Reviewer #3

This manuscript introduces a new merged ozone and water vapor data set from satellite limb sounders – SWOOSH – which constitutes a very valuable resource for the study of stratospheric climate variability and change that can be anticipated to be widely used in the community. While the paper is generally well written I miss some key information that I would expect in the documentation of a new database of this importance (these are, to summarize the more detailed comments below, the validation of the dataset and its consistency with other measurement systems, clearer guidance on uncertainty measures), as well as providing better information of how SWOOSH distinguishes itself from e.g. the GOZCARDS (Froidevaux et al., 2015) or Bodeker scientific BDBP (Bodeker et al., 2013) datasets that are already available. I acknowledge that the paper states its main goal is to introduce the methodology of merging, but without validation (or at least a rough sanity check) of the product, knowledge on the methodology is not very useful since the reader cannot judge the validity of the methodology applied. I hence suggest some major improvements as detailed below before I can recommend publication, which hopefully will help make the manuscript more valuable to the data user.

Major comments

The main problems I see with this manuscript are:

- The authors neglect to put their new database into context with already existing stratospheric databases. I don't agree with the statement in the introduction that 'Despite their chemical and radiative importance in the stratosphere, there have been relatively few attempts at constructing long-term data records of O3 and WV based on vertically resolved satellite limb-based observations of these species'. Given the limited number of satellite instruments covering the time period 1979-2003, how many times can these be merged to the newer satellite instruments (starting 2002 or later) with adding value to previously merged datasets? Providing information on improvements or differences in the merging approaches used is hence a necessity in order not to confuse the data user on which data product should he/she use, but mostly lacking in this document. An obvious omission I see is not citing Bodeker et al. (2013), but then there are other merged datasets that are not mentioned (ESA CCI, Sofieva et al., 2013), wrongly cited (Froidevaux et al., 2015; Hegglin et al., 2014), or just mentioned in passing (Randel and Fu, 2007).

We thank the reviewer for the suggestions on improving the discussion of the SWOOSH record within the context of the previous/existing efforts at merged data sets.

We have clarified the language in the introduction section to better explain the context of SWOOSH and to make clear we are talking about a specific class of data sets that meet the criteria of being vertically resolved (i.e., not total column ozone data sets), timeseries (i.e., and not a climatology like the SPARC Data Initiative data sets by Hegglin and Tegtmeier), and gridded. We also make more clear upfront how the SWOOSH methodology is different than previous efforts.

Also, regarding the reference to Bodeker et al 2013 -- The lack of citation to Bodeker et al. 2013 was a mistake. We had mistakenly cited Hassler et al. 2008 as the reference to the BDBP. The Hassler et al. 2008 work underpins the Bodeker et al 2013 paper, but the

Bodeker et al. paper describes the merged/gridded product, and is thus the appropriate paper to reference in this section.

- The manuscript should provide a much better guideline for the end user for what the dataset can be used for and for what not. The information is sprinkled throughout the manuscript, but never brought to a conclusion or into a summary. The inexperienced data user will then just go ahead and use the merged anomaly-filled dataset that is easiest to use (since there are no holes in the record), but which arguably has the largest uncertainties in reproducing the real atmosphere given the construction methodology.

We agree and thank the reviewer for this idea. We have added a specific set of recommendations in the conclusions section that includes guidance in particular on the use of the merged anomaly-filled data set.

As a more concrete example, the discussion in Appendix A on the investigation of the low bias in Aura-MLS is not drawn upon in the main part of the manuscript and leaves the reader with the open question on how this influences the validity of SWOOSH below 100 hPa. Here again I can't find too useful guidance given by the authors to the user.

The screening described in Appendix A has been applied to the Aura MLS data in SWOOSH to remove the affected data, thus there is no need for the user to do anything. We have modified the text at the end of section 2.4 and in Appendix A to make this clear to the reader.

- Along, with the previous comment, a more accurate communication of the uncertainties of the database is necessary to prevent false conclusions in studies that e.g. look at trends in these species or compare chemistry-climate models to these observations. The different definitions of uncertainty you provide confused me, not last due to the fact that the labeling of the different sigmas in the figures is not always consistent with what you write in the text.

