Response to Referee #1

**RC**: The revised MS reads well and it resolved the question I raised especially about the "subsurface layer hypothesis". I have no more major concerns, but only a few small problems:

**AR**: Thank you very much for your second revision of the manuscript. We are very happy to see that you do not have more major concerns.

**RC**: 1. Lines 166-167, "The new percentages of the dynamic sets were: 80% training, 20% validation and 0% testing. The latter set is only necessary to compare different models and is not used during the training"

Do you mean the reported AT predication is based on 90% training, 10% validation and 10% testing? What do you mean by "compare different models"? I may miss your explanation in the MS.

**AR**: The dynamic testing set is used to test the 10 different neural networks trained for each number of neurons. However, we have changed the approach a bit in this new version and we have decided to remove the test done increasing the amount of data in the training set.

**RC**: 2. The captains of supplement tables are still below the tables.

**AR**: Thank you. Changed.

**RC**: 3. Line 241-242, "In this area, 6.5% of GLODAPv2 samples have residuals beyond  $\pm$ 3RMSE and 83.1% of these samples are from the upper 100m (Table S2)". The value here still mismatch the number in Table S2.

**AR**: Thank you. Changed.

**RC**: 4. Line 241-245, "A monthly analysis in the previously indicated ranges shows that the largest number of samples with residuals beyond  $\pm 3$ RMSE are from the summer months." This because you simply have more data in summer months than in winter seasons, but the percentage in summer may be the opposite.

**AR**: It could be a reason, but it is not like that. If you compare a spring month (e.g, June) with a summer month (e.g., September) that both have the same amount of samples (around 900), you can see how the first month has 2% of the samples with a computed  $A_T$  with an error beyond 3RMSE and the second one a 20%. Therefore, that is not the cause and probably is because of the increasement of river discharge with high  $A_T$  concentrations.

**RC**: 5. Line 277, Except for the zone with the lowest number of samples (Red Sea) and Okahotsk Sea

**AR**: Changed

**RC**: 6. Line 279, The AT computed in the zones defined in the Arctic have higher RMSEs in both approaches.

AR: Changed.

**RC**: 7. Can you merge Table 5 and 6 together?

AC: Merged.

# Response to referee #2

**RC**: Thank you for revising and trying to improve your manuscript. The authors better explain their network training procedure and what dataset they use for which statistics. They also make better comparisons of their method to other state of the art approaches (though there is still room to make them adequate, see below).

However, the manuscript did not improve sufficiently. There are still significant shortcomings, which require major revisions.

Based on the initial submission, their approach showed good potential for a fully seasonal, monthly climatology, but the authors fail to clear the concerns raised by all three reviewers in this revision. This encompasses both the seasonality of their NNGv2 network based on GLODAPv2 training data (I am afraid their 'reinforcement' of the subsurface layer hypothesis does not reinforce anything in its present form.), as well as the seasonality of the produced  $A_T$  climatology from WOA13. It encompasses as well the need for a more robust uncertainty assessment of the produced  $A_T$  climatology.

Find below my comments ordered from critical to major to minor.

**AR**: Thank you very much for revising the new version of the manuscript. We have tried to improve it in this new version maintaining a balance in the modifications because of the favorable evaluation of the other reviewer, since his concerns have been solved in the previous version. Note that we have added the new MS below the answers with the highlighted changes so you can easily locate them.

# 1 Critical points

# 1.1 Seasonality at depth

**RC**: There is a lack of transparency as to what depth the climatology of  $A_T$  is seasonal or not.

1. 406 states that "seasonality disappears almost completely below 500 m depth; not surprising due to the lack of seasonal resolution in the climatologies of nutrients in WOA13 below this level." but otherwise, the authors claim to provide a "global monthly climatology of  $A_T$  on 102 depth levels" (l. 223 and abstract l. 31), i.e., to full depth?

WOA13 input data have monthly resolution down to 500 m for the nutrients, and down to 1500 m for T, S, and  $O_2$ . WOA13 input data have quarterly-resolved files down to 500 m for the nutrients, and down to full 5500 m depth for T, S, and  $O_2$ . Finally, WOA13 has annual mean files down to full 5500 m depth for nutrients, T, S, and  $O_2$ .

The quarterly-resolved fields of T, S, and  $O_2$  show in some parts strong differences at high depths, e.g., at 3000 m (see attached figure 1, left column). The authors appear to interpret that as seasonality ("The seasonal amplitude of  $A_T$  is progressively reduced at depth" 1. 405f/Figure S7, and 1. 310-315)? For perspective, WOA13 quarterly variations at 3000 m depth have (e.g., in the Southern Ocean) a range up to 0.4 °C (on a total range of variability of ca. 40 °C), 0.05 psu (on a total range of ca. 2 psu), and beyond 40 µmol/kg (on a total range of ca. 400 µmol/kg). This certainly exceeds any expectation for a seasonal cycle, e.g., of oxygen, and demonstrates rather a data coverage and/or mapping issue. (Please consult / check the data coverage fields 'x dd'!)

This has three consequences:

1. The authors must decide until what depth they use which monthly-/ quarterly-/ annually-resolved WOA13 input fields, determining until what depth they can claim to provide a monthly-/ quarterly-/ annually-resolved  $A_T$  climatology.

This must be 500 m, if they decide that their seasonality is caused by the organic matter cycle, reflected through both oxygen and nutrients variations (l. 319/321) (summed 57 % relative importance). It may be 1500 m if they decide that monthly resolved oxygen (16 % relative importance), together with annual means of the nutrients (summed 41 % relative importance), may provide a sufficient driver for  $A_T$  seasonality to the NNGv2 network, but this already must be clearly justified. It would be quite a stretch for any reasonable seasonality below 1500 m, and I would suggest to revert to annual mean WOA13 fields,

rather than the quarterly ones, to built the  $A_T$  climatology. Please consult the 'x dd' data coverage fields, too, to assess if sufficient data went into the monthly / quarterly fields for a robust seasonality – or a robust assessment at all.

2. The seasonality and its limits must be made transparent through the author's work/manuscript. It should not be the task of the reviewer / user to check.

3. The CANYON-B/WOA13 comparison (l. 310-315) must be moved to the Climatology section 3.4 rather than the Neural network analysis 3.1 and discussed accordingly. A computation method "using relatively few input variables (position, time, temperature, salinity and oxygen)" (l. 305) is more prone to bad input data in one variable than a method that uses all the variables as inputs (l. 309). Particularly, (1) if the only biogeochemical predictor may be biased (oxygen; CANYON-B) rather than just one out of a total of three (LIARv2) or four (NNGv2), of which the three nutrients nitrate, silicate, and phosphate just have a WOA13 mean annual field below 500 m (!); (2) if exactly this predictor has a "seasonal" O<sub>2</sub> amplitude at 3000 m of up to 40  $\mu$ mol/kg (versus the time / decimal year with a pretty modest variability of ca. 0.5 years on a total range of 40 years!); and (3) considering the strong correspondence at 3000 m between "seasonal" oxygen and "seasonal" A<sub>T</sub> amplitude (attached figure 1 right column).

To claim / blame the time for these variations in  $A_T$  is pretty bold and wrong.<sup>1</sup>

Please correct the text, if you decide to keep it, and please make an effort for a balanced comparison of methods!

Please also correct the conclusion (l. 471/472), which neglects the impact of the quality of the WOA13 seasonality.

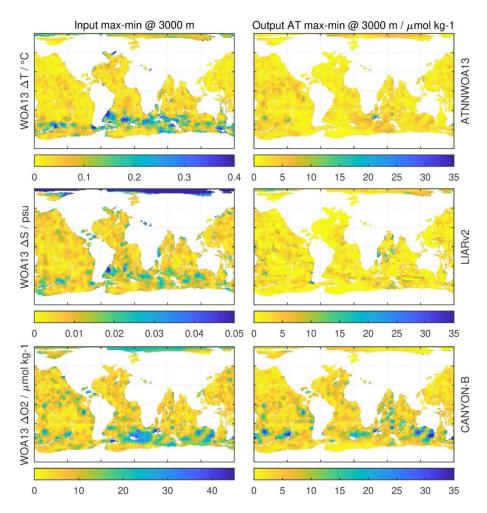


Figure 1: Left column: WOA13 "seasonal" amplitude at 3000 m of temperature (top), salinity (middle), and oxygen fields (bottom) from WOA13 quarterly- resolved files, which are (probably?) used as input to the  $A_T$  climatology and for CANYON-B 'comparison' (l. 310-315). Right column:  $A_T$  "seasonal" amplitude at 3000 m for the author's monthly climatology (probably?) based on WOA13 quarterly fields of T, S, O<sub>2</sub> and annual mean fields of nutrients as input (top), LIARv2 using WOA13 quarterly fields of T, S, O<sub>2</sub> and annual mean fields of nutrients as input (middle), and CANYON-B using just WOA13 quarterly fields of T, S, and O<sub>2</sub> (bottom). Note the correspondance of elevated patches of "seasonal" amplitude between WOA13 quarterly O<sub>2</sub> and CANYON-B A<sub>T</sub> in the Southern Ocean (a.k.a. 'garbage in, garbage out').

**AR**: We have taken in consideration your suggestion of reverting to an annual climatology below 1500m. On the other hand, we have created new monthly climatologies of nutrients up to 1500m using CAYON-B over the monthly fields of temperature, salinity and oxygen (with a modification, see Appendix A in the manuscript) from WOA13. In addition to the coherence reason to have the same time resolution in all the predictor variables, we have seen that the variability of these new climatologies is in general more accurate than that of WOA13 ones when the unique locations to create a monthly climatology from measured data (that is, time-series) are assessed (Appendix A). The neural network approach of CANYON-B seems to be a more accurate method to create climatologies of nutrients than that used by WOA13 (Appendix A). Therefore, we have specified the limits of the seasonality in the new manuscript as well as the inclusion of the new climatologies of oxygen and nutrients in the supplementary material that were used to create the new A<sub>T</sub> climatology.

# 1.2 Uncertainty of climatology

**RC**: Why is the monthly  $A_T$  climatology not compared to the measured GLODAPv2  $A_T$  data? This would give a much more robust assessment than just two time series sites (HOT and BATS), at which the WOA13 input data arguably should be on the better side of the spectrum of possibilities, i.e., underestimating the climatology's uncertainty.

I don't see why such a comparison should be limited to locations with repeated sampling (l. 415) and not extended to times/months with repeated sampling (read: basin-crossing cruises as in GLODAPv2).

At the end of the day, the  $A_T$  climatology should represent both temporal and spatial variability within its resolution – What better dataset to assess temporal and spatial variability than the largest available one, GLODAPv2? This should also include spatial / regional differences in the uncertainty.

At least some assessment of  $A_T$  climatology uncertainty must be given before the dataset is acceptable for publication.

**AR**: The climatological data have to be compared to climatological data. The unique locations where a climatological value of  $A_T$  can be obtained from measured data are time-series stations. Obviously, we did not have made the comparison with the two largest time-series to extract an uncertainty for all the climatology. If we had compared the climatological  $A_T$  data to GLODAPv2 data, the differences would not be uncertainties otherwise would be more like an anomaly because that comparison is between a mean value over many years and a punctual value of  $A_T$  (e.g., differences between black line and the limits of the shadow area in Fig. 11 and Fig. S8). Even for the repeated cruises in GLODAPv2 were the OVIDE section is the most repeated (7 times in the same month), there are not enough data available to generate a climatology with measured data and consequently to obtain an uncertainty in different areas of the ocean as in the time-series. Maybe that is the reason why the previous two monthly climatologies (Lee et al., 2006 and Takahashi et al., 2014) did not calculate an uncertainty and only gave an error analysis by zones which we have included here. Furthermore, as it is stated in the manuscript, WOA13 does not give an uncertainty of its objectively analyzed climatologies to derived one from the  $A_T$  climatology.

# 1.3 Subsurface layer hypothesis

**RC**: Quoting from the text, the "winter relationship between inputs and  $A_T$  needed to produce an allseason surface climatology are mostly preserved in [the] sub-surface layer." (l. 214).

