Global Carbon Budget 2018

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8 Abstract

9 Accurate assessment of anthropogenic carbon dioxide (CO₂) emissions and their redistribution among the atmosphere, ocean, and terrestrial biosphere – the 'global carbon budget' – is 10 important to better understand the global carbon cycle, support the development of climate 11 12 policies, and project future climate change. Here we describe data sets and methodology to quantify the five major components of the global carbon budget and their uncertainties. CO₂ 13 emissions from fossil fuels and industry (E_{FF}) are based on energy statistics and cement production 14 data, respectively, while emissions from land-use change (E_{LUC}), mainly deforestation, are based 15 on land-use and land-use change data and bookkeeping models. Atmospheric CO₂ concentration is 16 measured directly and its growth rate (G_{ATM}) is computed from the annual changes in 17 18 concentration. The ocean CO₂ sink (S_{OCEAN}) and terrestrial CO₂ sink (S_{LAND}) are estimated with 19 global process models constrained by observations. The resulting carbon budget imbalance (B_{IM}), 20 the difference between the estimated total emissions and the estimated changes in the atmosphere, ocean, and terrestrial biosphere, is a measure of imperfect data and understanding 21 of the contemporary carbon cycle. All uncertainties are reported as ±1o. For the last decade 22 available (2008-2017), E_{FF} was 9.4 ± 0.5 GtC yr⁻¹, E_{LUC} 1.5 ± 0.7 GtC yr⁻¹, G_{ATM} 4.7 ± 0.02 GtC yr⁻¹, 23 Socean 2.4 ± 0.5 GtC yr⁻¹, and Sland 3.2 ± 0.8 GtC yr⁻¹, with a budget imbalance B_{IM} of 0.5 GtC yr⁻¹ 24 indicating overestimated emissions and/or underestimated sinks. For year 2017 alone, the growth 25 26 in E_{FF} was about 1.6% and emissions increased to 9.9 ± 0.5 GtC yr⁻¹. Also for 2017, E_{LUC} was 1.4 ± 0.7 GtC yr⁻¹, G_{ATM} was 4.6 ± 0.2 GtC yr⁻¹, S_{OCEAN} was 2.5 ± 0.5 GtC yr⁻¹ and S_{LAND} was 3.8 ± 0.8 GtC yr⁻¹ 27 ¹, with a small B_{IM} of 0.3 GtC. The global atmospheric CO₂ concentration reached 405.0 ± 0.1 ppm 28 averaged over 2017. For 2018, preliminary data for the first 6-9 months indicate a renewed 29 growth in E_{FF} of +2.5% (range of 1.3% to 3.5%) based on national emissions projections for China, 30 USA, the EU and India, and projections of Gross Domestic Product corrected for recent changes in 31 the carbon intensity of the economy for the rest of the world. The analysis presented here shows 32 that the mean and trend in the five components of the global carbon budget are consistently 33 estimated over the period 1959-2017, but discrepancies of up to 1 GtC yr⁻¹ persist for the 34

1 representation of semi-decadal variability in CO₂ fluxes. A detailed comparison among individual 2 estimates and the introduction of a broad range of observations shows: (1) no consensus in the 3 mean and trend in land-use change emissions, (2) a persistent low agreement between the 4 different methods on the magnitude of the land CO₂ flux in the Northern extra-tropics, and (3) an 5 apparent underestimation of the CO₂ variability by ocean models, originating outside the tropics. 6 This living data update documents changes in the methods and data sets used in this new global 7 carbon budget and the progress in understanding of the global carbon cycle compared with 8 previous publications of this data set (Le Quéré et al., 2018, 2016; 2015b; 2015a; 2014; 2013) . All 9 results presented here can be downloaded from https://doi.org/10.18160/GCP-2018.

10 1 Introduction

The concentration of carbon dioxide (CO₂) in the atmosphere has increased from approximately 11 12 277 parts per million (ppm) in 1750 (Joos and Spahni, 2008), the beginning of the Industrial Era, to 405.0 ± 0.1 ppm in 2017 (Dlugokencky and Tans, 2018; Fig. 1). The atmospheric CO₂ increase 13 14 above preindustrial levels was, initially, primarily caused by the release of carbon to the 15 atmosphere from deforestation and other land-use change activities (Ciais et al., 2013). While 16 emissions from fossil fuels started before the Industrial Era, they only became the dominant source of anthropogenic emissions to the atmosphere from around 1950 and their relative share 17 has continued to increase until present. Anthropogenic emissions occur on top of an active natural 18 carbon cycle that circulates carbon between the reservoirs of the atmosphere, ocean, and 19 20 terrestrial biosphere on time scales from sub-daily to millennia, while exchanges with geologic reservoirs occur at longer timescales (Archer et al., 2009). 21

The global carbon budget presented here refers to the mean, variations, and trends in the 22 23 perturbation of CO₂ in the environment, referenced to the beginning of the Industrial Era. It quantifies the input of CO_2 to the atmosphere by emissions from human activities, the growth rate 24 of atmospheric CO₂ concentration, and the resulting changes in the storage of carbon in the land 25 26 and ocean reservoirs in response to increasing atmospheric CO₂ levels, climate change and variability, and other anthropogenic and natural changes (Fig. 2). An understanding of this 27 perturbation budget over time and the underlying variability and trends of the natural carbon 28 cycle are necessary to understand the response of natural sinks to changes in climate, CO₂ and 29 land-use change drivers, and the permissible emissions for a given climate stabilization target. 30

1 The components of the CO₂ budget that are reported annually in this paper include separate estimates for the CO₂ emissions from (1) fossil fuel combustion and oxidation from all energy and 2 industrial processes and cement production (E_{FF}; GtC yr⁻¹) and (2) the emissions resulting from 3 4 deliberate human activities on land, including those leading to land-use change (E_{LUC}; GtC yr⁻¹); 5 and their partitioning among (3) the growth rate of atmospheric CO₂ concentration (G_{ATM}; GtC yr⁻ 6 ¹), and the uptake of CO₂ (the 'CO₂ sinks') in (4) the ocean (S_{OCEAN}; GtC yr⁻¹) and (5) on land (S_{LAND}; 7 GtC yr⁻¹). The CO₂ sinks as defined here conceptually include the response of the land (including 8 inland waters and estuaries) and ocean (including coasts and territorial sea) to elevated CO₂ and 9 changes in climate, rivers, and other environmental conditions, although in practice not all 10 processes are accounted for (see Section 2.7). The global emissions and their partitioning among 11 the atmosphere, ocean and land are in reality in balance, however due to imperfect spatial and/or 12 temporal data coverage, errors in each estimate, and smaller terms not included in our budget estimate (discussed in Section 2.7), their sum does not necessarily add up to zero. As in the last 13 global carbon budget (Le Quéré et al. 2018), we estimate a budget imbalance (B_{IM}), which is a 14 measure of the mismatch between the estimated emissions and the estimated changes in the 15 atmosphere, land and ocean, with the full global carbon budget as follows: 16

$$E_{FF} + E_{LUC} = G_{ATM} + S_{OCEAN} + S_{LAND} + B_{IM}.$$
(1)

G_{ATM} is usually reported in ppm yr⁻¹, which we convert to units of carbon mass per year, GtC yr⁻¹, using 1 ppm = 2.124 GtC (Table 1). We also include a quantification of E_{FF} by country, computed with both territorial and consumption based accounting (see Sect. 2), and discuss missing terms from sources other than the combustion of fossil fuels (see Sect. 2.7).

21 The CO₂ budget has been assessed by the Intergovernmental Panel on Climate Change (IPCC) in all 22 assessment reports (Ciais et al., 2013; Denman et al., 2007; Prentice et al., 2001; Schimel et al., 1995; Watson et al., 1990), and by others (e.g. Ballantyne et al., 2012). The IPCC methodology has 23 24 been adapted and used by the Global Carbon Project (GCP, www.globalcarbonproject.org), which 25 has coordinated a cooperative community effort for the annual publication of global carbon budgets up to year 2005 (Raupach et al., 2007; including fossil emissions only), year 2006 26 (Canadell et al., 2007), year 2007 (published online; GCP, 2007), year 2008 (Le Quéré et al., 2009), 27 year 2009 (Friedlingstein et al., 2010), year 2010 (Peters et al., 2012b), year 2012 (Le Quéré et al., 28 2013; Peters et al., 2013), year 2013 (Le Quéré et al., 2014), year 2014 (Friedlingstein et al., 2014; 29 30 Le Quéré et al., 2015b), year 2015 (Jackson et al., 2016; Le Quéré et al., 2015a), year 2016 (Le

Quéré et al., 2016), and most recently year 2017 (Le Quéré et al., 2018; Peters et al., 2017). Each
 of these papers updated previous estimates with the latest available information for the entire
 time series.

4 We adopt a range of ± 1 standard deviation (σ) to report the uncertainties in our estimates, 5 representing a likelihood of 68% that the true value will be within the provided range if the errors 6 have a Gaussian distribution and no bias is assumed. This choice reflects the difficulty of 7 characterising the uncertainty in the CO₂ fluxes between the atmosphere and the ocean and land 8 reservoirs individually, particularly on an annual basis, as well as the difficulty of updating the CO₂ 9 emissions from land-use change. A likelihood of 68% provides an indication of our current 10 capability to quantify each term and its uncertainty given the available information. For comparison, the Fifth Assessment Report of the IPCC (AR5) generally reported a likelihood of 90% 11 12 for large data sets whose uncertainty is well characterised, or for long time intervals less affected by year-to-year variability. Our 68% uncertainty value is near the 66% which the IPCC 13 characterises as 'likely' for values falling into the $\pm 1\sigma$ interval. The uncertainties reported here 14 combine statistical analysis of the underlying data and expert judgement of the likelihood of 15 16 results lying outside this range. The limitations of current information are discussed in the paper 17 and have been examined in detail elsewhere (Ballantyne et al., 2015; Zscheischler et al., 2017). We also use a qualitative assessment of confidence level to characterise the annual estimates 18 19 from each term based on the type, amount, quality and consistency of the evidence as defined by the IPCC (Stocker et al., 2013). 20

All quantities are presented in units of gigatonnes of carbon (GtC, 10¹⁵ gC), which is the same as petagrams of carbon (PgC; Table 1). Units of gigatonnes of CO₂ (or billion tonnes of CO₂) used in policy are equal to 3.664 multiplied by the value in units of GtC.

This paper provides a detailed description of the data sets and methodology used to compute the 24 25 global carbon budget estimates for the period preindustrial (1750) to 2017 and in more detail for 26 the period since 1959. It also provides decadal averages starting in 1960 including the last decade (2008-2017), results for the year 2017, and a projection for year 2018. Finally it provides 27 cumulative emissions from fossil fuels and land-use change since year 1750, the preindustrial 28 29 period, and since year 1870, the reference year for the cumulative carbon estimate used by the IPCC (AR5) based on the availability of global temperature data (Stocker et al., 2013). This paper is 30 31 updated every year using the format of 'living data' to keep a record of budget versions and the

1 changes in new data, revision of data, and changes in methodology that lead to changes in

2 estimates of the carbon budget. Additional materials associated with the release of each new

3 version will be posted at the Global Carbon Project (GCP) website

4 (http://www.globalcarbonproject.org/carbonbudget), with fossil fuel emissions also available

5 through the Global Carbon Atlas (http://www.globalcarbonatlas.org). With this approach, we aim

6 to provide the highest transparency and traceability in the reporting of CO₂, the key driver of

7 climate change.

8 2 Methods

9 Multiple organizations and research groups around the world generated the original

10 measurements and data used to complete the global carbon budget. The effort presented here is

11 thus mainly one of synthesis, where results from individual groups are collated, analysed and

12 evaluated for consistency. We facilitate access to original data with the understanding that

13 primary data sets will be referenced in future work (See Table 2 for how to cite the data sets).

14 Descriptions of the measurements, models, and methodologies follow below and in depth

15 descriptions of each component are described elsewhere.

16 This is the 13th version of the global carbon budget and the seventh revised version in the format

17 of a living data update. It builds on the latest published global carbon budget of Le Quéré et

al.(2018). The main changes are: (1) the inclusion of data to year 2017 (inclusive) and a projection

19 for the global carbon budget for year 2018; (2) the introduction of metrics that evaluate

20 components of the individual models used to estimate S_{OCEAN} and S_{LAND} using observations, as an

effort to document, encourage and support model improvements through time; (3) the revisions

22 of the CO₂ emissions associated with cement production based on revised clinker ratios; (4) a

23 projection for fossil fuel emissions for European Union 28 member states based on compiled

energy statistics; and (5) the addition of sub-section 2.7.2 on additional emissions from calcination

25 not included in the budget. The main methodological differences between annual carbon budgets

26 are summarised in Table 3.

27 2.1 CO₂ emissions from fossil fuels and industry (E_{FF})

28 **2.1.1 Emissions estimates**

The estimates of global and national CO_2 emissions from fossil fuel and industry (E_{FF}) include the combustion of fossil fuels through a wide range of activities (e.g. transport, heating and cooling, 1 industry, fossil industry own use & gas flaring), the production of cement, and other process

2 emissions (e.g. the production of chemicals & fertilizers). The estimates of EFF globally and

3 nationally CO₂ emissions rely primarily on energy consumption data, specifically data on

4 hydrocarbon fuels, collated and archived by several organisations (Andres et al., 2012). We use

5 four main datasets for historical emissions (1751-2017):

6 1. Global and national emission estimates for coal, oil, and gas from CDIAC for the time period

7 1751-2014 (Boden et al., 2017), as it is the only data set that extends back to 1751 by country.

8 2. Official UNFCCC national inventory reports for 1990-2016 for the 42 Annex I countries in the

9 UNFCCC (UNFCCC, 2018), as we assess these to be the most accurate estimates because they

10 are compiled by experts within countries that have access to detailed energy data, and they

11 are periodically reviewed.

The BP Statistical Review of World Energy (BP, 2018), as these are the most up-to-date
 estimates of national energy statistics.

Global and national cement emissions updated from Andrew (2018), which include revised
 emissions factors.

16 In the following we provide more details for each dataset and additional modifications that are

17 required to make the dataset consistent and usable.

18 CDIAC: The CDIAC estimates have been updated annually to the year 2014, derived primarily from

19 energy statistics published by the United Nations (UN, 2017). Fuel masses and volumes are

20 converted to fuel energy content using country-level coefficients provided by the UN, and then

21 converted to CO₂ emissions using conversion factors that take into account the relationship

22 between carbon content and energy (heat) content of the different fuel types (coal, oil, gas, gas

flaring) and the combustion efficiency (Marland and Rotty, 1984).

24 UNFCCC: Estimates from the UNFCCC national inventory reports follow the IPCC guidelines (IPCC,

25 2006), but have a slightly larger system boundary than CDIAC by including emissions coming from

26 carbonates other than in cement manufacture. We reallocate the detailed UNFCCC estimates to

27 the CDIAC definitions of coal, oil, gas, cement, and other to allow consistent comparisons over

28 time and between countries.

29 *BP*: For the most recent period when the UNFCCC (2018) and CDIAC (2015-2017) estimates are not

30 available, we generate preliminary estimates using the BP Statistical Review of World Energy

(Andres et al., 2014; BP, 2018; Myhre et al., 2009). We apply the BP growth rates by fuel type
(coal, oil, gas) to estimate 2017 emissions based on 2016 estimates (UNFCCC), and to estimate
2015-2017 emissions based on 2014 estimates (CDIAC). BP's dataset explicitly covers about 70
countries (96% of global emissions), and for the remaining countries we use growth rates from the
sub-region the country belongs to. For the most recent years, flaring is assumed constant from the
most recent available year of data (2016 for countries that report to the UNFCCC, 2014 for the
remainder).

8 *Cement*: Estimates of emissions from cement production are taken directly from Andrew (2018).

9 Additional calcination and carbonation processes are not included explicitly here, except in

10 national inventories provided UNFCCC, but are discussed in Section 2.7.2.

11 Country mappings: The published CDIAC data set includes 256 countries and regions. This list 12 includes countries that no longer exist, such as the USSR and Yugoslavia. We reduce the list to 213 13 countries by reallocating emissions to the currently defined territories, using mass-preserving aggregation or disaggregation. Examples of aggregation include merging East and West Germany 14 to the currently defined Germany. Examples of disaggregation include reallocating the emissions 15 from former USSR to the resulting independent countries. For disaggregation, we use the emission 16 17 shares when the current territories first appeared, and thus historical estimates of disaggregated countries should be treated with extreme care. In addition, we aggregate some overseas 18 19 territories (e.g. Réunion, Guadeloupe) into their governing nations (e.g. France) to align with

20 UNFCCC reporting.

21 *Global total*: Our global estimate is based on CDIAC for fossil fuel combustion plus Andrew (2018)

22 for cement emissions. This is greater than the sum of emissions from all countries. This is largely

23 attributable to emissions that occur in international territory, in particular, the combustion of

fuels used in international shipping and aviation (bunker fuels). The emissions from international

25 bunker fuels are calculated based on where the fuels were loaded, but we do not include them in

26 the national emissions estimates. Other differences occur 1) because the sum of imports in all

27 countries is not equal to the sum of exports, and 2) because of inconsistent national reporting,

28 differing treatment of oxidation of non-fuel uses of hydrocarbons (e.g. as solvents, lubricants,

29 feedstocks, etc.), and 3) changes in fuel stored (Andres et al., 2012).

1 2.1.2 Uncertainty assessment for E_{FF}

2 We estimate the uncertainty of the global emissions from fossil fuels and industry at ±5% (scaled 3 down from the published ± 10 % at $\pm 2\sigma$ to the use of $\pm 1\sigma$ bounds reported here; Andres et al., 2012). This is consistent with a more detailed recent analysis of uncertainty of $\pm 8.4\%$ at $\pm 2\sigma$ 4 5 (Andres et al., 2014) and at the high-end of the range of $\pm 5-10\%$ at $\pm 2\sigma$ reported by Ballantyne et 6 al. (2015). This includes an assessment of uncertainties in the amounts of fuel consumed, the 7 carbon and heat contents of fuels, and the combustion efficiency. While we consider a fixed 8 uncertainty of $\pm 5\%$ for all years, the uncertainty as a percentage of the emissions is growing with 9 time because of the larger share of global emissions from emerging economies and developing 10 countries (Marland et al., 2009). Generally, emissions from mature economies with good statistical processes have an uncertainty of only a few per cent (Marland, 2008), while emissions 11 from developing countries such as China have uncertainties of around ±10% (for ±1o; Gregg et al., 12 13 2008). Uncertainties of emissions are likely to be mainly systematic errors related to underlying 14 biases of energy statistics and to the accounting method used by each country.

We assign a medium confidence to the results presented here because they are based on indirect estimates of emissions using energy data (Durant et al., 2011). There is only limited and indirect evidence for emissions, although there is high agreement among the available estimates within the given uncertainty (Andres et al., 2014; Andres et al., 2012), and emission estimates are consistent with a range of other observations (Ciais et al., 2013), even though their regional and national partitioning is more uncertain (Francey et al., 2013).

21 2.1.3 Emissions embodied in goods and services

22 CDIAC, UNFCCC, and BP national emission statistics 'include greenhouse gas emissions and removals taking place within national territory and offshore areas over which the country has 23 jurisdiction' (Rypdal et al., 2006), and are called territorial emission inventories. Consumption-24 25 based emission inventories allocate emissions to products that are consumed within a country, and are conceptually calculated as the territorial emissions minus the 'embodied' territorial 26 27 emissions to produce exported products plus the emissions in other countries to produce imported products (Consumption = Territorial – Exports + Imports). Consumption-based emission 28 29 attribution results (e.g. Davis and Caldeira, 2010) provide additional information to territorialbased emissions that can be used to understand emission drivers (Hertwich and Peters, 2009) and 30

quantify emission transfers by the trade of products between countries (Peters et al., 2011b). The
 consumption-based emissions have the same global total, but reflect the trade-driven movement
 of emissions across the Earth's surface in response to human activities.

4 We estimate consumption-based emissions from 1990-2016 by enumerating the global supply 5 chain using a global model of the economic relationships between economic sectors within and 6 between every country (Andrew and Peters, 2013; Peters et al., 2011a). Our analysis is based on 7 the economic and trade data from the Global Trade and Analysis Project (GTAP; Narayanan et al., 8 2015), and we make detailed estimates for the years 1997 (GTAP version 5), 2001 (GTAP6), and 9 2004, 2007, and 2011 (GTAP9.2), covering 57 sectors and 141 countries and regions. The detailed results are then extended into an annual time-series from 1990 to the latest year of the Gross 10 11 Domestic Product (GDP) data (2016 in this budget), using GDP data by expenditure in current exchange rate of US dollars (USD; from the UN National Accounts main Aggregrates database; UN, 12 13 2016) and time series of trade data from GTAP (based on the methodology in Peters et al., 2011b). We estimate the sector-level CO₂ emissions using the GTAP data and methodology, include 14 flaring and cement emissions from CDIAC, and then scale the national totals (excluding bunker 15 16 fuels) to match the emission estimates from the carbon budget. We do not provide a separate 17 uncertainty estimate for the consumption-based emissions, but based on model comparisons and sensitivity analysis, they are unlikely to be significantly different than for the territorial emission 18 19 estimates (Peters et al., 2012a).

