asia North Albers Equal Area Conic” by using the Projection Raster tool in ArcGIS. Then, we combined 46 annual GLASS LAI images together and used a China provincial administrative vector map to mask images by province. Finally, a LAI time-series was created for each pixel for further applications.

120 ~~2.3.2 Methods chosen to smooth LAI products~~ChinaCropPhen1km LAI smoothing methods

Previous studies have proposed different smoothing methods to reduce the noise of GLASS LAI time series, and found the OFP method varied by studied times, areas, and objectives (Zhao et al., 2016;Wang et al., 2018). Three ~~popular commonly~~

used methods were chosen in the study to smooth the LAI time-series curves, including the Double Logistic (DL) method, Savitzky-Golay (S-G) filter method, and Wavelet-based filter (WF) method.

125 2.3.2.1 Double logistic (DL) method

Double logistic is a method of merging local fitting parts to obtain the overall fitting result (Jonsson and Eklundh, 2004). In the local fitting process, the Double logistic function can be expressed as:

$$g(t; x_1, \dots, x_4) = \frac{1}{1 + \exp(\frac{x_1 - t}{x_2})} - \frac{1}{1 + \exp(\frac{x_3 - t}{x_4})} \quad (1)$$

130 where, x_1 determines the position of the left inflection point while x_2 gives the rate of change. Similarly, x_3 determines the position of the right inflection point while x_4 gives the rate of change at this point.

2.3.2.2 Savitzky-Golay (S-G) filter method

Based on locally adaptive moving window, Savitzky-Golay (S-G) filtering method can be used to smooth data and suppress disturbances with a local polynomial regression model (Savitzky and Golay, 1964). Algorithm can be summarized as follows:

$$LAI_j^* = \frac{\sum_{i=-n}^{i=n} C_i LAI_{j+i}}{N} \quad (2)$$

135 where, LAI_{j+i} represents the original LAI value, LAI_j^* is the smoothed LAI value, j is running index of the LAI time series, C_i is the coefficient of the i -th LAI value, n is the half-width of the smoothing window, and N is the width of the moving window to perform filtering ($2n+1$). The width of the moving window— N , not only determines the degree of smoothing, but also affects the ability to follow a rapid change. We selected three windows width (3, 4, 5) to identify a better width for different crops and regions.

140 2.3.2.3 Wavelet-based filter (WF) method

Wavelet-based filter method can reduce noise with reflecting the periodicity of seasonal vegetation change (Sakamoto et al., 2005). The input signals $f(x)$ is transformed in the wavelet transform as follows:

$$Wf(a, b)_i = \frac{1}{\sqrt{a}} \int \varphi\left(\frac{x-b}{a}\right) f(x) dx \quad (3)$$

where a is a scaling parameter, b is a shifting parameter, and φ implies a mother wavelet.

145 The advantage of the WF method is that it can maintain the time components of time-series data and hardly distort signals. The input signals $f(x)$ is decomposed to linear combinations of wavelet functions in the multi-resolution approximation:

$$f(x) = \sum_{i=1}^j [f(x)_i + g(x)_i] \quad (4)$$

where $f(x)_i$ implies the approximate expression in level i , and $g(x)_i$ implies the high-frequency components in level i . We used three types of mother wavelets: Daubechies (1988) (order=3–24), Coiflet (order=1–5), and Symlet (order=4–15) in the study.

2.3.3 Methods to detect the phenological information

The methods to detect remote-sensing-based phenology can generally be classified into three groups: inflexion-based method (Chen et al., 2016), threshold-based method (Manfron et al., 2017), and methods based on the mathematical or geometrical model fitting approach (Sakamoto et al., 2010). In this study, we used both inflexion- and threshold-based methods together to detect phenology. Firstly, we defined the inflection and maximum points of LAI time-series as the specific timing of key phenological stages for different crops (Fig. 3).

2.3.3.1 Green-up date, emergence date, transplanting date and V3 stage

We defined the date of inflection point (the first derivative increases continuously after this point or the second derivative equals 0) of the LAI time-series curves as the green-up date of winter wheat, emergence date of spring wheat, transplanting date of rice and V3 stage (early vegetative stage of maize when the third leaf is fully expanded) of maize (Sakamoto, 2018; Sakamoto et al., 2005; Sakamoto et al., 2010). Before the inflection point, the LAI values are kept low for a long time, and then they start to increase continuously after this point.

2.3.3.2 Heading date

Heading date in the study was defined as the day when LAI reaching the maximum, as similar as some previous studies (Sakamoto et al., 2005; Chen et al., 2018b). That is to say, the maximum LAI points in the time-series curve are regarded as the heading dates.

2.3.3.3 Maturity date

When crops reach maturity, the physiological activity will change largely, leading to an abrupt decrease in LAI (Sakamoto et al., 2005; Chen et al., 2018b). Therefore, we regarded an inflection point in the LAI time-series curve, where the first derivative is negative with the largest absolute value, as the maturity date.

2.3.4 Determining the optimal filter-based phenology detection approach (OFP)

Based on the observations around the nearest AMS, we needed firstly to determine the restricted time windows responding to each key phenological stage for different crops. Then we sampled randomly 1000 grids every year in each province from the grids where the land use data was identified as cropland and retrieved the key phenological stages in the sampling grids according to the three smoothing methods and the above definitions of key stages. To determine the OFP approach in each

province, we identified the inflection points and maximum value point of each LAI time-series curve at each grid within the restricted time windows. After detecting phenological information of the cropland sample grids, we calculated the RMSE values between the estimated phenological dates and observed dates, and averaged these RMSE values for each crop at a provincial scale. Finally, we chose the most suitable smoothing method for different crops in each province with minimum RMSE.

2.3.5 ~~Retrieving the phenological information at 1-km pixel across China~~ Generating ChinaCropPhen1km dataset

After removing the grids from where the land use data was identified as non-cropland, we then obtained cropland grids where the phenological information will be detected. Then, the most suitable smoothing method for different crops in each province were applied to reconstruct the LAI time series at 1-km grid scale. Finally, we detected the key phenological dates ~~based on~~ using OFP approach and ~~determined the cultivated grids for each crop on the basis of three key phenological stages could be identified simultaneously, regarded the grids that the three key phenological stages (mentioned in 2.3.3) could be simultaneously identified as the crop cultivated grid for each crop. For example, if the green-up date, heading date and maturity date (corresponding to the inflection and maximum points in LAI time-series) of winter wheat could be simultaneously detected for a specific grid, then it could be regarded as the cultivated grid of winter wheat.~~ Additionally, to evaluate the accuracy of the estimated phenological dates at a national scale, we calculated the mean of phenological dates detected from each crop ~~pixels-pixel~~ around corresponding AMS and compared them with the corresponding observations by using RMSE.

3 Results and Discussion

3.1 Comparisons of different smoothing methods

The smoothed time profiles of LAI generated by different smoothing methods are shown in Fig. 4. Both S-G filter and WF method can smooth LAI time-series well. That is to say, the generated time profiles of LAI match well with the seasonal tendency of the observed LAI time-series in the field. In addition, both methods can clearly characterize the local changes in the time component and maintain the time components of LAI time-series data. Although DL method performs poorly for smoothing LAI time series of the double-season crops, ~~it is it's~~ still reliable for single-season crops. These findings were consistent with those in some previous studies (Zhu et al., 2012; Sakamoto et al., 2005; Qiu et al., 2016).

We further compared mean RMSE of different smoothing methods and selected the most suitable smoothing method with minimal mean RMSE for different provinces and crops (Table 1). If the RMSE values were same, we also compared the number of crop grids according to different smoothing methods, and selected the suitable method which had identified a larger number of crop grids. It is noted that the number of identified grids differ considerably even with same RMSE values. Totally, S-G filter was an overwhelming smoothing way for 95% crops and provinces, followed by WF and DL method.

We ascribed the great performance of S-G to two reasons as follows. 1) One is its scientific smoothing principle: S-G filter applies an iterative weighted moving average filter to the time series, which can replace the noise data as well as keep the fidelity (Geng et al., 2014). By contrast, WF decomposes the time series into scaled and shifted wavelets to acquire time-localization of a given signal (Qiu et al., 2014). DL uses a series of parameters to fit the time series (Beck et al., 2006). 2) The other is that S-G is more suitable for GLASS LAI. S-G can catch the local variations—e.g. the bimodal curve characteristics from double cropping rice and the rotation of winter wheat and summer maize (Fig. 3-b, the median columns of Fig.3) — in time series and perform best for data without extreme noise such as GLASS LAI (Eklundh and Jönsson, 2015). DL is more useful for data with much noise, however, fails to catch local changes due to being unfit for data with double peaks. WF is also a powerful tool for processing non-stationary and noisy signals such as VI time-series rather than GLASS LAI (Rouyer et al., 2008; Sakamoto et al., 2006). Therefore, S-G is the most suitable for the complex cultivating systems across whole mainland of China. We also attributed the excellent performance of S-G to the phenological extraction rules established in this paper, and the goal of accurately extracting the crop cultivation grids, as well as key phenology stages. For example, WF smoothing method might eliminate pseudo inflexion points that may not be pseudo due to the uncertainty of GLASS LAI data sometimes, and misidentify non-crop grids by inflexion- and threshold-based methods consequently resulting in very few crop grids identified (Qiu et al., 2016).

