#### 1 Reviewer 1

2 This paper describes the development of a high space and time fossil fuel CO2 in- ventory for Los

3 Angeles. It is an important contribution to the literature. The paper covers the description of the

4 data set well. The one thing I would recommend is that the authors take a broader view of the

5 community they are trying to influence with their ideas. The introduction is very self-referential 6 and pays little to no attention to CO2 observing/modeling projects in Paris, Zurich, San Francisco,

and pays little to no attention to CO2 observing/modeling projects in Paris, Zurich, San Francisco,
 Boston and likely others. Helping the uninitiated reader to understand how this project relates to

8 others should be a goal of the introduction and would make the paper more influential.

9 [[author]] greater context is achieved by adding text with references to a few of the cities other

10 than those in which the author has been involved. These examples attempt to include those

11 engaged in top-down/bottom-up convergent efforts. I could find no peer-reviewed information

12 about CO<sub>2</sub> observing/modeling efforts in Zurich. The added text is:

13 "For example, ongoing efforts at integration of atmospheric measurements and bottom-up

14 emissions information are taking place in Paris (Breon et al., 2015; Staufer et al., 2016), Boston

15 (Sargent et al., 2018), Salt Lake City (Mitchell et al., 2018) and London (Font et al., 2015), to

1

16 name a few.

#### 17 Reviewer 2

#### 18 General Comments

19 1. The Hestia data products provide bottom-up fossil fuel emissions at the urban scale with

20 building/street and hourly space-time resolution. The data feed into atmospheric CO2 inversion

21 studies and can help guide to climate change mitigation options, includ- ing disaggregation of

22 national goals/policies to the local level. This paper focuses on the Los Angeles Megacity, the

23 Combined Statistical Area that includes Los Angeles, Orange, Riverside, San Bernardino, and

24 Ventura counties, providing CO2 emissions results at a spatial resolution of 1km by 1km for the

25 years 2010-2015. The input data are from the Vulcan Project, adjusted where superior local and

 $26 \qquad \text{downscaled data are available. It was found that the study area emitted 48.06 MtC/yr. Of note,}$ 

27 Hestia emissions were found to be 10.7% larger than the estimate by the local metropolitan

28 planning agency, the Southern California Association of Governments (SCAG).

29 2. The Hestia-LA data product, and the Vulcan dataset in general, are exciting advances in the

30 urban greenhouse gas emissions quantification field. In particular, the data product goes beyond

31 traditional carbon inventories used by city planning agencies to include a higher spatial and

32 temporal resolution. This makes the data product particularly exciting for the development of

33 highly impactful and targeted carbon reduction policies in cities.

34 Specific Comments

35 3. This reviewer has a keen interest in urban planning and policy-related efforts for greenhouse

36 gas emissions reduction in cities. As such, the comments are intended to help improve the

37 paper's accessibility to a planning/policy audience and help specify the policy-related

38 importance of the Hestia-LA work.

4. This work is of interest to a variety of readers, including policy makers and urban planning

40 professionals. With that in mind, I would recommend defining a few of the atmospheric-science-

specific words and phrases earlier on in the introduction, particularly "flux" and "inversion",
 ideally in the context of other phrases that are more recognizable to the policy maker or

43 layperson (e.g., "emissions", "CO2 emissions"). For example, the term "flux" begins to appear

44 regularly on page 3 (e.g., "flux measurements", "flux estimation", "surface fluxes"), and it could

45 be confusing to a reader who isn't used to the terminology. This would make the content more

46 accessible to policy audiences.

47 [[author]] We have clarified the terms mentioned. On lines 86-87, we provide a definition of 48 "flux":

49 "....ground-based eddy flux (i.e. emissions of CO<sub>2</sub> into the atmosphere and/or CO<sub>2</sub> being removed from the 50 atmospheric by vegetation) measurements..."

51 On lines 132-134, we define the term "inversion":

52 "....atmospheric CO<sub>2</sub> inversion (i.e. an approach whereby CO<sub>2</sub> concentration measurements in the atmosphere are 53 combined with models of wind motions to infer what the emissions emanating from the surface must be)."

- 54 The importance of the policy application has been emphasized in the abstract by flipping the 55 order of the application to inversion and the application to policy.
- 56 5. The introduction does a good job in describing the body of work on greenhouse gas
- 57 accounting in the urban environment, including the gap in existing methods that the Hestia
- 58 Project aims to address. The discussion of policy-related issues on p2-3 are good: lines 50-55

59 (contributions from city-based policies to meeting national/global commitments), 64-68 (data

and aggregation difficulties), 95-99 (translation to urban mitigation efforts). I would have liked

61 to see a few more lines on the benefits and drawbacks of policy-related/traditional greenhouse

62 gas emissions inventories (mentioned on p2, line 64 - note that these two citations do not actually

appear in the reference list). It would be good to reference the Global Protocol for Community-

scale GHG Emissions (GPC) here, as this is the current standard for city-based GHG inventories

65 in the policy world, used by both the C40 and GCoM (two organizations that are currently 66 mentioned in the paper). See https://ghgprotocol.org/greenhouse-gas-protocol- accounting-

reporting-standard-cities. The paragraph on page 29, lines 672-683, does an excellent job of

describing the issues with traditional urban inventories and the benefit of the Hestia approach.

69 Could a few aspects of this description appear in the introduction?

70 [[author]] The missing references have been added to the reference section. The Fong et al.

71 reference is to the GPC. The introduction now has some of the conceptual material that the

72 reviewer notes, previously found later in the paper.

Text has been added on lines 82-87 that clarifies the potential benefit of the Hestia-style
 approach:

75 "The need for greater granularity and specificity of emissions promises more efficient policy solutions. As all cities

76 reach beyond the existing "low hanging fruit" of emissions mitigation (i.e. those actions that are already planned for 77 other reasons, those that are simple and cost-plus), competition for limited resources and policy justification will

78 increase. Having information that can isolate the most efficient and effective emission reduction investments

79 (specific roadways/intersections, building subdivisions or commercial building clusters), will be at a premium."

80 6. The data collection and processing effort involved in creating the Vulcan and Hestia datasets

81 are impressive and well-described. The types of emissions that are included and excluded from

82 the Vulcan dataset are detailed: the Vulcan dataset focuses on energy-related fossil fuel

83 emissions, thereby missing greenhouse gas emissions related to non-fossil fuel activities, such as

84 fugitive/evaporative emissions and direct industrial process emissions from activities such as

85 steel production (however, the text specifies that emissions from cement production are

86 included). It may be worth mentioning at this point that CH4 and N2O are not included (this is

87 mentioned on p24, but could be mentioned earlier – for example, on p6 the inclusion of carbon

88 monoxide is discussed, which could be a good place to put information about treatment of CH4

- and N2O). Waste management is an important part of traditional city inventories, and the urban
- 90 planning/policy-making crowd may want to know if emissions from waste
- 91 decomposition/incineration are included/excluded from the dataset.

92 [[author]]: lines 141-142 now contains the following clarification:

93 "Emissions considered here are carbon dioxide only; other important greenhouse gases such as methane (CH4) and 94 nitrous oxide (N<sub>2</sub>O) are not included."

- 95 Line 167 now contains the additional clarification:
- 96 "Similarly emissions associated with waste decay (organic or inorganic) are not included."

97 7. The analysis of spatial clustering and local emissions "hotspots" is very interesting and could

98 potentially have direct policy/planning relevance. While not necessarily brand-new information 99 (high traffic flow and congestion are likely well known), the addition of the emissions

100 consequences could potentially open the door to new forms of carbon-related financing/policy

101 mechanisms.

102 8. Something that stands out in the abstract is the 10% difference in the model results and the

- 103 planning authority's GHG inventory. However, in the paragraphs on p24, a bit more information
- 104 is needed about the SCAG model to understand the comparison to Hestia and its relevance.
- 105 SCAG is described as "a regional greenhouse gas emissions inventory for a base year period of
- 106 1990-2009 with projections out to the year 2035." Are these both direct emissions inventories?
- 107 Does SCAG use a traditional accounting approach similar to the GPC or an approach closer to
- 108 Hestia's methodology? Is the purpose of comparison for validation of Hestia, or to demonstrate
- 109 the drawbacks in the SCAG inventory? A couple more lines about the SCAG methodology and the purpose of the comparison will help clarify. 110
- 111 [[author]]: agreed. On line 584-585, we redraft the sentence to read:
- 112 "There are very few estimates that can serve as an assessment of the accuracy of the Hestia FFCO2 emissions as few
- 113 inventory efforts have been accomplished at the sub-state spatial scale in the United States.'
- 114 On lines 595-597, we add the sentence:
- 115 "We use only the reported scope 1 emissions which were based on the approach adopted by CARB based on
- 116 guidelines from the Intergovernmental Panel on Climate Change (CARB, 2010)."
- 117 9. In my opinion, the most important paragraph for policy makers is p30, lines 700-713. Policy
- 118 makers/planner may be tempted to think, "We do these GHG inventories already, why should we
- look at this tool?" That paragraph directly answers the question of why an urban planner/policy 119
- 120 maker should care about this work. I highly recommend bringing elements of this paragraph to
- 121 the introduction and/or abstract. Perhaps a few lines in the introduction that are specifically
- 122 directed at city planners and policy makers. I completely agree with the line, "The most
- 123 important attribute of the Hestia- LA approach, therefore, is the potential it offers for targeting
- 124 urban CO2 reduction policy more efficiently." This hook deserves an earlier appearance in the 125 paper.
- 126 [[author]] some of the themes noted have been brought forward to lines 82-87. For example:
- 127 "The need for greater granularity and specificity of emissions promises more efficient policy solutions. As all cities
- 128 reach beyond the existing "low hanging fruit" of emissions mitigation (i.e. those actions that are already planned for 129
- other reasons, those that are simple and cost-plus), competition for limited resources and policy justification will 130 increase. Having information that can isolate the most efficient and effective emission reduction investments
- (specific roadways/intersections, building subdivisions or commercial building clusters), will be at a premium." 131
- 132 Technical Corrections
- 133 - As mentioned above, the two citations on p2, line 64, do not appear in the reference list - Typo
- 134 in y-axis label of Figure 10b.
- 135 [[author]]: Missing references have been added to the reference section. Figure 10b has been
- 136 corrected.