We have improved the uncertainty discussion (also in response to the next reviewer comment), and have double-checked the notation used in the figures and text to ensure that we've used the notation and terminology consistently.

Instead of burying the discussion and definitions of the uncertainties in the Appendix, I would expect this to be a key part of the discussion within the paper and explained in a clearer more concise way.

We believe it is appropriate to keep the detailed derivation of the uncertainties in the Appendix, but we have added additional uncertainty discussion in the manuscript text in order to give the more casual reader an overview of the most important points about the SWOOSH uncertainties.

For example, you could show in addition to the applied offsets shown in figures 5 and 6, similar latitude-height distributions of the RMSS or combined standard deviations (possibly for two different time periods in the early/later part of the record). This should more clearly illustrate where the user can trust the dataset and where not (e.g. < 100hPa).

There are always more plots and pieces of information that could be added to a lengthy paper such as this, but we don't feel these plots would add enough value justify their inclusion in the paper. Instead, we've added discussion on where the data set can be "trusted" and where not into the Discussion section.

- Another major problem I have is with the communication of the data product that uses anomaly filling of data where there is basically no information from the satellite instruments available. These locations should really get a special uncertainty associated with or be flagged in an obvious way. This information is buried in the text and not brought up in the conclusions anymore where also shortcomings of the database should be summarised and highlighted.

We have added a warning about the anomaly filled data to the Discussion section at the end of the paper. Also, SWOOSH users can easily tell where the data have been filled, either by directly comparing the anomaly-filled arrays to the corresponding non-filled version, or by considering the "N" arrays (arrays containing number of data points that went into the bin). We have added text stating this clearly in the discussion section.

- The manuscript states several times that the evaluations presented would illustrate the use of SWOOSH for studies of variability on different timescales. However, Section 5 provides very limited evidence for this and in my eyes is unsatisfactory if the features shown are not validated against independent instruments. I would have expected a better sanity check of the merged product using long-term observations from other measurement platforms for comparison. The authors could as a suggestion compare their new water vapor product to the Boulder FPH dataset, which would span the whole time period of SWOOSH and he nce could be used to check whether the merging worked satisfactorily. Similarly, long ozonesonde records exist (see e.g. Randel and Thompson, 2010), which could be similarly used to test the QBO in the tropics. This is in particular important since the QBO is seen to have very different structures in different instruments (due to sampling, vertical resolution, etc issues see Tegtmeier et al., 2013).

To the extent possible, validation of SWOOSH against independent measurements is a laudable task. Such a "validation" of SWOOSH will be the focus of future work and is beyond the scope of this already quite lengthy paper, as it is primarily intended to document the methodology used.

In regards to ozone, SWOOSH has already participated in several 'validation' activities as part of the SI2N project. These validation activities included comparisons to independent ground-based measurements, independent satellite data sets, and other

merged data sets. The results are summarized in several papers in the peer-reviewed literature (Harris et al., 2015; Tummon et al., 2015; Hubert et al., 2015). It was an oversight on our part to not discuss and reference these papers here, so we've added discussion and references in Section 5. In that section we've also added a timeseries comparison to the tropical ozonesonde site at Natal that was included in our ozonesondes database to further expand on the discussion of QBO-related variability.

With regards to water vapor validation, we have added a comparison between SWOOSH and the Boulder record and a discussion of the results. A number of studies have addressed the divergence between the Boulder FP record and the satellite records; exact reasons for those differences are still not well understood. Given that the purpose of SWOOSH is to reproduce the short and long-term variations in the underlying source records, it comes as no surprise that there are differences.

- Finally, the dataset is provided in different coordinate systems and resolutions and I would assume that the uncertainty estimates should increase when moving from 10 down to 2.5 degrees latitude resolution. Also, I would expect that the higher resolution dataset would be noisier than the lower resolution one especially in the early part of the record. It would therefore be important to illustrate and discuss these characteristics and differences, and I suggest to add some figures with meridional profiles of both absolute values and uncertainties to the manuscript.