3.2 Validation of ChinaCropPhen1km the phenological data

The comparison between retrieved phenological dates and phenological observations of each crop from 2000-2015 at national scale showed that all retrieved and observed dates were closely and averagely distributed 1:1 line for three crops (Fig. 5). Additionally, the RMSE values of retrieved phenological dates were consistently less than 10 days (Table 2). The RMSE averages of three key dates for rice were around 5.3 days, followed by wheat (5.5 days) and maize (6.7 days), corresponding to the related R^2 of 0.98, 0.97 and 0.97, respectively.

As for the differences among crops, the retrieved accuracy of maize phenological stages was consistently the worst, with the biggest RMSE and errors ($\geq \pm 10$ days), and the lowest errors ($\leq \pm 10$ days) and R^2 . We ascribed the lower accuracy of maize phenology to the wider spatial heterogeneity environment and the complex rotation planting system relative to the other two crops (Qiu et al., 2018). The highest accuracy of rice phenology also supported the accuracy impact of complex planting system because paddy field is unfit for dryland crops such as maize, wheat, soybean, and other coarse cereals (Dong et al., 2015).

More interestingly, the retrieved accuracy of three crops decreased as crop growing and developing up to maturity periods (Table 2), with the average RMSEs ranging from 3.7 to 7.2 days. The highest accuracy (RMSE=2.8, error=0.5%) was found for the green up/emergence stages of wheat, while accuracy ~~degrees~~ of maturity stage for each crop were the lowest (average RMSE=7.2, error=19%). The reasonable explanation might be relative weaker interfere from other vegetation because the green-up/emergence stage occurs most early during plant growing period (some 80 DOY Table 3). With the land surface greening up, more and more information on plant growing statuses will be shot by satellites, which consequently mix with the

crops' information and interfere to retrieve accurately the phenological stages of crops. Of course, the interfering from anthropological activities should not be ignored with climate warming.

240 Nevertheless, overall the retrieved phenological dates for the three crops are in strong correspondence with the observational dates ($R^2 > 0.95$) and their relationships are statistically significant ($p < 0.01$). Meanwhile, the growing status of other plants (or rotation crops e.g. wheat-maize, maize-soybean) and the influence of other noises will lead to deviations of the remote-sensing LAI curve and the actual observed curve in the field. The noises also include other factors, e.g. weather conditions, farmers' behaviors, etc... However, the uncertainty does not exclude the applicability of our method to retrieve key phenological stages of crops, especially retrieving relative higher resolution phenological information based on mature remote-sensing products at a large spatial scale.

3.3 Spatiotemporal patterns of key phenological stages from 2000 to 2015

We showed the annual averages of each key crop growth stage to indicate their spatiotemporal patterns due to the similarity in inter-annual patterns for a certain crop over the 16 years (Figs.6-7, Table 3, and Fig. S1-S4). Besides summarizing the key stages by crops and sub-regions, we also calculated three crop growth periods, including VGP (vegetative growth period), RGP (reproductive growth period) and GP_w (whole growth period) to interpret their patterns (Fig.8, Table 3). Among the five sub-regions with rice cultivation, the sub-region III was the most complex because three types of rice were cultivated there (Fig.6-a; Fig.7-a). The single-rice in the sub-region III was generally cultivated in the northern parts of four provinces (i.e., Anhui, Jiangsu, Zhejiang and Hubei), which was characterized by three key stages occurring latest (DOY 159~265) than other three single-rice sub-regions (I, II, IV). Moving from the south (IV) to north (I) (excluding the sub-region III because of cultivation of double-rice), single-rice was not wasn't transplanted in sequence as expected. In the sub-region II, it was transplanted latest (DOY 154) but had relatively early maturity dates (DOY 255), resulting in the shortest growing period (101 days) (Fig.8-a). On the contrary, in the sub-region IV, single-rice was transplanted earliest but had the maturity occurring latest, resulting in the longest growing period of 130 days (Figs.6-a, 7-a, 8-a). In the sub-region V where only double rice was cultivated, early-rice was transplanted earlier (DOY 99), maturity dates of late rice occurred later (DOY 310), and consequently resulting in longer growing periods (97 and 101 days for corresponding early and late-rice) than those in the sub-region III with double-rice cultivation (80 and 84 days) (Table 3).

As for wheat, green-up & emergence dates ranged most widely (DOY 30~128) than other crops. Winter wheat in the sub-regions II and IV had earlier green-up dates, while spring wheat in the sub-regions of I and III had later emergence dates (Table 3, Figs.6-b, 7-b). Moreover, along with the latitudes from the north to south (excluding the sub-region III because of the sparsest wheat cultivation there), the first key dates became earlier but with shorter growth periods (106, 93, 92 days for I, II and IV) due to the sufficient temperature and light in the sub-region IV (Yu et al., 2012) (Fig.8-b). Interestingly, the heading and maturity dates in the three sub-regions showed consistently the same spatial patterns as that of the first stage with latitudes decreasing (Figs.6-b, 7-b).

270 Both spring and summer maize types were concurrently cultivated in the sub-regions III and IV, while only one of them was cultivated in the sub-regions I and II, the main planting areas of northern China (Figs. 6-c, Fig.7-c, Table 3). V3 of summer maize was approximately 43 days later than that of spring maize (DOY 161 vs. 117), but their maturity dates were very close (DOY 259 vs. 245), which thus caused a shorter growth period for summer maize, especially for the sub-regions II and III (some 84 days) (Fig.8-c). Additionally, in three sub-regions (I, III, IV) for spring maize, like wheat, the spatial patterns of the
275 three key stages for maize were similar in spatial patterns with latitude increasing. Finally, the key dates and periods were the most variable in the sub-region IV (Figs.7-c, 8-c).

In sum, the spatial patterns of key phenological stages varied by crops and cultivated ways. In addition, early rice and single cropping rice in the sub-region III, wheat in the sub-region III, and maize and rice in the sub-region IV showed a larger variability than others due to the mixed planting of heterogeneous varieties of the same crop. Many factors could have impacts
280 on crop phenology, such as climate, environment, farmer's behaviors, technological development, and human activities (Liu et al., 2016;Liu et al., 2018). Different from natural ecosystems such as wild forest or grassland, three main crops cultivated across the mainland of China ~~did not didn't~~ reach greening-up or flowering dates in sequence with latitude, especially for rice (Zhang et al., 2015;Tao et al., 2014;Zhang et al., 2014b). Moreover, climate conditions did have impacts on crop phenology. For example, increased temperature had advanced heading and maturity date of crops in China (He et al., 2015;Tao et al.,
285 2014). At the same time, crop management activities, such as cultivar shift and the adjustment of planting and harvesting date, had affected crop phenology largely (Tao et al., 2006;Tao et al., 2013).

3.4 The changes in three key phenological dates and growth periods from 2000 to 2015

To interpret the changes of the three key phenological dates and growth periods from 2000 to 2015, we analyzed their trends at pixel scale and summarized the grids with a significant trend ($p < 0.1$) according to crops and sub-regions (Figs 9-10, Table
290 4). We found more positive trends, with 0.78 days/year for 70% summarized medians, but fewer negative ones, with -0.69 days/year and 30% medians. This suggests that phenological dates have been delayed. Specifically, the proportion of pixels that had a positive trend is 92% for wheat (Fig.9-b), 75% for rice (Fig.9-a), and 50% for maize (Fig.9-c).

For rice, transplanting dates were consistently advanced by -0.64 days/year for early rice and single-rice, and delayed by 0.84 days/year for late rice in most areas. Maturity dates became later by 1.23 days/year, but heading dates had less changes. In
295 addition, double rice in the sub-region III showed less variable than that in the sub-region V (Fig.9-a). By contrast, the first stages (i.e., green-up & emergence ~~V3~~) delayed by 0.88 days/year consistently for almost all the wheat cultivation areas (Fig.9-b). Maize in the sub-region II, and wheat in the sub-region I and II (Fig.9-b), had an opposite trend to that of rice (Fig.9, Table 4). Moreover, the changes in the three stages showed less variable in the sub-region II, the main planting areas for both dryland crops. Among all the crops and growth stages, maize in the regions III and IV had consistently negative trends with exception
300 of maturity dates in the sub-region IV.