20 2.1.4 Growth rate in emissions

We report the annual growth rate in emissions for adjacent years (in percent per year) by calculating the difference between the two years and then normalising to the emissions in the first year: $(E_{FF}(t_{0+1})-E_{FF}(t_0))/E_{FF}(t_0) \times 100\%$. We apply a leap-year adjustment where relevant to ensure valid interpretations of annual growth rates. This affects the growth rate by about 0.3% yr⁻¹ (1/365) and causes growth rates to go up approximately 0.3% if the first year is a leap year and down 0.3% if the second year is a leap year.

The relative growth rate of E_{FF} over time periods of greater than one year can be re-written using its logarithm equivalent as follows:

$$\frac{1}{E_{FF}}\frac{dE_{FF}}{dt} = \frac{d(lnE_{FF})}{dt}$$
(2)

Here we calculate relative growth rates in emissions for multi-year periods (e.g. a decade) by fitting a linear trend to $ln(E_{FF})$ in Eq. (2), reported in percent per year.

3 2.1.5 Emissions projections

To gain insight on emission trends for the current year (2018), we provide an assessment of global
fossil fuel and industry emissions, *E_{FF}*, by combining individual assessments of emissions for China,
USA, the EU, and India (the four countries/regions with the largest emissions), and the rest of the
world.

8 Our 2018 estimate for China uses: (1) estimates of coal consumption, production, imports and 9 inventory changes from the National Energy Agency of China (NEA) and the China Coal Industry Association (CCIA) for January through June (CCIA, 2018; NEA, 2018) (2) estimated consumption of 10 11 petroleum for January through June from NEA (NEA, 2018) and (3) estimated consumption of 12 natural gas from the National Development and Reform Commission (NDRC, 2018), and (4) production of cement reported for January through August (NBS, 2018). Using these data, we 13 14 estimate the change in emissions for the corresponding months in 2018 compared to 2017 assuming no change in the energy and carbon content of coal for 2018, and use this as a central 15 estimate for the growth for the whole year. The main sources of uncertainty are from the carbon 16 17 content of coal and the assumptions for the behaviour for the rest of the year, with the latter being particularly difficult to predict this year due to the uncertainty created by ongoing trade 18 19 disputes between China and the United States. These uncertainties are discussed further in Sect. 3.4.1. 20

For the USA, we use the forecast of the U.S. Energy Information Administration (EIA) for emissions 21 22 from fossil fuels (EIA, 2018). This is based on an energy forecasting model which is updated monthly, and takes into account heating-degree days, household expenditures by fuel type, 23 energy markets, policies, and other effects. We combine this with our estimate of emissions from 24 25 cement production using the monthly U.S. cement data from USGS for January-June, assuming changes in cement production over the first part of the year apply throughout the year. While the 26 EIA's forecasts for current full-year emissions have on average been revised downwards, only ten 27 28 such forecasts are available, so we conservatively use the full range of adjustments following 29 revision, and additionally assume symmetrical uncertainty to give ±2.5% around the central forecast. 30

1 For India, we use (1) monthly coal production and sales data from the Ministry of Mines (2018), 2 Coal India Limited (CIL, 2018) and Singareni Collieries Company Limited (SCCL, 2018), combined 3 with import data from the Ministry of Commerce and Industry (MCI, 2018) and power station 4 stocks data from the Central Electricity Authority (CEA, 2018); (2) monthly oil production and 5 consumption data from the Ministry of Petroleum and Natural Gas (PPAC, 2018b); (3) monthly 6 natural gas production and import data from the Ministry of Petroleum and Natural Gas (PPAC, 7 2018a); and (4) monthly cement production data from the Office of the Economic Advisor (OEA, 8 2018). We use Holt-Winters exponential smoothing with multiplicative seasonality (Chatfield, 9 1978) on each of these four emissions series to project to the end of the current year. The main source of uncertainty in the projection of India's emissions is the assumption of continued trends 10

11 and typical seasonality.

12 For the EU, we use (1) monthly coal supply data from Eurostat for the first 5-6 months of the year

13 (Eurostat, 2018) cross-checked with more recent data on coal-generated electricity from ENTSO-E

14 for January through August (ENTSO-E, 2018); (2) monthly oil and gas demand data for January

15 through August from the Joint Organisations Data Initiative (JODI, 2018); and (3) cement

16 production is assumed stable. For oil and gas emissions we apply the Holt-Winters method

17 separately to each country and energy carrier to project to the end of the current year, while for

18 coal — which is much less strongly seasonal because of strong weather variations – we assume

19 the remaining months of the year are the same as the previous year in each country.

20 For the rest of the world, we use the close relationship between the growth in GDP and the

21 growth in emissions (Raupach et al., 2007) to project emissions for the current year. This is based

22 on a simplified Kaya Identity, whereby E_{FF} (GtC yr⁻¹) is decomposed by the product of GDP (USD yr⁻¹)

¹) and the fossil fuel carbon intensity of the economy (I_{FF}; GtC USD⁻¹) as follows:

$$E_{FF} = GDP \times I_{FF} \tag{3}$$

24 Taking a time derivative of Equation (3) and rearranging gives:

$$\frac{1}{E_{FF}}\frac{dE_{FF}}{dt} = \frac{1}{GDP}\frac{dGDP}{dt} + \frac{1}{I_{FF}}\frac{dI_{FF}}{dt}$$
(4)

where the left-hand term is the relative growth rate of E_{FF}, and the right-hand terms are the
 relative growth rates of GDP and I_{FF}, respectively, which can simply be added linearly to give the
 overall growth rate.

1 The growth rates are reported in percent by multiplying each term by 100. As preliminary 2 estimates of annual change in GDP are made well before the end of a calendar year, making 3 assumptions on the growth rate of IFF allows us to make projections of the annual change in CO₂ 4 emissions well before the end of a calendar year. The IFF is based on GDP in constant PPP 5 (purchasing power parity) from the International Energy Agency (IEA) up to 2016 (IEA/OECD, 6 2017) and extended using the International Monetary Fund (IMF) growth rates for 2016 and 2017 7 (IMF, 2018). Interannual variability in I_{FF} is the largest source of uncertainty in the GDP-based 8 emissions projections. We thus use the standard deviation of the annual IFF for the period 2007-9 2017 as a measure of uncertainty, reflecting a $\pm 1\sigma$ as in the rest of the carbon budget. This is 10 $\pm 1.0\%$ yr⁻¹ for the rest of the world (global emissions minus China, USA, EU and India).

11 The 2018 projection for the world is made of the sum of the projections for China, USA, EU, India, 12 and the rest of the world. The uncertainty is added in quadrature among the five regions. The

13 uncertainty here reflects the best of our expert opinion.

14 2.2 CO₂ emissions from land use, land-use change and forestry (E_{LUC})

The net CO₂ flux from land use, land-use change and forestry (E_{LUC}, called land-use change 15 emissions in the following) include CO₂ fluxes from deforestation, afforestation, logging and forest 16 17 degradation (including harvest activity), shifting cultivation (cycle of cutting forest for agriculture, 18 then abandoning), and regrowth of forests following wood harvest or abandonment of 19 agriculture. Only some land management activities are included in our land-use change emissions estimates (Table A1). Some of these activities lead to emissions of CO₂ to the atmosphere, while 20 others lead to CO₂ sinks. E_{LUC} is the net sum of emissions and removals due to all anthropogenic 21 22 activities considered. Our annual estimate for 1959-2017 is provided as the average of results from two bookkeeping models (Sect. 2.2.1): the estimate published by Houghton and Nassikas 23 24 (2017; hereafter H&N2017) extended here to 2017, and an estimate using the BLUE model 25 (Bookkeeping of Land Use Emissions; Hansis et al., 2015). In addition, we use results from Dynamic Global Vegetation Models (DGVMs; see Sect. 2.2.3 and Table 4), to help quantify the uncertainty 26 in E_{LUC}, and thus better characterise our understanding. The three methods are described below, 27 and differences are discussed in Sect. 3.2. 28

1 2.2.1 Bookkeeping models

2 Land-use change CO₂ emissions and uptake fluxes are calculated by two bookkeeping models. 3 Both are based on the original bookkeeping approach of Houghton (2003) that keeps track of the carbon stored in vegetation and soils before and after a land-use change (transitions between 4 5 various natural vegetation types, croplands and pastures). Literature-based response curves 6 describe decay of vegetation and soil carbon, including transfer to product pools of different 7 lifetimes, as well as carbon uptake due to regrowth. Additionally, they represent permanent 8 degradation of forests by lower vegetation and soil carbon stocks for secondary as compared to 9 the primary forests and forest management such as wood harvest.

The bookkeeping models do not include land ecosystems' transient response to changes in climate, atmospheric CO₂ and other environmental factors, and the carbon densities are based on contemporary data reflecting stable environmental conditions at that time. Since carbon densities remain fixed over time in bookkeeping models, the additional sink capacity that ecosystems provide in response to CO₂-fertilization and some other environmental changes is not captured by these models (Pongratz et al., 2014; see Section 2.7.3).

16 The H&N2017 and BLUE models differ in (1) computational units (country-level vs spatially explicit treatment of land-use change), (2) processes represented (see Table A1), and (3) carbon densities 17 assigned to vegetation and soil of each vegetation type. A notable change of H&N2017 over the 18 19 original approach by Houghton et al. (2003) used in earlier budget estimates is that no shifting 20 cultivation or other back- and forth-transitions at a level below country are included. Only a 21 decline in forest area in a country as indicated by the Forest Resource Assessment of the FAO that 22 exceeds the expansion of agricultural area as indicated by FAO is assumed to represent a 23 concurrent expansion and abandonment of cropland. In contrast, the BLUE model includes subgrid-scale transitions at the grid level between all vegetation types as indicated by the harmonized 24 25 land-use change data (LUH2) dataset (Hurtt et al., in prep.). Furthermore, H&N2017 assume 26 conversion of natural grasslands to pasture, while BLUE allocates pasture proportionally on all natural vegetation that exist in a gridcell. This is one reason for generally higher emissions in 27 28 BLUE. H&N2017 add carbon emissions from peat burning based on the Global Fire Emission Database (GFED4s; van der Werf et al. (2017)), and peat drainage, based on estimates by Hooijer 29 30 et al. (2010) to the output of their bookkeeping model for the countries of Indonesia and 31 Malaysia. Peat burning and emissions from the organic layers of drained peat soils, which are not

captured by bookkeeping methods directly, need to be included to represent the substantially
 larger emissions and interannual variability due to synergies of land-use and climate variability in
 South East Asia, in particular during El-Niño events. Similarly to H&N2017, peat burning and
 drainage-related emissions are also added to the BLUE estimate.

5 The two bookkeeping estimates used in this study also differ with respect to the land use change 6 data used to drive the models. H&N2017 base their estimates directly on the Forest Resource 7 Assessment of the FAO which provides statistics on forest-area change and management at 8 intervals of five years currently updated until 2015 (FAO, 2015). The data is based on country 9 reporting to FAO, and may include remote-sensing information in more recent assessments. Changes in land use other than forests are based on annual, national changes in cropland and 10 pasture areas reported by FAO (FAOSTAT, 2015). BLUE uses the harmonized land-use change data 11 LUH2 (Hurtt et al., in prep.), which describes land use change, also based on the FAO data, but 12 13 downscaled at a quarter-degree spatial resolution, considering sub-grid-scale transitions between primary forest, secondary forest, cropland, pasture and rangeland. The LUH2 data provides a new 14 distinction between rangelands and pasture. To constrain the models' interpretation on whether 15 16 rangeland implies the original natural vegetation to be transformed to grassland or not (e.g., 17 browsing on shrubland), a new forest mask was provided with LUH2; forest is assumed to be transformed, while all other natural vegetation remains. This is implemented in BLUE. 18

The estimate of H&N2017 was extended here by two years (to 2017) by adding the anomaly of total tropical emissions (peat drainage from Hooijer et al. (2010), peat burning as well as tropical deforestation and degradation fires from GFED4s) over the previous decade (2006-2015) to the decadal average of the bookkeeping result. A small correction to their 2015 value previously reported was also made based on the updated peat burning of GFED4s.

24 2.2.2 Dynamic Global Vegetation Models (DGVMs)

Land-use change CO₂ emissions have also been estimated using an ensemble of 16 DGVM
 simulations. The DGVMs account for deforestation and regrowth, the most important
 components of E_{LUC}, but they do not represent all processes resulting directly from human
 activities on land (Table A1). All DGVMs represent processes of vegetation growth and mortality,
 as well as decomposition of dead organic matter associated with natural cycles, and include the
 vegetation and soil carbon response to increasing atmospheric CO₂ levels and to climate variability

and change. Some models explicitly simulate the coupling of carbon and nitrogen cycles and
 account for atmospheric N deposition (Table A1). The DGVMs are independent from the other
 budget terms except for their use of atmospheric CO₂ concentration to calculate the fertilization
 effect of CO₂ on plant photosynthesis.

5 The DGVMs used the HYDE land-use change data set (Klein Goldewijk et al., 2017a; Klein 6 Goldewijk et al., 2017b), which provides annual, half-degree, fractional data on cropland and 7 pasture. These data are based on annual FAO statistics of change in agricultural land area 8 available to 2012. The FAOSTAT land use database is updated annually, currently covering the 9 period 1961-2016 (but used here to 2015 because of the timing of data availability). HYDE applied 10 annual changes in FAO data to the year 2012 data from the previous release to derive new 2013-2015 data. After the year 2015 HYDE extrapolates cropland, pasture, and urban land use data until 11 12 the year 2018. Some models also use an update of the more comprehensive harmonised land-use data set (Hurtt et al., 2011), that further includes fractional data on primary and secondary forest 13 vegetation, as well as all underlying transitions between land-use states (Hurtt et al., in prep.; 14 Table A1). This new dataset is of quarter degree fractional areas of land use states and all 15 16 transitions between those states, including a new wood harvest reconstruction, new 17 representation of shifting cultivation, crop rotations, management information including irrigation 18 and fertilizer application. The land-use states now include five different crop types in addition to 19 the pasture-rangeland split discussed before. Wood harvest patterns are constrained with Landsat tree cover loss data. 20

DGVMs implement land-use change differently (e.g. an increased cropland fraction in a grid cell can either be at the expense of grassland or shrubs, or forest, the latter resulting in deforestation; land cover fractions of the non-agricultural land differ between models). Similarly, model-specific assumptions are applied to convert deforested biomass or deforested area, and other forest product pools into carbon, and different choices are made regarding the allocation of rangelands as natural vegetation or pastures.

27 The DGVM model runs were forced by either 6 hourly CRU-JRA-55 or by monthly CRU

temperature, precipitation, and cloud cover fields (transformed into incoming surface radiation)

29 based on observations and provided on a 0.5°x0.5° grid and updated to 2017 (Harris et al., 2014).

30 The combination of CRU monthly data with 6 hourly forcing is updated this year from NCEP to

31 JRA-55 (Kobayashi et al., 2015), which has a higher resolution of 0.5° (compared to 2.5°), adapting

- 1 the methodology used in previous years (Viovy, 2016) to the specifics of the JRA-55 data. The
- 2 forcing data also include global atmospheric CO₂, which changes over time (Dlugokencky and
- 3 Tans, 2018), and gridded, time dependent N deposition (as used in some models; Table A1).

4 Two sets of simulations were performed with the DGVMs. The first forced initially with historical 5 changes in land cover distribution, climate, atmospheric CO₂ concentration, and N deposition, and 6 the second, as further described below, with a time-invariant preindustrial land cover distribution, 7 allowing the models to estimate, by difference with the first simulation, the dynamic evolution of 8 vegetation biomass and soil carbon pools in response to prescribed land-cover change. ELUC is 9 diagnosed in each model as the difference between these two simulations. We only retain model 10 outputs with positive E_{LUC} during the 1990s (Table A1). Using the difference between these two DGVM simulations to diagnose E_{LUC} means the DGVMs account for the loss of additional sink 11 capacity (around 0.3 GtC yr⁻¹; see Section 2.7.3), while the bookkeeping models do not. 12

13 2.2.3 Uncertainty assessment for ELUC

Differences between the bookkeeping models and DGVM models originate from three main sources: the different methodologies; the underlying land use/land cover data set, and the different processes represented (Table A1). We examine the results from the DGVM models and of the bookkeeping method, and use the resulting variations as a way to characterise the uncertainty in E_{LUC}.

19 The E_{LUC} estimate from the DGVMs multi-model mean is consistent with the average of the emissions from the bookkeeping models (Table 5). However there are large differences among 20 individual DGVMs (standard deviation at around 0.6-0.7 GtC yr⁻¹; Table 5), between the two 21 bookkeeping models (average of 0.7 GtC yr⁻¹), and between the current estimate of H&N2017 and 22 its previous model version (Houghton et al., 2012). We assess an uncertainty in ELUC of ±0.7 GtC yr⁻ 23 24 ¹ reflects our best value judgment that there is at least 68% chance $(\pm 1\sigma)$ that the true land-use 25 change emission lies within the given range, for the range of processes considered here. Prior to the year 1959, the uncertainty in E_{LUC} was taken from the standard deviation of the DGVMs. We 26 assign low confidence to the annual estimates of ELUC because of the inconsistencies among 27 28 estimates and of the difficulties to quantify some of the processes in DGVMs.

1 2.2.4 Emissions projections

We project emissions for both H&N2017 and BLUE for 2018 using the same approach as for the
extrapolation of H&N2017 for 2016-2017. Peat burning as well as tropical deforestation and
degradation are estimated using active fire data (MCD14ML; Giglio et al. (2016), which track in
near-real time fire emissions in deforestation and tropical peat zones (van der Werf et al., 2017).
During most years, emissions during January-October cover most of the fires season in the
Amazon and Southeast Asia, where a large part of the global deforestation takes place.

8 2.3 Growth rate in atmospheric CO₂ concentration (G_{ATM})

9 2.3.1 Global growth rate in atmospheric CO₂ concentration

10 The rate of growth of the atmospheric CO₂ concentration is provided by the US National Oceanic 11 and Atmospheric Administration Earth System Research Laboratory (NOAA/ESRL; Dlugokencky 12 and Tans, 2018), which is updated from Ballantyne et al. (2012). For the 1959-1980 period, the global growth rate is based on measurements of atmospheric CO₂ concentration averaged from 13 14 the Mauna Loa and South Pole stations, as observed by the CO₂ Program at Scripps Institution of 15 Oceanography (Keeling et al., 1976). For the 1980-2017 time period, the global growth rate is based on the average of multiple stations selected from the marine boundary layer sites with well-16 mixed background air (Ballantyne et al., 2012), after fitting each station with a smoothed curve as 17 a function of time, and averaging by latitude band (Masarie and Tans, 1995). The annual growth 18 19 rate is estimated by Dlugokencky and Tans (2018) from atmospheric CO₂ concentration by taking 20 the average of the most recent December-January months corrected for the average seasonal cycle and subtracting this same average one year earlier. The growth rate in units of ppm yr⁻¹ is 21 converted to units of GtC yr⁻¹ by multiplying by a factor of 2.124 GtC per ppm (Ballantyne et al., 22 2012). 23

The uncertainty around the atmospheric growth rate is due to three main factors. First, the longterm reproducibility of reference gas standards (around 0.03 ppm for 1 σ from the 1980s). Second, small unexplained systematic analytical errors that may have a duration of several months to two years come and go. They have been simulated by randomizing both the duration and the magnitude (determined from the existing evidence) in a Monte Carlo procedure. Third, the network composition of the Marine Boundary Layer with some sites coming or going, gaps in the time series at each site, etc (Dlugokencky and Tans, 2018). The latter uncertainty was estimated

by NOAA/ESRL with a Monte Carlo method by constructing 100 "alternative" networks 1 2 (NOAA/ESRL 2017; Masarie, and Tans, 1995). The second and third uncertainties are added in 3 quadrature, they add up to 0.085 ppm on average (Dlugokencky and Tans, 2018). Fourth, the 4 uncertainty associated with using the average CO₂ concentration from a surface network to 5 approximate the true atmospheric average CO₂ concentration (mass-weighted, in 3 dimensions) 6 as needed to assess the total atmospheric CO₂ burden. In reality these will differ, especially owing 7 to the finite rates of vertical mixing and stratosphere-troposphere exchange. For example, excess 8 CO₂ from tropical emissions will arrive at stations in the network after a delay of months or more, 9 and the signals will continue to evolve as the excess mixes throughout the troposphere and the stratosphere. The excess measured at the stations will not exactly track changes in total 10 11 atmospheric burden, with offsets in magnitude and phasing. This effect must be very small on 12 decadal and longer time scales, when the atmosphere can be considered well mixed. Preliminary estimates suggest this effect would increase the annual uncertainty, but a full analysis is not yet 13 available. We therefore maintain an uncertainty around the annual growth rate based on the 14 multiple stations data set ranges between 0.11 and 0.72 GtC yr⁻¹, with a mean of 0.61 GtC yr⁻¹ for 15 1959-1979 and 0.18 GtC yr⁻¹ for 1980-2017, when a larger set of stations were available as 16 17 provided by Dlugokencky and Tans (2018), but recognise further exploration of this uncertainty is required. At this time, we estimate the uncertainty of the decadal averaged growth rate after 18 1980 at 0.02 GtC yr⁻¹ based on the calibration and the annual growth rate uncertainty, but 19 stretched over a 10-year interval. For year prior to 1980, we estimate the decadal averaged 20 uncertainty to be 0.07 GtC yr⁻¹ based on a factor proportional to the annual uncertainty prior and 21 after 1980 (0.61/0.18*0.02 GtC yr⁻¹). 22

We assign a high confidence to the annual estimates of G_{ATM} because they are based on direct measurements from multiple and consistent instruments and stations distributed around the world (Ballantyne et al., 2012).