Yes, the uncertainty estimates are different for each gridding, as they are calculated independently for each grid (i.e., the 10 degree grid is not just the average of the two 5 degree bins). This is now stated in Section 4.2. We don't feel it is necessary to add figures to the paper to illustrate this.

Minor and technical comments

Abstract: The abstract does not provide enough information on the characteristics of the database. I suggest to add that you have 3D and 2D climatologies, different coordinate systems (list them), height range covered (300, 100 hPa upwards to 1, 0.1, 0.001 hPa?), latitude/longitude resolutions available, and that the instruments considered are all only from NASA satellites (in contrast to e.g. Froidevaux et al. 2013 who use also Canadian Space Agency observations).

Done

P1L13 Suggest to delete 'its use for studies of' I don't think you have shown the use of SWOOSH for the study of climate variability in this paper. This is because you haven't compared to other measurements or models.

Given that we've shown examples of the tape recorder and QBO ozone variability in SWOOSH, and have now included a section on comparison to independent observations, we have left this line in.

P1L19 'climate impacts' is a misleading choice of words.

This has been changed.

P1L25 see major comment above. I don't think you do the past work on merging datasets of the stratospheric community justice by claiming this.

See response to major comment above.

P2L5 It is confusing to mingle merged total column data sets and vertically resolved data sets here.

The point of this sentence was to contrast SWOOSH with the total column data sets.

P2L8 To my knowledge the SPARC Data Initiative does not offer a merged water vapour data set. Hegglin et al. (2014), see full citation below, should be used instead.

Done.

P2L21 A more recent comparison of these satellite instruments supporting this conclusion is given by Tegtmeier et al. (2013).

This reference has been added.

P2L25 The Kley et al. (2000) reference is outdated given that you would also like to compare Aura-MLS (from 2004 onwards) with these earlier satellite observations. Here a reference to the SPARC Data Initiative water vapour assessment (Hegglin et al., 2013) that you used earlier in the wrong context should be added. This paper shows that Aura-MLS, SAGE II, and HALOE do in fact agree much better within 10%

Sentence edited and reference added.

P6L26 This implies that HALOE offers measurements down to the ground, while HALOE data providers now recommend that the data should not be used below 100 hPa. Please amend.

Clarification added.

P6L29 Add reference to Hegglin et al. (2013).

Done

P8L26 The coincident criteria you choose are much looser than what is generally used in validation studies (mostly within 6 hours and 400 km). Have you tried to make them stricter and how would this affect your offset uncertainty estimates? It seems scien-

tifically not correct that by loosening your coincidence criteria (which should introduce larger biases) let's you achieve improved uncertainties on your error estimates (on the grounds that you have more profiles in the comparison that affect your standard error of the mean).

We've chosen a set of criteria that are balance between trying to maximize the quantity of matches and ensuring the matches are of high quality. We have thoroughly explored the phase space of time/distance/eq. lat matching, and have found our criteria to perform best. Although our time/distance matching criteria is looser than some other studies, the use of an equivalent latitude matching criteria more than makes up for this looseness, and allows us to include satellite matches would otherwise be lost by stricter time/distance criteria. And as noted by Reviewer #1, in the stratosphere the results are unlikely to be highly sensitive to the matching choice.

P10L5 Looking at figure 3 this implies that Aura-MLS has a high bias of up to 7

This comment apparently got truncated; we do not know what the reviewer is trying to say.

P10L8-13 I don't understand your argumentation here nor do I agree with it. After all you should assume that the FPH is your truth. By choosing sat+FPH as your reference you are decreasing the percentage bias estimate which seems arbitrary.