However, the authors try to reinforce the hypothesis (1) using the nowinter network on all depths rather than just the surface, and (2) the NNGv2 network is never evaluated on the same data as the nowinter network. In fact, the numbers in table 7 might be nice to show, but don't give any indication about the validity of the subsurface layer hypothesis. The lower winter RMSE may just be related to less variability (at all depths?) during this one season compared to the three nowinter seasons. Many other rea- sons are plausible, too.

What the authors should do: (1) As the authors suggest and do in l. 370, control that the nowinter network is comparable to NNGv2 on the domain it is trained on by providing statistics for NNGv2 and nowinter network on the GLODAPv2 nowinter dataset (full depth and surface; can be moved to the supplementary if desired); (2) Provide statistics for NNGv2 and nowinter net- work on the GLODAPv2 winter dataset using only surface data (above the subsurface layer defined in lines 358-362). Only if they are comparable, or at least not exceedingly higher for nowinter over NNGv2, or not exceedingly higher than surface RMSEs in other seasons (e.g., GLODAPv2 nowinter dataset sur- face only), this would reinforce the subsurface layer hypothesis. (That is, the exclusion of the (scarce) winter data did not degrade the winter surface predic- tions ( $\leftarrow$  nowinter network and NNGv2 network on GLODAPv2 winter surface data) thanks to the still present signature in the spring subsurface layer ( $\leftarrow$  GLODAPv2 nowinter training data and full GLODAPv2 training data).)

Other than that, the subsurface layer hypothesis remains a hypothesis, which I'd doubt the NNGv2 to recognize and would suggest to remove.

Figure 8: Same question as before: Why are calculated NNGv2 A<sub>T</sub> values not shown? They should.

**AR**: We have added the statistics in different depth layers and showed them by network (NNGv2 and NNGv2\_nowinter) and by dataset (winter and no\_winter). It is clearly showed how the network trained without winter data (NNGv2\_nowinter) is able to compute  $A_T$  with non-significant differences compared to NNGv2 in any depth layer and dataset. The highest difference is in the 0-50m layer in the winter dataset where NNGv2 computes  $A_T$  with one less unit RMSE than NNGv2\_nowinter. Therefore, the subsurface layer hypothesis is reinforced as these good results show.

# 2 Major points

**RC**: Table 2/3: Why are LIARv2 and CANYON-B not added here? They should be added! **AR**: Added.

**RC**: 1.295. "The newest methods in the  $A_T$  computation (...) model the GLODAPv2  $A_T$  with higher errors than the NNGv2 (Table 4)." This is because both LIARv2 and CANYON-B used only the GLODAPv2  $A_T$  subset for training where the 2nd QC was done, whereas our GLODAPv2  $A_T$  data for training included samples, too, where the 2nd QC was not done. "An analysis in a GLODAPv2 subset excluding the samples where the 2nd QC was not done for  $A_T$  shows a reduction of the error [...]". NNGv2 results are not comparing to independent data in the GLODAPv2 no secondary QC subset because of correlations within cruises and the random splitting of cruises between testing/training, whereas LIARv2 / CANYON-B truly haven't seen any of these data.

**AR**: We have changed the neural network. Taking advantage of the publication of the new version of GLODAPv2 in ESSDD (Olsen et al., 2019), we have trained a new network using only secondary QC data, except for the Mediterranean Sea, to have a more coherent comparison between the three studies as you seem to suggest.

**RC**: Table 5 and 6 should be merged, such as table 4. **AR**: Done.

**RC**: I still find it hard to justify the NNGv2 3RMSE network. The only clue to this one is that you remove an area (Arctic Ocean) with higher-than- average variability and, naturally, get better statistics. If you remove the same area from the NNGv2 assessment, you get the same, better statistics, too (table 1)!

There is still a lack of justification for the NNGv2 3RMSE, and no, I don't think that a few decimal places better RMSEs in a few out of the regions in table 3 justify the 3RMSE removal – you can get the same better performance here or there by having a closer look at the 10 NNGv2 networks you trained! Also, the conclusions from the authors response are not supporting their argument and are not substantial. ("[...] In this case, it is clear that omitting certain data causes a large difference between the networks." I don't see a large difference. If you insist, please use an appropriate test to verify significance; the "improvements in almost all of the zones suggest that they are because of this data deletion instead than the different local minimum reached in the error function." That's only what the authors want to see, I'd still see a different local minimum as more plausible. And no further evidence is given that this may not be the case.)

Please improve the NNGv2 vs. NNGv2 3RMSE network aspect or remove either one of the two.

**AR**: Following your suggestion we have considered to remove the NNGv2\_3RMSE.

**RC**: What about the seasonal amplitude of  $A_T$  at the time series sites of measured  $A_T$  vs. NNGv2-measured inputs-based vs. NNGv2-WOA13-based?

**AR**: That comparison was carried out to show how the network can compute an accurate climatological value if the inputs are good, and also to show the differences between the input climatologies and the climatological values of the input variables obtained from measured data. However, we have deleted that line from the graph although we have decided to keep the discussion.

**RC**: To be complete, the subpolar North Atlantic should still be added to the current manuscript as test region for the current methods, even if it was the object of a previous work (Vazquez-Rodríguez et al., 2012).

**AR**: We did not add this area since we believe it would be repeating the same thing that is already done by Vazquez-Rodríguez et al. (2012). Furthermore, the analysis performed with the NNGv2\_nowinter tests multiple regions around the world showing good results.

# 3 Minor points

**RC**: 1. 316: "The NNGv2 seems to associate the  $A_T$  variability to the predictor variables in coherence with the processes that contribute to it." So, does it? Please give evidence or remove/rephrase. **AR**: We have rephrase emphasizing the qualitative character of this discussion.

**RC**: Table S1: What does 'HS' mean? **AR**: latitudes lower than 0°. We have changed it to <0°.

**RC**: Table S2/S3: Column headings 'relative ... lat> $60^{\circ}$  N' should probably correspond? **AR**: Percentages between the number of samples in the specified range (column1) and the total number of samples with residuals beyond 3RMSE (sum of column 2 in Table S2. In table S3 that total amount of data is not shown).

**RC:** 1. 30: missing subscript  $A_T$  **AR**: Thank you, changed.

# A global monthly climatology of total alkalinity: a neural network approach

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Abstract. Global climatologies of the seawater  $CO_2$  chemistry variables are necessary to assess the marine carbon cycle in depth. The climatologies should adequately capture seasonal variability to properly address ocean acidification and similar issues related to the carbon cycle. Total alkalinity (A<sub>T</sub>) is one variable of the seawater  $CO_2$  chemistry system involved in ocean acidification and frequently measured. We used the Global Ocean Data Analysis Project version 2.2019 (GLODAPv2) to extract relationships among the drivers of the A<sub>T</sub> variability and A<sub>T</sub> concentration using a neural network (NNGv2) to generate a monthly climatology. 99% of the The GLODAPv2 quality-controlled dataset used was modelled by the NNGv2 with a root-

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mean-squared error (RMSE) of  $5.\pm3$  µmol kg<sup>-1</sup>. Validation tests with independent datasets revealed the good generalization of the network. Data from five ocean time-series stations showed an acceptable RMSE range of  $3\pm6.2$  µmol kg<sup>-1</sup>. Successful modeling of the monthly A<sub>T</sub> variability in the time-series suggests that the NNGv2 is a good candidate to generate a monthly

climatology. The monthly climatological fields of A<sub>T</sub> were obtained passing through the NNGv2 the World Ocean Atlas 2013 (WOA13) monthly climatologies of temperature, salinity and oxygen -and the computed climatologies of nutrients from the previous ones with a neural networkthrough the NNGv2. The spatiotemporal resolution is set by WOA13: 1°x1° in the horizontal, 102 depth levels (0-5500m) in the vertical, and monthly (0-1500m) to annual (1550-5500m) temporal resolution. The product is distributed through the data repository of the Spanish National Research Council (CSIC; http://hdl.handle.net/10261/184460doi: http://dx.doi.org/10.20350/digitalCSIC/8564).

#### **1** Introduction

Because of its interaction with the atmospheric carbon dioxide, the marine carbon cycle has fundamental significance for the Earth's climate (Tanhua et al., 2013). The oceanic capacity to dissolve and store atmospheric  $CO_2$ , and the subsequent chemical speciation, have resulted in approximately 30% less anthropogenic  $CO_2$  in the atmosphere (Le Quéré et al., 2017) than it would

- 40 otherwise have. One unfortunate byproduct of this process is ocean acidification (Doney et al., 2009). As the ocean absorbs anthropogenic CO<sub>2</sub>, the seawater pH decreases being the main change in the ocean chemistry which defines ocean acidification. Combined with other climate change effects (e.g., temperature increase and deoxygenation), this process could have severe consequences for marine ecosystems (Orr et al., 2005; Fabry et al., 2008; Hoegh-Guldberg and Bruno, 2010; Kroeker et al., 2013) and, consequently, for life on our planet.
- 45 Detailed spatiotemporal knowledge about the marine carbon cycle is necessary to understand and evaluate the consequences of climate change. There are 4 variables of the seawater CO<sub>2</sub> chemistry more frequently measured in carbon chemistry campaigns: total alkalinity (A<sub>T</sub>), total dissolved inorganic carbon (TCO<sub>2</sub>, also known as DIC), partial pressure of CO<sub>2</sub> (pCO<sub>2</sub>) and pH. A<sub>T</sub> is a key variable in the framework of ocean acidification because of what it is associated: the oceanic capacity to buffer pH changes. Dickson (1981) defined A<sub>T</sub> as:

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$$A_T = [HCO_3^-] + 2[CO_3^{2-}] + [B(OH)_4^-] + [OH^-] + [HPO_4^{2-}] + 2[PO_4^{3-}] + [SiO(OH)_3^-] + [HS^-] + 2[S^{2-}] + [NH_3]$$

$$-[H^+] - [HSO_4^-] - [HF] - [H_3PO_4]$$
(1)

The global  $A_T$  distribution is a result of physical and biogeochemical processes that change the concentration of species in Eq. (1) (Wolf-Gladrow et al., 2007). Processes that change salinity are the most influential. The strong linear correlation between salinity and  $A_T$  is well documented (e.g. Millero et al., 1998; Friis et al., 2013; Takahashi et al., 2014). In the surface layer precipitation and evaporation are the primary processes that control the  $A_T$  distribution. Rivers and submarine groundwater

55 precipitation and evaporation are the primary processes that control the A<sub>T</sub> distribution. Rivers and submarine groundwater discharge can affect marine A<sub>T</sub> locally, with the degree controlled by runoff and the riverine A<sub>T</sub> (Hoppema, 1990; Anderson,

2004; Schneider et al., 2007; Cooper et al., 2008). The formation and dissolution of carbonate minerals also contribute to  $A_T$  variability (Fry et al., 2015). Upwelling areas that overlie zones of relatively shallow subsurface carbonate dissolution can also have elevated surface  $A_T$  (Millero et al., 1998; Fine et al., 2017). Organic matter cycling can also contribute to  $A_T$  changes.

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This mechanism can be reflected through the consumption and regeneration of nutrients and oxygen (Brewer and Goldman, 1976; Wolf-Gladrow et al., 2007). Finally, hydrothermal vents could modify the concentration of  $A_T$  locally (Chen, 2002).

In addition to the spatial variability, most of the drivers mentioned above generate seasonal  $A_T$  variability. Phytoplankton blooms (i.e., primary production) and the seasonality in upwelling and river flows are some of the most remarkable processes associated with the time variability of  $A_T$ . Even though  $A_T$  is the variable of the seawater CO<sub>2</sub> chemistry system with the least seasonal variability (Lee et al. (2006) estimated a range from near 0 up to 80 µmol kg<sup>-1</sup>), it is important to account for such changes because of the strong connection of  $A_T$  with oceanic anthropogenic carbon storage (Renforth and Henderson, 2017) and to buffer seawater pH changes. A monthly  $A_T$  climatology that captures most of the spatiotemporal variability can be used

as initial and/or boundary conditions in biogeochemical models, in evaluating the CaCO<sub>3</sub> pump (e.g., Carter et al., 2014) or

computing the ocean inventory of anthropogenic  $CO_2$  (e.g., Steinfieldt et al., 2009).