In order to estimate the total carbon accumulated in the atmosphere since 1750 or 1870, we use an atmospheric CO₂ concentration of 277 ± 3 ppm or 288 ± 3 ppm, respectively, based on a cubic spline fit to ice core data (Joos and Spahni, 2008). The uncertainty of ±3 ppm (converted to ±1 σ) is taken directly from the IPCC's assessment (Ciais et al., 2013). Typical uncertainties in the growth rate in atmospheric CO₂ concentration from ice core data are equivalent to ±0.1-0.15 GtC yr⁻¹ as

evaluated from the Law Dome data (Etheridge et al., 1996) for individual 20-year intervals over
 the period from 1870 to 1960 (Bruno and Joos, 1997).

3 2.3.2 Atmospheric growth rate projection

4 We provide an assessment of G_{ATM} for 2018 based on the observed increase in atmospheric CO₂ 5 concentration at the Mauna Loa station for January to August, and a mean growth rate over the 6 past 5 years for the months September to December. Growth at Mauna Loa is closely correlated 7 with the global growth (r=0.95) and is used here as a proxy for global growth, but the regression is 8 not 1-to-1. We also adjust the projected global growth rate to take this into account. The method used this year differs from the forecast method used in Le Quéré et al. (2018) based on the 9 10 relationship between annual CO₂ growth rate and sea surface temperatures (SSTs) in the Niño3.4 region of Betts et al. (2016). A change was introduced because although the observed growth rate 11 12 for 2017 of 2.2 ppm was within the projection range of 2.5 ± 0.5 GtC of last year (Le Quéré et al. 2018), the forecast values for 2018 for January to August are too high by approximately 0.5 ppm 13 above observed values on average. The reasons for the difference are being investigated. The use 14 15 of observed growth at MLO for the first half of the year is thought to be more robust because of 16 its high correlation with the global growth rate. Furthermore, additional analysis suggests that the first half of the year show more interannual variability than the second half of the year, so that the 17 exact projection method applied to September-December has only a small impact (<0.1 ppm) on 18 19 the projection of the full year. Uncertainty is estimated using the standard deviation of the last 5 years' monthly growth rates. 20

21 2.4 Ocean CO₂ sink

Estimates of the global ocean CO₂ sink S_{OCEAN} are from an ensemble of global ocean
biogeochemistry models (GOBMs) that meet observational constraints over the 1990s (see
below). We use observation-based estimates of S_{OCEAN} to provide a qualitative assessment of
confidence in the reported results, and to estimate the cumulative accumulation of S_{OCEAN} over
the preindustrial period.

27 2.4.1 Observation-based estimates

We use the observational constraints assessed by IPCC of a mean ocean CO_2 sink of 2.2 ± 0.4 GtC yr⁻¹ for the 1990s (Denman et al., 2007) to verify that the GOBMs provide a realistic assessment of

1 S_{OCEAN}. This is based on indirect observations and their spread, using the methods that are 2 deemed most reliable for the assessment of this quantity. The IPCC did not revise its assessment 3 in 2013. The observational-based estimates use the ocean/land CO₂ sink partitioning from 4 observed atmospheric O₂/N₂ concentration trends (Manning and Keeling, 2006; updated in 5 Keeling and Manning 2014), an oceanic inversion method constrained by ocean biogeochemistry 6 data (Mikaloff Fletcher et al., 2006), and a method based on penetration time scale for CFCs 7 (McNeil et al., 2003). This estimate is consistent with a range of methods (Wanninkhof et al., 8 2013).

9 We also use two estimates of the ocean CO₂ sink and its variability based on interpolations of measurements of surface ocean fugacity of CO₂ (pCO₂ corrected for the non-ideal behaviour of 10 the gas; Pfeil et al., 2013). We refer to these as pCO₂-based flux estimates. The measurements are 11 from the Surface Ocean CO₂ Atlas version 6, which is an update of version 3 (Bakker et al., 2016) 12 13 and contains quality-controlled data to 2017 (see data attribution Table A4). The SOCAT v6 data were mapped using a data-driven diagnostic method (Rödenbeck et al., 2013) and a combined 14 self-organising map and feed-forward neural network (Landschützer et al., 2014). The global pCO₂-15 16 based flux estimates were adjusted to remove the preindustrial ocean source of CO₂ to the atmosphere of 0.8 GtC yr⁻¹ from river input to the ocean (Resplandy et al., 2018), per our 17 definition of S_{OCEAN}. Several other ocean sink products based on observations are also available, 18 19 but they show large discrepancies with observed variability that need to be resolved. Here we used the two pCO₂-based flux products that had the best fit to observations for their 20 representation of tropical and global variability (Rödenbeck et al., 2015). 21 22 We further use results from two diagnostic ocean models of Khatiwala et al. (2013) and DeVries (2014) to estimate the anthropogenic carbon accumulated in the ocean prior to 1959. The two 23 24 approaches assume constant ocean circulation and biological fluxes, with S_{OCEAN} estimated as a 25 response in the change in atmospheric CO_2 concentration calibrated to observations. The uncertainty in cumulative uptake of ± 20 GtC (converted to $\pm 1\sigma$) is taken directly from the IPCC's 26 27 review of the literature (Rhein et al., 2013), or about ±30% for the annual values (Khatiwala et al.,

22

28

2009).

1 2.4.2 Global Ocean Biogeochemistry Models (GOBMs)

2 The ocean CO₂ sink for 1959-2017 is estimated using seven GOBMs (Table A2). All GOBMs fall within 90% confidence of the observed range, or 1.6 to 2.8 GtC yr⁻¹ for the 1990s. Here we have 3 adjusted the confidence interval to the IPCC confidence interval of 90% to avoid rejecting models 4 that may be outliers but are still plausible. The GOBMs represent the physical, chemical and 5 6 biological processes that influence the surface ocean concentration of CO₂ and thus the air-sea 7 CO₂ flux. The GOBMs are forced by meteorological reanalysis and atmospheric CO₂ concentration 8 data available for the entire time period, and mostly differ in the source of the atmospheric forcing data, spin up strategies, and in the resolution of the oceanic physical processes (Table A2). 9 GOBMs do not include the effects of anthropogenic changes in nutrient supply, which could lead 10 to an increase of the ocean sink of up to about 0.3 GtC yr⁻¹ over the industrial period (Duce et al., 11 2008). They also do not include the perturbation associated with changes in river organic carbon, 12 which is discussed Sect. 2.7.3. 13

14 2.4.3 GOBM evaluation and uncertainty assessment for Socean

15 The GOBMs and flux products have been evaluated using fCO₂ from the SOCAT v6 database. We focused this initial evaluation on the interannual mismatch metric proposed by Rödenbeck et al. 16 17 (2015) for the comparison of flux products. The metric calculates the relative mismatch between the observed and the modelled fCO₂ only when actual observations exist. The interannual 18 variability of this mismatch is reported relative to the interannual variability of the mismatch 19 20 between a benchmark fCO₂ field and the observations. The benchmark fCO₂ field is calculated as the mean seasonal cycle at each grid point over the full period plus the deseasonalized 21 22 atmospheric pCO₂ increase over time. By definition, the interannual variability of the misfit between benchmark and observations is large as the benchmark field does not contain any 23 24 interannual variability from the ocean. A smaller relative interannual variability mismatch indicates a better fit between observed and modelled fCO₂. This metric is chosen because it is the 25 26 most direct measure of the year-to-year variability in models. We apply the metric globally and by latitude bands (Fig. S1). Results are discussed in Section 3.1.3. 27

28 The uncertainty around the mean ocean sink of anthropogenic CO₂ was quantified by Denman et

al. (2007) for the 1990s (see Sect. 2.4.1). To quantify the uncertainty around annual values, we

30 examine the standard deviation of the GOBM ensemble, which averages between 0.2 and 0.3

GtC yr⁻¹ during 1959-2017. We estimate that the uncertainty in the annual ocean CO₂ sink is about \pm 0.5 GtC yr⁻¹ from the combined uncertainty of the mean flux based on observations of \pm 0.4 GtC 2 yr⁻¹ and the standard deviation across GOBMs of up to ± 0.3 GtC yr⁻¹, reflecting both the 3 4 uncertainty in the mean sink from observations during the 1990's (Denman et al., 2007; Section 5 2.4.1) and in the interannual variability as assessed by GOBMs. 6 We examine the consistency between the variability of the model-based and the pCO₂-based flux 7 products to assess confidence in S_{OCEAN}. The interannual variability of the ocean fluxes (quantified 8 as the standard deviation) of the two pCO₂-based flux products for 1985-2017 (where they 9 overlap) is \pm 0.36 GtC yr⁻¹ (Rödenbeck et al., 2014) and \pm 0.38 GtC yr⁻¹ (Landschützer et al., 2015), compared to ± 0.29 GtC yr⁻¹ for the GOBM ensemble. The standard deviation includes a 10 11 component of trend and decadal variability in addition to interannual variability, and their relative 12 influence differs across estimates. Individual estimates (both GOBM and flux products) generally produce a higher ocean CO₂ sink during strong El Niño events. The annual pCO₂-based flux 13

products correlate with the ocean CO₂ sink estimated here with a correlation of r = 0.75 (0.59 to 14

0.79 for individual GOBMs), and r = 0.80 (0.71 to 0.81) for the pCO₂-based flux products of 15

Rödenbeck et al. (2014) and Landschützer et al. (2015), respectively (simple linear regression), 16

17 with their mutual correlation at 0.73. The agreement between models and the flux products

reflects some consistency in their representation of underlying variability since there is little 18

19 overlap in their methodology or use of observations. The use of annual data for the correlation

20 may reduce the strength of the relationship because the dominant source of variability associated

21 with El Niño events is less than one year. We assess a medium confidence level to the annual

ocean CO_2 sink and its uncertainty because it is based on multiple lines of evidence, and the 22

23 results are consistent in that the interannual variability in the GOBMs and data-based estimates

- are all generally small compared to the variability in the growth rate of atmospheric CO_2 24
- 25 concentration.

1

26 2.5 **Terrestrial CO₂ sink**

2.5.1 DGVM simulations 27

The terrestrial land sink (SLAND) is thought to be due to the combined effects of fertilisation by 28 29 rising atmospheric CO₂ and N deposition on plant growth, as well as the effects of climate change 30 such as the lengthening of the growing season in northern temperate and boreal areas. SLAND does 31 not include land sinks directly resulting from land use and land-use change (e.g. regrowth of

vegetation) as these are part of the land use flux (E_{LUC}), although system boundaries make it
 difficult to attribute exactly CO₂ fluxes on land between S_{LAND} and E_{LUC} (Erb et al., 2013).

3 S_{LAND} is estimated from the multi-model mean of the DGVMs (Table 4). As described in section

4 2.2.3, DGVM simulations include all climate variability and CO₂ effects over land, with some

5 DGVMs also including the effect of N deposition. The DGVMs do not include the perturbation

6 associated with changes in river organic carbon, which is discussed section 2.7.

7 2.5.2 DGVM evaluation and uncertainty assessment for SLAND

8 We apply three criteria for minimum DGVM realism by including only those DGVMs with (1)

9 steady state after spin up, (2) net land fluxes ($S_{LAND} - E_{LUC}$) that is a carbon sink over the 1990s

10 between -0.3 and 2.3GtC yr⁻¹, within 90% confidence of constraints by global atmospheric and

11 oceanic observations (Keeling and Manning, 2014; Wanninkhof et al., 2013), and (3) global E_{LUC}

12 that is a carbon source over the 1990s. All 16 DGVMs meet the three criteria.

- 13 In addition, the DGVM results are now also evaluated using the International Land Model
- 14 Benchmarking system (ILAMB; Collier et al., Subm.). This evaluation is provided here to document,

15 encourage and support model improvements through time. ILAMB variables cover key processes

16 that are relevant for the quantification of S_{LAND} and resulting aggregated outcomes. The selected

17 variables are vegetation biomass, gross primary productivity, leaf area index, net ecosystem

18 exchange, ecosystem respiration, evapotranspiration, and runoff (see Fig. S2 for the results and

19 for the list of observed databases). Results are discussed in Section 3.1.3.

20 For the uncertainty, we use the standard deviation of the annual CO₂ sink across the DGVMs,

which averages to \pm 0.8 GtC yr⁻¹ for the period 1959 to 2017. We attach a medium confidence

level to the annual land CO₂ sink and its uncertainty because the estimates from the residual

23 budget and averaged DGVMs match well within their respective uncertainties (Table 5).

24 **2.6** The atmospheric perspective

25 The world-wide network of atmospheric measurements can be used with atmospheric inversion

- 26 methods to constrain the location of the combined total surface CO₂ fluxes from all sources,
- 27 including fossil and land-use change emissions and land and ocean CO₂ fluxes. The inversions
- 28 assume E_{FF} to be well known, and they solve for the spatial and temporal distribution of land and

ocean fluxes from the residual gradients of CO₂ between stations that are not explained by fossil
 fuel emissions.

3 Four atmospheric inversions (Table A3) used atmospheric CO₂ data to the end of 2017 (including 4 preliminary values in some cases) to infer the spatio-temporal distribution of the CO₂ flux 5 exchanged between the atmosphere and the land or oceans. We focus here on the largest and 6 most consistent sources of information, namely the total land and ocean CO₂ flux and their partitioning among the mid-high latitude region of the northern hemisphere (30°N-90°N), the 7 8 Tropics (30°S-30°N) and the mid-high latitude region of the southern hemisphere (30°S-90°S). We 9 also break down those estimates for the land and ocean regions separately, to further scrutinise 10 the constraints from atmospheric observations. We use these estimates to comment on the 11 consistency across various data streams and process-based estimates.

12 Atmospheric inversions

13 The four inversion systems used in this release are the CarbonTracker Europe (CTE; van der Laan-14 Luijkx et al., 2017), the Jena CarboScope (Rödenbeck, 2005), the Copernicus Atmosphere 15 Monitoring Service (CAMS; Chevallier et al., 2005), and MIROC (Patra et al., 2018). See Table A3 for version numbers. The inversions are based on the same Bayesian inversion principles that 16 interpret the same, for the most part, observed time series (or subsets thereof), but use different 17 methodologies (Table A3). These differences mainly concern the selection of atmospheric CO₂ 18 data, the used prior fluxes, spatial breakdown (i.e. grid size), assumed correlation structures, and 19 20 mathematical approach. The details of these approaches are documented extensively in the 21 references provided above. Each system uses a different transport model, which was demonstrated to be a driving factor behind differences in atmospheric-based flux estimates, and 22 specifically their distribution across latitudinal bands (e.g., Gaubert et al., 2018). 23

The inversions use atmospheric CO₂ observations from various flask and in situ networks, as detailed in Table A3. They prescribe global E_{FF}, which is scaled to the present study for CAMS and CTE, while slightly lower E_{FF} values based on alternative emissions compilations were used in CarboScope and MIROC. Since this is known to result directly in lower total CO₂ uptake in atmospheric inversions (Gaubert et al., 2018; Peylin et al., 2013) we adjusted the land sink of each inversion estimate by its fossil fuel difference to the CAMS model. These differences amount to as

much as 0.7 GtC for certain years (CarboScope inversion region NH) and are thus an important
 consideration in an inverse flux comparison.

3 The land/ocean CO₂ fluxes from atmospheric inversions contain anthropogenic perturbation and 4 natural pre-industrial CO₂ fluxes. Natural pre-industrial fluxes are land CO₂ sinks corresponding to 5 carbon transported to ocean by rivers. These land CO₂ sinks are compensated over the globe by 6 ocean CO₂ sources corresponding to the outgasing of rivers carbon inputs to the ocean. We apply the distribution of land CO₂ fluxes in three latitude bands using estimates from Resplandy et al. 7 8 (2018), which are constrained by ocean heat transport to a total sink of 0.78 GtC y^{-1} . The latitude distribution of river-induced ocean CO₂ sources are derived from a simulation of the IPSL GOBM 9 10 using as an input the river flux constrained by heat transport of Resplandy et al. (2018). We 11 adjusted the land/ocean fluxes per latitude band based on these results.

12 **2.7** Processes not included in the global carbon budget

13 The contribution of anthropogenic CO and CH₄ to the global carbon budget has been partly 14 neglected in Eq. 1 and is described in Sect. 2.7.1. The contributions of other carbonates to CO₂ emissions is described in Sect. 2.7.2. The contribution of anthropogenic changes in river fluxes is 15 conceptually included in Eq. 1 in S_{OCEAN} and in S_{LAND}, but it is not represented in the process 16 models used to quantify these fluxes. This effect is discussed in Sect. 2.7.3. Similarly, the loss of 17 additional sink capacity from reduced forest cover is missing in the combination of approached 18 used here to estimate both land fluxes (ELUC and SLAND) and its potential effect is discussed and 19 20 quantified in Sect. 2.7.4.

21 2.7.1 Contribution of anthropogenic CO and CH₄ to the global carbon budget

22 Equation (1) includes only partly the net input of CO_2 to the atmosphere from the chemical 23 oxidation of reactive carbon-containing gases from sources other than the combustion of fossil fuels, such as: (1) cement process emissions, since these do not come from combustion of fossil 24 25 fuels, (2) the oxidation of fossil fuels, (3) the assumption of immediate oxidation of vented methane in oil production. It omits however any other anthropogenic carbon-containing gases 26 that are eventually oxidised in the atmosphere, such as anthropogenic emissions of CO and CH₄. 27 28 An attempt is made in this section to estimate their magnitude, and identify the sources of 29 uncertainty. Anthropogenic CO emissions are from incomplete fossil fuel and biofuel burning and 30 deforestation fires. The main anthropogenic emissions of fossil CH₄ that matter for the global

carbon budget are the fugitive emissions of coal, oil and gas upstream sectors (see below). These
 emissions of CO and CH₄ contribute a net addition of fossil carbon to the atmosphere.

3 In our estimate of E_{FF} we assumed (Sect. 2.1.1) that all the fuel burned is emitted as CO₂, thus CO

4 anthropogenic emissions associated with incomplete combustion and their atmospheric oxidation

5 into CO₂ within a few months are already counted implicitly in E_{FF} and should not be counted

6 twice (same for E_{LUC} and anthropogenic CO emissions by deforestation fires). Anthropogenic

- 7 emissions of fossil CH₄ are not included in E_{FF}, because these fugitive emissions are not included in
- 8 the fuel inventories. Yet they contribute to the annual CO₂ growth rate after CH₄ gets oxidized into
- 9 CO₂. Anthropogenic emissions of fossil CH₄ represent 15% of total CH₄ emissions (Kirschke et al.,

10 2013) that is 0.061 GtC yr⁻¹ for the past decade. Assuming steady state, these emissions are all

11 converted to CO₂ by OH oxidation, and thus explain 0.06 GtC yr⁻¹ of the global CO₂ growth rate in

12 the past decade, or 0.07-0.1 GtC yr⁻¹ using the higher CH₄ emissions reported recently (Schwietzke

13 et al., 2016).

14 Other anthropogenic changes in the sources of CO and CH₄ from wildfires, vegetation biomass,

15 wetlands, ruminants or permafrost changes are similarly assumed to have a small effect on the

16 CO₂ growth rate. The CH₄ emissions and sinks are published and analysed separately in the Global

17 Methane Budget publication that follows a similar approach as presented here (Saunois et al.,

18 2016).

19 2.7.2 Contribution of other carbonates to CO₂ emissions

20 The contribution of fossil carbonates other than cement production is not systematically included in estimates of E_{FF}, except at the national level where they are accounted in the UNFCCC national 21 22 inventories. The missing processes include CO₂ emissions associated with the calcination of lime and limestone outside cement production, and the reabsorption of CO₂ by the rocks and concrete 23 24 from carbonation through their life time (Xi et al., 2016). Carbonates are used in various 25 industries, including in iron and steel manufacture and in agriculture. They are found naturally in some coals. Carbonation from cement life-cycle, including demolition and crushing, was estimated 26 by one study to be around 0.25 GtC yr⁻¹ for year 2013 (Xi et al., 2016). Carbonation emissions 27 28 from cement life-cycle would offset calcination emissions from lime and limestone production.

29 The balance of these two processes is not clear.

2.7.3 Anthropogenic carbon fluxes in the land to ocean aquatic continuum

2 The approach used to determine the global carbon budget refers to the mean, variations, and 3 trends in the perturbation of CO_2 in the atmosphere, referenced to the preindustrial era. Carbon is continuously displaced from the land to the ocean through the land-ocean aquatic continuum 4 5 (LOAC) comprising freshwaters, estuaries and coastal areas (Bauer et al., 2013; Regnier et al., 6 2013). A significant fraction of this lateral carbon flux is entirely 'natural' and is thus a steady state 7 component of the preindustrial carbon cycle. We account for this preindustrial flux where 8 appropriate in our study. However, changes in environmental conditions and land use change 9 have caused an increase in the lateral transport of carbon into the LOAC – a perturbation that is 10 relevant for the global carbon budget presented here.