### 137 The Hestia Fossil Fuel CO<sub>2</sub> Emissions Data Product for the Los

### 138Angeles Megacity (Hestia-LA)

Kevin R. Gurney<sup>1</sup>, Risa Patarasuk<sup>4</sup>, Jianming Liang<sup>2,3</sup>, Yang Song<sup>2</sup>, Darragh O'Keeffe<sup>5</sup>, Preeti
 Rao<sup>6</sup>, James R. Whetstone<sup>7</sup>, Riley M. Duren<sup>8</sup>, Annmarie Eldering<sup>8</sup>, Charles Miller<sup>8</sup>

140 Rao<sup>°</sup>, James R. whetstone<sup>°</sup>, Riley M. Duren<sup>°</sup>, Annmarie Eldering<sup>°</sup>, Charles Miller<sup>°</sup>

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- <sup>147</sup> <sup>7</sup>National Institute for Standards and Technology, Gaithersburg, MD, USA
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- 149 Correspondence to: Kevin R. Gurney (kevin.gurney@nau.edu)
- 150 Abstract. High-resolution bottom-up estimation provides a detailed guide to city greenhouse gas mitigation options,
- 151 offering details that can increase the economic efficiency of emissions reduction options and synergize with other
- 152 urban policy priorities at the human scale, As a critical constraint to urban atmospheric CO<sub>2</sub> inversion studies,
- bottom-up spatiotemporally-explicit emissions data products are also necessary to construct comprehensive urban
- 154 CO2 emission information systems useful for trend detection and emissions verification. The 'Hestia Project' is an
- effort to provide bottom-up granular fossil fuel (FFCO<sub>2</sub>) emissions for the urban domain with building/street and
- 156 hourly space-time resolution. Here, we report on the latest urban area for which a Hestia estimate has been
- 157 completed the Los Angeles Megacity, encompassing five counties: Los Angeles County, Orange County,
- 158 Riverside County, San Bernardino County and Ventura County. We provide a complete description of the methods
- used to build the Hestia FFCO<sub>2</sub> emissions data product for the years 2010-2015. We find that the LA Basin emits
- 160 48.06 (± 5.3) MtC/yr, dominated by the onroad sector. Because of the uneven spatial distribution of emissions, 10%
- 161 of the largest emitting gridcells account for 93.6%, 73.4%, 66.2%, and 45.3% of the industrial, commercial, onroad,
- 162 and residential sector emissions, respectively. Hestia FFCO<sub>2</sub> emissions are 10.7% larger than the inventory estimate
- 163 generated by the local metropolitan planning agency, a difference that is driven by the industrial and electricity
- 164 production sectors. The detail of the Hestia-LA FFCO<sub>2</sub> emissions data product offers the potential for highly
- 165 targeted, efficient urban greenhouse gas emissions mitigation policy. The Hestia-LA v2.5 emissions data product
- 166 can be downloaded from National Institute of Standards and Technology repository
- 167 (https://doi.org/10.18434/T4/1502503).

#### 168 1 Introduction

- 169 Driven by the growth of fossil fuel energy demand, the amount of carbon dioxide (CO<sub>2</sub>), the most important
- 170 anthropogenic greenhouse gas (GHG) in the Earth's atmosphere, recently reached an annual average global mean
- 171 concentration of  $402.8 \pm 0.1$  parts per million (ppm) on its way to doubling pre-industrial levels (IPCC, 2013;
- 172 LeQuere et al., 2018). We have also witnessed the first time that the majority of world's inhabitants reside in urban

**Deleted:** As a critical constraint to atmospheric CO<sub>2</sub> inversion studies, bottom-up spatiotemporally-explicit emissions data products are necessary to construct comprehensive CO<sub>2</sub> emission information systems useful for trend detection and emissions verification.

Deleted: is also useful as

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Deleted: at the urban scale

Deleted: which is presented on a 1 km x 1 km grid

Deleted: combustion

Deleted: the data repository at the

- areas. This trend, like atmospheric CO<sub>2</sub> levels, is intensifying. Projections show cities worldwide could add 2 to 3
  billion people this century and are projected to triple in area by 2030 (UN DESA 1015; Seto et al., 2012).
- 187 These two thresholds are linked-almost three-quarters of energy-related, atmospheric CO<sub>2</sub> emissions are driven by
- 188 urban activity (Seto et al., 2014). If the world's top 50 emitting cities were counted as one country, that nation would
- 189 rank third in emissions behind China and the United States (World Bank 2010). Indeed, urbanization is a factor
- 190 shaping national contributions to internationally agreed emission reductions, as subnational governments are playing
- 191 an increasing role in climate mitigation and adaptation policy implementation (Bulkeley 2010; Hsu et al., 2017).
- 192 Furthermore, the pace of urbanization continues to increase and opportunities to avoid carbon "lock-in" where
- 193 relationships between technology, infrastructure, and urban form dictate decades of high-CO2 development are
- 194 diminishing (Ürge-Vorsatz et al., 2018; Seto et al., 2016; Erickson et al., 2015).
- 195 Motivated by these numerical realities and the recognition that low-emission development is consistent with a
- 196 variety of other co-benefits (e.g. air quality improvement), cities are taking steps to mitigate their CO2 emissions
- 197 (Rosenzweig et al., 2010; Hsu et al., 2015; Watts 2017). For example, 9120 cities representing over 770 million
- 198 people (10.5% of global population) have committed to the Global Covenant of Mayors (GCoM) to promote and
- 199 support action to combat climate change (GCoM, 2018). Over 90 large cities, as part of the C40 network, have
- 200 similarly committed to mitigation actions with demonstrable progress. However, the scale of actual reductions
- 201 remains modest, despite the many pledges and initial progress. For example, a recent study reviewed 228 cities
- 202 pledged to reduce 454 megatons of CO<sub>2</sub> per year by 2020 (Erickson and Lazarus, 2012). Were they to meet these
- 203 commitments, the reduction would account for about 3% of current global urban emissions and less than 1% of total
- 204 global emissions projected for 2020. More important, there is a need for timely information to manage and assess
- 205 the performance of implemented mitigation efforts and policies (Bellassen et al., 2015).
- 206 One of the barriers to targeting a deeper list of emission reduction activities is the limited amount of actionable
- 207 emissions information at scales where human activity occurs: individual buildings, vehicles, parks, factories and
- 208 power plants (Gurney et al., 2015). These are the scales at which interventions in CO<sub>2</sub>-emitting activity must occur.
- 209 Hence, the emissions magnitude and driving forces of those emissions must be understood and quantified at the
- 210 "human" scale to make efficient (i.e. prioritizing the largest available emitting activities/locales) mitigation choices
- 211 and to capture the urban co-benefits that also occur at this scale (e.g. improve traffic congestion, walkability, green
- 212 space). Similarly, a key obstacle to assessing progress is a lack of independent atmospheric evaluation (ideally
- consistent in space and time with the human-scale emissions mapping) (Duren and Miller, 2011).
- 214 Existing methods and tools to account for urban emissions have been developed primarily in the non-profit
- 215 community (WRI/WBCSD, 2004; Fong et al., 2014). In spite of these important efforts, most cities lack
- 216 independent, comprehensive and comparable sources of data and information to drive and/or adjust these
- 217 frameworks. Furthermore, the existing tools and methods are designed at an aggregate level (i.e. whole city, whole
- province), missing the most important scale—sub-city—and hence provide limited actionable information. The need
- 219 for greater granularity and specificity of emissions promises more efficient policy solutions. As all cities reach
- beyond the existing "low hanging fruit" of emissions mitigation (i.e. those actions that are already planned for other

reasons, those that are simple and cost-plus), competition for limited resources and policy justification will increase.
 Having information that can isolate the most efficient and effective emission reduction investments (specific roadways/intersections, building subdivisions or commercial building clusters) will be at a premium.

The scientific community has begun to build information systems aimed at providing independent assessment of urban CO<sub>2</sub> emissions. Through a combination of atmospheric measurements, atmospheric transport modeling and data-driven "bottom-up" estimation, the scientific community is exploring different methodologies, applications, and uncertainty estimation of these approaches (Hutyra et al., 2014). Atmospheric monitoring includes ground-based CO<sub>2</sub> concentration measurements (McKain et al., 2012; Djuricin et al., 2010; Miles et al., 2017; Turnbull et al., 2015, Verhulst et al., 2017), ground-based eddy flux (i.e. emissions of CO<sub>2</sub> into the atmosphere and/or CO<sub>2</sub> being\_ removed from the atmospheric by vegetation) measurements (Christen 2014; Crawford and Christen 2014;

231 Grimmond et al., 2002; Menzer et al., 2015; Velasco and Roth 2010; Velasco et al., 2005), aircraft-based flux

measurements (Mays et al., 2009; Cambaliza et al., 2014; 2015) and whole-column abundances from both ground,
 and space-based, remote sensing platforms (Wunch et al., 2009; Kort et al., 2012; Wong et al., 2015; Schwandner et

al., 2018).

235 "Bottom-up" approaches, by contrast, include a mixture of direct flux measurement, indirect measurement and

236 modeling. Common among the bottom-up approaches are those that include flux estimation based on a combination

237 of activity data (population, number of vehicles, building floor area) and emission factors (amount of CO2 emitted

238 per activity), socioeconomic regression modeling, or scaling from aggregate fuel consumption (VandeWeghe and

Kennedy, 2007; Shu and Lam, 2011; Zhou and Gurney, 2011; Gurney et al., 2012; Jones and Kammen, 2014;

Ramaswami and Chavez, 2013; Patarasuk et al., 2016; Porse et al., 2016). Direct end-of-pipe flux monitoring is

241 often used for large point sources such as power plants (Gurney et al., 2016). Indirect fluxes (those occurring outside

of the domain of interest but driven by activity within) can be estimated through either direct atmospheric

243 measurement (and apportioned to the domain of interest) or can be modeled through process-based (Clark and

244 Chester 2017) or economic input-output models (Ramaswami et al., 2008).

245 Integration of bottom-up urban flux estimation with atmospheric monitoring has been achieved with atmospheric

246 inverse modeling, an approach whereby surface fluxes are estimated from a best fit between bottom-up estimation

247 and fluxes inferred, via atmospheric transport modeling, from atmospheric concentrations (Lauvaux et al., 2013;

Lauvuax et al., 2016; Breon et al., 2015; Davis et al., 2017). Though the various measurement and modeling

249 components continue to be tested, integration offers an urban anthropogenic CO<sub>2</sub> information system which can

250 provide accuracy, emissions process information, and spatiotemporal detail. This combination of attributes satisfies

251 a number of urgent requirements. For example, it can offer the means to evaluate urban emissions mitigation efforts

252 by assessing urban trends. Space, time, and process detail of emitting activity can guide mitigation efforts,

253 illuminating where efficient opportunities exist to maximize reductions or focus new efforts. Finally, emissions

254 quantification is also seen as a potentially powerful metric with which to better understand the urbanization process

255 itself, given the importance of energy consumption to the evolution of cities.

256 The Hestia Project was begun to estimate bottom-up urban fossil fuel CO<sub>2</sub> (FFCO<sub>2</sub>) fluxes for use within integrated

- 257 flux information systems. Begun in the city of Indianapolis, the Hestia effort is now part of a larger experiment that
- 258 includes many of the modeling and measurement aspects described above. Referred to as the Indianapolis Flux
- 259 Experiment (INFLUX), this integrated effort has emerged to test and explore quantification and uncertainties of the

260 urban CO<sub>2</sub> and methane (CH<sub>4</sub>) measurement and modeling approaches using Indianapolis as the testbed

261 experimental environment (Whetstone et al., 2018; Davis et al., 2017).