High-quality data is a crucial first requirement to address the problem. Ocean time-series data represent excellent records to study the seasonality of the ocean carbon cycle as well as its inter-annual trends (e.g., Bates et al., 2014). Unfortunately, there are only a few time-series that include sufficiently precise measurements of the seawater CO<sub>2</sub> chemistry at seasonal resolution. Alternately, various global data products have been released for public usage in recent years. The main ones for the surface ocean are the Surface Ocean CO<sub>2</sub> Atlas (SOCAT; Bakker et al., 2016) and the Lamont-Doherty Earth Observatory database
(LDEO; Takahashi et al., 2016). These two are complementary, offer annual updates and include tens of millions of pCO<sub>2</sub> measurements in the global ocean. For the interior ocean, a comprehensive and global database and data product was recently made public: Global Ocean Data Analysis Project version 2 2019 (GLODAPv2)-(Key et al., 2015; Olsen et al., 2016) (Olsen et al., 2019).<sup>17</sup> This quality-controlled collection contains thousands of measured seawater data, including CO<sub>2</sub> chemistry variables, over the full water column from more than 700 globally distributed cruises over the past four decades and updates over the full water column from more tal., 2016).<sup>-</sup>

The logical next step is to generate a globally consistent climatology for the different <u>seawater CO<sub>2</sub> chemistry</u> variables that captures seasonal variability. Different approaches have been used to fill spatial and temporal gaps in  $A_T$  observations to generate a global <u>seasonalmonthly</u> climatology (Lee et al., 2006; Takahashi et al., 2014). These studies only cover the surface ocean. However, a robust climatology of the entire water column<u>extended to deeper depths</u> is necessary to assess more than surface ocean.

In this study, we present a global monthly climatology for  $A_T$  in a 1°x1° grid in the upper <u>102-57</u> standard depth levels (between 0 and <u>15500m</u>) of the World Ocean Atlas 2013 (WOA13) and an annual one in the following 45 depth levels (1550-5500m)

of the World Ocean Atlas 2013 (WOA13) designed using a neural network approach. Other studies have demonstrated the capacity of these techniques to reconstruct global  $pCO_2$  variability at monthly resolution over the last few decades (e.g.,

90 Landschützer et al., 2013, 2014). Our A<sub>T</sub> climatology uses available high-quality measurements and the neural network ability to capture natural variability. We were able to reduce the errors obtained by the previous efforts to build a seasonal-monthly A<sub>T</sub> climatology (Lee et al., 2006; Takahashi et al., 2014) and to extend the climatology through the water column.

## 2 Methodology

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#### 2.1 Neural network design

95 A feed-forward neural network was configured to compute  $A_T$  globally at monthly resolution. It was selected based on the ability to learn the relationships between  $A_T$  and the variables related to its spatiotemporal variability as shown in Velo et al. (2013).

Feed-forward neural networks are composed of layers: the input layer, a variable number of hidden layers and the output layer (Fig. 1). The input layer is a matrix representing the entry to the network of the data from which the outputs will be obtained. The hidden and output layers are composed of neurons. The number of these elements in the hidden layers is adjustable and in the output layer is dependent on the number of network outputs. The neurons are formed by a series of weights, a bias, a summetion and a transfer function (Russell and Neuring 2010). They are the connections between the layers. A neuron receives

- summation, and a transfer function (Russell and Norvig, 2010). They are the connections between the layers. A neuron receives all outputs from the previous layer and multiplies them by a matrix of weights. These results are summed and a bias is added. Finally, the transfer function is applied over the sum and an output is obtained from each neuron.
- 105 The ability of the network to produce a reasonable output stems from a training process. Given a set of inputs and their targets, the network is trained to learn the relationships between both sets. The training process is possible due to a backpropagation training algorithm (Rumelhart et al., 1986). Generally, the network is initialized with random values of weights and biases and an output is obtained. This output is compared with the target through a cost function, that typically is the mean squared error. Then, the algorithm "backpropagates" this error through the network and iteratively adjusts the weights and biases to minimize
- 110 the cost function. The minimization is commonly based on the Levenberg-Marquardt algorithm (Levenberg, 1944; Marquardt, 1963). Once the network is trained, output values can be obtained from a set of inputs with unknown targets. The more accurate and generalized the training data, the more accurate the output values.

The feed-forward neural network used in this study has a two-layer architecture. The first layer has a sigmoid transfer function and the second layer a linear transfer function (Fig. 1). This choice of functions allows both the linear and non-linear

115 relationships between  $A_T$  and its predictors to be represented. This network configuration can approximate most functions arbitrarily well (Hagan et al., 2014). In the Atlantic Ocean, this arrangement has been shown to accurately estimate  $A_T$  from diverse predictors (Velo et al., 2013). The GLODAPv2 discrete data were used to train the network. Input variables (left hand in Fig. 1) were selected based on their potential influence on  $A_T$  following Velo et al. (2013). They include the sampling position (coordinates and depth),

120 temperature, salinity, nutrients (phosphate, nitrate and silicate) and dissolved oxygen. Position was included to help the network learn characteristic patterns associated with this input when the other variables cannot fully explain the A<sub>T</sub> variability. Takahashi et al. (2014) and Lee et al. (2006) showed how the relations between A<sub>T</sub> and the predictor variables used in these studies are different depending on the ocean area. The periodicity of the input longitude was represented by the equations used by Zeng et al. (2014):

$$clongitude = \cos\left(\frac{\pi}{180} \cdot longitude\right)$$
(2)

$$slongitude = \sin\left(\frac{\pi}{180} \cdot longitude\right) \tag{3}$$

Our approach only uses measured inputs from GLODAPv2, that is, those input data derived from the same Rosette sample bottle as the A<sub>T</sub> value. Other studies with a similar approach take the inputs from reanalysis products or satellite data (e.g.,
130 Landschützer et al. 2013), that are inherently less accurate than direct measurements. The relations created by the network in the training procedure are likely to be more realistic using in situ measured values for the input variables.

The samples where all input variables and At were measured were selected from GLODAPv2 (https://www.nodc.noaa.gov/ocads/oceans/GLODAPv2 2019/https://www.nodc.noaa.gov/ocads/oceans/GLODAPv2/). From these, we removed the one record due to its spurious oxygen value (O2=1026.9 µmol kg<sup>-1</sup> cruise=102; station=4; bottle=5).data 135 were QC was not done in all the variables (for a neural network trained with all data see Broullón et al. (2018)). However, we keep all data from the Mediterranean Sea to represent it in the climatology. The final dataset contained 246,221251,687 samples. "GLODAPv2" hereinafter refers to the subset used in this study unless otherwise indicated.

Two different training techniques were tested: the Levenberg-Marquardt method (lm) and the Bayesian Regularization (br) (both detailed in Hagan et al., 2014). In a similar study, Velo et al. (2013) demonstrated that these techniques give the best
network performance among those they tested. Except for the number of neurons, the two algorithms were implemented with the default options of the MATLAB functions *trainlm* and *trainbr* (detailed in Beale et al., 2017). These two functions prevent overfitting in different ways. The *trainlm* function usually needs to be fed with the data divided in three sets: a training set to obtain the relationships between variables, a validation set to prevent overfitting and a test set to compare different networks. Here, the training was stopped when the error in the validation set increased during 6 consecutive iterations of the network weights and biases are those reached before the first of these iterations. The *trainbr* function adds a regularization parameter to the cost function to make the fit smoother in order to avoid overfitting. The validation set is not present in this technique.

The end of the training is based on network convergence through parameter stabilization by an automatic process known as automated Bayesian Regularization (Hagan et al., 2014; Beale et al., 2017). See Beale et al. (2017) and references therein for

150 a detailed description of the two functions tested.

The number of network neurons is problem dependent with no fixed criterion for establishment. It is related to the complexity of the input-output mapping, the amount of training data available and their noise (Gardner and Dorling, 1998). Using too few neurons will not enable to learn complex relations. Using too many neurons could overfit the data, that is, the network might model the uncertainty of the data used in the training. We determined the optimal number of neurons through a trade-off

- 155 between the root-mean-squared error (RMSE) of the computed values and the generalization of the network. This last concept refers to network performance when a set of unused inputs is passed through the network to obtain an output. If the RMSE in this set is of the same order of magnitude as the RMSE in the training set, there is no substantial overfitting and the network generalizes well.
- The training procedure was carried out in MATLAB. We tested 16, 32, 64, 128 and 2<u>5664</u> neurons in the hidden layer based on the results of Velo et al. (2013). For each number of neurons, we trained 10 networks always using the same 90% of GLODAPv2 for training (Fig. 2, <u>FirstStatic</u> level). The remaining 10% was used as a<u>n independent static</u> test <u>set</u> (Fig. 2, <u>Static</u> <u>First</u> level). Both subsets contained samples randomly distributed in the ocean to evaluate the maximum possible relationships between the input variables and A<sub>T</sub> through all oceanographic regimes, that is, to capture most of the variability in all the variables and not restricting the sets to specific areas. Each of the 10 networks starts the training procedure with random weight and bias values and a<u>random</u> division of the training<u>static</u> dataset into twohree portions: <u>8570</u>% for training<u>and</u>, 15%<u>for</u> testing and 15%\_for validation (Fig. 2, <u>Dynamic Second</u> level). The different starting pointsThese differences of the training process in the high dimensional weight-error space make-the minimization of the cost function different for each network-due

to the complexity of the weight error space and, consequently, their different starting points in that space. As each network is different, keeping static-all the sets allows one to determine which network best generalizes in the same test set. The selected network is the one that produces the lowest RMSE in the training data (Fig. 2, validation + trainingFirst level-dynamic) and in the test data, (static + dynamic), considering a non-significant difference between both RMSEs to prevent overfitting. The

network derived from this process will be referred as NNGv2.

Once we found an adequate network configuration, we increased the amount of data in the training dynamic set to capture more relations between the inputs and  $A_{T}$ . The new percentages of the dynamic sets were: 80% training, 20% validation and

175 0% testing. The latter set is only necessary to compare different models and is not used during the training. However, the static test set was held to evaluate the generalization of each of the 10 networks to select the best one.

As a last step we eliminated the data points with a difference between measured and computed  $A_{T}$  with the selected network (residuals) beyond  $\pm$ 3RMSE and then retrained the network as above. This procedure was used to identify regions where the

network was unable to obtain accurate values and to improve the network mapping in the other areas omitting in this way data

180 that the network could be trying to model without having the appropriate input variables or because they could be data with high measurement errors. Although a well-trained neural network avoids modeling the error, high errors could slightly modify the derived function in a negative manner. The network derived from this process will be referred as NN±3RMSE.

#### 2.2 Comparison of methods

The relations proposed by Lee et al. (2006) and Takahashi et al. (2014) to generate a monthly surface climatology of A<sub>T</sub> from different predictors were applied over GLODAPv2. Lee et al. (2006) grouped A<sub>T</sub> data (< 20-30 m depth) into 5 oceanographic regimes and obtained a best fit to a quadratic function of sea surface temperature (SST) and sea surface salinity (SSS) in each basin. Takahashi et al. (2014) divided the global ocean into 33 hydrographic provinces and expressed the potential alkalinity (PALK = A<sub>T</sub> + NO<sub>3</sub><sup>-</sup>, < 50 m depth) as a linear regression of salinity in 27 of them. PALK was used instead of A<sub>T</sub> for the purpose of eliminating seasonal biological effects, and the inter-province variation reflected differences in CaCO<sub>3</sub> production in the mixed layer as well as the contributions of lateral and vertical mixing of waters. The analysis was carried out in the areas defined in the two studies.

The recent methods to compute  $A_T$  proposed by Carter et al. (2018) and Bittig et al. (2018) (LIARv2 and CANYON-B respectively) were also compared to the one proposed here. LIARv2 is based on multilinear regressions (MLRs) including the same predictors used in the present study, excluding phosphate (sample position, salinity (S), potential temperature ( $\theta$ ), nitrate

(N), apparent oxygen utilization (AOU) and silicate (Si)). This method is composed of 16 equations with a different combination of the input variables, always maintaining the salinity input in each one. The computations with LIARv2 were obtained by the equation with the lowest uncertainty estimate in each sample that this method determines (Carter et al., 2018). CANYON-B is based on a Bayesian neural network derived from GLODAPv2 data including position, time, salinity, temperature and dissolved oxygen as predictors. The two methods were applied on the GLODAPv2 dataset used here and the on a subset excluding the samples where the quality control (QC) of A<sub>T</sub>-was not done (QC procedures detailed in Olsen et al.)