Compared with the significant changes in phenological dates, the duration of phenological periods changed in less pixels (< 30%) (Table 4). More pixels with positive trends, with 1.25 days/year for 66.7% medians, were identified than those with

negative trends, with -0.97 days/year for 32.3% medians, implying a commonly prolonged growth periods during the study period. 95.8% of the medians were positive for rice, while 75% of the medians were negative for wheat. The changes of maize growth periods were similar to those of its phenological dates.

The duration of growth periods was prolonged, especially for the whole growth period (GPw), which was consistently observed for rice cropping systems, except for early rice in the sub-region V. In addition, the duration of VGP for single rice in the sub-region I had weaker trends (Fig. 10-a). On the contrary, almost all the wheat growth periods were shortened except for winter wheat in the sub-region V, especially for spring wheat in the sub-region I (Fig.10-b). Additionally, in term of growth period duration, maize had the similar changes as wheat in the sub-regions I and II. Changes in growth period duration were different for spring (shortened) and winter (prolonged) wheat, and for both maize types between the sub-region III and IV (Fig.10-c). The results are well supported by some previous studies based on the intensive observations at site scale (Tao et al., 2013;Tao et al., 2014;Tao et al., 2012;Zhang et al., 2014b).

3.5 Uncertainties of ChinaCropPhen1km

Inevitably, there are still uncertainties in the generated dataset (i.e., ChinaCropPhen1km). On the one hand, GLASS LAI products might lead to some uncertainties in ChinaCropPhen1km. First of all, the noise of original GLASS LAI time-series could reduce the accuracy of detected phenological stages, which was resulted from many factors such as cloud, snow, aerosols, and water vapor (Xiao et al., 2014). Therefore, we compared several commonly used smoothing methods and chose the most suitable one with minimum RMSE for different crops in each province, which could reduce some uncertainties. Moreover, the GLASS LAI retrieval algorithm eliminates abrupt spikes and dips, which may result in the loss of neighboring smaller peaks in LAI profiles (Xiao et al., 2016). The number of detected pixels with double-season rice cultivated might be less than that of the actual situation due to the short interval between the two local maximum points (i.e., heading stages of early rice and late rice) (Fig. 3-f). On the other hand, mixed pixel might bring uncertainty in the results as it contained several land cover types and then weakened the identified signal of specific phenological stages. We ascribed the occurrence of mixed pixel to two reasons. One is the coarse spatial resolution of 1 km. For example, mixed pixel occurs widely in the mountainous regions (e.g., southern China) with complex terrain and diverse vegetation types. The other is that we determined the spatial distribution of each dryland crop (i.e., maize and wheat) based on the dryland layer of NLCD, which might include several crop types. In future studies, the application of crop-specific map and remote sensing products with finer spatial resolution is expected to solve the mixed pixel issues.

4 Data availability

The derived crop phenological dataset for three staple crops in China during 2000-2015 is available at <https://doi.org/10.6084/m9.figshare.8313530> (Luo et al., 2019).

5 Conclusion

335 In the present study, we ~~proposed a method to retrieve generated~~ 1km-grid crop phenological dataset for three main crops from
2000 to 2015 based on GLASS LAI products, named as ChinaCropPhen1km. First, we compared three common smoothing
methods and chose the most suitable ~~methods-one~~ for different crops and regions. The results showed that S-G was the most
frequently chosen method as it not only could well smooth the time series but also keep the fidelity. Next, we developed an
OFP approach which combined both inflexion- and threshold-based method to detect the key phenological stages of three
340 staple crops at spatial resolution of 1km across China. Finally, we established a high resolution gridded-phenology product for
three staple crops in China during 2000-2015, ~~i.e., ChinaCropPhen1km~~.
The ChinaCropPhen1km dataset has been well validated using the intensive phenological observations of AMS, which
substantiates a high accuracy with errors of retrieved phenological date less than 10 days. It can reflect the spatial differences
in the local climatic and management factors. Thus, this first high-resolution crop phenological dataset can be applied for many
345 purposes, including understanding land surface phenological dynamics, investigating climate change impacts and adaptations,
and improving agricultural system or earth system modelling over a large area, temporally and spatially.

Author contribution

Zhao Zhang and Yi Chen designed the research. Yi Chen and Ziyue Li collected datasets. Yuchuan Luo implemented the
research and wrote the paper; Zhao Zhang and Fulu Tao revised the manuscript.

350 Competing interests

The authors declare that they have no conflict of interest.

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Table 1: Mean RMSE (in parenthesis) of the most suitable smoothing method for different regions and crops.

<u>Province</u>	Winter wheat	Spring wheat	Summer maize	Spring maize	Single-rice	Double rice
Anhui	SG-3 (3.53)		SG-4 (6.81)		SG-5 (5.67)	SG-3 (6.69)
Beijing	SG-3 (5.46)		SG-3 (8.06)			
Chongqing	SG-3 (7.09)			SG-3 (8.06)	SG-3 (3.46)	
Fujian	SG-3 (8.90)				SG-3 (6.36)	SG-3 (6.02)
Gansu	SG-3 (5.43)	SG-3 (7.69)	SG-5 (7.63)	SG-3 (8.34)		
Guangdong						SG-3 (6.38)
Guangxi				SG-3 (8.17)		SG-3 (7.25)
Guizhou	SG-3 (6.59)		SG-3 (9.77)	SG-3 (9.11)	SG-3 (7.62)	
Hainan						db8 (2.33)
Hebei	SG-3 (4.53)	SG-3 (6.35)	SG-3 (4.58)	SG-3 (5.42)	SG-3 (6.32)	
Heilongjiang		SG-5 (6.38)		SG-5 (6.72)	SG-5 (4.73)	
Henan	SG-3 (4.22)		SG-4 (5.01)		SG-5 (3.48)	
Hubei	SG-3 (5.65)			SG-3 (7.89)	SG-3 (5.87)	SG-3 (5.89)
Hunan				SG-3 (8.10)	SG-3 (5.03)	SG-3 (7.64)
Jiangsu	SG-3 (5.12)		SG-4 (6.44)	db4 (8.67)	SG-5 (6.85)	
Jiangxi				SG-3 (7.95)	SG-3 (7.80)	SG-3 (8.12)
Jilin		SG-4 (7.73)		SG-5 (5.84)	SG-5 (6.30)	
Liaoning				SG-3 (4.91)	SG-3 (6.39)	
Inner Mongolia		SG-3 (7.85)		SG-5 (5.55)		
Ningxia	SG-3 (6.18)	SG-3 (6.74)	SG-3 (7.50)	SG-5 (6.33)	SG-5 (8.22)	
Qinghai	db3 (6.73)	DL (7.56)		SG-5 (7.59)		
Shandong	SG-3 (4.46)		SG-4 (4.55)		SG-5 (6.36)	
Shanghai	SG-3 (5.01)				SG-3 (7.15)	
Shannxi	SG-3 (4.04)	SG-3 (8.08)	SG-3 (4.09)	SG-3 (5.05)	SG-5 (7.57)	
Shanxi	SG-3 (4.61)	DL (7.90)	SG-3 (5.45)	SG-5 (5.57)	SG-5 (8.84)	
Sichuan	SG-3 (5.43)		SG-3 (7.43)	SG-3 (7.84)	SG-3 (5.51)	
Tianjin	SG-3 (7.36)		SG-3 (8.17)			
Xinjiang	SG-3 (6.93)	SG-3 (7.99)	SG-3 (7.11)	SG-3 (6.14)		
Xizang	SG-3 (7.02)	SG-3 (7.12)				
Yunnan	SG-3 (7.53)		SG-3 (8.45)	SG-3 (8.19)	SG-5 (7.53)	SG-3 (4.51)
Zhejiang	SG-3 (6.22)				SG-3 (6.35)	SG-4 (7.33)

535 **Table 2: Mean RMSE between retrieved phenological dates and phenological observations.**

Crop	Stage	RMSE (days)	Error \leq ± 10 days (%)	Error \geq ± 10 days (%)	R ²
Rice	Transplanting	4.05	98.6%	1.4%	0.98
	Heading	5.59	93.0%	7.0%	
	Maturity	6.21	88.9%	11.1%	
Wheat	Green up & Emergence	2.82	99.5%	0.5%	0.97
	Heading	6.54	86.4%	13.6%	
	Maturity	7.18	81.7%	18.3%	
Maize	V3	4.08	96.8%	3.2%	0.97
	Heading	7.79	79.8%	20.2%	
	Maturity	8.22	71.8%	28.2%	

Table 3: Annual mean phenological dates and growth periods of different crops in each sub-region