262 Because urban areas differ in key attributes such as size, geography, and emission sector composition, multiple cities 263 are now being used to test aspects of anthropogenic CO2 monitoring and modeling. For example, ongoing efforts at 264 integration of atmospheric measurements and bottom-up emissions information are taking place in Paris (Breon et al., 265 2015; Staufer et al., 2016), Boston (Sargent et al., 2018), Salt Lake City (Mitchell et al., 2018) and London (Font et 266 al., 2015), to name a few. The Hestia approach has been used in a number of these urban domains. Here, we provide 267 the methods and results from one of those urban domains, the Los Angeles Basin Megacity. The Hestia-LA effort was 268 developed under the Megacities Carbon framework (https://megacities.jpl.nasa.gov/portal/). It was designed to serve 269 the Megacities Carbon Project in a similar capacity to its role in INFLUX. The Hestia-LA result is unique in that it is 270 the first high-resolution spatiotemporally-explicit inventory of FFCO2 emissions centered over a megacity. A 271 preliminary version of Hestia-LA containing only the transportation sector emissions was reported by Rao et al. 272 (2017). While emphasis thus far has been focused on atmospheric CH4 monitoring analyses in the LA megacity 273 (Carranza et al., 2017; Wong et al., 2016; Verhulst et al., 2017; Hopkins et al., 2016), work is ongoing to use the 274 extensive atmospheric CO<sub>2</sub> observing capacity in the Los Angeles domain (e.g. Newman et al., 2016; Feng et al., 275 2016; Wong et al., 2015; Wunch et al., 2009) within an atmospheric CO2 inversion (i.e. an approach whereby CO2 276 concentration measurements in the atmosphere are combined with models of wind motions to infer what the emissions 277 emanating from the surface must be).

278 In this paper, we describe the study domain, the input data, uncertainty, and the methods used to generate the Hestia-

279 LA (v2.5) data product and provide descriptive statistics at various scales of aggregation. We compare the Hestia

- results to the metro region planning authority estimate and place the results in the context of urban greenhouse gas mitigation. We discuss known gaps and weaknesses in the approach and goals for future work.
- 282 2 Methods

#### 283 2.1 Study Domain

The Los Angeles metropolitan area is the second-largest metropolitan area in the United States and one of the largest metropolitan areas in the world. Under the definition of the Metropolitan Statistical Area (MSA) by the U.S. Office of Management and Budget, Metropolitan Los Angeles consists of Los Angeles and Orange counties with a land area of 12,562 km<sup>2</sup> and a population of 9,819,000. The Greater Los Angeles Area, as a Combined Statistical Area (CSA) defined by the U.S. Census Bureau, encompasses the three additional counties of Ventura, Riverside, and San

- 289 Bernardino with a total land area of 87,945 km<sup>2</sup> and an estimated population of 18,550,288 in 2014. The Hestia-LA
- 290 FFCO2 emissions data product covers the complete geographic extent of these five counties including the Eastern,

- 291 relatively non-urbanized portions of San Bernardino and Riverside counties. Airport emissions associated with
- aircraft up to 3000 feet are included as are marine shipping emissions out to 12 nautical miles from the coastal
- boundary. Emissions considered here are carbon dioxide only; other important greenhouse gases such as methane
- 294 (CH<sub>4</sub>) and nitrous oxide (N<sub>2</sub>O) are not included.



295

#### 296 Figure 1: The Hestia-LA urban domain

#### 297 2.2 Input data

298 Input data to the Hestia-LA data product are supplied by output of the Vulcan Project (Figure 2), a quantification of

299 FFCO2 emissions at fine space and time scales for the entire US landscape (Gurney et al., 2009) The Hestia-LA

300 process extracts these results for the five counties within the Hestia-LA domain and adjusts these estimates where

301 superior local data are available and further downscales/distributes the Vulcan v3.0 results to buildings and street

302 segments. Details of the Vulcan v3.0 methodology is provided elsewhere (Gurney et al., 2018). Here, we summarize

303 the Vulcan v3.0 methods and then provide greater detail regarding the Hestia-LA processing of that data to high-

304 resolution space/time scales.



305 306

#### Figure 2: Total annual FFCO<sub>2</sub> emissions for the year 2011 from the Vulcan v3.0 output.

307 The Vulcan v3.0 input data (the output of which is the input for the Hestia-LA) are organized following nine 308 economic sector divisions (see Table 1) - residential, commercial, industrial, electricity production, onroad, nonroad, 309 railroad, commercial marine vessel, and airport. Also included are emissions associated with the calcining process in 310 the production of cement. The data sources within each sector are either acquired as FFCO2 emissions (the onroad 311 sector and most of the nonroad and electricity production sectors) or as carbon monoxide (CO) emissions (all other 312 sectors) and transformed to FFCO2 emissions via emission factors. Furthermore, the data sources are represented 313 geographically as either geocoded emitting locations ("point") or as spatial aggregates ("nonpoint" or area-based 314 emissions). Point sources are stationary emitting entities identified to a geocoded location such as industrial facilities 315 in which emissions exit through a stack or identifiable exhaust feature (USEPA, 2015a). Area or nonpoint source 316 emissions are not inventoried at the facility-level but represent diffuse emissions within an individual U.S. county. 317 Because the focus of the current study is CO<sub>2</sub> emissions resulting from the combustion of a fossil fuels, fugitive or 318 evaporative emissions are not included nor are "process" emissions, for example, associated with high-temperature 319 metallurgical processes. Similarly emissions associated with waste decay (organic or inorganic) are not included.

320 Much of the input data for Vulcan v3.0 are acquired from the Environmental Protection Agency's (EPA) National 321 Emission Inventory (NEI) for the year 2011 (referred to hereafter as the "2011 NEI") which is a comprehensive 322 inventory of all criteria air pollutants (CAPs) and hazardous air pollutants (HAPs) across the United States (USEPA, 323 2015b). All of the individual record-level reporting in the 2011 NEI comes with a source classification code (SCC) 324 which codifies the general emission technology, fuel type used, and sector (USEPA 1995). 325 FFCO2 emissions from the electricity production sector are primarily retrieved from two sources other than the 2011 326 NEI. The first is the EPA's Clean Air Markets Division (CAMD) data (USEPA, 2015c) which reports FFCO2 327 emissions at geocoded electricity production facility locations. The second is the Department of Energy's Energy 328 Information Administration (DOE EIA) reporting data (DOE/EIA, 2003) which reports fuel consumption at 329 geocoded electricity production facility locations. Some electricity production emissions are retrieved from the 2011 330 NEI (as CO emissions). Overlap between these three data sources is eliminated via preference in the order listed 331 above. A detailed comparison made between the CAMD and EIA FFCO2 emissions along with greater detail 332 regarding data sources, data processing and procedures can be found in Quick et al., (2014) and Gurney et al. (2014; 333 2016; 2018). 334 The 2011 onroad FFCO2 emissions are retrieved from the EMissions FACtors 2014 model (EMFAC2014), produced 335 by the California Air Resources Board (CARB, 2014). Onroad transportation represents all mobile transport using 336 paved roadways and include both private and commercial vehicles of many individual classes (e.g., passenger 337 vehicles, buses, light duty trucks, etc). The nonroad sector, by contrast, includes all surface mobile vehicles that do 338 not travel on designated paved roads surface and include a large class of vehicles such as construction equipment 339 (e.g., bulldozers, backhoes, etc.), ATVs, snowmobiles, and airport fueling vehicles. The nonroad emissions are 340 derived from the 2011 NEI reporting of nonroad CO emissions. Airport emissions include all the emissions 341 emanating from aircraft during their taxi, takeoff, landing cycles up to 3000 feet and are derived from the 2011 NEI 342 point reporting. Other activities occurring at airports resulting in FFCO2 emissions are captured in the commercial building sector (building heating) or the nonroad sector (baggage vehicles), sourced to the 2011 NEI nonpoint, 2011 343 344 NEI point and 2011 NEI nonroad reporting. Railroad emissions include passenger and freight rail travel and are 345 sourced to the 2011 NEI nonpoint and point reporting. Commercial marine vessels (CMV) include all commercial-346 based aquatic vessels on either ocean or freshwater sourced to the 2011 NEI nonpoint reporting. Personal aquatic 347 vehicles such as pleasure craft and sailboats are included in the nonroad sector. Emissions associated with cement 348 calcining are included given its potential size and the tradition of including it with CO2 inventories and use 349 information from multiple sources (PCA, 2006; USGS, 2003; IPCC, 2006).

 $350 \qquad \text{The FFCO}_2 \text{ emissions input to the Hestia system from the Vulcan v3.0 output is associated with spatial elements}$ 

represented by points, lines and polygons, depending upon the data source, the sector and the available spatial proxy

352 data (Table 1). Further spatialization and temporalization occurs in the Hestia system.

## 353Table 1. Data sources used in the spatiotemporal distribution of FFCO2 emissions (text provides acronym354explanations and sources).

Sector/type	Emissions Data	Original spatial	Spatial distribution	Temporal
	Source	resolution/information		distribution
		*	*	•

Onroad	EMFAC <sup>a</sup> , EPA NEI <sup>b</sup> onroad	County, road class, vehicle class	SCAG AADT°	PeMS <sup>d</sup> , CCS <sup>e</sup>
Electricity production	CAMD <sup>f</sup> CO2, EIA <sup>g</sup> fuel, EPA NEI point CO	Lat/lon, fuel type, technology	EPA NEI Lat/Lon, Google Earth	CAMD, EIA and EPA
Residential nonpoint buildings	EPA NEI nonpoint CO	County, fuel type	SCAG Parcel, floor area, DOE RECS NE-EUI <sup>h</sup> , LA County building footprint	eQUEST
Nonroad	NEI nonpoint CO	County, vehicle class	EPA spatial surrogates (vehicle class specific)	EPA temporal surrogates (by SCCi)
Airport	EPA NEI point CO	Lat/lon, aircraft class	Lat/Lon	LAWA <sup>k</sup>
Commercial nonpoint buildings	EPA NEI nonpoint CO	County, fuel	SCAG Parcel, floor area, DOE CBECS NE-EUI	eQUEST
Commercial point sources	EPA NEI point CO	Lat/lon, fuel type, combustion technology	EPA NEI Lat/Lon, Google Earth	eQUEST
Industrial point sources	EPA NEI point CO	Lat/Lon, fuel type, combustion technology	EPA NEI Lat/Lon, Google Earth	EPA temporal surrogates (by SCC)
Industrial nonpoint buildings	EPA NEI nonpoint CO	County, fuel type	SCAG-Parcel, floor area, DOE MECS NE-EUI <sup>m</sup>	eQUEST
Commercial Marine Vessels	EPA NEI nonpoint CO	County, fuel type, port/underway	MEM <sup>n</sup>	MEM
Railroad	EPA NEI nonpoint CO, EPA NEI point CO	County, fuel type, segment	EPA NEI rail shapefile and density distribution	EPA temporal surrogates (by SCC)

Emissions Factors Model

Environmental Protection Agency, National Emissions Inventory Southern California Association of Governments, Annual Average Daily Traffic b.

c. d Performance Measurement System

Continuous Count Stations

e. f. Clean Air Markets Division

Energy Information Administration g. h.