11 The results of the analysis of Regnier et al. (2013) can be summarized in two points of relevance for the anthropogenic CO₂ budget. First, the anthropogenic perturbation has increased the 12 organic carbon export from terrestrial ecosystems to the hydrosphere at a rate of 1.0 ± 0.5 GtC yr⁻ 13 ¹, mainly owing to enhanced carbon export from soils. Second, this exported anthropogenic 14 carbon is partly respired through the LOAC, partly sequestered in sediments along the LOAC and 15 16 to a lesser extent, transferred in the open ocean where it may accumulate. The increase in storage 17 of land-derived organic carbon in the LOAC and open ocean combined is estimated by Regnier et 18 al. (2013) at 0.65 ± 0.35GtC yr⁻¹. We do not attempt to incorporate the changes in LOAC in our study. 19

The inclusion of freshwater fluxes of anthropogenic CO₂ affects the estimates of, and partitioning between, S_{LAND} and S_{OCEAN} in Eq. (1), but does not affect the other terms. This effect is not included in the GOBMs and DGVMs used in our global carbon budget analysis presented here.

23 2.7.4 Loss of additional sink capacity

Historical land-cover change was dominated by transitions from vegetation types that can provide
a large sink per area unit (typically, forests) to others less efficient in removing CO₂ from the
atmosphere (typically, croplands). The resultant decrease in land sink, called the 'loss of sink
capacity', is calculated as the difference between the actual land sink under changing land-cover
and the counter-factual land sink under preindustrial land-cover. An efficient protocol has yet to
be designed to estimate the magnitude of the loss of additional sink capacity in DGVMs. Here, we
provide a quantitative estimate of this term to be used in the discussion. Our estimate uses the

compact Earth system model OSCAR (Gasser et al., 2017) whose land carbon cycle component is 1 designed to emulate the behaviour of TRENDY and CMIP5 complex models. We use OSCAR v2.2.1 2 3 (an update of v2.2 with minor changes) in a probabilistic setup identical to the one of Arneth et al. 4 (2017) but with a Monte Carlo ensemble of 2000 simulations. For each, we calculate separately 5 SLAND and the loss of additional sink capacity. We then constrain the ensemble by weighting each 6 member to obtain a distribution of cumulative SLAND over 1850-2005 close to the DGVMs used here. From this ensemble, we estimate a loss of additional sink capacity of 0.4 ± 0.3 GtC yr⁻¹ on 7 8 average over 2005-2014, and by extrapolation of 20 ± 15 GtC accumulated between 1870 and 9 2017.

10 3 Results

11 **3.1** Global carbon budget mean and variability for 1959 – 2017

The global carbon budget averaged over the last half-century is shown in Fig. 3. For this time period, 82% of the total emissions ($E_{FF} + E_{LUC}$) were caused by fossil fuels and industry, and 18% by land-use change. The total emissions were partitioned among the atmosphere (45%), ocean (24%) and land (30%). All components except land-use change emissions have grown since 1959, with important interannual variability in the growth rate in atmospheric CO₂ concentration and in the land CO₂ sink (Fig. 4), and some decadal variability in all terms (Table 6).

18 **3.1.1 CO₂ emissions**

- 19 Global CO₂ emissions from fossil fuels and industry have increased every decade from an average
- 20 of 3.1 ± 0.2 GtC yr⁻¹ in the 1960s to an average of 9.4 ± 0.5 GtC yr⁻¹ during 2008-2017 (Table 6 and
- Fig. 5). The growth rate in these emissions decreased between the 1960s and the 1990s, from
- 4.5% yr⁻¹ in the 1960s (1960-1969), 2.8% yr⁻¹ in the 1970s (1970-1979), 1.9% yr⁻¹ in the 1980s
- 23 (1980-1989), and to 1.0% yr⁻¹ in the 1990s (1990-1999). After this period, the growth rate began
- increasing again in the 2000s at an average growth rate of 3.2% yr⁻¹, decreasing to 1.5% yr⁻¹ for
- the last decade (2008-2017), with a 3-year period of no or low growth during 2014-2016 (Fig. 5).
- 26 In contrast, CO₂ emissions from land use, land-use change and forestry have remained relatively
- 27 constant, at around 1.3 ± 0.7 GtC yr⁻¹ over the past half-century but with large spread across
- estimates (Fig. 6). These emissions are also relatively constant in the DGVM ensemble of models,
- 29 except during the last decade when they increase to 1.9 ± 0.7 GtC yr⁻¹. However, there is no

agreement on this recent increase between the two bookkeeping models, each suggesting an
 opposite trend (Fig. 6).

3 **3.1.2** Partitioning among the atmosphere, ocean and land

The growth rate in atmospheric CO_2 level increased from 1.7 ± 0.07 GtC yr⁻¹ in the 1960s to 4.7 ± 0.02 GtC yr⁻¹ during 2008-2017 with important decadal variations (Table 6). Both ocean and land CO_2 sinks increased roughly in line with the atmospheric increase, but with significant decadal variability on land (Table 6), and possibly in the ocean (Fig. 7).

8 The ocean CO₂ sink increased from 1.0 \pm 0.5 GtC yr⁻¹ in the 1960s to 2.4 \pm 0.5 GtC yr⁻¹ during 2008-

9 2017, with interannual variations of the order of a few tenths of GtC yr⁻¹ generally showing an

10 increased ocean sink during large El Niño events (i.e. 1997-1998) (Fig. 7; Rödenbeck et al., 2014).

11 Although there is some coherence among the GOBMs and pCO₂-based flux products regarding the

12 mean, there is poor agreement for interannual variability and the ocean models underestimate

- decadal variability (Sect. 2.4.3 and Fig. 7; DeVries et al. (2017)).
- 14 The terrestrial CO₂ sink increased from 1.2 ± 0.5 GtC yr⁻¹ in the 1960s to 3.2 ± 0.7 GtC yr⁻¹ during

15 2008-2017, with important interannual variations of up to 2 GtC yr⁻¹ generally showing a

16 decreased land sink during El Niño events (Fig. 6), responsible for the corresponding enhanced

17 growth rate in atmospheric CO₂ concentration. The larger land CO₂ sink during 2008-2017

18 compared to the 1960s is reproduced by all the DGVMs in response to the combined atmospheric

19 CO₂ increase and changes in climate, and consistent with constraints from the other budget terms

20 (Table 5).

- 21 Estimates of total land fluxes (S_{LAND} E_{LUC}) from the DGVMs is consistent with the budget
- 22 constraints (Table 5), except during 2008-2017, where the DGVM ensemble estimates total land

fluxes of 1.3 \pm 0.5 GtC yr⁻¹, likely below the budget constraints of 2.1 \pm 0.7 GtC yr⁻¹ and outside the

- range of the inversions (Table 5). This comparison suggests that the DGVMs could overestimate
- 25 E_{LUC} emissions and/or underestimate the terrestrial sink S_{LAND} during the last decade.

26 3.1.3 Model evaluation

27 The evaluation of ocean estimates (Fig. S1) shows a relative interannual mismatch of 15% and 17%

for the two pCO₂-based flux products over the globe, relative to the pCO₂ observations from the

29 SOCAT v6 database for the period 1985-2017. This mismatch is less than earlier published versions

1 of these two flux products of around 20-25% for the 1992-2009 time period (Rödenbeck et al. 2015), likely because of the larger data availability after 2009. The GOBMs show a global relative 2 3 interannual mismatch between 50% and 60%, with one model at 94% and one at 193. The GOBM 4 mismatch is of the same order as the mismatch calculated in an ensemble of 14 flux products, but 5 larger than the two flux products used in this report (Fig. 5 in Rödenbeck et al. 2015). The 6 mismatch is generally larger at high latitudes compared to the tropics, for both flux products and 7 for the GOBMs. The two flux products have similar mismatch of around 10-15% in the tropics, 8 around 25% in the North, and 30-55% in the South. The GOBM mismatch is more spread across 9 regions, ranging from 29% to 178% in the tropics, 70% to 192% in the North, and 108% to 304% in 10 the South.

The evaluation of the DGVMs (Fig. S2) shows generally high skill scores across models for runoff, and to a lesser extent for vegetation biomass, GPP, and ecosystem respiration (Fig. S2, left panel).Skill score was lowest for leaf area index and net ecosystem exchange, with a widest disparity among models for soil carbon. Further analysis of the results will be provided separately, focusing the strengths and weaknesses in the DGVM ensemble and its validity for use in the global carbon budget.

17 The evaluation of the atmospheric inversions (Fig. S3) shows long-term mean biases in the free troposphere better than 0.8 ppm in absolute values for each product. More than 50 aircraft 18 programs over the globe, either regular or occasional, have been used in order to draw a robust 19 20 picture of the model performance but the space-time data coverage is irregular, denser around 21 2009 or in the 0-45°N latitude band. CAMS and CTE biases show some dependency on latitude (a 22 trend of -0.0018 ± 0.0005 and 0.0043 ± 0.0004 ppm per degree for CAMS and CTE, respectively). 23 These latitude-dependent biases may reveal biases in the surface fluxes (e.g., Houweling et al., 24 2015) but the link is not straight-forward and will be analysed separately. The biases for MIROC 25 and CarboScope behave similarly together in relative values, but they are less regular than the 26 two other products, which hampers the interpretation. Lesser model performance for specific 27 aircraft programs, like for the four-year Discover-AQ campaign in continental US (https://discover-28 aq.larc.nasa.gov/), contributes to this variability.

1 3.1.4 Budget imbalance

2 The carbon budget imbalance (B_{IM}; Eq. 1) quantifies the mismatch between the estimated total 3 emissions and the estimated changes in the atmosphere, land and ocean reservoirs. The mean budget imbalance from 1959 to 2017 is small (0.14 GtC yr⁻¹) and shows no trend over the full time 4 series. The process models (GOBMs and DGVMs) have been selected to match observational 5 6 constraints in the 1990s but no further constraints have been applied to their representation of 7 trend and variability. Therefore, the near-zero mean and trend in the budget imbalance is an 8 indirect evidence of a coherent community understanding of the emissions and their partitioning 9 on those time scales (Fig. 4). However, the budget imbalance shows substantial variability of the order of ± 1 GtC yr⁻¹, particularly over semi-decadal time scales, although most of the variability is 10 within the uncertainty of the estimates. The imbalance during the 1960s, early 1990s, and in the 11 last decade, suggest that either the emissions were overestimated or the sinks were 12 13 underestimated during these periods. The reverse is true for the 1970s and around 1995-2000 14 (Fig. 4).

We cannot attribute the cause of the variability in the budget imbalance with our analysis, only to 15 16 note that the budget imbalance is unlikely to be explained by errors or biases in the emissions alone because of its large semi-decadal variability component, a variability that is untypical of 17 emissions and has not changed in the past 50 years in spite of a nearly trippling in emissions (Fig. 18 19 4). Errors in SLAND and SOCEAN are more likely to be the main cause for the budget imbalance. For example, underestimation of the SLAND by DGVMs has been reported following the eruption of 20 Mount Pinatubo in 1991 possibly due to missing responses to changes in diffuse radiation 21 22 (Mercado et al., 2009) or other yet unknown factor, and DGVMs are suspected to overestimate the land sink in response to the wet decade of the 1970s (Sitch et al., 2008). Decadal and semi-23 24 decadal variability in the ocean sink has been also reported recently (DeVries et al., 2017; 25 Landschützer et al., 2015), with the pCO_2 -based ocean flux products suggesting a smaller than 26 expected ocean CO₂ sink in the 1990s and a larger than expected sink in the 2000s (Fig. 7), possibly caused by changes in ocean circulation (DeVries et al., 2017) not captured in coarse 27 resolution GOBMs used here (Dufour et al., 2013). Some of these errors could be driven by errors 28 in the climatic forcing data, particularly precipitation (for SLAND) and wind (for SOCEAN) rather than in 29 the models. 30

3.2 Global carbon budget for the last decade (2008 – 2017)

The global carbon budget averaged over the last decade (2008-2017) is shown in Fig. 2. For this time period, 87% of the total emissions ($E_{FF} + E_{LUC}$) were from fossil fuels and industry (E_{FF}), and 13% from land-use change (E_{LUC}). The total emissions were partitioned among the atmosphere (44%), ocean (22%) and land (29%), with a remaining unattributed budget imbalance (5%).

6 **3.2.1** CO₂ emissions

- 7 Global CO₂ emissions from fossil fuels and industry grew at a rate of 1.5% yr⁻¹ for the last decade
- 8 (2008-2017). China's emissions increased by +3.0% yr⁻¹ on average (increasing by +0.64 GtC yr⁻¹
- 9 during the 10-year period) dominating the global trends, followed by India's emissions increase by
- +5.2% yr⁻¹ (increasing by +0.25 GtC yr⁻¹), while emissions decreased in EU28 by 1.8% yr⁻¹
- 11 (decreasing by -0.17 GtC yr⁻¹), and in the USA by 0.9% yr⁻¹ (decreasing by -0.18 GtC yr⁻¹). In the
- 12 past decade, emissions from fossil fuels and industry decreased significantly (at the 95% level) in
- 13 25 countries: Aruba, Barbados, Croatia, Czech Republic, North Korea, Denmark, France, Greece,
- 14 Greenland, Iceland, Ireland, Malta, Netherlands, Romania, Slovakia, Slovenia, Sweden,
- 15 Switzerland, Syria, Trinidad and Tobago, Ukraine, United Kingdom, USA, Uzbekistan and
- 16 Venezuela. Notable was Germany, whose emissions did not decrease significantly.

In contrast, there is no apparent trend in CO₂ emissions from land-use change (Fig. 6), though the
data are very uncertain, with the two bookkeeping estimates showing opposite trends over the
last decade.

20 **3.2.2** Partitioning among the atmosphere, ocean and land

The growth rate in atmospheric CO₂ concentration increased during 2008-2017, in contrast to
more constant levels the previous decade and reflecting a similar decrease in the land sink
compared to an increase in the previous decade, albeit with large interannual variability (Fig. 4).
During the same period, the ocean CO₂ sink appears to have intensified, an effect which is
particularly apparent in the pCO₂-based flux products (Fig. 7) and is thought to originate at least in
part in the Southern Ocean (Landschützer et al., 2015).

27 3.2.3 Regional distribution

Fig. 8 shows the partitioning of the total atmosphere-surface fluxes excluding emissions from
 fossil fuels and industry (S_{LAND} + S_{OCEAN} – E_{LUC}) according to the multi-model average of the process

1 models in the ocean and on land (GOBMs and DGVMs), and to the atmospheric inversions. The

- 2 total atmosphere-surface fluxes provide information on the regional distribution of those fluxes
- 3 by latitude bands (Fig. 8). The global mean total atmosphere-surface CO₂ flux from process models

4 for 2008-2017 is 3.7 ± 1.2 GtC yr⁻¹. This is below but still within the uncertainty range of a global

5 mean atmosphere-surface flux of 4.6 \pm 0.5 GtC yr⁻¹ inferred from the carbon budget (E_{FF} – G_{ATM} in

6 Equation 1; Table 6). The total atmosphere-surface CO₂ fluxes from the four inversions are very

⁷ similar, ranging from 4.7 to 5.0 GtC yr⁻¹, consistent with the carbon budget as expected from the

8 constraints on the inversions and the adjustments to the same E_{FF} distribution (See Section 2.6).

9 In the South (south of 30°S), the atmospheric inversions suggest a CO₂ sink for 2008-2017 around

10 1.6-1.7 GtC yr⁻¹, close to the process models' estimate of 1.4 \pm 0.7 GtC yr⁻¹ (Fig. 8). The

11 interannual variability in the South is low because of the dominance of ocean area with low

12 variability compared to land areas. The split between land (S_{LAND}-E_{LUC}) and ocean (S_{OCEAN}) shows a

13 small contribution to variability in the South coming from the land, with no consistency between

14 the DGVMs and the inversions or among inversions. This is expected due to the difficulty of

15 separating exactly the land and oceanic fluxes when viewed from atmospheric observations alone.

16 The oceanic variability in the South is estimated to be significant in the two flux products and in at

17 least one of the inversions, with decadal variability of around 0.5 GtC yr⁻¹. The GOBMs do not

18 reproduce this variability.

19 In the Tropics (30°S-30°N), both the atmospheric inversions and process models suggest the total 20 carbon balance in this region is close to neutral on average over the past decade, with 21 atmosphere-surface fluxes for the 2008-2017 average ranging between –0.4 and +0.4 GtC yr⁻¹. The 22 agreement between inversions and models is significantly better for the last decade than for any previous decade, although the reasons for this better agreement are still unclear. Both the 23 24 process models and the inversions consistently allocate more year-to-year variability of CO₂ fluxes 25 to the Tropics compared to the North (north of 30°N; Fig. 8). The split between the land and ocean indicates the land is the origin of most of the tropical variability, consistently among models (both 26 for the land and for the ocean) and inversions. The oceanic variability in the Tropics is similar 27 28 among models and with the two ocean flux products, reflected in their lower observational 29 mismatch (Section 3.1.3). While the inversions indicate that atmosphere-land CO₂ fluxes are more 30 variable than atmosphere-ocean CO₂ fluxes in the tropics, the correspondence between the inversions and the ocean flux products or GOBMs is much poorer. 31

1 In the North (north of 30°N), the inversions and process models show less agreement on the

- 2 magnitude of the CO₂ sink, with the ensemble mean of the process models suggesting a total
- 3 northern hemisphere sink for 2008-2017 of 2.2 \pm 0.6 GtC yr⁻¹, likely below the estimates from the

4 inversions ranging from 2.6 to 3.6 GtC yr⁻¹ (Fig. 8). The discrepancy in the North-Tropics

5 distribution of CO₂ fluxes between the inversions and models arises from the differences in mean

6 fluxes over the Northern land. This discrepancy is also evidenced over the previous decade and

7 highlights not only persistent issues with the quantification of the drivers of the net land CO₂ flux

8 (Arneth et al., 2017; Huntzinger et al., 2017) but also the distribution of air-land fluxes between

9 the tropics and higher latitudes that is particularly marked in previous decades, as highlighted

10 previously (Baccini et al., 2017; Schimel et al., 2015; Stephens et al., 2007).

11 Differences between inversions may be related for example to differences in their

12 interhemispheric transport, and other inversion settings (Table A3). Separate analysis has shown

13 that the influence of the chosen prior land and ocean fluxes is minor compared to other aspects of

14 each inversion. In comparison to the previous global carbon budget publication, the fossil fuel

15 inputs where adjusted to match that of E_{FF} used in this analysis (see Section 2.6), therefore

16 removing differences due to fossil emissions prior. Differences between inversions and the

17 ensemble of process models in the North cannot be simply explained. They could either reflect a

18 bias in the inversions or missing processes or biases in the process models, such as the lack of

adequate parameterizations for forest management in the North and for forest degradation

20 emissions in the Tropics for the DGVMs. The estimated contribution of the North and its

21 uncertainty from process models is sensitive both to the ensemble of process models used and to

22 the specifics of each inversion.

23 3.2.4 Budget imbalance

The budget imbalance was +0.5 GtC yr⁻¹ on average over 2008-2017. Although the uncertainties are large in each term, the sustained imbalance over this last decade suggests an overestimation of the emissions and/or an underestimation of the sinks. An origin in the land and/or ocean sink may be more likely, given the large variability of the land sink and the suspected underestimation of decadal variability in the ocean sink. An underestimate of S_{LAND} would also reconcile model results with inversions estimates for fluxes in the total land during the past decade (Fig. 8; Table 5). However, we cannot exclude that the budget imbalance over the last decade could partly be

- 1 due to an overestimation of CO₂ emissions from land-use change, given their large uncertainty, as
- 2 has been suggested elsewhere (Piao et al., 2018).
- 3 More integrated use of observations in the Global Carbon Budget, either on their own or for
- 4 further constraining model results, should help resolve some of the budget imbalance (Peters et
- 5 al. 2017; Section 4).

6 3.3 Global carbon budget for year 2017

7 3.3.1 CO₂ emissions

- 8 Preliminary estimates of global CO₂ emissions from fossil fuels and industry based on BP energy
- 9 statistics are for emissions growing by 1.6% between 2016 and 2017 to 9.9 ± 0.5 GtC in 2017 (Fig.
- 10 5), distributed among coal (40%), oil (35%), gas (20%), cement (4%) and gas flaring (0.7%).
- 11 Compared to the previous year, emissions from coal increased by 1.6%, while emissions from oil,
- 12 gas, and cement increased by 1.7%, 3.0%, and 1.2%, respectively. All growth rates presented are
- 13 adjusted for the leap year, unless stated otherwise.
- 14 The growth in emissions of 1.6% in 2017 is within the range of the projected growth of 2.0%

15 (range of 0.8 to 3.0%) published in Le Quéré et al. (2018) based on national emissions projections

16 for China, the USA, and India and projections of gross domestic product corrected for IFF trends for

17 the rest of the world. The growth in emissions in 2017 for China, UEA, and the rest of the world is

also within their previously projected range, while the growth in India was slightly above the

- 19 projection (Table 7).
- In 2017, the largest absolute contributions to global CO₂ emissions were from China (27%), the
- USA (15%), the EU (28-member states; 10%), India (7%), while the rest of the world contributed
- 42%. The percentages are the fraction of the global emissions including bunker fuels (3.1%). These
- four regions account for 59% of global CO₂ emissions. Growth rates for these countries from 2016
- to 2017 were +1.5% (China), -0.5% (USA), +1.2% (EU28), and +3.9% (India), with +1.9% for the rest
- of the world. The per-capita CO₂ emissions in 2017 were 1.1 tC person⁻¹ yr⁻¹ for the globe, and
- were 4.4 (USA), 2.0 (China), 1.9 (EU28) and 0.5 (India) tC person⁻¹ yr⁻¹ for the four highest emitting
- countries (Fig. 5).
- 28 In 2016 (the last year available), the largest absolute contributions to global CO₂ emissions from a
- consumption perspective were China (25%), USA (16%), the EU (12%), and India (6%). The
- 30 difference between territorial and consumption emissions (the net emission transfer via

- 1 international trade) has generally increased from 1990 to around 2005 and remained relatively
- 2 stable afterwards until the last year available (2016; Fig. 5).
- 3 The global CO₂ emissions from land-use change are estimated as 1.4 ± 0.7 GtC in 2017, close to
- 4 the previous decade but with low confidence in the annual change.