*We have clarified the reasoning in this sentence. Briefly, because WV values are physically constrained to be positive, the distribution of percent differences is non-normal and positively skewed if one uses FPH as the reference. These conditions preclude the use of the t-test for differences between the population means. Below 100 hPa, this effect can be very large, as there is a large dynamic range of WV, and any mismatch in the profiles leads to large positive mean percent differences if one of the instruments is chosen as the reference. Take for example two sets of matched profiles, one where sat=1 and FP = 100, and the other where sat=100 and FP = 1. If we compute the average percent difference using the 'conventional' definition (i.e., (sat-FP)/FP*100), then the average percent difference is 0.5 * (-99/100 + 99/1)*100 = 4900%. This would imply that the satellite data are ~5000% high biased relative to the FP, when in fact the value should be zero. In the case of using the average between the FP and satellite as the reference, this is indeed the case.*

We also note that further evidence of the problem with using the 'conventional' definition is given by the fact that the mean and median percent difference are wildly different under this definition, and are almost exactly the same under the definition we've used in the paper.

P12L3 The term 'to create statistical agreement' is a misleading terminology to use here. If I understand your methodology right you simply bias-correct the mean. What bringing into 'statistical agreement' means is to do a quantile-adjustment, i.e., also correcting for the variance and variability differences in two datasets. Please change wording.

We changed the wording.

P13L1 Please specify vertical range.

Done

P13L5-8 This would be an example figure that I would like to see evidenced in the appendix, since the question of the impact of averaging kernels on instrument or model-instrument comparisons is always asked by reviewers and users.

We feel that the existing information regarding the insensitivity of the offsets to vertical averaging assumptions is sufficient, and that adding more content to this paper is unnecessary.

P13L12-15 The study by Hegglin et al. (2014) who introduce a new merging technique based on a chemistry-climate model as transfer function seem to reach the conclusion that a simple merge between two datasets such as HALOE and Aura-MLS does lead to a wrong bias-adjustment due to a potential degradation of HALOE and SAGE II observations towards the end of their lifetimes. Another study by Brinkop et al. (2016) provide additional evidence that support the conclusion of this study. The fact that your methodology is based on bias-adjustment during overlap periods of instruments may hence be a problem for the merging and should be mentioned in this manuscript.

We have altered the wording in the manuscript to read "Thus, drifts or other unphysical changes in individual satellite records, if they exist, are not accounted for in SWOOSH".

P14L31-P15L1 That's not the full story. Equivalent latitude is expected to steepen gradients also in the subtropics and in the tropopause region. Please amend this statement.

Done.

P15L23 onwards: please improve consistency of the annotations of these different standard deviations or uncertainties in the text and the figures 8 and 9.

We checked and did not find any inconsistencies in the notation, and because the reviewer does not give a specific example of an inconsistency this comment is not possible for us to address further.

P17L2 This recommendation to the user of your dataset to use the combined standard deviation (s), which seems equivalent to the light grey shading in figures 8 and 9 (please clarify if this interpretation is not correct), seems scientifically not justifiable to me. The figures show that s is basically constant over the whole time period, which does not reflect in any way that there are known uncertainties stemming from the pure facts that HALOE measurements have a much sparser sampling and have been strongly affected

during the early 90's by the Pinatubo aerosol.

As we discussed in the text, the retrieval uncertainties provided by the instrument teams are problematic for water vapor (i.e., likely too large for SAGE-II, and too small for HALOE). For this reason, SWOOSH users may wish to use the standard deviation of the data as a more consistent measure of 'uncertainty' throughout the record. Alternatively, users could use the standard error of the mean, defined as the standard deviation divided by SQRT(N). In the case of the std. error, the sparser sampling in the early 90's pointed out by the reviewer would indeed be reflected in the uncertainty estimate. That said, the magnitude of a standard error in this case becomes vanishingly small in the later period because of the large number of samples by Aura MLS (N typically of 6000 month^{-1} for a 10° latitude band, leading to std. errors ~ 0.003 ppmv for WV in the stratosphere). It is for this reason that we recommended using the combined standard deviation during the pre Aura MLS period.

We recognize that different users and different types of analysis may necessitate the use of different metrics of 'uncertainty'. For this reason, we have removed the explicit recommendation to use the standard deviation in the manuscript.

P18L6-11 An equivalent statement to this one needs to show up in the conclusion sections.

Done.

