# **Global Carbon Budget 2015**

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 9
      Abstract
      Accurate assessment of anthropogenic carbon dioxide (CO<sub>2</sub>) emissions and their redistribution
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      among the atmosphere, ocean, and terrestrial biosphere is important to better understand the
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      global carbon cycle, support the development of climate policies, and project future climate
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      change. Here we describe data sets and a methodology to quantify all major components of the
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      global carbon budget, including their uncertainties, based on the combination of a range of data,
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      algorithms, statistics and model estimates and their interpretation by a broad scientific
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      community. We discuss changes compared to previous estimates, consistency within and among
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      components, alongside methodology and data limitations. CO2 emissions from fossil fuel
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      combustion and cement production (E<sub>FF</sub>) are based on energy statistics and cement production
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      data, respectively, while emissions from land-use change (E<sub>LUC</sub>), mainly deforestation, are based
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      on combined evidence from land-cover change data, fire activity associated with deforestation,
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      and models. The global atmospheric CO<sub>2</sub> concentration is measured directly and its rate of growth
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      (G<sub>ATM</sub>) is computed from the annual changes in concentration. The mean ocean CO<sub>2</sub> sink (S<sub>OCEAN</sub>) is
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      based on observations from the 1990s, while the annual anomalies and trends are estimated with
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      ocean models. The variability in S<sub>OCEAN</sub> is evaluated with data products based on surveys of ocean
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      CO<sub>2</sub> measurements. The global residual terrestrial CO<sub>2</sub> sink (S<sub>LAND</sub>) is estimated by the difference of
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      the other terms of the global carbon budget and compared to results of independent Dynamic
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      Global Vegetation Models forced by observed climate, CO<sub>2</sub> and land-cover change (some including
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      nitrogen-carbon interactions). We compare the mean land and ocean fluxes and their variability to
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      estimates from three atmospheric inverse methods for three broad latitude bands. All
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      uncertainties are reported as ±1\sigma, reflecting the current capacity to characterise the annual
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      estimates of each component of the global carbon budget. For the last decade available (2005-
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      2014), E_{FF} was 9.0 \pm 0.5 GtC yr^{-1}, E_{LUC} 0.9 \pm 0.5 GtC yr^{-1}, G_{ATM} 4.4 \pm 0.1 GtC yr^{-1}, S_{OCEAN} 2.6 \pm 0.5 GtC
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      yr<sup>-1</sup>, and S_{LAND} 3.0 ± 0.8 GtC yr<sup>-1</sup>. For year 2014 alone, E_{FF} grew to 9.8 ± 0.5 GtC yr<sup>-1</sup>, 0.6 % above
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      2013, continuing the growth trend in these emissions albeit at a slower rate compared to the
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      average growth of 2.2 % yr^{-1} that took place during 2005-2014. Also for 2014, E_{LUC} was 1.1 \pm 0.5
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- 1 GtC yr<sup>-1</sup>,  $G_{ATM}$  was  $3.9 \pm 0.2$  GtC yr<sup>-1</sup>,  $S_{OCEAN}$  was  $2.9. \pm 0.5$  GtC yr<sup>-1</sup> and  $S_{LAND}$  was  $4.1 \pm 0.9$  GtC yr<sup>-1</sup>.
- 2 G<sub>ATM</sub> was lower in 2014 compared to the past decade (2005-2014), reflecting a larger S<sub>LAND</sub> for that
- year. The global atmospheric  $CO_2$  concentration reached 397.15  $\pm$  0.10 ppm averaged over 2014.
- 4 For 2015, preliminary data indicate that the growth in E<sub>FF</sub> will be near of slightly below zero, with a
- 5 projection of –0.6 [range of –1.6 to +0.5]%, based on national emissions projections for China and
- 6 USA, and projections of Gross Domestic Product corrected for recent changes in the carbon
- 7 intensity of the global economy for the rest of the world. From this projection of E<sub>FF</sub> and assumed
- 8 constant  $E_{LUC}$  for 2015, cumulative emissions of  $CO_2$  will reach about 555 ± 55 GtC (2035 ± 205
- 9 GtCO<sub>2</sub>) for 1870-2015, about 75% from E<sub>FF</sub> and 25% from E<sub>LUC</sub>. This living data update documents
- 10 changes in the methods and data sets used in this new carbon budget compared with previous
- publications of this data set (Le Quéré et al., 2015; 2014; 2013). All observations presented here
- can be downloaded from the Carbon Dioxide Information Analysis Center (doi:
- 13 10.3334/CDIAC/GCP\_2015).

#### 1 Introduction

- 15 The concentration of carbon dioxide (CO<sub>2</sub>) in the atmosphere has increased from approximately
- 277 parts per million (ppm) in 1750 (Joos and Spahni, 2008), the beginning of the Industrial Era, to
- 397.15 ppm in 2014 (Dlugokencky and Tans, 2015). Daily averages went above 400 ppm for the
- first time at Mauna Loa station in May 2013 (Scripps, 2013). This station holds the longest running
- 19 record of direct measurements of atmospheric CO<sub>2</sub> concentration (Tans and Keeling, 2014). The
- 20 global monthly average concentration was above 400 ppm in March through May 2015 for the
- 21 first time (Dlugokencky and Tans, 2015; Fig. 1), while at Mauna Loa the seasonally-corrected
- 22 monthly average concentration reached 400 ppm in March 2015 and continued to rise. The
- 23 atmospheric CO<sub>2</sub> increase above preindustrial levels was, initially, primarily caused by the release
- of carbon to the atmosphere from deforestation and other land-use change activities (Ciais et al.,
- 25 2013). While emissions from fossil fuel combustion started before the Industrial Era, they only
- became the dominant source of anthropogenic emissions to the atmosphere from around 1920
- and their relative share has continued to increase until present. Anthropogenic emissions occur on
- top of an active natural carbon cycle that circulates carbon between the atmosphere, ocean, and
- 29 terrestrial biosphere reservoirs on time scales from days to millennia, while exchanges with
- 30 geologic reservoirs occur at longer timescales (Archer et al., 2009).

- 1 The global carbon budget presented here refers to the mean, variations, and trends in the
- 2 perturbation of CO<sub>2</sub> in the atmosphere, referenced to the beginning of the Industrial Era. It
- 3 quantifies the input of CO<sub>2</sub> to the atmosphere by emissions from human activities, the growth of
- 4 CO<sub>2</sub> in the atmosphere, and the resulting changes in the storage of carbon in the land and ocean
- 5 reservoirs in response to increasing atmospheric CO<sub>2</sub> levels, climate and variability, and other
- 6 anthropogenic and natural changes (Fig. 2). An understanding of this perturbation budget over
- 7 time and the underlying variability and trends of the natural carbon cycle are necessary to
- 8 understand the response of natural sinks to changes in climate, CO<sub>2</sub> and land-use change drivers,
- 9 and the permissible emissions for a given climate stabilization target.
- 10 The components of the CO<sub>2</sub> budget that are reported annually in this paper include separate
- estimates for (1) the CO<sub>2</sub> emissions from fossil fuel combustion and oxidation and cement
- production (E<sub>FF</sub>; GtC yr<sup>-1</sup>), (2) the CO<sub>2</sub> emissions resulting from deliberate human activities on land
- leading to land-use change (E<sub>LUC</sub>; GtC yr<sup>-1</sup>), (3) the growth rate of CO<sub>2</sub> in the atmosphere (G<sub>ATM</sub>; GtC
- 14 yr<sup>-1</sup>), and the uptake of CO<sub>2</sub> by the 'CO<sub>2</sub> sinks' in (4) the ocean (S<sub>OCEAN</sub>; GtC yr<sup>-1</sup>) and (5) on land
- $(S_{LAND}; GtC yr^{-1})$ . The  $CO_2$  sinks as defined here include the response of the land and ocean to
- elevated CO<sub>2</sub> and changes in climate and other environmental conditions. The global emissions
- and their partitioning among the atmosphere, ocean and land are in balance:

$$E_{FF} + E_{LUC} = G_{ATM} + S_{OCEAN} + S_{LAND}. (1)$$

- 18 G<sub>ATM</sub> is usually reported in ppm yr<sup>-1</sup>, which we convert to units of carbon mass, GtC yr<sup>-1</sup>, using 1
- 19 ppm = 2.12 GtC (Ballantyne et al., 2012; Prather et al., 2012; Table 1). We also include a
- 20 quantification of E<sub>FF</sub> by country, computed with both territorial and consumption based
- 21 accounting (see Methods).
- 22 Equation (1) partly omits two kinds of processes. The first is the net input of CO<sub>2</sub> to the
- 23 atmosphere from the chemical oxidation of reactive carbon-containing gases from sources other
- 24 than fossil fuels (e.g. fugitive anthropogenic CH<sub>4</sub> emissions, industrial processes, and changes of
- 25 biogenic emissions from changes in vegetation, fires, wetlands, etc.), primarily methane (CH<sub>4</sub>),
- carbon monoxide (CO), and volatile organic compounds such as isoprene and terpene. CO
- 27 emissions are currently implicit in E<sub>FF</sub> while anthropogenic CH<sub>4</sub> emissions are not and thus their
- inclusion would result in a small increase in E<sub>FF</sub>. The second is the anthropogenic perturbation to
- 29 carbon cycling in terrestrial freshwaters, estuaries, and coastal areas, that modifies lateral fluxes
- 30 from land ecosystems to the open ocean, the evasion CO<sub>2</sub> flux from rivers, lakes and estuaries to

- the atmosphere, and the net air-sea anthropogenic CO<sub>2</sub> flux of coastal areas (Regnier et al., 2013).
- 2 The inclusion of freshwater fluxes of anthropogenic CO<sub>2</sub> would affect the estimates of, and
- 3 partitioning between, S<sub>LAND</sub> and S<sub>OCEAN</sub> in Eq. (1) in complementary ways, but would not affect the
- 4 other terms. These flows are omitted in absence of annual information on the natural versus
- 5 anthropogenic perturbation terms of these loops of the carbon cycle, and they are discussed in
- 6 Section 2.7.
- 7 The CO<sub>2</sub> budget has been assessed by the Intergovernmental Panel on Climate Change (IPCC) in all
- 8 assessment reports (Ciais et al., 2013; Denman et al., 2007; Prentice et al., 2001; Schimel et al.,
- 9 1995; Watson et al., 1990), and by others (e.g. Ballantyne et al., 2012). These assessments
- included budget estimates for the decades of the 1980s, 1990s (Denman et al., 2007) and, most
- recently, the period 2002-2011 (Ciais et al., 2013). The IPCC methodology has been adapted and
- used by the Global Carbon Project (GCP, www.globalcarbonproject.org), which has coordinated a
- cooperative community effort for the annual publication of global carbon budgets up to year 2005
- (Raupach et al., 2007; including fossil emissions only), year 2006 (Canadell et al., 2007), year 2007
- (published online; GCP, 2007), year 2008 (Le Quéré et al., 2009), year 2009 (Friedlingstein et al.,
- 2010), year 2010 (Peters et al., 2012b), year 2012 (Le Quéré et al., 2013; Peters et al., 2013), year
- 17 2013 (Le Quéré et al., 2014), and most recently year 2014 (Friedlingstein et al., 2014; Le Quéré et
- al., 2015), where the carbon budget year refers to the initial year of publication. Each of these
- 19 papers updated previous estimates with the latest available information for the entire time series.
- 20 From 2008, these publications projected fossil fuel emissions for one additional year using the
- 21 projected World Gross Domestic Product and estimated improvements in the carbon intensity of
- the global economy.
- We adopt a range of  $\pm 1$  standard deviation ( $\sigma$ ) to report the uncertainties in our estimates,
- representing a likelihood of 68% that the true value will be within the provided range if the errors
- 25 have a Gaussian distribution. This choice reflects the difficulty of characterising the uncertainty in
- 26 the CO<sub>2</sub> fluxes between the atmosphere and the ocean and land reservoirs individually,
- 27 particularly on an annual basis, as well as the difficulty of updating the CO<sub>2</sub> emissions from land-
- use change. A likelihood of 68% provides an indication of our current capability to quantify each
- term and its uncertainty given the available information. For comparison, the Fifth Assessment
- 30 Report of the IPCC (AR5) generally reported a likelihood of 90% for large data sets whose
- uncertainty is well characterised, or for long time intervals less affected by year-to-year variability.

- Our 68% uncertainty value is near the 66% which the IPCC characterises as 'likely' for values falling
- into the  $\pm 1\sigma$  interval. The uncertainties reported here combine statistical analysis of the
- 3 underlying data and expert judgement of the likelihood of results lying outside this range. The
- 4 limitations of current information are discussed in the paper and have been examined in detail
- 5 elsewhere (Ballantyne et al., 2015).
- 6 All quantities are presented in units of gigatonnes of carbon (GtC, 10<sup>15</sup> gC), which is the same as
- 7 petagrams of carbon (PgC; Table 1). Units of gigatonnes of CO<sub>2</sub> (or billion tonnes of CO<sub>2</sub>) used in
- 8 policy are equal to 3.664 multiplied by the value in units of GtC.
- 9 This paper provides a detailed description of the data sets and methodology used to compute the
- global carbon budget estimates for the period preindustrial (1750) to 2014 and in more detail for
- the period 1959 to 2014. We also provide decadal averages starting in 1960 including the last
- decade (2005-2014), results for the year 2014, and a projection of E<sub>FF</sub> for year 2015. Finally we
- provide the total or cumulative emissions from fossil fuels and land-use change since year 1750,
- the preindustrial period, and since year 1870, the reference year for the cumulative carbon
- estimate used by the IPCC (AR5) based on the availability of global temperature data (Stocker et
- al., 2013). This paper will be updated every year using the format of 'living data' to keep a record
- of budget versions and the changes in new data, revision of data, and changes in methodology
- that lead to changes in estimates of the carbon budget. Additional materials associated with the
- release of each new version will be posted at the Global Carbon Project (GCP) website
- 20 (http://www.globalcarbonproject.org/carbonbudget). Data associated with this release are also
- 21 available through the Global Carbon Atlas (http://www.globalcarbonatlas.org). With this
- 22 approach, we aim to provide the highest transparency and traceability in the reporting of CO<sub>2</sub>, the
- 23 key driver of climate change.

# 2 Methods

- 25 Multiple organizations and research groups around the world generated the original
- 26 measurements and data used to complete the global carbon budget. The effort presented here is
- 27 thus mainly one of synthesis, where results from individual groups are collated, analysed and
- 28 evaluated for consistency. We facilitate access to original data with the understanding that
- 29 primary data sets will be referenced in future work (See Table 2 for 'How to cite' the data sets).
- 30 Descriptions of the measurements, models, and methodologies follow below and in depth

- descriptions of each component are described elsewhere (e.g. Andres et al., 2012; Houghton et
- 2 al., 2012).

- 3 This is the tenth version of the 'global carbon budget' (see Introduction for details) and the fourth
- 4 revised version of the 'global carbon budget living data update'. It is an update of Le Quéré et al.
- 5 (2015), including data to year 2014 (inclusive) and a projection for fossil fuel emissions for year
- 6 2015. The main changes from Le Quéré et al. (2015) are: (1) the use of national emissions for E<sub>FF</sub>
- 7 from the United Nations Framework Convention on Climate Change (UNFCCC) where available
- 8 and (2) the projection of E<sub>FF</sub> for 2015 is based on national emissions projections for China and
- 9 USA, and Gross Domestic Product corrected for recent changes in the carbon intensity of the
- 10 global economy for the rest of the world. The main methodological differences between annual
- carbon budgets are summarised in Table 3.

# 12 2.1 CO<sub>2</sub> emissions from fossil fuel combustion and cement production (E<sub>FF</sub>)

## 2.1.1 Fossil fuel and cement emissions and their uncertainty

- 14 The calculation of global and national CO<sub>2</sub> emissions from fossil fuel combustion, including gas
- 15 flaring and cement production (E<sub>FF</sub>), relies primarily on energy consumption data, specifically data
- on hydrocarbon fuels, collated and archived by several organisations (Andres et al., 2012). These
- include the Carbon Dioxide Information Analysis Center (CDIAC), the International Energy Agency
- 18 (IEA), the United Nations (UN), the United States Department of Energy (DoE) Energy Information
- 19 Administration (EIA), and more recently also the Planbureau voor de Leefomgeving (PBL)
- 20 Netherlands Environmental Assessment Agency. Where available, we use national emissions
- estimated by the countries themselves and reported to the UNFCCC for the period 1990-2012 (42
- 22 countries). We assume that national emissions reported to the UNFCCC are the most accurate
- because national experts have access to additional and country-specific information, and because
- these emission estimates are verified by the UNFCCC. We also use global and national emissions
- estimated by CDIAC (Boden et al., 2013). The CDIAC emission estimates are the only data set that
- 26 extends back in time to 1751 with consistent and well-documented emissions from fossil fuel
- 27 combustion, cement production, and gas flaring for all countries and their uncertainty (Andres et
- al., 2014; Andres et al., 2012; Andres et al., 1999); this makes the data set a unique resource for
- 29 research of the carbon cycle during the fossil fuel era. The global emissions presented here are

from the CDIAC analysis, which provides an internally-consistent global estimate including bunker 1 fuels. 2 3 During the period 1959-2011, the emissions from fossil fuels estimated by CDIAC are based primarily on energy data provided by the UN Statistics Division (UN, 2014a; b; Table 4). When 4 necessary, fuel masses/volumes are converted to fuel energy content using coefficients provided 5 6 by the UN and then to CO<sub>2</sub> emissions using conversion factors that take into account the 7 relationship between carbon content and energy (heat) content of the different fuel types (coal, oil, gas, gas flaring) and the combustion efficiency (to account, for example, for soot left in the 8 9 combustor or fuel otherwise lost or discharged without oxidation). Most data on energy consumption and fuel quality (carbon content and heat content) are available at the country level 10 (UN, 2014a). In general, CO<sub>2</sub> emissions for equivalent primary energy consumption are about 30% 11 higher for coal compared to oil, and 70% higher for coal compared to natural gas (Marland et al., 12 2007). All estimated fossil fuel emissions are based on the mass flows of carbon and assume that 13 the fossil carbon emitted as CO or CH<sub>4</sub> will soon be oxidized to CO<sub>2</sub> in the atmosphere and can be 14 accounted for with CO<sub>2</sub> emissions (see Section 2.7). 15 For the most recent 2-3 years when the UNFCCC estimates and UN statistics used by CDIAC are 16 not yet available (or there was insufficient time to process and verify them), we generated 17 18 preliminary estimates based on the BP annual energy review by applying the growth rates of energy consumption (coal, oil, gas) for 2013-2014 to the UNFCCC national emissions in 2012, and 19 for 2012-2014 for the CDIAC national and global emissions in 2011 (BP, 2015). BP's sources for 20 energy statistics overlap with those of the UN data, but are compiled more rapidly from about 70 21 countries covering about 96% of global emissions. We use the BP values only for the year-to-year 22 rate of change, because the rates of change are less uncertain than the absolute values and to 23 avoid discontinuities in the time-series when linking the UN-based data with the BP data. These 24 25 preliminary estimates are replaced by the more complete UNFCCC or CDIAC data based on UN statistics when they become available. Past experience and work by others (Andres et al., 2014; 26 Myhre et al., 2009) shows that projections based on the BP rate of change are within the 27 uncertainty provided (see Sect. 3.2 and Supplementary Information from Peters et al., 2013). 28 Estimates of emissions from cement production by CDIAC are based on cement production data 29 from the US Geological Survey up to year 2013 (van Oss, 2013), and up to 2014 for the top 18 30

countries (representing 85% of global production; USGS, 2015). For countries without data in 2014

we use the 2013 values (zero growth). Some fraction of the CaO and MgO in cement is returned to 1 2 the carbonate form during cement weathering but this is generally regarded to be small and is 3 ignored here. Estimates of emissions from gas flaring by CDIAC are calculated in a similar manner as those from 4 5 solid, liquid, and gaseous fuels, and rely on the UN Energy Statistics to supply the amount of flared 6 or vented fuel. For emission years 2012-2014, flaring is assumed constant from 2011 (emission 7 year) UN-based data. The basic data on gas flaring report atmospheric losses during petroleum production and processing that have large uncertainty and do not distinguish between gas that is 8 9 flared as CO<sub>2</sub> or vented as CH<sub>4</sub>. Fugitive emissions of CH<sub>4</sub> from the so-called upstream sector (e.g., coal mining and natural gas distribution) are not included in the accounts of CO<sub>2</sub> emissions except 10 to the extent that they are captured in the UN energy data and counted as gas 'flared or lost'. 11 The published CDIAC data set includes 250 countries and regions. This expanded list includes 12 countries that no longer exist, such as the USSR or East Pakistan. For the carbon budget, we 13 reduce the list to 216 countries by reallocating emissions to the currently defined territories. This 14 involved both aggregation and disaggregation, and does not change global emissions. Examples of 15 aggregation include merging East and West Germany to the currently defined Germany. Examples 16 of disaggregation include reallocating the emissions from former USSR to the resulting 17 18 independent countries. For disaggregation, we use the emission shares when the current territory first appeared. For the most recent years, 2012-2014, the BP statistics are more aggregated, but 19 we retain the detail of CDIAC by applying the growth rates of each aggregated region in the BP 20 data set to its constituent individual countries in CDIAC. 21 Estimates of CO<sub>2</sub> emissions show that the global total of emissions is not equal to the sum of 22 23 emissions from all countries. This is largely attributable to emissions that occur in international 24 territory, in particular the combustion of fuels used in international shipping and aviation (bunker fuels), where the emissions are included in the global totals but are not attributed to individual 25 countries. In practice, the emissions from international bunker fuels are calculated based on 26 where the fuels were loaded, but they are not included with national emissions estimates. Other 27 differences occur because globally the sum of imports in all countries is not equal to the sum of 28 exports and because of differing treatment of oxidation of non-fuel uses of hydrocarbons (e.g. as 29

solvents, lubricants, feedstocks, etc.), and changes in stock (Andres et al., 2012).