Crop	Stage (period)	Sub-region				
		I	II	III	IV	V
Early rice	Transplanting (VGP)			115.4 (60)		99 (69.3)
	Heading (RGP)			175.2 (20.4)		168.2 (27.6)
	Maturity (GP _w)			195.1 (79.7)		195.5 (96.6)
Late rice	Transplanting (VGP)			204.2 (48.1)		209.4 (54.1)
	Heading (RGP)			252.2 (35.5)		265.7 (46.5)
	Maturity (GP _w)			287.7 (83.5)		310 (100.6)
Single rice	Transplanting (VGP)	141.7 (75.5)	154.1 (64.2)	158.5 (62.5)	130.4 (76.6)	
	Heading (RGP)	217.2 (37.9)	218.3 (37.1)	221 (43.7)	207 (53.4)	
	Maturity (GP _w)	255 (113.5)	255.3 (101)	264.6 (106)	260.4 (130)	
Wheat	Green up & Emergence (VGP)	128 (65.1)	51.8 (62.9)	108.7 (57.5)	29.6 (34.7)	
	Heading (RGP)	187.6 (41.1)	113.9 (29.6)	165.7 (63.7)	72.3 (57.1)	
	Maturity (GP _w)	224.8 (106)	143.3 (92.5)	228.8 (121)	128.7 (91.7)	
Spring maize	V3 (VGP)	142.4 (71.9)		130.2 (79.6)	104.3 (74.5)	
	Heading (RGP)	214.3 (40.6)		209.9 (42.2)	178.8 (59.7)	
	Maturity (GP _w)	254.9 (113)		252.1 (122)	238.5 (134)	
Summer maize	V3 (VGP)		173.1 (46.9)	179.1 (47.1)	129.3 (74.1)	
	Heading (RGP)		220.1 (37.5)	226.2 (36.1)	203.3 (53.3)	
	Maturity (GP _w)		257.5 (84.5)	262.2 (83.1)	256.6 (127)	

Note: VGP means vegetative growth period, the difference between heading and transplanting/Green up & Emergence/V3 dates; RGP means reproductive growth period, the difference between maturity and heading dates; GP_w means whole growth period, the difference between maturity and transplanting/Green up & Emergence/V3 dates. The numbers in the parentheses mean the annual mean growth periods.

Table 4: The trend (days year⁻¹) of three key phenological dates and growth periods from 2000 to 2015

Crop	Stage (period)	Sub-region									
		I		II		III		IV		V	
		Trend	Psg	Trend	Psg	Trend	Psg	Trend	Psg	Trend	Psg
Early rice	Transplanting					-0.7	27.8			-0.8	27.3
	(VGP)					(0.6)	(19.8)			(1.5)	(11.8)
	Heading					-0.8	26.1			1.3	29.1
	(RGP)					(1.3)	(15.5)			(1.0)	(14.5)
	Maturity					0.7	23.3			1.6	29.6
	(GP _w)					(1.3)	(19.4)			(1.7)	(14.3)
Late rice	Transplanting					0.7	25.4			1.7	28.2
	(VGP)					(0.01)	(15.6)			(0.01)	(44.3)
	Heading					0.7	31.1			1.7	29.1
	(RGP)					(1.0)	(16.5)			(2.2)	(13.7)
	Maturity					1.1	32.6			2.4	35.4
	(GP _w)					(1.1)	(15.8)			(2.3)	(13.4)
Single rice	Transplanting	0.01	31.6	-1.1	43.3	0.01	37.9	-1.3	22.3		
	(VGP)	(-0.01)	(29.3)	(1.3)	(31.6)	(0.8)	(22.9)	(1.3)	(13.5)		
	Heading	0.01	38.7	0.01	32.4	0.7	31.6	-0.01	19.1		
	(RGP)	(0.7)	(20.1)	(0.7)	(18.1)	(0.8)	(16.8)	(1.8)	(17.6)		
	Maturity	0.7	20	0.7	28.2	1	28.5	1.6	24.1		
	(GP _w)	(0.6)	(14.8)	(1.7)	(29.8)	(1.2)	(22.1)	(2.1)	(19.7)		
Wheat	Green up & Emergence	0.01	61.6	0.6	16.9	1.2	15	1.7	26.5		
	(VGP)	(-1.5)	(22.2)	(-0.4)	(12.8)	(-0.9)	(14.1)	(-2.0)	(12.1)		
	Heading	-0.01	34.6	0.6	21.9	0.9	23.4	0.8	35		
	(RGP)	(0.01)	(13.1)	(-0.6)	(14.9)	(-0.8)	(12.7)	(2.3)	(14.8)		
	Maturity	0.01	30.3	0.01	22.1	1.4	17.3	1.9	42.8		
	(GP _w)	(-1.9)	(17.4)	(-0.7)	(12.1)	(-1.2)	(12.4)	(1.7)	(11.2)		
Spring maize	V3	0.01	26.2			-1.1	20.4	-1.0	33.6		
	(VGP)	(-0.9)	(20.9)			(1.2)	(12.6)	(-1.3)	(15.1)		
	Heading	-0.01	54.8			0.01	55.4	-1.4	28.9		
	(RGP)	(0.9)	(20.1)			(0.9)	(12.8)	(2.0)	(16.5)		

	Maturity (GP _w)	0.01 (-0.7)	26.4 (13.9)		-0.5 (1.4)	31.4 (11.8)	0.7 (1.5)	31.3 (14.6)
Summer maize	V3		0.01	52.6	-0.9	27.6	-0.01	41.2
	(VGP)		(-0.7)	(22.1)	(-1.0)	(12.1)	(1.2)	(11.8)
	Heading		0.4	27.3	-0.01	30.1	0.01	40.1
	(RGP)		(-0.8)	(13.4)	(0.01)	(13.2)	(2.3)	(19.1)
	Maturity (GP _w)		0.4 (-1.0)	20.1 (16.7)	-1.4 (-1.1)	34.7 (12.9)	2.4 (2.5)	48.8 (18.3)

545 Note: The same meanings for VGP, RGP and GP_w as Table 3; Psg (%) means the percent of grids showing significant trend at $p < 0.1$ level; The numbers in the parentheses mean the statistic values of grids during three growth periods.

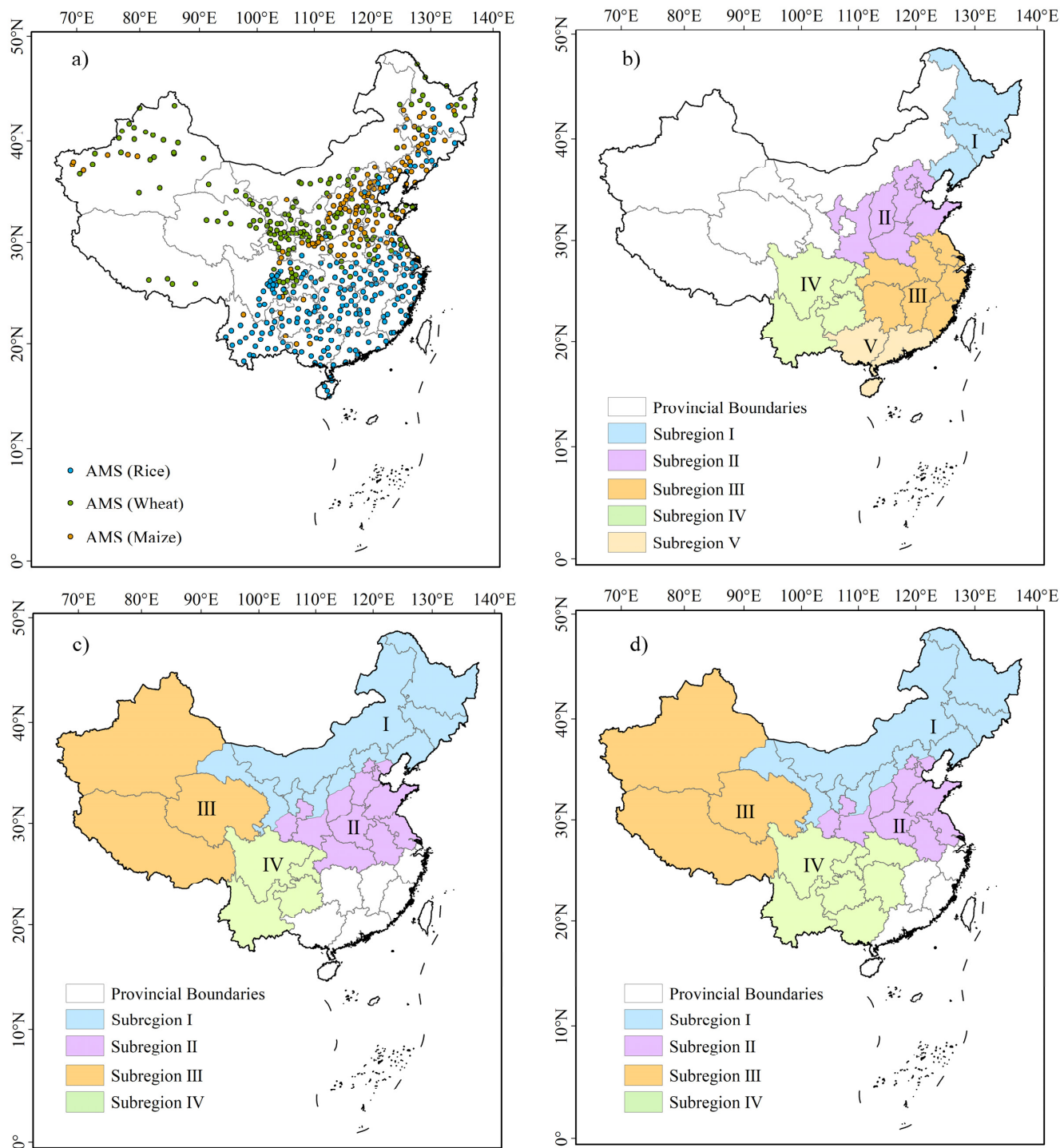


Fig.1: The studied areas and the locations spatial distribution of Agricultural Meteorological Stations (AMS) in studied areas (a) and the locations of divided subregions for rice (b), wheat (c), and maize (d), of China Meteorological Administration.