5 3.3.2 Partitioning among the atmosphere, ocean and land

- 6 The growth rate in atmospheric CO₂ concentration was 4.6 ± 0.2 GtC in 2017 (2.16 ± 0.09 ppm; Fig.
- 4; Dlugokencky and Tans, 2018). This is near the 2008-2017 average of 4.7 ± 0.1 GtC yr⁻¹ and
- 8 reflects the return to normal conditions after the El Niño of 2015-2016.
- 9 The estimated ocean CO₂ sink was 2.5 ± 0.5 GtC in 2017. All models and data products estimate a
- small reduction or no change in the sink (average of 0.1, ranging from +0.02 to -0.4 GtC),
- 11 consistent with the return to normal conditions after the El Niño which caused an enhanced sink
- 12 in previous years (Fig. 7).
- 13 The terrestrial CO₂ sink from the model ensemble was 3.8 ± 0.8 GtC in 2017, above the decadal
- average (Fig. 4) and consistent with constraints from the rest of the budget (Table 5).
- 15 The budget imbalance was +0.3 GtC in 2017, indicating, as for the last decade, a small
- 16 overestimation of the emissions and/or underestimation of the sinks for that year. This imbalance
- 17 is indicative only, given the large uncertainties in the estimation of the B_{IM} .

18 **3.4** Global carbon budget projection for year 2018

19 **3.4.1 CO₂ emissions**

- 20 Based on available data as of 19 September 2018 (see Sect. 2.1.5), emissions from fossil fuels and
- 21 industry (E_{FF}) for 2018 are projected to increase by +2.5% (range of 1.3% to +3.5%; Table 7). Our
- 22 method contains several assumptions that could influence the estimate beyond the given range,
- and as such, it has an indicative value only. Within the given assumptions, global emissions would
- 24 be 10.1 ± 0.5 GtC (37.0 ± 1.8 GtCO₂) in 2018.
- 25 For China, the expected change is for an increase in emissions of +3.5% (range of -0.2% to +6.6%)
- in 2018 compared to 2017. This is based on estimated growth in coal (+3.1%; the main fuel source
- in China), oil (+2.5%), natural gas (+17.8%) consumption, and cement production (+0.5%). The
- 28 uncertainty range considers variances of typical revisions of Chinese data over time. The

- uncertainty in the growth rate of coal consumption also reflects uncertainty in the evolution of
 energy density and carbon content of coal.
- For the USA, the EIA emissions projection for 2018 combined with cement data from USGS gives
 an increase of 2.2 % (range of -0.3 to +4.7 %) compared to 2017.
- 5 For the European Union, our projection for 2018 is for a decrease of -0.7% (range of -2.7% to
- +1.2%) over 2017. This is based on estimates for coal of -1.4%, gas of -3.6%, oil of +1.3%, and
 stable cement emissions.
- 8 For India, our projection for 2018 is for an increase of +5.5% (range of 3.5% to +7.5%) over 2017.
- 9 This is based on separate projections for coal (+5.8%), oil (+3.4%), gas (+6.6%) and cement
- 10 (+11.7%).
- 11 For the rest of the world, the expected growth for 2018 is +2.2% (range of 0.9% to +3.4%). This is
- 12 computed using the GDP projection for the world excluding China, USA, EU, and India, of 3.2%
- made by the IMF (IMF, 2018) and a decrease in I_{FF} of -1.0% yr⁻¹ which is the average from 2008-
- 14 2017. The uncertainty range is based on the standard deviation of the interannual variability in IFF
- during 2008-2017 of ±0.7% yr⁻¹ and our estimate of uncertainty in the IMF's GDP forecast of
 ±0.5%.
- Preliminary estimate of fire emissions in deforestation zones indicate that emissions from landuse change (E_{LUC}) for 2018 were below average until mid-August, and are expected to range
 between 0.1 and 0.2 lower than the 2008-2017 average. We therefore expect total emissions of
 around 1.2 GtC in 2018.
- 21 3.4.2 Partitioning among the atmosphere, ocean and land
- 22 The 2018 growth in atmospheric CO₂ concentration (G_{ATM}) is projected to be 4.5 ± 1.3 GtC (2.14 ± 23 0.63 ppm) based on MLO observations until the end of August 2018. Combining projected EFF, ELUC 24 and G_{ATM} suggests a combined land and ocean sink (S_{LAND} + S_{OCEAN}) of about 6.8 GtC for 2018. Although each term has large uncertainty, the oceanic sink S_{OCEAN} has generally low interannual 25 26 variability and is likely to remain close to its 2017 value of around 2.5 GtC, leaving a rough estimated land sink SLAND of around 4.3 GtC. If realised, it would be among the largest SLAND over 27 the historical period. However, the possible onset of an El Niño at the end of 2018 could reduce 28 29 SLAND, with GATM returning to high growth rate towards the end of the year.

1 3.5 Cumulative sources and sinks

2 Cumulative historical sources and sinks are estimated as in Eq. (1) with semi-independent 3 estimates for each term and a global carbon budget imbalance. Cumulative fossil fuel and industry emissions for 1870-2017 were 425 \pm 20 GtC for E_{FF} and 190 \pm 75 GtC for E_{LUC} (Table 8), for a total of 4 5 615 ± 80 GtC. The cumulative emissions from E_{LUC} are particularly uncertain, with large spread 6 among individual estimates of 135 GtC (Houghton) and 240 GtC (BLUE) for the two bookkeeping 7 models and a similar wide estimate of 180±75 GtC for the DGVMs. These estimates are consistent 8 with indirect constraints from vegetation biomass observations (Li et al., 2017), but given the large 9 spread a best estimate is difficult to ascertain.

Emissions were partitioned among the atmosphere (250 \pm 5 GtC), ocean (150 \pm 20 GtC), and the 10 11 land (190 ± 50 GtC). The use of nearly independent estimates for the individual terms shows a cumulative budget imbalance of 25 GtC during 1870-2017, which, if correct, suggests emissions 12 are too high by the same proportion or the land or ocean sinks are underestimated. The bulk of 13 the imbalance is likely to originate largely from the large estimation of ELUC between the mid 14 1920s and the mid 1960s which is unmatched by a growth in atmospheric CO₂ concentration as 15 16 recorded in ice cores (Fig. 3). The known loss of additional sink capacity of about 20 GtC due to 17 reduced forest cover has not been accounted in our method and would further exacerbates the 18 budget imbalance (Section 2.7.4).

19 Cumulative emissions through to year 2018 increase to 625 ± 80 GtC (2290 ± 290 GtCO₂), with

20 about 70% contribution from E_{FF} and about 30% contribution from E_{LUC}. Cumulative emissions and

21 their partitioning for different periods are provided in Table 8.

Given the large and persistent uncertainties in cumulative emissions, we suggest extreme caution is needed if using cumulative emission estimate to determine the "remaining carbon budget" to stay below given temperature limit (Rogelj et al., 2016). We suggest estimating the remaining carbon budget by integrating scenario data from the current time to some time in the future (Millar et al., 2017).

27 4 Discussion

Each year when the global carbon budget is published, each component for all previous years is
updated to take into account corrections that are the result of further scrutiny and verification of
the underlying data in the primary input data sets. The updates have generally been relatively

small (Fig. 9), except for E_{LUC} estimates when the land cover change was updated (Friedlingstein
et al., 2010) and with the introduction of a second bookkeeping model in the estimate (Le Quéré et
al., 2018), and for the ocean and land fluxes when the budget imbalance was introduced (Le Quéré
et al., 2018).

5 The budget imbalance provides a measure of the limitations in observations, in understanding or 6 full representation of processes in models, and/or in the integration of the carbon budget 7 components. The mean global budget imbalance is close to zero and there is no trend over the 8 entire time period (Fig. 4). However, the budget imbalance reaches as much as ± 2 GtC yr¹ in 9 individual years, and ± 0.6 GtC yr⁻¹ in individual decades (Table 6). Such large budget imbalance 10 limits our ability to verify reported emissions and limits our confidence in the underlying processes regulating the carbon cycle feedbacks with climate change (Peters et al., 2017). 11 12 Another semi-independent way to evaluate the carbon budget results is provided through the use

13 of atmospheric and oceanic CO₂ data in data-products (atmospheric inversions and pCO₂-based

14 ocean flux products). The comparison between pCO₂-based data-products and process ocean

15 models shows a first-order consistency and similar variability in the tropics, but there is

16 substantial discrepancy at mid and high latitudes with the GOBMs varying far less than suggested

17 by the flux products based on observations. Given the good data coverage of pCO₂ observations in

18 the Northern hemisphere (Bakker et al., 2016), this discrepancy points at an underestimation of

19 variability in the GOBMs globally and consequently, the variability in S_{OCEAN} appears to be

20 underestimated. The comparison between the atmospheric inversions and the DGVMs also shows

21 substantial discrepancy, particularly for the estimate of the total land flux over the Northern

22 extra-tropics and its partitioning between the tropics and the Northern hemisphere. This

discrepancy is not new and highlights the difficulty to quantify the land CO₂ flux which the net

result of a series of processes hardly constrained by observations (CO₂ fertilisation, nitrogen

25 deposition climate change and variability, land management, etc.).

26 To help improve the Global Carbon Budget components, we provide a list of the major known

27 uncertainties for each component, defined as those uncertainties that have been a demonstrated

28 effect of at least 0.3 GtC yr⁻¹ (Table 9). We identified multiple sources of uncertainties for E_{LUC},

29 including in the land-cover and land-use change statistics, representation of management

30 processes, and methodologies (e.g. Arneth et al. 2017). There are also multiple sources of

31 uncertainties in S_{LAND} and S_{OCEAN}. When assessing S_{LAND} using DGVMs, uncertainties mostly related

1 to the understanding and representation of processes as evidenced by the large model spread 2 presented here. Similarly, when assessing SOCEAN with GOBMs, multiple study based on 3 observations have shown variability in ocean CO₂ sink larger than estimated by the models 4 presented here, particularly related to representing the effects of variable ocean circulation in 5 models (e.g. DeVries et al. 2017; Landschutzer et al 2015; Keeling and Manning 2014). This may be 6 due to the absence of internal variability which is not captured by single realizations of coarse 7 resolution model simulations (Li and Ilyina, 2017), and is thought to be largest in regions with 8 strong seasonal and interannual climate variability, i.e. the high latitude ocean regions (poleward 9 of the subtropical gyres) and the equatorial Pacific (McKinley et al., 2016).

Finally, the quality of the energy statistics and of the emissions factors are largest sources of
 uncertainties for E_{FF}. There are no demonstrated uncertainties in annual G_{ATM} larger than 0.3 GtC
 yr⁻¹, although the conversion of the growth rate into a global annual flux assuming instantaneous
 mixing throughout the atmosphere introduces additional errors that have not yet been quantified.
 Multiple other sources of uncertainties have been identified (i.e. in cement emissions) that could
 add up to significant contributions but are unlikely to be the main sources of the budget
 imbalance.

Although multiple processes have been identified here, some will increase variability (e.g. land
management processes, ocean circulation) while others might decrease it (e.g. better energy
statistics, response to rainfall variability), and processes would not be all acting simultaneously. It
is also possible that further yet unknown processes are not taken into account.

21 To move towards the resolution of the carbon budget imbalance, we have this year introduced

22 metrics for the evaluation of the ocean and land models and atmospheric inversions. These

23 metrics expand the use of observations in the global carbon budget, helping both to support

24 improvements in the ocean and land carbon models that produce the sink estimates, and to

constrain the representation of key underlying processes in the models and to allocate the

regional partitioning of the CO₂ fluxes. The inclusion of observational-based metrics is intended to

27 document, encourage and support model improvements through time, and to make use of a

28 broad and growing number of observations that have so far not fed into our analysis. The

29 evaluation presented this year is an initial step in this direction.

Although we have presented six components of the Global Carbon Budget individually, different
 aggregation of terms are possible. In particular S_{LAND}, E_{LUC} and B_{IM} could be aggregated into land

1 fluxes and total uncertainty, as traditionally done, which would result in generally lower 2 uncertainty compared to each term individually (see Table 5). This information is limited in 3 usefulness however, as it mixes direct and indirect processes and bring in errors from other 4 components and hence the signal becomes difficult to interpret. However, providing a realistic 5 assessment of uncertainties for SLAND and ELUC is also difficult. Here we have used the model 6 spread as a measure of uncertainty, which may be on the one hand underestimated because it 7 includes only partly uncertainty in the underlying observations, and on the other hand 8 overestimated as it includes artificial spread from different boundary limits among models. 9 Therefore, further work is needed not only to better quantify the fluxes but also to better describe and quantify the uncertainty and reduce them where possible. 10

There are many more uncertainties affecting the annual estimates compared to the mean and 11 12 trend, some of which could be improved with better data. Of the various terms in the global budget, only the emissions from fossil fuels and industry and the growth rate in atmospheric CO₂ 13 concentration are based primarily on empirical inputs supporting annual estimates in this carbon 14 budget. pCO₂-based flux products for the ocean CO₂ sink and atmospheric inversions based on 15 16 observed atmospheric CO₂ concentrations provide new ways to evaluate the model results, but 17 there are still large discrepancies among estimates. The introduction of data-based metrics to evaluate the models used here and support their improvements is an initial step in the 18 19 introduction of a broader range of observations that we hope will support continued improvements in the annual estimates of the global carbon budget. 20

21 5 Data availability

The data presented here are made available in the belief that their wide dissemination will lead to 22 23 greater understanding and new scientific insights of how the carbon cycle works, how humans are altering it, and how we can mitigate the resulting human-driven climate change. The free 24 availability of these data does not constitute permission for publication of the data. For research 25 26 projects, if the data are essential to the work, or if an important result or conclusion depends on the data, co-authorship may need to be considered. Full contact details and information on how 27 to cite the data included in the GCP (2018) release are given at the top of each page in the 28 accompanying database and summarised in Table 2. 29

1	The	e accompanying database includes two Excel files organised in the following spreadsheets
2	(ac	cessible with the free viewer https://support.microsoft.com/en-gb/help/273711/how-to-
3	obt	ain-the-latest-excel-viewer):
4	File	Global_Carbon_Budget_2018v1.0.xlsx includes the following:
5	1.	Summary
6	2.	The global carbon budget (1959-2017);
7	3.	Global CO ₂ emissions from fossil fuels and cement production by fuel type, and the per-capita
8		emissions (1959-2017);
9	4.	CO_2 emissions from land-use change from the individual methods and models (1959-2017);
10	5.	Ocean CO_2 sink from the individual ocean models and p CO_2 -based products (1959-2017);
11	6.	Terrestrial CO_2 sink from the DGVMs (1959-2017);
12	7.	Additional information on the carbon balance prior to 1959 (1750-2017).
13	File	e National_Carbon_Emissions_2018v1.0.xlsx includes the following: ff
14	1.	Summary
15	2.	Territorial country CO_2 emissions from fossil fuels and industry (1959-2017) from CDIAC,
16		extended to 2016 using BP data;
17	3.	Territorial country CO_2 emissions from fossil fuels and industry (1959-2017) from CDIAC with
18		UNFCCC data overwritten where available, extended to 2017 using BP data;
19	4.	Consumption country CO_2 emissions from fossil fuels and industry and emissions transfer
20		from the international trade of goods and services (1990-2016) using CDIAC/UNFCCC data
21		(worksheet 3 above) as reference;
22	5.	Emissions transfers (Consumption minus territorial emissions; 1990-2016);
23	6.	Country definitions;
24	7.	Details of disaggregated countries;
25	8.	Details of aggregated countries.
26	Na	tional emissions data are also available from the Global Carbon Atlas (globalcarbonatlas.org).
27	6	Conclusions
28	The	estimation of global CO $_2$ emissions and sinks is a major effort by the carbon cycle research
29	con	nmunity that requires a combination of measurements and compilation of statistical estimates

1 and results from models. The delivery of an annual carbon budget serves two purposes. First, 2 there is a large demand for up-to-date information on the state of the anthropogenic perturbation 3 of the climate system and its underpinning causes. A broad stakeholder community relies on the 4 data sets associated with the annual carbon budget including scientists, policy makers, businesses, 5 journalists, and the broader society increasingly engaged in adapting to and mitigating human-6 driven climate change. Second, over the last decade we have seen unprecedented changes in the human and biophysical environments (e.g. changes in the growth of fossil fuel emissions, ocean 7 8 temperatures, and strength of the sink), which call for frequent assessments of the state of the 9 planet, and by implication, a better understanding of the future evolution of the carbon cycle. Both the ocean and the land surface presently remove a large fraction of anthropogenic 10 11 emissions. Any significant change in the function of carbon sinks is of great importance to climate 12 policymaking, as they affect the excess CO₂ remaining in the atmosphere and therefore the compatible emissions for any climate stabilisation target. Better constraints of carbon cycle 13 models against contemporary data sets raise the capacity for the models to become more 14 accurate at future projections. This all requires more frequent, robust, and transparent data sets 15 and methods that can be scrutinized and replicated. This paper via 'living data' will help to keep 16 17 track of new budget updates.

18

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20

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- 9

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- 42

1 Tables

2 **Table 1.** Factors used to convert carbon in various units (by convention, Unit 1 = Unit 2

3 conversion).

Unit 1	Unit 2	Conversion	Source
GtC (gigatonnes of carbon)	ppm (parts per million) ^a	2.124 ^b	Ballantyne et al. (2012)
GtC (gigatonnes of carbon)	PgC (petagrams of carbon)	1	SI unit conversion
GtCO ₂ (gigatonnes of carbon dioxide)	GtC (gigatonnes of carbon)	3.664	44.01/12.011 in mass equivalent
GtC (gigatonnes of carbon)	MtC (megatonnes of carbon)	1000	SI unit conversion

^a Measurements of atmospheric CO₂ concentration have units of dry-air mole fraction. 'ppm' is an

5 abbreviation for micromole/mol, dry air.

6 ^bThe use of a factor of 2.124 assumes that all the atmosphere is well mixed within one year. In reality, only

7 the troposphere is well mixed and the growth rate of CO₂ concentration in the less well-mixed stratosphere

8 is not measured by sites from the NOAA network. Using a factor of 2.124 makes the approximation that the

9 growth rate of CO₂ concentration in the stratosphere equals that of the troposphere on a yearly basis.

Table 2. How to cite the individual components of the global carbon budget presented here.

	6				
Component	Primary reference				
Global emissions from fossil fuels and industry (E_{FF}) ,	Boden et al., (2017)				
total and by fuel type					
National territorial emissions from fossil fuels and	CDIAC source: Boden et al., (2017)				
industry (E _{FF})	UNFCCC (2018)				
National consumption-based emissions from fossil fuels	Peters et al. (2011b) updated as described in this paper				
and industry ($E_{\mbox{\tiny FF}}$) by country (consumption)					
Land-use change emissions (ELUC)	Average from Houghton and Nassikas (2017) and Hansis				
	et al., (2015), both updated as described in this paper				
Growth rate in atmospheric CO_2 concentration (G_{ATM})	Dlugokencky and Tans (2018)				
Ocean and land CO ₂ sinks (S _{OCEAN} and S _{LAND})	This paper for $S_{\mbox{\scriptsize OCEAN}}$ and $S_{\mbox{\scriptsize LAND}}$ and references in Table 4				
	for individual models.				

Table 3. Main methodological changes in the global carbon budget since first publication. Methodological changes introduced in one year are

2 kept for the following years unless noted. Empty cells mean there were no methodological changes introduced that year.