The uncertainty of the annual fossil fuel and cement emissions for the globe has been estimated 1 at  $\pm 5$  % (scaled down from the published  $\pm 10$  % at  $\pm 2\sigma$  to the use of  $\pm 1\sigma$  bounds reported here; 2 3 Andres et al., 2012). This is consistent with a more detailed recent analysis of uncertainty of ±8.4 4 % at  $\pm 2\sigma$  (Andres et al., 2014) and at the high-end of the range of  $\pm 5$ -10 % at  $\pm 2\sigma$  reported by Ballantyne et al. (2015). This includes an assessment of uncertainties in the amounts of fuel 5 consumed, the carbon and heat contents of fuels, and the combustion efficiency. While in the 6 budget we consider a fixed uncertainty of ±5% for all years, in reality the uncertainty, as a 7 percentage of the emissions, is growing with time because of the larger share of global emissions 8 from non-Annex B countries (emerging economies and developing countries) with less precise 9 10 statistical systems (Marland et al., 2009). For example, the uncertainty in Chinese emissions has been estimated at around ±10% (for ±10; Gregg et al., 2008), and important potential biases have 11 been identified suggesting China's emissions could be overestimated in published studies (Liu et 12 al. 2015). Generally, emissions from mature economies with good statistical bases have an 13 uncertainty of only a few per cent (Marland, 2008). Further research is needed before we can 14 15 quantify the time evolution of the uncertainty, and its temporal error correlation structure. We note that even if they are presented as  $1\sigma$  estimates, uncertainties of emissions are likely to be 16 17 mainly country-specific systematic errors related to underlying biases of energy statistics and to the accounting method used by each country. We assign a medium confidence to the results 18 19 presented here because they are based on indirect estimates of emissions using energy data (Durant et al., 2010). There is only limited and indirect evidence for emissions, although there is a 20 high agreement among the available estimates within the given uncertainty (Andres et al., 2014; 21 Andres et al., 2012), and emission estimates are consistent with a range of other observations 22 (Ciais et al., 2013), even though their regional and national partitioning is more uncertain (Francey 23 et al., 2013). 24

#### 2.1.2 Emissions embodied in goods and services

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National emission inventories take a territorial (production) perspective and 'include greenhouse gas emissions and removals taking place within national territory and offshore areas over which the country has jurisdiction' (Rypdal et al., 2006). That is, emissions are allocated to the country where and when the emissions actually occur. The territorial emission inventory of an individual country does not include the emissions from the production of goods and services produced in other countries (e.g. food and clothes) that are used for consumption. Consumption-based

emission inventories for an individual country is another attribution point of view that allocates 1 global emissions to products that are consumed within a country, and are conceptually calculated 2 3 as the territorial emissions minus the 'embedded' territorial emissions to produce exported 4 products plus the emissions in other countries to produce imported products (Consumption = 5 Territorial – Exports + Imports). The difference between the territorial- and consumption-based emission inventories is the net transfer (exports minus imports) of emissions from the production 6 of internationally traded products. Consumption-based emission attribution results (e.g. Davis and 7 Caldeira, 2010) provide additional information to territorial-based emissions that can be used to 8 understand emission drivers (Hertwich and Peters, 2009), quantify emission (virtual) transfers by 9 the trade of products between countries (Peters et al., 2011b) and potentially design more 10 11 effective and efficient climate policy (Peters and Hertwich, 2008). We estimate consumption-based emissions by enumerating the global supply chain using a global 12 model of the economic relationships between economic sectors within and between every 13 country (Andrew and Peters, 2013; Peters et al., 2011a). Due to availability of the input data, 14 detailed estimates are made for the years 1997, 2001, 2004, 2007, and 2011 (using the 15 methodology of Peters et al., 2011b) using economic and trade data from the Global Trade and 16 Analysis Project version 9 (GTAP; Narayanan et al., 2015). The results cover 57 sectors and 140 17 countries and regions. The results are extended into an annual time-series from 1990 to the latest 18 year of the fossil fuel emissions or GDP data (2013 in this budget), using Gross Domestic Product 19 (GDP) data by expenditure in current exchange rate of US dollars (USD; from the UN National 20 21 Accounts main Aggregrates database; UN, 2014c) and time series of trade data from GTAP (based 22 on the methodology in Peters et al., 2011b). The consumption-based emission inventories in this carbon budget incorporate several 23 improvements over previous versions (Le Quéré et al., 2013; Peters et al., 2012b; Peters et al., 24 25 2011b). The detailed estimates for 2004, 2007 and 2011 and time series approximation from 26 1990-2013 are based on an updated version of the GTAP database (Narayanan et al., 2015). We estimate the sector level CO<sub>2</sub> emissions using our own calculations based on the GTAP data and 27 methodology, include flaring and cement emissions from CDIAC, and then scale the national totals 28 29 (excluding bunker fuels) to match the CDIAC estimates from the most recent carbon budget. We do not include international transportation in our estimates of national totals, but include them in 30 the global total. The time-series of trade data provided by GTAP covers the period 1995-2011 and 31

- our methodology uses the trade shares as this data set. For the period 1990-1994 we assume the
- trade shares of 1995, while for 2012 and 2013 we assume the trade shares of 2011.
- 3 We do not provide an uncertainty estimate for these emissions, but based on model comparisons
- 4 and sensitivity analysis, they are unlikely to be larger than for the territorial emission estimates
- 5 (Peters et al., 2012a). Uncertainty is expected to increase for more detailed results, and to
- 6 decrease with aggregation (Peters et al., 2011b; e.g. the results for Annex B countries will be more
- 7 accurate than the sector results for an individual country).
- 8 The consumption-based emissions attribution method considers the CO<sub>2</sub> emitted to the
- 9 atmosphere in the production of products, but not the trade in fossil fuels (coal, oil, gas). It is also
- possible to account for the carbon trade in fossil fuels (Davis et al., 2011), but we do not present
- those data here. Peters et al. (2012a) additionally considered trade in biomass.
- 12 The consumption data do not modify the global average terms in Eq. (1), but are relevant to the
- anthropogenic carbon cycle as they reflect the trade-driven movement of emissions across the
- 14 Earth's surface in response to human activities. Furthermore, if national and international climate
- policies continue to develop in an un-harmonised way, then the trends reflected in these data will
- need to be accommodated by those developing policies.

# 17 **2.1.3** Growth rate in emissions

- 18 We report the annual growth rate in emissions for adjacent years (in percent per year) by
- calculating the difference between the two years and then comparing to the emissions in the first
- year:  $\left[\frac{E_{FF(t_0+1)}-E_{FF(t_0)}}{E_{FF(t_0)}}\right] \times \% yr^{-1}$ . This is the simplest method to characterise a one-year growth
- compared to the previous year and is widely used. We apply a leap-year adjustment to ensure
- valid interpretations of annual growth rates. This affects the growth rate by about 0.3% yr<sup>-1</sup> ( $\frac{1}{365}$ )
- and causes growth rates to go up approximately 0.3% if the first year is a leap year and down 0.3%
- 24 if the second year is a leap year.
- 25 The relative growth rate of E<sub>FF</sub> over time periods of greater than one year can be re-written using
- 26 its logarithm equivalent as follows:

$$\frac{1}{E_{FF}}\frac{dE_{FF}}{dt} = \frac{d(lnE_{FF})}{dt} \tag{2}$$

- 1 Here we calculate relative growth rates in emissions for multi-year periods (e.g. a decade) by
- fitting a linear trend to  $ln(E_{FF})$  in Eq. (2), reported in percent per year. We fit the logarithm of  $E_{FF}$
- 3 rather than E<sub>FF</sub> directly because this method ensures that computed growth rates satisfy Eq. (6).
- 4 This method differs from previous papers (Canadell et al., 2007; Le Quéré et al., 2009; Raupach et
- al., 2007) that computed the fit to  $E_{FF}$  and divided by average  $E_{FF}$  directly, but the difference is very
- 6 small (<0.05%) in the case of  $E_{FF}$ .

# 2.1.4 Emissions projections

- 8 Energy statistics from BP are normally available around June for the previous year. To gain insight
- 9 on emission trends for the current year (2015), we provide an assessment of global emissions for
- 10 E<sub>FF</sub> by combining individual assessments of emissions for China and the USA (the two biggest
- emitting countries), and the rest of the world.
- 12 We specifically estimate emissions in China as the evidence suggests a departure from the long-
- term trends in the carbon intensity of the economy used in emissions projections in previous
- 14 global carbon budgets (e.g. Le Quéré et al. 2015), resulting from significant drops in industrial
- production against continued growth in economic output. This departure could be temporary
- (Jackson et al., submitted). Our 2015 estimate for China uses: (1) apparent consumption of coal
- 17 for January to August estimated using production data from the National Bureau of Statistics
- 18 (2015), imports and exports of coal from China Customs Statistics (General Administration of
- 19 Customs of the People's Republic of China, 2015a, b), and from partial data on stock changes from
- 20 industry sources (China Coal Industry Association, 2015; China Coal Resource, 2015), (2) apparent
- 21 consumption of oil and gas for January to June from the National Energy Administration (2015),
- and (3) production of cement reported for January to August (National Bureau of Statistics of
- 23 China, 2015). Using these data, we estimate the change in emissions for the corresponding
- 24 months in 2015 compared to 2014 assuming constant emission factors. We then assume that the
- 25 changes during the first 6-8 months will persist throughout the year. The main sources of
- 26 uncertainty are from the incomplete data on stock changes, the carbon content of coal and the
- assumption of persistent behaviour for the rest of 2015. These are discussed further in section
- 28 3.2.1. We tested our new method using data available in October 2014 to make a 2014 projection
- of coal consumption and cement production, both of which changed substantially in 2014. For the
- apparent consumption of coal we would have projected a change of −3.2% in coal use for 2014,
- 31 compared to -2.9% reported by NBS in February 2015, while for the production of cement we

- 1 would have projected a change of +3.5%, compared to a realised change of +2.3%. In both cases,
- the projection of a decrease is consistent with the realised change.
- 3 For the USA, we use the forecast of the U.S. Energy Information Administration (EIA) 'Short-term
- 4 energy outlook' (October 2015) for emissions from fossil fuel combustion. This is based on an
- 5 energy forecasting model which is revised monthly, and takes into account heating-degree days,
- 6 household expenditures by fuel type, energy markets, policies, and other effects. We combine this
- 7 with our estimate of emissions from cement production using the monthly U.S. cement data from
- 8 USGS for January-July, assuming changes in cement production over the first seven months apply
- 9 throughout the year. We estimate an uncertainty range using the revisions of the October
- 10 forecasts made by the EIA one year later. These were less than 2% during 2009-2014 (when a
- 11 forecast was done), except for 2011 when it was –4.0%. We thus use a conservative uncertainty
- range of -4.0% to +1.8% around the central forecast.
- 13 For the rest of the world, we use the close relationship between the growth in GDP and the
- growth in emissions (Raupach et al., 2007) to project emissions for the current year. This is based
- on the so-called Kaya identity (also called IPAT identity, the acronym standing for human impact
- (I) on the environment, which is equal to the product of P= population, A= affluence, T=
- technology), whereby E<sub>FF</sub> (GtC yr<sup>-1</sup>) is decomposed by the product of GDP (USD yr<sup>-1</sup>) and the fossil
- fuel carbon intensity of the economy (I<sub>FF</sub>; GtC USD<sup>-1</sup>) as follows:

$$E_{FF} = GDP \times I_{FF} \tag{3}$$

- 19 Such product-rule decomposition identities imply that the relative growth rates of the multiplied
- 20 quantities are additive. Taking a time derivative of Equation (3) gives:

$$\frac{dE_{FF}}{dt} = \frac{d(GDP \times I_{FF})}{dt} \tag{4}$$

21 and applying the rules of calculus:

$$\frac{dE_{FF}}{dt} = \frac{dGDP}{dt} \times I_{FF} + GDP \times \frac{dI_{FF}}{dt}$$
 (5)

finally, dividing (5) by (3) gives:

$$\frac{1}{E_{FF}}\frac{dE_{FF}}{dt} = \frac{1}{GDP}\frac{dGDP}{dt} + \frac{1}{I_{FF}}\frac{dI_{FF}}{dt}$$
 (6)

- where the left hand term is the relative growth rate of E<sub>FF</sub>, and the right hand terms are the
- 2 relative growth rates of GDP and I<sub>FF</sub>, respectively, which can simply be added linearly to give
- 3 overall growth rate. The growth rates are reported in percent by multiplying each term by 100. As
- 4 preliminary estimates of annual change in GDP are made well before the end of a calendar year,
- 5 making assumptions on the growth rate of I<sub>FF</sub> allows us to make projections of the annual change
- 6 in CO<sub>2</sub> emissions well before the end of a calendar year. The I<sub>FF</sub> is based on GDP in constant PPP
- 7 (purchasing power parity) from the IEA (2014) up to 2012 (IEA/OECD, 2014) and extended using
- 8 the IMF growth rates for 2013 and 2014. Experience of the past year has highlighted that the
- 9 interannual variability in I<sub>FF</sub> is the largest source of uncertainty in the GDP-based emissions
- projections. We thus use the standard deviation of the annual I<sub>FF</sub> for the period 2005-2014 as a
- measure of uncertainty, reflecting a  $\pm 1\sigma$  as in the rest of the carbon budget. This is  $\pm 1.4\%$  yr<sup>-1</sup> for
- the rest of the world (global emissions minus China and USA).
- 13 The 2015 projection for the world is made of the sum of the projections for China, USA, and the
- rest. The uncertainty is added quadratically among the three regions because they are unrelated.
- 15 The uncertainty here reflects the best of our expert opinion.

# 2.2 CO<sub>2</sub> emissions from land use, land-use change and forestry (E<sub>LUC</sub>)

- 17 Land-use change emissions reported here (E<sub>LUC</sub>) include CO<sub>2</sub> fluxes from deforestation,
- afforestation, logging (forest degradation and harvest activity), shifting cultivation (cycle of cutting
- 19 forest for agriculture, then abandoning), and regrowth of forests following wood harvest or
- 20 abandonment of agriculture. Only some land management activities (Table 5) are included in our
- 21 land-use change emissions estimates (e.g. emissions or sinks related to management and
- 22 management changes of established pasture and croplands are not included). Some of these
- 23 activities lead to emissions of CO<sub>2</sub> to the atmosphere, while others lead to CO<sub>2</sub> sinks. E<sub>LUC</sub> is the
- 24 net sum of all anthropogenic activities considered. Our annual estimate for 1959-2010 is from a
- bookkeeping method (Sect. 2.2.1) primarily based on net forest area change and biomass data
- 26 from the Forest Resource Assessment (FRA) of the Food and Agriculture Organisation (FAO) which
- is only available at intervals of five years. We use the FAO FRA 2010 here (Houghton et al., 2012).
- 28 Inter-annual variability in emissions due to deforestation and degradation have been coarsely
- estimated from satellite-based fire activity in tropical forest areas (Section 2.2.2; Giglio et al.,
- 2013; van der Werf et al., 2010). The bookkeeping method is used to quantify the E<sub>LUC</sub> over the

- time period of the available data, and the satellite-based deforestation fire information to
- 2 incorporate interannual variability (E<sub>LUC</sub> flux annual anomalies) from tropical deforestation fires.
- 3 The satellite-based deforestation and degradation fire emissions estimates are available for years
- 4 1997-2014. We calculate the global annual anomaly in deforestation and degradation fire
- 5 emissions in tropical forest regions for each year, compared to the 1997-2010 period, and add this
- 6 annual flux anomaly to the E<sub>LUC</sub> estimated using the bookkeeping method that is available up to
- 7 2010 only and assumed constant at the 2010 value during the period 2011-2014. We thus assume
- 8 that all land management activities apart from deforestation and degradation do not vary
- 9 significantly on a year-to-year basis. Other sources of interannual variability (e.g. the impact of
- climate variability on regrowth fluxes) are accounted for in S<sub>LAND</sub>. In addition, we use results from
- Dynamic Global Vegetation Models (see Section 2.2.3 and Table 6) that calculate net land-use
- change CO<sub>2</sub> emissions in response to land-cover change reconstructions prescribed to each model,
- to help quantify the uncertainty in E<sub>LUC</sub>, and to explore the consistency of our understanding. The
- three methods are described below, and differences are discussed in Section 3.2.

# 2.2.1 Bookkeeping method

- Land-use change CO<sub>2</sub> emissions are calculated by a bookkeeping method approach (Houghton,
- 17 2003) that keeps track of the carbon stored in vegetation and soils before deforestation or other
- land-use change, and the changes in forest age classes, or cohorts, of disturbed lands after land-
- use change including possible forest regrowth after deforestation. It tracks the CO<sub>2</sub> emitted to the
- 20 atmosphere immediately during deforestation, and over time due to the follow-up decay of soil
- and vegetation carbon in different pools, including wood products pools after logging and
- 22 deforestation. It also tracks the regrowth of vegetation and associated build-up of soil carbon
- 23 pools after land-use change. It considers transitions between forests, pastures and cropland;
- 24 shifting cultivation; degradation of forests where a fraction of the trees is removed; abandonment
- of agricultural land; and forest management such as wood harvest and, in the USA, fire
- 26 management. In addition to tracking logging debris on the forest floor, the bookkeeping method
- 27 tracks the fate of carbon contained in harvested wood products that is eventually emitted back to
- 28 the atmosphere as CO<sub>2</sub>, although a detailed treatment of the lifetime in each product pool is not
- 29 performed (Earles et al., 2012). Harvested wood products are partitioned into three pools with
- different turnover times. All fuel-wood is assumed burnt in the year of harvest (1.0 yr<sup>-1</sup>). Pulp and
- paper products are oxidized at a rate of 0.1 yr<sup>-1</sup>, timber is assumed to be oxidized at a rate of 0.01

- 1 yr<sup>-1</sup>, and elemental carbon decays at 0.001 yr<sup>-1</sup>. The general assumptions about partitioning wood
- 2 products among these pools are based on national harvest data (Houghton, 2003).
- 3 The primary land-cover change and biomass data for the bookkeeping method analysis is the
- 4 Forest Resource Assessment of the FAO which provides statistics on forest-cover change and
- 5 management at intervals of five years (FAO, 2010). The data is based on countries' self-reporting
- 6 some of which include satellite data in more recent assessments (Table 4). Changes in land cover
- 7 other than forest are based on annual, national changes in cropland and pasture areas reported
- 8 by the FAO Statistics Division (FAOSTAT, 2010). Land-use change country data are aggregated by
- 9 regions. The carbon stocks on land (biomass and soils), and their response functions subsequent
- to land-use change, are based on FAO data averages per land cover type, per biome and per
- region. Similar results were obtained using forest biomass carbon density based on satellite data
- 12 (Baccini et al., 2012). The bookkeeping method does not include land ecosystems' transient
- response to changes in climate, atmospheric CO<sub>2</sub> and other environmental factors, but the
- growth/decay curves are based on contemporary data that will implicitly reflect the effects of CO<sub>2</sub>
- and climate at that time. Results from the bookkeeping method are available from 1850 to 2010.

# 2.2.2 Fire-based interannual variability in E<sub>LUC</sub>

- 17 Land-use change associated CO<sub>2</sub> emissions calculated from satellite-based fire activity in tropical
- forest areas (van der Werf et al., 2010) provide information on emissions due to tropical
- deforestation and degradation that are complementary to the bookkeeping approach. They do
- 20 not provide a direct estimate of E<sub>LUC</sub> as they do not include non-combustion processes such as
- 21 respiration, wood harvest, wood products or forest regrowth. Legacy emissions such as
- decomposition from on-ground debris and soils are not included in this method either. However,
- 23 fire estimates provide some insight in the year-to-year variations in the sub-component of the
- 24 total E<sub>LUC</sub> flux that result from immediate CO<sub>2</sub> emissions during deforestation caused, for example,
- by the interactions between climate and human activity (e.g. there is more burning and clearing of
- forests in dry years) that are not represented by other methods. The 'deforestation fire emissions'
- assume an important role of fire in removing biomass in the deforestation process, and thus can
- be used to infer gross instantaneous CO<sub>2</sub> emissions from deforestation using satellite-derived data
- 29 on fire activity in regions with active deforestation. The method requires information on the
- 30 fraction of total area burned associated with deforestation versus other types of fires, and this
- information can be merged with information on biomass stocks and the fraction of the biomass

- lost in a deforestation fire to estimate CO<sub>2</sub> emissions. The satellite-based deforestation fire
- 2 emissions are limited to the tropics, where fires result mainly from human activities. Tropical
- 3 deforestation is the largest and most variable single contributor to E<sub>LUC</sub>.
- 4 Fire emissions associated with deforestation and tropical peat burning are based on the Global
- 5 Fire Emissions Database (GFED4; accessed October 2015) described in van der Werf et al. (2010)
- 6 but with updated burned area (Giglio et al., 2013) as well as burned area from relatively small fires
- 7 that are detected by satellite as thermal anomalies but not mapped by the burned area approach
- 8 (Randerson, 2012). The burned area information is used as input data in a modified version of the
- 9 satellite-driven Carnegie Ames Stanford Approach (CASA) biogeochemical model to estimate
- carbon emissions associated with fires, keeping track of what fraction of fire emissions was due to
- deforestation (see van der Werf et al., 2010). The CASA model uses different assumptions to
- compute decay functions compared to the bookkeeping method, and does not include historical
- emissions or regrowth from land-use change prior to the availability of satellite data. Comparing
- coincident CO emissions and their atmospheric fate with satellite-derived CO concentrations
- allows for some validation of this approach (e.g. van der Werf et al., 2008). Results from the fire-
- based method to estimate land-use change emissions anomalies added to the bookkeeping mean
- 17 E<sub>LUC</sub> estimate are available from 1997 to 2014. Our combination of land-use change CO<sub>2</sub> emissions
- where the variability of annual CO<sub>2</sub> deforestation emissions is diagnosed from fires assumes that
- 19 year-to-year variability is dominated by variability in deforestation.

# 2.2.3 Dynamic Global Vegetation Models (DGVMs)

- 21 Land-use change CO<sub>2</sub> emissions have been estimated using an ensemble of ten DGVMs. New
- 22 model experiments up to year 2014 have been coordinated by the project 'Trends and drivers of
- the regional-scale sources and sinks of carbon dioxide (TRENDY; Sitch et al., 2015)'. We use only
- 24 models that have estimated land-use change CO<sub>2</sub> emissions and the terrestrial residual sink
- following the TRENDY protocol (see Section 2.5.2), thus providing better consistency in the
- assessment of the causes of carbon fluxes on land. Models use their latest configurations,
- summarised in Tables 5 and 6.

- 28 The DGVMs were forced with historical changes in land cover distribution, climate, atmospheric
- 29 CO<sub>2</sub> concentration, and N deposition. As further described below, each historical DGVM
- 30 simulation was repeated with a time-invariant pre-industrial land cover distribution, allowing to
- estimate, by difference with the first simulation, the dynamic evolution of biomass and soil carbon

pools in response to prescribed land-cover change. All DGVMs represent deforestation and (to 1 some extent) regrowth, the most important components of E<sub>LUC</sub>, but they do not represent all 2 3 processes resulting directly from human activities on land (Table 5). DGVMs represent processes 4 of vegetation growth and mortality, as well as decomposition of dead organic matter associated with natural cycles, and include the vegetation and soil carbon response to increasing atmospheric 5 CO<sub>2</sub> levels and to climate variability and change. In addition, three models explicitly simulate the 6 coupling of C and N cycles and account for atmospheric N deposition (Table 5). The DGVMs are 7 8 independent from the other budget terms except for their use of atmospheric CO<sub>2</sub> concentration to calculate the fertilization effect of CO<sub>2</sub> on primary production. 9 The DGVMs used a consistent land-use change data set (Hurtt et al., 2011), which provided 10 annual, half-degree, fractional data on cropland, pasture, primary vegetation and secondary 11 vegetation, as well as all underlying transitions between land-use states, including wood harvest 12 and shifting cultivation. This data set used the HYDE (Klein Goldewijk et al., 2011) spatially gridded 13 maps of cropland, pasture, and ice/water fractions of each grid cell as an input. The HYDE data are 14 based on annual FAO statistics of change in agricultural area available to 2012 (FAOSTAT, 2010) 15 For the years 2013 and 2014, the HYDE data were extrapolated by country for pastures and 16 cropland separately based on the trend in agricultural area over the previous 5 years. The HYDE 17 data are independent from the data set used in the bookkeeping method (Houghton, 2003 and 18 updates), which is based primarily on forest area change statistics (FAO, 2010). Although the HYDE 19 land-use change data set indicates whether land-use changes occur on forested or non-forested 20 21 land, typically only the changes in agricultural areas are used by the models and are implemented 22 differently within each model (e.g. an increased cropland fraction in a grid cell can either be at the expense of grassland, or forest, the latter resulting in deforestation; land cover fractions of the 23 non-agricultural land differ between models). Thus the DGVM forest area and forest area change 24 over time is not consistent with the Forest Resource Assessment of the FAO forest area data used 25 for the bookkeeping model to calculate E<sub>LUC</sub>. Similarly, model-specific assumptions are applied to 26 27 convert deforested biomass or deforested area, and other forest product pools, into carbon in some models (Table 5). 28 29 The DGVM model runs were forced by either 6 hourly CRU-NCEP or by monthly CRU temperature, precipitation, and cloud cover fields (transformed into incoming surface radiation) based on 30 observations and provided on a 0.5°x0.5° grid and updated to 2014 (CRU TS3.23; Harris et al., 31

- 2015). The forcing data include both gridded observations of climate and global atmospheric CO<sub>2</sub>,
- which change over time (Dlugokencky and Tans, 2015), and N deposition (as used in 3 models,
- Table 5; Lamarque et al., 2010). E<sub>LUC</sub> is diagnosed in each model by the difference between a
- 4 model simulation with prescribed historical land cover change and a simulation with constant,
- 5 preindustrial land cover distribution. Both simulations were driven by changing atmospheric CO<sub>2</sub>,
- 6 climate, and in some models N deposition over the period 1860-2014. Using the difference
- 7 between these two DGVM simulations to diagnose E<sub>LUC</sub> is not fully consistent with the definition
- 8 of E<sub>LUC</sub> in the bookkeeping method (Gasser and Ciais, 2013; Pongratz et al., 2014; Pongratz et al.,
- 9 2013). The DGVM approach to diagnose land-use change CO<sub>2</sub> emissions would be expected to
- produce systematically higher E<sub>LUC</sub> emissions than the bookkeeping approach if all the parameters
- of the two approaches were the same, which is not the case (see Section 2.5.2).