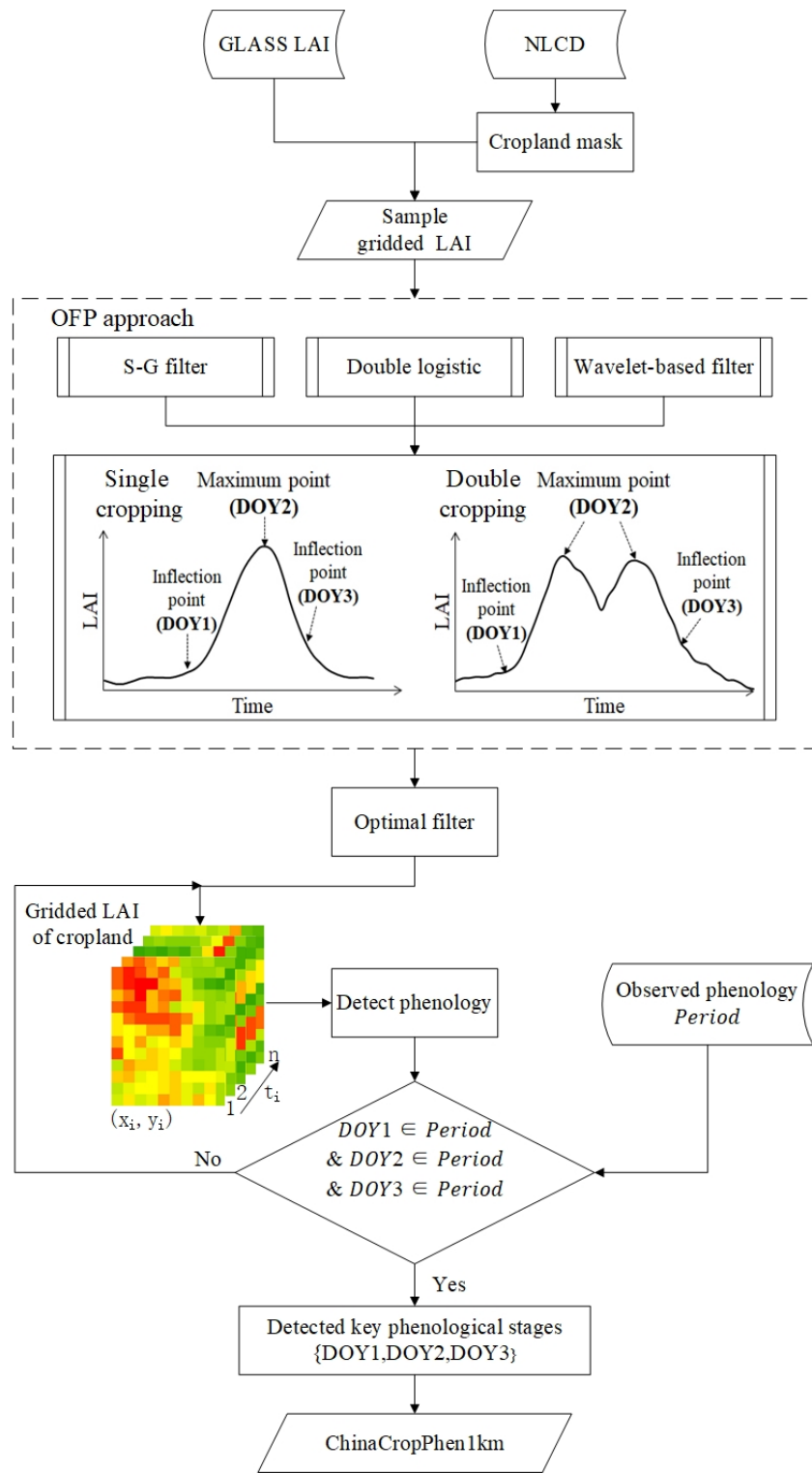
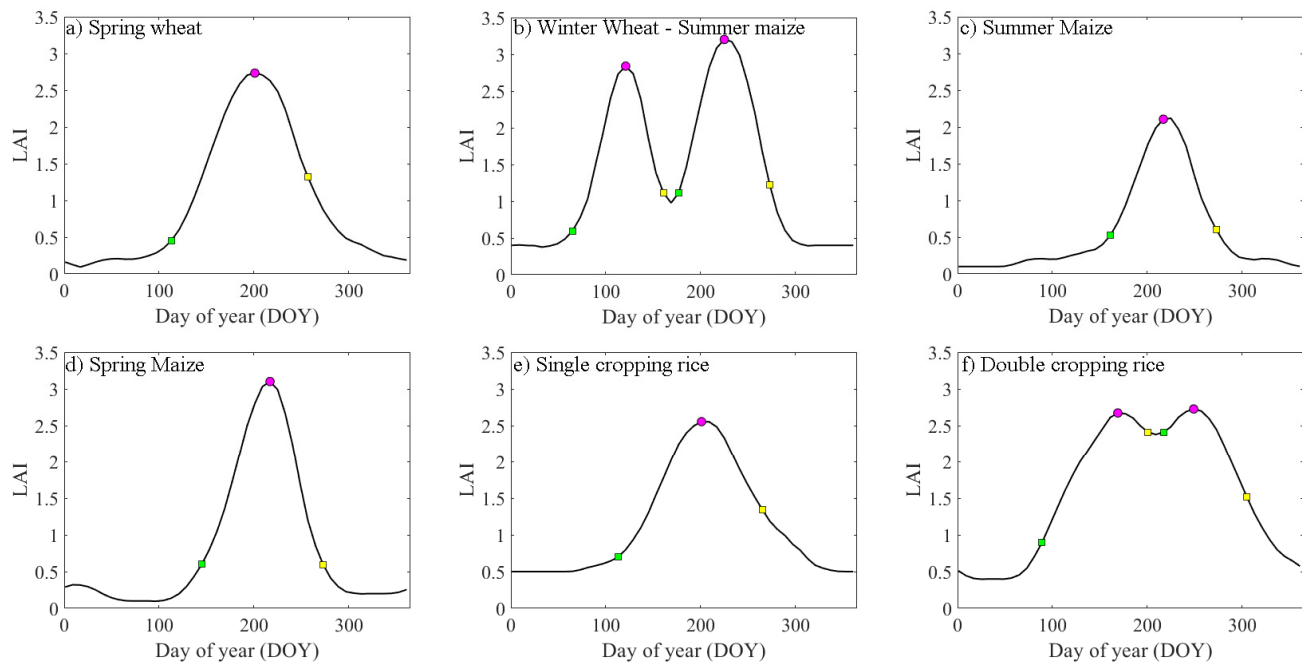


Fig.2: Flow chart of procedures for data analysis and crop phenological dates identification.