Department of Energy Residential Energy Consumption Survey, non-electric energy use intensity

i. Quick Energy Simulation Tool

Source Classification Code j. k.

Los Angeles World Airport I.

Department of Energy Commercial Energy Consumption Survey, non-electric energy use intensity Department of Energy Manufacturing Energy Consumption Survey, non-electric energy use intensity Marine Emissions Model

m. n.

To estimate FFCO2 emissions as a multiyear time series from 2010 to 2015, the results for the year 2011 were scaled

using sector/state/fuel consumption data (thermal units) from the DOE EIA (DOE/EIA, 2018). The electricity

371 production sector was an exception to this approach where year-specific data was available in the CAMD and EIA

372 data sources. Ratios were constructed relative to the year 2011 in all SEDS sector designations for each US state.

373 The ratio values are applied to the annual totals in each of the sector/fuel categories specific to the state FIPS code.

374 2.3 Space/time processing

#### 375 2.3.1 Residential, commercial, industrial nonpoint buildings

376 The general approach to spatializing the residential, commercial and industrial nonpoint FFCO2 emissions is to

377 allocate the county-scale, fuel-specific annual sector totals to individual buildings (or parcels) using data on building

378 type, building age, total floor area, energy use intensity, and location.

379 A portion of the Hestia-LA building information were provided by the Southern California Association of

380 Governments (SCAG) (SCAG, 2012) and included building type, age, floor area, and location. The spatial

381 resolution of this information was at the land parcel scale (larger than the building footprint). Building footprint data

382 was available in the county of Los Angeles only which offered additional building floor area information needed to

383 correct some floor area values in the SCAG parcel data (LAC, 2016). For example, a large number of commercial

355678900123556789001235567890012355601235560123355601233566678 369 370

- 384 parcels with zero floor area were found in the Riverside County data which were visually inspected in Google Earth
- 385 to contain qualifying buildings. These floor area values were corrected through the combination of the Census
- 386 block-group General Building Stock (GBS) database from the Federal Emergency Management Agency (FEMA)
- 387 (FEMA, 2017) and the National Land Cover Database 2011 (NLCD) which classifies the US land surface in 30m
- 388 pixels (Homer et al., 2015).
- 389 Building energy use intensity was derived from data gathered by the DOE EIA and the California Energy
- 390 Commission (CEC). The DOE EIA Commercial Buildings Energy Consumption Survey (CBECS), Manufacturing
- 391 Energy Consumption Survey (MECS), and Residential Energy Consumption Survey (RECS) represent regional
- 392 surveys of building energy consumption categorized by building type, fuel type, and age cohort (RECS, 2013;
- 393 CBECS, 2016; MECS, 2010). Data for the Pacific West Census Division was used and in the case of the commercial
- 394 sector, was appended by the CECs Commercial End-Use Survey (CEUS) data (CEC, 2006).
- 395 In the residential sector the non-electric energy use intensity (NE-EUI) was calculated from the reported energy
- 396 consumed and total floor area sampled specific to five building types (Table 2) in the 2009 RECS survey. This was
- 397 additionally categorized by fuel type (natural gas and fuel oil) and two age cohorts (pre-1980, post-1979).
- Table 2. Residential NE-EUI survey values by building type from the Residential Energy Consumption
   Survey (RECS)

RECS building type	Pre-1980 NG NE-	Post-1979 NG	Pre-1980 Fuel oil	Post-1979 Fuel oil
	EUI (kbtu/ft <sup>2</sup> )	NE-EUI (kbtu/ft <sup>2</sup> )	NE-EUI (kbtu/ft <sup>2</sup> )	NE-EUI (kbtu/ft <sup>2</sup> )
Mobile home	52.56	22.90	NA*	NA
Single-family detached house	24.53	18.00	18.87	7.23
Single-family attached house	42.56	32.38	NA	NA
Apartment building with 2-4 units	27.84	42.27	NA	NA
Apartment building with 5 or more units	17.21	30.85	NA	NA

400 \* "NA" - not applicable. This indicates that there was no fuel consumption of this type evident from the survey data.

- 401 In the commercial sector, the NE-EUI was similarly calculated from the 2012 CBECS energy consumption
- 402 microdata and total floor area sampled specific to twenty building types, two fuel types (natural gas and fuel oil) and
- 403 two age cohorts (pre-1980 and post-1979). However, the sampling for the two age cohorts was insufficient to
- 404 generate estimates and the age distinction was eliminated. Furthermore, where the sample sizes remained small, NE-
- 405 EUI data from the CEUS was used in place of CBECS estimates (7 of 20 building types qualified). As the CEUS
- follows a building typology different from CBECS, a crosswalk of building types between the two datasets wasnecessary (Table 3).
- 408Table 3. Building type crosswalk and NE-EUI values for commercial buildings derived from the CBECS and409CUES databases

CBECS building class	CUES building class	NG NE-EUI (kbtu/ft <sup>2</sup> )	Fuel oil NE-EUI (kbtu/ft <sup>2</sup> )
Vacant	Miscellaneous	9.3	2.5
Office	All Offices	17.9*	1.67
Laboratory	Miscellaneous	174.7	0.93
Nonrefrigerated warehouse	Unrefrigerated Warehouse	3.1*	1.03
Food sales	Food Store	27.6*	2.5
Public order and safety	Miscellaneous	58.2	2.09
Outpatient health care	Health	29.1	3.05

Refrigerated warehouse	Refrigerated Warehouse	5.6*	2.5
Religious worship	Miscellaneous	35.7	0.00
Public assembly	Miscellaneous	26.5	0.23
Education	College, School	25.1*	1.7
Food service	Restaurant	210*	100.5
Inpatient health care	Health	113.9	2.6
Nursing	Health	67.4	1.2
Lodging	Lodging	42.4*	1.4
Strip shopping mall	Retail	62.7	2.5
Enclosed mall	Retail	4.8	0.02
Retail other than mall	Retail	13.6	16.7
Service	Miscellaneous	34.2	0.45
Other	Miscellaneous	18.5	5.3

410 \* NE-EUI uses the CUES NE-EUI value due to sampling limitations in the CBECS data.

411 Unlike the commercial and residential survey data, the 2010 MECS survey data does not quantify energy

412 consumption for individually sampled buildings but rather reports the sum of the sampled buildings within each

413 census region categorized by manufacturing sector. The resulting NE-EUI values are shown in in Table 4. Like the

414 commercial data, there was inadequate sampling to justify two age cohorts.

#### 415 Table 4. Industrial NE-EUI survey values from the DOE EIA MECS database

MECS Class	NG NE-EUI (kbtu/ft <sup>2</sup> )	Fuel oil NE-EUI (kbtu/ft <sup>2</sup> )
Food	519.3	30.5
Beverage and Tobacco Products	162.4	8.5
Textile Mills	144.9	9.3
Textile Product Mills	63.4	0
Apparel	35.1	0
Leather and Allied Products	66.7	0
Wood Products	76.6	49.5
Paper	672.8	69.1
Printing and Related Support	96.6	0
Petroleum and Coal Products	9766.0	436.2
Chemicals	2126.3	17.9
Plastics and Rubber Products	124.7	2.4
Nonmetallic Mineral Products	556.0	48.9
Primary Metals	895.0	16.7
Fabricated Metal Products	124.2	2.3
Machinery	78.6	3.3
Computer and Electronic Products	80.0	0
Electrical Equip., Appliances, and Components	133.3	3.7
Transportation Equipment	100.6	4.0
Furniture and Related Products	28.6	0
Miscellaneous	44.7	2.8

416 The NE-EUI values derived from the CBECS/RECS/MECS and CEUS survey data reflect the total building fuel

417 consumption for a specific fuel in a census region divided by the total floor area of all buildings in that census region

418 consuming that fuel. This generates a mean building NE-EUI value. Actual buildings will vary around that mean

419 value for a variety of reasons including different occupancy schedules, different energy efficiencies (in the envelope

420 or heating/cooling system), different microclimate, and other physical/behavioral characteristics. Furthermore, the

421 NE-EUI value applied in this way will not capture the reality that some buildings do not use fossil fuel (electricity-

422 only buildings) or that some buildings use one fossil fuel only versus another or use a mix of fuels in a proportion

different from the county total. Hence, each building will be allocated a mix of fossil fuel consumption identical tothe county total.

#### 425 2.3.1.1 Spatial distribution

426 The county-scale commercial, residential and industrial nonpoint FFCO<sub>2</sub> emissions are allocated to each land parcel 427 in proportion to the product of the NE-EUI and the total floor area,

(1)

$$428 \quad EC(b)'_{s} = NE\_EUI'_{s}FA(b)$$

429 where the energy consumed, EC, in each building, b, is the product of the NE-EUI value, NE\_EUI, and the floor

area, *FA*, for each fuel, *f*, and each building in sector, *s*. The total energy consumed, *TEC*, within the county for a
sector, *s*, is the sum of all the EC values across the *N* buildings in the sector,

432 
$$TEC_s^f = \sum_{b=1}^{N} EC(b)_s^f$$
 (2)

To convert this to FFCO<sub>2</sub> emissions, we first calculate the fraction of the total energy consumption associated with each building,

435 
$$F(b)_{s}^{f} = \frac{EC(b)_{s}^{f}}{TEC_{s}^{f}}$$
 (3)

436 where, F is the fraction of *TEC* consumed in building, b, of sector s. This is then used to distribute the county total 437 FFCO<sub>2</sub> emissions as,

438 
$$E(b)_{s}^{f} = E_{s}^{f}F(b)_{s}^{f}$$
 (4)

- where *E*, is the FFCO<sub>2</sub> emissions either for the county or for building, *b*, and fuel. In allocating emissions from coal
  consumptions, however, *NE-EUI* takes the value of "1" for all building types so that the allocated emission in a
- 441 building is directly proportional to the floor area.

#### 442 2.3.1.2 Temporal distribution

- 443 The hourly time structure for buildings in the residential and commercial sectors are created via the use of eQUEST,
- 444 a building energy simulation tool run for each of the building classes listed in Table 2 and Table 3 and using only
- the temporal structure of the energy consumption output (Hirsch & Associates, 2004). The model domain is
- 446 specified as the city of Los Angeles for the year 2011 with TMY weather data from the DOE (Marion and Urban,
- 447 1995). The mean building area is provided by the parcel data as described previously.
- 448 For the industrial buildings, a temporal profile representing the mean of industrial point source temporal surrogates
- 449 provided by EPA, are used (USEPA, 2015a). Figure 3 shows the hourly time profile during a one-week period in
- 450 April for a selected building in the residential and commercial sector, respectively.



451

452 Figure 3. Energy consumption intensity (hourly fraction) from an eQUEST simulation on the average week in
 453 2011 for two types of buildings: "single family detached house" and "office".