# 2.2.4 Commentary on other published E<sub>LUC</sub> methods

- 13 Other methods have been used to estimate CO<sub>2</sub> emissions from land-use change. We describe
- some of the most important methodological differences between the approach used here and
- other published methods, and for completion, we explain why they are not used in the budget.
- 16 Different definitions and boundary conditions for E<sub>LUC</sub> can lead to significantly different estimates
- within models (Gasser and Ciais, 2013; Hansis et al., 2015; Pongratz et al., 2014) as well as
- between models and other approaches (Houghton et al., 2012; Smith et al., 2014b). An IPCC Tier
- 19 1-type approach (e.g. Tubiello et al., 2015) is used by the FAO to produce a 'Land use forest land'
- 20 estimate from FRA data updated from the one used in the bookkeeping method described in
- 21 Section 2.2.1 (MacDicken, 2015). This method applies a nationally reported mean forest carbon
- 22 stock change (above and below ground living biomass) to nationally reported net forest area
- change, across all forest land combined (planted and natural forests). The methods implicitly
- 24 assumes instantaneous loss or gain of mean forest. Thus the IPCC Tier 1-type approach provides
- an estimate of attributable emissions from the process of land-cover change, but it does not
- 26 distribute these emissions through time. It also captures some of what the global modelling
- 27 approach considers residual carbon flux (S<sub>LAND</sub>), it does not consider loss of soil carbon, and there
- are no legacy fluxes. Land use fluxes estimated with this method were 0.47 GtC yr<sup>-1</sup> in 2001-2010
- and 0.22 GtC yr<sup>-1</sup> in 2011-2015 (Federici et al., 2015). This estimate is not directly comparable with
- 30 E<sub>LUC</sub> used here because of the different boundary conditions.

- 1 Recent advances in satellite data leading to higher resolution area change data (e.g. Hansen et al.,
- 2 2013) and estimates of biomass in live vegetation (e.g. Baccini et al., 2012; Saatchi, 2011), have
- 3 led to several satellite-based estimates of CO<sub>2</sub> emissions due to tropical deforestation (typically
- 4 gross loss of forest area; Achard and House, in press). These include estimates of 1.0 GtC yr<sup>-1</sup> for
- 5 2000 to 2010 (Baccini et al., 2012), 0.8 GtC yr<sup>-1</sup> for 2000 to 2005 (Harris, 2012), 0.9 GtC yr<sup>-1</sup> for
- 6 2000 to 2010 for net area change (Achard et al., 2014), and 1.3 GtC yr<sup>-1</sup> 2000 to 2010 (Tyukavina
- 7 et al., 2015). These estimates include belowground carbon biomass using a scaling factor. Some
- 8 estimate soil carbon loss, some assume instantaneous emissions, some do not account for
- 9 regrowth fluxes, and none account for legacy fluxes from land-use change prior to the availability
- of satellite data. They are mostly estimates of tropical deforestation only, and do not capture
- regrowth flux after abandonment, or planting (Achard and House, in press). These estimate are
- also difficult to compare with E<sub>LUC</sub> used here because they do not fully include legacy fluxes and
- forest regrowth.

# 2.2.5 Uncertainty assessment for E<sub>LUC</sub>

- Differences between the bookkeeping, the addition of fire-based interannual variability to the
- bookkeeping, and DGVM methods originate from three main sources: the land cover change data
- set, the different approaches used in models, and the different processes represented (Table 5).
- 18 We examine the results from the ten DGVM models and of the bookkeeping method to assess the
- 19 uncertainty in  $E_{LUC}$ .
- 20 The uncertainties in annual E<sub>LUC</sub> estimates are examined using the standard deviation across
- 21 models, which averages 0.4 GtC yr<sup>-1</sup> from 1959 to 2014 (Table 7). The mean of the multi-model
- 22 E<sub>LUC</sub> estimates is consistent with a combination of the bookkeeping method and fire-based
- emissions (Le Quéré et al. 2014), with the multi-model mean and bookkeeping method differing
- by less than 0.5 GtC yr<sup>-1</sup> over 85% of the time. Based on this comparison, we assess that an
- 25 uncertainty of ±0.5 GtC yr<sup>-1</sup> provides a semi-quantitative measure of uncertainty for annual
- 26 emissions, and reflects our best value judgment that there is at least 68% chance (±1σ) that the
- 27 true land-use change emission lies within the given range, for the range of processes considered
- here. This is consistent with the uncertainty analysis of Houghton et al. (2012), which partly
- 29 reflects improvements in data on forest area change using data, and partly more complete
- 30 understanding and representation of processes in models.

- 1 The uncertainties in the decadal E<sub>LUC</sub> estimates are also examined using the DGVM ensemble,
- 2 although they are likely correlated between decades. The correlations between decades come
- 3 from (1) common biases in system boundaries (e.g. not counting forest degradation in some
- 4 models); (2) common definition for the calculation of E<sub>LUC</sub> from the difference of simulations with
- and without land-use change (a source of bias vs. the unknown truth); (3) common and uncertain
- 6 land-cover change input data which also cause a bias, though if a different input data set is used
- 7 each decade, decadal fluxes from DGVMs may be partly decorrelated; (4) model structural errors
- 8 (e.g. systematic errors in biomass stocks). In addition, errors arising from uncertain DGVM
- 9 parameter values would be random but they are not accounted for in this study, since no DGVM
- provided an ensemble of runs with perturbed parameters.
- 11 Prior to 1959, the uncertainty in E<sub>LUC</sub> is taken as ±33%, which is the ratio of uncertainty to mean
- from the 1960s (Table 7), the first decade available. This ratio is consistent with the mean
- standard deviation of DGMVs land-use change emissions over 1870-1958 (0.38 GtC) over the
- multi-model mean (1.1 GtC).

# 15 2.3 Atmospheric CO<sub>2</sub> growth rate (G<sub>ATM</sub>)

#### 2.3.1 Global atmospheric CO<sub>2</sub> growth rate estimates

- 17 The atmospheric CO<sub>2</sub> growth rate is provided by the US National Oceanic and Atmospheric
- 18 Administration Earth System Research Laboratory (NOAA/ESRL; Dlugokencky and Tans, 2015),
- which is updated from Ballantyne et al. (2012). For the 1959-1980 period, the global growth rate is
- 20 based on measurements of atmospheric CO<sub>2</sub> concentration averaged from the Mauna Loa and
- 21 South Pole stations, as observed by the CO<sub>2</sub> Program at Scripps Institution of Oceanography
- (Keeling et al., 1976). For the 1980-2014 time period, the global growth rate is based on the
- average of multiple stations selected from the marine boundary layer sites with well-mixed
- background air (Ballantyne et al., 2012), after fitting each station with a smoothed curve as a
- 25 function of time, and averaging by latitude band (Masarie and Tans, 1995). The annual growth
- 26 rate is estimated by Dlugokencky and Tans (2015) from atmospheric CO<sub>2</sub> concentration by taking
- 27 the average of the most recent December-January months corrected for the average seasonal
- 28 cycle and subtracting this same average one year earlier. The growth rate in units of ppm yr<sup>-1</sup> is
- 29 converted to units of GtC yr<sup>-1</sup> by multiplying by a factor of 2.12 GtC per ppm (Ballantyne et al.,
- 30 2012) for consistency with the other components.

- 1 The uncertainty around the annual growth rate based on the multiple stations data set ranges
- 2 between 0.11 and 0.72 GtC  $yr^{-1}$ , with a mean of 0.61 GtC  $yr^{-1}$  for 1959-1979 and 0.19 GtC  $yr^{-1}$  for
- 3 1980-2014, when a larger set of stations were available (Dlugokencky and Tans, 2015). It is based
- 4 on the number of available stations, and thus takes into account both the measurement errors
- 5 and data gaps at each station. This uncertainty is larger than the uncertainty of ±0.1 GtC yr<sup>-1</sup>
- 6 reported for decadal mean growth rate by the IPCC because errors in annual growth rate are
- 7 strongly anti-correlated in consecutive years leading to smaller errors for longer time scales. The
- 8 decadal change is computed from the difference in concentration ten years apart based on a
- 9 measurement error of 0.35 ppm. This error is based on offsets between NOAA/ESRL
- 10 measurements and those of the World Meteorological Organization World Data Center for
- 11 Greenhouse Gases (NOAA/ESRL, 2015a) for the start and end points (the decadal change
- uncertainty is the  $\sqrt{(2(0.35ppm)^2)}(10 \ yr)^{-1}$  assuming that each yearly measurement error is
- independent). This uncertainty is also used in Table 8.
- 14 The contribution of anthropogenic CO and CH<sub>4</sub> is neglected from the global carbon budget (see
- Sect. 2.7.1). We assign a high confidence to the annual estimates of G<sub>ATM</sub> because they are based
- on direct measurements from multiple and consistent instruments and stations distributed
- around the world (Ballantyne et al., 2012).
- In order to estimate the total carbon accumulated in the atmosphere since 1750 or 1870, we use
- an atmospheric CO<sub>2</sub> concentration of 277 ± 3 ppm or 288 ± 3 ppm, respectively, based on a cubic
- spline fit to ice core data (Joos and Spahni, 2008). The uncertainty of  $\pm 3$  ppm (converted to  $\pm 1\sigma$ ) is
- 21 taken directly from the IPCC's assessment (Ciais et al., 2013). Typical uncertainties in the
- 22 atmospheric growth rate from ice core data are ±1-1.5 GtC per decade as evaluated from the Law
- Dome data (Etheridge et al., 1996) for individual 20-year intervals over the period from 1870 to
- 24 1960 (Bruno and Joos, 1997).

#### 2.4 Ocean CO<sub>2</sub> sink

- 26 Estimates of the global ocean CO<sub>2</sub> sink are based on a combination of a mean CO<sub>2</sub> sink estimate
- for the 1990s from observations, and a trend and variability in the ocean CO<sub>2</sub> sink for 1959-2014
- 28 from eight global ocean biogeochemistry models. We use two observation-based estimates of
- 29 S<sub>OCEAN</sub> available for recent decades to provide a qualitative assessment of confidence in the
- 30 reported results.

# 2.4.1 Observation-based estimates

A mean ocean  $CO_2$  sink of 2.2  $\pm$  0.4 GtC yr<sup>-1</sup> for the 1990s was estimated by the IPCC (Denman et 2 3 al., 2007) based on indirect observations and their spread: ocean/land CO<sub>2</sub> sink partitioning from 4 observed atmospheric O<sub>2</sub>/N<sub>2</sub> concentration trends (Keeling et al., 2011; Manning and Keeling, 5 2006), an oceanic inversion method constrained by ocean biogeochemistry data (Mikaloff Fletcher et al., 2006), and a method based on penetration time scale for CFCs (McNeil et al., 2003). This is 6 comparable with the sink of  $2.0 \pm 0.5$  GtC yr<sup>-1</sup> estimated by Khatiwala et al. (2013) for the 1990s, 7 and with the sink of 1.9 to 2.5 GtC yr<sup>-1</sup> estimated from a range of methods for the period 1990-8 2009 (Wanninkhof et al., 2013), with uncertainties ranging from ±0.3 GtC yr<sup>-1</sup> to ±0.7 GtC yr<sup>-1</sup>. The 9 most direct way for estimating the observation-based ocean sink is from the product of (sea-air 10 11 pCO<sub>2</sub> difference) x (gas transfer coefficient). Estimates based on sea-air pCO<sub>2</sub> are fully consistent with indirect observations (Zeng et al., 2005), but their uncertainty is larger mainly due to 12 difficulty in capturing complex turbulent processes in the gas transfer coefficient (Sweeney et al., 13 2007) and because of uncertainties in the pre-industrial river outgas of CO<sub>2</sub> (Jacobson et al., 2007). 14 Both observation-based estimates compute the ocean CO<sub>2</sub> sink and its variability using 15 16 interpolated measurements of surface ocean fugacity of CO<sub>2</sub> (pCO2 corrected for the non-ideal behaviour of the gas; Pfeil et al., 2013). The measurements were from the Surface Ocean CO<sub>2</sub> 17 Atlas (SOCAT v3; Bakker et al., 2014; Bakker et al., in prep) that contains 14.5 million data to the 18 19 end of 2014. This was extended with 1.4 million additional measurements over years 2013-2014 (see data attribution Table 1A), submitted to SOCAT but not yet fully quality controlled following 20 standard SOCAT procedures. Revisions and corrections to previously reported measurements 21 22 were also included where they were available. All new data were subjected to an automated 23 quality control system to detect and remove the most obvious errors (e.g. incorrect reporting of metadata such as position, wrong units, clearly unrealistic data etc.). The combined SOCAT v3 and 24 preliminary new 2013-2014 measurements were mapped using a data-driven diagnostic method 25 (Rödenbeck et al., 2013) and a combined self-organising map and feed-forward neural network 26 (Landschützer et al., 2014). The global observation-based estimates were corrected to remove a 27 background (not part of the anthropogenic ocean flux) ocean source of CO2 to the atmosphere of 28 0.45 GtC yr<sup>-1</sup> from river input to the ocean (Jacobson et al., 2007), to make them comparable to 29 30 Socean which only represents the annual uptake of anthropogenic CO<sub>2</sub> by the ocean. Several other

- data-based products are in preparation, but they show large discrepancies that need to be
- 2 resolved (e.g. Rödenbeck et al., 2015).
- 3 We use the data-based product of Khatiwala et al. (2009) updated by Khatiwala et al. (2013) to
- 4 estimate the anthropogenic carbon accumulated in the ocean during 1765-1958 (60.2 GtC) and
- 5 1870-1958 (47.5 GtC), and assume an oceanic uptake of 0.4 GtC for 1750-1765 (for which time no
- data are available) based on the mean uptake during 1765-1770. The estimate of Khatiwala et al.
- 7 (2009) is based on regional disequilibrium between surface pCO<sub>2</sub> and atmospheric CO<sub>2</sub>, and a
- 8 Green's function utilizing transient ocean tracers like CFCs and <sup>14</sup>C to ascribe changes through
- 9 time. It does not include changes associated with changes in ocean circulation, temperature and
- 10 climate, but these are thought to be small over the time period considered here (Ciais et al.,
- 2013). The uncertainty in cumulative uptake of  $\pm 20$  GtC (converted to  $\pm 1\sigma$ ) is taken directly from
- the IPCC's review of the literature (Rhein et al., 2013), or about ±30% for the annual values
- 13 (Khatiwala et al., 2009).

# 2.4.2 Global Ocean Biogeochemistry models

- 15 The trend in the ocean CO<sub>2</sub> sink for 1959-2014 is computed using a combination of eight global
- ocean biogeochemistry models (Table 6). The models represent the physical, chemical and
- biological processes that influence the surface ocean concentration of CO<sub>2</sub> and thus the air-sea
- 18 CO<sub>2</sub> flux. The models are forced by meteorological reanalysis and atmospheric CO<sub>2</sub> concentration
- data available for the entire time period. Models do not include the effects of anthropogenic
- 20 changes in nutrient supply. They compute the air-sea flux of CO<sub>2</sub> over grid boxes of 1° to 4° in
- 21 latitude and longitude. The ocean CO<sub>2</sub> sink for each model is normalised to the observations, by
- dividing the annual model values by their observed average over 1990-1999 and multiplying this
- with the observation-based estimate of 2.2 GtC yr<sup>-1</sup> (obtained from Keeling et al., 2011; Manning
- 24 and Keeling, 2006; McNeil et al., 2003; Mikaloff Fletcher et al., 2006). The ocean CO₂ sink for each
- year (t) in GtC yr<sup>-1</sup> is therefore:

$$S_{OCEAN}(t) = \frac{1}{n} \sum_{m=1}^{m=n} \frac{S_{OCEAN}^{m}(t)}{S_{OCEAN}^{m}(1990 - 1999)} \times 2.2$$
 (7)

- where n is the number of models. This normalisation ensures that the ocean  $CO_2$  sink for the
- 27 global carbon budget is based on observations, whereas the trends and annual values in CO<sub>2</sub> sinks
- are from model estimates. The normalisation based on a ratio assumes that if models over or

- 1 underestimate the sink in the 1990s, it is primarily due to the process of diffusion, which depends
- 2 on the gradient of CO<sub>2</sub>. Thus a ratio is more appropriate than an offset as it takes into account the
- 3 time-dependence of CO<sub>2</sub> gradients in the ocean. The mean uncorrected ocean CO<sub>2</sub> sink from the
- 4 eight models for 1990-1999 ranges between 1.6 and 2.4 GtC yr<sup>-1</sup>, with a multi model mean of 1.9
- 5 GtC yr<sup>-1</sup>.

# 2.4.3 Uncertainty assessment for Social

- 7 The uncertainty around the mean ocean sink of anthropogenic CO<sub>2</sub> was quantified by Denman et
- al. (2007) for the 1990s (see Section 2.4.1). To quantify the uncertainty around annual values, we
- 9 examine the standard deviation of the normalised model ensemble. We use further information
- from the two data-based products to assess the confidence level. The average standard deviation
- of the normalised ocean model ensemble is 0.13 GtC yr<sup>-1</sup> during 1980-2010 (with a maximum of
- 12 0.27), but it increases as the model ensemble goes back in time, with a standard deviation of 0.22
- 13 GtC yr<sup>-1</sup> across models in the 1960s. We estimate that the uncertainty in the annual ocean CO<sub>2</sub>
- sink is about  $\pm 0.5$  GtC yr<sup>-1</sup> from the fractional uncertainty of the data uncertainty of  $\pm 0.4$  GtC yr<sup>-1</sup>
- and standard deviation across models of up to  $\pm$  0.27 GtC yr<sup>-1</sup>, reflecting both the uncertainty in
- the mean sink from observations during the 1990's (Denman et al., 2007; Section 2.4.1) and in the
- interannual variability as assessed by models.
- 18 We examine the consistency between the variability of the model-based and the data-based
- 19 products to assess confidence in S<sub>OCEAN</sub>. The interannual variability of the ocean fluxes (quantified
- as the standard deviation) of the two data-based estimates for 1986-2014 (where they overlap) is
- $\pm$  0.38 GtC yr<sup>-1</sup> (Rödenbeck et al., 2014) and  $\pm$  0.40 GtC yr<sup>-1</sup> (Landschützer et al., 2015), compared
- to  $\pm$  0.27 GtC yr<sup>-1</sup> for the normalised model ensemble. The standard deviation includes a
- 23 component of trend and decadal variability in addition to interannual variability, and their relative
- 24 influence differs across estimates. The phase is generally consistent between estimates, with a
- higher ocean CO<sub>2</sub> sink during El Niño events. The annual data-based estimates correlate with the
- ocean  $CO_2$  sink estimated here with a correlation of r = 0.51 (0.34 to 0.58 for individual models),
- and r = 0.71 (0.54 to 0.72) for the data-based estimates of Rödenbeck et al. (2014) and
- Landschützer et al. (2015), respectively (simple linear regression), but their mutual correlation is
- only 0.55. The use of annual data for the correlation may reduce the strength of the relationship
- 30 because the dominant source of variability associated with El Niño events is less than one year.
- 31 We assess a medium confidence level to the annual ocean CO<sub>2</sub> sink and its uncertainty because

- they are based on multiple lines of evidence, and the results are consistent in that the interannual
- 2 variability in the model and data-based estimates are all generally small compared to the
- 3 variability in atmospheric CO<sub>2</sub> growth rate. Nevertheless the various results do not show
- 4 agreement in interannual variability on the global scale or for the relative roles of the annual and
- 5 decadal variability compared to the trend.

#### 6 2.5 Terrestrial CO<sub>2</sub> sink

- 7 The difference between, on the one hand fossil fuel ( $E_{FF}$ ) and land-use change emissions ( $E_{LUC}$ ),
- and on the other hand the growth rate in atmospheric CO<sub>2</sub> concentration (G<sub>ATM</sub>) and the ocean
- 9 CO<sub>2</sub> sink (S<sub>OCEAN</sub>), is attributable to the net sink of CO<sub>2</sub> in terrestrial vegetation and soils (S<sub>LAND</sub>),
- within the given uncertainties (Eq. 1). Thus, this sink can be estimated as the residual of the other
- terms in the mass balance budget, as well as directly calculated using DGVMs. The residual land
- sink (S<sub>LAND</sub>) is thought to be in part because of the fertilising effect of rising atmospheric CO<sub>2</sub> on
- plant growth, N deposition and effects of climate change such as the lengthening of the growing
- season in northern temperate and boreal areas. S<sub>LAND</sub> does not include gross land sinks directly
- resulting from land-use change (e.g. regrowth of vegetation) as these are estimated as part of the
- net land use flux (E<sub>LUC</sub>). System boundaries make it difficult to attribute exactly CO<sub>2</sub> fluxes on land
- between S<sub>LAND</sub> and E<sub>LUC</sub> (Erb et al., 2013), and by design most of the uncertainties in our method
- are allocated to S<sub>LAND</sub> for those processes that are poorly known or represented in models.

# 19 2.5.1 Residual of the budget

- 20 For 1959-2014, the terrestrial carbon sink was estimated from the residual of the other budget
- 21 terms by rearranging Eq. (1):

$$S_{LAND} = E_{FF} + E_{LUC} - (G_{ATM} + S_{OCEAN})$$
 (8)

- The uncertainty in S<sub>LAND</sub> is estimated annually from the root sum of squares of the uncertainty in
- the right-hand terms assuming the errors are not correlated. The uncertainty averages to  $\pm$  0.8
- 24 GtC yr<sup>-1</sup> over 1959-2014 (Table 7). S<sub>LAND</sub> estimated from the residual of the budget includes, by
- definition, all the missing processes and potential biases in the other components of Eq. (8).

#### 26 **2.5.2 DGVMs**

- 27 A comparison of the residual calculation of S<sub>LAND</sub> in Eq. (8) with estimates from DGVMs as used to
- estimate E<sub>LUC</sub> in Sect. 2.2.3, but here excluding the effects of changes in land cover (using a

constant pre-industrial land cover distribution), provides an independent estimate of the 1 consistency of S<sub>LAND</sub> with our understanding of the functioning of the terrestrial vegetation in 2 3 response to CO<sub>2</sub> and climate variability (Table 7). As described in Sect. 2.2.3, the DGVM runs that 4 exclude the effects of changes in land cover include all climate variability and CO<sub>2</sub> effects over land, but do not include reductions in CO<sub>2</sub> sink capacity associated with human activity directly 5 affecting changes in vegetation cover and management, which by design is allocated to E<sub>LUC</sub>. This 6 effect has been estimated to have led to a reduction in the terrestrial sink by 0.5 GtC yr<sup>-1</sup> since 7 1750 (Gitz and Ciais, 2003). The models in this configuration estimate the mean and variability of 8 S<sub>LAND</sub> based on atmospheric CO<sub>2</sub> and climate, and thus both terms can be compared to the budget 9 10 residual. The annual standard deviation of the  $CO_2$  sink across the ten DGVMs averages to  $\pm\,0.7$  GtC yr $^{-1}$  for 11 the period 1959 to 2014. The model mean, over different decades, correlates with the budget 12 residual with r = 0.71 (0.52 to r = 0.71 for individual models). The standard deviation is similar to 13 that of the five model ensembles presented in Le Quéré et al. (2009), but the correlation is 14 improved compared to r = 0.54 obtained in the earlier study. The DGVM results suggest that the 15 sum of our knowledge on annual CO<sub>2</sub> emissions and their partitioning is plausible (see Discussion), 16 and provide insight on the underlying processes and regional breakdown. However as the 17 standard deviation across the DGVMs (e.g. ±0.9 GtC yr<sup>-1</sup> for year 2014) is of the same magnitude 18 as the combined uncertainty due to the other components (E<sub>FF</sub>, E<sub>LUC</sub>, G<sub>ATM</sub>, S<sub>OCEAN</sub>; Table 7), the 19 DGVMs do not provide further reduction of uncertainty on the annual terrestrial CO<sub>2</sub> sink 20 compared to the residual of the budget (Eq. 8). Yet, DGVM results are largely independent from 21 the residual of the budget, and it is worth noting that the residual method and ensemble mean 22 DGVM results are consistent within their respective uncertainties. We attach a medium 23 confidence level to the annual land CO2 sink and its uncertainty because the estimates from the 24 residual budget and averaged DGVMs match well within their respective uncertainties, and the 25 estimates based on the residual budget are primarily dependent on E<sub>FF</sub> and G<sub>ATM</sub>, both of which 26 27 are well constrained. 28

#### The atmospheric perspective 2.6

- The world-wide network of atmospheric measurements can be used with atmospheric inversion 29 methods to constrain the location of the combined total surface CO<sub>2</sub> fluxes from all sources, 30
- 31 including fossil and land-use change emissions and land and ocean CO<sub>2</sub> fluxes. The inversions

- assume E<sub>FF</sub> to be well known, and they solve for the spatial and temporal distribution of land and
- 2 ocean fluxes from the residual gradients of CO<sub>2</sub> between stations that are not explained by
- 3 emissions. Inversions used atmospheric CO<sub>2</sub> data to the end of 2014 (including preliminary values
- 4 in some cases), and three atmospheric CO<sub>2</sub> inversions (Table 6) to infer the total CO<sub>2</sub> flux over land
- 5 regions, and the distribution of the total land and ocean CO<sub>2</sub> fluxes for the mid-high latitude
- 6 northern hemisphere (30°N-90°N), Tropics (30°S-30°N) and mid-high latitude region of the
- 7 southern hemisphere (30°S-90°S). We focus here on the largest and most consistent sources of
- 8 information, and use these estimates to comment on the consistency across various data streams
- 9 and process-based estimates.