(2016) and references therein). and analyzed in the areas defined by Lee et al. (2006) and Takahashi et al. (2014).

#### 2.3 Validation

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- To illuminate the complexity of neural networks, several methods to determine the contribution of each predictor variable in the output were proposed in different studies (see Gevrey et al. (2003) and Olden et al. (2004)). We used the Connection Weight Approach (Olden and Jackson, 2002) to evaluate if the network properly associates the A<sub>T</sub> variability with the predictor variables. This method was proposed to be the most accurate (Olden et al., 2004). It uses the weights obtained in the training
- stage to extract the influence of each predictor variable in fitting the  $A_T$  values. The expression followed was:

$$C_i = \sum_{k=1}^H w_{ik} \cdot w_k \tag{4}$$

where  $C_i$  is the relative importance of the predictor variable i, H is the number of neurons in the hidden layer,  $w_{ik}$  is the weight

of the connection between the variable i and the neuron k of the hidden layer and  $w_k$  is the weight of the connection between the neuron k of the hidden layer and the final output, that is, the computed A<sub>T</sub>. Finally, the absolute value of  $C_i$  was expressed as a percentage of the sum of all  $C_i$ .

In addition to the test in the GLODAPv2 independent set, the network potential was tested on five ocean time-series in different oceanographic regimes that were not included in GLODAPv2: Hawaii Ocean Time-Series (HOT), Bermuda Atlantic Time-Series Study (BATS), European Station for Time-Series in the Ocean at the Canary Islands (ESTOC), Kyodo North Pacific

215Series Study (BATS), European Station for Time-Series in the Ocean at the Canary Islands (ESTOC), Kyodo North PacificOcean Time-Series (KNOT) and K2.Data of all time-series used in this study were obtained from

https://www.nodc.noaa.gov/ocads/oceans/time series moorings.html.

GLODAPv2 contains quality-controlled measurements in all ocean basins from the 1970s until 20173 (Olsen et al., 20196). However, winter data are scarce to absent in some high latitude regions because adverse weather conditions prevents field

activities in that season (Fig. 3Fig. S1). In surface ocean, this temporal bias can be avoided with the help of the subsurface data from seasons with sufficient samples. Vázquez-Rodríguez et al. (2012) demonstrated how the subsurface ocean layer in the Atlantic Ocean can retain the footprint of the water mass formation from the preceding winter in the following months and, therefore, of the surface conditions. The winter relationship between inputs and A<sub>T</sub> needed to produce an all-season surface climatology are mostly preserved in this subsurface layer. The validity of this hypothesis was tested in other regions (Fig. 3Fig. S1) following Vázquez-Rodríguez et al. (2012). These areas were chosen based on the non-availability of A<sub>T</sub> data in two or more consecutive months in the same oceanographic regime as the colored area in Fig. 3 Fig. S1.

To reinforce the previous test and to assess the ability of the neural network in overcoming the lack of winter data in other depths, a neural network (NNGv2 nowinter) was trained excluding all winter data in GLODAPv2 (GLODAPv2\_nowinter) and tested in the excluded and independent winter dataset (GLODAPv2\_winter). The procedure to create and to train the network was the same as described previously.

# 2.4 Climatology

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Finally, we generated a 1°x1° global (monthly: 0-1500m; annual: 1550-5500m) monthly-climatology of A<sub>T</sub> on 102 depth levels from the objectively analyzed climatological fields of temperature, salinity and oxygen (see Appendix A for oxygen climatology) from WOA13 (Locarini et al., 2013; Zweng et al., 2013; Garcia et al., 2014a; Garcia et al., 2014b) and the nutrients resulted from passing the previous fields through CANYON-B (Appendix A). From this database, the same input variables as in the training stage were selected to estimate  $A_T$  from the relationships learned by the network. This choice of nutrients was made to extend the monthly resolution up to 1500m, since WOA13 only offers it up to 500m (García et al., 2014b). This final product was compared with the monthly sea surface climatologies of  $A_T$  of Lee et al. (2006) and Takahashi et al. (2014). Furthermore, the annual mean was compared with the annual mapped climatology by Lauvset et al. (2016) since it also comes from GLODAPv2. The availability in Lauvset et al. (2016) of the climatologies of the variables used as inputs in the network were used to test how the network represents their climatology of  $A_T$  and to evaluate the sources of the possible

#### **3 Results and discussion**

differences.

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#### 3.1 Neural network analysis

- The lowest RMSE was reached in the training and in the test sets when 128 neurons were used (Fig. S1Fig. S2). Similar The same RMSE values for both sets (training: 8 µmol kg<sup>-1</sup> vs test: 8.55.3 µmol kg<sup>-1</sup>; Fig. S1Fig. 3 and Fig. S2) showed that no overfitting occurred, and that the network generalizes well. The two training techniques did not show significant differences (Table 1). The Levenberg-Marquardt algorithm was selected for its higher computing speed. We also found no improvement by increasing the number of data points in the dynamic training set. The main reason is perhaps the random division of the datasets. All possible relations the network can learn could be represented using only 70% of the static training set, that is,
- 63% of the GLODAPv2. This result suggests the necessity to include other input variables rather than more data to improve the network mapping.

Samples with residuals (differences between measured and computed A<sub>T</sub>) beyond ±3RMSE are 1% of the GLODAPv2 dataset. The spatial distribution of these samples (Fig. S3) shows that they are confined to certain areas, mainly in the ocean surface
(Fig. 4). Most are in the Northern Hemisphere (Fig. S3 and Fig. 4). Specifically, 6440% are from latitudes north of 60°N (Table S1). In this area, 6.55% of GLODAPv2 samples have residuals beyond ±3RMSE and 83.175% of these samples are from the upper 100m (Table S2). In these depth and latitude ranges, the samples with high residuals make up 134% of the GLODAPv2 samples have residuals beyond ±3RMSE are from the previously indicated ranges shows that the largest number of samples with residuals beyond ±3RMSE are from the summer months. About 125-2019% of all the GLODAPv2 samples from this season in this area have residuals higher than ±3RMSE (Table S4).

The previous results show that the Arctic Ocean is the region with the largest RMSE, although the network computes well most of the measured  $A_T$  in this area. However, the low availability of winter data, the ice-sea dynamics and the transport of  $A_T$  by the rivers (Fig. S4) could alter the presence of the surface winter conditions in the summer subsurface layer shown by Vázquez-Rodríguez et al. (2012) in other areas and generate a temporal bias in the climatology. The high discharge of high  $A_T$ 

waters by the rivers in the summer (Cooper et al., 2008; Shiklomanov et al., 2018; Fig. S5) generates the greatest errors and shows how the network fails to model riverine A<sub>T</sub>.

In further detail, many of the samples with residuals beyond  $\pm 3$ RMSE are located in the Beaufort Sea (66°N - 80°N, 140°W-180°W). Here, Takahashi et al. (2014) also found the largesta large RMSE of (60.5 µmol kg<sup>-1</sup> ( $\pm 40.757.6$  µmol kg<sup>-1</sup> applying their regressions on GLODAPv2) of their SSS-PALK relations in the upper 50m of the water column. This area is specifically

- 270 complex for theto model surface A<sub>T</sub> because of significant river runoff having high and possibly variable A<sub>T</sub> concentrations (Fig. S4 and S5; Anderson et al. 2004; Cooper et al. 2008). Labrador Sea also presents high errors because of the entering of river runoff from Arctic Ocean transported through the Canadian Arctic Archipelago (Anderson et al., 2004). Therefore, in spite of the good reproduction of A<sub>T</sub> for the most samples, one should be cautious with the results in these is zones and for the entire Arctic Ocean.
- 275 When the GLODAPv2 data where QC was not done is analyzed, Tthe North Sea also contains shows many samples with large residuals. Those samples shallower than 100m and close to the coasts surrounding this sea do not have an accurately computed A<sub>T</sub> (Fig. S3 and Fig. S4). Some studies have shown the complexity of the processes occurring in this shallow sea where the high river runoff also has elevated levels of A<sub>T</sub> (Fig. S4; e.g., Hoppema, 1990; Artioli et al. 2012). Hence, the same caveats as for the Arctic Ocean should be made.
- In general, the network mainly fails to compute  $A_T$  in some samples of areas with rivers carrying significant amounts of  $A_T$  to the ocean. The samples beyond ±3RMSE represent 23% and 9.4% of the total above 100m for the Beaufort Sea and the North Sea respectively. The inclusion of predictors related to riverine  $A_T$  (and probably to ice melt) could improve the computation in these areas. Although one should be cautious, these zones still should be considered and be represented in the climatology since most of the samples have a well-computed  $A_T$ .
- In the global ocean surface layer, the RMSE obtained with the neural network approach is lower than that obtained by previous studies on generation of monthly climatologies (Table <u>1</u><sup>2</sup> and <u>Table 2</u><sup>3</sup>). In the past, relationships between SST and SSS with A<sub>T</sub> by Lee et al. (2006) have been shown to produce the lowest RMSE (area-weighted RMSE of 8.1 µmol kg<sup>-1</sup>) in the A<sub>T</sub> computation to create a monthly climatology. However, applying the relations of that study to GLODAPv2, the obtained weighted RMSE is higher than the one<u>s</u>-from <u>NNGv2</u>, <u>LIARv2</u> and <u>CANYON-B</u> the neural network (Table <u>1</u><sup>2</sup>). Neural network<u>NNGv2</u> approach obtained <u>thea</u> bettest<sup>±</sup> fit in all the areas defined in the study of Lee et al. (2006) (Table <u>1</u><sup>2</sup>). The newest methods in A<sub>T</sub> computation improve the results of Lee et al. (2006) in all the areas except for Equatorial Upwelling Pacific (CANYON-B) and Subtropics (LIARv2) (Table <u>1</u>). NN±3RMSE improves the results obtained with the NNGv2 in almost all the regions, being the most remarkable the Equatorial Upwelling Pacific. However, the difference in the weighted RMSE of the two networks is not significant.

- 295 Similar to the previous case, the analysis of the error analysis in the areas defined in Takahashi et al. (2014) also shows a better lower error fit-of the neural networkNNGv2 in most of the areas (20 of 26; Table 23). The weighted RMSE shows that NNGv2 and CANYON-B are the best methods to compute A<sub>T</sub> in the 0-50m depth range in GLODAPv2. Although the analysis by area shows non-significant differences in general between this two methods, there are 7 areas with more than 300 samples where NNGv2 computes A<sub>T</sub> with 1 or more unit of RMSE less than CANYON-B. Except for the zone with the lowest number
- 300 of samples (Red Sea), the other 26 areas have a lower RMSE when the A<sub>T</sub> is computed by a neural network. The NN±3RMSE improves the fitting of the NNGv2 in the non Arctic areas. The A<sub>T</sub> computed in somethe zones defined in the Arctic and Subarctic (Beaufort Sea and Labrador Sea) presents have the highester RMSEs in the twoall the approaches (Takahashi et al. (2014) and this study; Table 23) probably to the high riverine A<sub>T</sub> discharge a. As discussed before.
- In depths below those previously analyzed, the error is progressively reduced for NNGv2, LIARv2 and CANYON-B (Table
   305 3). Although NNGv2 shows the lowest RMSE in all the depth ranges analyzed, the differences with CANYON-B are non-significant. Nonetheless, LIARv2 shows higher errors than NNGv2 (between 1.3-2.6 μmol kg<sup>-1</sup>; Table 3).