Crop key phenological stages

a) Spring wheat: ■ Emergence ● Heading ■ Maturity

d) Spring Maize: ■ V3 ● Heading ■ Maturity

b) Winter Wheat {
 - Summer maize: {
 ■ Green-up ● Heading ■ Maturity
 ■ V3 ● Heading ■ Maturity

e) Single cropping rice: ■ Transplanting ● Heading ■ Maturity

c) Summer Maize: ■ V3 ● Heading ■ Maturity

f) Double cropping rice: {
 Early rice: ■ Transplanting ● Heading ■ Maturity
 Late rice: ■ Transplanting ● Heading ■ Maturity

555 Fig.3: Typical phenological curves for different crop cropping systems in China.

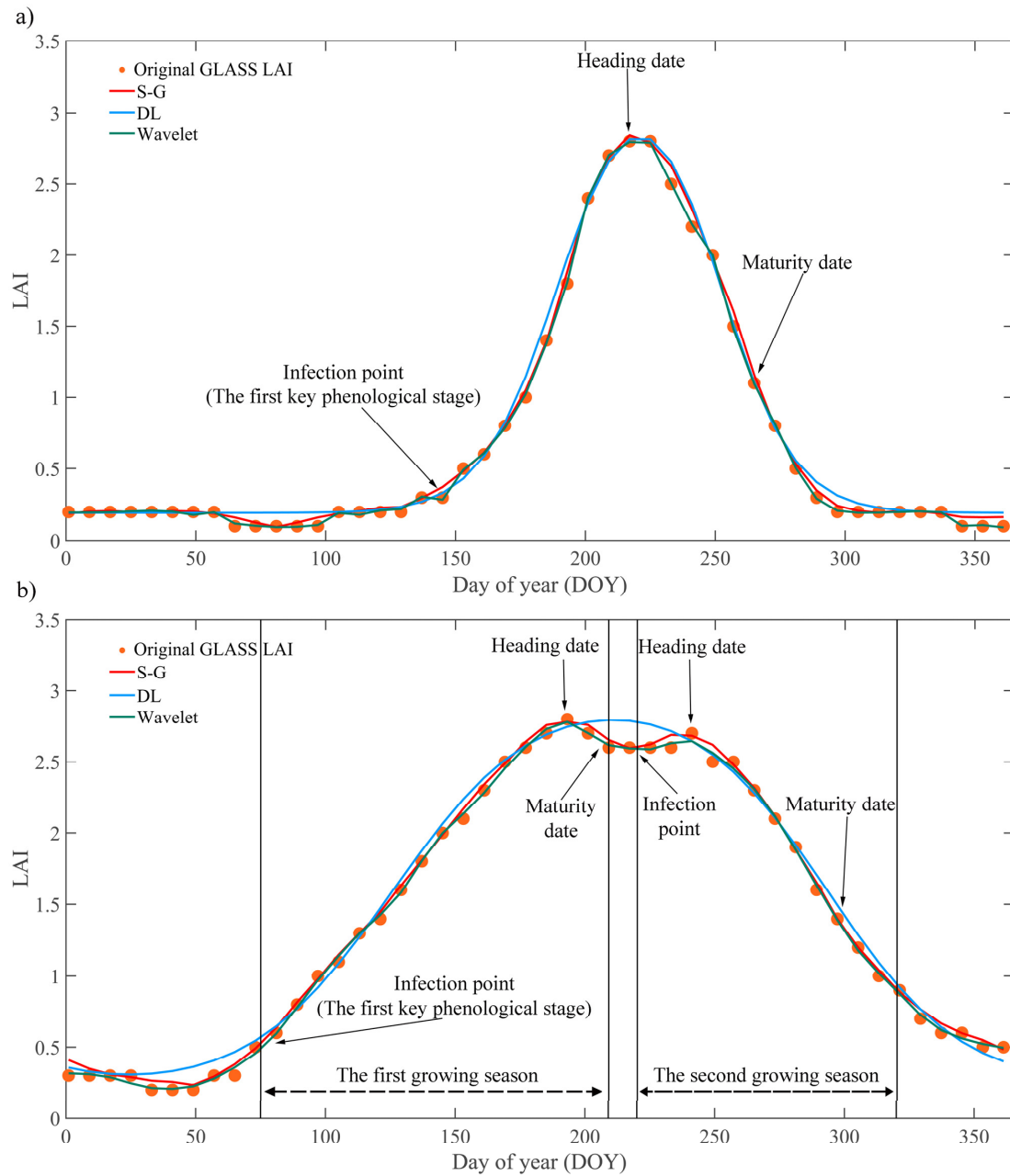
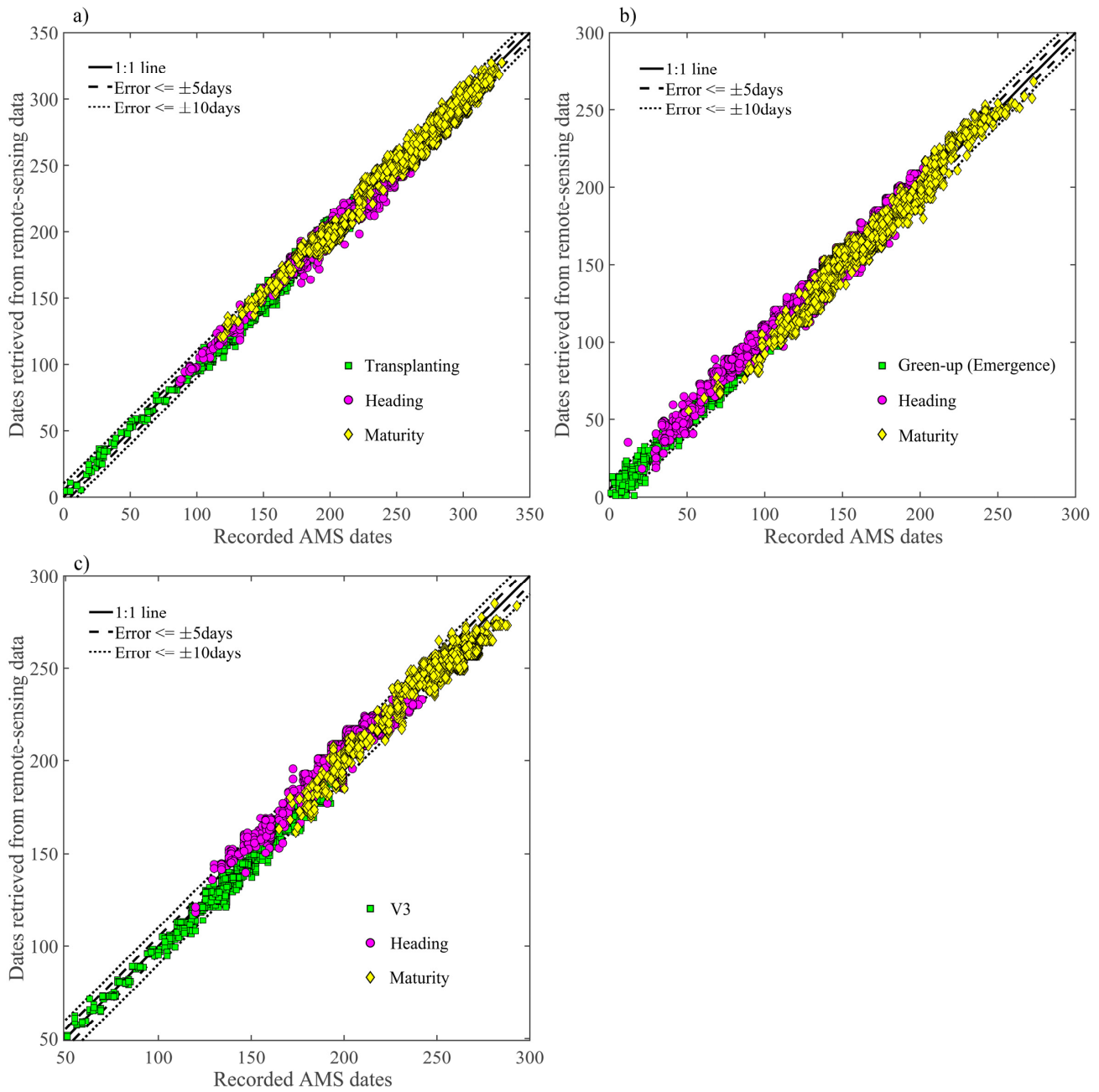


Fig.4: Comparisons of different smoothing methods for different cropping systems.