## 2.6.1 Atmospheric inversions

- 11 The three inversion systems used in this release (Chevallier et al., 2005; Peters et al., 2010;
- Rödenbeck, 2005) are based on the same Bayesian inversion principles that interpret the same,
- for the most part, observed time series (or subsets thereof), but use different methodologies that
- 14 represent some of the many approaches used in the field. This mainly concerns the time
- resolution of the estimates (i.e. weekly or monthly), spatial breakdown (i.e. grid size), assumed
- 16 correlation structures, and mathematical approach. The details of these approaches are
- documented extensively in the references provided. Each system uses a different transport
- model, which was demonstrated to be a driving factor behind differences in atmospheric-based
- 19 flux estimates, and specifically their global distribution (Stephens et al., 2007). The three
- inversions use atmospheric CO<sub>2</sub> observations from various flask and in situ networks. They
- 21 prescribe spatial and global E<sub>FF</sub> that can vary from that presented here. Most inverse models use
- 22 estimates for the ocean and land-biosphere, which can be very similar to those described in
- 23 Section 2.4.1 and 2.5.1 to assign prior fluxes. Finally, results from atmospheric inversions include
- 24 the natural CO<sub>2</sub> fluxes from rivers (which need to be taken into account to allow comparison to
- other sources), and chemical oxidation of reactive carbon-containing gases (which are neglected
- here). These inverse estimates are not truly independent of the other estimates presented here as
- 27 the atmospheric observations include a set of observations used to estimate the global
- atmospheric growth rate (Section 2.3). However they provide new information on the regional
- 29 distribution of fluxes.

- 1 We focus the analysis on two known strengths of the inverse approach: the derivation of the year-
- 2 to-year changes in total land fluxes ( $E_{LUC} + S_{LAND}$ ) consistent with the whole network of
- 3 atmospheric observations, and the spatial breakdown of land and ocean fluxes (E<sub>LUC</sub> + S<sub>LAND</sub> +
- 4 S<sub>OCEAN</sub>) across large regions of the globe. The total land flux correlates well with those estimated
- from the budget residual (Eq. 1) with correlations for the annual time series ranging from r = 0.89
- 6 to 0.93, and with the DGVM multi-model mean with correlations for the annual time series
- 7 ranging from r = 0.71 to 0.80 (r = 0.49 to 0.81 for individual DGVMs and inversions). The spatial
- 8 breakdown is discussed in Section 3.1.3.

# 2.7 Processes not included in the global carbon budget

# 2.7.1 Contribution of anthropogenic CO and CH<sub>4</sub> to the global carbon budget

- Anthropogenic emissions of CO and CH<sub>4</sub> to the atmosphere are eventually oxidized to CO<sub>2</sub> and
- thus are part of the global carbon budget. These contributions are omitted in Eq. (1), but an
- attempt is made in this section to estimate their magnitude, and identify the sources of
- uncertainty. Anthropogenic CO emissions are from incomplete fossil fuel and biofuel burning and
- deforestation fires. The main anthropogenic emissions of fossil CH<sub>4</sub> that matter for the global
- carbon budget are the fugitive emissions of coal, oil and gas upstream sectors (see below). These
- 17 emissions of CO and CH<sub>4</sub> contribute a net addition of fossil carbon to the atmosphere.
- In our estimate of E<sub>FF</sub> we assumed (Section 2.1.1) that all the fuel burned is emitted as CO<sub>2</sub>, thus
- 19 CO anthropogenic emissions and their atmospheric oxidation into CO<sub>2</sub> within a few months are
- 20 already counted implicitly in E<sub>FF</sub> and should not be counted twice (same for E<sub>LUC</sub> and
- 21 anthropogenic CO emissions by deforestation fires). Anthropogenic emissions of fossil CH<sub>4</sub> are not
- included in Eff, because these fugitive emissions are not included in the fuel inventories. Yet they
- 23 contribute to the annual CO<sub>2</sub> growth rate after CH<sub>4</sub> gets oxidized into CO<sub>2</sub>. Anthropogenic
- 24 emissions of fossil CH<sub>4</sub> represent 15% of total CH<sub>4</sub> emissions (Kirschke et al., 2013) that is 0.061
- 25 GtC yr<sup>-1</sup> for the past decade. Assuming steady state, these emissions are all converted to CO<sub>2</sub> by
- OH oxidation, and thus explain 0.06 GtC yr<sup>-1</sup> of the global CO<sub>2</sub> growth rate in the past decade.
- 27 Other anthropogenic changes in the sources of CO and CH<sub>4</sub> from wildfires, biomass, wetlands,
- 28 ruminants or permafrost changes are similarly assumed to have a small effect on the CO<sub>2</sub> growth
- 29 rate.

9

# 2.7.2 Anthropogenic carbon fluxes in the land to ocean aquatic continuum

- The approach used to determine the global carbon budget considers only anthropogenic CO<sub>2</sub>
  emissions and their partitioning among the atmosphere, ocean and land. In this analysis, the land
- 4 and ocean reservoirs that take up anthropogenic CO<sub>2</sub> from the atmosphere are conceived as
- 5 independent carbon storage repositories. This approach thus omits that carbon is continuously
- 6 displaced along the land-ocean aquatic continuum (LOAC) comprising freshwaters, estuaries and
- 7 coastal areas (Bauer et al., 2013; Regnier et al., 2013). A significant fraction of this lateral carbon
- 8 flux is entirely 'natural' and is thus a steady state component of the pre-industrial carbon cycle.
- 9 The remaining fraction is anthropogenic carbon entrained into the lateral transport loop of the
- 10 LOAC, a perturbation that is relevant for the global carbon budget presented here.
- 11 The results of the analysis of Regnier et al. (2013) can be summarized in three points of relevance
- to the anthropogenic CO<sub>2</sub> budget. First, the anthropogenic carbon input from land to
- hydrosphere,  $F_{LH}$ , estimated at  $1 \pm 0.5$  GtC yr<sup>-1</sup> is significant compared to the other terms of Eq. (1)
- 14 (Table 8), and implies that only a portion of the anthropogenic CO<sub>2</sub> taken up by land ecosystems
- remains sequestered in soil and biomass pools. Second, some of the exported anthropogenic
- carbon is stored in the LOAC ( $\Delta C_{LOAC}$ , 0.55 ± 0.3 GtC yr<sup>-1</sup>) and some is released back to the
- atmosphere as  $CO_2$  (E<sub>LOAC</sub>,  $0.35 \pm 0.2$  GtC yr<sup>-1</sup>), the magnitude of these fluxes resulting from the
- combined effects of freshwaters, estuaries and coastal seas. Third, a small fraction of
- 19 anthropogenic carbon displaced by the LOAC is transferred to the open ocean where it
- accumulates ( $F_{HO}$ ,  $0.1 \pm > 0.05$  GtC yr<sup>-1</sup>). The anthropogenic perturbation of the carbon fluxes from
- land to ocean does not contradict the method used in Section 2.5 to define the ocean sink and
- residual terrestrial sink. However, it does point to the need to account for the fate of
- 23 anthropogenic carbon once it is removed from the atmosphere by land ecosystems (summarized
- in Fig 2). In theory, direct estimates of changes of the ocean inorganic carbon inventory over time
- would see the land flux of anthropogenic carbon and would thus have a bias relative to air-sea flux
- 26 estimates and tracer based reconstructions. However, currently the value is small enough to be
- 27 not noticeable relative to the errors in the individual techniques.
- The residual terrestrial sink in a budget that accounts for the LOAC will be larger than S<sub>LAND</sub>, as the
- flux is partially offset by the net source of  $CO_2$  to the atmosphere, i.e.  $E_{LOAC}$ , of 0.35 ± 0.3 GtC yr<sup>-1</sup>
- 30 from rivers, estuaries and coastal seas:

$$S_{LAND+LOAC} = E_{FF} + E_{LUC} - (G_{ATM} + S_{OCEAN}) + E_{LOAC}$$
(9)

- The residual terrestrial sink ( $S_{LAND}$ ) is 3.0 ± 0.8 GtC yr<sup>-1</sup> for 2005-2014 as calculated according to Eq.
- 2 (8; Table 7) while  $S_{LAND+LOAC}$  is 3.3 ± 0.9 GtC yr<sup>-1</sup> over the same time period. A fraction of
- 3 anthropogenic CO<sub>2</sub> taken up by land ecosystems is exported to the LOAC (F<sub>LH</sub>). With the LOAC
- 4 included, we now have:

$$\Delta C_{TE} = S_{LAND+LOAC} - E_{LUC} - F_{LH} \tag{10}$$

- where  $\Delta C_{TE}$  is the change in annual terrestrial ecosystems carbon storage, including land
- 6 vegetation, litter and soil,  $\Delta C_{TE}$  is 1.4 GtC yr<sup>-1</sup> for the period 2005-2014. It is notably smaller than
- 7 what would be calculated in a traditional budget that ignores the LOAC. In this case, the change in
- 8 carbon storage is estimated as 2.1 Gt C yr<sup>-1</sup> from the difference between S<sub>LAND</sub> (3.0 Gt C yr<sup>-1</sup>) and
- 9 E<sub>LUC</sub> (0.9 Gt C yr<sup>-1</sup>; Table 8). All estimates of LOAC are given with low confidence, because they
- originate from a single source. The carbon budget presented here implicitly incorporates the
- 11 fluxes from the LOAC with S<sub>LAND</sub>. We do not attempt to separate these fluxes because the
- uncertainties in either estimate are too large, and there is insufficient information available to
- 13 estimate the LOAC fluxes on an annual basis.

# 14 3 Results

#### 15 3.1 Global carbon budget averaged over decades and its variability

- 16 The global carbon budget averaged over the last decade (2005-2014) is shown in Fig. 2. For this
- time period, 91% of the total emissions (E<sub>FF</sub> + E<sub>LUC</sub>) were caused by fossil fuel combustion and
- cement production, and 9% by land-use change. The total emissions were partitioned among the
- atmosphere (44%), ocean (26%) and land (30%). All components except land-use change
- 20 emissions have grown since 1959 (Figs. 3 and 4), with important interannual variability in the
- 21 atmospheric growth rate and in the land CO<sub>2</sub> sink (Fig. 4), and some decadal variability in all terms
- 22 (Table 8).

23

# 3.1.1 CO<sub>2</sub> emissions

- 24 Global CO<sub>2</sub> emissions from fossil fuel combustion and cement production have increased every
- decade from an average of  $3.1 \pm 0.2$  GtC yr<sup>-1</sup> in the 1960s to an average of  $9.0 \pm 0.5$  GtC yr<sup>-1</sup> during
- 26 2005-2014 (Table 8 and Fig. 5). The growth rate in these emissions decreased between the 1960s
- 27 and the 1990s, from 4.5% yr<sup>-1</sup> in the 1960s (1960-1969), 2.9% yr<sup>-1</sup> in the 1970s (1970-1979), 1.9 %

- $^{1}$  yr<sup>-1</sup> in the 1980s (1980-1989), and finally to 1.0 % yr<sup>-1</sup> in the 1990s (1990-1999), before it began
- 2 increasing again in the 2000s at an average growth rate of 3.2 % yr<sup>-1</sup>, decreasing to 2.2 % yr<sup>-1</sup> for
- 3 the last decade (2005-2014). In contrast, CO<sub>2</sub> emissions from land-use change have remained
- 4 constant, in our analysis at around 1.5  $\pm$  0.5 GtC yr<sup>-1</sup> between 1960-1999 and 1.0  $\pm$  0.5 GtC yr<sup>-1</sup>
- during 2000-2014. The decrease in emissions from land-use change between the 1990s and 2000s
- 6 is highly uncertain. It is not found in the current ensemble of the DGVMs (Fig. 6), which are
- 7 otherwise consistent with the bookkeeping method within their respective uncertainty (Table 7).
- 8 It is also not found in the study of tropical deforestation of Achard et al. (2014) where the fluxes in
- 9 the 1990s were similar to those of the 2000s and outside our uncertainty range. A new study
- based on FAO data to 2015 (Federici et al., 2015) suggests that E<sub>LUC</sub> decreased during 2011-2015
- 11 compared to 2001-2010.

# 12 3.1.2 Partitioning

- 13 The growth rate in atmospheric  $CO_2$  increased from 1.7  $\pm$  0.1 GtC yr<sup>-1</sup> in the 1960s to 4.4  $\pm$  0.1 GtC
- yr<sup>-1</sup> during 2005-2014 with important decadal variations (Table 8). Both ocean and land CO<sub>2</sub> sinks
- increased roughly in line with the atmospheric increase, but with significant decadal variability on
- land (Table 8). The ocean  $CO_2$  sink increased from 1.1 ± 0.5 GtC yr<sup>-1</sup> in the 1960s to 2.6 ± 0.5 GtC
- 17 yr<sup>-1</sup> during 2005-2014, with interannual variations of the order of a few tenths of GtC yr<sup>-1</sup> generally
- showing an increased ocean sink during El Niño (i.e. 1982-1983, 1991-1993, 1997-1998) events
- 19 (Fig. 7; Rödenbeck et al., 2014). Although there is some coherence between the ocean models and
- 20 data products and among data products, their mutual correlation is weak and highlights
- 21 disagreement on the exact amplitude of the interannual variability, and on the relative
- importance of the trend versus the variability (Section 2.4.3 and Fig. 7). As shown in Fig. 7, the two
- 23 data products and most model estimates produce a mean CO<sub>2</sub> sink for the 1990s that is below the
- mean assessed by the IPCC from indirect (but arguably more reliable) observations (Denman et al.,
- 25 2007; Section 2.4.1). This discrepancy suggests we may need to reassess estimates of the mean
- 26 ocean carbon sinks.
- 27 The land  $CO_2$  sink increased from 1.7 ± 0.7 GtC yr<sup>-1</sup> in the 1960s to 3.0 ± 0.8 GtC yr<sup>-1</sup> during 2005-
- 28 2014, with important interannual variations of up to 2 GtC yr<sup>-1</sup> generally showing a decreased land
- 29 sink during El Niño events, overcompensating the increase in ocean sink and accounting for the
- 30 enhanced atmospheric growth rate during El Niño events. The high uptake anomaly around year
- 31 1991 is thought to be caused by the effect of the volcanic eruption of Mount Pinatubo on climate

- and is not generally reproduced by the DGVMs, but it is assigned to the land by the two inverse
- 2 systems that include this period (Fig. 6). The larger land CO<sub>2</sub> sink during 2005-2014 compared to
- 3 the 1960s is reproduced by all the DGVMs in response to combined atmospheric CO<sub>2</sub> increase,
- 4 climate and variability (3.0± 0.5 GtC yr<sup>-1</sup> for the period 2005-2014 and average change of 1.9 GtC
- 5 yr<sup>-1</sup> relative to the 1960s), consistent with the budget residual and reflecting a common
- knowledge of the processes (Table 7). The DGVM ensemble mean of 3.0  $\pm$  0.5 GtC yr<sup>-1</sup> also
- 7 reproduce the observed mean for the period 2005-2014 calculated from the budget residual
- 8 (Table 7).
- 9 The total CO<sub>2</sub> fluxes on land (E<sub>LUC</sub> + S<sub>LAND</sub>) constrained by the atmospheric inversions show in
- 10 general very good agreement with the global budget estimate, as expected given the strong
- constrains of G<sub>ATM</sub> and the small relative uncertainty typically assumed on S<sub>OCEAN</sub> and E<sub>FF</sub> by
- inversions. The total land flux is of similar magnitude for the decadal average, with estimates for
- 13 2005-2014 from the three inversions of 2.0, 2.0 and 3.3 GtC yr<sup>-1</sup> compared to  $2.1 \pm 0.7$  GtC yr<sup>-1</sup> for
- the total flux computed with the carbon budget from other terms in Eq. 1(Table 7). The three
- inversions' total land sink would be 1.6, 1.6 and 2.9 GtC yr<sup>-1</sup> when including a mean river flux
- 16 correction of 0.45 GtC yr<sup>-1</sup>, though the exact correction would be smaller when taking into account
- the anthropogenic contribution to river fluxes (Section 2.7.2). The interannual variability of the
- inversions also matched the residual-based S<sub>LAND</sub> closely (Fig. 6). The total land flux from the
- 19 DGVM multi-model mean also compares well with the estimate from the carbon budget and
- atmospheric inversions, with a decadal mean of 1.6  $\pm$  0.4 GtC yr<sup>-1</sup> (Table 7; 2005-2014), although
- 21 individual models differ by several GtC for some years (Fig. 6).

# 3.1.3 Distribution

- 23 The total surface CO<sub>2</sub> fluxes on land and ocean including land-use change (S<sub>LAND</sub> + S<sub>OCEAN</sub> E<sub>LUC</sub>) is
- 24 estimated from process models and atmospheric inversions can provide information on the
- 25 regional distribution of those fluxes by latitude band (Fig. 8). The global mean CO<sub>2</sub> fluxes from
- process models for 2005-2014 is  $4.2 \pm 0.5$  GtC yr<sup>-1</sup>. This is comparable to the fluxes of  $4.7 \pm 0.5$  GtC
- $yr^{-1}$  inferred from the remainder of the carbon budget (E<sub>FF</sub> G<sub>ATM</sub> in Equation 1; Table 8) within
- their respective uncertainties. The total CO<sub>2</sub> fluxes from the three inversions range between 4.4
- and 4.9 GtC yr<sup>-1</sup>, consistent with the carbon budget as expected from the constraints on the
- 30 inversions.

- 1 In the South (south of 30°S), the atmospheric inversions and combined models all suggest a CO<sub>2</sub>
- 2 sink for 2005-2014 of between 1.2 and 1.5 GtC yr<sup>-1</sup> (Fig. 8), although the details of the interannual
- 3 variability are not fully consistent across methods. The interannual variability in the South is low
- 4 because of the dominance of ocean area with low variability compared to land areas. In the
- 5 Tropics (30°S-30°N), both the atmospheric inversions and combined models suggest the carbon
- 6 balance in this region is close to neutral over the past decade, with fluxes for 2005-2014 ranging
- 5 between -0.6 and +0.6 GtC yr<sup>-1</sup>. This region also shows the largest variability, both on interannual
- 8 and decadal time scales.
- 9 In the North (north of 30°N), the inversions and combined models are not in full agreement on the
- magnitude of the CO<sub>2</sub> sink with the ensemble mean of the process models suggesting a total
- northern hemisphere sink for 2005-2014 of 2.3  $\pm$  0.4 GtC yr<sup>-1</sup> while the three inversions estimate a
- sink of 2.5, 3.4 and 3.6 GtC yr<sup>-1</sup>. The mean difference can only partly be explained by the influence
- of river fluxes, as this flux in the Northern Hemisphere would be less than 0.45 GtC yr<sup>-1</sup>,
- particularly when the anthropogenic contribution to river fluxes are accounted for.

# 15 3.2 Global carbon budget for year 2014 and emissions projection for 2015

#### 16 **3.2.1 CO<sub>2</sub> emissions**

- Global  $CO_2$  emissions from fossil fuel combustion and cement production reached 9.8  $\pm$  0.5 GtC in
- 18 2014 (Fig. 5), distributed among coal (42%), oil (33%), gas (19%), cement (5.7%) and gas flaring
- 19 (0.6%). The first four categories increased by 0.4%, 0.8%, 0.4% and 2.5% respectively over the
- previous year. Due to lack of data, gas flaring in 2012-2014 are assumed the same as 2011.
- 21 Emissions in 2014 were 0.6% higher than the emissions in 2013, an increase well below the
- decadal average of 2.2% yr<sup>-1</sup> (2005-2014). Growth in 2014 is lower than our projection of 2.5% yr<sup>-1</sup>
- 23 made last year (Le Quéré et al., 2015) based on an estimated GDP growth of 3.3% yr<sup>-1</sup> and
- improvement in  $I_{FF}$  of 0.7% yr<sup>-1</sup> (Table 9), and also outside the provided likely range of 1.3-3.5%.
- 25 The latest estimate of GDP growth for 2014 was still 3.3% yr<sup>-1</sup> (IMF, 2015) and hence I<sub>FF</sub> improved
- 26 by  $2.7\% \text{ yr}^{-1}$ . This  $I_{FF}$  is low compared to recent years (Table 9), but not outside the range of
- variability observed in recent decades, suggesting that our uncertainty range may have been
- 28 underestimated. Almost half of the lower growth compared to expectations can be attributed to a
- 29 lower growth in emissions than anticipated in China (1.1% compared to 4.5% in our projection;
- 30 Friedlingstein et al. 2014), which primarily reflects structural changes in China's economy (Green

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and Stern, 2015). Similar structural change occurred following the Global Financial Crisis of 2008-1 2 2009 that particularly affected western economies, which also made the emissions projections 3 based on GDP temporarily problematic and outside of the steady behaviour assumed by the GDP/intensity approach (Peters et al. 2012). For this reason we provide an emissions projection 4 with explicit projection for China based on energy and cement data during January – August 2015 5 6 (see Section 2.1.4). Climatic variability could also have contributed to the lower emissions in China (from reported high rainfall possibly leading to higher hydropower capacity utilisation), and in 7 Europe and the USA where the combined emissions change account for 37% of the lower growth 8 compared to expectations (Friedlingstein et al. 2014). 9 Using separate projections for China, the USA, and the rest of the world as described in Section 10 2.1.4, we project that the growth in global CO<sub>2</sub> emissions from fossil fuels and cement production 11 will be near or slightly below zero in 2015, with a change of -0.6% (range of -1.6% to +0.5%) from 12 2014 levels. Our method is imprecise and contains several assumptions that could influence the 13 results beyond the given range, and as such is indicative only. Within the given assumptions, 14 global emissions decrease to 9.7  $\pm$  0.5 GtC (35.7  $\pm$  1.8 GtCO<sub>2</sub>) in 2015, but are still 59% above 15 emissions in 1990. 16 For China, the expected change based largely on available data during January to August (see 17 Section 2.1.4) is for a decrease in emissions of -3.9% (range of -4.6% to -1.1%) in 2015 compared 18 to 2014. This uncertainty includes a range of -4.6% to -3.2% considering different adjustments for 19 stocks and no changes in the carbon content of coal, and is based on estimated decreases in 20 apparent coal consumption (-5.3%) and cement production (-5.0%) and estimated growth in 21 apparent oil (+3.2%) and natural gas (+1.4%) consumption. However, there are additional 22 uncertainties from the carbon content of coal. While China's Energy Statistical Yearbooks indicate 23 declining carbon content over recent years, preliminary data suggest an increase of up to 3% in 24 2014. The Chinese government has introduced measures expressly to address the declining 25 quality of coal (which also leads to lower carbon content) by closing lower-quality mines and 26 27 placing restrictions on the quality of imported coal. Allowing for a similar increase in 2015 (0% to 3%), we expand the uncertainty range of emissions growth to -4.6% to -1.1%. 28 For the USA, the EIA emissions projection for 2015 combined with cement data from USGS gives a 29 decrease of -1.5% (range of -5.5% to +0.3%) compared to 2014. For the rest of the world, the 30 expected growth for 2015 of +1.2% (range of -0.2 to +2.6%) is computed using the GDP projection 31

- for the world excluding China and the USA of 2.3% made by the IMF (2015) and a growth rate for
- 2  $I_{FF}$  of -1.1% yr<sup>-1</sup> which is the average from 2005-2014. The uncertainty range is based on the
- 3 standard deviation of the interannual variability in I<sub>FF</sub> during 2005-2014 of ±1.4%.
- 4 In 2014, the largest contributions to global CO<sub>2</sub> emissions were from China (27%; Liu et al., 2015),
- the USA (15%), the EU (28 member states; 10%), and India (7%), with the percentages compared
- to the global total including bunker fuels (3.0%). These four regions account for 59% of global
- 7 emissions. Growth rates for these countries from 2013 to 2014 were 1.2% (China), 0.8% (USA),
- 8 –5.8% (EU28), and 8.6% (India). The per-capita CO<sub>2</sub> emissions in 2014 were 1.3 tC person<sup>-1</sup> yr<sup>-1</sup> for
- 9 the globe, and were 4.8 (USA), 1.9 (China), 1.8 (EU28) and 0.5 (India) tC person<sup>-1</sup> yr<sup>-1</sup> for the four
- 10 highest emitting countries (Fig. 5e).
- 11 Territorial emissions in Annex B countries have decreased slightly by 0.1% per year on average
- from 1990-2013, while consumption emissions grew at 0.4% yr<sup>-1</sup> (Fig. 5c). In non-Annex B
- countries, territorial emissions have grown at 4.4% yr<sup>-1</sup>, while consumption emissions have grown
- at 4.1% yr<sup>-1</sup>. In 1990, 66% of global territorial emissions were emitted in Annex B countries (34% in
- non-Annex B, and 2% in bunker fuels used for international shipping and aviation), while in 2013
- this had reduced to 38% (58% in non-Annex B, and 3% in bunker fuels). In terms of consumption
- emissions this split was 64% in 1990 and 39% in 2013 (34% to 55% in non-Annex B). The difference
- between territorial and consumption emissions (the net emission transfer via international trade)
- 19 from non-Annex B to Annex B countries has increased from near zero in 1990 to 0.3 GtC yr<sup>-1</sup>
- around 2005 and remained relatively stable between 2006 and 2013 (Fig. 5). The increase in net
- emission transfers of 0.30 GtC yr<sup>-1</sup> between 1990 and 2013 compares with the emission reduction
- of 0.37 GtC yr<sup>-1</sup> in Annex B countries. These results show the importance of net emission transfer
- via international trade from non-Annex B to Annex B countries, and the stabilisation of emissions
- transfer when averaged over Annex B countries during the past decade. In 2013, the biggest
- emitters from a consumption perspective were China (23% of the global total), USA (16%), EU28
- 26 (12%), and India (6%).
- Based on fire activity, the global  $CO_2$  emissions from land-use change are estimated as  $1.1 \pm 0.5$
- GtC in 2014, similar to the 2005-2014 average of  $0.9 \pm 0.5$  GtC yr<sup>-1</sup> and the DGVM estimate for
- 29 2014 of 1.4  $\pm$  0.5 GtC yr<sup>-1</sup>. However, the estimated annual variability is not generally consistent
- 30 between methods, except that all methods estimate that variability in E<sub>LUC</sub> is small relative to the
- variability from S<sub>LAND</sub> (Fig. 6a). This could be partly due to the design of the DGVM experiments,

- which use flux differences between simulations with and without land-cover change, and thus
- 2 may overestimate variability e.g. due to fires in forest regions where the contemporary forest
- 3 cover is smaller than pre-industrial cover used in the 'without land cover change' runs. The
- 4 extrapolated land cover input data for 2013-2014 in the DGVM may also explain part of the
- 5 discrepancy.