<u>The</u>, the Beaufort Sea is the zone with the highest RMSE. The inclusion of this area in calculating a global RMSE raises its value considerably. The NN±3RMSE has a higher global weighted RMSE because of the exclusion of most of the samples in this area to train this network. However, the weighted RMSE calculated excluding this area shows again a non-significant difference between the two networks (Table 3).

previous analyses show how the newest methods to compute A<sub>T</sub> (LIARv2, CANYON-B and NNGv2) produce lower errors than the previous ones used to generate a monthly climatology (Lee at al., 2006; Takahashi et al., 2014). The results of the two networks clearly show how this fitting technique computes A<sub>T</sub>-more accurately than the other methods used in studies on the generation of monthly climatologies. The non-linear nature of the neural networks is probably the main reason for the best
 315 results obtained with CANYON-B and NNGv2. used in this study and the inclusion of multiple predictor variables related to the A<sub>T</sub>-variability are the main reasons for a good fit. Furthermore, we only used one neural network for the entire ocean. The study of the study of the main reasons for the entire ocean.

- <u>these methods</u> haves the advantage of obtaining the computed  $A_T$  anywhere in the ocean in only one step. No "patches" or smoothing are needed between different zones in the climatology as there are in previous studies. Finally, the NNGv2 has been chosen to generate the climatology because of both the previous reasons and the inclusion of data of recent cruises (Olsen et
- 320 <u>al., 2019</u>) in the training and testing steps of the neural network approach. Although NN±3RMSE computes A<sub>T</sub> with lower errors than NNGv2 in the non Arctic areas, in a global view the improvement is relatively small (Weighted RMSE in Table 2 and Table 3). In order to include the Arctic in the climatology, the better fit in this area with the NNGv2 approach makes it the best candidate. In any case, the NN±3RMSE is also offered to the users who want to obtain a climatology or A<sub>T</sub> computations in a specific area where this network computes A<sub>T</sub> better than NNGv2 (e.g., Equatorial Upwelling Pacific, Southern Ocean,

325 etc.).

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The newest methods in the  $A_T$  computation (LIARv2: Carter et al., 2018; CANYON B: Bittig et al., 2018) model the GLODAPv2  $A_T$  with higher errors than the NNGv2 (Table 4). An analysis in a GLODAPv2 subset excluding the samples where the 2<sup>nd</sup> (Olsen et al., 2016) QC was not done for  $A_T$  shows a reduction of the error in these three methods, being

used to compute A<sub>T</sub> in GLODAPv2 dataset, the error is lower than those obtained with the free election of the equation based

- 330 CANYON and NNGv2 the lowest (Table 4). All the equations are used to compute A<sub>T</sub> in the GLODAPv2 dataset when the computation is allowed to be made by the equation with the lowest uncertainty in each sample (Carter et al., 2018). The most used equations are 10 (S, N, Si), 15 (S, AOU) and 14 (S, N), which are used in about 50% of the samples. The equation that used all the input variables (1) is only used to model 3% of the GLODAPv2 samples. Surprisingly, when only this equation is
- 335 on the lowest uncertainty. That result shows the potential of include all possible inputs related with the A<sub>T</sub> variability, although reasonable results can also be reached with the equations that do not use all the input variables. CANYON B is an example of using relatively few input variables (position, time, temperature, salinity and oxygen) and getting good results (Table 4). Probably, the non linear character of the neural networks, like the one used in CANYON B, gives the high potential to this kind of methods to fit complex functions even with few input variables. However, the NNGv2 designed in the present study is
- 340 the best option to model more GLODAPv2 data better than the other methods (lower RMSE) and therefore to use the mapped inputs output relation in order to create the monthly climatology. The availability of all the variables used as inputs of the NNGv2 in WOA13 also contributes to make this method the best choice. Furthermore, methods like CANYON B which include a predictor that explicitly accounts for the time variation of A<sub>T</sub> (decimal year in the case of CANYON B), are not suitable to build a monthly climatology since they generate an unrealistic seasonal amplitude, at least at high depths. This has
- 345 been checked used WOA13 monthly climatologies (temperature, salinity and dissolved oxygen) as inputs of CANYON B to compute A<sub>T</sub> at different depth layers. As an example, in the 3000m depth layer, seasonal amplitudes up to 40 μmol kg<sup>-1</sup> were obtained in large areas mainly located between 30 and 60°S.

The NNGv2 seems to <u>qualitatively</u> associate the A<sub>T</sub> variability to the predictor variables in coherence with the processes that contribute to it. The relative importance of these variables depicted in Fig. 5 shows that salinity is the most influential variable,
followed by <u>dissolved oxygen and</u> nutrients. In the surface layer, where A<sub>T</sub> variability is the largest, different studies showed how changes in salinity are highly correlated with this variability (Millero et al., 1998; Takahashi et al., 2014). The organic matter cycle also has a significant component in the A<sub>T</sub> variability (Kim and Lee, 2009). The formation and degradation of organic matter is reflected through both oxygen and nutrients variations. <u>NNGv2 The network</u> seems to capture the A<sub>T</sub> variability because of the organic matter cycle giving a second place in importance to these variables<u>nutrients</u>. The third group of variables in the ranking of importance is comprised by <u>positiondepth</u> and temperature. The <u>former variabledepth variable</u>

could be associated to the A<sub>T</sub> variability accounting for the variation produced by the CaCO<sub>3</sub> cycle and the processes acting

through the global ocean circulation. <u>The horizontal sampling position variables could help to separate the different relations</u> shown by previous studies in different ocean areas (Lee et al., 2006; Takahashi et al., 2014). Finally, t

<u>The latter emperature</u> has also been associated to the A<sub>T</sub> variability as a proxy of both the CaCO<sub>3</sub> and the organic matter cycles
 (Lee et al., 2006). <u>Finally, the minor contribution of the variables of horizontal sampling position could help to separate the different relations shown by previous studies in different ocean areas (Lee et al., 2006; Takahashi et al., 2014).
</u>

#### 3.2 Time-series validation

The network can compute A<sub>T</sub> well at 5 different ocean time-series stations. Low RMSEs (<u>Table 4</u>) and high coefficients of determination (r<sup>2</sup>) (<u>data not shown</u>) were obtained. (<u>Table 5</u>). The bias is relatively low in the three time-series with the highest number of data (HOT, BATS and ESTOC). The A<sub>T</sub> computed by the NNGv2 in KNOT and K2 is slightly higher than the measured one, probably because of the influence in the A<sub>T</sub> variability of some variable not included as an input of the network (although an offset in the measurements of any of the inputs could also give this result). Summed to the previous test, the statistics obtained in this independent test with a good seasonal time resolution shows the good generalization of the NNGv2.

The LIARv2 and CANYON-B methods to compute A<sub>T</sub> also model the time-series data quite well (Table 4). Significant
 differences among the three methods are obtained in HOT and ESTOC. In HOT, NNGv2 and CANYON-B reach a better fit of A<sub>T</sub> than LIARv2 suggesting that a non-linear technique is more adequately to model A<sub>T</sub> in this area (Table 4). CANYON-B presents a higher bias in ESTOC than the other two methods, suggesting that here the inclusion of nutrients as predictors results in an accurate computation of A<sub>T</sub>. The error obtained in BATS, ESTOC, K2 and KNOT does not have significant differences between methods. Finally, LIARv2 and CANYON-B also have a considerable bias in K2 and KNOT (Table 4)

375 that reinforce the two reasons suggested previously for NNGv2.

The ability of NNGv2 to capture surface  $A_T$  variability is exemplified in Fig. <u>6 for BATS</u>. The other largest time-series also show a good agreement between the computed and the measured seasonal  $A_T$  in <u>this surface layer the same depth range</u> (RMSE HOT: 5.3 µmol kg<sup>-1</sup>; RMSE ESTOC: <u>2.64.2</u> µmol kg<sup>-1</sup>). In general,  $A_T$  measured in each month of the year are well modelled by NNGv2 (inner charts in Fig. 6). The same holds for other depth layers (Fig. 7, panels in left column). Only some extreme

- 380 values are not fully captured but almost all the trends between months are well represented. The differences may be caused by bias in measured A<sub>T</sub> or some of the input variables; they may also be due to an under/overestimation of the network. Furthermore, the time-series areas are not fully represented in all months in GLODAPv2 so that NNGv2 might not represent seasonality well. However, the network computes A<sub>T</sub> in any month with a very low error. This shows again the potential of the generalization of a well-designed neural network.
- 385 The NNGv2 also has the capacity to increase the number of  $A_T$  data in the time-series. In many samples,  $A_T$  was not measured but the other input variables needed for the NNGv2 are available. Therefore, the computed  $A_T$  has a higher temporal and spatial

resolution than observations only. This enables the computation of more reliable trends than with the less frequently measured  $A_T$  and allows the identification of possible high frequency changes. The improvement in resolution is especially visible in the longer time-series: HOT and BATS (Fig. 7). In the former we increased the number of  $A_T$  data from <u>40063852</u> to 14<u>907089</u> and in the latter from 3033 to 11342 (Fig. 7, panels in central column).

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The LIARv2 and CANYON B methods to compute  $A_T$  also model the time series data quite well (Table 6). Significant differences among the three methods are obtained in HOT and ESTOC. In HOT, NNGv2 and CANYON B reach a better fit of  $A_T$  than LIARv2 suggesting that a non-linear technique is more adequately to model  $A_T$  in this area (Table 6). In ESTOC, NNGv2 and LIARv2 are the best options to model the  $A_T$  variability (Table 6). Here, the  $A_T$  computed with LIARv2 with the option of the free equation choice activated results in a greater election of the equations that include nutrients as predictors.

395 option of the free equation choice activated results in a greater election of the equations that include nutrients as predictors. This result show how in this area the inclusion of nutrients as predictors contributes to improve the model of A<sub>T</sub>. Like NNGv2, both methods have a considerable bias in K2 and KNOT (Table 6) that reinforce the two reasons suggested previously.

#### 3.3 Subsurface Layer Hypothesis

- We found that the optimal depth range of the subsurface layer defined by Vázquez-Rodríguez et al. (2012) for the North
  Atlantic Ocean (100-200 m) must be modified in other regions. In the area analyzed in the Indian Ocean (Fig. 3Fig. S1), the subsurface layer hypothesis is verified in the same depth range of that study. However, the other areas (Fig. 3Fig. S1) show that the range of the subsurface layer is in the range of 50-100 m. The different strengths of deep mixing and convection in winter could explain this fact.
- The properties analyzed in the four areas defined in Fig. 3 Fig. S1 show, as expected, a higher monthly variability in the ocean surface than in the subsurface layers. The seasonal variability depicted in Fig. 8 will likely be typical of a larger region within a similar oceanographic regime for each defined area. The surface winter conditions of the analyzed properties are quite similar to those in the subsurface layer during, at least, one of the four consecutive months following winter in all areas (Fig. 8).

The optimal number of neurons in the network trained with GLODAPv2\_nowinter dataset to reinforce the subsurface layer hypothesis and to assess the layers below surface ocean was 100. The reduction of the number of neurons compared to the previous networks was because this new dataset contains less data. Thus, maintaining or increasing the number of neurons would produce overfitting. This new networkNNGv2\_nowinter provides statistics in the GLODAPv2\_nowinter dataset similar to those of the network used to create the climatology (NNGv2) in GLODAPv2 dataset (Table 15.5 vs 5.3 µmol kg<sup>-1</sup> respectivelyTable 7). But, of greater importance are the statistics resulted from the GLODAPv2\_winter dataset (Table 7) which reinforce the subsurface layer hypothesis (Table 5). The low error reached in this independent winter dataset and the low differences with that from NNGv2 in each depth layer (Table 5) shows how the network is able to obtain the winter relations

in any depth from the function fitted with data from other seasons. Therefore, the lack of winter data in different regions does not automatically mean that the climatology will be biased towards the more sampled seasons.

#### 3.4 Climatology

The monthly climatology of  $A_T$  is based on the relations obtained in the training procedure <u>of -of the neural networkNNGv2</u>

- 420 applied to the WOA13 and CANYON-B derived monthly climatological fields (Appendix A). We have demonstrated that the A<sub>T</sub> computed by the two offered neural networksNNGv2 agrees reasonable with the measured A<sub>T</sub> when the inputs associated to it are passed through the networks, i.e. the relations obtained from GLODAPv2 in the training stage are robust. Therefore, the A<sub>T</sub> patterns in the climatology are forced by the patterns of the WOA13 variables and CANYON-B derived ones used as inputs. The monthly climatology can be found in a netCDF file at the data repository of the Spanish National Research Council
  425 (CSIC; http://hdl.handle.net/10261/184460doi: http://dx.doi.org/10.20350/digitalCSIC/8564) together with a video of the
- monthly variation at the surface and in three longitudinal sections of the three main oceans.