P18L24 See comment above, there are new studies that claim the drop in the merged HALOE-Aura-MLS datasets is overestimated (Hegglin et al., 2014; Brinkop et al., 2016), so this cannot be used as proof that your dataset is showing the right behavior. Please add this caveat.

The goal of SWOOSH is to faithfully represent the input data sets used, so the fact that the model-based studies cited above may show some disagreements is irrelevant to the statement here. The point of this statement is to show that in comparison to other studies using similar data, SWOOSH gives similar results.

P20L5 I don't see where you have explained the differences to the Froidevaux or Randel and Wu methodologies further above.

We have clarified this sentence and added text in the introduction section of the paper to make the differences more clear.

P21L3 There are indeed non-American satellite instruments as well that provide water vapor measurements from space. These are the Canadian ACE-FTS or the Swedish Odin-SMR, both of which are still in space and would be very useful for extending the water vapor record (at least as long as they can keep up in space as is true for Aura-MLS).

*We have altered the sentence to remove the claim that Aura MLS is the **only** data set*

*available. Our intention was to state that the Aura MLS is the only **suitable** instrument (i.e., high quality and complete sampling) for the SWOOSH record. In our opinion the ACE-FTS sampling is too poor and the Odin-SMR data quality is too poor to be included in SWOOSH.*

Appendix A: It is not clear to me how this information on the Aura-MLS low bias affects the data screening used in your study.

As we stated in P8L1-2 of the original manuscript, "Additional filtering of the Aura MLS WV data in the UTLS is described in Appendix A". To try and make more clear that Appendix A describes the algorithm for removing low-biased MLS data in the UTLS, we have changed this sentence to read "Additional filtering of the Aura MLS WV data to remove low-biased data in the UTLS is described in Appendix A". We have also modified the sentence at the end of section 3.1 to read "Additional screening of the Aura MLS data set to remove low-biased WV data in the UTLS is discussed in Appendix A"

P22L15 delete 'the' between 'kernel to' and 'degrade'

Done

P22L18-24 This seems to me a very surprising result and in my eyes warrants further investigation. Do you really use the full retrieval procedure that is used in an equivalent way for the retrieval of MLS L2 data?

We don't use the "full retrieval procedure" that is used by MLS, as that would involve retrieveing geophysical parameters from the L1 radiances. As we outline in the appendix, we use the procedure described in Read et al. (2007 – note Read is also a coauthor of this paper) that involves convolving the high vertical resolution FP profile with the MLS averaging kernel and a priori profile.

The shape of the a-priori profile seems too close to the L2 data profile so that it would be surprising that other measurement aspects create the strong oscillations instead. Did you discuss this with the MLS folks?

One of the MLS science team members (Bill Read) is a coauthor on this paper, and we've discussed this issue in detail not only with him but also other MLS team members (e.g., Nathaniel Livesey, Alyn Lambert)

P23L1 The dry bias in Aura-MLS at this altitude has also been pointed out in the study by Read et al. (2007) and Hegglin et al. (2013).

We've cited the previous validation work in the last sentence of Appendix A. The Hegglin et al (2013) reference was not included in the original manuscript, but it has been added in the revised manuscript.

P23L29 This seems to contradict your interpretation of your own evaluation in P22L18-24.

As mentioned in our reply above, there is a difference between the averaging kernel/smoothing procedure from Read et al. (2007) and the actual MLS retrieval, so these statements are not contradictory.

References: Please use the correct reference (see below) for referring to GOZCARDS, the paper has been published in ACP last year already.

Done

Figure 4: Suggest adding a relative difference plot to make this figure consistent with

Figure 3.

Done

It is not good practice to cover up the axis tick marks with the legend (in both Figures 3 and 4).

Done

Figure 10: This is not a good color scale to use. It makes HALOE appear to have an artifact in equivalent latitude with a distinct high-bias in the Southern hemisphere middle stratosphere when compared to the other panels. Or is it possibly an artifact of the use of equivalent latitude, which introduces too high values at these latitudes? Please test and comment.

We have changed the color scale. See also comment in response to Reviewer #1.