#### 454 2.3.2 Industrial and commercial point sources

455 Little space/time processing is required for industrial and commercial point source emissions since they are

456 geocoded to specific facilities/emitting stacks or similar identifiable emission points. However, visual inspection of

457 the point source locations in GIS suggested potential geocoding errors. Point source locations were reviewed by

458 searching facility names to an online address search or via the EPA's Facility Registry Service (FRS) which can link

459 the facility in question to all the reporting made to the federal government under other environmental regulations

460 (USEPA, 2013). This often returns a more accurate physical location. The geolocations considered inaccurate were

461 manually corrected. Out of the total 192 facilities with corrected locations, 13 were moved a distance of between

462 924 and 1022 km while the remaining 179 were moved 0.5 km or less. The large magnitude location changes were

463 likely transcription errors when originally recording the location coordinates.

464 A given commercial or industrial point source is typically composed of multiple emission processes or units. For

465 example, in Los Angeles County, the 2011 NEI reports a total of 3409 emission records at 842 individual facilities.

- 466 In some cases, the multiple emitting points at a facility are not at exactly the same geocoded point but may represent
- 467 different emitting points at a facility that occupies a large area of land. Most often, however, all emitting points at a
- 468 given facility are geocoded to the same latitude and longitude.
- 469 The sub-annual temporal distribution for the commercial and industrial point source emissions used temporal
- 470 surrogate profiles provided by the EPA, linked according to the SCC of the emission record (USEPA, 2015a).

#### 471 2.3.3 Electricity production

- 472 As described in Section 2.2, three different data sources are used to quantify the FFCO<sub>2</sub> emissions in the Hestia-LA
- 473 domain: the Clean Air Markets Division (CAMD), the DOE-EIA reporting and 2011 NEI CO emissions data. In
- 474 2011 there were a total of 34 CAMD facilities, 228 EIA facilities and 147 NEI facilities (reported through the NEI

- 475 2011 point source fileset) in the Hestia-LA domain. Total electricity production emissions in the domain was 6.21
- 476 MtC/year exclusive of biogenic fuels and 6.68 MtC/year with biogenics included. The CAMD data is reported at
- 477 hourly resolution, while the DOE EIA data is reported at monthly resolution and the 2011 NEI data is reported at
- 478 annual resolution only. Reduction of all data to an hourly time increment was achieved by maintaining constant
- 479 emissions within a month or year for the DOE EIA and 2011 NEI data, respectively.

#### 480 2.3.4 Onroad

481 A preliminary version of the Hestia-LA onroad emissions estimates were presented by Rao et al. (2017). The version
 482 presented here uses updated data and Hestia methodologies.

#### 483 2.3.4.1 Temporal distribution

- 484 The Hestia-LA onroad FFCO<sub>2</sub> emissions input are retrieved from the Vulcan v3.0 output spatialized to specific road
- 485 segments in the Hestia-LA domain and categorized by vehicle class/fuel. Hence, no further spatialization was 486 required.
- 487 Construction of the temporal distribution in the Hestia system relies upon the California Department of
- 488 Transportation (CalTrans) Performance Measurement System (PeMS) (PeMS, 2018). This dataset contains 2011
- 489 traffic count data collected at 5 min intervals at measuring stations along freeways and principal arterials and along
- 490 some minor arterials and collectors (major and minor). Aggregation of the 5-minute counts to hourly values are used 491 to construct hourly fractions for each measurement station.
- 492 To apply a time distribution for the FFCO<sub>2</sub> onroad emissions on each road segment, an Inverse Distance Weighting
- 493 (IDW) spatial interpolation method was used. A search within a neighborhood of a 10 km radius is performed from
- 494 the midpoint of each road segment to locate PeMS sites using a nearest neighbor searching library (Mount and Arya,
- 495 2010). In cases where more than one station was available, the IDW interpolation was applied; in cases where only
- 496 one station was available, the time structure of this station was directly assigned to the road segment in question. In
- 497 cases where no station was available within the 10-km neighborhood, an average temporal distribution was assigned
- 498 (an average of all station values in a county at that hour for that road type). This last case occurred mostly in the
- 499 rural portions of predominantly rural counties.
- 500 For local roads, PeMS data was not available in any of the counties within the Hestia-LA domain. Instead, the
- 501 weekday hourly time fractions were generated from Annual Average Weekday Traffic (AAWT) data supplied by
- 502 SCAG (Mike Ainsworth, 2014). The data contained five distinct time periods within a single 24 hour cycle: 6-9 am,
- 503 9 am-3 pm, 3-7 pm, 7-9 pm, 9 pm-6 am. Hourly time fractions for weekends were derived from the county average
- 504 of weekend hourly time fractions. The weekday and weekend hourly time fractions were combined to form a
- 505 complete week, and then replicated for all 52 weeks in the entire year. This was done because there was no
- 506 significant seasonality in weekday and weekend traffic across the year as observed from PeMS data.

#### 507 2.3.5 Nonroad

- 508 The nonroad Hestia-LA FFCO<sub>2</sub> emissions are completely determined in the Vulcan system and hence, passed to the
- 509 Hestia-LA domain without further processing (see Gurney et al., 2018 for details). To summarize the Vulcan
- 510 process, California did not report FFCO2 nonroad emissions to the NEI 2011 but did report nonroad CO emissions.
- 511 The CO emissions were converted to FFCO2 using the SCC-specific ratios of CO2/CO derived from all other states
- $512 \qquad \text{that reported both species (a mean value). The spatial distribution of the nonroad FFCO_2 emissions followed two$
- 513 approaches. Nonroad FFCO<sub>2</sub> emissions reported through the 2011 NEI point data source (5 locations, 12% of
- 514 nonroad FFCO<sub>2</sub> in the LA Megacity) are located in space according to the provided latitude and longitude.
- 515 Emissions reported through the county-scale nonroad data source utilize multiple spatial surrogates provided by the
- 516 EPA reflecting a series of spatial entities such as the mines, golf courses and agricultural lands. There were instances
- 517 in which nonroad FFCO<sub>2</sub> emissions could not be associated with a spatial entity due to missing data. These
- 518 emissions are spatialized by first aggregating all the offending sub-county emission elements within a county for a
- 519 given surrogate shape type (e.g., golf courses, mines) and then distributing these emissions evenly across the county.
- 520 To distribute the nonroad FFCO<sub>2</sub> emissions from the annual to hourly timescale, a series of surrogate time profiles
- 521 provided by the EPA are used. These temporal surrogates are comprised of three cyclic time profiles (diurnal,
- weekly, monthly) specific to SCC that are combined to generate hourly SCC-specific time fractions for an entirecalendar year.

#### 524 2.3.6 Airport

- 525 Emissions of FFCO2 from airports retrieved from the Vulcan system for the Hestia-LA domain are specific to
- 526 geocoded airport locations. Hence, the Hestia-LA system performs the temporal distribution only. There are 374
- 527 commercial airports/helipads in the Hestia-LA domain totaling 0.77 MtC/year, dominated by Los Angeles County
- 528 (0.39 MtC/year), and LAX in particular.
- 529 The annual airport FFCO<sub>2</sub> emissions are distributed in time utilizing airport-specific flight volume data from four530 datasets:
- 531 1) The Operations Network (OPSNET) data from the Federal Aviation Administration (FAA) which reports total
- 532 date-specific, daily flight volume (365 values) at specific airports for specific aircraft classes (FAA, 2018a);
- 533 2) "AIRNAV" data which reports average daily percentage flight volume for aircraft class at US airports and
   534 facilities (Airnav.com, 2018);
- 3) The Enhanced Traffic Management System Counts (ETMSC) daily flight volume data from the FAA was for two
   airports in the Hestia-LA domain (NTD and RIV) with mostly military operations (FAA, 2018b);
- 4) The Los Angeles World Airports (LAWA) data which reports hourly flight volume for Los Angeles International
   airport (LAX), Ontario airport (ONT), and Van Nuys airport (VNY) (LAWA, 2014).
- 539 For three large airports (LAX, ONT, VNY), the daily aircraft class-specific flight volume (from OPSNET) and the
- 540 hourly data on flight volume (from LAWA) were combined to create hourly aircraft class-specific time profiles

#### 541 (Figure 4-6). All of the flight volume data are specific to four aircraft classes: Military (MIL), Air Carrier (AC),





547

Figure 4. Average hourly flight volume at LAX for a) total, b) AC, c) AT, d) GA, and e) MIL aircraft classes for each day of the week. The plots represent the mean diurnal cycle for all Mondays, Tuesday, Wednesdays, and so on, given a full year of data.



548 Figure 5. Same as figure 4 but for the Ontario (ONT) airport.



### 549

#### 550 Figure 6. Same as figure 4 but for the Van Nuys (VNY) airport.

551 To generate hourly time profiles for all other airports in the Hestia-LA domain for which this type of detailed hourly

552 data was not available, airports first were categorized based on average daily flight volumes and average aircraft

553 class proportions from the OPSNET, AIRNAV and ETMSC data. Each airport was categorically matched to one of

the two non-international airports with hourly data (ONT, VNY) and the hourly time fractions adopted. LAX was

unique in terms of its volume and aircraft class proportions and hence was not used for any other airports. For

belipads and very small airports, a flat time structure was used.

#### 557 2.3.7 Railroad

558 Railroad FFCO2 emissions are similarly distributed in space within the Vulcan system and passed through to the 559 Hestia-LA landscape without alteration (see Gurney et al., 2018 for additional details). The Vulcan process treats 560 railroad point records somewhat differently from the railroad nonpoint records. The point source railroad emissions 561 are associated with rail yards and related geo-specific locales and are placed in space according to the provided 562 latitude and longitude. The railroad FFCO2 emissions associated with the nonpoint 2011 NEI reporting contain an 563 ID variable that links to a spatial feature (rail line segment) in the EPA railroad GIS Shapefile. Nearly two-thirds of 564 the railroad emitting segments have no segment link. The sum of these "unlinked" railroad FFCO2 emissions are 565 distributed to rail line within the given county according to freight statistics. The annual railroad FFCO2 emissions 566 are distributed to the hourly timescale with no additional temporal structure (a "flat" time distribution).

#### 567 2.3.8 Commercial marine vessels

568 The commercial marine vessel (CMV) FFCO<sub>2</sub> emissions retrieved from the Vulcan system are specific to county

- 569 and SCCs which are subsequently aggregated by the Hestia-LA system into emissions associated with two activity
- 570 categories: "port" emissions "underway". For the port CMV emissions (Figure 7), a port Shapefile from the EPA
- 571 was used as a reference along with a visual inspection of the coastline (USEPA, 2015a).



572

573 Figure 7. The 6 ports in the Hestia-LA domain to which Vulcan FFCO<sub>2</sub> port emissions are allocated.

Allocation of the FFCO<sub>2</sub> emissions designated as "underway" used a polyline Shapefile (Figure 8) of commercial

575 shipping lanes in California provided by CARB (Alexis, 2011). The shipping lanes for each county were bounded so

576 that only lanes between the exterior of ports and a distance of 24 miles from the port exterior, were included. County

577 total FFCO<sub>2</sub> emissions were then distributed evenly to these shipping lanes on a per unit length basis individually for

578 each of the three counties. Each shipping lane segment receives its length fraction of the annual total of underway

579 emissions.