Publication year ^a	Fossil fuel emissions					Reservoirs		Uncertainty & other	
Publication year ^a	Global	Country (territorial)	Country (consumption)	- LUC emissions	Atmosphere	Ocean	Land	changes	
2006		Split in regions							
Raupach et al. (2007)									
2007				E _{LUC} based on FAO-FRA	1959-1979 data	Based on one ocean		±1σ provided for all	
Canadell et al. (2007)				2005; constant E _{LUC} for 2006	from Mauna Loa;	model tuned to		components	
					data after 1980 from	reproduced observed			
					global average	1990s sink			
2008 (online)				Constant E _{LUC} for 2007					
2009		Split between Annex	Results from an	Fire-based emission		Based on four ocean	First use of five DGVMs to		
Le Quéré et al. (2009)		B and non-Annex B	independent study	anomalies used for 2006-		models normalised to	compare with budget		
			discussed	2008		observations with constant delta	residual		
2010 Friedlingstein et	Projection	Emissions for top		E _{IUC} updated with FAO-FRA					
al. (2010)	for current	emitters		2010					
	year based								
	on GDP								
2011			Split between Annex B						
Peters et al. (2012b)			and non-Annex B						
2012		129 countries from	129 countries and regions	E _{LUC} for 1997-2011 includes	All years from global	Based on 5 ocean models	Ten DGVMs available for		
Le Quéré et al. (2013)		1959	from 1990-2010 based on	interannual anomalies from	average	normalised to	S _{LAND} ; First use of four		
Peters et al. (2013)			GTAP8.0	fire-based emissions	0	observations with ratio	models to compare with		
							ELUC		
2013		250 countries ^b	134 countries and regions	E _{IUC} for 2012 estimated		Based on six models	Coordinated DGVM	Confidence levels;	
Le Quéré et al. (2014)			1990-2011 based on	from 2001-2010 average		compared with two data-	experiments for SLAND and	cumulative emissions;	
			GTAP8.1, with detailed			products to year 2011	ELUC	budget from 1750	
			estimates for years 1997,			,, ,	200		
			2001, 2004, and 2007						
2014	Three years	Three years of BP	Extended to 2012 with	E _{LUC} for 1997-2013 includes		Based on seven models	Based on ten models	Inclusion of breakdown of	
Le Quéré et al. (2015b)	of BP data	data	updated GDP data	interannual anomalies from				the sinks in three latitude	
				fire-based emissions				bands and comparison with	
								three atmospheric	
								inversions	
2015	Projection	National emissions	Detailed estimates			Based on eight models	Based on ten models with	The decadal uncertainty fo	
Le Quéré et al. (2015a)	for current	from UNFCCC	introduced for 2011			based on eight models	assessment of minimum	the DGVM ensemble mear	
Jackson et al. (2016)	year based	extended to 2014	based on GTAP9				realism	now uses ±1σ of the decada	
Jackson et al. (2010)	Jan-Aug data	also provided	based on GTAPS				Tealisti	spread across models	
2016	Two years of	Added three small		Preliminary E _{LUC} using FRA-		Based on seven models	Based on fourteen	Discussion of projection fo	
Le Quéré et al. (2016)	BP data	countries; CHN		2015 shown for comparison;		Based on seven models	models	full budget for current year	
Le Quere et al. (2010)	Dr uala	emissions from 1990		use of five DGVMs			models	iun buuget for current year	
		from BP data (this							
		•							
2017	Projection	release only)		Average of two		Pasad on aight models	Based on fifteen models	Land multi model average	
2017	Projection			Average of two		Based on eight models		Land multi-model average	
Le Quéré et al. (2018)	includes			bookkeeping models; use of		that match the observed	that meet observation-	now used in main carbon	
	India-specific			twelve DGVMs		sink for the 1990s; no	based criteria (see Sect.	budget, with the carbon	
	data					longer normalised	2.5)	imbalance presented	
								separately; new table of ke	
								uncertainties	

cei emis Proj inclui	sion in Aggregation of nent overseas territories isions; into governing ection nations for total of des EU- 213 countries ^b fic data	Use of sixteen DGVMs ^c	Use of four atmospheric inversions	Based on seven models	Based on sixteen models; revised atmospheric forcing from CRUNCEP to CRU-JRA-55	Introduction of metrics for evaluation of individual models using observations
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- ^aThe naming convention of the budgets has changed. Up to and including 2010, the budget year (Carbon Budget 2010) represented the latest year of the data. From 2012,
- 2 the budget year (Carbon Budget 2012) refers to the initial publication year.
- 3 ^bThe CDIAC database has about 250 countries, but we show data for 213 countries since we aggregate and disaggregate some countries to be consistent with current
- 4 country definitions (see Sect. 2.1.1 for more details)
- 5 ^cE_{LUC} is still estimated based on bookkeeping models as in 2017, but the number of DGVMs used to characterise the uncertainty has changed.

- 1 **Table 4.** References for the process models, pCO₂-based ocean flux products, and atmospheric
- 2 inversions included in Figs. 6-8. All models and products are updated with new data to end of year
- 3 2017, and the atmospheric forcing for the DGVMs has been updated as described in Section 2.2.2.

Model/data name	Reference	Change from Le Quéré et al. (2018)
Bookkeeping models	s for land-use change emis	sions
BLUE	Hansis et al. (2015)	LUH2 rangelands were treated differently, using the static LUH2 information on forest/non-forest grid-cells to determine clearing for rangelands. Additionally effects on degradation of primary to secondary lands due to rangelands on natural (uncleared) vegetation were added to BLUE.
H&N2017	Houghton and Nassikas (2017)	No change.
Dynamic global vege	etation models ^a	
CABLE-POP	Haverd et al. (2018)	Simple crop harvest and grazing implemented. Small adjustments to photosynthesis parameters to compensate for effect of new climate forcing on GPP.
CLASS-CTEM	Melton and Arora (2016)	20 soil layers used. Soil depth is prescribed following Pelletier et al. (2016).
CLM4.5(BGC)	Oleson et al. (2013)	No change.
DLEM	Tian et al. (2015)	Using observed irrigation data instead of a potential irrigatior map.
ISAM	Meiyappan et al. (2015)	Crop harvest and N fertilizer application as described in Song et al (2016).
JSBACH	Mauritsen et al. (In review)	New version of JSBACH (JSBACH 3.2), as used for CMIP6 simulations. Changes include a new fire algorithm, as well as new processes (land nitrogen cycle, carbon storage of wood products). Furthermore, LUH2 rangelands were treated differently, using the static LUH2 information on forest/non- forest grid-cells to determine clearing for rangelands.
JULES	Clarke et al. (2011)	No Change.
LPJ-GUESS	Smith et al. (2014) ^b	No Change.
LPJ	Poulter et al. (2011) ^c	Uses monthly litter update (previously annual), 3 product pools for deforestation flux, shifting cultivation, wood harves and inclusion of boreal needleleaf deciduous PFT.
LPX-Bern	Lienert and Joos (2018)	Minor refinement of parameterization. Changed from 1x1 degree to 0.5x0.5 degree resolution. Nitrogen deposition and fertilization from NMIP.
OCN	Zaehle and Friend (2010)	No change (uses r294).
ORCHIDEE-Trunk	Krinner et al. (2005) ^d	Updated soil water stress and albedo scheme; overall C-cycle optimisation (gross fluxes).
ORCHIDEE-CNP	Goll et al. (2017)	First time contribution (ORCHIDEE with nitrogen and phosphorus dynamics).
SDGVM	Walker et al. (2017)	No change.
SURFEXv8	Joetzjer et al. (2015)	Not applicable (not used in 2017).

Kato et al. (2013)

Updated spinup protocol.

Global ocean biogeochemistry models

VISIT

5	<i>,</i>	
CCSM-BEC	Doney et al. (2009)	No change.
MICOM-HAMOCC (NorESM-OC)	Schwinger et al. (2016)	No drift correction.
MITgcm-REcoM2	Hauck et al. (2016)	No change.
MPIOM-HAMOCC	Mauritsen et al. (In review)	Change of atmospheric forcing; cmip6 model version including modifications and bug-fixes in HAMOCC and MPIOM.
NEMO-PISCES (CNRM)	Berthet et al. (Submitted)	New model version with update to NEMOv3.6 and improved gas exchange.
NEMO-PISCES (IPSL)	Aumont and Bopp (2006)	No change.
NEMO-PlankTOM5	Buitenhuis et al. (2010) ^e	No change.
pCO ₂ -based flux oce	an products	
Landschützer	Landschützer et al. (2016)	No change.
Jena CarboScope	Rödenbeck et al. (2014)	No change.
Atmospheric inversi	ons	
CAMS	Chevallier et al. (2005)	No change.
CarbonTracker Europe (CTE)	van der Laan-Luijkx et al. (2017)	Minor changes in the inversion set up.
Jena CarboScope	Rödenbeck et al. (2003)	No change.
MIROC	Saeki and Patra (2017)	Not applicable (not used in 2017).
-	/Ms has been updated from C	CRUNCEP to CRUJRA.

2 ^b To account for the differences between the derivation of shortwave radiation (SWRAD) from CRU cloudiness and

3 SWRAD from CRU-JRA-55, the photosythesis scaling parameter α_a was modified (-15%) to yield similar results.

4 ^c Compared to published version, decreased LPJ wood harvest efficiency so that 50% of biomass was removed off-site

compared to 85% used in the 2012 budget. Residue management of managed grasslands increased so that 100% of
harvested grass enters the litter pool.

7 ^d Compared to published version, new hydrology and snow scheme; revised parameter values for photosynthetic

8 capacity for all ecosystem (following assimilation of FLUXNET data), updated parameters values for stem allocation,

9 maintenance respiration and biomass export for tropical forests (based on literature) and, CO₂ down-regulation

10 process added to photosynthesis. Version used for CMIP6.

^e With no nutrient restoring below the mixed layer depth.

12

1 **Table 5.** Comparison of results from the bookkeeping method and budget residuals with results from the DGVMs and inverse estimates for

2 different periods, last decade, and last year available. All values are in GtC yr⁻¹. The DGVM uncertainties represent ±1 σ of the decadal or annual

3 (for 2017 only) estimates from the individual DGVMs: for the inverse models all three results are given where available.

4

Mean (GtC yr ⁻¹)										
	1960-1969	1970-1979	1980-1989	1990-1999	2000-2009	2008-2017	2017			
Land-use change emissions (ELUC)										
Bookkeeping methods	1.5 ± 0.7	1.2 ± 0.7	1.2 ± 0.7	1.4 ± 0.7	1.3 ± 0.7	1.5 ± 0.7	1.4 ± 0.7			
DGVMs	1.5 ± 0.7	1.4 ± 0.7	1.5 ± 0.7	1.3 ± 0.6	1.4 ± 0.6	1.9 ± 0.6	2.0 ± 0.7			
Terrestrial sink (S _{LAND})										
Residual sink from global budget (E _{FF} +E _{LUC} -G _{ATM} -S _{OCEAN})	1.8 ± 0.9	1.8 ± 0.9	1.5 ± 0.9	2.6 ± 0.9	2.9 ± 0.9	3.5 ± 1.0	4.1 ± 1.0			
DGVMs	1.2 ± 0.5	2.1 ± 0.4	1.8 ± 0.6	2.4 ± 0.5	2.7 ± 0.7	3.2 ± 0.7	3.8 ± 0.8			
Fotal land fluxes (S _{LAND} – E _{LUC})										
Budget constraint (E _{FF} -G _{ATM} - Socean)	0.3 ± 0.5	0.6 ± 0.6	0.4 ± 0.6	1.2 ± 0.6	1.6 ± 0.6	2.1 ± 0.7	2.7 ± 0.7			
DGVMs	-0.3 ± 0.6	0.7 ± 0.5	0.3 ± 0.6	1.1 ± 0.5	1.3 ± 0.5	1.3 ± 0.5	1.8 ± 0.5			
nversions*	_/_/_	_/_/_	-0.2–0.1	0.5–1.1	0.8–1.5	1.4–2.4	1.2–3.1			

5 *Estimates are corrected for the pre-industrial influence of river fluxes and adjusted to common E_{FF} (Sect. 2.7.2). Two inversions are available for the 1980s and 1990s. Two

6 additional inversions are available from 2001 and used from the decade of the 2000 (Tables A3).

- 1 **Table 6.** Decadal mean in the five components of the anthropogenic CO₂ budget for different periods, and last year available. All values are in
- GtC yr⁻¹, and uncertainties are reported as $\pm 1\sigma$. The table also shows the budget imbalance (B_{IM}), which provides a measure of the
- 3 discrepancies among the nearly independent estimates and has an uncertainty exceeding ± 1 GtC yr⁻¹. A positive imbalance means the
- 4 emissions are overestimated and/or the sinks are too small.

	Mean (GtC yr ⁻¹)						
	1960-1969	1970-1979	1980-1989	1990-1999	2000-2009	2008-2017	2017
Total emissions (E _{FF} +E _{LUC})							
Fossil fuels and industry (E_{FF})	3.1 ± 0.2	4.7 ± 0.2	5.4 ± 0.3	6.3 ± 0.3	7.8 ± 0.4	9.4 ± 0.5	9.9 ± 0.5
Land-use change emissions (E_{LUC})	1.5 ± 0.7	1.2 ± 0.7	1.2 ± 0.7	1.4 ± 0.7	1.3 ± 0.7	1.5 ± 0.7	1.4 ± 0.7
Partitioning							
Growth rate in atmospheric CO_2 concentration (G_{ATM})	1.7 ± 0.07	2.8 ± 0.07	3.4 ± 0.02	3.1 ± 0.02	4.0 ± 0.02	4.7 ± 0.02	4.6 ± 0.2
Ocean sink (S _{OCEAN})	1.0 ± 0.5	1.3 ± 0.5	1.7 ± 0.5	2.0 ± 0.5	2.1 ± 0.5	2.4 ± 0.5	2.5 ± 0.5
Terrestrial sink (S _{LAND})	1.2 ± 0.5	2.1 ± 0.4	1.8 ± 0.6	2.4 ± 0.5	2.7 ± 0.7	3.2 ± 0.7	3.8 ± 0.8
Budget imbalance							
B _{IM} = E _{FF} +E _{LUC} - (G _{ATM} +S _{OCEAN} +S _{LAND})	(0.6)	(-0.3)	(-0.3)	(0.2)	(0.2)	(0.5)	(0.3)

- **Table 7.** Comparison of the projection with realised emissions from fossil fuels and industry (E_{FF}). The 'Actual' values are first estimate available
- 2 using actual data, and the 'Projected' values refers to estimate made before the end of the year for each publication. Projections based on a
- 3 different method from that described here during 2008-2014 are available in Le Quéré et al., (2016). All values are adjusted for leap years.
- 4

	World		World China		USA		EU28		India		Rest of World	
	Projected	Actual										
2015ª	-0.6% (-1.6 to 0.5)	0.06%	-3.9% (-4.6 to -1.1)	-0.7%	-1.5% (-5.5 to 0.3)	-2.5%	_	_	_	_	1.2% (–0.2 to 2.6)	1.2%
2016 ^b	-0.2% (-1.0 to +1.8)	0.2%	-0.5% (-3.8 to +1.3)	-0.3%	-1.7% (-4.0 to +0.6)	-2.1%	_	-	-	-	+1.0% (-0.4 to +2.5)	1.3%
2017 ^c	+2.0% (+0.8 to +3.0)	+1.6%	+3.5 (+0.7 to +5.4)	+1.5%	-0.4% (-2.7 to +1.0)	-0.5%	_	-	+2.0% (+0.2 to +3.8)	+3.9%	+1.6% (0.0 to +3.2)	1.9%
2018 ^d	+2.5% (+1.3 to +3.5)	-	+3.5 (-0.2 to +6.6)	-	+2.2% (–0.3 to +4.7)	-	-0.7% (-2.7 to +1.2)	_	+5.5% (+3.5 to +7.5)	-	2.2% (0.9 to +3.4)	-

⁵ ^aJackson et al. (2016) and Le Quéré et al. (2015a). ^bLe Quéré et al., (2016). ^dThis study.

1 Table 8. Cumulative CO₂ for different time periods in gigatonnes of carbon (GtC). All

2 uncertainties are reported as ±1o. ELUC and SOCEAN have been revised to incorporate multiple

3 estimates (Section 3.5), and the terrestrial sink (S_{LAND}) is now estimated independently, from the

- 4 mean of the DGVM. Therefore the table also shows the budget imbalance, which provides a
- 5 measure of the discrepancies among the nearly independent estimates. Its uncertainty exceeds ±
- 6 60 GtC. The method used here does not capture the loss of additional sink capacity from reduced
- forest cover, which is about 20 GtC and would exacerbate the budget imbalance (see Section
 2.7.3). All values are rounded to the nearest 5 GtC and therefore columns do not necessarily add
- 9 to zero.

Units of GtC	1750-2017	1850-2005	1850-2014	1959-2017	1870-2017	1870-2018ª
Emissions						
Fossil fuels and industry (E_{FF})	430 ± 20	320 ± 15	400 ± 20	350 ± 20	425 ± 20	435 ± 20
Land-use change emissions (E_{LUC})	235 ± 95	185 ± 70	195 ± 75	80 ± 40	190 ± 75	190 ± 75
Total emissions	660 ± 95	500 ± 75	595 ± 80	430 ± 45	615 ± 80	625 ± 80
Partitioning						
Growth rate in atmospheric CO_2 concentration (G_{ATM})	275 ± 5	200 ± 5	235 ± 5	190 ± 5	250 ± 5	255 ± 5
Ocean sink (S _{OCEAN})	165 ± 20	125 ± 20	150 ± 20	100 ± 20	150 ± 20	155 ± 20
Terrestrial sink (S _{LAND})	215 ± 50	160 ± 45	185 ± 50	130 ± 30	190 ± 50	195 ± 50
Budget imbalance						
$B_{IM} = E_{FF} + E_{LUC} - (G_{ATM} + S_{OCEAN} + S_{LAND})$	(5)	(20)	(25)	(10)	(25)	(25)

^aUsing projections for year 2018 (Sect. 3.3).

Table 9. Major known sources of uncertainties in each component of the Global Carbon Budget, 1

2 defined as input data or processes that have a demonstrated effect of at least 0.3 GtC yr⁻¹.

3

Source of uncertainty	Time scale (years)	Location	Status	Evidence						
missions from fossil fuels and industry (E _{FF} ; Section 2.1)										
energy statistics	annual to decadal	mainly China	see Sect. 2.1	(Korsbakken et al., 2016)						
carbon content of coal	decadal	mainly China	see Sect. 2.1	(Liu et al., 2015)						
Emissions from land-use ch	hange (E_{LUC} ; section 2.2)									
land-cover and land-use change statistics	continuous	global; in particular tropics	see Sect. 2.2	(Houghton et al., 2012)						
sub-grid-scale transitions	annual to decadal	global	see Table A1	(Wilkenskjeld et al., 2014)						
vegetation biomass	annual to decadal	global; in particular tropics	see Table A1	(Houghton et al., 2012)						
wood and crop harvest	annual to decadal	global; SE Asia	see Table A1	(Arneth et al., 2017)						
peat burning ^a	multi-decadal trend	global	see Table A1	(van der Werf et al., 2010)						
loss of additional sink capacity	multi-decadal trend	global	not included; Section 2.7.3	(Gitz and Ciais, 2003)						

Atmospheric growth rate (G_{ATM}) \rightarrow no demonstrated uncertainties larger than ±0.3 GtC yr^{-1, b}

variability in oceanic circulation ^c	semi-decadal to decadal	global; in particular Southern Ocean	see Sect. 2.4.2	(DeVries et al., 2017)			
nternal variability	annual to decadal	no ensemb nual to decadal high latitudes; Equatorial coarse Pacific resolutio		(McKinley et al., 2016			
anthropogenic changes in nutrient supply	multi-decadal trend	global	not included	(Duce et al., 2008)			
Land sink (S _{LAND})							
Land sink (S_{LAND}) strength of CO ₂ fertilisation	multi-decadal trend	global	see Sect. 2.5	(Wenzel et al., 2016)			
· · ·	multi-decadal trend annual to decadal	global global; in particular tropics	see Sect. 2.5 see Sect. 2.5	(Wenzel et al., 2016) (Cox et al., 2013)			
trength of CO ₂ fertilisation esponse to variability in		global; in particular					

4 ^aAs result of interactions between land-use and climate

5 ^bThe uncertainties in G_{ATM} have been estimated as ±0.2 GtC yr⁻¹, although the conversion of the growth rate into a

6 global annual flux assuming instantaneous mixing throughout the atmosphere introduces additional errors that have 7 not yet been quantified.