# 6 3.2.2 Partitioning

- 7 The atmospheric  $CO_2$  growth rate was 3.9 ± 0.2 GtC in 2014 (1.83 ± 0.09 ppm; Fig. 4; Dlugokencky
- and Tans, 2015). This is below the 2005-2014 average of 4.4 ± 0.1 GtC yr<sup>-1</sup>, though the interannual
- 9 variability in atmospheric growth rate is large.
- 10 The ocean  $CO_2$  sink was  $2.9 \pm 0.5$  GtC yr<sup>-1</sup> in 2014, an increase of 0.1 GtC yr<sup>-1</sup> over 2013 according
- to ocean models. Seven of the eight ocean models produce an increase in the ocean CO<sub>2</sub> sink in
- 12 2014 compared to 2013, with the last model producing a very small reduction. However, of the
- two data products available over that period, Rödenbeck et al. (2014) produce a decrease of -0.1
- 14 GtC yr<sup>-1</sup> while Landschützer et al. (2015) produce an increase of 0.2 GtC yr<sup>-1</sup>. Thus there is no
- overall consistency in the annual change in the ocean CO<sub>2</sub> sink, although there is an indication of
- increasing convergence among products for the assessment of multi-year changes, as suggested
- by the time-series correlations reported in Section 2.4.3 (see also Landschützer et al., 2015). A
- small increase in the ocean CO<sub>2</sub> in 2014 sink would be consistent with the observed El Niño
- 19 neutral conditions and continued rising atmospheric CO<sub>2</sub>. All estimates suggest an ocean CO<sub>2</sub> sink
- for 2014 that is larger than the 2005-2014 average of 2.6  $\pm$  0.5 GtC yr<sup>-1</sup>.
- 21 The terrestrial  $CO_2$  sink calculated as the residual from the carbon budget was 4.1  $\pm$  0.9 GtC in
- 22 2014, 1.1 GtC higher than the  $3.0 \pm 0.8$  GtC yr<sup>-1</sup> averaged over 2005-2014 (Fig. 4). This is the
- 23 largest S<sub>LAND</sub> calculated since 1959, equal to year 2011 (Poulter et al. 2014). In contrast to 2011
- 24 where La Niña conditions prevailed, the large S<sub>LAND</sub> in 2014 occurred in neutral El Niño condition.
- The DGVM model mean produce a sink of 3.6  $\pm$  0.9 GtC in 2014, 0.7 GtC yr<sup>-1</sup> over the 2005-2014
- average (Table 7), smaller but still consistent with observations (Poulter et al., 2014). In the DGVM
- ensemble, 2014 is the fifth largest S<sub>LAND</sub>, after 1974, 2011, 2004 and 2000. There is no agreement
- between models and inversions on the regional origin on the 2014 flux anomaly (Fig. 8).
- 29 Cumulative emissions for 1870-2014 were 400  $\pm$  20 GtC for E<sub>FF</sub>, and 145  $\pm$  50 GtC for E<sub>LUC</sub> based on
- 30 the bookkeeping method of Houghton et al. (2012) for 1870-1996 and a combination with fire-

- based emissions for 1997-2014 as described in Section 2.2 (Table 10). The cumulative emissions
- are rounded to the nearest 5 GtC. The total cumulative emissions for 1870-2014 are 545  $\pm$  55 GtC.
- These emissions were partitioned among the atmosphere (230  $\pm$  5 GtC based on atmospheric
- 4 measurements in ice cores of 288 ppm (Section 2.3.1; Joos and Spahni, 2008) and recent direct
- 5 measurements of 397.2 ppm (Dlugokencky and Tans, 2014)), ocean (155 ± 20 GtC using Khatiwala
- et al. (2013) prior to 1959 and Table 8 otherwise), and the land (160  $\pm$  60 GtC by the difference).
- 7 Cumulative emissions for the early period 1750-1869 were 3 GtC for E<sub>FF</sub>, and about 45 GtC for E<sub>LUC</sub>
- 8 (rounded to nearest 5) of which 10 GtC were emitted in the period 1850-1870 (Houghton et al.
- 9 2012) and 30 GtC were emitted in the period 1750-1850 based on the average of four publications
- 10 (22 GtC by Pongratz et al. (2009); 15 GtC by van Minnen et al. (2009); 64 GtC by Shevliakova et al.
- 11 (2009) and 24 GtC by Zaehle et al. (2011)). The growth in atmospheric CO<sub>2</sub> during that time was
- about 25 GtC, and the ocean uptake about 20 GtC, implying a land uptake of 5 GtC. These
- 13 numbers have large relative uncertainties but balance within the limits of our understanding.
- 14 Cumulative emissions for 1750-2014 based on the sum of the two periods above (before rounding
- to the nearest five GtC) were 405  $\pm$  20 GtC for  $E_{FF}$ , and 190  $\pm$  65 GtC for  $E_{LUC}$ , for a total of 590  $\pm$  70
- GtC, partitioned among the atmosphere (255  $\pm$  5 GtC), ocean (170  $\pm$  20 GtC), and the land (165  $\pm$
- 17 70 GtC).
- 18 Cumulative emissions through to year 2015 can be estimated based on the 2015 projections of E<sub>FF</sub>
- 19 (Section 3.2), the largest contributor, and assuming a constant E<sub>LUC</sub> of 0.9 GtC. For 1870–2015,
- these are 555  $\pm$  55 GtC (2040  $\pm$  200 GtCO<sub>2</sub>) for total emissions, with about 75% contribution from
- $E_{FF}$  (410 ± 20 GtC) and about 25% contribution from  $E_{LUC}$  (145 ± 50 GtC). Cumulative emissions
- since year 1870 are higher than the emissions of 515 [445 to 585] GtC reported in the IPCC
- 23 (Stocker et al., 2013) because they include an additional 43 GtC from emissions in 2012-2015
- (mostly from  $E_{FF}$ ). The uncertainty presented here ( $\pm 1\sigma$ ) is smaller than the range of 90% used by
- 25 IPCC, but both estimates overlap within their uncertainty ranges.

### 4 Discussion

- 27 Each year when the global carbon budget is published, each component for all previous years is
- 28 updated to take into account corrections that are the result of further scrutiny and verification of
- 29 the underlying data in the primary input data sets. The updates have generally been relatively
- small and focused on the most recent years, except for land-use change, where they are more

significant but still generally within the provided uncertainty range (Fig. 9). The difficulty in 1 2 accessing land-cover change data to estimate E<sub>LUC</sub> is the key problem to providing continuous 3 records of emissions in this sector. Current FAO estimates are based on statistics reported at the country level and are not spatially-explicit. Advances in satellite recovery of land-cover change 4 could help to keep track of land-use change through time (Achard et al., 2014; Harris, 2012). 5 Revisions in E<sub>LUC</sub> for the 2008/2009 budget were the result of the release of FAO 2010, which 6 contained a major update to forest cover change for the period 2000-2005 and provided the data 7 for the following 5 years to 2010 (Fig. 9b). The differences this year could be attributable to both 8 the different data and the different methods. Updates to values for any given year in each 9 component of the global carbon budget were highest at 0.82 GtC yr<sup>-1</sup> for the atmospheric growth 10 rate (from a one-off correction to year 1979), 0.24 GtC yr<sup>-1</sup> for the fossil fuel and cement 11 emissions, and 0.52 GtC yr<sup>-1</sup> for the ocean CO<sub>2</sub> sink (from a change from one to multiple models; 12 Fig. 9). The update for the residual land CO<sub>2</sub> sink was also large (Fig. 9e), with a maximum value of 13 0.83 GtC yr<sup>-1</sup>, directly reflecting revisions in other terms of the budget. 14 Our capacity to separate the carbon budget components can be evaluated by comparing the land 15 CO<sub>2</sub> sink estimated through two approaches: (1) the budget residual (S<sub>LAND</sub>), which includes errors 16 and biases from all components, and (2) the land CO<sub>2</sub> sink estimate by the DGVM ensemble, which 17 are based on our understanding of processes of how the land responds to increasing CO<sub>2</sub>, climate 18 and variability. Furthermore, the inverse model estimates which formally merge observational 19 constraints with process-based models to close the global budget can provide constraints on the 20 21 total land flux. These estimates are generally close (Fig. 6), both for the mean and for the interannual variability. The annual estimates from the DGVM over 1959 to 2014 correlate with the 22 annual budget residual with r = 0.71 (Section 2.5.2; Fig. 6). The DGVMs produce a decadal mean 23 and standard deviation across models of 3.0  $\pm$  0.4 GtC yr<sup>-1</sup> for the period 2005-2014, fully 24 consistent with the estimate of  $3.0 \pm 0.8$  GtC yr<sup>-1</sup> produced with the budget residual (Table 7). 25 New insights into total surface fluxes arise from the comparison with the atmospheric inversions 26 27 and their regional breakdown already provide a semi-independent way to validate the results. The comparison shows a first-order consistency between inversions and process models but with a lot 28 29 of discrepancies, particularly for the allocation of the mean land sink between the tropics and the Northern hemisphere. Understanding these discrepancies and further analysis of regional carbon 30 budgets would provide additional information to quantify and improve our estimates, as has been 31

undertaken by the project REgional Carbon Cycle Assessment and Processes (RECAPP; Canadell et 1 al., 2012-2013). 2 3 Annual estimates of each component of the global carbon budgets have their limitations, some of which could be improved with better data and/or better understanding of carbon dynamics. The 4 5 primary limitations involve resolving fluxes on annual time scales and providing updated estimates 6 for recent years for which data-based estimates are not yet available or only beginning to emerge. 7 Of the various terms in the global budget, only the burning of fossil fuels and atmospheric growth rate terms are based primarily on empirical inputs supporting annual estimates in this carbon 8 9 budget. The data on fossil fuel consumption and cement production are based on survey data in all countries. The other terms can be provided on an annual basis only through the use of models. 10 While these models represent the current state of the art, they provide only simulated changes in 11 primary carbon budget components. For example, the decadal trends in global ocean uptake and 12 the interannual variations associated with El Niño-Southern Ocean Oscillation (e.g. ENSO) are not 13 directly constrained by observations, although many of the processes controlling these trends are 14 sufficiently well known that the model-based trends still have value as benchmarks for further 15 validation. Data-based products for the ocean CO<sub>2</sub> sink provide new ways to evaluate the model 16 results, and could be used directly as data become more rapidly available and methods for 17 creating such products improve. However, there are still large discrepancies among data-based 18 estimates, in large part due to the lack of routine data sampling, that preclude their direct use for 19 now (see Rödenbeck et al., 2015). Estimates of land-use emissions and their year-to-year 20 21 variability have even larger uncertainty, and much of the underlying data are not available as an 22 annual update. Efforts are underway to work with annually available satellite area change data or FAO reported data in combination with fire data and modelling to provide annual updates for 23 future budgets. The best resolved changes are in atmospheric growth (G<sub>ATM</sub>), fossil fuel emissions 24 (E<sub>FF</sub>), and by difference, the change in the sum of the remaining terms (S<sub>OCEAN</sub> + S<sub>LAND</sub> – E<sub>LUC</sub>). The 25 variations from year-to-year in these remaining terms are largely model-based at this time. 26 27 Further efforts to increase the availability and use of annual data for estimating the remaining terms with annual to decadal resolution are especially needed. 28 29 Our approach also depends on the reliability of the energy and land-cover change statistics provided at the country level, and are thus potentially subject to biases. Thus it is critical to 30 develop multiple ways to estimate the carbon balance at the global and regional level, including 31

- estimates from the inversion of atmospheric CO<sub>2</sub> concentration, the use of other oceanic and
- 2 atmospheric tracers, and the compilation of emissions using alternative statistics (e.g. sectors). It
- 3 is also important to challenge the consistency of information across observational streams, for
- 4 example to contrast the coherence of temperature trends with those of CO<sub>2</sub> sink trends. Multiple
- 5 approaches ranging from global to regional scale would greatly help increase confidence and
- 6 reduce uncertainty in CO<sub>2</sub> emissions and their fate.

## 5 Conclusions

- 8 The estimation of global CO<sub>2</sub> emissions and sinks is a major effort by the carbon cycle research
- 9 community that requires a combination of measurements and compilation of statistical estimates
- and results from models. The delivery of an annual carbon budget serves two purposes. First,
- there is a large demand for up-to-date information on the state of the anthropogenic perturbation
- of the climate system and its underpinning causes. A broad stakeholder community relies on the
- data sets associated with the annual carbon budget including scientists, policy makers, businesses,
- journalists, and the broader society increasingly engaged in adapting to and mitigating human-
- driven climate change. Second, over the last decade we have seen unprecedented changes in the
- human and biophysical environments (e.g. increase in the growth of fossil fuel emissions, ocean
- temperatures, and strength of the land sink), which call for more frequent assessments of the
- state of the Planet, and by implications a better understanding of the future evolution of the
- carbon cycle, and the requirements for climate change mitigation and adaptation. Both the ocean
- and the land surface presently remove a large fraction of anthropogenic emissions. Any significant
- 21 change in the function of carbon sinks is of great importance to climate policymaking, as they
- affect the excess carbon dioxide remaining in the atmosphere and therefore the compatible
- 23 emissions for any climate stabilization target. Better constraints of carbon cycle models against
- contemporary data sets raises the capacity for the models to become more accurate at future
- 25 projections.
- 26 This all requires more frequent, robust, and transparent data sets and methods that can be
- 27 scrutinized and replicated. After ten annual releases from the GCP, the effort is growing and the
- 28 traceability of the methods has become increasingly complex. Here, we have documented in
- detail the data sets and methods used to compile the annual updates of the global carbon budget,
- 30 explained the rationale for the choices made, the limitations of the information, and finally
- 31 highlighted need for additional information where gaps exist.

- 1 This paper via 'living data' will help to keep track of new budget updates. The evolution over time
- 2 of the carbon budget is now a key indicator of the anthropogenic perturbation of the climate
- 3 system, and its annual delivery joins a set of other climate indicators to monitor the evolution of
- 4 human-induced climate change, such as the annual updates on the global surface temperature,
- 5 sea level rise, minimum Arctic sea ice extent among others.

## 6 Data access

- 7 The data presented here are made available in the belief that their wide dissemination will lead to
- 8 greater understanding and new scientific insights of how the carbon cycle works, how humans are
- 9 altering it, and how we can mitigate the resulting human-driven climate change. The free
- availability of these data does not constitute permission for publication of the data. For research
- projects, if the data are essential to the work, or if an important result or conclusion depends on
- the data, co-authorship may need to be considered. Full contact details and information on how
- to cite the data are given at the top of each page in the accompanying database, and summarised
- in Table 2.
- 15 The accompanying database includes two Excel files organised in the following spreadsheets
- 16 (accessible with the free viewer http://www.microsoft.com/en-us/download/details.aspx?id=10):
- 17 File Global\_Carbon\_Budget\_2015.xlsx includes:
- 18 1. Summary
- 19 2. The global carbon budget (1959-2014);
- 20 3. Global CO<sub>2</sub> emissions from fossil fuels and cement production by fuel type, and the per-capita
- 21 emissions (1959-2014);
- 4. CO<sub>2</sub> emissions from land-use change from the individual methods and models (1959-2014);
- 5. Ocean CO<sub>2</sub> sink from the individual ocean models and data products (1959-2014);
- 6. Terrestrial residual CO<sub>2</sub> sink from the DGVMs (1959-2014);
- 7. Additional information on the carbon balance prior to 1959 (1750-2014).
- 26 File National Carbon Emissions 2015.xlsx includes:
- 27 1. Summary
- 28 2. Territorial country CO<sub>2</sub> emissions from fossil fuel combustion and cement production (1959-
- 29 2014) from CDIAC, extended to 2014 using BP data;

- 3. Territorial country CO<sub>2</sub> emissions from fossil fuel combustion and cement production (1959-1
- 2 2014) from CDIAC with UNFCCC data overwritten where available, extended to 2014 using BP
- 3 data;
- 4 4. Consumption country CO<sub>2</sub> emissions from fossil fuel combustion and cement production and
- emissions transfer from the international trade of goods and services (1990-2013) using 5
- CDIAC/UNFCCC data (worksheet 3 above) as reference; 6
- 7 5. Emissions transfers (Consumption minus territorial emissions; 1990-2013);
- 6. Country definitions. 8
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## 1 Tables

# **Table 1.** Factors used to convert carbon in various units (by convention, Unit 1 = Unit 2 ·

# 3 conversion).

Unit 1	Unit 2	Conversion	Source
GtC (gigatonnes of carbon)	ppm (parts per million) <sup>a</sup>	2.12 <sup>b</sup>	Ballantyne et al. (2012)
GtC (gigatonnes of carbon)	PgC (petagrames of carbon)	1	SI unit conversion
GtCO <sub>2</sub> (gigatonnes of carbon dioxide)	GtC (gigatonnes of carbon)	3.664	44.01/12.011 in mass equivalent
GtC (gigatonnes of carbon)	MtC (megatonnes of carbon)	1000	SI unit conversion

<sup>&</sup>lt;sup>a</sup> Measurements of atmospheric CO<sub>2</sub> concentration have units of dry-air mole fraction. 'ppm' is an abbreviation for micromole/mol, dry air.

<sup>&</sup>lt;sup>b</sup>the use of a factor of 2.12 assumes that all the atmosphere is well mixed within one year. In reality, only the troposphere is well mixed and the growth rate of  $CO_2$  in the less well-mixed stratosphere is not measured by sites from the NOAA network. Using a factor of 2.12 makes the approximation that the growth rate of  $CO_2$  in the stratosphere equals that of the troposphere on a yearly basis.

# **Table 2.** How to cite the individual components of the global carbon budget presented here.

Component	Primary reference
Global fossil fuel and cement emissions (E <sub>FF</sub> ), total and	Boden et al. (2015; CDIAC:
by fuel type	cdiac.ornl.gov/trends/emis/meth_reg.html)
Territorial fossil fuel and cement emissions (E <sub>FF</sub> ) by	CDIAC source: Boden et al. (2015; CDIAC:
country	cdiac.ornl.gov/trends/emis/meth_reg.html)
	UNFCCC (2015;
	http://unfccc.int/national_reports/annex_i_ghg_inv
	entories/national_inventories_submissions/items/8
	108.php; accessed May 2015
Consumption-based fossil fuel and cement emissions	Peters et al. (2011b) updated as described in this paper
(E <sub>FF</sub> ) by country (consumption)	
Land-use change emissions (E <sub>LUC</sub> )	Houghton et al. (2012) combined with Giglio et al. (2013)
Atmospheric CO <sub>2</sub> growth rate (G <sub>ATM</sub> )	Dlugokencky and Tans (2015; NOAA/ESRL:
	www.esrl.noaa.gov/gmd/ccgg/trends/global; accessed
	October 12 2015)
Ocean and land CO <sub>2</sub> sinks (S <sub>OCEAN</sub> and S <sub>LAND</sub> )	This paper for S <sub>OCEAN</sub> and S <sub>LAND</sub> and references in Table 6
	for individual models.