560 Fig.5: Comparisons between retrieved and observed phenological dates for rice (a), wheat (b), and maize (c).

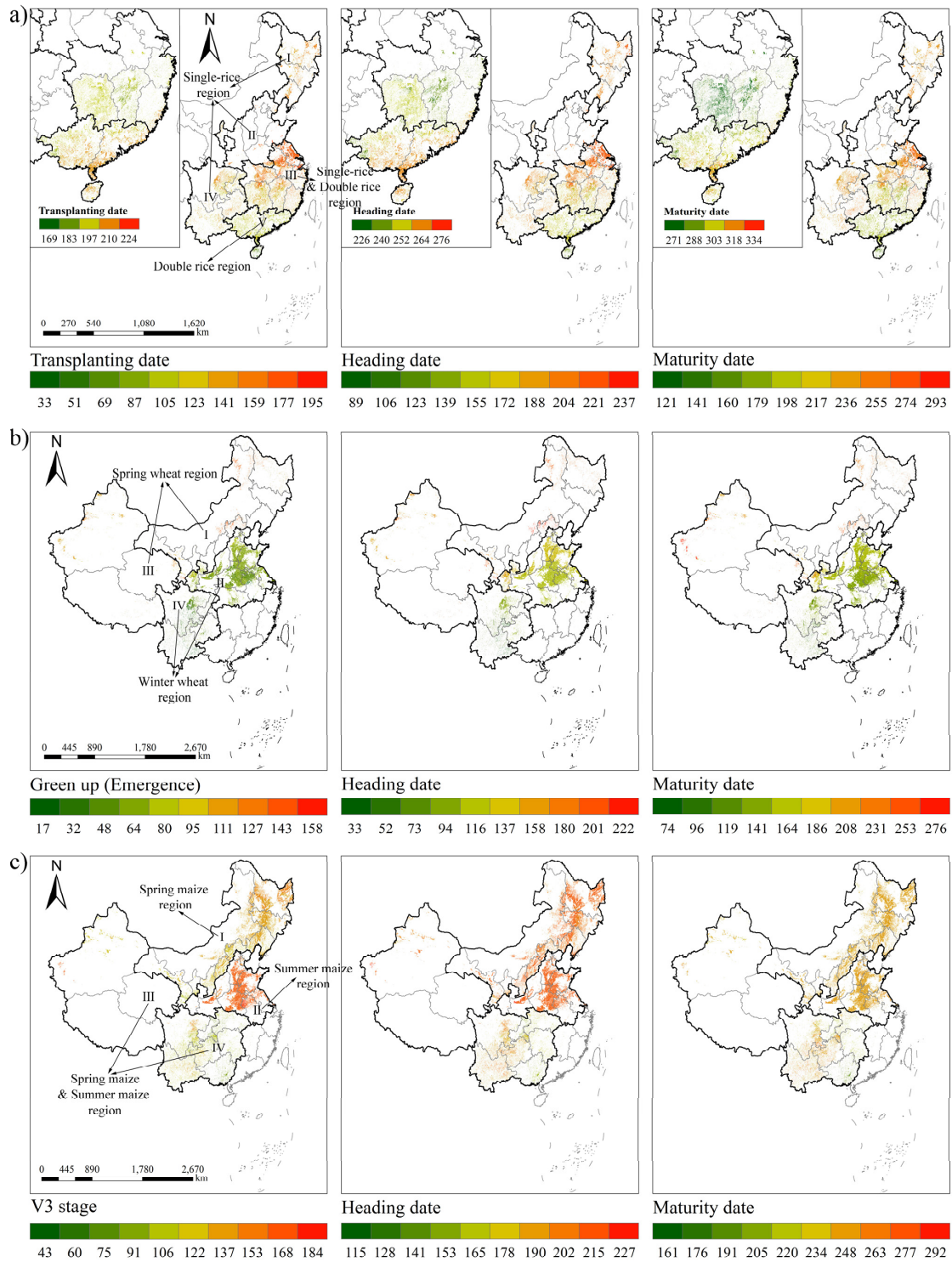
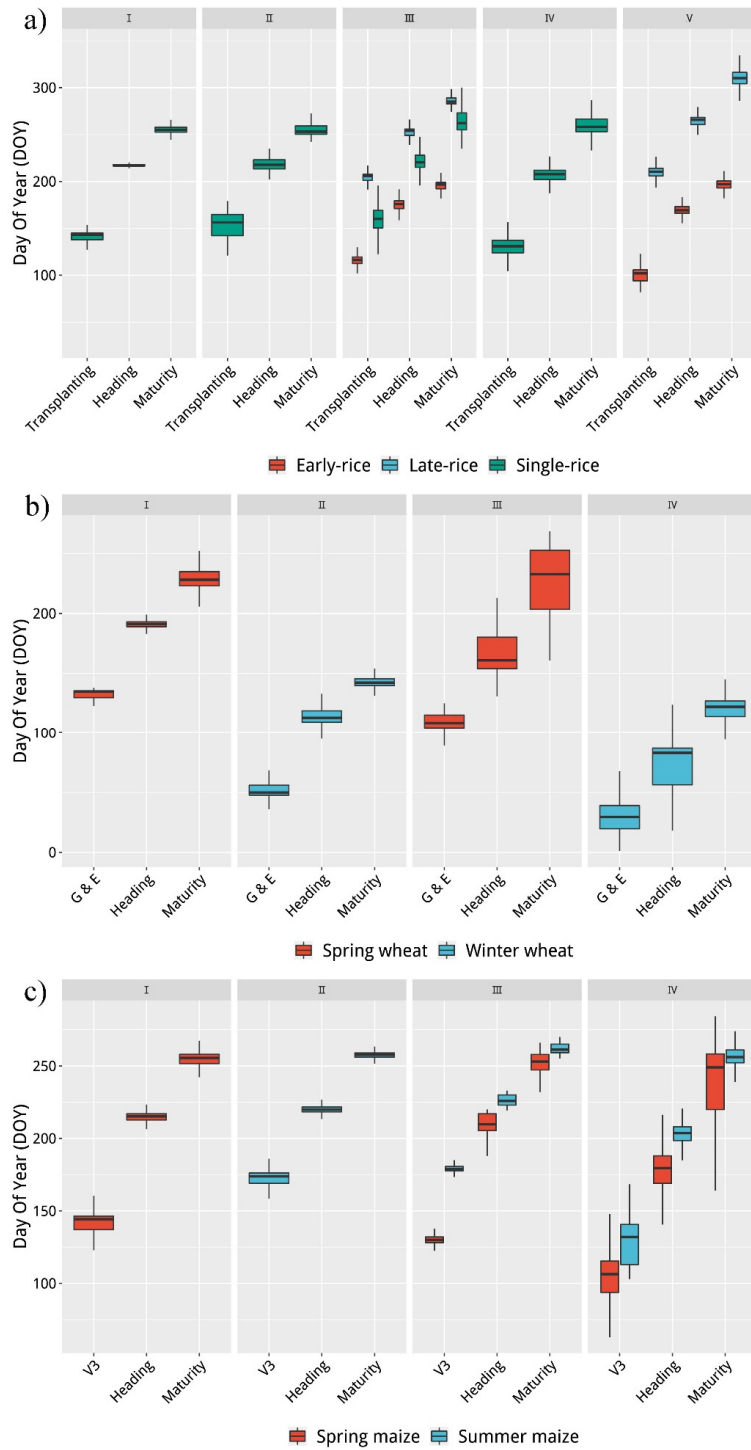


Fig.6: Spatial patterns of annual averages of three key phenological dates during 2000~2015 for rice (a), wheat (b), and maize (c).



565 Fig.7: Box plots of three key phenological dates by crop and sub-regions during 2000~2015 for rice (a), wheat (b), and maize (c).