The distribution of the surface annual mean  $A_T$  (Fig. 9) is similar to that shown in previous climatologies (e.g., Lee et al. 2006; Takahashi et al. 2014; Lauvset et al. 2016). Not surprisingly, there is a high correlation with the salinity distribution and, consequently, with the evaporation-precipitation patterns. The largest values in the surface layer occur in the Mediterranean

- 430 Sea, Red Sea, and in the subtropical gyres of the Atlantic and South Pacific Oceans, all of them prevailing throughout the year in the monthly climatology. At depth, these maxima are all present at least up to 150m (Fig. 9). Below 700m, the Pacific and Indian Oceans show higher A<sub>T</sub> concentrations than the younger waters of the Atlantic (Fig. 9). Furthermore, features such as the high-A<sub>T</sub> Mediterranean Water entering the Atlantic Ocean are captured in the climatology (Fig. 9, 1000m chart, black circle). In general, the patterns agree with the main ocean processes responsible for the A<sub>T</sub> variability as explained previously.
- The seasonal amplitude of sea surface A<sub>T</sub> (Fig. 10) is generally in agreement with that obtained by Lee et al. (2006). The highest amplitudes are in the north equatorial zone, in the Arctic Ocean and in coastal zones, i.e., at locations where there are rivers with a large water discharge (like the Amazonas, Congo, La Plata or Arctic rivers). The seasonal amplitude of the surface salinity (Fig. S6) can explain most of the variability in the seasonal amplitude of A<sub>T</sub>. In areas with a large seasonal amplitude of salinity (more than 1 unit; mainly the Arctic Ocean and coastal zones near rivers with high discharge), this variable linearly explains 796% of the seasonal amplitude A<sub>T</sub> variability. However, the seasonal amplitude in the Arctic Ocean should be taken with caution due to the difficulty to accurately model this complex zone, as discussed previously. Despite the presence of high levels of A<sub>T</sub> in some river mouths in the melting months, the A<sub>T</sub> carried by the rivers could be not represented in the climatology and this can enhance the seasonal cycle due to an underestimated value in low salinity waters with high riverine A<sub>T</sub>. On the other hand, in areas with a low seasonal amplitude of salinity (less than 1 unit; mainly oceanic areas and coastal regions without rivers with high discharge) about 624% of variability is linearly explained. This result shows the importance of the inclusion
  - 15

of other predictors besides salinity in the network and the non-linearity of the method proposed in this study to explain nearly all the  $A_T$  variability.

The seasonal amplitude of  $A_T$  is progressively reduced at depth (Fig. S7). The changes in the variables which influence the changes in  $A_T$  are smaller than in the surface layer or null causing this reduction. The seasonality disappears almost completely

- 450 below 400m depth; not surprising due to the lack of seasonal resolution in the climatologies of nutrients in WOA13 below this level, although. S some patches of variability are present likely because of a conjunction of the error of the network and the monthly changesseasonal variability in the climatological other WOA13-input variables. In addition, these patchesy could also come from the learning stage since the training data of  $A_T$  present monthly variations of up to ~150 µmol kg<sup>-1</sup> for the some areas, even at depths greater than 1000m.
- 455 Although it was shown that the neural network can accurately compute  $A_T$  in both GLODAPv2 and time-series datasets, the quality of WOA13 data (and that of the input climatologies generated in this study) data also determines the robustness of the climatology.is. Unfortunately, WOA13 does not offer uncertainty fields associated to the objectively analyzed climatologies to compute a coherent estimation of the uncertainty in the  $A_T$  climatology. Therefore, the climatological values offered in this study should be evaluated by comparing them with observations in a monthly average over many years. This can only be done
- 460 at the locations of time-series with representative amounts of data; Fig. 11 shows this analysis at surface. At both the BATS and HOT time-series, the differences between the averaged measured  $A_T$  (Fig. 11, red line) and the climatology (Fig. 11, yellow line) are quite low. The comparisons are better when  $A_T$  is computed by NNGv2 using as inputs the measured values in the time-series (data not shown) (Fig. 11, purple line), The differences of the two comparisons showing the differences inimportance of the quality of the input variables variables. (WOA13 climatological fields vs time series input data).
- -The previous results hold true also for other depth layers. A comparison of monthly profiles up to about 500m between the A<sub>T</sub> climatology obtained from WOA13 and CANYON-B derived climatological fields and the one from the averaging of the time-series data shows low differences (Fig. S8). In BATS, the RMSE of this comparison ranges between 1.41 and 3.62.8 μmol kg<sup>-1</sup> (mean RMSE of 2.2 μmol kg<sup>-1</sup>) and the bias between -0.2 and 4.37 μmol kg<sup>-1</sup> for all months. In HOT, the RMSE of this comparison ranges between 3.65 and 10.59.7 μmol kg<sup>-1</sup> (mean RMSE of 6.34 μmol kg<sup>-1</sup>) and the bias between -1.70.3 and 3.16.3 μmol kg<sup>-1</sup> for all months. The climatological measured data are for the periods between 1991 and 2015 (BATS) and 1989 and 20186 (HOT) and WOA13 data are supposed to cover a larger range. Despite this time difference, the A<sub>T</sub> climatology represents quite accurately the measured values averaged in each month.

Compared to the other climatologies, the surface annual mean  $A_T$  of this study is closer to that of Lee et al. (2006) (Table <u>68</u>). This is likely because temperature and salinity are included as non-linear predictors of  $A_T$ . In Takahashi et al. (2014),  $A_T$  derives from the linear regression between PALK and one predictor (salinity) and in the Lauvset et al. (2016) study, DIVA

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(Data-Interpolating Variational Analysis; Troupin et al., 2010) was used. Furthermore, the transfer of our climatology to the coarser grid of Takahashi et al. (2014) for the comparisons may enhance dissimilarities.

The comparison of the monthly values of our climatology and the other climatologies available at the same time frequency (Table <u>79</u>) shows the greatest similarity of ours and that of Lee et al. (2006). The reasons given above may also hold here. In addition, part of the differences between the comparisons may originate from the different versions of the WOA used in each study (Lee et al., 2006: temperature and salinity from WOA01; Takahashi et al., 2014: salinity from WOA09 and nitrate from WOA94; this study: <u>all inputstemperature, salinity and oxygen (filtered)</u> from WOA13 <u>and nutrients derived from CANYON-B (Appendix A)</u>).

In general, the surface spatial patterns of the differences between the annual mean of our A<sub>T</sub> climatology and the three other ones under consideration are not correlated (Fig\_ture S28). Compared to Takahashi et al. (2014), the largest differences are in the Beaufort Sea and in three zonal bands: 54-60° S, 8-28° N and 40-60° N (Fig. S28a). The Pacific Ocean has the highest dissimilarities in these three bands. In general, the Atlantic Ocean and the Indian Ocean have the smallest differences. The largest differences in these two ocean basins are mainly located close to the river mouths. It shows how the different parametrizations of the A<sub>T</sub> diverge highly at low salinities. On the other hand, the major differences with Lee et al. (2006) (Fig. S28b) are surrounding North America's Pacific coast, the area of influence of the Amazon river, the zone between both the Niger and the Congo rivers and the North Sea. In the open ocean there are some wide areas where the differences are remarkably high. They are mainly in the South Pacific. It should also be noted that the transition zone between the 1 ((sub)tropics) and 2 (equatorial upwelling Pacific) areas defined in the study of Lee et al. (2006) generates a discontinuity in the difference map. Finally, the largest differences with Lauvset et al. (2016) (Fig. S28c) are less localized. The Arctic Ocean 495 and the Pacific sector of the Southern Ocean are the areas where there is a large spatial continuity in the differences.

An important cause of the differences between the climatologies stems from the use of different inputs to generate them. As an example, this can be seen when the climatologies of Lauvset et al. (2016) are used as input variables to compute A<sub>T</sub> with the neural network<u>NNGv2</u> instead of the WOA13 data (Fig. 12). In the surface layer, a considerable reduction of the RMSE (12.95.7 to 12.39.9 µmol kg<sup>-1</sup>) and an increase of the r<sup>2</sup> from 0.941 to 0.965 are obtained (Fig. 12). In the deeper layers, the differences are progressively decreasing. The values of the RMSE of the comparisons like those in Fig. 12 but below 250m are in the range of 4 to 6 µmol kg<sup>-1</sup> and the improvement caused by the inputs usage is reduced to around 1 µmol kg<sup>-1</sup>. This last result shows an increasing similarity between <del>WOA13 climatologies and Lauvset</del> et al. (2016) climatologies and those <u>used in the present study</u> with increasing depth. However, and to be consistent, it is recommended to use the A<sub>T</sub> climatology corresponding with the other inputs used in the studies that arise from these products (e.g., biogeochemical modeling studies).

505 4 Data availability

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The climatologies of A<sub>T</sub>, oxygen and nutrients (see Appendix A) and the two the neural networks NNGv2 designed in this available at the data repository of Spanish National Research Council study are the (CSIC: http://hdl.handle.net/10261/184460doi: http://dx.doi.org/10.20350/digitalCSIC/8564).

### **5** Conclusions

- 510 A neural network to compute  $A_T$  anywhere in the ocean has been presented. As evaluated by the RMSE between the measured and the computed data, the neural network approach presented in this study offers increased precision compared to most of the approaches in previous studies. Furthermore, the global relationship between  $A_T$  and input variables was obtained from a higher number of quality-controlled data than before in the generation of a monthly climatology, with a greater temporal and spatial resolution. We have demonstrated how one single global algorithm is able to compute  $A_T$  satisfactorily for the entire
- 515 global ocean. This has enabled us to generate a monthly climatology without the need to use smoothing techniques between different oceanic areas. Furthermore, the seasonal variability in depth is more realistic than the one computed by other methods that overestimate it.

The validation using different independent datasets demonstrates the good network generalization. In addition, the spatiotemporal  $A_T$  variability is well captured by the network as shown in time-series validation. Therefore, the obtained

520 climatology using WOA13 inputs and those of oxygen and nutrients climatologies created in this study should reflect this variability due to the good network performance to new independent data.

We offer this global monthly climatology of  $A_T$  to the scientific community for advancing the understanding of the ocean carbon cycle. Our new climatology may particularly be useful as input to modeling efforts. It is worthwhile mentioning that the networks offered here are also useful to obtain  $A_T$  values for samples where the inputs for the neural network are present.

# 525 <u>6 Appendix A</u>

The relevance of a well-represented seasonal variability in the predictor variables used to create the monthly  $A_T$  climatology is very important to obtain a well-represented  $A_T$  seasonal variability. Analyzing the variability in the WOA13 variables, we have found some remarkable aspects that have led us to modify and generate new climatologies for some of the predictor variables.

530 <u>A strange variability in WOA13 climatologies were observed when comparing its variability with the one in time-series with enough data to obtain climatological values. In general, the monthly climatologies of oxygen and nutrients present some high peaks of seasonal variability at different depths in relation to the neighboring depths around all the ocean. These peaks also occur at time-series showing a discrepancy regarding the measured climatological seasonal variability (Fig. A1 and Fig. A2).</u>

The profile of oxygen seasonal variability at ESTOC clearly shows this fact at depths around 750m and 1200m (Fig. A1). The

535 same happens at ICELAND around 800m, although with a smaller magnitude (Fig. A1). To avoid the disruptions in the profiles of oxygen seasonal variability, we applied a fifth-order one-dimensional median filter through the depth dimension to the WOA13 oxygen monthly climatology. In general, the results show a reduction of the peaks, and the trends and magnitude of the profiles are more similar to those of the measured data (Fig. A1).