Figure 8. Commercial Marine Vessel (CMV) shipping lanes in the Hestia-LA to which Vulcan FFCO<sub>2</sub>
underway emissions are allocated.

583 The time profile was based on the Marine Emissions Model (MEM) developed by CARB. MEM had marine vessel 584 activity data which includes the arrival time of ocean-going vessels for all ports in California spanning the 2004 to 585 2006 time period (Alexis, 2011). This hourly dataset was analyzed using a Fourier time series which allowed for an 586 isolation of the dominant cycles of ship traffic in the data. Results from the Fourier fit were then used to fill in the 587 missing hours. Weekday hours were examined separately from weekend hours to isolate potential differences in 588 traffic volume. Three cycles resulted: a 24-hour diurnal cycle, a weekly cycle and a monthly cycle. These were 589 applied to all years of the annual FFCO2 emissions to create an hourly distribution at each of the CMV ports within 590 the domain.

#### 591 2.3.9 Cement

592 Emissions of FFCO<sub>2</sub> from cement production facilities retrieved from the Vulcan system for the Hestia-LA domain 593 are specific to geocoded facility locations. CO<sub>2</sub> is emitted from cement manufacturing as a result of fuel combustion

and as process-derived emissions [van Oss, 2005]. The emissions from fuel combustion are captured in the industrial

- and us process derived emissions [fun 066, 2005]. The emissions from fact computition are explained in the main
- $595 \qquad \text{sector. The process-derived CO}_2 \text{ emissions result from the chemical process that converts limestone to calcium}$

- 596 oxide and CO<sub>2</sub> during "clinker" production (clinker is the raw material for cement which is producing by grinding
- 597 the clinker material). These emissions are reported as cement sector emissions
- 598 These emissions are fully calculated, spatialized and temporalized in the Vulcan v3.0 system and passed directly to
- 599 the Hestia-LA landscape. The cement facilities are geocoded with some corrections to provide more accurate
- 600 placement of the emission stacks.

#### 601 2.4 Gridding

- 602 The county-level FFCO<sub>2</sub> emissions inventory, which has been distributed into the point, line and polygon features
- 603 by sector, are rasterized into a sector-specific and time-resolved gridded form under a common grid reference. This
- 604 grid reference divides the entire Hestia-LA domain into 509-by-342 1 km x 1 km grid cells on the California State
- Plane Coordinate System. The grid reference is made into "fishnet" in the Shapefile format with 509-by-342 square geometries.
- 607 The first step of the gridding procedure is to perform a spatial intersection operation between the fishnet and each of
- the sectoral emissions layers in ArcGIS. The output of an intersection operation is a new set of features common to
- 609 both input layers. The emissions value of each feature in the intersection output was scaled by the ratio of the spatial
- 610 footprint of the feature to that of the original feature in the sectoral emissions layer. For line-source and polygon-
- 611 source emissions layers, the spatial footprint represents the line length and polygon area respectively. For point-
- 612 source layers, the footprint is equal to 1.

#### 613 2.5 Uncertainty

614 Uncertainty estimation for Hestia results are challenging owing to the fact that many of the datasets used to 615 construct the flux results are not accompanied by uncertainty or traceable to transparent sources or methods. The 616 approach taken for the Hestia-LA v2.5 results was to conservatively estimate the uncertainty based on available 617 comparisons to Hestia results and exploration of the dominant components of the Hestia output. The first of these is 618 a comparison of the Hestia-Indianapolis (Hestia-Indy) results to an inverse-estimation of fluxes in the INFLUX 619 project (Gurney et al., 2017). In that study, it was shown that the Hestia-Indy whole-city FFCO2 emissions result 620 agreed with an inverse estimate (Lauvaux et al., 2016) within 3.3% (CI: -4.6% to +10.7%). This suggests both 621 potential bias (3.3%) and an estimation uncertainty (~7.5%). This comparison was accomplished by estimating 622 portions of the carbon budget, included in the inverse estimate, but not explicitly included in the Hestia-Indy result. 623 Most importantly, biosphere respiration estimated from chamber studies at commensurate urban latitudes combined 624 with a remote-sensing based approach to quantifying the available vegetated landscape. This comparison, it should 625 be noted, is for a single city (Indianapolis) for a single time period. We directly sum the random and systematic error 626 and use this in the current study to represent the Hestia-LA whole-city uncertainty (a 95% CI), rounded up to 11%. 627 The next element for consideration with a conservative uncertainty estimate is the work done to compare two 628 different electricity production FFCO<sub>2</sub> estimates in the US. This work (Gurney et al., 2016) found that one-fifth of 629 the facilities had monthly FFCO2 emission differences exceeding -6.4%/+6.8% for the year 2009 (the closest 630 analyzed year to the 2011 analysis examined here). The distributions of emissions of the two datasets were not

631 normally distributed nor were the differences. Hence, a typical gaussian uncertainty estimate cannot be made -632 rather, the difference distribution was represented by quintiles of percentage difference. Hence, these values cannot 633 be cast within the context of other normally-distributed errors. However, we conservatively consider the quintile 634 value (the positive and negative tails) as a one-sigma value and 13% as a two-sigma value. The contribution of 635 electricity production is important to urban FFCO2 emissions uncertainty given how large power production can be 636 within the total urban FFCO<sub>2</sub> context. For example, in the Los Angeles Megacity electricity production accounts for 637 19% of the total FFCO2 emissions. The percentage differences can act as a form of uncertainty at the pointwise or 638 (conservatively) the gridcell scale, though only representative of the type of uncertainties represented by electricity 639 production point sources. 640 Finally, an initial assessment of the range of two critical parameters in the Vulcan/Hestia estimation is included as 641 part of the conservative uncertainty estimation. The two critical parameters are the CO emissions factor and the CO2 642 emissions factor. Primarily for the CO EF, there is a range of potential values for each application (combination of 643 fuel category and combustion technology) though that range is not represented by a well-populated distribution of 644 values, but rather a discrete set of values within the data sources described in Gurney et al. (2009). Furthermore, the 645 expectation is that the CO EFs would not be normally distributed even were there to be a well-populated distribution 646 of values (i.e. many literature estimates of the same fuel/combustion technology) owing to the nature of CO 647 emissions from fuel combustion. This is driven by both the variation in combustion conditions for a given 648 fuel/technology combination and the variation is CO EF values across combustion technology. The distribution 649 would likely be a positively skewed "heavy" or "long" tailed distribution. For the current study, a range of the CO 650 and CO2 EF values culled from the literature are conservatively assigned a one-sigma uncertainty of 10% or a two-651 sigma value of 20%. Like the electricity production analysis in the previous paragraph, the uncertainty associated 652 with the CO and CO<sub>2</sub> emission factors is a gridcell-scale uncertainty (as opposed to whole city where error 653 cancelation occurs) and is independent of the electricity production uncertainty estimate (the CO and CO2 EF values 654 are not used in the electriity production sector but in the other point sources and nonpoint sources). 655 These latter two uncertainty are more representative of gridcell-scale uncertainties and sum them in quadrature to 656 arrive at a gridcell-scale uncertainty (95% CI) of 23.4% or conservatively rounded to 25%. Work is underway that 657 includes a complete input parameter range for the Hestia emissions data results to more formally assign uncertainty

658 at multiple scales.

#### 659 3 Results

 $\label{eq:constraint} 660 \qquad \text{The total 2011 emissions for the Hestia-LA domain are } 48.06 \pm 5.3 \ \text{MtC/yr} \ (Figure 9, Table 5). \ Transportation$ 

661 accounts for the largest share (24.27 ± 2.7 MtC/yr) of the total and within the transportation sector, onroad emissions

 $\label{eq:count} 662 \qquad \text{account for the largest portion (20.81 \pm 2.3 \ \text{MtC/yr}). The next largest sectors are the industrial (11.65 \ \text{MtC/yr} \pm 1.3)}$ 

663 and electricity production ( $5.88 \pm 0.76$  MtC/yr) sectors, respectively. Onroad, electricity production, residential and

industrial FFCO2 emissions make up 86% of the total. Petroleum accounts for almost 75% of the total LA Megacity

 $665 \qquad \mbox{fuel consumption for direct FFCO_2 emissions consistent with the dominance of the transportation and industrial}$ 

- sectors which are mostly reliant on petroleum fuels. Los Angeles County dominates emissions in the five counties of
- the Hestia-LA domain accounting for 55% of the total FFCO<sub>2</sub> emissions. This is followed by San Bernardino,
- 668 Orange, Riverside, and Ventura counties, respectively. Los Angeles and San Bernardino counties are dominated by
- onroad and industrial FFCO<sub>2</sub> emissions, while onroad emissions account for the largest share, by far, in the
- 670 remaining three counties. Not surprisingly, Los Angeles county has the largest CMV FFCO2 emissions among the
- 671 five counties owing to the port of Los Angeles which hosts a large amount of international commercial shipping. At
- 672 0.61 ± 0.067 MtC/yr, it rivals in emission magnitude the combination of residential and commercial building
- 673 emissions in each of the other four counties.



674

Figure 9. Total FFCO<sub>2</sub> emissions proportions for the Hestia-LA domain. a) FFCO<sub>2</sub> emission proportions by
 sector; b) FFCO<sub>2</sub> emission proportions by fuel category.

Sector	Los Angeles (MtC/yr)	Orange (MtC/yr)	San Bernardino (MtC/yr)	Riverside (MtC/yr)	Ventura (MtC/yr)	Total (MtC/yr)
Residential	2.00	0.64	0.40	0.36	0.20	3.59
Commercial	1.47	0.12	0.21	0.24	0.071	2.12
Industrial	7.27	0.94	2.99	0.25	0.20	11.65
Electricity production	2.73	0.69	1.54	0.71	0.21	5.88
Transportation	12.95	3.83	3.58	2.88	1.02	24.27
Onroad	11.03	3.46	2.98	2.51	0.82	20.81
Nonroad	0.79	0.27	0.19	0.19	0.087	1.52
Airport	0.39	0.06	0.14	0.11	0.070	0.77
Railroad	0.13	0.028	0.27	0.072	0.010	0.51
CMV	0.61	0.012	0	0	0.037	0.66
Cement	0	0	0.55	0.0077	0	0.55
Total	26.42	6.22	9.28	4.45	1.70	48.06

Table 5. Sectoral FFCO<sub>2</sub> emissions in the five Hestia-LA domain counties for the year 2011. Units: MtC/yr.

678 Total emissions in the LA Megacity show a small downward trend over the 2010-2015 time period of 0.44%/year

679 which is a statistically significant trend (slope: -0.21 MtC/yr; CI: -0.397, -0.023). Individual sectors show greater

- 680 variation there are compensating temporal changes among the individual sectors (Figure 10). The residential sector
- 681 showed a relatively large decline in 2014, though due to its relatively small portion of total emissions, has limited
- 682 impact on the total temporal variation from 2010-2015. Similarly, 2015 showed a large increase in commercial

- 683 sector emissions which also do not translate to large changes in the total FFCO2 emissions time series. The relative
- 684 temporal stability of the industrial and onroad FFCO2 emissions sectors combined with their large share of the total
- 685 FFCO2 emissions are reflected in the total emissions trend. When categorized by fuel type, natural gas FFCO2
- 686 emissions exhibited the greatest variation with a maxima in 2012 and to a lesser extent 2013, driven primarily by
- 687 consumption in the electricity production sector.