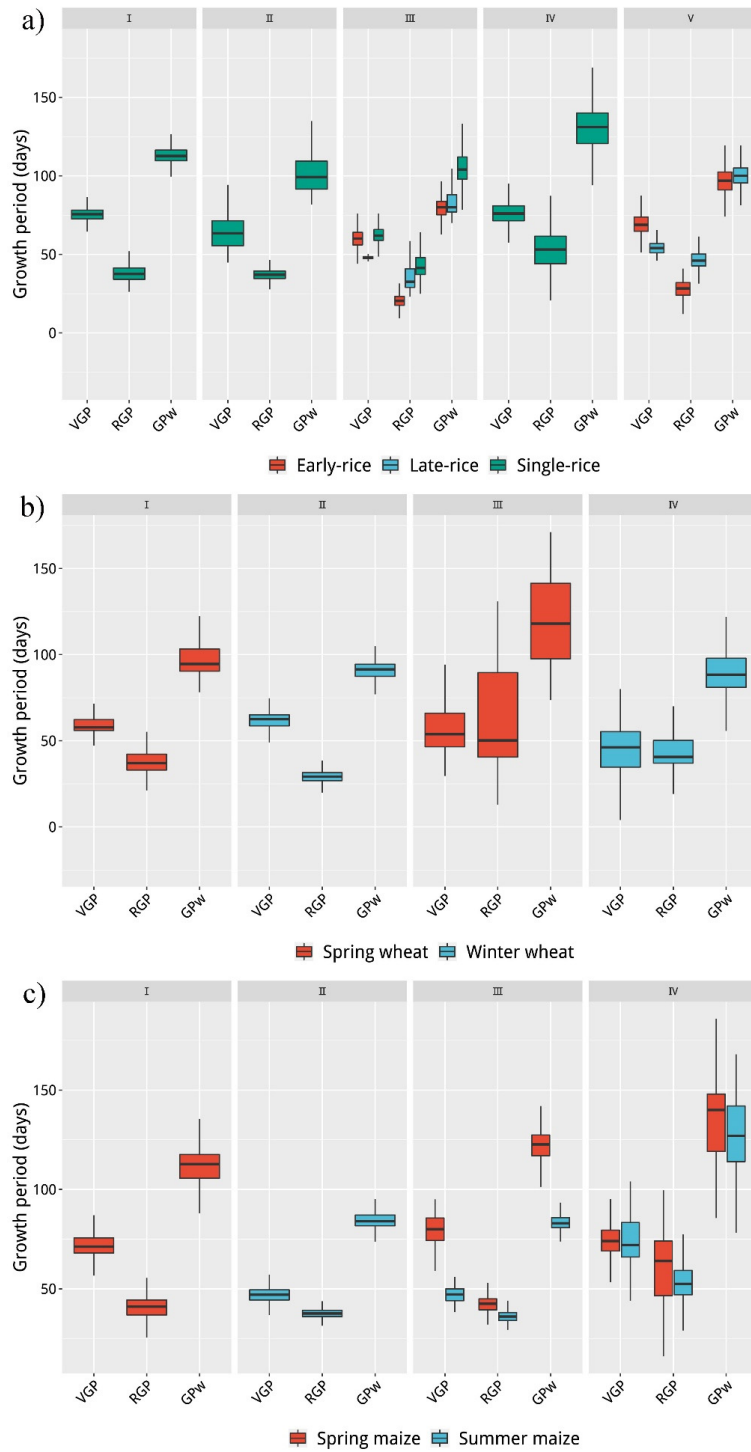
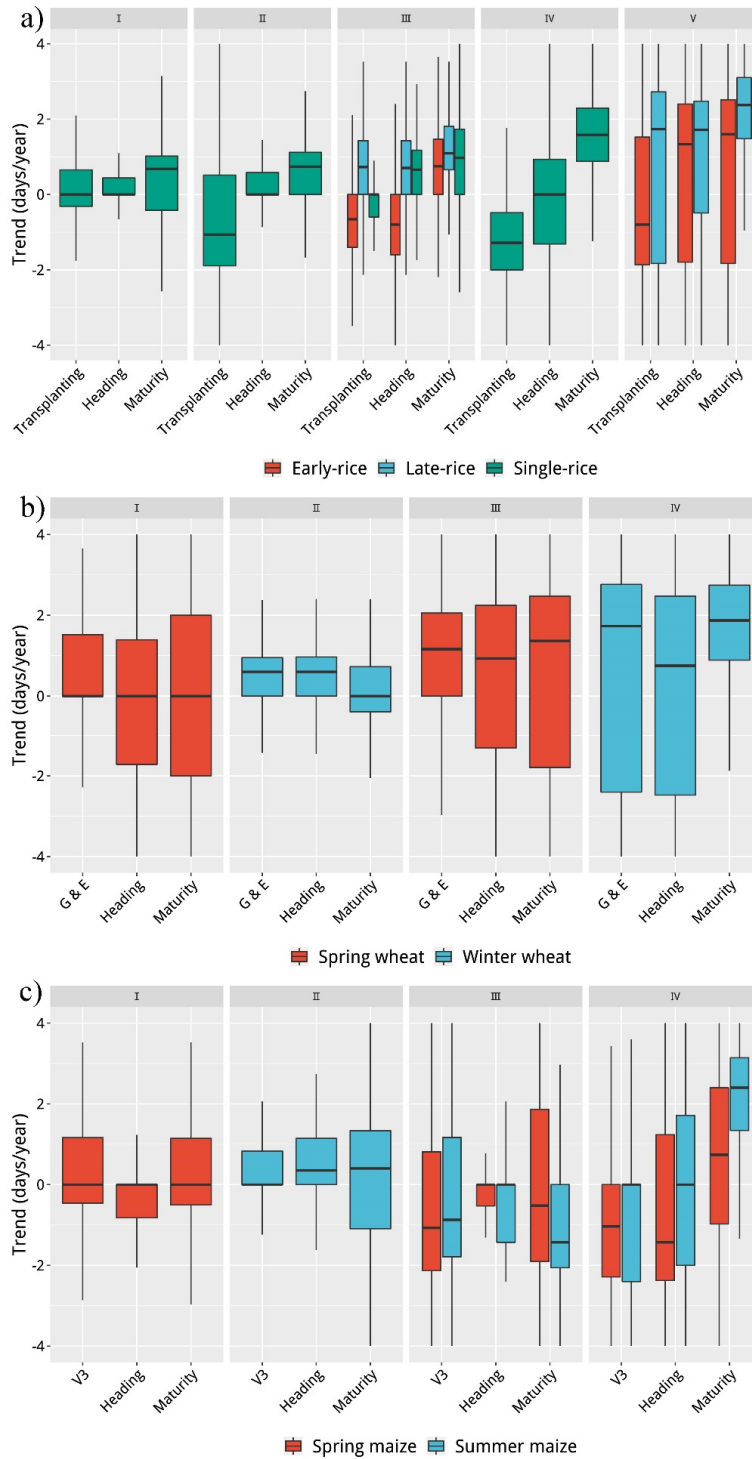


Fig.8: Box plots of three key phenological periods by crop and sub-regions during 2000~2015, for vegetative growth period (VGP), reproductive growth period (RGP), and whole growth period (GPW) of rice (a), wheat (b), and maize (c).



570 Fig.9: The trends of three key phenological dates during 2000~2015 by crop and sub-regions for rice (a), wheat (b), and maize (c). during, VGP for vegetative growth period, RGP for reproductive growth period, and GPW for whole growth period.

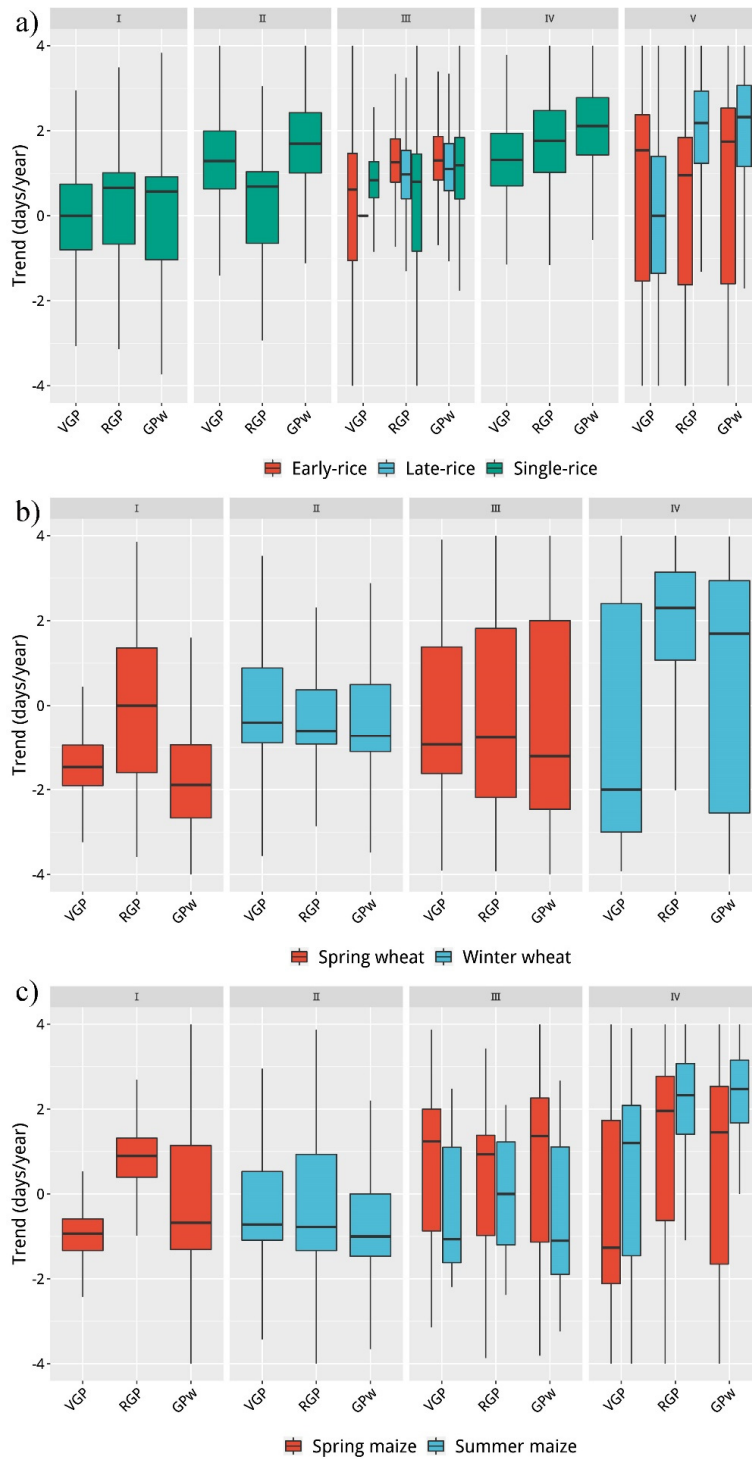


Fig.10: The trends of three key phenological periods during 2000–2015 by crop and sub-regions during, VGP for vegetative growth period, RGP for reproductive growth period, and GPW for whole growth period of rice (a), wheat (b), and maize (c).