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described in the current paper. Furthermore, methodological changes introduced in one year are kept for the following years unless noted. Empty cells mea Table 3. Main methodological changes in the global carbon budget since first publication. Unless specified below, the methodology was identical to that

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		Fossil fuel emissions	ons			Reservoirs		Uncertainty & other
Publication year -	Global	Country (territorial)	Country (consumption)	LUC emissions –	Atmosphere	Ocean	Land	changes
2006		Split in regions						
Raupach et al. (2007) 2007				E <sub>tric</sub> based on FAO-FRA	1959-1979 data	Based on one ocean		±1σ provided for all
Canadell et al. (2007)				2005; constant E <sub>luc</sub> for 2006	from Mauna Loa; data after 1980 from	model tuned to reproduced observed		components
2008 (online)				Constant E <sub>luc</sub> for 2007	global average	19905 SIIIK		
2009		Split between Annex	Results from an	Fire-based emission		Based on four ocean	First use of five DGVMs to	
Le Quéré et al. (2009)		B and non-Annex B	independent study discussed	anomalies used for 2006- 2008		models normalised to observations with	compare with budget residual	
2010 Friedlingstein et	Projection	Fmissions for ton	•	Figure 1 and a ted with FAO-FRA		constant delta		
al. (2010)	for current year based on GDP	emitters	\ >>	2010				
2011  Deters et al (2012h)			Split between Annex B					
2012		129 countries from	129 countries and regions	E <sub>LUC</sub> for 1997-2011 includes	All years from global	Based on 5 ocean models	Ten DGVMs available for	
Le Quéré et al. (2013)		1959	from 1990-2010 based on	interannual anomalies from	average	normalised to	S <sub>LAND</sub> ; First use of four	
Peters et al. (2013)			GTAP8.0	fire-based emissions		observations with ratio	models to compare with $E_{LUC}$	
2013		250 countries <sup>b</sup>	134 countries and regions	E <sub>LUC</sub> for 2012 estimated		Based on six models	Coordinated DGVM	Confidence levels;
Le Quéré et al. (2014)			GTAP8.1, with detailed estimates for years 1997, 2001, 2004, and 2007	from 2001-2010 average		compared with two data- products to year 2011	experiments for S <sub>LAND</sub> and E <sub>LUC</sub>	cumulative emissions; budget from 1750
2014 Le Oliéré et al (2015)	Three years	Three years of BP	Extended to 2012 with	E <sub>LUC</sub> for 1997-2013 includes		Based on seven models	Based on 10 models	Inclusion of breakdown of
Le Quere et al. (2015)	or BP data	data	updated GDP data	interannual anomalies from fire-based emissions		compared with three data-products to year 2013		the sinks in three latitude bands and comparison with three atmospheric inversions
2015 (this study)		National emissions from UNFCCC extended to 2014	Detailed estimates introduced for 2011 based on GTAP9			Based on eight models compared with two data-products to year 2014	Based on ten models	The decadal uncertainty for the DGVM ensemble mean now uses ±1 $\sigma$ of the decadal
		also provided (along with CDIAC)						spread across models

the budget year (Carbon Budget 2012) refers to the initial publication year The naming convention of the budgets has changed. Up to and including 2010, the budget year (Carbon Budget 2010) represented the latest year of the data. From 2012,

7 6 5 4

current country definitions (see Sect. 2.1.1 for more details). The CDIAC database has about 250 countries, but we show data for about 216 countries since we aggregate and disaggregate some countries to be consistent with

# **Table 4.** Data sources used to compute each component of the global carbon budget.

Component	Process	Data source	Data reference
E <sub>FF</sub>	Fossil fuel combustion and	UN Statistics Division to 2011	UN (2014a, b)
	gas flaring	BP for 2012-2014	BP (2015)
	Cement production	US Geological Survey	van Oss (2015)
			US Geological Survey (2015)
E <sub>LUC</sub>	Land cover change (deforestation, afforestation, and forest regrowth)	Forest Resource Assessment (FRA) of the Food and Agriculture Organisation (FAO)	FAO (2010)
	Wood harvest	FAO Statistics Division	FAOSTAT (2010)
	Shifting agriculture	FAO FRA and Statistics Division	FAO (2010)
			FAOSTAT (2010)
	Interannual variability from peat fires and climate – land management interactions (1997-2013)	Global Fire Emissions Database (GFED4)	Giglio et al., (2013)
G <sub>ATM</sub>	Change in atmospheric CO₂ concentration	1959-1980: CO <sub>2</sub> Program at Scripps Institution of Oceanography and other research groups	Keeling et al. (1976)
		1980-2014: US National Oceanic	Dlugokencky and Tans (2015)
		and Atmospheric Administration Earth System Research Laboratory	Ballantyne et al. (2012)
S <sub>OCEAN</sub>	Uptake of anthropogenic	1990-1999 average: indirect	Manning and Keeling (2006)
	CO <sub>2</sub>	estimates based on CFCs, atmospheric $O_2$ , and other tracer	Keeling et al. (2011)
		observations	McNeil et al. (2003)
			Mikaloff Fletcher et al. (2006) as assessed by the IPCC in Denman et al. (2007)
	Impact of increasing atmospheric CO <sub>2</sub> , climate and variability	Ocean models	Table 6
S <sub>LAND</sub>	Response of land vegetation to:	Budget residual	
	Increasing atmospheric CO <sub>2</sub> concentration		
	Climate and variability		
	Other environmental changes		

- 1 Table 5. Comparison of the processes included in the E<sub>LUC</sub> of the global carbon budget and the
- 2 DGVMs. See Table 6 for model references. All models include deforestation and forest regrowth
- 3 after abandonment of agriculture (or from afforestation activities on agricultural land).

	Bookkeeping	CLM4.5BGC	ISAM	JSBACH	JULES	LPJ-GUESS	LPJ	LPJmL	OCNv1.r240	ORCHIDEE	VISIT
Wood harvest and											_
forest	yes	yes	yes	yes	no	no	no	no	yes	no	yes <sup>b</sup>
degradation <sup>a</sup>											
Shifting cultivation	yes	yes	no	yes	no	no	no	no	no	no	yes
Cropland harvest	yes	yes	yes	yes <sup>c</sup>	no	yes	no	yes	yes	yes	yes
Peat fires	no	yes	no	no	no	no	no	no	no	no	no
Fire simulation											
and/or	for US only	yes	no	yes	no	yes	yes	yes	no	no	yes
suppression											
Climate and	no	yes	yes	yes	yes	yes	yes	yes	yes	yes	yes
variability	110	yes	yes	yes	yes	yes	yes	Yes	yes	yes	yes
CO <sub>2</sub> fertilisation	no	yes	yes	yes	yes	yes	yes	yes	yes	yes	yes
Carbon-nitrogen											
interactions,	no	V05	V00	no	no	no	no	no	V05	no	no
including N	no	yes	yes	no	no	no	no	no	yes	no	no
deposition					_						

<sup>&</sup>lt;sup>a</sup>Refers to the routine harvest of established managed forests rather than pools of harvested products. <sup>b</sup>Wood stems are harvested according to the land-use data. <sup>c</sup>Carbon from crop harvest is entirely transferred into the litter pools.



Table 6. References for the process models and data products included in Figs. 6-8.

Model/data name	Reference	Change from Le Quéré et al. (2015)
Dynamic global vege	etation models	
CLM4.5BGC <sup>a</sup>	Oleson et al. (2013)	No change
ISAM	Jain et al. (2013) <sup>b</sup>	We accounted for crop harvest for C3 and C4 crops based on Arora and Boer (2005) and agricultural soil carbon loss due to tillage (Jain et al., 2005)
JSBACH	Reick et al. (2013) <sup>c</sup>	Not applicable
JULES <sup>e</sup>	Clarke et al. (2011) <sup>e</sup>	Updated JULES version 4.3 compared to v3.2 for last years budget. A number of small code changes but no change in major science sections with the exception to an update in the way litter flux is calculated.
LPJ-GUESS	Smith et al. (2014a)	Implementation of C/N interactions in soil and vegetation, including a complete update of the soil organic matter scheme
LPJ <sup>f</sup>	Sitch et al. (2003)	No change
LPJmL	Bondeau et al. (2007) <sup>g</sup>	Not applicable
OCNv1.r240	Zaehle et al. (2011) <sup>h</sup>	Revised photosynthesis parameterisation allowing for temperature acclimation as well as cold and heat effects on canopy processes. Revised grassland phenology. Included wood harvest as a driver to simulate harvest and post-harvest regrowth. Using Hurtt land-use data set
ORCHIDEE	Krinner et al. (2005)	Revised parameters values for photosynthetic capacity for boreal forests (following assimilation of FLUXNET data), updated parameters values for stem allocation, maintenance respiration and biomass export for tropical forests (based on literature) and, CO2 down-regulation process added to photosynthesis.
VISIT	Kato et al. (2013)	Not applicable
Data products for la	nd-use change emissions	
Bookkeeping	Houghton et al. (2012)	No change
Fire-based emissions	van der Werf et al. (2010)	No change
Ocean biogeochemi		
NEMO-PlankTOM5	Buitenhuis et al. (2010) <sup>j</sup>	No change
NEMO-PISCES (IPSL) <sup>k</sup>	Aumont and Bopp (2006)	No change
CCSM-BEC	Doney et al. (2009)	No change; small difference in the mean flux are caused by a change in how global and annual means were computed
MICOM-HAMOCC (NorESM-OC)	Assmann et al. (2010) <sup>l,m</sup>	Revised light penetration formulation and parameters for ecosystem module, revised salinity restoring scheme enforcing salt conservation, new scheme enforcing global freshwater balance, and model grid changed from displaced pole to tripolar
MPIOM-HAMOCC	llyina et al. (2013)	No change
NEMO-PISCES (CNRM)	Séférian et al. (2013) <sup>n</sup>	No change
CSIRO	Oke et al. (2013)	No change

MITgcm-REcoM2	Hauck et al. (2013)°	Not applicable	
Data products for o	ocean CO₂flux		
Landschützer <sup>p</sup>	Landschützer et al. (2015)	No change	
Jena CarboScope <sup>P</sup>	Rödenbeck et al. (2014) <sup>q</sup>	No change	
Atmospheric invers	sions for total CO <sub>2</sub> fluxes (lan	d-use-change + land + ocean CO <sub>2</sub> fluxes)	
CarbonTracker <sup>r</sup>	Peters et al. (2010)	No change	
Jena CarboScope	Rödenbeck et al. (2003) <sup>s</sup>	No change	
MACC <sup>t</sup>	Chevallier et al. (2005)	No change	

- <sup>a</sup>Community Land Model 4.5
- 3 <sup>b</sup>See also El-Masri et al. (2013)
- 4 <sup>c</sup>See also Goll et al (2015)
- 5 <sup>d</sup>Joint UK Land Environment Simulator
- 6 <sup>e</sup>See also Best et al. (2011)
- 7 fLund-Potsdam-Jena
- 8 FThe LPJmL (Lund-Potsdam-Jena managed Land) version used includes also developments described in Rost et al.
- 9 (2008; river routing and irrigation), Fader et al. (2010; agricultural management), Biemans et al. (2011; reservoir
- management), Shapfhoff et al. (2013; permafrost and 5 layer hydrology), and Waha et al. (2012; sowing data) (sowing dates)
- <sup>h</sup>See also Zaehle et al. (2010) and Friend et al. (2010)
- isee also Ito and Inatomi (2012)
- 14 With no nutrient restoring below the mixed layer depth
- 15 <sup>k</sup>Referred to as LSCE in previous carbon budgets
- 16 With updates to the physical model as described in Tjiputra et al. (2013)
- 17 Further information (e.g., physical evaluation) for these models can be found in Danabasoglu et al. (2014)
- <sup>n</sup>using winds from Atlas et al. (2011)
- 19 °A few changes have been applied to the ecosystem model: (1) The constant Fe:C ratio was substituted by a constant
- 20 Fe:N ratio. (2) A sedimentary iron source was implimented. (3) the following parameters were changed:
- $CHL\_N\_max = 3.78, Fe2N = 0.033, deg\_CHL\_d = 0.1, Fe2N\_d = 0.033, ligandStabConst = 200, constantIronSolubility = 0.033, ligandStabConst = 0.033, ligandSt$
- 22 0.02
- <sup>p</sup>updates using SOCATv3 plus new 2012-2014 data
- <sup>q</sup>Updated version oc\_1.2gcp2015
- <sup>r</sup>Version CTE2015, updates include using CO<sub>2</sub> observations from obspack\_co2\_1\_GLOBALVIEWplus\_v1.0\_2015-07-30
- 26 (NOAA/ESRL, 2015b), prior SiBCASA biosphere and fire fluxes on 3 hourly resolution and fossil fuel emissions for 2010-
- 27 2014 scaled to updated global totals.
- <sup>s</sup>Updated version s81\_v3.7
- <sup>t</sup>The MACCv14.2 CO<sub>2</sub> inversion system, initially described by Chevallier et al. (2005), relies on the global tracer
- 30 transport model LMDZ (Hourdin et al., 2006; see also Supplementary Material Peylin et al., 2013).

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uncertainties represents  $\pm 1\sigma$  of the decadal or annual (for 2014 only) estimates from the ten individual models, for the inverse models all three periods 1960-1969, 1970-1979, 1980-1989, 1990-1999, 2000-2009, last decade and last year available. All values are in GtC yr $^{-1}$ . The DGVM results are given where available. Table 7. Comparison of results from the bookkeeping method and budget residuals with results from the DGVMs and inverse estimates for the

			Mean (GtC yr <sup>-1</sup> )	yr <sup>-1</sup> )			
	1960-1969	1970-1979	1980-1989	1990-1999	2000-2009	2005-2014	2014
		Land	Land-use change emissions (E <sub>LUC</sub> )	nissions (E <sub>LUC</sub> )			
Bookkeeping method	$1.5 \pm 0.5$	$1.3 \pm 0.5$	$1.4\pm0.5$	$1.6 \pm 0.5$	$1.0 \pm 0.5$	$0.9 \pm 0.5$	$1.1\pm0.5$
DGVMs <sup>a</sup>	$1.2 \pm 0.4$	$1.2 \pm 0.4$	$1.3 \pm 0.4$	1.2± 0.4	$1.2 \pm 0.4$	$1.4 \pm 0.4$	$1.4 \pm 0.5$
		Res	Residual terrestrial sink (S <sub>LAND</sub> )	l sink (S <sub>LAND</sub> )			
Budget residual	$1.7 \pm 0.7$	$1.7 \pm 0.8$	$1.6 \pm 0.8$	$2.6 \pm 0.8$	$2.4 \pm 0.8$	$3.0 \pm 0.8$	$4.1 \pm 0.9$
DGVMs <sup>a</sup>	$1.1 \pm 0.6$	$2.1 \pm 0.3$	$1.7 \pm 0.4$	$2.3 \pm 0.3$	$2.7 \pm 0.4$	$3.0 \pm 0.5$	$3.6 \pm 0.9$
		Tot	Total land fluxes ( $S_{LAND} - E_{LUC}$ )	$S_{LAND} - E_{LUC}$			
Budget (E <sub>FF</sub> -G <sub>ATM</sub> -S <sub>OCEAN</sub> )	$0.2 \pm 0.5$	$0.4 \pm 0.6$	$0.2 \pm 0.6$	$1.0 \pm 0.6$	$1.5 \pm 0.6$	$2.1 \pm 0.7$	3.0 ± 0.7
DGVMs <sup>a</sup>	-0.1 ± 0.6	$0.9 \pm 0.4$	$0.5 \pm 0.5$	$1.1 \pm 0.5$	$1.5 \pm 0.4$	$1.6 \pm 0.4$	$2.3 \pm 0.9$
Inversions (CTE2015/Jena CarboScope/MACC)*	1)-/-	-/-/-	-/0.3*/0.8*	-/-//0.3*/0.8* -/1.1*/1.8*	-/1.6*/2.4*	2.0*/2.0*/3.3*	2.8*/2.6*/4.2*

decadal estimates. This change was introduced to be consistent with the decadal uncertainty calculations in Table 8 decadal estimates for the DGVMs, compared to the average of the annual ±10 estimates in previous years. It thus represents the true model range for their aNote that the decadal uncertainty calculation for the DGVMs is smaller here compared to previous Global Carbon Budgets because it uses ±10 of the

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<sup>7.2.2).</sup> CTE2015 refers to Peters et al. (2010), Jena CarboScope to Rödenbeck et al. (2014) and MACC to Chevallier et al. (2005); see Table 6. \*Estimate are not corrected for the influence of river fluxes, which would reduce the fluxes by 0.45 GtC yr when neglecting the anthropogenic influence on land (Section

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original data sources as specified on the data set. each year during 1959-2014 is available on http://cdiac.ornl.gov/GCP/carbonbudget/2015/. Please follow the terms of use and cite the 2000-2009, last decade and last year available. All values are in GtC yr $^{-1}$ . All uncertainties are reported as  $\pm 1\sigma$ . A data set containing data for **Table 8.** Decadal mean in the five components of the anthropogenic  $CO_2$  budget for the periods 1960-1969, 1970-1979, 1980-1989, 1990-1999,

			Z	Mean (GtC yr <sup>-1</sup> )			
	1960-1969	1970-1979	1980-1989	1990-1999 2000-2009 2005-2014	2000-2009	2005-2014	2014
Emissions							
Fossil fuel combustion and	$3.1 \pm 0.2$	$4.7 \pm 0.2$	$5.5 \pm 0.3$	$6.4 \pm 0.3$	$7.8 \pm 0.4$	$9.0 \pm 0.5$	$9.8 \pm 0.5$
cement production (E <sub>FF</sub> )							
Land-use change emissions ( $E_{LUC}$ )	$1.5 \pm 0.5$	$1.3 \pm 0.5$	$1.4 \pm 0.5$	$1.6 \pm 0.5$	$1.0 \pm 0.5$	$0.9 \pm 0.5$	$1.1 \pm 0.5$
Partitioning							
Atmospheric growth rate $(G_{ATM})$	$1.7 \pm 0.1$	$2.8 \pm 0.1$	$3.4 \pm 0.1$	$3.1 \pm 0.1$	$4.0 \pm 0.1$	$4.4 \pm 0.1$	$3.9 \pm 0.2$
Ocean sink (S <sub>OCEAN</sub> )*	$1.1 \pm 0.5$	$1.5 \pm 0.5$	$2.0 \pm 0.5$	$2.2 \pm 0.5$	$2.3 \pm 0.5$	$2.6 \pm 0.5$	$2.9 \pm 0.5$
Residual terrestrial sink (S <sub>LAND</sub> )	1.7 ± 0.7	1.7 ± 0.8	$1.6 \pm 0.8$	$2.6 \pm 0.8$	2.4 ± 0.8	$3.0 \pm 0.8$	$4.1 \pm 0.9$

<sup>\*</sup>The uncertainty in S<sub>OCEAN</sub> for the 1990s is directly based on observations, while that for other decades combines the uncertainty from observations with the model spread (Sect. 2.4.3).

**Table 9.** Actual  $CO_2$  emissions from fossil fuel combustion and cement production ( $E_{FF}$ ) compared to projections made the previous year based on world GDP (IMF October 2015) and the fossil fuel intensity of GDP ( $I_{FF}$ ) based on subtracting the  $CO_2$  and GDP growth rates. The 'Actual' values are the latest estimate available and the 'Projected' value for 2015 refers to those presented in this paper. A correction for leap years is applied (Section 2.1.3).

	E <sub>FF</sub>		(	GDP	I <sub>FF</sub>	:
	Projected	Actual	Projected	Actual	Projected	Actual
2009 <sup>a</sup>	-2.8%	-0.5%	-1.1%	-0.4%	-1.7%	-0.9%
2010 <sup>b</sup>	>3%	4.9%	4.8%	5.2%	>-1.7%	-0.3%
2011 <sup>c</sup>	3.1±1.5%	3.2%	4.0%	3.9%	-0.9±1.5%	-0.7%
2012 <sup>d</sup>	2.6% (1.9 to 3.5)	2.2%	3.3%	3.2%	-0.7%	-1.0%
2013 <sup>e</sup>	2.1% (1.1 to 3.1)	2.3%	2.9%	3.2%	-0.8%	-0.9%
2014 <sup>f</sup>	2.5% (1.3 to 3.5)	0.6%	3.3%	3.4%	-0.7%	-2.8%
2015 <sup>g</sup>	-0.6% (-1.6 to 0.5)		3.1%		-3.7%	

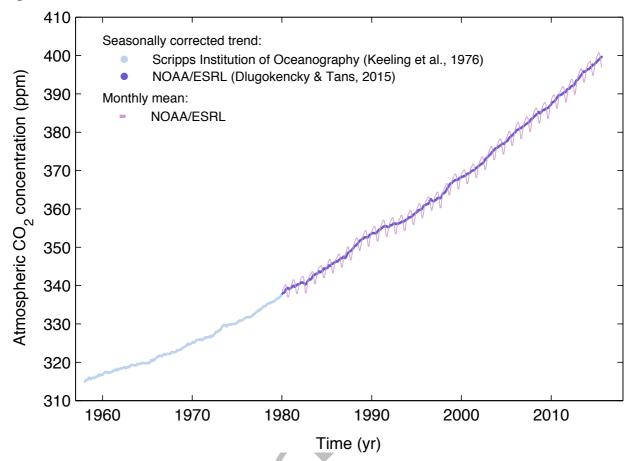
<sup>a</sup>Le Quéré et al. (2009). <sup>b</sup>Friedlingstein et al. (2010). <sup>c</sup>Peters et al. (2013). <sup>d</sup>Le Quéré et al. (2013). <sup>e</sup>Le Quéré et al. (2014). <sup>f</sup>Friedlingstein et al. (2014) and (Le Quéré et al., 2015). <sup>g</sup> This study.

**Table 10.** Cumulative  $CO_2$  emissions for the periods 1750-2014, 1870-2014 and 1870-2015 in gigatonnes of carbon (GtC). We also provide the 1850-2005 time-period used in a number of model evaluation publications. All uncertainties are reported as  $\pm 1\sigma$ . All values are rounded to nearest 5 GtC as in Stocker et al. (2013), reflecting the limits of our capacity to constrain cumulative estimates. Thus some columns will not exactly balance because of rounding errors.

Units of GtC	1750-2014	1850-2005	1870-2014	1870-2015
Emissions				
Fossil fuel combustion and cement production ( $E_{FF}$ )	405 ± 20	320 ± 15	400 ± 20	410 ± 20*
Land-use change emissions (E <sub>LUC</sub> )	190 ± 65	150 ± 55	145 ± 50	145 ± 50*
Total emissions	590 ± 70	470 ± 55	545 ± 55	555 ± 55*
Partitioning			7,	
Atmospheric growth rate (G <sub>ATM</sub> )	255 ± 5	195 ± 5	230 ± 5	
Ocean sink (S <sub>OCEAN</sub> )	170 ± 20	160 ± 20	155 ± 20	
Residual terrestrial sink (S <sub>LAND</sub> )	165 ± 70	115 ± 60	160 ± 60	

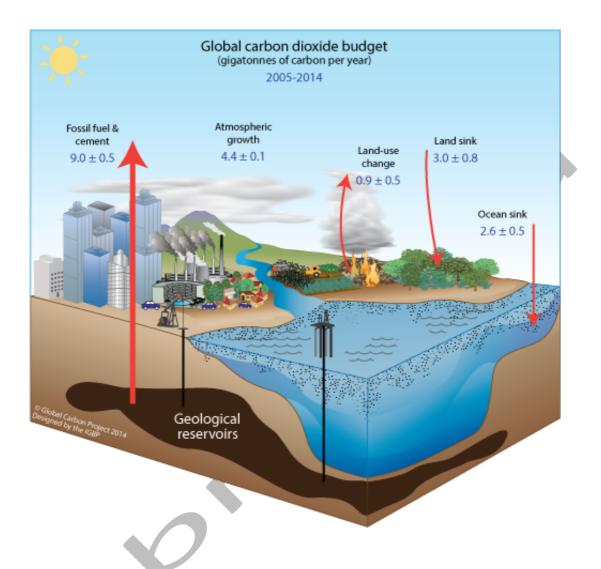
 $<sup>^*</sup>$ The extension to year 2015 uses the emissions projections for fossil fuel combustion and cement production for 2015 of 9.7 GtC (Sect. 3.2) and assumes a constant  $E_{LUC}$  flux (Sect. 2.2).

**Fig. 1** 



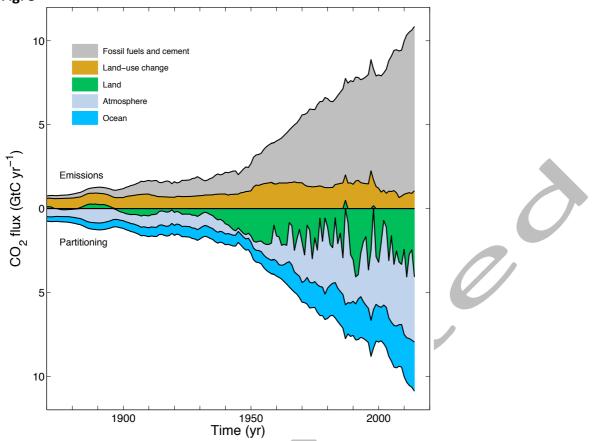
**Figure 1.** Surface average atmospheric CO<sub>2</sub> concentration, deseasonalised (ppm). The 1980-2015 monthly data are from NOAA/ESRL (Dlugokencky and Tans, 2015) and is based on an average of direct atmospheric CO<sub>2</sub> measurements from multiple stations in the marine boundary layer (Masarie and Tans, 1995). The 1958-1979 monthly data are from the Scripps Institution of Oceanography, based on an average of direct atmospheric CO<sub>2</sub> measurements from the Mauna Loa and South Pole stations (Keeling et al., 1976). To take into account the difference of mean CO<sub>2</sub> between the NOAA/ESRL and the Scripps station networks used here, the Scripps surface average (from two stations) was harmonised to match the NOAA/ESRL surface average (from multiple stations) by adding the mean difference of 0.542 ppm, calculated here from overlapping data during 1980-2012. The mean seasonal cycle is also shown from 1980.