689 Figure 10. Fractional changes over the 2010 to 2015 timeframe in LA Basin FFCO2 emissions. a) by fuel 690 category; b) by sector. Whole-city error provided for the total FFCO2 emissions only.

- 691 Spatial distribution of the Hestia-LA FFCO2 emissions demonstrate the importance of the populated areas and road-
- 692 intensive portions of the domain in the overall emissions (Figure 11). The constant emissions that appear over large
- 693 areas, particularly in San Bernardino and Riverside counties, are due to the nonroad FFCO2 emissions which have
- 694 relatively simple spatial distribution proxies with considerable areal extent.
- 695



697

Figure 11. Hestia-LA v2.5 FFCO<sub>2</sub> emissions for the year 2011 represented on a 1 km x 1 km grid. a) total
 FFCO<sub>2</sub> emissions; b) onroad FFCO<sub>2</sub> emissions; c) residential FFCO<sub>2</sub> emissions; d) commercial FFCO<sub>2</sub>
 emissions. Units: natural logarithm KgC/gridcell/yr.

701 Figure 12 shows the cumulative FFCO2 emissions across four of the sectors for which the 1 km<sup>2</sup> gridcell 702 accumulation is most appropriate: the commercial, industrial, onroad, and residential sectors. The other FFCO2 703 emission sectors (airport, electricity production, cement) are not included in Figure 12 because they are dominated 704 by a few points, have limited spatial distribution (railroad) or no spatial variance (nonroad). The accumulation of 705 FFCO2 emissions at the threshold by which 10% of the gridcells are accumulated is noted on the figure. For the 706 industrial sector, 10% of the largest emitting gridcells account for 93.6% of the total industrial sector emissions. For 707 the commercial sector this occurs at 73.4% of the accumulated gridcells. For the onroad and residential sectors this 708 occurs at 66.2% and 45.3%, respectively. This demonstrates two important points about the FFCO2 emissions in the 709 Los Angeles Megacity (and most cities). First, the emissions have very high spatial variance with few gridcells 710 accounting for a large portion of the total FFCO2 emissions. Second, this is particularly true for the industrial sector, 711 driven by the fact that it is comprised of a large proportion of point emitters. This is somewhat true of the

- 712 commercial sector which does have some pointwise data within the original NEI reporting. Of the remaining two
- 713 sectors, which contain no pointwise spatial emitters, the majority (66.2%) of the onroad emissions are captured in
- 714 the largest 10% while the residential sector, being less concentrated, shows an accumulation just short of the 50%
- 715 threshold at a 10% gridcell accumulation threshold.



716

### Figure 12. Cumulative FFCO<sub>2</sub> emissions according to key sectors in the Hestia-LA FFCO<sub>2</sub> emissions data product. The dashed line at 10% cumulative grid cells is given for reference. See text for details.

719 An important attribute of estimating urban emissions at fine space and time scales is the resulting clustering in space 720 (and time) of the emissions and the varying patterns of the clustering across the emitting sectors. Figure 13 provides 721 an analysis of spatial clustering using the Getis-Ord-Gi statistic which provides a score that measures statistically 722 significant departures from random local clustering (Getis and Ord, 1992). The three sectors included in this 723 analysis are the residential, commercial and onroad sectors. The onroad sector shows a more widely dispersed 724 clustering pattern with local "hotspots" generated by high traffic flow points and traffic congestion, primarily on the 725 interstate network coincident with a greater density of commercial and residential activity. The residential sector 726 exhibits less extensivity compared to the onroad FFCO2 emissions clustering but with larger individual hotspot 727 areas. Particularly large clustering occurs from the coast centered on Santa Monica and Marina del Rey and 728 extending East and North through West Hollywood on to Pasadena and Alhambra. Other hotspots occur in the 729 Manhattan Beach to Redondo Beach corridor, the Burbank and Glendale area and the coastal portion of Orange 730 county (e.g. Huntington Beach, Newport Beach). The commercial sector shows the a similar overall extensivity to 731 the residential sector but with less extensive individual hotspots associated with commercial building clusters.



### Figure 13. The *Getis-Gi* z-score for Hestia-LA FFCO<sub>2</sub> emissions across three sectors; a) commercial; b) onroad; c) residential.

736 There are very few estimates that can serve as an assessment of the accuracy of the Hestia FFCO2 emissions as few 737 inventory efforts have been accomplished at the sub-state spatial scale in the United States. However, the Southern 738 California Association of Governments (SCAG) have completed a regional greenhouse gas emissions inventory for 739 a base year period of 1990-2009 with projections out to the year 2035 (SCAG, 2012). The SCAG inventory reflects 740 two components that make comparison to the Hestia-LA FFCO2 emissions data product imperfect. First, the domain 741 considered in the SCAG inventory includes Imperial county, a county not included in the Hestia-LA domain. 742 However, Imperial county is estimated to be less than a few percent of the SCAG domain total. For example, 743 Imperial county onroad VMT is 1.9% of the SCAG domain total. The Imperial county retail sales of electricity is 744 1.1% of the SCAG domain total. The other distinction is that the SCAG inventory reports total GHGs, inclusive of 745 both methane (CH4) and nitrous oxide (N2O). However, in the sectors and activities used in comparing the SCAG 746 inventory to the Hestia-LA FFCO2 emissions data product, both CH4 and N2O are negligibly small. Hence, small 747 differences (<5%) could be due to these categorical discrepancies. We use only the reported scope 1 emissions 748 which were based on the approach adopted by CARB based on guidelines from the Intergovernmental Panel on 749 Climate Change (CARB, 2010).

750 Figure 14 shows a 2010 comparison between the two estimates using the comparable sector divisions. The Hestia-

 $\label{eq:linear} T51 \qquad \text{LA FFCO}_2 \text{ emissions estimate is } 10.7\% \text{ larger than the SCAG estimate, } 95\% \text{ of the difference } (4.46 \text{ MtC/yr}) \text{ owing}$ 

to the larger industrial and electricity production FFCO<sub>2</sub> emissions in the Hestia estimate. We have included the

Deleted: potential sources for comparison to

733 734 735

- 754 nonroad sector in the onroad category as the SCAG inventory did not explicitly include a nonroad sector. SCAG
- 755 documentation suggests that the nonroad sector is included in the forecasts for the residential, commercial and
- industrial sectors (SCAG, 2012, page C-10) but further details on the base year estimates could not be found and no
- 757 mention is made in the report where these sectors are described. If the Hestia nonroad estimate (1.56 MtC/yr) were
- 758 not allocated to onroad but distributed to the residential, commercial and industrial sectors it would exacerbate the
- 759 difference in the onroad, commercial and industrial sectors.



760

761Figure 14. Comparison of sector-specific FFCO2 emissions for the year 2010 between the Hestia-LA and762SCAG estimates. Units: MtC/yr.

- 763 The California Energy Commission archives energy consumption data for both natural gas and electricity
- 764 (http://ecdms.energy.ca.gov/). The data is archived as specific to the residential sector and the non-residential sector.
- 765 Because of ambiguities regarding the non-residential sector definition, we compare the reported values by county for
- 766 the residential only (Table 6). Good agreement for natural gas FFCO<sub>2</sub> emissions is achieved for the Los Angeles
- 767 Megacity as a whole (<1%) with some variation at the scale of the individual counties. Agreement with the CEC
- restinate is better than that found for the comparison with the SCAG inventory (Hestia being 3.1% lower than the
- 769 SCAG residential NG FFCO<sub>2</sub> estimate).

# 770Table 6. Residential natural gas FFCO2 emissions in the five Hestia-LA domain counties for the year 2011771compared to estimates from the California Energy Commission (CEC). Units: MtC/yr.

County	Hestia	CEC	diff (%)
Los Angeles	1.94	1.98	-2.0%
Orange	0.63	0.59	5.7%
San Bernardino	0.40	0.39	0.8%
Riverside	0.35	0.39	-11.1%
Ventura	0.19	0.18	6.5%

LA Megacity	3.51	3.54	-0.9%	
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772 Average hourly variations in FFCO2 emissions are sensitive to both the sector and spatial location. Figure 15 773 presents annual mean diurnal patterns specified by county and sector (the railroad or cement sectors were 774 constructed with no diurnal cycle and hence is not shown). As noted previously, Los Angeles county shows the 775 greatest emissions overall, particularly for the commercial marine vessel sector where the port of Los Angeles 776 dominates. The commercial, residential, onroad and CMV sectors exhibit two maxima, one in the morning (~5-10 777 am, local time) and another in the afternoon/evening. In the commercial sector, this afternoon/evening maximum 778 occurs later in this time period centered on 9 pm local time, coinciding with retail closing schedules. The maximum 779 CMV emissions are shifted by roughly two hours earlier in the day for both the morning and afternoon/evening 780 peaks. The afternoon/evening maximum for the onroad sector shows an afternoon/evening maximum that is of 781 longer duration than that in the morning with emissions gradually rising after the midpoint of the day, local time. In 782 addition to large daily variations, the onroad sector contains a significant weekly temporal pattern with emissions 783 largest on Monday and smallest on Saturday (Figure 16). 784 Diurnal patterns in onroad and airport FFCO2 emissions have a single maximum at the middle of the day but broadly 785 extending across all daylit hours. In the case of the nonroad emissions, this is simply a reflection of the EPA

786 temporal surrogate applied. In the case of the airport FFCO<sub>2</sub> emissions, the time structure reflects the reported air

787 traffic volume at the major airports in the LA Megacity. Finally, the industrial and electricity production sectors

788 maintain relatively constant emissions across all 24 hours. In the case of the industrial sector, this reflects the

789 integration of industry-specific EPA temporal surrogates within a given county. For the electricity production sector,

790 the time structure is primarily driven by the stack-monitored emissions and shows a slightly greater emission in the

791 evening hours compared to all other hours.

792 The diurnal patterns are consistent across all five counties with the exception of the commercial sector where there

result are small differences in the maximum point of the morning emissions in San Bernardino and Ventura counties

794 compared to the other LA Megacity counties.