8 ^cCould in part be due to uncertainties in atmospheric forcing (Swart et al., 2014)

- 1 **Table A1.** Comparison of the processes included (Y) or not (N) in the bookkeeping and Dynamic
- 2 Global Vegetation Models for their estimates of ELUC and SLAND. See Table 4 for model references.
- 3 All models include deforestation and forest regrowth after abandonment of agriculture (or from
- 4 afforestation activities on agricultural land).

	bookke																	
	models										DG	VMs						
	H&N2017	BLUE	CABLE-POP	CLASS-CTEM	CLM4.5(BGC)	DLEM	ISAM	JSBACH ⁱ	JULES	LPJ-GUESS ⁱ	LPJ	LPX-Bern	OCN	Orchidee-CNP	Orchidee-Trunk	SDGVM	SURFEX	VISIT ⁱ
Processes relevant for ELUC																		
Wood harvest and forest degradation ^a	Y	Y	Y	N	Y	Y	Y	Y	N	Y	Y	N ^d	Y	N	Y	N	N	Y
Shifting cultivation / subgrid scale transitions	N ^b	Y	Y	Ν	Y	Ν	Ν	Y	Ν	Y	Y	N ^d	Ν	Ν	Ν	Ν	Ν	Y
Cropland harvest (removed, r, or added to litter, l)	Y(r) ⁱ	Y(r) ⁱ	Y(r)	Y(I)	Y(r)	Y	Y	Y(r,l)	N	Y(r)	Y(I)	Y(r)	Y(r,l)	Y(r)	Y(r)	Y(r)	N	Y(r)
Peat fires	Y	Y	N	Ν	Y	Ν	Ν	Ν	Ν	Ν	Ν	Ν	Ν	Ν	Ν	Ν	Ν	Ν
Fire as a management tool	Y ⁱ	Yi	N	Ν	Ν	Ν	Ν	Ν	Ν	Ν	Ν	Ν	Ν	Ν	Ν	Ν	Ν	Ν
N fertilization	Y ⁱ	Yi	N	Ν	Y	Y	Y	Ν	Ν	Y	Ν	Y	Y	Y	Ν	Ν	Ν	Ν
Tillage	Y ⁱ	Y ⁱ	Y	Y^f	Ν	Ν	Ν	Ν	Ν	Y	Ν	Ν	Ν	Ν	Y ^h	Ν	Ν	Ν
Irrigation	Y ⁱ	Yi	N	Ν	Y	Y	Y	Ν	Ν	Y	Ν	Ν	Ν	Ν	Ν	Ν	\mathbf{Y}^{h}	Ν
Wetland drainage	Y ⁱ	Y ⁱ	N	Ν	Ν	Ν	Ν	Ν	Ν	Ν	Ν	Ν	Ν	Ν	Ν	Ν	Ν	Ν
Erosion	Y ⁱ	Y ⁱ	N	Ν	Ν	Ν	Ν	Ν	Ν	Ν	Ν	Ν	Ν	Ν	Ν	Ν	Ν	Y
South East Asia peat drainage Grazing and mowing harvest (removed, r, or	Y Y(r) ⁱ	Y Y(r) ⁱ	N Y(r)	N N	N N	N N	N Y(l)	N Y(l)	N N	N Y(r)	N Y(I)	N N	N Y(r,l)	N N	N N	N N	N N	N
added to litter, l)	1(1)	(1)	(1)	IN		IN	1(1)	1(1)	IN	1(1)	1(1)	IN .	"(",")	IN	IN	ĨŇ	IN .	IN .
Processes relevant also for $$S_{\text{LAND}}$$																		
Fire simulation	US only	N	N	Y	Y	Y	N	Y	Ν	Y	Y	Y	N	N	N	Y	Y	Y
Climate and variability	N	Ν	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y
CO ₂ fertilisation	N ^g	N ^g	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Ye	Y	Y	Y
Carbon-nitrogen interactions, including N deposition	N ⁱ	N ⁱ	Y	N ^e	Y	Y	Y	Y	N	Y	N	Y	Y	Y	N	Yc	Ye	N

^a Refers to the routine harvest of established managed forests rather than pools of harvested products.

^b No back- and forth-transitions between vegetation types at the country-level, but if forest loss based on FRA

7 exceeded agricultural expansion based on FAO, then this amount of area

8 ^cLimited. Nitrogen uptake is simulated as a function of soil C, and Vcmax is an empirical function of canopy N. Does

9 not consider N deposition.

10 ^d Available but not active for comparability between the two LU forcings.

^e Although C-N cycle interactions are not represented, the model includes a parameterization of down-regulation of

12 photosynthesis as CO₂ increases to emulate nutrient constraints (Arora et al., 2009)

^f Tillage is represented over croplands by increased soil carbon decomposition rate and reduced humification of litter
 to soil carbon.

^g Bookkeeping models include effect of CO₂-fertilization as captured by observed carbon densities, but not as an effect
 transient in time.

^h 20% reduction of active soil organic carbon (SOC) pool turnover time for C3 crop and 40% reduction for C4 crops

18 ⁱ Process captured implicitly by use of observed carbon densities.

Table A2. Comparison of the processes and model set up for the Global Ocean Biogeochemistry

2 Models for their estimates of S_{OCEAN}. See Table 4 for model references.

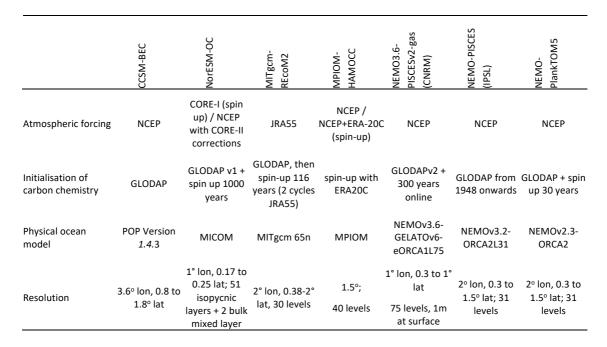


Table A3. Comparison of the inversion set up and input fields for the atmospheric inversions.

2 Atmospheric inversions see the full CO₂ fluxes, including the anthropogenic and pre-industrial

3 fluxes. Hence they need to be adjusted for the pre-industrial flux of CO₂ from the land to the

4 ocean that is part of the natural carbon cycle before they can be compared with S_{OCEAN} and S_{LAND}

5 from process models. See Table 4 for references.

	CarbonTracker Europe (CTE)	Jena CarboScope	CAMS	MIROC
Version number	CTE2018	s85oc_v4.2	v17r1	tdi84_2018
Observations				
Atmospheric observations	Hourly resolution (well-mixed conditions) OBSPACK GLOBALVIEWplus v3.2 & NRTv4.2 ^a	Flasks and hourly (outliers removed by 2-sigma criterion)	Daily averages of well- mixed conditions - OBSPACK GLOBALVIEWplus v3.2ª & NRT v4.2, WDCGG, RAMCES and ICOS ATC	Flask and continuous data at remote sites from ObsPack GLOBALVIEWplus v3.2 and v4.0
Prior fluxes				
Biosphere and fires	SiBCASA-GFED4s ^b	No prior	ORCHIDEE (climatological), GFEDv4 & GFAS	Climatological CASA with 3-hourly downscaling
Ocean	Ocean inversion by Jacobson et al. (2007)	pCO ₂ -based ocean flux product oc_v1.6 (update of Rödenbeck et al., 2014)	Landschützer et al. (2015)	Takahashi et al. (2009)
Fossil fuels	EDGAR+IER, scaled to CDIAC	CDIAC (extended after 2013 with GCP totals)	EDGAR scaled to CDIAC	EDGARv4.3.2 (2012 map after 2013)
Transport and o	ptimization			
Transport model	TM5	TM3	LMDZ v5A	MIROC4-ACTM
Weather forcing	ECMWF	NCEP	ECMWF	JRA55
Resolution (degrees)	Global: 3° x 2°, Europe: 1° x 1°, North America: 1° x 1°	Global: 4° x 5°	Global: 3.75° x 1.875°	Global: 2.8° × 2.8°
Optimization	Ensemble Kalman filter	Conjugate gradient (re-ortho- normalization) ^c	Variational	Matrix Method, 84 regions

6 7

^a(Carbontracker Team, 2017; GLOBALVIEW, 2016)

8 ^b(van der Velde et al., 2014)

9 ^cocean prior not optimised

Table A4 Attribution of fCO₂ measurements for the year 2017 included in SOCAT v6 (Bakker et al., 2016) to inform ocean pCO₂-based flux

2 products.

Platform	Regions	No. of samples	Principal Investigators	No. of data sets
Allure of the Seas	Tropical Atlantic	127007	Wanninkhof, R. : Pierrot, D.	51
Atlantic Cartier	North Atlantic	33565	Steinhoff, T. : Koertzinger, A. : Wallace, D.	7
Aurora Australis	Southern Ocean	64481	Tilbrook, B.: Neill, C.: Akl, J.	3
Benguela Stream	North Atlantic; Tropical Atlantic	105517	Schuster, U. : Watson, A.J.	17
BOBOA_90E_15N	Indian Ocean	66	Sutton, A. : O Brien, C. : Hermes, R.	1
Cap san Lorenzo	North Atlantic; Tropical Atlantic	33901	Lefevre, N.: Diverres, D.	7
Colibri	North Atlantic; Tropical Atlantic	9334	Lefevre, N.: Diverres, D.	2
Discovery	North Atlantic	2540	Kitidis, V.	1
Equinox	Tropical Atlantic	114369	Wanninkhof, R. : Pierrot, D.	42
Finnmaid	North Atlantic	128793	Rehder, G. : Glockzin, M.	11
G.O. Sars	North Atlantic	99028	Skjelvan, I.	7
Gordon Gunter	North Atlantic; Tropical Atlantic	60213	Wanninkhof, R. : Pierrot, D.	12
Henry B. Bigelow	North Atlantic	40703	Wanninkhof, R. : Pierrot, D.	7
Heron Island	Tropical Pacific	2775	Tilbrook, B.: van Ooijen, E.: Passmore, A.	2
Investigator	Southern Ocean; Tropical Pacific	98081	Tilbrook, B.: Neill, C.: Akl, J.	6
Kangaroo Island	Southern Ocean	1650	Tilbrook, B.: van Ooijen, E.: Passmore, A.	1
Laurence M. Gould	Southern Ocean	41657	Sweeney, C. : Takahashi, T. : Newberger, T. : Sutherland, S.C. : Munro, D.R.	7
Maria Island	Southern Ocean	3023	Tilbrook, B.: van Ooijen, E.: Passmore, A.	2
Marion Dufresne	Indian Ocean; Southern Ocean	6641	Metzl, N. : Lo Monaco, C.	1

MSC Marianna	North Atlantic; Tropical Atlantic	2823	Gonzalez-Davila, M. : Santana-Casiano, J.M.	1
New Century 2	North Atlantic; North Pacific; Tropical Atlantic; Tropical Pacific	28604	Nakaoka, S.	13
Nuka Arctica	North Atlantic	139842	Becker, M. : Olsen, A.: Johannessen, T.	29
Polarstern	Arctic, North Atlantic, Southern Ocean; Tropical Atlantic	135031	van Heuven, S. : Hoppema, M.	6
Ronald H. Brown	Southern Ocean; Tropical Atlantic, Tropical Pacific	45510	Wanninkhof, R. : Pierrot, D.	4
S.A. Agulhas II	Southern Ocean	8990	Monteiro, P.M.S. : Gregor, L.	1
Simon Stevin	North Atlantic	12189	Gkritzalis, T. : Theetaert, H.	3
Soyo Maru	North Pacific	49613	Ono, T.	3
TAO110W_0N	Tropical Pacific	825	Sutton, A.	2
Trans Future 5	North Pacific, Southern Ocean; Tropical Pacific	22596	Nakaoka, S. : Nojiri, Y.	21
Victor Angelescu	North Atlantic, Southern Ocean, Tropical Atlantic	4624	Negri, R.: Padin, X.A.	1
Wakmatha	Tropical Pacific	20496	Tilbrook, B.: Neill, C.: Akl, J.	6
				<u> </u>

Table A5. Funding supporting the production of the various components of the global carbon

	0 11 0		•	0
2	budget in addition to the author	ors' supporting i	nstitutions (see also ackno	wledgements).

Funder and grant number (where relevant)	author initials
Australia, Great Barrier Reef Foundation	BT, CN
Australia, Integrated Marine Observing System (IMOS)	BT, CN
Australian Government National Environment Science Program (NESP)	JGC, VH
EC H2020 (AtlantOS: grant no 633211)	AO
EC H2020 project CRESCENDO (grant no. 641816)	MF, PF, RS, TI
EC H2020 ERC Synergy grant (IMBALANCE-P; grant no. ERC-2013-SyG-610028)	DSG
EC H2020 European Research Council (ERC) (QUINCY; grant no. 647204).	SZ
EC H2020 project VERIFY (grant no. 776810)	CLQ, GPP, IH, JIK RMA, PP, PC
FRA, MOE	ТО
French Institut National des Sciences de l'Univers (INSU) and Institut Paul Emile Victor (IPEV), Sorbonne Universités (UPMC, Univ Paris 06)	NM
German Federal Ministry for Education and Research (BMBF)	GR, MH, TS
German Federal Ministry of Transport and Digital Infrastructure (BMVI)	GR, MH, TS
German Helmholtz Association in its ATMO programme	AA
German Helmholtz Association Innovation and Network Fund (VH-NG-1301)	JH
German Research Foundation's Emmy Noether Programme (grant no. PO1751/1-1)	JP
Integrated Carbon Observation System (ICOS) RI & France	GR, MH, NL, TG, T TS, IS, US
French Institut de Recherche pour le Développement (IRD)	NL
Japan Environment Research and Technology Development Fund of the Ministry of the Environment (grant no. 2-1701)	РКР
Japan Fisheries Research and Education Agency (FREA), Ministry of Environment (MOE)	ТО
Japan National Institute for Environmental Studies (NIES), Ministry of Environment (MOE)	SN
Netherlands Organization for Scientific Research (NWO; grant no. SH-312, 16666)	IvdLL
Norwegian Research Council (grant no. 229771)	JS
Norwegian Research Council (grant no. ICOS 245927)	IS, TJ, BP
Norwegian Research Council (grant no. 209701)	RMA, JIK, GPP
The Netherlands, Research Foundation – Flanders (FWO contract no. G0H3317N)	TG
The Copernicus Atmosphere Monitoring Service, implemented by the European Centre for Medium-Range Weather Forecasts (ECMWF) on behalf of the European Commission	FC
Swiss National Science Foundation (grant no. 200020_172476)	SL
UK BEIS/Defra Met Office Hadley Centre Climate Programme (grant no. GA01101)	CDJ
UK Natural Environment Research Council (SONATA: grant no. NE/P021417/1)	CLQ
UK NERC, EU FP7, EU Horizon2020	US
UK Newton Fund, Met Office Climate Science for Service Partnership Brazil (CSSP Brazil)	AW
USA Climate Program Office of NOAA (grant no. NA13OAR4310219)	LR
USA Department of Agriculture, National Institute of Food and Agriculture (grants no.	

USA Department of Commerce, NOAA/OAR's Global Ocean Monitoring & Observing Program	AS, LB, DP
USA Department of Commerce, NOAA/OAR's Ocean Acidification Program	AS, DP, LB
USA Department of Energy, Oak Ridge National Laboratory (contract no. DE-AC05- 00OR22725)	APW
USA Department of Energy, Office of Science and BER prg. (grant no. DE-SC000 0016323)	ATJ
USA Department of Energy (grants no. DE-FC03-97ER62402/A010 and DE-SC0012972)	DLL
USA NASA Interdisciplinary Research in Earth Science Program.	BP
Computing resources	
Norway UNINETT Sigma2, National Infrastructure for High Performance Computing and	JS
Data Storage in Norway (NN2980K/NS2980K)	
TGCC under allocations 2017-A0030102201 and 2017-A0030106328 made by GENCI	FC, NV
Japan National Institute for Environmental Studies computational resources	EK
UEA High Performance Computing Cluster, UK	RW, CLQ
Support for aircraft measurements in Obspack	
L. V. Gatti, M. Gloor, J.B. Miller: AMAZONICA consorcium project was funded by NERC (NE/F0 (08/58120-3), GEOCARBON project (283080)	005806/1), FAPESP
Joshua DiGangi, NASA Langley Research Center for the airborne instrument that collected all observations.	l of the CO ₂
Observations from the The Atmospheric Carbon and Transport (ACT) - America Earth Ventur were funded by NASA's Earth Science Division (Grant NNX15AG76G to Penn State)	e Suborbital mission

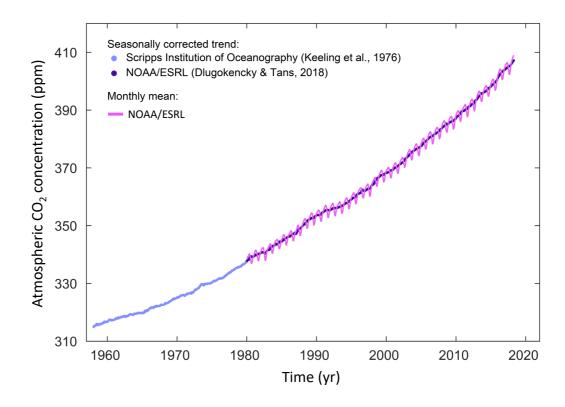
Jeff Peischl of the University of Colorado/CIRES for the NOAA WP-3D aircraft vertical profile data

- 1 **Table A6.** Aircraft measurement programs archived by Cooperative Global Atmospheric Data
- 2 Integration Project (CGADIP, 2017) that contribute to the evaluation of the atmospheric inversions
- 3 (Figure S3).

Measurement program name in Obspack	Specific doi	Data providers
Airborne Aerosol Observatory, Bondville, Illinois		Wanninkhof, R. : Pierrot, D.
Alaska Coast Guard		Sweeney, C.; McKain, K.; Karion, A.; Dlugokencky, E.J.
Atmospheric Carbon and Transport - America	https://doi.org/10.333 4/ORNLDAAC/1556	Davis, K.J.; Digangi, J.P.; Yang, M.
Atmospheric Carbon and Transport - America Alta Floresta	, childen (c) 1000	Davis, K.J.; Sweeney, C.; Dlugokencky, E.J.; Yang, M. Gatti, L.V.; Gloor, E.; Miller, J.B.;
Aircraft Observation of Atmospheric trace gases by JMA		ghg_obs@met.kishou.go.jp
Aerosol, Radiation, and Cloud Processes affecting Arctic Climate 2008 (air campaign)		Ryerson, T.B.; Peischl, J.; Aikin, K.C.
LARC - NASA Langley Research Center Aircraft Campaign	https://doi.org/10.333 4/ORNLDAAC/1556	Chen, G.; Digangi, J.P.
Beaver Crossing, Nebraska		Sweeney, C.; Dlugokencky, E.J.
California Nexus 2010 (air campaign)		Ryerson, T.B.; Peischl, J.; Aikin, K.C.
Briggsdale, Colorado		Sweeney, C.; Dlugokencky, E.J.
Cape May, New Jersey		Sweeney, C.; Dlugokencky, E.J.
CONTRAIL (Comprehensive Observation Network for TRace gases by AIrLiner) Carbon in Arctic Reservoirs Vulnerability Experiment (CARVE)	http://dx.doi.org/10.17 595/20180208.001	Machida, T.; Matsueda, H.; Sawa, Y. Niwa, Y. Sweeney, C.; Karion, A.; Miller, J.B.; Miller, C.E.; Dlugokencky, E.J.
LARC - NASA Langley Research Center Aircraft Campaign	https://doi.org/10.333 4/ORNLDAAC/1556	Chen, G.; Digangi, J.P.; Beyersdorf, A.
LARC - NASA Langley Research Center Aircraft Campaign	https://doi.org/10.333 4/ORNLDAAC/1556	Chen, G.; Digangi, J.P.; Yang, M.
Dahlen, North Dakota		Sweeney, C.; Dlugokencky, E.J.
Estevan Point, British Columbia		Sweeney, C.; Dlugokencky, E.J.
East Trout Lake, Saskatchewan		Sweeney, C.; Dlugokencky, E.J.
Molokai Island, Hawaii		Sweeney, C.; Dlugokencky, E.J.
Homer, Illinois		Sweeney, C.; Dlugokencky, E.J.
HIPPO (HIAPER Pole-to-Pole Observations)	https://doi.org/10.333 4/CDIAC/HIPPO_010	Wofsy, S.C.; Stephens, B.B.; Elkins, J.W.; Hintsa, E.J.; Moore, F.
INFLUX (Indianapolis Flux Experiment)		Sweeney, C.; Dlugokencky, E.J.; Shepsor P.B.; Turnbull, J.
Park Falls, Wisconsin		Sweeney, C.; Dlugokencky, E.J.
Mid Continent Intensive		Sweeney, C.; Dlugokencky, E.J.
Marcellus Pennsylvania		Sweeney, C.; Dlugokencky, E.J.
Worcester, Massachusetts		Sweeney, C.; Dlugokencky, E.J.
ORCAS (O2/N2 Ratio and CO2 Airborne Southern Ocean Study)	https://doi.org/10.506 5/D6SB445X	Stephens, B.B.; Sweeney, C.; McKain, K. Kort, E.A.
Poker Flat, Alaska		Sweeney, C.; Dlugokencky, E.J.
Rio Branco		Gatti, L.V.; Gloor, E.; Miller, J.B.
Rarotonga		Sweeney, C.; Dlugokencky, E.J.

Montzka		Sweeney, C.; Dlugokencky, E.J.
Santarem		Sweeney, C.; Dlugokencky, E.J.
Charleston, South Carolina		Sweeney, C.; Dlugokencky, E.J.
LARC - NASA Langley Research Center Aircraft Campaign	https://doi.org/10.333 4/ORNLDAAC/1556	Chen, G.; Digangi, J.P.; Beyersdorf, A.
Southeast Nexus 2013 (air campaign)		Ryerson, T.B.; Peischl, J.; Aikin, K.C.
Southern Great Plains, Oklahoma		Sweeney, C.; Dlugokencky, E.J.; Biraud, S.
Shale Oil and Natural Gas Nexus 2015 (air campaign)		Ryerson, T.B.; Peischl, J.; Aikin, K.C.
Harvard University Aircraft Campaign		Wofsy, S.C.
Tabatinga		Gatti, L.V.; Gloor, E.; Miller, J.B.
Sinton, Texas		Sweeney, C.; Dlugokencky, E.J.
Trinidad Head, California		Sweeney, C.; Dlugokencky, E.J.
Atmospheric Tomography Mission (ATom)		McKain, K.; Sweeney, C.
Ulaanbaatar		Sweeney, C.; Dlugokencky, E.J.
West Branch, Iowa		Sweeney, C.; Dlugokencky, E.J.