In the case of nutrients, we took advantage of the recent publication of CANYON-B method (Bittig et al., 2018) which allows

- 540 to compute phosphate, nitrate and silicate from temperature, salinity, oxygen, position and time. Therefore, the monthly climatologies of temperature and salinity from WOA13 and the one of oxygen created in this study were used as inputs of CANYON-B to obtain monthly climatologies of nutrients up to 1500m (this depth is the maximum depth up to which WOA13 offers monthly climatologies of temperature, salinity and oxygen). In general, the results show a reduction of the peaks showed by WOA13 and a higher similarity with the measured profiles (Fig. A2).
- 545 The monthly climatologies of oxygen and nutrients from WOA13 probably present the mentioned disruptions of the seasonal variability because of a combination of low data availability in certain areas and the method used for mapping. Therefore, the monthly climatology of A<sub>T</sub> obtained using as inputs of the NNGv2 the climatologies created here, should represent a more realistic seasonal variability than if all WOA13 ones were used.

# **<u>76</u>** Author contributions

550 DB, FFP and AV designed the study. The manuscript was written by DB and revised and discussed by all the authors. The dataset of the climatology and the neural networks were created by DB.

# **<u>87</u>** Competing interests

The authors declare that they have no conflict of interest.

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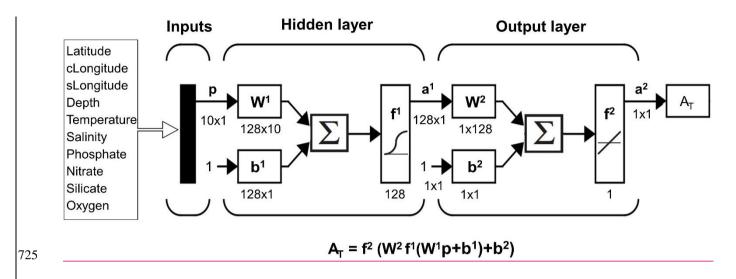


Figure 1: Neural network configuration. The notation is in agreement with Hagan et al. (2014). p: input vectors; W: weight matrix; b: bias matrix;  $\sum$ : sum; f: transfer function; a: output matrix. The superscripts indicate the number of the layer. The c and s preceding month and longitude variables represent cosine and sine (<u>sSee equations belowEq. (2) and Eq. (3)</u>)). The dimensions of the matrices are for an individual sample. Modified from Hagan et al. (2014).

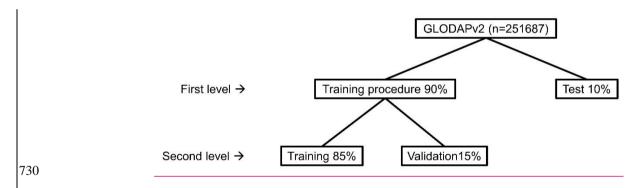


Figure 2. Division of the data for the training of the network and its testing. The data in the sets of the static level is the same for all the networks to train. The data in the sets of the dynamic level is randomly selected for each network to train. The percentages in each level are relative to the previous one.

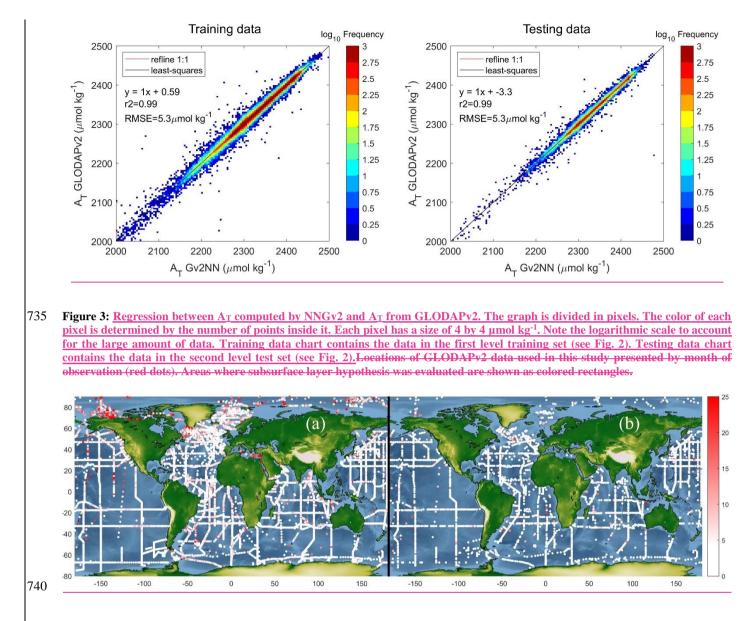


Figure 4: The absolute differences between GLODAPv2 A<sub>T</sub> and NNGv2 A<sub>T</sub>. Left:(a) samples in the layer 0-30m. Right:(b) samples in the layer 2950-3050m.

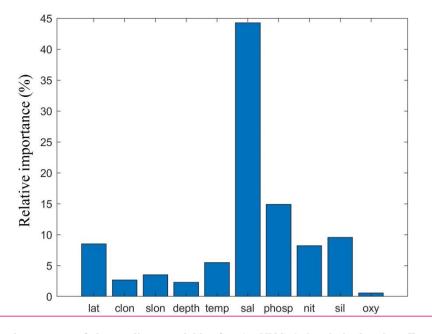


Figure 5: The relative importance of the predictor variables for<u>-the</u> NN<u>Gv2</u>. lat: latitude; clon: Eq. (3); slon: Eq. (4); temp: 745 temperature; sal: salinity; phosp: phosphate; nit: nitrate; sil: silicate; oxy: oxygen.

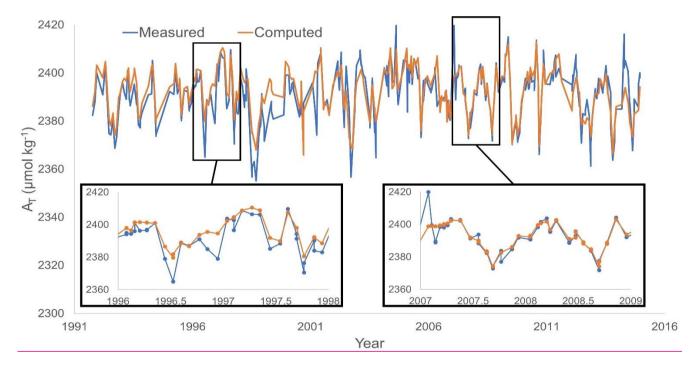


Figure 6: Comparison of measured and computed  $A_T \underline{\text{with NNGv2}}$  for the depth range 0-10 m at time-series station BATS. The RMSE in that depth range for the whole time-period is 5.67 µmol kg<sup>-1</sup>. The years 1996-1997 and 2007-2008 are amplified to show the monthly variations because they are the years with  $A_T$  measurements in all the months.

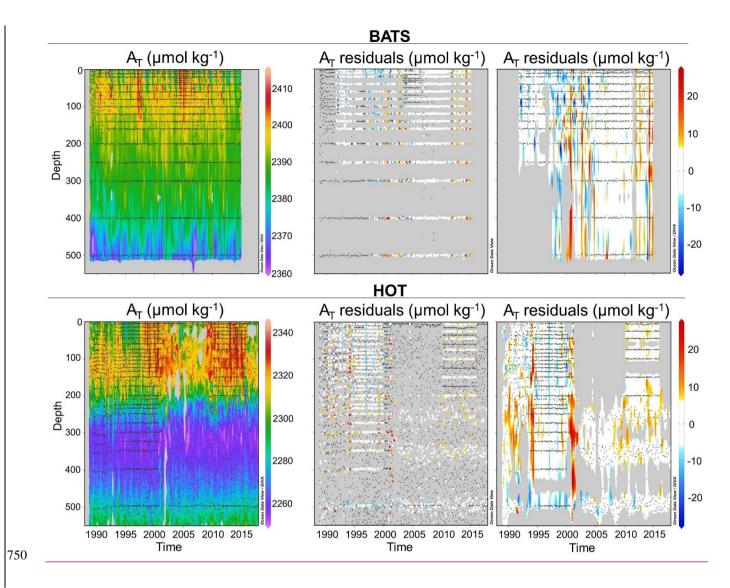


Figure 7: Left column: Computed A<sub>T</sub> for the upper 550m of the water column at the BATS and HOT time-series stations. Central column: Difference between measured and computed A<sub>T</sub>. Colored dots show samples where A<sub>T</sub> was measured. Black dots show samples where A<sub>T</sub> was not measured but the network inputs were. Right column: Difference between measured and computed A<sub>T</sub> interpolated with Data-Interpolating Variational Analysis (DIVA; Troupin et al., 2010). This figure was made with Ocean Data View (Schlitzer, 2016).

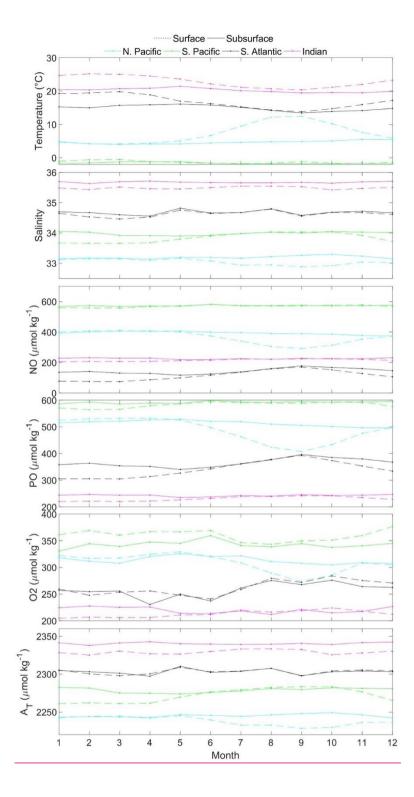
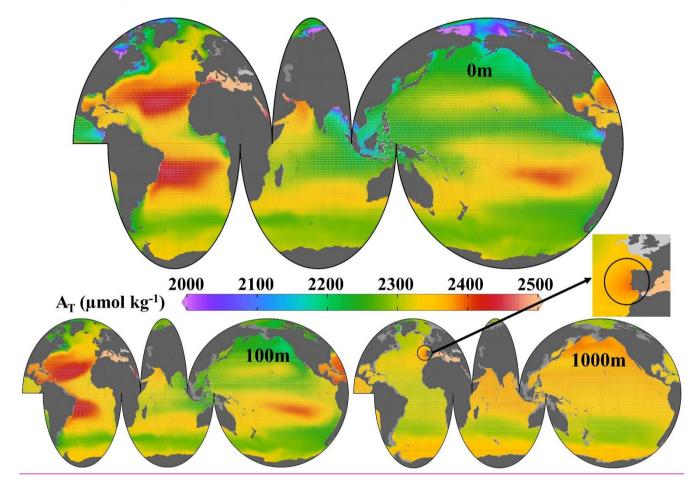


Figure 8: Monthly variability of 0 (potential temperature), of temperature, salinity,  $NO = 9*NO_3 + O_2$  and  $PO = 135*PO_4 + O_2$  (defined according to Broecker, 1974) for different ocean basins. Data from Temperature, salinity from WOA13 objectively analyzed monthly climatologies<sub>2</sub>- oxygen, nitrate and phosphate from WOA13+CANYON-B (see Appendix A) and A<sub>T</sub> from computed by NNGv2 using the previous inputs, were averaged for each area defined in Figure S12. Each zone is displaced in each graph for a certain constant quantity of the variable for a better visualization, that is, the data shown are not the real values. Indian Ocean: 100-200m; South Atlantic, South Pacific and North Pacific: 50-100m.

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765 Figure 9: Annual mean climatology of A<sub>T</sub> at 3 depths. Black circle in 1000m panel points out the area of influence of the Mediterranean Water in the Atlantic Ocean. This figure was made with Ocean Data View (Schlitzer, 2016).