# 1 Fig. 2



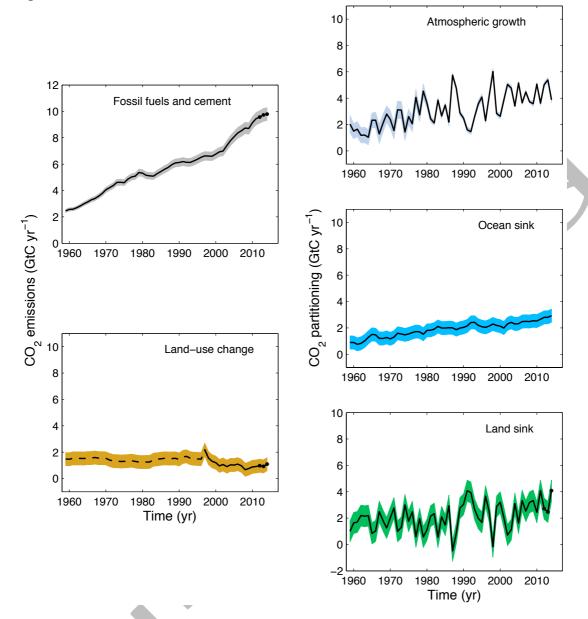
**Figure 2.** Schematic representation of the overall perturbation of the global carbon cycle caused by anthropogenic activities, averaged globally for the decade 2005-2014. The arrows represent emission from fossil fuel burning and cement production ( $E_{FF}$ ); emissions from deforestation and other land-use change ( $E_{LUC}$ ); the growth of carbon in the atmosphere ( $G_{ATM}$ ) and the uptake of carbon by the 'sinks' in the ocean ( $S_{OCEAN}$ ) and land ( $S_{LAND}$ ) reservoirs. All fluxes are in units of GtC yr<sup>-1</sup>, with uncertainties reported as  $\pm 1\sigma$  (68% confidence that the real value lies within the given interval) as described in the text. This figure is an update of one prepared by the International Geosphere Programme for the GCP, first presented in Le Quéré (2009).





**Figure 3.** Combined components of the global carbon budget illustrated in Fig. 2 as a function of time, for emissions from fossil fuel combustion and cement production ( $E_{FF}$ ; grey) and emissions from land-use change ( $E_{LUC}$ ; brown), as well as their partitioning among the atmosphere ( $G_{ATM}$ ; light blue), land ( $S_{LAND}$ ; green) and oceans ( $S_{OCEAN}$ ; dark blue). All time-series are in GtC yr<sup>-1</sup>.  $G_{ATM}$  and  $S_{OCEAN}$  (and by construction also  $S_{LAND}$ ) prior to 1959 are based on different methods. The primary data sources for fossil fuel and cement emissions are from Boden et al. (2013), with uncertainty of about  $\pm 5$  % ( $\pm 1\sigma$ ); land-use change emissions are from Houghton et al. (2012) with uncertainties of about  $\pm 30$  %; atmospheric growth rate prior to 1959 is from Joos and Spahni (2008) with uncertainties of about  $\pm 1-1.5$  GtC decade<sup>-1</sup> or  $\pm 0.1-0.15$  GtC yr<sup>-1</sup> (Bruno and Joos, 1997), and from Dlugokencky and Tans (2015) from 1959 with uncertainties of about  $\pm 0.2$  GtC yr<sup>-1</sup>; the ocean sink prior to 1959 is from Khatiwala et al. (2013) with uncertainty of about  $\pm 30$  %, and from this study from 1959 with uncertainties of about  $\pm 0.5$  GtC yr<sup>-1</sup>; and the residual land sink is obtained by difference (Eq. 8), resulting in uncertainties of about  $\pm 50$  % prior to 1959 and  $\pm 0.8$  GtC yr<sup>-1</sup> after that. See the text for more details of each component and their uncertainties.

## 1 Fig. 4



**Figure 4.** Components of the global carbon budget and their uncertainties as a function of time, presented individually for (a) emissions from fossil fuel combustion and cement production ( $E_{FF}$ ), (b) emissions from land-use change ( $E_{LUC}$ ), (c) atmospheric  $CO_2$  growth rate ( $G_{ATM}$ ), (d) the ocean  $CO_2$  sink ( $S_{OCEAN}$ , positive indicates a flux from the atmosphere to the ocean), and (e) the land  $CO_2$  sink ( $S_{LAND}$ , positive indicates a flux from the atmosphere to the land). All time-series are in GtC yr $^{-1}$  with the uncertainty bounds representing  $\pm 1\sigma$  in shaded colour. Data sources are as in Fig. 3. The black dots in panels (a), (b) and (e) show values for 2012, 2013 and 2014, that originate from a different data set to the remainder of the data, as explained in the text.

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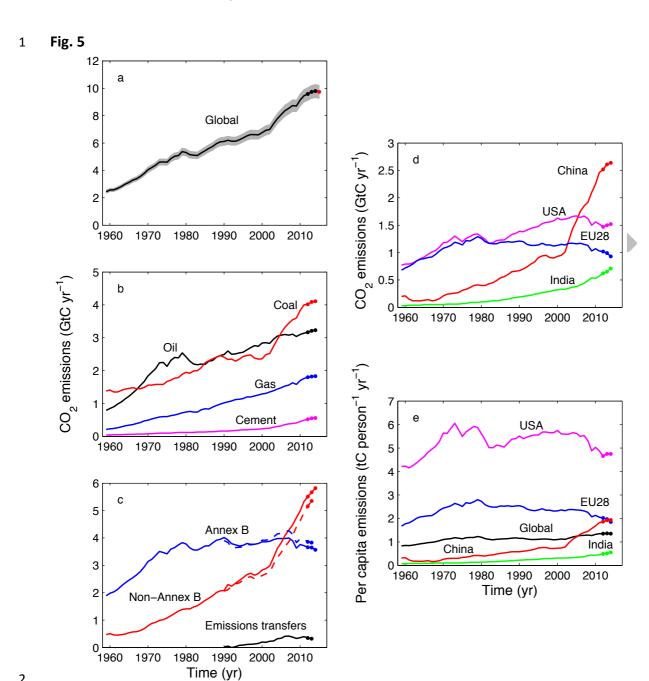
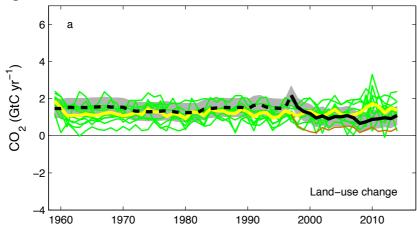
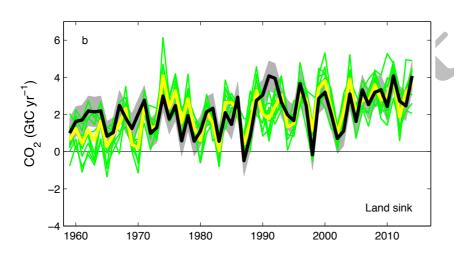


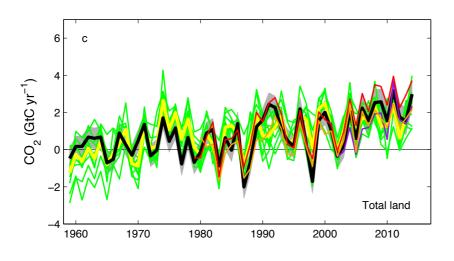
Figure 5. CO<sub>2</sub> emissions from fossil fuel combustion and cement production for (a) the globe, including an uncertainty of ± 5% (grey shading), the emissions extrapolated using BP energy statistics (black dots) and the emissions projection for year 2014 based on GDP projection (red dot), (b) global emissions by fuel type, including coal (red), oil (black), gas (blue), and cement (purple), and excluding gas flaring which is small (0.6% in 2013), (c) territorial (full line) and consumption (dashed line) emissions for the countries listed in the Annex B of the Kyoto Protocol (blue lines; mostly advanced economies with emissions limitations) versus non-Annex B countries (red lines), also shown are the emissions transfer from non-Annex B to Annex B countries (black line) (d) territorial CO<sub>2</sub> emissions for the top three country emitters (USA - purple; China - red;

- 1 India green) and for the European Union (EU; blue for the 28 member states of the EU in 2012),
- and (e) per-capita emissions for the top three country emitters and the EU (all colours as in panel
- 3 (d)) and the world (black). In panels (b) to (e), the dots show the data that were extrapolated from
- 4 BP energy statistics for 2012, 2013 and 2014. All time-series are in GtC yr<sup>-1</sup> except the per-capita
- 5 emissions (panel (e)), which are in tonnes of carbon per person per year (tC person<sup>-1</sup> yr<sup>-1</sup>). All
- 6 territorial emissions are primarily from Boden et al. (2013) except national data for the USA and
- 7 EU28 for 1990-2011, which are reported by the countries to the UNFCCC as detailed in the text;
- 8 consumption-based emissions are updated from Peters et al. (2011a).





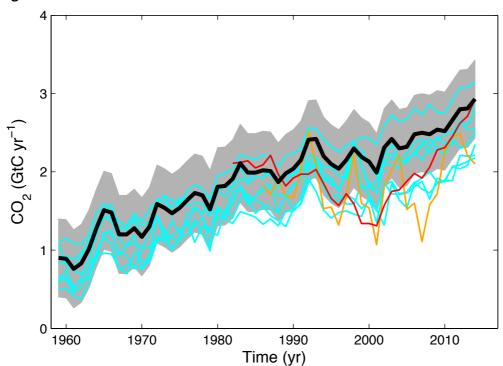




2 Time (yr)

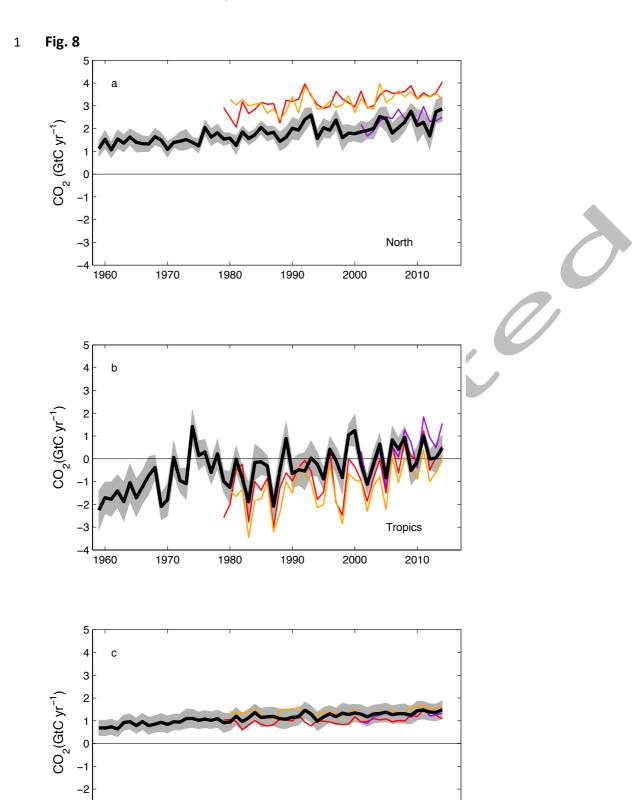
- Figure 6. Comparison of the atmosphere-land CO<sub>2</sub> flux showing budget values of E<sub>LUC</sub> (black). (a) 1 2 CO<sub>2</sub> emissions from land-use change showing individual DGVM model results (green) and the 3 multi model mean (yellow), and fire-based results (brown); land-use change data prior to 1997 (dashed black) highlights the start of satellite data from that year. (b) Land CO<sub>2</sub> sink (S<sub>LAND</sub>; black) 4 showing individual DGVM model results (green) and multi model mean (yellow). (c) Total land CO2 5 fluxes (b – a) from DGVM model results (green) and the multi model mean (yellow), atmospheric 6 inversions Chevallier et al. (2005; MACC, v14.2) in red; Rödenbeck et al. (2003; Jena CarboScope, 7 s81\_v3.7) in orange; Peters et al. (2010; Carbon Tracker, vCTE2015) in purple; see Table 6, and the 8 carbon balance from Eq. (1) (black). In (c) the inversions were corrected for the pre-industrial land 9 sink of CO<sub>2</sub> from river input, by adding a sink of 0.45 GtC yr<sup>-1</sup> (Jacobson et al., 2007). This 10 correction does not take into account the anthropogenic contribution to river fluxes (see Sect. 11 12 2.7.2).

1 Fig. 7



**Figure 7.** Comparison of the atmosphere-ocean  $CO_2$  flux shows the budget values of  $S_{OCEAN}$  (black), individual ocean models before normalisation (blue), and the two ocean data-based products (Rödenbeck et al. (2014) in orange and Landschützer et al. (2015) in red; see Table 6). Both data-based products were corrected for the pre-industrial ocean source of  $CO_2$  from river input to the ocean, which is not present in the models, by adding a sink of 0.45 GtC yr<sup>-1</sup> (Jacobson et al., 2007), to make them comparable to  $S_{OCEAN}$ . This correction does not take into account the anthropogenic contribution to river fluxes (see Section 2.7.2).

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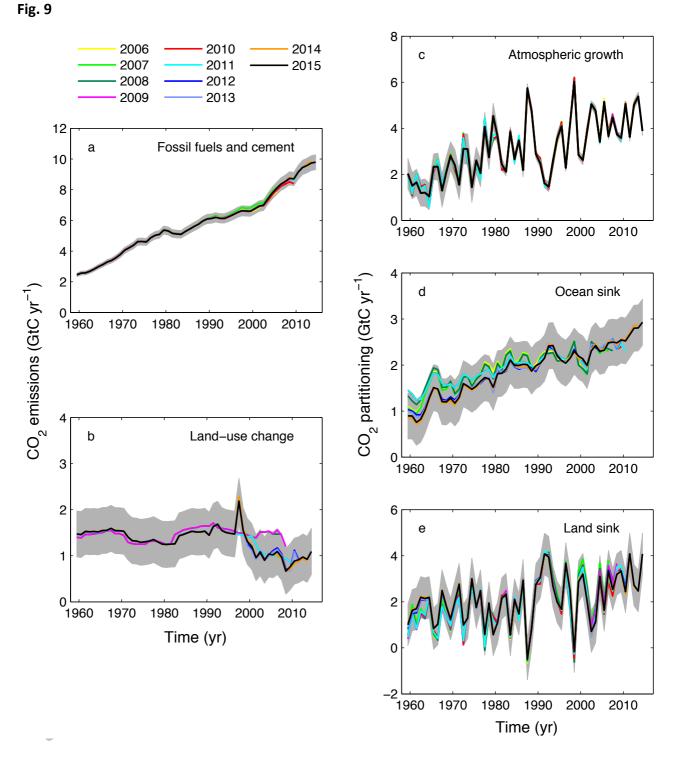
Time (yr) Figure 8. Surface  $CO_2$  flux ( $S_{OCEAN} + S_{LAND} + E_{LUC}$ ) by latitude bands for the (a) North (north of 30 °N),

South

(b) Tropics (30°S-30°N), and (c) South (south of 30 °S). Estimates from the combination of the

- multi-model means for the land and oceans are shown (black) with  $\pm 1\sigma$  of the model ensemble (in
- 2 grey). Results from the three atmospheric inversions are shown Chevallier et al. (2005; MACC,
- v14.2) in red; Rödenbeck et al. (2003; Jena CarboScope, s81\_v3.7) in orange; Peters et al. (2010;
- 4 Carbon Tracker, vCTE2015) in purple; see Table 6.





**Figure 9.** Comparison of global carbon budget components released annually by GCP since 2006.  $CO_2$  emissions from both (**a**) fossil fuel combustion and cement production ( $E_{FF}$ ), and (**b**) land-use change ( $E_{LUC}$ ), and their partitioning among (**c**) the atmosphere ( $G_{ATM}$ ), (**d**) the ocean ( $S_{OCEAN}$ ), and (**e**) the land ( $S_{LAND}$ ). See legend for the corresponding years, with the 2006 carbon budget from Raupach et al.(2007); 2007 from Canadell et al. (2007); 2008 released online only; 2009 from Le

- 1 Quéré et al. (2009); 2010 from Friedlingstein et al. (2010); 2011 from Peters et al. (2012b); 2012
- 2 from Le Quéré et al. (2013); 2013 from Le Quéré et al. (2014), 2014 from Le Quéré et al. (2015)
- and this year's budget (2015; this study). The budget year generally corresponds to the year when
- 4 the budget was first release. All values are in GtC yr<sup>-1.</sup>



## Appendix Attribution of fCO<sub>2</sub> measurements for years 2013-2014 used in addition to SOCAT v3 (Bakker et al., 2014; Bakker et al., in prep.) to

## inform ocean data products.

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Vessel  Atlantic Companion Atlantic Companion Atlantic Companion Atlantic Companion Atlantic Companion Atlantic Companion Benguela Stream	Start date  2014-02-21  2014-04-26  2014-05-31  2014-06-16  2014-08-27  2014-09-28  2014-07-15  2014-07-15  2014-02-28  2014-02-28  2014-02-23  2014-04-18  2014-04-18  2014-04-18	End date  2014-02-26  2014-05-02  2014-06-24  2014-08-30  2014-07-20  2014-01-05  2014-03-02  2014-03-02  2014-03-02  2014-05-08  2014-05-08	Regions  North Atlantic  North Atlantic, Tropical Atlantic
Benguela Stream Benguela Stream Benguela Stream	2013-12-28 2014-01-08 2014-02-23	2014-01-05 2014-01-13 2014-03-02	North Atlantic, Tropical Atlantic North Atlantic, Tropical Atlantic North Atlantic, Tropical Atlantic
Benguela Stream Benguela Stream	2014-02-23 2014-04-18	2014-03-02 2014-04-27	North Atlantic, Tropical Atlantic  North Atlantic, Tropical Atlantic
Benguela Stream	2014-04-30	2014-05-08	North Atlantic, Tropical Atlantic
Benguela Stream Benguela Stream	2014-05-17 2014-06-14	2014-05-25 2014-06-21	North Atlantic, Tropical Atlantic  North Atlantic, Tropical Atlantic
Benguela Stream	2014-06-25	2014-07-03	North Atlantic, Tropical Atlantic
Benguela Stream Benguela Stream	2014-07-23	2014-07-31	North Atlantic, Tropical Atlantic  North Atlantic, Tropical Atlantic
Benguela Stream Benguela Stream	2014-12-10	2014-12-19	North Atlantic, Tropical Atlantic  North Atlantic, Tropical Atlantic
Cap Blanche	2014-02-01	2014-02-13	Tropical Pacific, Southern Ocean
Cap Blanche	2014-05-23	2014-06-05	Tropical Pacific, Southern Ocean
Cap Blanche	2014-07-18 2014-09-12	2014-07-30 2014-09-25	Tropical Pacific, Southern Ocean Tropical Pacific, Southern Ocean
Cap Blanche	2014-11-13	2014-11-26	Tropical Pacific, Southern Ocean
Cap Vilano	2013-02-01	2013-02-13	Tropical Pacific, Southern Ocean

10.3334/CDIAC/OTG.VOS_EXP2014	Wanninkhof, R., D. Pierrot and L. Barbero	3329	North Atlantic, Tropical Atlantic	2013-07-06	2013-06-27	Explorer of the Seas
10.3334/CDIAC/OTG.VOS_EXP2014	Wanninkhof, R., D. Pierrot and L. Barbero	1301	North Atlantic, Tropical Atlantic	2013-04-07	2013-04-02	Explorer of the Seas
10.3334/CDIAC/OTG.VOS_EXP2014	Wanninkhof, R., D. Pierrot and L. Barbero	1504	North Atlantic	2014-10-23	2014-10-18	Explorer of the Seas
10.3334/CDIAC/OTG.VOS_EXP2014	Wanninkhof, R., D. Pierrot and L. Barbero	1444	North Atlantic	2014-10-09	2014-10-04	Explorer of the Seas
10.3334/CDIAC/OTG.VOS_EXP2014	Wanninkhof, R., D. Pierrot and L. Barbero	728	North Atlantic	2014-09-22	2014-09-20	Explorer of the Seas
10.3334/CDIAC/OTG.VOS_EXP2014	Wanninkhof, R., D. Pierrot and L. Barbero	2956	North Atlantic	2014-09-20	2014-09-11	Explorer of the Seas
10.3334/CDIAC/OTG.VOS_EXP2014	Wanninkhof, R., D. Pierrot and L. Barbero	1479	North Atlantic	2014-09-11	2014-09-06	Explorer of the Seas
10.3334/CDIAC/OTG.VOS_EXP2014	Wanninkhof, R., D. Pierrot and L. Barbero	2846	North Atlantic	2014-09-06	2014-08-29	Explorer of the Seas
10.3334/CDIAC/OTG.VOS_EXP2014	Wanninkhof, R., D. Pierrot and L. Barbero	1277	North Atlantic	2014-08-28	2014-08-23	Explorer of the Seas
10.3334/CDIAC/OTG.VOS_EXP2014	Wanninkhof, R., D. Pierrot and L. Barbero	1468	North Atlantic	2014-08-14	2014-08-09	Explorer of the Seas
10.3334/CDIAC/OTG.VOS_EXP2014	Wanninkhof, R., D. Pierrot and L. Barbero	1474	North Atlantic	2014-07-31	2014-07-26	Explorer of the Seas
10.3334/CDIAC/OTG.VOS_EXP2014	Wanninkhof, R., D. Pierrot and L. Barbero	1489	North Atlantic	2014-07-17	2014-07-12	Explorer of the Seas
10.3334/CDIAC/OTG.VOS_EXP2014	Wanninkhof, R., D. Pierrot and L. Barbero	1479	North Atlantic	2014-07-03	2014-06-28	Explorer of the Seas
10.3334/CDIAC/OTG.VOS_EXP2014	Wanninkhof, R., D. Pierrot and L. Barbero	1142	North Atlantic	2014-06-19	2014-06-14	Explorer of the Seas
10.3334/CDIAC/OTG.VOS_EXP2014	Wanninkhof, R., D. Pierrot and L. Barbero	1194	North Atlantic	2014-06-05	2014-05-31	Explorer of the Seas
10.3334/CDIAC/OTG.VOS_EXP2014	Wanninkhof, R., D. Pierrot and L. Barbero	1349	North Atlantic	2014-05-22	2014-05-17	Explorer of the Seas
10.3334/CDIAC/OTG.VOS_EXP2014	Wanninkhof, R., D. Pierrot and L. Barbero	1512	North Atlantic	2014-05-08	2014-05-03	Explorer of the Seas
10.3334/CDIAC/OTG.VOS_EXP2014	Wanninkhof, R., D. Pierrot and L. Barbero	1424	North Atlantic	2014-04-24	2014-04-19	Explorer of the Seas
10.3334/CDIAC/OTG.VOS_EXP2014	Wanninkhof, R., D. Pierrot and L. Barbero	209	North Atlantic	2014-04-09	2014-04-08	Explorer of the Seas
10.3334/CDIAC/OTG.VOS_EXP2014	Wanninkhof, R., D. Pierrot and L. Barbero	1138	North Atlantic	2013-08-22	2013-08-17	Explorer of the Seas
10.3334/CDIAC/OTG.VOS_EXP2014	Wanninkhof, R., D. Pierrot and L. Barbero	1436	North Atlantic	2013-08-08	2013-08-03	Explorer of the Seas
10.3334/CDIAC/OTG.VOS_EXP2014	Wanninkhof, R., D. Pierrot and L. Barbero	1375	North Atlantic	2013-07-25	2013-07-20	Explorer of the Seas
10.3334/CDIAC/OTG.VOS_EXP2014	Wanninkhof, R., D. Pierrot and L. Barbero	1496	North Atlantic	2013-07-11	2013-07-06	Explorer of the Seas
10.3334/CDIAC/OTG.VOS_EXP2014	Wanninkhof, R., D. Pierrot and L. Barbero	672	North Atlantic	2013-06-27	2013-06-25	Explorer of the Seas
	Lefèvre, N. and D. Diverrès	313	Tropical Atlantic	2014-07-19	2014-07-18	Colibri
	Lefèvre, N. and D. Diverrès	5725	North Atlantic, Tropical Atlantic	2014-11-04	2014-10-25	Colibri
	Lefèvre, N. and D. Diverrès	5940	North Atlantic, Tropical Atlantic	2014-09-23	2014-09-12	Colibri
	Lefèvre, N and D. Diverrès	3881	North Atlantic, Tropical Atlantic	2014-09-03	2014-08-27	Colibri
	Lefèvre, N. and D. Diverrès	4853	North Atlantic, Tropical Atlantic	2014-07-15	2014-07-04	Colibri
	Cosca, C., R. Feely, S. Alin and G. Lebon	5096	Tropical Pacific, Southern Ocean	2013-06-06	2013-05-24	Cap Vilano
	Cosca, C., R. Feely, S. Alin and G. Lebon	5390	Tropical Pacific, Southern Ocean	2013-04-11	2013-03-28	Cap Vilano