1 Figure Captions



2

3 Figure 1. Surface average atmospheric CO₂ concentration (ppm). The 1980-2018 monthly data are 4 from NOAA/ESRL (Dlugokencky and Tans, 2018) and are based on an average of direct 5 atmospheric CO₂ measurements from multiple stations in the marine boundary layer (Masarie and Tans, 1995). The 1958-1979 monthly data are from the Scripps Institution of Oceanography, based 6 7 on an average of direct atmospheric CO₂ measurements from the Mauna Loa and South Pole stations (Keeling et al., 1976). To take into account the difference of mean CO₂ and seasonality 8 between the NOAA/ESRL and the Scripps station networks used here, the Scripps surface average 9 10 (from two stations) was deseasonalised and harmonised to match the NOAA/ESRL surface average (from multiple stations) by adding the mean difference of 0.542 ppm, calculated here from 11 12 overlapping data during 1980-2012.

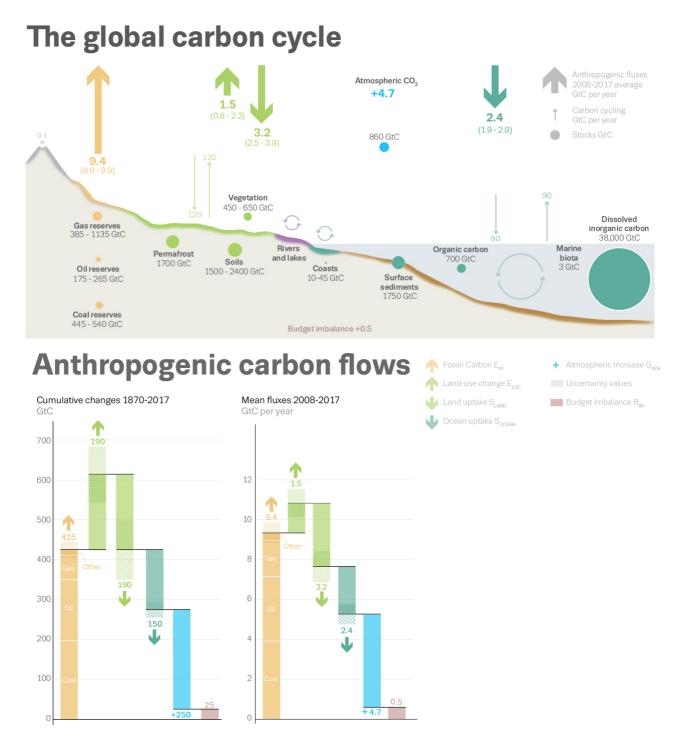
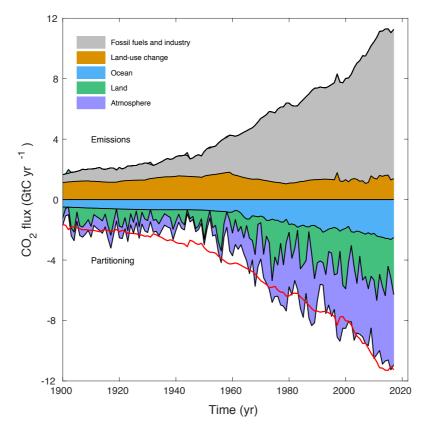
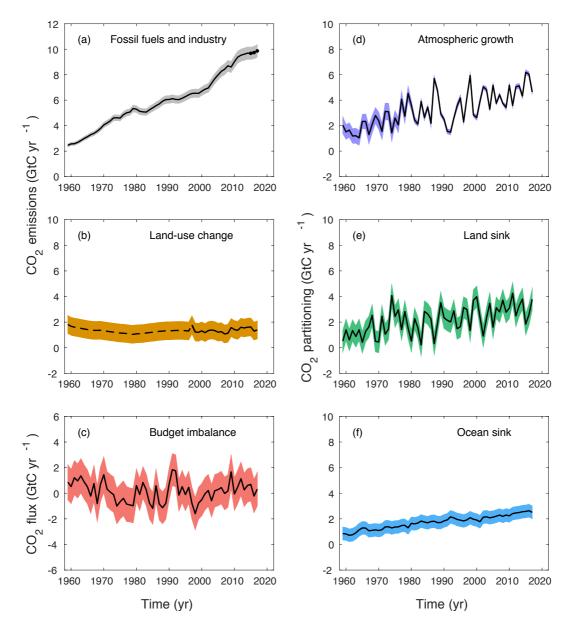


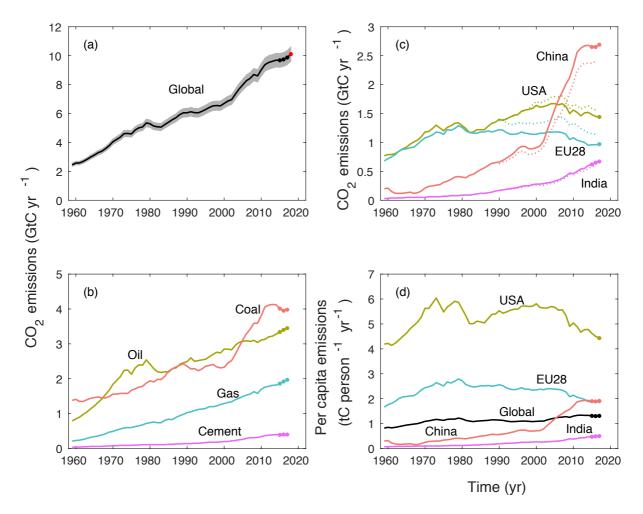
Figure 2. (top) Schematic representation of the overall perturbation of the global carbon cycle caused by anthropogenic activities, averaged globally for the decade 2008-2017. See legends for the corresponding arrows and units. The uncertainty in the atmospheric CO₂ growth rate is very small (±0.02 Gt C yr⁻¹) and is neglected for the figure. The anthropogenic perturbation occurs on top of an active carbon cycle, with fluxes and stocks represented in the background and taken from Ciais et al. (2013) for all numbers, with the ocean fluxes updated to 90 GtC yr⁻¹ to account for the increase in atmospheric CO₂ since publication, and except for the carbon stocks in coasts which is from a literature review of coastal marine sediments (Price and Warren, 2016). (bottom) Cumulative changes during 1870-2017 and mean fluxes during 2008-2017 for the anthropogenic perturbation.



2 Figure 3. Combined components of the global carbon budget illustrated in Fig. 2 as a function of 3 time, for emissions from fossil fuels and industry (EFF; grey) and emissions from land-use change 4 (E_{LUC}; brown), as well as their partitioning among the atmosphere (G_{ATM}; purple), ocean (S_{OCEAN}; blue), and land (SLAND; green). The partitioning is based on nearly independent estimates from 5 6 observations (for GATM) and from process model ensembles constrained by data (for SOCEAN and 7 SLAND), and does not exactly add up to the sum of the emissions, resulting in a budget imbalance 8 which is represented by the difference between the bottom red line (reflecting total emissions) and the sum of the ocean, land and atmosphere. All time series are in GtC yr⁻¹. GATM and SOCEAN 9 prior to 1959 are based on different methods. E_{FF} are primarily from Boden et al. (2017), with 10 11 uncertainty of about $\pm 5\%$ ($\pm 1\sigma$); E_{LUC} are from two bookkeeping models (Table 2) with 12 uncertainties of about ±50%; GATM prior to 1959 is from Joos and Spahni (2008) with uncertainties equivalent to about ±0.1-0.15 GtC yr⁻¹, and from Dlugokencky and Tans (2018) from 1959 with 13 uncertainties of about ±0.2 GtC yr⁻¹; S_{OCEAN} prior to 1959 is averaged from Khatiwala et al. (2013) 14 and DeVries (2014) with uncertainty of about ±30%, and from a multi-model mean (Table 4) from 15 1959 with uncertainties of about ±0.5 GtC yr⁻¹; S_{LAND} is a multi-model mean (Table 4) with 16 uncertainties of about ±0.9 GtC yr⁻¹. See the text for more details of each component and their 17 uncertainties. 18



2 Figure 4. Components of the global carbon budget and their uncertainties as a function of time, 3 presented individually for (a) emissions from fossil fuels and industry (E_{FF}), (b) emissions from land-use change (E_{LUC}), (c) the budget imbalance that is not accounted for by the other terms, (d) 4 growth rate in atmospheric CO₂ concentration (G_{ATM}), and (e) the land CO₂ sink (S_{LAND}, positive 5 indicates a flux from the atmosphere to the land), (f) the ocean CO₂ sink (S_{OCEAN}, positive indicates 6 a flux from the atmosphere to the ocean). All time series are in GtC yr⁻¹ with the uncertainty 7 8 bounds representing $\pm 1\sigma$ in shaded colour. Data sources are as in Fig. 3. The black dots in (a) show values for 2015-2017 that originate from a different data set to the remainder of the data (see 9 10 text). The dashed line in (b) identifies the pre-satellite period before the inclusion of peatland 11 burning.



1

2 Figure 5. CO₂ emissions from fossil fuels and industry for (a) the globe, including an uncertainty of 3 ± 5% (grey shading), the emissions extrapolated using BP energy statistics (black dots) and the 4 emissions projection for year 2018 based on GDP projection (red dot), (b) global emissions by fuel 5 type, including coal (salmon), oil (olive), gas (turquoise), and cement (purple), and excluding gas 6 flaring which is small (0.6% in 2013), (c) territorial (solid lines) and consumption (dashed lines) 7 emissions for the top three country emitters (USA - olive; China - salmon; India - purple) and for 8 the European Union (EU; turquoise for the 28 member states of the EU as of 2012), and (d) per-9 capita emissions for the top three country emitters and the EU (all colours as in panel (c)) and the world (black). In (b-c), the dots show the data that were extrapolated from BP energy statistics for 10 2014-2016. All time series are in GtC yr⁻¹ except the per-capita emissions (d), which are in tonnes 11 of carbon per person per year (tC person⁻¹ yr⁻¹). Territorial emissions are primarily from Boden et 12 13 al. (2017) except national data for the USA and EU28 (the 28 member states of the EU) for 1990-2016, which are reported by the countries to the UNFCCC as detailed in the text; consumption-14 based emissions are updated from Peters et al. (2011a). See Sect. 2.1.1 for details of the 15 calculations and data sources. 16

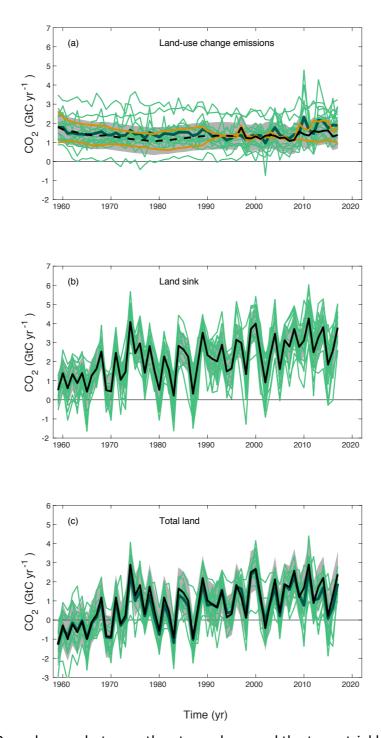






Figure 6. CO₂ exchanges between the atmosphere and the terrestrial biosphere as used in the
global carbon budget (black with ±1o uncertainty in grey shading), for (a) CO₂ emissions from
land-use change (E_{LUC}), showing also individually the two bookkeeping models (two brown lines)
and the DGVM model results (green) and their multi-model mean (dark green). The dashed line
identifies the pre-satellite period before the inclusion of peatland burning; (b) Land CO₂ sink
(S_{LAND}) with individual DGVMs (green); (c) Total land CO₂ fluxes (b minus a) with individual DGVMs

9 (green) and their multi-model mean (dark green).

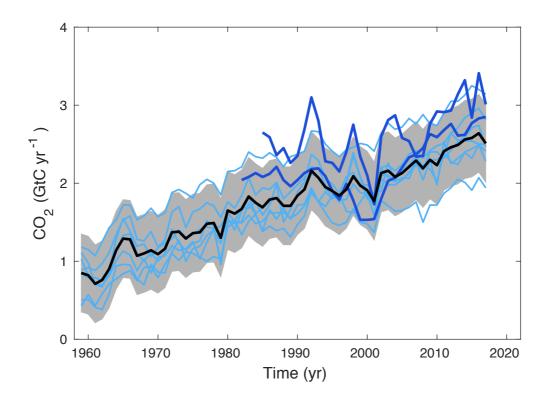
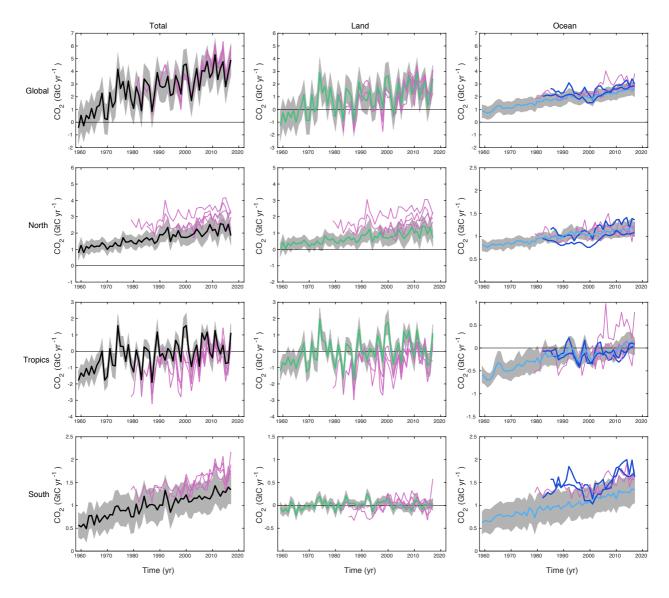


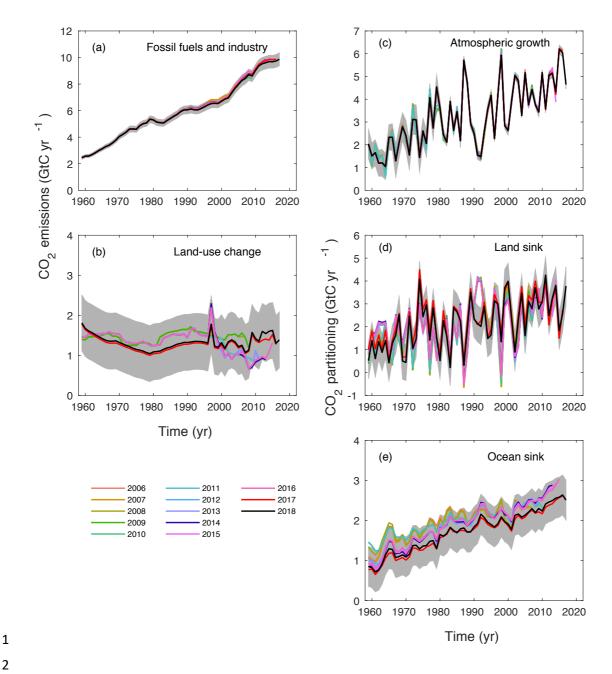


Figure 7. Comparison of the anthropogenic atmosphere-ocean CO₂ flux showing the budget values
of S_{OCEAN} (black; with ±1σ uncertainty in grey shading), individual ocean models (blue), and the two
ocean pCO₂-based flux products (dark blue; see Table 4). Both pCO₂-based flux products were
adjusted for the preindustrial ocean source of CO₂ from river input to the ocean, which is not
present in the ocean models, by adding a sink of 0.8 GtC yr⁻¹ (Resplandy et al., 2018), to make
them comparable to S_{OCEAN}. This adjustment does not take into account the anthropogenic
contribution to river fluxes (see Sect. 2.7.2).





3 Figure 8. CO₂ fluxes between the atmosphere and the surface (S_{OCEAN} + S_{LAND} – E_{LUC}) by latitude bands for the (top) globe (2nd row) North (north of 30°N), (3rd row) Tropics (30°S-30°N), and 4 (bottom) South (south of 30°S), and (left) total, (middle) land only (SLAND – ELUC) and (right) ocean 5 6 only. Estimates from the combination of the process models for the land and oceans are shown 7 (black for the total, green for the land, blue for the ocean) with ±1o of the model ensemble (in grey). Results from the atmospheric inversions are also shown (pink lines), and from the pCO₂-8 9 based flux products (dark blue lines). Positive values indicate a flux from the atmosphere to the 10 land and/or ocean.



3 Figure 9. Comparison of global carbon budget components released annually by GCP since 2006.

CO₂ emissions from (a) fossil fuels and industry (E_{FF}), and (b) land-use change (E_{LUC}), as well as 4

5 their partitioning among (c) the atmosphere (G_{ATM}), (d) the land (S_{LAND}), and (e) the ocean (S_{OCEAN}).

6 See legend for the corresponding years, and Table 3 for references. The budget year corresponds

to the year when the budget was first released. All values are in GtC yr⁻¹. Grey shading shows the 7

uncertainty bounds representing $\pm 1\sigma$ of the current global carbon budget. 8

1 Appendix A. Evaluation of the models used in the Global Carbon Budget.

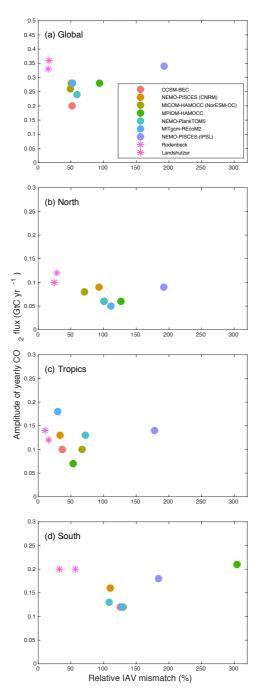


Figure S1. Evaluation of the GOBMs and flux products using the interannual mismatch metric
proposed by Rödenbeck et al. (2015) and the SOCAT v6 database, versus the amplitude of the
annual variability (taken as the annual standard deviation). Results are presented for the globe,
North (>30°N), Tropics (30°S-30°N), and South (<30°S) for the GOBMs (circles) and for the pCO₂based flux products (star symbols). The two pCO₂-based flux products use the SOCAT database
and therefore are not fully independent from the data (See section 2.4.1).



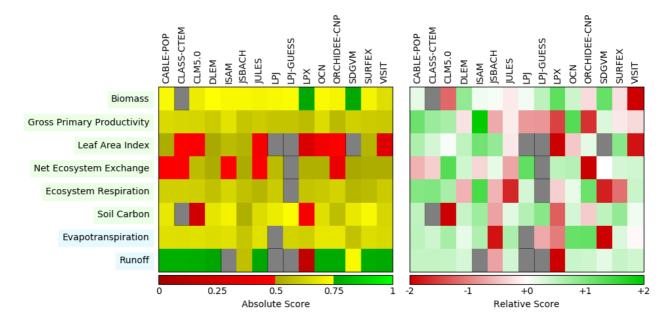
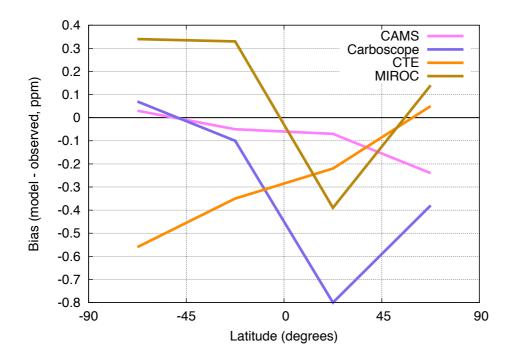


Figure S2. Evaluation of the DGVM using the International Land Model Benchmarking system 3 4 (ILAMB; Collier et al., Subm.). (left) absolute skill scores, (right) skill scores relative to other 5 models. The benchmarking is done with observations for vegetation biomass (Avitabile et al., 6 2016; Saatchi et al., 2011; and GlobalCarbon unpublished data), GPP (Jung et al., 2010; Lasslop et 7 al., 2010), leaf area index (De Kauwe et al., 2011; Myneni et al., 1997), net ecosystem exchange 8 (Jung et al., 2010; Lasslop et al., 2010), ecosystem respiration (Jung et al., 2010; Lasslop et al., 9 2010), soil carbon (Hugelius et al., 2013; Todd-Brown et al., 2013), evapotranspiration (De Kauwe 10 et al., 2011), and runoff (Dai and Trenberth, 2002). For each model-observation comparison a series of error metrics are calculated, scores are then calculated as an exponential function of 11 12 each error metric, finally for each variable the multiple scores from different metrics and 13 observational datasets are combined to give the overall variable scores shown in the left panel. The set of error metrics vary with dataset and can include metrics based on the period mean, bias, 14 root mean squared error, spatial distribution, interannual variability and seasonal cycle. The 15 relative skill score shown in the right panel is a Z-score, which indicates in units of standard 16 deviation the model scores relative to the multi-model mean score for a given variable. Grey 17 boxes represent missing model data. 18



1

2 Figure S3. Evaluation of the atmospheric inversion products. The mean of the absolute model 3 minus observed is shown for four latitude bands. The four models are compared to independent CO₂ measurements made onboard aircraft over many places of the world between 1 and 7 km 4 5 above sea level. All data between 2008 and 2016 archived in Cooperative Global Atmospheric Data Integration Project (CGADIP, 2017) have been used to compute the biases of the differences 6 7 in four 45-degree latitude bins. Land of ocean data are used without distinction. The number of data for each latitude band is: 16,000 (90°S-45°S), 53,000 (45°S-0), 64,000 (0-45°N), 122,000 8 9 (45°N-90°N), rounded off to nearest thousand.