795





808

Figure 16. Average weekly onroad FFCO<sub>2</sub> emissions from the Hestia-LA v2.5 data product for five counties.
 Units: kgC/day

#### 811 4 Discussion

812 The first Hestia urban FFCO2 emissions data product was produced for the Indianapolis domain (Gurney et al., 813 2012). As an outcome of the Hestia effort, a large multifaceted effort, the Indianapolis Flux Experiment (INFLUX), 814 emerged (Whetstone et al., 2017; Davis et al., 2017). INFLUX aims to advance quantification and associated 815 uncertainties of urban CO2 and CH4 emissions by integrating a high-resolution bottom-up emission data product, 816 such as Hestia, with atmospheric concentration measurements (Turnbull et al., 2015; Miles et al., 2017; Richardson 817 et al., 2017), flux measurements (Cambaliza et al., 2014; 2015; Heimberger et al., 2017), and atmospheric inverse 818 modeling. In addition to its use as a key constraint in the INFLUX atmospheric inverse estimation (Lauvaux et al., 819 2016), Hestia has been informed by atmospheric observations making it useable as a standalone high-resolution flux 820 estimate offering a detailed space-time understanding of urban emissions. Begun in the late 2000s, INFLUX has 821 explored many aspects of the individual elements of a scientifically-driven urban flux assessment (e.g. Wu et al., 822 2018) in addition to demonstrating potential reconciliation between Hestia and the atmospheric measurements 823 (Gurney et al., 2017; Turnbull et al., 2018). Similar efforts are ongoing in the Salt Lake City (Mitchell et al., 2016; 824 Lin et al., 2018) and Baltimore (Martin et al., 2018) domains with a different arrangement of atmospheric 825 monitoring and modeling. As with INFLUX, a Hestia FFCO2 emissions data product was produced in each domain 826 (Patarasuk et al., 2016; Gurney et al., 2018).

827 The Hestia Los Angeles Megacity effort was developed under the Megacities Carbon Project framework

828 (https://megacities.jpl.nasa.gov/portal/). It was designed to serve the Megacities Carbon Project in a similar capacity

829 to its role in INFLUX. The Hestia-LA results are unique in that it is the first high-resolution spatiotemporally-

830 explicit inventory of FFCO<sub>2</sub> emissions centered over a megacity. Presented here at the 1 km<sup>2</sup> spatial and hourly

831 temporal resolution, the emissions can be represented at finer spatial scales down to the individual building, though

832 with higher uncertainty. While policy emphasis in California thus far has been focused on CH4 emissions (Carranza

833 et al., 2017; Wong et al., 2016; Verhulst et al., 2017; Hopkins et al., 2016), work is ongoing to use the extensive

atmospheric CO<sub>2</sub> observing capacity in the Los Angeles domain (e.g. Newman et al., 2016; Wong et al., 2015;

835 Wunch et al., 2009) within an atmospheric CO<sub>2</sub> inversion. This will offer an important evaluation of the Hestia-LA

836 emissions for which limited independent evaluation is currently available.

837 The potential of the Hestia-LA FFCO2 emissions to enable or assist with policymaking in the cities, counties or

838 metropolitan planning domain of the overall Southern California area is considerable. The traditional urban

839 inventory approach, such as accomplished by many cities as part of their climate action plans, are whole-city

840 accounts, often specific to sector, that follow one of a few inventory protocols. Given the challenges of data

841 acquisition and the idiosyncrasies of protocol choice and needs, the traditional urban inventories are difficult to

842 compare across cities and hence, aggregate reliably in a metropolitan domain such as the LA Megacity. Importantly,

843 without space and time explicit emissions information, they are difficult to calibrate with atmospheric measurements

844 and hence, evaluate against this important scientific constraint. The Hestia-LA FFCO2 emissions approach attempts

845 to overcome these limitations to traditional inventory work. By quantifying emissions at the scale of individual

846 buildings and road segments, with process detail such as the sector, fuel, and combustion technology, Hestia results

847 can be organized according to most of the protocols in use by cities. This explicit space and time detail also allow

848 for calibration to atmospheric measurements, for which emission location and time structure is essential.

849 The state of California continues to lead the nation in climate policy with numerous legislative and executive orders

850 outlining both general reduction goals and specific policy instruments. The California Global Warming Solutions

851 Act (Assembly Bill 32) passed in 2006, specifies a statewide reduction in greenhouse gas emissions to 1990 levels

by the year 2020 (https://www.arb.ca.gov/cc/ab32/ab32.htm). Furthermore, the bill requires reporting and

853 verification of reductions in order to demonstrate compliance. Executive order B-30-15 and Senate Bill, SB 32 have

built on this with an aim to reduce emissions 40% below 1990 levels by 2030 and 80% below 1990 levels by 2050,

855 respectively (https://www.gov.ca.gov/2015/04/29/news18938/;

856 https://leginfo.legislature.ca.gov/faces/billTextClient.xhtml?bill\_id=201520160SB32). Ultimately, much of the

857 specific action needed to meet these goals will rest upon local governments and authorities. Given that 87% of the

858 state population resides in urban areas and nearly half of state population resides in the Los Angeles Megacity, the

859 cities and counties that comprise the Los Angeles metropolitan area have a central role to play in achieving the

860 statewide climate change policy goals. The city of Los Angeles, the largest individual city in the metro region, has

861 specified goals consistent with the state commitments, expecting to reduce greenhouse gas emissions 35% below

862 1990 levels by the year 2030 (http://environmentla.org/pdf/GreenLA\_CAP\_2007.pdf). To meet these reduction

goals, policy actions will become increasingly difficult to achieve at no- or low-cost and economic efficiency will
 become central to making policy choices.

865 The most important attribute of the Hestia-LA approach, therefore, is the potential it offers for targeting urban CO<sub>2</sub> 866 reduction policy more efficiently. As shown in Figures 12 and 13, FFCO<sub>2</sub> emissions are highly variable in space and

867 typically cluster in concentrated areas. In choosing specific policy approaches and instruments, this offers Los

868 Angeles policymakers the ability to target specific neighborhoods, road segments, or commercial hubs, where

869 policies will achieve the greatest reduction for resources expended. This rests on the argument that specificity leads

to efficiency. As all cities, including those in the Los Angeles Megacity, move towards those aspects of carbon

871 emission reductions that are not part of the "low hanging fruit" policy instruments, competition for limited resources

and policy justification will increase. Having information that targets the most efficient and effective emission

873 reduction investments, established by independent rigorous scientific information, will be at a premium. For

example, if a small proportion of the commercial sector buildings in the LA Megacity account for a large proportionof the FFCO<sub>2</sub> emissions, knowing the location of these buildings and targeting energy efficiency programs to those

of the FFCO<sub>2</sub> emissions, knowing the location of these buildings and targeting energy efficiency programs to those
 buildings, may offer the most economically efficient route to emissions reductions in the commercial sector. A

877 similar argument can be made in the onroad sector due to the clustering of large onroad emitting gridcells and

878 specific road-class attributes (see Rao et al., 2017).

879 A number of caveats are worth mentioning in association with the Hestia-LA v2.5 FFCO<sub>2</sub> emissions results. With

Vulcan v3.0 as the starting point for the quantification in Hestia, errors in Vulcan will be passed to Hestia, with a few exceptions. Of particular note are the industrial sector and more specifically, refining operations which have

few exceptions. Of particular note are the industrial sector and more specifically, refining operations which have limited emissions reporting. These remain difficult to quantify due to the range of CO emission factors representing

883 many of the combustion processes undertaken at these large and complex facilities. The uncertainty estimation

described remains limited and there are additional sources of uncertainty that must be quantified such as categorical

errors (e.g. mis-specification of fuel category or road class), errors in spatial accuracy and spatial error correlation.

886 Quantifying these contributions to the overall uncertainty presented here remain a task for future work.

#### 887 5 Data availability, policy and future updates

888 The Hestia-LA v2.5 emissions data product can be downloaded from the data repository at the National Institute

of Standards and Technology (<u>https://doi.org/10.18434/T4/1502503</u>) and is distributed under Creative Commons

890 Attribution 4.0 International (CC-BY 4.0, https://creativecommons.org/licenses/by/ 4.0/deed.en). The Hestia-LA

 $891 \qquad v2.5 \ FFCO_2 \ emissions \ data \ product \ is \ provided \ as \ annual \ and \ hourly \ (local \ and \ UTC \ versions) \ 1 \ km \ x \ 1 \ km$ 

NetCDF file formats, one file for each of the 6 years (2010-2015). The hourly files are approximately 2.9 GB each.
The annual files are 0.34 GB each.

894 Attempts will be made to update the Hestia-LA FFCO2 emissions on a roughly bi-annual basis, depending upon

895 support, the availability of updates to the Vulcan FFCO<sub>2</sub> emissions data product, and updates to the additional data

896 sources described in this study.

#### 897 6 Conclusion

898 The Hestia Project quantifies urban fossil fuel CO2 emissions at high space- and time-resolution with application to 899 both scientific and policy arenas. We present here the Hestia-LA version 2.5 FFCO2 emissions data product which 900 represents hourly, 1 km<sup>2</sup>, sector-specific emissions for the five counties of the Los Angeles metropolitan area for the 901 2010 to 2015 time period. The methodology relies on the results of the Vulcan Project (version 3.0) further 902 enhancing and distributing emissions to the scale of individual buildings and road segments with local data sources 903 acquired from local government agencies. Each sector is quantified using data sources and spatial/temporal 904 distribution approaches distinct to the sector characteristics. The results offer a detailed view of FFCO2 emissions 905 across the LA Megacity and point to the extreme spatial variance of emissions. For example, 10% of the 1 km<sup>2</sup> 906 emitting gridcells account for 93.6%, 73.4%, 66.2%, and 45.3% of the emissions in the industrial, onroad, 907 commercial, and residential sectors, respectively. We find that the LA Megacity emitted  $48.06 \pm 5.3$  MtC/yr in the 908 year 2011, dominated by Los Angeles county ( $26.42 \pm 2.9$  MtC/yr) and from a sector-specific viewpoint, dominated 909 by the onroad sector (20.81  $\pm$  2.3 MtC/yr). Hestia FFCO<sub>2</sub> emissions are 10.7% larger than the inventory estimate 910 generated by the local metropolitan planning agency, a difference that is driven by the industrial and electricity 911 production sectors. Good agreement is found (<1%) when comparing residential natural gas FFCO<sub>2</sub> emissions to 912 utility-based reporting at the county spatial scale. The largest temporal variations are found in the diurnal cycle with 913 the residential, commercial, onroad, and commercial marine vessel emissions showing to maxima, one in the 914 morning and a second in the afternoon/evening. Airport and nonroad emissions, by contrast show broad maxima 915 across the daylit hours. Finally, the industrial and electricity production sectors show little diurnal variation across 916 24 hours. The onroad sector also exhibits variation in the weekly distribution of emissions with maximum FFCO2 917 emissions on Monday and minimum emissions on Saturday. 918 The Hestia-LA v2.5 FFCO2 emissions data product offers the scientific and policymaking communities 919 unprecedented spatially and temporally-resolved information on FFCO2 emission sources in the Los Angeles 920 Megacity. As part of the Megacities Carbon Project, future work includes incorporation into atmospheric CO2 921 inversion research to further evaluate the Hestia-LA data product and improve estimation. Policymakers can use the 922 Hestia-LA results to better-understand FFCO2 emissions at the human scale, offering the potential for improved

- 923 targeting of FFCO2 reduction policy instruments. Finally, urban researchers can use Hestia-LA to explore a number
- 924 of important urban science questions such as how emissions intersect with other urban sociodemographic variables 925 such as income, education, housing size, or vehicle ownership.
- 926 The Hestia-LA data product is publicly available and will be updated with future years as data becomes available.
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