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2014-03-11	2014-03-01	2014-02-20	2014-07-08	2012-01-13	2014-12-18	2014-12-14	2014-11-29	2014-11-25	2014-12-27	2014-12-23	2014-12-04	2014-11-21	2014-11-01	2014-10-23	2014-10-09	2014-09-25	2014-08-14	2014-07-31	2014-07-17	2014-07-03	2014-06-19	2014-06-05	2014-05-22	2014-05-08	2014-04-24	2014-04-10	2014-04-01	2013-08-08	2013-07-25	2013-07-11
2014-04-03	2014-03-09	2014-02-26	2014-11-16	2014-12-31	2014-12-23	2014-12-18	2014-12-04	2014-11-29	2015-01-04	2014-12-27	2014-12-13	2014-11-24	2014-11-11	2014-11-01	2014-10-18	2014-10-04	2014-08-23	2014-08-09	2014-07-26	2014-07-12	2014-06-28	2014-06-14	2014-05-31	2014-05-17	2014-05-03	2014-04-19	2014-04-05	2013-08-17	2013-08-03	2013-07-20
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2014-04-09	2014-03-22	2014-02-14	2014-01-01	2013-11-23	2013-10-28	2013-10-05	2013-09-14	2013-06-01	2013-05-12	2013-04-13	2013-03-11	2013-02-13	2012-12-31	2014-03-20	2014-10-28	2014-10-07	2014-09-23	2014-09-08	2014-08-05	2014-07-25	2014-06-18	2014-05-27	2014-05-16	2014-05-06	2014-04-11	2014-03-29	2014-07-21	2014-07-04	2014-06-06	2014-04-08	- do not cite or quote
2014-05-10	2014-04-03	2014-03-16	2014-02-07	2013-12-19	2013-11-15	2013-10-22	2013-09-26	2013-07-05	2013-05-24	2013-05-05	2013-04-07	2013-02-24	2013-02-06	2014-04-12	2014-11-13	2014-10-23	2014-10-03	2014-09-19	2014-08-16	2014-07-30	2014-07-01	2014-06-01	2014-05-23	2014-05-16	2014-04-25	2014-04-04	2014-07-30	2014-07-16	2014-06-13	2014-04-28	or quote
Southern Ocean	Southern Ocean	Southern Ocean	Southern Ocean	Southern Ocean	Southern Ocean	Southern Ocean	Southern Ocean	Southern Ocean	Southern Ocean	Southern Ocean	Southern Ocean	Southern Ocean	Southern Ocean	North Atlantic	North Atlantic	North Atlantic	North Atlantic	North Atlantic	North Atlantic	North Atlantic	North Atlantic	North Atlantic	North Atlantic	North Atlantic	North Atlantic	North Atlantic	Tropical Atlantic	Tropical Atlantic	North Atlantic, Tropical Atlantic	North Atlantic	
3170	1109	5805	11783	7535	3788	2284	3410	3808	3171	4099	4110	2030	10816	2113	6615	7736	4620	4847	5231	2226	5458	2085	3233	4302	6651	2196	4074	5399	3338	7753	
Sweeney, C., T. Takahashi, T. Newberger and <u>D.R.</u> <u>Munro</u>				Sweeney, C., T. Takahashi, T. Newberger and <u>D.R.</u> <u>Munro</u>	Sweeney, C., T. Takahashi, T. Newberger and <u>D.R.</u> Munro		Sweeney, C., T. Takahashi, T. Newberger and <u>D.R.</u> <u>Munro</u>		Sweeney, C., T. Takahashi, T. Newberger and <u>D.R.</u> <u>Munro</u>		Sweeney, C., T. Takahashi, T. Newberger and <u>D.R.</u> <u>Munro</u>		Sweeney, C., T. Takahashi, T. Newberger and <u>D.R.</u> <u>Munro</u>	Kitidis, V. and I. Brown	Wanninkhof, R., <u>D. Pierrot</u> and <u>L. Barbero</u>	Wanninkhof, R., D. Pierrot and L Barbero	Wanninkhof, R., D. Pierrot and L. Barbero	Wanninkhof, R., <u>D. Pierrot</u> and <u>L. Barbero</u>													
				accessed from CDIAC on 08/06/2015	accessed from CDIAC on 08/06/2015	accessed from CDIAC on 08/06/2015	accessed from CDIAC on 08/06/2015	accessed from CDIAC on 08/06/2015	accessed from CDIAC on 08/06/2015	accessed from CDIAC on 08/06/2015	accessed from CDIAC on 08/06/2015	accessed from CDIAC on 08/06/2015	accessed from CDIAC on 08/06/2015		10.3334/CDIAC/OTG.AOML_BIGELOW_ECOAST_2014	10.3334/CDIAC/OTG.AOML_BIGELOW_ECOAST_2014	10.3334/CDIAC/OTG.AOML_BIGELOW_ECOAST_2014	10.3334/CDIAC/OTG.AOML_BIGELOW_ECOAST_2014													

Marion Dufresne	Laurence M. Gould	Laurence M. Gould	Laurence M. Gould	Laurence M. Gould	Laurence M. Gould	Submitted – do not cite or quote
2014-01-09	2014-11-28	2014-10-28	2014-10-08	2014-09-14	2014-06-23	do not cite
2014-02-16	2014-12-20	2014-11-22	2014-10-20	2014-09-26	2014-08-21	or quote
Indian Ocean, Southern Ocean	Southern Ocean	Southern Ocean	Southern Ocean	Southern Ocean	Southern Ocean	
7524	6476	6921	1642	2058	3615	
Metzl, N. and <u>C. Lo Monaco</u>	Sweeney, C., T. Takahashi, T. Newberger and D.R. Munro	Sweeney, C., T. Takahashi, T. Newberger and D.R. Munro	Sweeney, C., T. Takahashi, T. Newberger and D.R. Munro	Sweeney, C., T. Takahashi, T. Newberger and <u>D.R.</u> Munro	Sweeney, C., T. Takahashi, T. Newberger and <u>D.R.</u> Munro	

2014-09-10 2014-09-24	2014-06-25 2014-07-05	2014-06-12 2014-06-25	2014-05-28 2014-06-09	2014-05-13 2014-05-27	2014-04-27 2014-05-10	2014-04-11 2014-04-26	2014-12-12 2015-01-12	2014-08-11 2014-09-08
North Pacific	North Atlantic, Tropical Atlantic, North Pacific, Tropical Pacific	North Atlantic, Tropical Atlantic, North Pacific, Tropical Pacific						
1108	1174	1220	1392	1408	1442	1608	1811	2698
Nakaoka, S.	Nakaoka, S.							

New Century 2 New Century 2

		acific, Tropical	acific, Tropical		
1442	1608	1811	2698	1415	1257
Nakaoka, S.	Nakaoka, S.	Nakaoka, S.	Nakaoka, S.	Sutton, A.	Sutton, A.

Mooring

2013-10-04 2012-08-22

2014-04-29

North Pacific

2013-07-09 North Atlantic 2013-02-13 Southern Ocean

2012-11-02

2013-06-06 Tropical Pacific

2013-06-06

2013-11-28 Tropical Pacific

Mooring Mirai

2012-11-28

Mooring Mooring

1408	1442	1608	1011
Nakaoka, S.	Nakaoka, S.	Nakaoka, S.	NanaOna, J.

1108	1174	1220
Nakaoka. S.	Nakaoka, S.	Nakaoka, S.

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Nakaoka, S.

2167	1174	1001
Nakaoka, S.	Nakaoka, S.	Nakaoka, S.

2607	2333
Omar A A Olsen and T Johannessen	Omar, A., <u>A. Olsen</u> and T. Johannessen

2391

Nakaoka, S.

	2607	Omar, A., <u>A. Olsen</u> and T. Johannessen
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Nuka Arctica Nuka Arctica

2014-08-27

Nuka Arctica

2014-07-07

New Century 2 New Century 2 New Century 2 New Century 2

2014-11-14 2014-07-14

2014-12-12 North Pacific, Tropical Pacific

Arctic, North Atlantic

2014-08-10 2014-11-09

North Pacific, Tropical Pacific

New Century 2

2014-09-25

2014-10-07 North Pacific

2014-10-11

2014-10-27

North Pacific

North Pacific

2014-10-28

New Century 2 New Century 2 New Century 2

Nuka Arctica

2014-01-06

2014-09-08

Arctic, North Atlantic Arctic, North Atlantic

Nuka Arctica

2014-01-24 2014-01-14

2014-02-01

Arctic, North Atlantic Arctic, North Atlantic Arctic, North Atlantic

2014-01-24 2014-01-12 2014-09-18 2014-09-05 2014-07-15

Nuka Arctica

2369

Omar, A., A. Olsen and T. Johannessen

1990

Omar, A., A. Olsen and T. Johannessen

<sup>2728</sup> Omar, A., A. Olsen and T. Johannessen

Reykjafoss	Reykjafoss	Pourquoi Pas?	Polarstern	Polarstern	Polarstern	Polarstern	Polarstern	Polarstern	Polarstern	Nuka Arctica	Nuka Arctica	Nuka Arctica	Nuka Arctica	Nuka Arctica	Nuka Arctica	Nuka Arctica	Nuka Arctica	Nuka Arctica	Submitted – do not cite or quote												
2013-09-19	2013-09-06	2014-05-17	2014-12-03	2013-12-21	2014-10-26	2014-03-09	2014-06-08	2014-08-05	2014-07-07	2014-11-20	2014-10-28	2014-10-17	2014-10-08	2014-09-28	2014-09-20	2014-08-15	2014-08-08	2014-07-26	2014-06-13	2014-06-11	2014-05-23	2014-05-13	2014-04-18	2014-04-09	2014-03-29	2014-03-18	2014-03-07	2014-02-26	2014-02-15	2014-02-04	do not cite
2013-09-30	2013-09-17	2014-06-28	2015-01-31	2014-03-04	2014-11-28	2014-04-12	2014-06-30	2014-10-04	2014-08-02	2014-11-28	2014-11-06	2014-10-24	2014-10-16	2014-10-06	2014-09-28	2014-08-23	2014-08-14	2014-08-05	2014-06-22	2014-06-12	2014-05-31	2014-05-18	2014-04-25	2014-04-17	2014-04-05	2014-03-27	2014-03-13	2014-03-05	2014-02-22	2014-02-14	or quote
North Atlantic	North Atlantic	North Atlantic	Southern Ocean	Southern Ocean	North Atlantic, Tropical Atlantic, Southern Ocean	North Atlantic, Tropical Atlantic, Southern Ocean	Arctic, North Atlantic	Arctic	Arctic	Arctic, North Atlantic	Arctic, North Atlantic	Arctic, North Atlantic	Arctic, North Atlantic	Arctic, North Atlantic	Arctic, North Atlantic	Arctic, North Atlantic	Arctic, North Atlantic	Arctic, North Atlantic													
3991	3481	2835	28299	69740	30655	32939	20871	55349	25088	1451	648	1540	1029	769	1931	2483	2266	3362	3077	274	1191	1420	2429	3136	2799	3262	2311	2179	2030	2661	
Wanninkhof, R., <u>D. Pierrot</u> and <u>L. Barbero</u>	Wanninkhof, R., <u>D. Pierrot</u> and <u>L. Barbero</u>	Padin, X.A. and F.F. Pérez	van Heuven, S. and M. Hoppema	van Heuven, S. and M. Hoppema	van Heuven, S. and M. Hoppema	van Heuven, S. and M. Hoppema	van Heuven, S. and M. Hoppema	van Heuven, S. and M. Hoppema	van Heuven, S. and M. Hoppema	Omar, A., A. Olsen and T. Johannessen	Omar, A., <u>A. Olsen</u> and T. Johannessen	Omar, A., A. Olsen and T. Johannessen	Omar, A., <u>A. Olsen</u> and T. Johannessen	Omar, A., A. Olsen and T. Johannessen	Omar, A., A. Olsen and T. Johannessen	Omar, A., A. Olsen and T. Johannessen															

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Soyo-maru 2014	Soyo-maru 2014		Soyo-maru 2014		Soyo-maru 2014	Soyo-maru 2013-	Skogafoss 2014	Skogafoss 2014-	Simon Stevin 2014	Simon Stevin 2014	Simon Stevin 2014	Simon Stevin 2014	Simon Stevin 2014-	Simon Stevin 2014	Santa Cruz 2014	Santa Cruz 2014	Ronald H. Brown 2014	Ronald H. Brown 2013-	Reykjafoss 2013-											
2014-01-24 21	2014-08-22 21	2014-05-24 21	2014-05-10 21	2014-03-02 21	2014-02-10 21	2013-12-08 21	2014-08-22	2014-07-27	2014-06-29	2014-06-07 21	2014-05-10 21	2014-03-17 21	2014-10-24 21	2014-10-22 21	2014-09-08 21	2014-09-03 21	2014-09-01 20	2014-08-29 21	2014-08-28 21	2014-08-27 21	2014-08-25 21	2014-08-22 21	2014-08-21 21	2014-08-20 21	2014-02-19 21	2014-01-17 21	2014-02-28 21	2013-10-20 21	2013-10-31 21	2013-10-17 20
2014-01-30	2014-08-26	2014-06-19	2014-05-18	2014-03-09	2014-02-24	2013-12-19	2014-09-01	2014-08-21	2014-07-26	2014-06-28	2014-06-05	2014-04-11	2014-10-24	2014-10-23	2014-09-08	2014-09-03	2014-09-01	2014-08-29	2014-08-28	2014-08-27	2014-08-25	2014-08-22	2014-08-21	2014-08-20	2014-02-28	2014-01-30	2014-03-13	2013-10-30	2013-11-08	2013-10-25
North Pacific, Tropical Pacific	North Pacific	North Pacific	North Pacific	North Pacific	North Pacific	North Pacific	North Atlantic	North Atlantic	North Atlantic	North Atlantic	North Atlantic	North Atlantic	North Atlantic	North Atlantic	North Atlantic	North Atlantic	North Atlantic	North Atlantic	North Atlantic	North Atlantic, Tropical Atlantic	North Atlantic, Tropical Atlantic	Tropical Pacific	Tropical Atlantic	North Atlantic	North Atlantic					
8784	4162	29872	9608	9589	15841	10583	3601	5528	7280	6702	11010	10168	11920	28397	24445	23069	21372	12492	15148	28904	508	5382	30640	31827	3251	5258	6052	4608	2715	2291
Ichikawa, T. and <u>T. Ono</u>	Ichikawa, T. and <u>T. Ono</u>	Ichikawa, T. and <u>T. Ono</u>	Ichikawa, T. and <u>T. Ono</u>	Ichikawa, T. and <u>T. Ono</u>	Ichikawa, T. and <u>T. Ono</u>	Ichikawa, T. and <u>T. Ono</u>	Wanninkhof, R., <u>D. Pierrot</u> and <u>L. Barbero</u>	Gkritzalis, T.	Gkritzalis, T.	Gkritzalis, T.	Gkritzalis, T.	Gkritzalis, T.	Gkritzalis, T.	Gkritzalis, T.	<u>Gkritzalis, T.</u>	<u>efèvre, N.</u> and D. Diverrès	<u>Lefèvre, N.</u> and D. Diverrès	Wanninkhof, R., <u>D. Pierrot</u> and <u>L. Barbero</u>	Wanninkhof, R., D. Pierrot and L. Barbero	Wanninkhof, R., <u>D. Pierrot</u> and <u>L. Barbero</u>	Wanninkhof, R., <u>D. Pierrot</u> and <u>L. Barbero</u>									
							10.3334/CDIAC/OTG.VOS_SKO2014	10.3334/CDIAC/OTG.VOS_SKO2014	10.3334/CDIAC/OTG.VOS_SKO2014	10.3334/CDIAC/OTG.VOS_SKO2014	10.3334/CDIAC/OTG.VOS_SKO2014	10.3334/CDIAC/OTG.VOS_SKO2014															10.3334/CDIAC/OTG.VOS_RB_2014	10.3334/CDIAC/OTG.VOS_RB_2013		

Trans Future 5 Trans Future 5 Trans Future 5	Trans Future 5 Trans Future 5 Trans Future 5 Trans Future 5	Trans Future 5 Trans Future 5 Trans Future 5 Trans Future 5	Trans Future 5	Trans Future 5	Trans Future 5         2013-08-26         2013-08-27           Trans Future 5         2013-09-27         2013-09-27           Trans Future 5         2013-11-04         2013-11-05           Trans Future 5         2013-11-08         2013-11-09           Trans Future 5         2013-12-16         2013-12-16           Trans Future 5         2013-12-20         2013-12-20           Trans Future 5         2014-02-10         2014-02-10           Trans Future 5         2014-02-14         2014-02-15           Trans Future 5         2014-03-24         2014-03-25
2014-06-21 2014-08-02 2014-10-25	2014-02-16 2014-03-30 2014-05-10	2014-12-01 2014-12-05 2013-09-28 2013-11-09	2014-08-01 2014-09-08 2014-09-12 2014-10-20 2014-10-24	2014-03-28 2014-05-06 2014-05-09 2014-06-16 2014-06-20 2014-07-28	2013-08-26 2013-09-27 2013-11-04 2013-11-08 2013-12-16 2013-12-20 2014-02-10 2014-02-14 2014-03-24
2014-07-02 2014-08-11 2014-11-04	2014-01-02 2014-02-25 2014-04-09 2014-05-19	2014-12-01 2014-12-05 2013-11-18	2014-08-01 2014-09-08 2014-09-12 2014-10-21 2014-10-24	2014-03-29 2014-05-07 2014-05-09 2014-06-17 2014-06-20 2014-07-29	2013-08-27 2013-09-27 2013-11-05 2013-11-09 2013-12-16 2013-12-20 2014-02-10 2014-02-15
North Pacific, Tropical Pacific  North Pacific, Tropical Pacific  North Pacific, Tropical Pacific	North Pacific, Tropical Pacific  North Pacific, Tropical Pacific  North Pacific, Tropical Pacific  North Pacific, Tropical Pacific	North Pacific  North Pacific, Tropical Pacific  North Pacific, Tropical Pacific  North Pacific, Tropical Pacific	North Pacific North Pacific North Pacific North Pacific North Pacific	North Pacific North Pacific North Pacific North Pacific North Pacific North Pacific	North Pacific
1124 1142 1086	1168 1122 1121 1159	52 53 1118 1104	50 55 54 55 55	61 73 59 61 71	58 58 58 59 59 50 50 50 50 50 51
Nakaoka, S. and Y. Nojiri Nakaoka, S. and Y. Nojiri Nakaoka, S. and Y. Nojiri	Nakaoka, S. and Y. Nojiri	Nakaoka, S. and Y. Nojiri	Nakaoka, S. and Y. Nojiri	Nakaoka, S. and Y. Nojiri  Nakaoka, S. and Y. Nojiri	Nakaoka, S., and Y. Nojiri

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Millero, F.	5529	Tropical Atlantic	2013-07-18	2013-07-06	Walton Smith
Millero, F.	2883	Tropical Atlantic	2013-06-27	2013-06-20	Walton Smith
Millero, F.	1214	Tropical Atlantic	2013-06-15	2013-06-13	Walton Smith
Millero, F.	898	Tropical Atlantic	2013-05-27	2013-05-25	Walton Smith
Millero, F.	12666	North Atlantic, Tropical Atlantic	2014-05-25	2014-04-28	Walton Smith
Millero, F.	4890	North Atlantic, Tropical Atlantic	2013-04-28	2013-04-19	Walton Smith
Millero, F.	8392	North Atlantic, Tropical Atlantic	2013-04-18	2013-03-31	Walton Smith
Kuwata, A. and K. Tadokoro	9025	North Pacific	2014-06-11	2014-06-05	Wakataka-maru
Kuwata, A. and K. Tadokoro	9360	North Pacific	2014-05-20	2014-05-10	Wakataka-maru
Nakaoka, S. and Y. Nojiri	939	Tropical Pacific, Southern Ocean	2014-12-30	2014-12-16	Trans Future 5
Nakaoka, S. and Y. Nojiri	853	Tropical Pacific, Southern Ocean	2014-11-17	2014-11-05	Trans Future 5
Nakaoka, S. and Y. Nojiri	1040	Tropical Pacific, Southern Ocean	2014-08-25	2014-08-12	Trans Future 5
Nakaoka, S. and Y. Nojiri	1027	Tropical Pacific, Southern Ocean	2014-07-15	2014-07-02	Trans Future 5
Nakaoka, S. and Y. Nojiri	910	Tropical Pacific, Southern Ocean	2014-06-01	2014-05-20	Trans Future 5
Nakaoka, S. and Y. Nojiri	941	Tropical Pacific, Southern Ocean	2014-04-23	2014-04-10	Trans Future 5
Nakaoka, S. and Y. Nojiri	909	Tropical Pacific, Southern Ocean	2014-03-10	2014-02-25	Trans Future 5
Nakaoka, S. and Y. Nojiri	1000	Tropical Pacific, Southern Ocean	2014-01-17	2014-01-02	Trans Future 5
Nakaoka, S. and Y. Nojiri	921	Tropical Pacific, Southern Ocean	2013-12-01	2013-11-19	Trans Future 5
Nakaoka, S. and Y. Nojiri	880	Tropical Pacific, Southern Ocean	2013-10-21	2013-10-09	Trans Future 5
Nakaoka, S. and Y. Nojiri	196	Southern Ocean	2014-10-05	2014-09-23	Trans Future 5
Nakaoka, S. and Y. Nojiri	809	North Pacific, Tropical Pacific, Southern Ocean	2014-11-29	2014-11-18	Trans Future 5
Nakaoka, S. and Y. Nojiri	1422	North Pacific, Tropical Pacific, Southern Ocean	2014-10-19	2014-10-06	Trans Future 5
Nakaoka, S. and Y. Nojiri	1405	North Pacific, Tropical Pacific, Southern Ocean	2014-09-07	2014-08-27	Trans Future 5
Nakaoka, S. and Y. Nojiri	1415	North Pacific, Tropical Pacific, Southern Ocean	2014-07-27	2014-07-16	Trans Future 5
Nakaoka, S. and Y. Nojiri	1456	North Pacific, Tropical Pacific, Southern Ocean	2014-06-15	2014-06-03	Trans Future 5
Nakaoka, S. and Y. Nojiri	1381	North Pacific, Tropical Pacific, Southern Ocean	2014-05-05	2014-04-24	Trans Future 5
Nakaoka, S. and Y. Nojiri	1451	North Pacific, Tropical Pacific, Southern Ocean	2014-03-23	2014-03-12	Trans Future 5
Nakaoka, S. and Y. Nojiri	1558	North Pacific, Tropical Pacific, Southern Ocean	2014-02-07	2014-01-25	Trans Future 5
Nakaoka, S. and Y. Nojiri	1434	North Pacific, Tropical Pacific, Southern Ocean	2013-12-15	2013-12-03	Trans Future 5
Nakaoka, S. and Y. Nojiri	1432	North Pacific, Tropical Pacific, Southern Ocean	2013-11-03	2013-10-23	Trans Future 5
Nakaoka, S. and Y. Nojiri	1104	North Pacific, Tropical Pacific	2014-12-15	2014-12-06	Trans Future 5

Walton Smith	Submitted – do not cite or quote						
2014-04-26	2014-04-23	2014-04-22	2013-12-20	2013-10-17	2013-10-08	2013-08-13	do not cite
2014-04-26	2014-04-24	2014-04-22	2013-12-21	2013-10-18	2013-10-09	2013-08-28	or quot
Tropical Atlantic	rD						
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155	657	214	748	707	509	7900	
Millero, F.							