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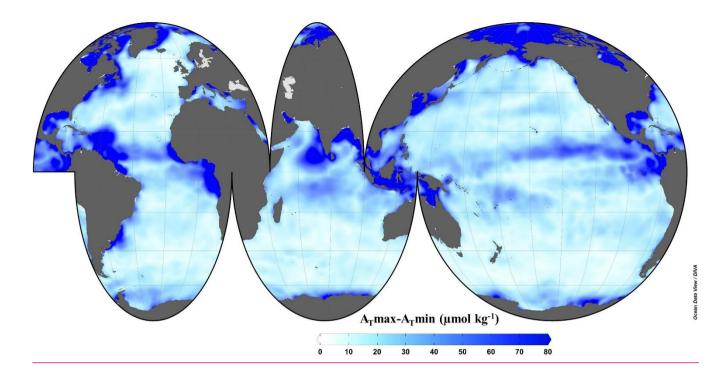
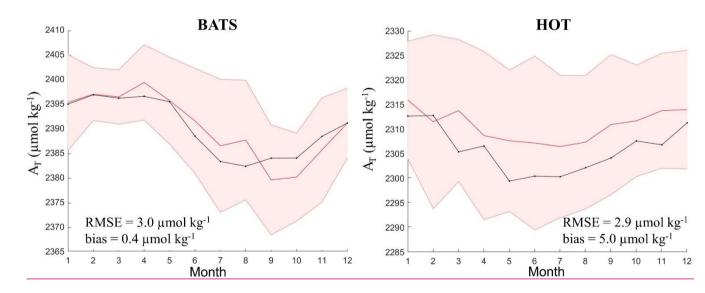


Figure 10: Seasonal amplitude of sea surface AT. This figure was made with Ocean Data View (Schlitzer, 2016).



770 Figure 11: Monthly variation of A<sub>T</sub> at BATS (0-10m) and HOT (0-30m) time-series locations of climatological measured data (red line) and the monthly climatology of A<sub>T</sub> computed with NNGv2 (black line). The shading represents the standard deviation of the average of the measured data. Climatology of A<sub>T</sub> from measured data, from NNGv2 using measured data as inputs and from NNGv2 using WOA13 data as inputs at BATS (0-5 m; left panel) and HOT (0-30 m; right panel) time-series location. The shading represents the standard deviation of the average of the measured data. Units of RMSE and bias are µmol kg<sup>-1</sup>

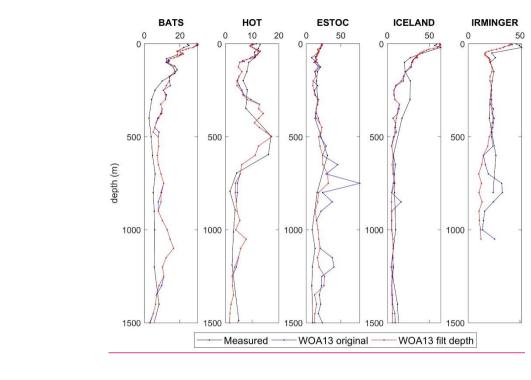


Figure A1: Profiles of oxygen seasonal amplitude at different time-series locations obtained from WOA13 oxygen monthly climatology (WOA13 original), from WOA13 original after a median filtering (WOA13 filt depth) and from measured data averaged by month (Measured). It should be considered that profiles at ESTOC, ICELAND and IRMINGER do not come from a quantity of data as high as those of HOT and BATS and cannot be considered a pure climatology. Units of seasonal amplitude are µmol kg<sup>-1</sup>.

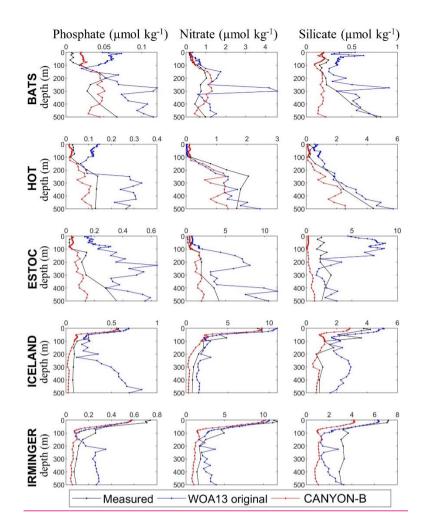


Figure A2: Profiles of nutrients seasonal amplitude at different time-series locations obtained from WOA13 monthly climatologies (WOA13 original), CANYON-B derived climatologies (CANYON-B) and from measured data averaged by month (Measured). It should be considered that profiles at ESTOC, ICELAND and IRMINGER do not come from a quantity of data as high as those of HOT and BATS and cannot be considered a pure climatology.

Table <u>1</u>2: RMSE obtained by the relations of Lee et al. (2006), NNGv2, <u>LIARv2 and CANYON-B</u> and <u>NN±3RMSE</u> over GLODAPv2. In bold the lowest RMSE in each area defined in Lee et al. (2006). To be consistent with the surface layer defined in Lee et al. (2006) the samples evaluated here are from above 20m (subtropics) and 30m (the rest).

	RMSE (µmol kg <sup>.1</sup> )				
Areas defined in Lee et al. (2006)	Lee et al. (2006)	NNGv2	LIARv2	CANYON-B	n
North Atlantic	15.1	11.4	13.8	11.8	3571
North Pacific	15.5	6.3	7.4	7.0	2529

Equatorial Upwelling Pacific	7.2	5.0	5.0	13.5	280
Subtropics	18.9	14.1	19.1	14.4	4874
Southern Ocean	9.1	4.5	5.1	5.2	4842
Weighted RMSE	14.4	9.2	11.7	9.9	16096

Table <u>2</u>3: RMSE obtained by the relations of Takahashi et al. (2014), NNGv2, <u>LIARv2 and CANYON-B and NN±3RMSE</u> over GLODAPv2. In bold\_the lowest RMSE in each area defined in Takahashi et al. (2014). To be consistent with the surface layer defined in Takahashi et al. (2014) the samples evaluated here are from above 50m.

	RMSE (µmol kg <sup>-1</sup> )				
Areas defined in Takahashi et al. (2014)	Takahashi et al. (2014)	NNGv2	LIARv2	CANYON-B	n
West GIN Seas	27.8	8.7	15.6	9.7	679
East GIN Seas	10.1	7.2	9.2	7.3	729
High Arctic	35.6	12.5	20.8	18.0	747
Beaufort Sea	40.7	22.6	37.7	25.9	631
Labrador Sea	33.6	29.7	32.4	29.8	487
Subarctic Atlantic	9.8	6.9	7.2	8.1	896
North Atlantic Drift	7.6	6.6	7.6	6.3	1527
Central Atlantic	22.4	15.7	21.4	16.0	3489
South Atlantic Transition Zone	6.8	5.7	6.7	5.8	328
Antarctic (Atlantic)	7.8	5.7	5.9	6.2	684
Kuroshio-Alaska Gyre	15.3	6.4	7.8	6.9	1284
North Central Pacific	12.3	6.7	6.8	7.5	1203
Okhotsk Sea	6.0	8.9	4.0	7.1	20
Central Tropical North Pacific	7.0	5.4	5.7	5.7	1926
Tropical East North Pacific	14.5	5.4	5.7	20.8	306
Central South Pacific	9.0	4.7	4.5	5.1	2051
East Central South Pacific	9.6	4.3	6.2	7.6	174
Subpolar South Pacific	8.4	4.0	4.5	4.7	419
Antarctic (Pacific)	5.3	3.1	3.2	4.5	596
Main North Indian	7.0	4.9	5.5	5.0	578
Red Sea	6.6	11.4	53.9	8.0	17
Bengal Basin	9.1	7.6	8.3	6.3	97
Main South Indian	8.9	7.1	8.0	6.3	2613
South Indian Transition	3.8	2.6	3.4	3.5	231
Antarctic (Indian)	7.3	3.5	3.7	4.5	1384
Circumpolar Southern Ocean	8.8	4.2	4.3	5.0	2290

Weighted RMSE	13.4	8.1	10.2	8.9	25386

Table 3: RMSE at different depth ranges obtained with NNGv2, LIARv2 and CANYON-B. In bold the lowest RMSE in each depth range.

	RMSE (µmol kg <sup>-1</sup> )				
Depth range (m)	NNGv2	LIARv2	CANYON-B		
50-200	5.7	7.4	6.1		
200-500	4.1	6.8	4.4		
500-1000	4.0	5.3	4.2		
>1000	3.8	6.1	4.0		

Table 45: RMSE and bias between measured AT in HOT, BATS, ESTOC, KNOT and K2 and neural network-the computed AT 800 with NNGv2, LIARv2 and CANYON-B-r<sup>2</sup>. from the regression between measured AT- vs computed AT- The comparison was done for all the samples where all the input variables for NNGv2 and the AT were measured in the same water sample.

	R	RMSE (bias) (µmol kg <sup>-1</sup> )				
Time-series	NNGv2	LIARv2	CANYON-B	n		
НОТ	5.8 (-0.4)	6.6 (-0.6)	5.8 (-0.6)	4006		
BATS	6.2 (-0.1)	6.3 (0.1)	6.0 (-0.4)	3033		
ESTOC	3.0 (-0.8)	3.4 (0.7)	3.2 (2.2)	1700		
KNOT	4.5 (-6.9)	4.8 (-6.6)	4.5 (-7.2)	1234		
K2	3.3 (-3.4)	3.0 (-3.0)	3.0 (-3.3)	561		

Depth range (m)	Dataset	Statistic	NNGv2	NNGv2_nowinter
	No winter	RMSE	11.0	11.0
0-50	No winter	bias	-0.2	0.1
0-30	Winter	RMSE	4.8	5.8
	winter	bias	-0.4	-0.4
	No winter	RMSE	6.2	6.2
50-150	no willer	bias	-0.2	0.0
30-130	Winter	RMSE	4.6	5.4
		bias	0.1	0.4
	No winter	RMSE	4.3	4.4
150-500	No willer	bias	-0.3	0.0
150-500	Winter	RMSE	4.0	4.4
	winter	bias	0.3	0.8
500-1000	No winter	RMSE	4.0	4.0
	No winter	bias	-0.2	0.0
	Winter	RMSE	3.8	4.1

		bias	0.1	0.5
	No winter	RMSE	3.8	3.8
1000-2000	NO WIIIter	bias	-0.2	-0.1
1000-2000	Winter	RMSE	3.5	3.9
		bias	0.2	0.6
2000-3000	No winter Winter	RMSE	3.8	3.8
		bias	-0.2	0.1
		RMSE	3.4	4.0
		bias	0.0	0.4

805 Table <u>68</u>: Comparison of four annual mean surface climatologies of A<sub>T</sub>. \*The <u>Arctic Ocean and the Baltic Sea are not included in</u> the comparisons domain analyzed is the same as Lee et al. (2006) for coherency reasons.

RMSE (µmol kg <sup>-1</sup> )\r <sup>2</sup>	NNGv2	Lauvset et al. 2016*	Takahashi et al. 2014	Lee et al. 2006
NNGv2		0.94	0.93	0.97
Lauvset et al. 2016*	12.9		0.90	0.92
Takahashi et al. 2014	14.4	17.8		0.93
Lee et al. 2006	7.7	14.4	12.4	

Table 79: Comparison between the three monthly climatologies of A<sub>T</sub>.

	Lee et al. (20	,	Takahashi et al. (2014)		Lee et al. (2006) vs	
	NNGv2	2	vs NNGv2	2	Takahashi et al. (2014)	
	RMSE		RMSE		RMSE	
Month	(µmol kg <sup>-1</sup> )	$r^2$	(µmol kg <sup>-1</sup> )	$\mathbf{r}^2$	(µmol kg <sup>-1</sup> )	$\mathbf{r}^2$
January	10.9	0.95	16.0	0.92	14.2	0.92
February	10.5	0.95	16.4	0.90	14.7	0.91
March	11.1	0.95	16.4	0.90	14.3	0.91
April	11.2	0.95	17.8	0.89	15.0	0.91
May	11.4	0.94	17.2	0.89	13.8	0.92
June	11.4	0.94	17.5	0.89	14.3	0.91
July	11.5	0.94	31.3	0.78	14.8	0.91
August	12.8	0.93	19.0	0.90	14.8	0.91
September	11.2	0.95	17.3	0.92	14.9	0.91
October	11.3	0.95	14.5	0.93	13.1	0.93
November	10.7	0.95	15.7	0.92	12.8	0.93
December	10.8	0.95	16.3	0.92	13.9	0.92